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AN EXPLORATION OF THE IMPACT OF FIXED SHADING DEVICE GEOMETRY ON BUILDING ENERGY PERFORMANCE

presented by

ALESSANDRO ORSI

has been accepted towards fulfillment of the requirements for the

Master of degree in Construction Management Master of
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AN EXPLORATION OF THE IMPACT OF FIXED SHADING DEVICE GEOMETRY ON BUILDING ENERGY PERFORMANCE.

By

Alessandro Orsi

A THESIS

Submitted to Michigan State University in partial fulfillment of the requirements for the degree of

MASTER OF SCIENCE

Construction Management

2009

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ABSTRACT

AN EXPLORATION OF THE IMPACT OF FIXED SHADING DEVICE GEOMETRY ON BUILDING ENERGY PERFORMANCE.

By

Alessandro Orsi

Building systems account for 71% of energy used in buildings (USGBC). Researchers have explored solutions for reducing energy use in buildings. One way to minimize energy use is by reducing cooling loads through use of shading devices. This research explored the impact of fixed shading device geometry on energy. The research examined the role of shading device geometry including projection, width and height above window in reducing energy use. Researchers used Carrier HAP sofiware, applied to ^a case study project in Northern Italy to conduct energy analyses. Researchers developed a single space model studying 376 shading device geometries on four different window configurations. A total of ¹⁵⁰⁴ simulations were run in order to select an optimum for the case study. The optimum shading device was applied to a whole building analysis to determine impact on an entire building against a baseline case without shading devices. In order to help test the results researchers ran simulations in three additional locations including Spain, Italy and Germany. The study showed that fixed shading devices have a positive impact on improving building energy performance, particularly on reducing cooling loads. Negative impacts that shading devices may have on energy use in heating months can be more than offset by cooling season savings. Effectiveness of shading devices is closely related to window configuration and building thermal mass. Recommendations are made regarding use and geometry of shading devices.

LIST OF TABLES.

LIST OF FIGURES

CHAPTER 1

- **NTRODUCTION**
- Il Overview
- 12 Research rations
- 13 Research goals a :4 Scope of the rese
- 15 Limitations
- 16 Methodology ...
- i⁻Deliverables and
- 18 Chapter Summar

CHAPTER 2 LITERATURE RE

- 21 Introduction ...
- ²² Background on
- ²³ Shading & Scrt
	- 23.1 Engine
	- 23.2 Existin
		- $2.3.2$
		- $2.3.2$
- ²⁴ Existing Resear
- ²⁵ LEED^{*} backgr
- 26 Chapter Summ.

CHAPTER 3

- **METHODOLOGY**
- 31 Introduction
- ³² Shading device
- $\frac{13}{\text{Single-space}}$
- $\frac{14}{14}$ Case-study
- $\frac{35}{25}$ Whole-building ¹⁶ Cimate compa
	-
- In Impact on LEE
- $\frac{1}{2}$, $\frac{1}{2}$ $\frac{1}{2}$ 19 Chapter summa
	-

CHAPTER 4 Strip Len -42 Single-space fea

TABLE OF CONTENTS

CHAPTER 1 INTRODUCTION

CHAPTER 2

LITERATURE REVIEW

CHAPTER 3

 $\Delta \phi = 0.4$ and ϕ

METHODOLOGY

CHAPTER 4

43 Shading devic 44 Mechanical sy 45 Window featu 4.6 Single-space 4. Chapter summ

CHAPTER 5

- WHOLE-BUILT
- 5.1 Introduction.
- 52 Whole-build: 53 Whole-Burid
- 54 Whole-Burid
- 55 Whole-Build
- 5.6 Chapter sum:

CHAPTER 6

- CONCLUSION 6.1 Introduction 62 Single-Space 62.1 $6.2.2$
 $6.2.2$
 $6.2.2$ $6.3.1$ $6.3.$ 54 Developmen 65 General Cor
-
- 6.8 Chapter Sur

- APPENDECIE
Appendix $A J$ Appendix $B - I$
- Appendix $C S$ Appendix $D - I$
Appendix $E - V$
-
- $\begin{array}{l} \text{Localions} \\ \text{Appendix } F = 1 \end{array}$
- devices and exi

 $BIBLIOGRA$

CHAPTER ⁵

WHOLE-BUILDING ANALYSIS

CHAPTER ⁶

APPENDECIES

- 41 External façade
- 42 Construction in
- 43 External walls
- 44 Proportion ratio
- 45 Zone sizing dat
- 4.6 List of paramet
- 4.7 Snapshot of the
- 48 Snapshot of the
- 49 Summary table the 5-by-6 w_{1D}
- 4.10 Summary table the 10-by-6 w_1
- 4.11 Summary table the five 5 -by- $($
- 4.12Summary tabl the $31-by-6w$
- 51 Annual cost s
- 52 Annual energy
- 53 Annual cost s
- 5.4 Whole-build: component co
- 55 Performance energy consu

l,

- $\frac{56}{\log_{\text{hiral}}\text{ener}_{\mathcal{E}}}}$
 $\frac{\log_{\text{hiral}}\text{ener}_{\mathcal{E}}}{\log_{\text{hiral}}\text{InTr}}$
- $\frac{57}{\log_{10}}$ Annual energy

LIST OF TABLES

5.8 Annual energy

5.9 Annual energy

 $\sim 10^{-11}$

- 21 Façade details
- 22 Façade details
- 23 Isometric view
- 24 Vision Contro
- 25 Hunter Doug
- 2.6 Concord vert
- 27 Concord hor
- 28 Syglas photo
- 29 Okasolar she
- 2.10 Graber trans
- 2.11 Graphic rep:
- 242 Schematic r
- 213 Schematic r
- 24 General dec
- 31 Flow model
- 32 Plan view o
- 33 Plan v_{lex} o
- 4.1 Plant drawn
- $\frac{42}{3}$ Overhangs
- 43 Graphical r
- 44 Graphical_r
	- $^{ \text{obtained} }$ freq

LIST OF FIGURES

 $\sim 10^{-1}$

- 4.5 Graphical rej
	- projection sh
- 4.6 Overhang sh.
- 5.1 Snapshot of 1 whole-buildi
- 52 Schematic re energy simul
- 53 Image of the
- 5.4 Onginal draw plant of the b
- 5.5 Image of the
- 56 Image shown
- 57 Image show μ
- 58 Image show it Arco urban a
- 5.9 Map of Europ
- 510 Annual energ related to Arc

$-$ CHAPTER 1 $-$ **INTRODUCTION**

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1.1 Overview

As the world energy crisis becomes apparent it is increasingly important to consider energy use in buildings. According to the US Department of Energy, buildings account for 40% of total energy consumed in the US. 72% of total US. electrical consumption, 55% of natural gas and 8% of oil is consumed by or in buildings (Buildings Energy Data Book — 02/03/2008). Building systems including space heating, lighting, space cooling, water heating, building electronics and refrigeration account for 71% of building energy consumption. Nearly 63% of carbon dioxide emissions caused by building end use are attributable to space heating, lighting, cooling and water heating (Buildings Energy Data Book — 02/03/2008).

Because of the impact of buildings on energy consumption, a number of researchers have explored a variety of solutions for reducing energy consumption in buildings. Recently designers have placed emphasis on sustainability and specifically on the LEED® (Leadership in Energy and Environmental Design) standards developed by the US. Green Building Council (USGBC) which encourage energy reduction, as well as, indoor environmental quality for building occupants. LEED® has had a significant impact on changing the construction environment as evidenced by its rapid growth throughout the United States and world. Technical requirements of LEED® impacting this research are described in section 2.5. LEED[®] standards encourage the use of daylight within spaces to create ^a connection from inside to outside for building occupants (Refer to Appendix A for discussion of LEED)[®]. Expanding day-lighting is a two sided sword however, as

expanding unprotected glass areas can also allow for solar heat gain and increased cooling loads, as well as winter heat loss.

One way to minimize cooling loads from expanded areas of glass is through the use of shading to shield glass which is exposed to direct sunlight. Shading devices are rooted in architectural history and many traditional building archetypes have used shading as an environmental response to solar gain. Shading devices impact building energy and daylighting by reducing solar gain and cooling loads. There are a number of shading solutions including simple fixed shading devices, to more sophisticated solutions such as, between-glass, behind-blinds, high performance glass and moveable shades. This research thesis is focused on fixed shading devices and explores the impact or their geometry on total building energy.

Some previous research has addressed shading devices. A study by Olbina entitled "Decision-making Framework for the Selection and Design of Shading Devices" (Olbina — 2005) explored a number of shading device options. Other researchers have explored related issues, for example Tzempelikos developed "A Methodology for Integrated Daylight and Thermal Analysis of Buildings" (Tzempelikos — 2005) where the researcher identified parameters that influence daylight and thermal comfort. The Green building Journal has published a model for evaluating the performance of facades. Additionally proprietary literature and software for assessing energy performance and daylighting are available.

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This research was targeted at exploring the impact of fixed shading device geometry on building energy performance. The research examined the role of shading device geometry including projection, width and height above the window on energy performance from this traditional shading solution.

Previous research addressed in the literature examined the impact of a single shading device on energy and lighting performance using single-room analysis. However, literature review to date revealed no recent research using computer simulation for fixed projecting shading devices. Most prior research focused on energy performance resulting from the presence and/or absence of the shading device. Literature review uncovered some prior research using simulation of innovative shading devices such as betweenglass, behind-blinds, photovoltaic and movable systems. No research considering the impact of fixed-projecting shading device geometry on whole building performance was found. This research studied the effect of fixed shading device geometry on building energy performance through single space and whole-building simulation.

1.2 Research rationale

Despite the potential of fixed shading devices to impact energy use in buildings and their historic presence, the researcher was not able to find definitive research that analyzed the impact of shading device geometries on whole building performance using simulation methods.

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Olbina reported in her research that, "there are no specific guidelines for architects in the selection of a shading device for a specific building" (Olbina - 2005). This gap in research provided an opportunity for this thesis research to add to the body of knowledge surrounding shading devices by exploring the impact of their geometry and developing guidelines for optimum implementation of fixed shading device solutions.

The researcher chose fixed shading devices because, as concluded in The First Solar Energy Catalog for Michigan, "The most effective shading devices will be those that are inexpensive, easy to operate and maintain and those that block only minimal amounts of heating season radiation" (Fridgen et al. 1982). Fixed shading devices fit well with these parameters and this research helps to address them through simulation to asses their impact.

1.3 Research goals and objectives

The long range goal of this researcher is to reduce energy consumption in the built environment. This research was targeted toward partial fulfillment of this long range goal and has two primary objectives. The first was to identify the optimum shading device geometries that could lead to the best annual energy performance on a single-space energy model basis. The secondary objective was to explore the impact of fixed shading devices on the total annual energy consumption based on a whole-building energy simulation. The following primary activities were planned in fulfilling the objectives presented above.

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Exploration of the shading device concepts \bullet

The research began by first investigating existing literature addressing shading device technologies, implementation strategies and availability of products on the market. The main goal of the literature review was to identify information currently available which addressed both the theoretical and applied aspects of shading devices.

Choice of a single shading device system

From the literature, a fixed projecting shading device system was chosen for the following characteristics: simplicity of geometry; feasibility of direct performances calculation without the use of proprietary manufacturer's data; ease of configuration to a specific site and wide range of applicability.

Analysis of the shading device performance on the whole-building design

An hourly simulation program, HAP EII software developed by Carrier, was used to quantify the effects of shading device geometry on energy performance using whole building analysis. As a base step, shading device geometry was first modeled and analyzed using a single-space model in order to identify optimum geometries. Optimums identified from the single space analysis were then incorporated into a whole building analysis of a case study building. The objective of the whole building analysis was to determine their impact on a complete building.

A baseline case-study building, the ARCO School, Arco TN, Italy was used for the simulation. The building had already been designed and its detailed technical

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information was available. In order to help generalize and test the conclusions, the analysis was also conducted in several climate and latitudinal zones in southern Europe.

Impact of shading device geometry on LEED[®] energy performance compliance Because of the recent interest in LEED® throughout the construction industry the researcher was interested in considering the results in the context of how shading device geometry influences compliance with LEED® energy performance and daylighting criteria. Therefore, in addition to reporting general conclusions on impact of geometry and development of geometry guidelines, results were also reported relative to their impact on LEED® compliance.

1.4 Scope of the research

The research focuses on shading device geometry in the context of commercial buildings with fixed rectangular windows. Reporting was done on an annual basis considering both heating and cooling seasons and integrated data collected from both the singe-space and whole-building simulations.

In order to test the ability of the conclusions to be generalized, the researcher conducted a limited number of whole-building analysis using several climate zones in southern Europe.

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The research led performance and of the researcher $LEED[*] NC 2.2$ er 15 Limitations A primary limitate building condition onclusions. The study was lim devices, such as, m. effective. It was no $\epsilon_{\rm accre}^{\alpha}$ 1.6 Methodology $\frac{\text{Fol}_\text{G}}{\text{Fol}_\text{G}}$ a $\frac{\text{H} \cdot \text{Fol}_\text{G}}{\text{H} \cdot \text{Fol}_\text{G}}$ factors impacting th ex-study approach a_{ds used} for simulati

The research led to conclusions about the impact of shading device geometry on energy performance and developed conclusions and guidelines for sizing these devices. Because of the researchers interest in LEED®, results were reported in the context of impact on LEED® NC 2.2 credits EAl Optimize Energy Performance.

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1.5 Limitations

A primary limitation of the research was the use of ^a single case—study building. Other building conditions and configurations could have led to other possible results and conclusions.

The study was limited to fixed shading devices, it may have been possible that other devices, such as, movable or behind-glass systems could have been more effective or less effective. It was not the objective of the research determining which device is most effective.

1.6 Methodology

Following a literature review targeted toward identifying shading device systems and factors impacting their performance, a single fixed shading device was selected, and a case-study approach was used for energy simulation modeling. Carrier HAP EII software was used for simulation and related analysis.

Parameters consid window borders as and west) and, to a Various shading c determine optimur analysis. This pr predetermine optar Systems found to 1 the whole building increments up to a ends and 12 inch acre conducted to The case-study b shaling devices. devices, Resulting acre considered in

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Parameters considered for the analysis were: geometry (depth, extension beyond lateral window borders and distance from upper window border), facade orientation (south, east and west) and, to a lesser extent, the geographical location of the building.

Various shading device geometries were first tested using single-space simulations to determine optimum solutions which were later incorporated into the whole-building analysis. This preliminary step of developing the single-space analysis was to predetermine optimum geometries prior to data entry in the whole building analysis. Systems found to be most effective using the single space analysis were explored using the whole building analysis approach. Geometry was differentiated generally in 4 inch increments up to a total of 60 inch projection (depth), 12 inch extension from window ends and ¹² inch from the top of the window. A total of ³⁸⁵ single space simulations ' were conducted to draw conclusions about optimum shading device dimensions.

The case-study building was previously modeled with HAP software without fixed shading devices. This research recreated the whole-building model using fixed shading devices. Resulting energy and daylight performance of the original and modified building were considered in drawing conclusions.

9

1.7 Deliverables and benefits of the research

The research accomplished the following:

- 0 Development of performance reference lists showing the relationship between shading device geometry and energy performances (based on single-space analysis).
- Determination of optimum geometries.
- Development of conclusions regarding the impact of the optimum solutions on a complete building using whole-building analysis.

Upon completion of the analysis the researcher as a secondary effort was also able to consider and draw some conclusions on how fixed shading devices impact ability to obtain LEED Credits EAcI ..

1.8 Chapter Summary

This section provides an overview of the scope of the research, its objectives and overall approach. Limitations and potential benefits are also reported. Section Two describes background literature and Section Three provides discussion of the research methodology.

$-$ CHAPTER 2 $-$

LITERATURE REVIEW

2.1 Introduction. Section Two press subsections:2.1 In Shading and Scree Eusing Research The purpose of the shading device im projous work that Practical applicati phaples such as these principles a approaches, tests 22 Background This section addr relate to LEED' Amosphere" ch rietence standar The primary refer The need for say

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2.1 Introduction.

Section Two presents the literature review to date and is divided into the following subsections:2.l Introduction, 2.2 Background on energy performance in buildings, 2.3 Shading and Screening Devices in Buildings Related to Energy Simulation Methods, 2.4 Existing Research and Projects and 2.5 Background on LEED®.

The purpose of the literature review was to identify existing published work related to shading device impact on energy and daylighting building performance and to discover previous work that could be helpful to this research.

Practical applications of shading devices are based on theoretical and formally codified principles such as thermodynamic laws, energy codes and legislation. Some discussion of these principles and standards is included as reference for considering measurement approaches, tests and computer modeling situations.

2.2 Background on energy performance in buildings.

This section addresses some of the background literature on energy issues and how they relate to LEED[®] requirements. The complexity of the LEED[®] NC 2.2 "Energy and Atmosphere" chapter necessarily involves a large number of codes, protocols and reference standards that constitute the basis of building energy performance assessment. The primary reference standards are identified and briefly described below.

The need for saving energy in buildings is becoming increasingly important in building design. Project teams and architects are moving in this direction. Energy performance

requirements are in are important doc. buildings: ASHRAN Guide for Small O: Energy Policy Act (Protocol (IPMVP), Requirements. For majined energy sta Additionally, ASHI based on Standard dealed discussion beldings can be fo ASHRAE IESNA aquipment feature Hating Refriger asociation that in (avg) , $ASHR_{AI}$ to HVAC system This thesis resear E_{top} $L_{0w-R_{\text{loc}}}$ adess daylightin stall be conducted

requirements are increasingly being defined through standards and codes. The following are important documents that have significant influence on energy performance in buildings: ASHRAE/IESNA Standards 90.1 — 2004, ASHRAE Advanced Energy Design Guide for Small Office Buildings -2004 , Advanced Buildings Benchmark - Version 1.1, Energy Policy Act (EPA) — 1992, International Performance Measurement & Verification Protocol (IPMVP), Center for Resource Solution's Green-e Product Certification Requirements. For example the ASHRAE 90.1 2004 is referenced by LEED® as the required energy standard that must be followed in order to obtain LEED[®] certification. Additionally, ASHRAE 90.1 is one of the energy codes that HAP EII Carrier program is based on. Standards directly related to this research are briefly described below. More detailed discussion of other documents affecting and related to energy performance in buildings can be found in Appendix B.

ASHRAE/IESNA Standards 90.1 (2004) is important especially for mechanical equipment features. and minimum standard requirements. The American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE) is an important association that influences this field along with the American National Standard Institute (ANSI). ASHRAE publishes ^a well recognized series of standards and guidelines relating to HVAC systems and issues. These standards are often referenced in building codes. This thesis research was based on the ASHRAE 90.1 "Energy Standard for Buildings Except Low-Rise Residential Buildings". Although ASHRAE doesn't specifically address daylighting requirements it does have requirements for how computer simulation shall be conducted. ASHRAE 90.1 Chapter eleven "Energy Cost Budget Method" and

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appendix G "Perfor $01 - 03 - 20 - 2008$). Advanced Building explains how to de in high-performan mteria defining hi and controls. Its m poject teams gai: state and national (Advanced Build) helped the researc Energy Policy Ac rearch the ASI abreviated as EP U.S. dependence selvehicles, whi $^{+53}$ 20 2008). The \approx follows: \bullet Buildings. and and appendix G "Performance Rating Method" were used for this thesis research. (ASHRAE 01 - 03/20/2008).

Advanced Buildings Benchmark - Version 1.1.- is the nationally recognized source that explains how to deliver best-in-class energy efficiency and indoor environmental quality in high-performance commercial buildings. The Benchmark brings together over 30 criteria defining high performance in building envelopes, lighting, HVAC, power systems and controls. Its main use concerns building design and construction fields and helps the project teams gain access to quantitative and descriptive specifications for exceeding state and national minimum standards such as ASHRAE/IESNA Standard 90.1 — 2001 (Advanced Building Benchmark — 03/20/2008). Information contained in this document helped the researcher to optimize the choice of building features and shading devices.

Energy Policy Act -1992 is a key document related to the core reference standard of this research, the ASHRAE 90.1. The Energy Policy Act (109th Congress H.R.776.ENR, abbreviated as EPACT92) is a United States act. It was passed by Congress to reduce U.S. dependence on imported petroleum by requiring certain fleets to acquire alternative fuel vehicles, which are capable of operating on non-petroleum fuels (Energy Policy Act - 03/20/2008). The provisions developed for improving energy efficiency are summarized as follows:

• Buildings: requires states to establish minimum commercial building energy codes and to consider minimum residential codes based on current voluntary

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codes. This 2001, ASH! · Utilities. red utilities to u programs to improvemen · Equipment ! and air-cond • $Renenable$ ω mpetitive \bullet Alternative power compe International Perfort v^2 2003 – was used execute the whole hi the U.S. Department $\frac{1}{2}$ and $\frac{1}{2}$ **Rofis** Volume II

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codes. This gave impetus to the creation and modification of ASHRAE 90.1/1999, 2001, ASHRAE 90.2, the Model Energy Code etc.

- Utilities: requires states to consider new regulatory standards that would require utilities to undertake integrated resource planning; allow the energy efficiency programs to be at least as profitable as new supply options; and encourage improvements in supply system efficiency.
- Equipment Standards: establishes efficiency standards for: Commercial heating and air-conditioning equipment; electric motors; and lamps.
- Renewable Energy: establishes a program for providing federal support on a competitive basis for renewable energy technologies.
- Alternative Fuels, Electric Vehicles, Electricity: removes obstacles to wholesale power competition in the Public Utilities Holding Company Act (PUHCA).

International Performance Measurement & Verification Protocol (IPMVP) - Volume III of 2003 — was used to set the measurement parameters of the HAP software in order to execute the whole building analysis and define the research process. Originally funded by the U.S. Department of Energy, IPMVP consists of three volumes. Volume ^I defines terminology and establishes procedures for determining the savings resulting from retrofits. Volume II focuses on maintaining or improving indoor environmental quality during the implementation of energy-conservation measures. Volume [11 provides guidance on specific Measurement and Verification (M&V) issues, including applying M&V to renewable-energy systems and to new construction. Additionally, volume III lays out four compliance paths - Options A through D - for different situations assuming

a preexisting build it introduces ways system or building and D address who Center for Resou used by project to photovoltaic system protection program reail market. Gree gemhouse gas mit hogram defines a the to meet the following · Exploitation and relative \bullet Absence of \bullet Emission cr These criteria p State or P_{TOV_1} understanding ti $\frac{\alpha_{\rm ed}}{\alpha_{\rm th}}$

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a preexisting building or system against which performance can be measured. Moreover it introduces ways to establish baseline performance in the absence of a preexisting system or building. Inside the document, Options A and B focus on subsystems, while C and D address whole buildings (Architect International Association — 03/20/2008).

"Center for Resource Solution's Green-e Product Certification Requirements" can be used by project teams that decide to introduce alternative energy sources such as photovoltaic systems. Green-e is defined as the "nation's leading independent consumer protection program for the sale of renewable energy and greenhouse gas reductions in the retail market. Green-e offers certification and verification of renewable energy and greenhouse gas mitigation products" (Green-e $- 03/20/2008$). Inside this field the Green-e Program defines a certification and verification process for green electricity products that have to meet the following main requirements:

- 0 Exploitation of renewable resources like solar electric, wind, geothermal, biomass and relative source qualification.
- 0 Absence of nuclear power involved in the process.
- 0 Emission criteria for the non-renewable portion of energy supplied.

These criteria provide basic guidelines that can be slightly modified depending on the State or Province of application and, as highlighted for the EPA paragraph, understanding these standards was important in order to have a general view of all credentials related to the LEED® Energy and Atmosphere chapter (LEED NC v. 2.2).

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23 Shading & So Shading devices of special design stra from many points the current thesis. 23.1 Engineerin A variety of docu shading devices. disadvantages. An contexts surfaced f Mechanical and P reference manual 1 Engineering Societ and building perfor subsections which fessibility: \bullet Lighting F measuremen \bullet Light S_{out} features, lum

2.3 Shading & Screening Devices in Buildings.

Shading devices constitute the core of this research work. Architectural solutions and special design strategies for screening and shading devices have been previously studied from many points of view. This research drew from this previous work and applied it to the current thesis.

2.3.1 Engineering articles and technical publications.

A variety of documents were used as reference manuals for the practical aspects of shading devices. Additionally, the literature was used to identify possible benefits and disadvantages. An understanding of how shading devices are used in actual building contexts surfaced from these sources.

"Mechanical and Electrical Equipment for Buildings" (Stein & All $-$ 2006) is a design reference manual that, in section 111, reports the main applications of the Illuminating Engineering Society of North America (IESNA) research studies for architectural design and building performance optimization. The lighting chapter is divided into the following subsections which were considered for a preliminary evaluation of the research feasibility:

- . 0 Lighting Fundamentals: terminology, definitions, basic characteristics and measurements.
- 0' Light Sources (Daylight and Electric Light): operating characteristics, design features, luminous efficacy.

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- 0 Lighting Design Process: costs issues, power budgets, energy considerations, appropriate illumination provision.
- Daylight Design: passive design solutions, design and analysis.
- 0 Electric Lighting Design: fixture characteristics, calculation techniques, control strategies.
- 0 Electric Lighting Applications: building occupancy, exterior and special lightings.

The "Journal of Green Buildings" published an article in 2006 where the research team developed analysis of Advanced Integrated Facades (AIF) and Double Skin Facades (DSF) in order to validate their high efficiency and to establish performance criteria that could support the design of sustainable facades (Haase $\&$ Amato $-$ 2006). In order to achieve this objective, facade performance was characterized into three categories including energy, thermal and visual. The work was based on the simulation analysis of a typical office room, characterized by lhree different facade-design types. The baseline case consisted of a curtain wall, the second case by an external air curtain and the last one by an internal air curtain, all three cases are graphically represented in figure 2.1 below.

 $F_{\rm 1gt}$

Figure 2.1: Façade details of curtain wall (left), external air curtain (right).

(Haase M, Amato A. — 2006)

Figure 2.2: Façade details of internal air curtain.

(Haase M, Amato A. — 2006).

The thermal perfor \bullet Dry Bulb \bullet Mean Rad • Relative F \bullet Air Veloc · Metabolic \bullet Clothing I Daylight performan inother research art: \bullet Daylight F • Daylight C • Daylight \overline{A} The simulation $\mathop{\rm res}\nolimits_u$ (DSF) help to reduct

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The thermal performance was defined by the following parameters:

- 0 Dry Bulb Temperature
- ⁰ Mean Radiant Temperature
- 0 Relative Humidity
- Air Velocity
- 0 Metabolic Rate (of occupants)
- Clothing Level (of occupants)

Daylight performance was evaluated using the following parameters, (also implemented in other research articles and dissertations):

- Daylight Factor
- Daylight Coefficient
- o Daylight Autonomy

The simulation results showed that optimized window systems using double skin facades (DSF) help to reduce annual energy consumption and improves thermal comfort in the work space. Annual cooling saving for the application of DSF turned out to vary from 11% up to 20% against an average annual cooling energy loss of 5% in the case of normal Air Flow Window without any control strategy. Also daylight analysis results confirmed that implementation of double skin facades improves lighting performance and savings.

HAP EII software specific item. All q variation, which w Haase and Amato d $\ddot{}$ 232 Existing ar This subsection ide that could affect the of recent work was selutions concerning of this step an emp focused on the prim. and already identifi reported and briefly 23.1 Between-g! Seieral products are Chical Vision C at sealed between d. resistance to ultravity ^{tr space} between the \mathcal{F} wide and $I_{\text{OUV}crs}$

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HAP Ell software doesn't allow consideration of ^a double skin-facade as ^a separate and specific item. All effects of its implementation would have to be input as solar radiation variation, which would had to be calculated separately making the approach used by Haase and Amato unfeasible for this thesis research.

2.3.2 Existing architectural solutions using shading devices.

This subsection identifies available operable systems for shading and screening purposes that could affect the building environment and energy performance. Some investigation of recent work was completed; however, a study of all existing applicable architectural solutions concerning shading and screening devices was not feasible. To reduce the scope of this step an empirical approach based on the existing research work was used, and focused on the primary shading devices where quantitative research had been conducted, and already identified in the literature. Background on shading device solutions are reported and briefly summarized below.

2.3.2.1 Between-glass blinds.

Several products are available on the market under this topic .

0 Unicel Vision Control: (Vision Control - 04/08/2008). Hollow chambered louvers are sealed between double insulated glass. A primary seal is polyisobutylene that has high resistance to ultraviolet radiation, and the secondary seal was made of polysulfide. The air space between the two panels of glass was dehydrated by desiccant. The air space is 2" wide and louvers are ¹ 3/8" wide, made of extruded aluminum. Louvers can be

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 $Figure 2.3:156$

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installed either horizontally or vertically. If louvers are wider than 48", a vertical spacer is needed. Blades can rotate 180° and be operated as follows:

- Manually: by a hand crank or thumbwheel.
- Automatically: by the motor, which can be operated electrically by a programmable logic controller. The timer and sun-sensors can be incorporated in this system. Ily or vertically. If louvers are wider the 180° and be operated as follows:
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y the motor, which can be operation of the motor, which can be operation of the motor. The timer and sun-sense
vice syste

This particular shading device system is represented in figures 2.3 - 2.4 - 2.5 below.

Figure 2.3: Isometric View of horizontal blinds (Vision Control - 04/08/2008)

Figure 2.4: Vertical blinds, vertical section (Vision Control - 08/04/2008)

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manufacturer offers two types o

1 1. wide and 0.006. thick made

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glass panels 0 Hunter Douglas: this manufacturer offers two types of between-glass blinds: 5/8. wide and 0.008. thick and 1. wide and 0.006. thick made of aluminum. Blinds can be installed horizontally or vertically. Vertical blinds can be rotated 180°. They can be operated magnetically, using a permanent magnet to move the shading device from a closed position in one direction to a closed position in the opposite direction. This system does not require holes in glass panels (Hunter Douglas — 04/08/2008). Figure 2.6 below represents this type of shading device.

Figure.

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- blinds. Horizontal
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Gap 13/8"	$^{\circ}$ 1"	Size Maximums for Between-Glass Blinds Min. Air Slat Max. Blind Max. Blind Max. Width Width Height 96"	96"	Λ rca 40 sq feet

Figure 2.5: Hunter Douglas horizontal blinds standard dimensions. (Hunter Douglas — 04/08/2008).

⁰ Concord Shading Systems: it also offered either motorized horizontal or vertical blinds. Horizontal blinds can be 1" or 2" wide made of wood or aluminum. Vertical blinds are made of PVC or aluminum. The automatic operation of louvers is possible by using a comfort control system that monitors sun radiation intensity by using sunlightintensity sensor. The control system also moves the shading device depending on sun conditions (Olbina $-$ 2005). Figures 2.6 and 2.7 below shows shading device components.

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Figure 2.6

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 $F_{\text{Figure 2.7}}$

Figure 2.6: Concord vertical blinds device operating scheme, horizontal section (Concord - 04/08/2008).

Figure 2.7: Concord horizontal blinds device operating scheme, vertical section (Concord — 04/08/2008).

· Photovoltaic same time. The bi also available. T deposited on glass implementation a: alone systems and photovoltaic slats photovoltaic shad:

Figure 2.8: $S_{\frac{1}{2}}$

· Okasolar system forers protected the **Est** In winter, rad $\sum_{i=0}^{\infty} \inf \limits_{i=1} \inf \limits_{i=1} \mathsf{Sun} \; \mathsf{enc}_{\mathsf{T}_{i}^{(i)}}\left[\sum_{i=1}^{n} \mathsf{Cun} \; \mathsf{Cun} \$ $\mathbb{E}_{\mathbf{a}_i}$ float glass, \mathbf{b}_i .

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- Photovoltaic shading devices provide solar control and capture sun energy at the same time. The blinds are fixed between two panes of glass. Adjustable solar blinds are also available. The photovoltaic slats consisted of tandem amorphous silicon cells deposited on glass. Syglam, a German manufacturer, produced two systems, one for roof implementation and the other for vertical facades. A voltage of ²⁴ V was used for standalone systerns and ^a voltage of ⁶⁰ V for the grid-connected system. Nominal power of photovoltaic slats was about 40 $W/m²$. Figure 2.8 below provides an example of photovoltaic shading device application (Syglas — 04/08/2008).

Figure 2.8: Syglas photovoltaic shading device example (Syglas — 04/08/2008).

0 Okasolar systems use reflective louvers installed between insulating glass units. The louvers protected the interior from sun radiation in summer but provided diffused natural light. In winter, radiation was reflected by the louvers to the ceiling so that a large amount of sun energy and daylight could enter in the building. Okasolar units are made of clear float glass, but louvers with a concave and convex shape are made of a highly

reflective, lig it ga at a predeterr tine. louvers absor a outside glass and sun control α atin. primited transmis some light is a effect Eght transmis ion 28° . The lou ers reflected, on t e o: heat radiation $\left| s \right|$ $W = K (50.657)$ space, the U-v due sun radiation (3 re) reflected into the $\frac{1}{4}$ interior space. 1gu-

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reflective, light gauge steel strip with a high performance Trial coating. Louvers are fixed at a predetermined angle and spacing to respond to different seasonal conditions. Since louvers absorb a certain amount of sun radiation, increased thermal stress can occur. The outside glass pane therefore needs to be toughened or heat-strengthened, and it can have a sun control coating to reduce transmittance. The unique shape and position of the louvers permitted transmission. Reflection of light can also occur between adjacent louvers so some light is reflected to the outside and some will be transmitted into the interior. Direct light transmission varies from 3% to 58% and diffused light transmission from 13% to 28%. The louvers have a reflective surface coating so that most of the sun radiation is reflected, on the other hand absorption of sun radiation and its conversion to long wave heat radiation is minimized. The thermal insulation of Okasolar glass panels was $U = 2.7$ W/m² K (≈ 0.067 BTU/hr.ft².°F). By using a low-e coating and an argon filling in the air space, the U-value could decrease to 1.8 W/m² K (\approx 0.1 BTU/hr.ft².°F). In summer, all sun radiation is reflected. 1n transition seasons (fall and spring), radiation is partially reflected into the interior; and in winter, solar radiation is entirely reflected into the interior space. Figure 2.9 below shows Okasolar's operating system (Olbina — 2005).

Figure 2.9: Okasolar shading device operating system (Olbina — 2005).

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msparent side of the slats is in the vertice
d reducing glare and UV rays transmis
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transmi Transparent blinds, consist of 2" wide slats made of polycarbonate. They have an L -shape with one side completely transparent and the other side frosted or translucent. The three available blind positions are:
	- Tinted view: the transparent side of the slats is in the vertical and closed position, providing ^a view and reducing glare and UV rays transmission.
	- **Open:** the slats are in the semi-open position allowing a higher percentage of direct natural light transmission and providing a view.
	- Privacy: the slats were tilted in the opposite direction to the tinted view position; the fiosted part of the slat was in the vertical and closed position, providing privacy and obstructing a view to the outside.

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Figure 2.10 below shows a particular type of transparent blind, the Optix model, produced by Graber. Completely transparent blinds 1" or 2" wide are also available if privacy is not necessary and a view is desired. Transparent blinds can be installed horizontally and vertically. They reduce 30% to 50% of light and glare and eliminate almost 100% of the sun's ultraviolet rays. This shading device could be operated manually or automatically (Olbina — 2005). Figure 2.10 below shows a particular type of transparent blind, the Optix model, produced by Graber. Completely transparent blinds 1" or 2" wide are also available if privacy is not necessary and a view is desired. Transp

Figure 2.10: Graber transparent blind "Optix" model (Olbina — 2005).

23.2.2 Patent The patented she especially interes transparency of v an understanding physics in the des designers is to imp patented shading c to moveable devic Moveable shading Components of m vertical axis, depematable horizonta prisms are made o Because of $refract_1$ it is possible to h_{a} devices were studie prented movable signal Fised shading devis $\left\{ \begin{array}{ccc} \text{a} & \text{b} & \text{b} & \text{d} & \text{d} & \text{d} & \text{e} & \text{d} & \text{e} & \text{d} & \text{d} & \text{e} & \text{d} & \text{d} & \text{e} & \text{d} & \text{d} & \text{d} & \text{e} & \text{d} & \text{d} & \text{e} & \text{d} & \text{d} & \text{e} & \text{d} & \text{e}$ ^{methering} for sever

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2.3.2.2 Patented shading device systems.

The patented shading devices made of transparent materials, such as glass or plastics, are especially interesting for application since they provide a possibility for complete transparency of windows or glass facades. The patented systems were investigated to get an understanding of their performance and the application of the principles of optical physics in the design of blinds. This is important because on important objective for designers is to improve the daylight level in the space by using shading devices. Several patented shading device systems are explained in this section and they can be divided in to moveable devices (dynamic) and fixed devices (stationary).

Moveable shading devices.

Components of moveable shading devices usually rotate around either a horizontal or vertical axis, depending on the position of the slats. Venetian blinds assemblies with rotatable horizontal slats consist of an array of rectangular symmetric prisms. These prisms are made of dielectric transparent material and are arranged on a rotatable slat. Because of refraction, the slats are not transparent, that is, the view will be distorted, but it is possible to have a view between adjacent slats. Different types of patented shading devices were studied for the scope of the research. Additional information on the use of patented movable shading devices can be found in Appendix F.

Fixed shading devices.

Fixed shading devices can be used to provide protection from direct sun rays and overheating for several hours per day. They provide protection for several months for

seasonal overhea solar control. The and calculated to accordance with slat material. The relection. An exwho proposed the because two refledevice. The shad: total internal re: production capab: with a reflecting $\frac{\text{control for order}}{\text{error}}$ nofs or vertical e_{d} be changed by ad between adjacent stading element i : phase change of $\left.\left.\rule{0pt}{2.5cm}\right.^{\mathrm{sp}}\!\!\!\!\!\text{forimately}\ 1.0\right]$ (Olbina – 2005).

seasonal overheating protection, such as in the summer, or the whole year for complete solar control. The shape and position of the shading element must be carefully designed and calculated to meet these goals. The correct slat tilt angle must be chosen in accordance with the latitude and altitude angle, as well as the index of refraction of the slat material. The slope of the slat is designed to meet the requirements of total internal reflection. An example of use of fixed shading devices was given by Wirth et al. (1998) who proposed the design of a slat that consisted of concentric cylindrical shell segments because two reflections are not enough to achieve the desired efficiency of the shading device. The shading element with a cylindrical shell array provided multiple, successive, total internal reflections. The number of shell segments in the slat is limited by production capabilities. The remaining part of the slat could be left transparent or covered with a reflecting layer. This invention can be used seasonally or as an all-year solar control for orientations that provide a normal incidence angle, such as tilted, south-facing roofs or vertical east/west facing windows. Optical properties of the shading element can be changed by adding a complementary structure and by establishing optical contact between adjacent shells. A switching mechanism can be used to turn ^a mirror of ^a wide shading element into a transparent slab. One such mechanism is a thermally induced phase change of a substance from a liquid to a gas with an index of refraction approximately 1.0. This solution leads to thermally self-regulating overheating protection $(Olbina - 2005).$

Figure 2.11: Graphic representation of cylindrical shell array and transparent slab $(Olbina - 2005).$

2.4 Existing research and projects.

Part of the literature review was based on existing research related to shading devices. The following were helpful in understanding the current status ofresearch identifying gaps and what additional work still needs to be done.

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Internal Projects and Projects.

I "A methodology for integrated daylight and thermal analysis of buildings" — Athanassios Tzempelikos - Ph. D. Thesis 2005 — Concordia University — Montreal. Tzempelikos analyzed the issues of lighting and thermal features in buildings caused by daylight effect. During his work he defined criteria to select, evaluate and calculate the consequence of different sources, facade features and internal building conditions. Some methods he identified and used were specific and, in some cases, their applicability to general building conditions in not predictable. For instance, some of the parameters he considered were so detailed that they could not be included in a simulation program. However, some general methods that Tzempelikos used were applicable to this thesis research.

Parameters ident relevant to the re linking parameter space. These part role and important Window Propertie lighting controls selection for $a \, g$ schematic organiz. $\frac{1}{\sqrt{2}}$ $\frac{1}{2} \frac{1}{\sqrt{2}}$ DAYLIG. Figure 2.12: S Anther concept use ztegories, continue ad not be modified

Parameters identified by Tzempelikos influencing daylight and thermal comfort were as relevant to the research. Tzempelikos identified that a key was to determine a set of linking parameters that had an impact on both daylight and thermal performance of the space. These parameters were classified as primary and secondary, depending on their role and importance inside the user's process. The primary items were: Window Size -Window Properties — Shading Device and Properties — Shading Device Control. Electric lighting controls were considered then, as a consequence of the primary parameters selection for a given set of luminance and/or heat situations. Figure 2.12 shows the schematic organization of these concepts, as applied in Tzempelikos's research. neters identified by Tzempelikos influencing daylight and thermal comfort was to the research. Tzempelikos identified that a key was to determine a g parameters that had an impact on both daylight and thermal performance. given set of
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ence of the primary productions. Figure 2.12
Tzempelikos's research.
SECONDARY LINK
LIGHTING CONTROL

Figure 2.12: Schematic representation of primary and secondary links relations (Tzempelikos — 2005).

Another concept useful for this thesis was the distinction of the linking parameters in two categories, continuous and discreet. The first items were characterized by properties that could not be modified over time, and those that could be modified any time. An example

for both of these not be changed, a Another idea that Three-section fa lighting and therm important as well Izempelikos repo than 5° \circ - 10° \circ $\frac{1}{5}$ 0m ce workers" (S buildings $v = 200.5$ oncept of façade d facade to be divid should satisfy then part was then sepla middle section that fon direct sunlig allowed only the tr of the window, cou $\mathbb{E}_{\text{Maximize}} \mathbf{d} \mathbf{a}_{\text{MIG}}$ for both of these elements is a window, whose dimensions, position and orientation can not be changed, and a shading device that can be moved.

Another idea that surfaced from Tzempelikos's considerations was the concept of the "three-section facade". The implementation of shading elements directly influences lighting and thermal performance, but can also have secondary effects. One of the most important as well as problematic effects is the presence of glare inside the building. As Tzempelikos reported, "recent studies have shown that for transmittance values higher than 5% - 10%, part of direct sunlight could penetrate and create glare problems for office workers" (Source: "A methodology for integrated daylight and thermal analysis of buildings" $-2005 - pg$. 91). Therefore it was convenient to take into account a new concept of facade design, developed by Concordia University in 2003, that considered the facade to be divided in three parts (for each floor). The bottom part was opaque and should satisfy thermal insulation requirements for every considered location. The upper part was then separated in a top section, which represented the non-viewing part, and a middle section that allowed direct view to the outside and should protect the occupants from direct sunlight glares. The shading properties of the middle part should have allowed only the transmission of diffuse light into the room. On the contrary, the top part of the window, could allow beam daylight since it would not create glare problems while it maximize daylight availability.

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This concept is g . apect of Tzempe! the concept w_{as} $\prod_{i=1}^{n}$ $\left\langle \text{Chapter. EQ Cred:} \right\rangle$ acupants between useful for some a Therefore, researcj x' ution, always d $\frac{1}{2}\sum_{i=1}^{n}$ Operable rates but also for d . $\frac{d_{\mathfrak{S}}_{\mathfrak{S}}}{d_{\mathfrak{S}}_{\mathfrak{S}}}\text{ of a }b_{\mathrm{u}_I|_{\mathrm{dir},}}$

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Figure 2.13: Schematic representation of the three-section facade (Tzempelikos — 2005).

This concept is graphically explained in figure 2.13 above and represents an important aspect of Tzempelikos's work. However, for this present research some modifications of the concept was necessary in considering LEED® "Indoor and Environmental Quality" chapter, EQ Credit 8.2 "90% view of spaces", requires ^a direct line of sight for building occupants between 2'6" and 7'6" for external views. An opaque surface, even if very useful for some aspects, wouldn't allow the designer to achieve that LEED[®] point. Therefore, researchers considered the possibility to introduce another type of facade solution, always divided in three bands but with the two upper parts fixed on a sliding system. Operable windows could have been considered not just for natural ventilation rates but also for daylight level regulations, always directly controlled by occupants. The design of a building, especially for elements that affect indoor spaces, is a process in which the project team should always leave some allowance because basic conditions such as weather, occupant perception and disposition of interior elements can not always

be the same. Als that could be dird to their needs. "Decision-Makir Svetlana Olbina University = V_{H} research focused developed a speperformance only shading devices, with it, she devel. devices, patented in nature and report Each shading devion the market. The luninance, thermal As Olbina reported developed that could was the development ad building cond_{if} nan framework sui be the same. Also for control glare, it's important to insert, in the design, some elements that could be directly and easily adjusted by users in order to adapt the envelope features to their needs.

"Decision-Making Framework for the Selection and Design of Shading Devices" - Svetlana Olbina — Ph. D. Thesis 2005 — Virginia Polytechnic Institute and State University $-$ Virginia. After developing a general decision-making framework, this research focused on analysis of daylighting performance of shading devices and developed a specific decision-making model for selection, based on their daylight performance only. In her dissertation, Olbina first analyzed existing standards related to shading devices, windows and luminance features. Beside this topic and in relationship with it, she developed a list of all main shading devices respectively divided in existing devices, patented and a new type, developed by herself. The work was mostly qualitative in nature and reported the device features with limited technical and numeric information. Each shading device was matched with a real manufacturer and with an existing model on the market. The list included information for each device including drawings, luminance, thermal effects and applicability in LEED® projects.

As Olbina reported in the conclusion, her work left open research issues not completely developed that could constitute a core element for other research projects. One of them was the development of specific decision-making frameworks for all the of performance and building conditions not considered. The examples reported were those listed in the main fiamework such as thermal, acoustic, cost, control system, but there were many

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other aspects that could have been improved, not necessarily in relationship with these ones. Between Olbina's limitations there was the issue of considering just a single-space analysis and not a whole-building environment where choices of shading devices could be influenced by other .factors. The model shown below in figure 2.22 represents the first attempt of Olbina to complete a decision-making model. The research was based on four main concepts, that represent key variables in the decision-making process. These can be summarized as:

- $Independent Variables$ (weather conditions, location, site, ...)
	- Dependent Variables (heat transfer, HVAC equipment, façade type, ...)
	- Shading Device Variables
	- Performance Parameters (thermal, acoustic, aesthetic, ...)

As the author herself said: "the specific decision-making model developed by this research is designed as a part of a more complex decision-making model for the section/design of the shading device" (Olbina — 2005). Although the model focused only on the daylight performance of the shading device, variables used in this decision-making model can also be implemented in different situations. Olbina's research and statements were used for reference during the current research. Her identification of understanding dependent and independent shading device variables was helpful for this thesis research. Levels of energy and daylighting performance in buildings could be measured in different ways and HAP software provided different performance values. Figure 2.14 below reports the specific decision-making framework developed by Olbina.

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Figure 2.14: General decision-making framework. (Olbina $- 2005$).

One of the points was the identification of system properties and that help determining the best solution. Different shading devices had different lighting and thermal effects on the internal environment; the use of a specific one instead of another can affect the building performance.

Within the scope of the literature review researchers also addressed the study of other existing research. However, not all findings could be implemented during the experimental sections because of software limitations. One example is the use of light pipes for whole-building analysis in order to transmit natural daylight into buildings with deep plans and increase energy savings related to electrical consumption. Additional information can be found in Appendix F.

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2.5 LEED® Background.

The research also addresses the impact of fixed shading device geometry on achievement of certain LEED[®] requirements. Therefore, the researcher reviewed literature on LEED[®] which were related to use of shading devices. The researcher was interested in identifying which solutions could be considered in order to meet LEED[®] Credits EA1 "Optimize Energy Performance" on a whole-building design scale. Some of the notions related to this interest are reported below and address aspects of LEED® buildings that could be implemented in order to optimize building energy performance.

The intent of LEED® EA Credit ¹ ("Optimize Energy Performance") is to achieve increasing levels of energy performance above the baseline case of the prerequisite standard to reduce environmental and economic impacts associated with excessive energy use. Three different paths could be chosen in order to comply with that requisite.

- Whole building energy simulation: that could demonstrate a percentage improvement in the proposed building performance rating compared to the baseline building performance rating per ASHRAE/IESNA Standard 90.1-2004 by a whole building project simulation. All calculations have to be based on the energy costs savings percentage (dissimilar for New Buildings and Existing Building Renovations) and depending on the achieved results, will be assigned at least ¹ point, at most 10.
- Prescriptive compliance path (4 points): was developed for office buildings under 20.000 square feet and are projected to meet all applicable requisites as established in the Advanced Energy Design Guide for the climate zone in which the building is located.

39

 \bullet Prescriptive compliance path (1 point): requires compliance with the basic criteria and prescriptive measures of the Advanced Building Benchmark Version 1.1 design according to the climate zone where the building is located.

Implementation of on—site renewable energy sources is also considered in BA Credit ² ("On-Site Renewable Energy") as an applicable solution with the intent of encouraging and recognizing increasing levels of on-site renewable energy and to reduce environmental and economic impacts associated with fossil fuel energy use. The main instruction leads to an on-site use of renewable energy system to offset building energy costs. The number of points are assigned according to the percentage of the building annual energy cost supported by on-site renewable energy (from ¹ to 3 points). These rates can be included in the energy modeling used in BA Credit ¹ or by the Department of Energy (DOE) Commercial Buildings Energy Consumption Survey (CBECS) database (LEED NC v. 2.2).

Another feasible way to improve renewable energy supply is presented in BA Credit 6 ("Green Power") which has the intent of encouraging the development and use of gridsource, renewable energy technologies on a net zero pollution basis. That target can be reached by providing at least 35% of the building's electricity from renewable sources by engaging in at least a two-year renewable energy contract subsequent to a determination of the baseline electricity use calculated as in the EA Credit ¹ or according to the Department of Energy (DOE) Commercial Buildings Energy Consumption Survey (CBECS) database.

Other research buildings were Buildings publis the Energy-Rela Brown - 200°). due to $LEED^*$ H probabilistic mod buildings in relat consisting of stocertain range of $\sqrt{ }$ building element energy use [EUI] KWh of based $p!\int$ of existing building $1.2.6$ In addition, model EU values cor Energy $Star$ \bullet Other data cos subtracting a p. v_{alues} \cdot $\left[\text{C}_{\text{on}_\text{Sider}_{\text{At}_\text{ion}}} \right]$ $\frac{100 \text{ g}}{100 \text{ g}}$ due to se

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Other research analyzing LEED® requirements and building energy performance in buildings were previously conducted by several research teams. The Journal of Green Buildings published in fall 2007 published an article entitled "Analysis of Variation in the Energy-Related Environmental Impact of LEED® Certified Buildings" (Wedding & Brown -2007). The related research analyzed the variability of environmental impacts due to LEED® building energy use. The whole work was based on implementation of probabilistic models that measure the energy-related environmental impact of $LEED^{\mathcal{R}}$ buildings in relationship with the number of credits achieved. "Monte Carlo" methods consisting of stochastic analysis were used where each variable could be input with a certain range of values. Various models have been developed to consider several LEED® building elements, such as, building category (office, residential, ...), average intensity of energy use [EUI], percentage of electric-energy used (of the total energy consumption), KWh of based plug loads, BTU of base plug loads, energy efficiency compared with EUI of existing buildings, LEED® certification level, frequency of achievement for EA Credit 1; 2; 6.

In addition, models are based on the following assumptions.

- 0 EUI values considered as a starting point for the analysis and calculations
- 0 "Energy Star" values implemented as reference for the percentage of electricity used
- ⁰ Other data coming from the CBECS had to be adapted to ASHRAE standards by subtracting a percentage between 2,4 and 14,8 to the average electricity consumption values
- Consideration of the "Green-e" purchase with 50 % of impact reduction instead of ¹⁰⁰ % due to secondary effects not considered (Green-e — 03/20/2008)

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Finally the results were rendered as impact reduction values in function of the impact features, building type and certification level achieved (Wedding & Brown — 2007).

2.6 Chapter summary

This second chapter summarizes the main literature which helped to form the basis of the present research. The literature review was intended as a tool to investigate, as much as possible, existing research, articles and documentation related to shading devices. Some of the information was for the development of the research methodology. Literature review had two main scopes:

- 0 Avoid useless repetition of existing research works
- 0 Identify eventual gaps of knowledge on which the present research could be focused.

$-$ CHAPTER 3 $-$

RESEARCH METHODOLOGY

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3.1 Introduction.

This Section provides an overview of the methodology proposed for the research and is divided into the following subsections: 3.1 Introduction, 3.2 Shading device features, 3.3 Single-space simulation analysis, 3.4 Case-study, 3.5 Whole-building simulation, 3.6 Climate comparison, 3.7 Impact on LEED. 3.8 Development of guidelines and recommendations and 3.9 Chapter summary. Figure 3.1 below shows a graphic overview of the research methodology.

Figure 3.1: Flow model summarizing methodology and research process.

3.2 Shading device features.

The research focused on evaluation of fixed shading devices because of their simplicity and traditional use. Specific advantages of fixed shading device were perceived by the researcher to be as follows:

• The simplicity of its geometry could allow for direct calculation of performance without depending on manufacturer's data.

0 Geometry could be precisely and incrementally adjusted which allowed for a determination of their impact.

0 Its ease of implementation and wide range of applicability could support its use and application to various building types.

0 Being a simple and fixed device, the results of performance analysis could be adaptable to other latitudinal and climate conditions.

Analysis examined shading device geometries in order to determine their impact on energy performance. Specific features and their parameters addressed by this research are indicated below:

- 0 Shading device geometric features (shape, inclination, dimensions). Dimensions (depth, width, extension beyond window lateral borders). Shape (dimension variation by 4 inch increment). Horizontal inclination.
- 0 Device location on the building facade in respect to windows position. Distance from the window rim.

Facade orientation.

Location on the facade.

3.3 Single-space simulation analysis.

This research studied the effect of fixed shading device geometry on building energy use and day-lighting using the Hourly Analysis Program (HAP E-ZO II v. 4.34) developed by Carrier. This software was selected because it was one of the few software tools which met the software requirements of ASHRAE 90.1 and LEED® NC. ASHRAE 90.1 places a number of specific conditions on simulation software and they are laid out in detail in ASHRAE 90.1. Chapter 11 "Energy Cost Budget Method" and Appendix G. LEED[®] mandates that energy performance be evaluated in conjunction with ASHRAE 90.1 Chapter 11 and Appendix G.

The software can be used for simulation analysis, either on an hourly, monthly or annual basis. HAP E-20 II v 4.34 can be used to analyze projected energy use of single spaces or multi-space buildings. The software allows for detailed building characteristics to be incorporated, and each calendar date is related to a certain consumption level, which depends on estimated occupancy. Space models consider wall features, window area and glass characteristics. The software also incorporates building occupancy, HVAC systems, including heating, cooling and ventilation, as well as, lighting, sources of energy, occupant schedules and climate.

As cited in the literature review in section Two, virtual simulation processes have been previously used by other researchers for various types of shading devices, but not for

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- model as a basis
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- single-space mode
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- device was consided

fixed projecting elements on a whole-building—model scale, which was targeted by this current research. Prior to the whole building analysis, shading device geometries were first tested using single-space simulation in order to determine optimum solutions which were incorporated later into the whole-building analysis. The choice of a single-space model as a basis for preliminary simulations provided for quick assessment of a number of variables and allowed the researcher to narrow the range of solutions and data entry necessary with the whole building simulation. Data entry in the whole building simulation was cumbersome requiring each space to be modeled individually and assembled as part of the whole. This prior single space simulation approach reduced data entry considerably. The single-space analysis followed the steps reported below.

Creation of shading device simulation models: various shading device geometries were tested on the single-space simulations to determine optimum solutions which were incorporated later into the whole-building analysis. Geometry shapes were differentiated by 4 inch increments up to a total value of 60 inch in depth, 16 inch in projection from lateral borders and ¹⁶ inch in distance from the top of the window. A total number of ³⁷⁵ models were created and set up, in order to determine optimum shading device geometry.

Creation of the single-space simulation model: a single-space model was created on the basis of a case-study building that was also used for the whole-building analysis. ' HAP software required ^a different space for each shading device which had to be linked to a specific heating and cooling system in order to perform the analysis and so 376 single-space models were created and set up. The 376 simulations represent the sum of the 375 cases created for each shading device plus the "zero" case, for which no shading device was considered.

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• Result rep

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heat cooling s

through curves

device geometry

Figure 3.2 rep ϕ

which was used

Creation of simulation window model: a single-window model was created on the \bullet basis of the case-study building features.

Result reports and identify optimum solutions: the single-space analysis was run considering the 376 shading devices respectively linked to 376 single-spaces and 376 heat/cooling systems. Results were reported in Microsoft Excel[®] sheets and represented through curves and diagrams. Graphs were used to illustrate the influence of shading device geometry on energy performance as predicted by the single-space model analysis. Figure 3.2 reported below shows a plan view of the space and window configuration which was used as a basis for the single-space analysis.

Figure 3.2: Plan view of the single-space design used for the single-space analysis. Source: "KREG Engineering - ATA Group"

3.4 Case-stud A case-study a software was u was previously recreated the w obtained from th the baseline and In this case the building analysis performance. NOTE: an $actu_{\alpha}$ the impact of s ? $\frac{d_{\text{idn}}}{dt}$ t use a com portions of the H $\frac{100}{6}$ and $\frac{1}{6}$ ^{opted} to extend nodeling of the representative of slightly modified e^{a} occupied sp_{acc}

3.4 Case-study.

A case-study approach was chosen for energy simulation modeling. Carrier HAP EII software was used for simulation and related analysis. The case-study building selected was previously modeled with HAP software without fixed shading device. This research recreated the whole-building model using the optimum fixed shading device solutions obtained from the single-space simulation. Resulting energy and daylight performance of the baseline and modified buildings were compared.

In this case the results of such shading device performance were applied to a whole building analysis to determine the impact on whole-building energy use and daylighting performance.

NOTE: an actual building was selected as the case study building in order to investigate the impact of shading devices in a real-world setting. However, the original building didn't use a complete cooling system and air-conditioning was designed only for limited portions of the building used for administrative offices. Because, many buildings are cooled and because shading devices significantly impact cooling loads, the researcher opted to extend the air conditioning systems to all spaces. This modification to the modeling of the actual building conditions was felt by the research to be more representative of most typical new buildings. Therefore, the simulation model was slightly modified from the original one by introducing an air-conditioning system serving all occupied spaces.

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3.5 Whole-bui

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- $Results$ report conclusions draw
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3.5 Whole-building analysis.

Upon completion of the single space analyses and determination of optimum shading device geometries the researcher incorporated these optimum solutions into the whole building analyses. The structure and parameters of the whole building analysis are.

Create baseline building simulation model. The virtual model was created on the basis of the case-study project and considered building design (shape, footprint area, .volume and interior spaces organization), materials, occupancy rates and schedules. Implement the optimum shading device models. The single-space simulation showed which specific shading device geometries had the most impact and should be considered for the whole building analysis. Optimum geometries were incorporated in the wholebuilding model during this part of the research. The simulation placed shading devices on each window which were also be to orientation and location on the facade. The analysis showed the effect of these solutions based on the whole-building analysis. Figures 3.3 below shows the second floor plan design of the case-study building.

Results report and final considerations. The results were discussed, summarized and conclusions drawn in the body of the report. Results were also reported using curve diagrams to illustrate the impact of shading device geometry and are listed below:

— Impacts of shading device geometry on single-space energy performance with identification of optimum geometries.

Impact of shading device geometry features on whole-building energy performance, highlighting variance between the baseline and design buildings on the case-study features.

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Impact of varying latitudinal and climate zone. A limited number of analyses were proposed in order to test the validity of the results for other climates in southern Europe.

Figure 3.3: Plan view of the second floor of the case-study building.

Source: "KREG Engineering - ATA Group"

3.6 Climate comp The original case s in Italy. The resea geometry were val analyses were con geographic specifi the building has be the research only those available in whole-building sir model embodying aralysis. The ma effects of geograp performance. At t exists and, if it \mathbf{d} the researcher to a $3.7 \ln_{\text{pact on L}}$ S_{hading} device $\frac{1}{2}$ which in turn in represents a curr $\frac{d_{\text{in}}}{d_{\text{in}}}}$ and $\frac{d_{\text{in}}}{d_{\text{in}}}$ shading device

3.6 Climate comparison.

The original case study building used as a basis for this study is located in a northern city in Italy. The researcher was interested in testing to see if the conclusions about optimum geometry were valid for other climate and latitudinal zones, therefore a limited number of analyses were conducted using other building locations. HAP software has extensive geographic specific climate data and it is relatively easy to change building location once the building has been modeled. However, given that this issue didn't represent the core of the research only a few analyses were conducted. Several locations were selected from those available in the HAP software and primarily addressed southern European cities. A whole-building simulation was run for each location, based on a standard whole-building model embodying the optimum shading device features shown in the whole-building analysis. The main objective of this subpart of the research was to explore possible effects of geographical location on how shading geometries impact on energy building performance. At the start of the research, researchers could not state if such dependency exists and, if it did, how it could affect the final results. The comparisons were used by the researcher to estimate eventual limitations of this research.

3.7 Impact on LEED®.

Shading device geometry impacts building energy and daylighting performance, both of which in turn impact the level of LEED[®] credits a building may achieve. LEED[®] represents a current practical set of industry standards and encourages whole building thinking and analysis. Therefore, the researcher was interested in considering how , shading device geometry would influence the ability to achieve LEED $^{\circledast}$ credits. The

work was based o the use of optimu credit EA 1. The models gave the pursuing LEED^{*}. belp designers in th 3.8 Develop guide At the completion Values, diagrams an designers and profes the use of appropria which addressed a sp The first guideline rep ^{on single-space} energ the choice of the best space energy performa The second guideline I energy performance, in $\left\{ \frac{d}{dt} \right\}$ as climate zone \sqrt{a} The third guideline pres esse performance improv

work was based on the comparison between the potential improvements obtained through the use of optimum shading device solutions and the performance required by LEED[®] credit EA 1. The values obtained from the analysis of the different whole-building models gave the researcher an idea of how helpful such improvements could be in pursuing LEED®. The research first tried to determine if the use of shading devices could help designers in the achievement of LEED[®] requirements and, if yes, which ones.

3.8 Develop guidelines and recommendations.

At the completion of the analyses, the research reported the results and conclusions. Values, diagrams and concepts were translated into guidelines which could be useful to designers and professionals who want to improve building energy performance through the use of appropriate shading devices. Guidelines were divided into several sections, which addressed a specific solution.

The first guideline reported the results of the impact of shading device geometry features on single-space energy performance. This section was followed by a short report about the choice of the best geometries to optimize the impact of shading devices on singlespace energy performance.

The second guideline reported the impact of shading device geometry on whole-building energy performance, including differences between the baseline and design buildings as well as climate zone variation.

The third guideline presented considerations raised fiom the comparison between designcase performance improvement and achievement of LEED requirements.

3.9 Chapter summa

This chapter presen:

objectives laid out in.

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the way the results are

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3.9 Chapter summary.

This chapter presented the methods used by the researcher in order to address the objectives laid out in Section One of the proposal. Each section described the operations used to complete each part of the research, Descriptions included both the methods and the way the results are reported and illustrated.

$-$ CHAPTER 4 $-$

SINGLE-SPACE ANALYSIS

4.1 Introduction An important thes on energy consum the researcher stud determine optimum the single space a reported in Section As indicated earlier and because of its c model each of the s Therefore, all calcul. This allowed the re researcher selected a its exterior wall. Pro and summarized belo 42 Single-Space Fer Sample space selectic The researcher selecte **Nas selected.** It is a reg the second floor above

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Proximately 697 sqt

4.1 Introduction

An important thesis objective was to study the impact of fixed shading device geometry on energy consumption on a whole-building model basis. However, as a preliminary step the researcher studied the effects of Shading device geometry on a Single-Space model to determine optimum geometries for use in a whole-building analysis. This section reports the single space analysis approach and its results. The whole building analysis is reported in Section 5.

As indicated earlier the Arco School Project in northern Italy was used as a case study, and because of its complexity, number of spaces and functions it proved too difficult to model each of the shading device configurations directly using whole building analysis. Therefore, all calculation were developed on a smaller scale using a representative space. This allowed the researcher to enter and manage data in an efficient manor. The researcher selected a representative classroom to model the fixed Shading devices along its exterior wall. Procedures and methods used for the single space analysis are reported and summarized below.

4.2 Single-Space Features.

Sample space selection.

The researcher selected a typical classroom representative of most spaces. Room 4-04 was selected. It is a regular classroom, designed for 26 students. The space is located on the second floor above an unconditioned storage space. It has a rectangular plan and is approximately 697 square fee in area. The room has one exterior wall and other three

interior walls. The 1 length of 28.8 ft., a feet. All data relate orientation, are the s 404 can be seen belo The main reasons w follows: • The Arco scl as this one. square foota; • The classroo impact whole

interior walls. The facade is south oriented with a gross wall area of 369 square feet, a length of 28.8 ft., a height of 11.5 ft. and has window area of approximately 248 square feet. All data related to this sample-space are indicated below and, except for the orientation, are the same for all the full-time occupied classrooms of the building. Room 4-04 can be seen below in figure 4.1.

The main reasons why this classroom was chosen as reference are summarized below as follows:

- The Arco school project building is mainly formed of identical classrooms, such \bullet as this one. Other spaces (for example labs and music room) also had similar square footages and occupancy rates.
- The classrooms are regularly occupied and therefore their energy and lighting use impact whole building energy consumption heavily.

Figure 4.1: plant drawing representing the sample-space room 4-04. *Adapted from:* Arco School Project – "Progetto esecutivo" – Studio AVI Associates.

Creation of the single-space virtual model

The characteristics of the representative classroom were entered into the HAP program for simulation. The HAP program doesn't support importation of drawing files, so each space parameter must be described and entered individually, which is time consuming. .However, after initial data was entered it was it is relatively easy to incorporate changes such as facade orientation and weather conditions. Listed below are the main space and construction data for the representative single space model entered into the HAP program:

General Details:

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OA Ventilation Requirements:

Internals:

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Overhead Lighting:

People:

Facade:

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Table 4

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Green Roof:

<u>s e</u>

 Latent Heat 205.0 BTU/hr/person

Facade:

Table 4.1: external façade features required by the HAP program.

Green Roof:

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Wall Details:

Layers

Inside surface re

10-in High Weig

Concrete

R-30 batt insulat

Air space

Tin LW concrete

Outside surface

resistance

 \overline{Totals}

 $Table 4.3$, ex_l

Thickness	0.376	in
Specific Heat	0.35	BTU/lb/F
R-Value (Thermal R.)	0.33	hr-ft2-F/BTU
Weight	2.2	lb/ft 2

Table 4.2: construction information and green-roof parameters.

Wall Details:

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Table 4.3: external walls construction details required by the HAP program.

space analysis approc

-

Floors:

4.3 Shading Device Features.

In order to determine optimum shading device geometries, the researcher modeled a number of fixed shading devices with varying projection from the building facade, height above the window and length beyond the window edge. Data for each geometry set was entered and assigned to the single space model described above. Dimensions of each shading device were changed progressively and performance variations caused by such adjustments were calculated and collected with a sample-space analysis of the energy consumption.

After completion of all single space models, energy performance of each variation was compared to determine optimum solutions, which reduced overall annual energy consumption. Because data entry would have been overwhelming to do as many variations in the whole building analysis, the researcher selected this preliminary single space analysis approach in order to more efficiently identify optimum geometries.

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Shading device

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The shading get

shading device a

- · Projection
- \bullet Height Ab
- Extension
- · Reveal dep

 F_{lgu}

Shading device virtual models and spaces setup.

The shading geometry parameters that were incorporated into the study for the fixed shading device are indicated below and in Figure 4.2. The models and spaces setup.

Herby parameters that were incorporated into the indicated below and in Figure 4.2.

From Surface

ve Window

Mast Right and Left-Hand Side of Window

And the wall

- 0 Projection From Surface
- ⁰ Height Above Window
- ⁰ Extension Past Right and Lefi-Hand Side of Window
- Reveal depth of the wall

Figure 4.2: overhang shading device geometry parameters.

Ţ, \mathbb{P} ģ, S_{i} İ. ϕ $\mathbf{\tilde{x}}$ \mathbf{e} \mathfrak{p} $\tilde{\mathbf{y}}$ μ $\frac{1}{2}$ h. \mathbf{d} 5_l \mathfrak{g} \tilde{V}_2 \ddot{x} $\mathfrak{c}^{\mathbf{F}}_{\mathfrak{c},\mathfrak{c}}$ \mathfrak{h} ζ_0 The reveal depth was held constant for all simulations, however, the other three parameters were incrementally changed and their energy impact recorded and is described below.

Shading devices projection was increased incrementally from 0 to 60 inches by 4 inches increments. In all, 15 projection lengths $(4, 8, 12, 16 \ldots)$ were considered and for each of them the other two parameters of extension past the window and height above the window were set. These features were also increased in 4 inches increments up to a total length of 16 inches beyond the window and to 16 inches above the window. The whole process lead to the creation of 375 shading device virtual models (15 projection lengths * 5 lateral extensions * 5 border distances) so that every projection length could be related to ^a specific extension and height beyond the window borders. A big advantage of such this approach was that all input data and results could be treated as mathematical functions. At the end of the research each specific combination of input data and shading device geometry was related to a precise result in terms of energy consumption. The next step was the creation of a specific space, always equivalent to the sample-space 4-04, for each shading device geometry. The HAP simulations were based on whole-building virtual models intended as a body of spaces, systems and equipment. Therefore, each shading device and its geometry had to be related to a single space with precise characteristics. That led to the specific creation of 375 spaces, equivalents for geometry, orientation and internal characteristics but each of them provided with a different premodeled shading device.

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NOTE: Unfortunately the HAP program doesn't have any automation option to create a set of items with some common elements. For example, in this case each shading device had to be created manually and attached to a space. This characteristic of the program required a careful systematic approach to data entry. Moreover the potential level of failure turns out to be very high because the unassisted management of a large number of ' elements introduces many risks of input mistakes, not always easy to discover.

4.4 Mechanical System Settings

In order to consider a realistic virtual model a specific heating, ventilation and air conditioning system (HVAC) had to be provided for every virtual space created. HVAC systems were patterned after the Arco school project, data and utility features which fit perfectly with the scope of the research. In fact, the Arco school was originally modeled following a single-space system concept. The need to create a series of spaces with an independent system that could be individually simulated inside a virtual model retraced the same conditions of the original project. This approach allowed for direct comparison of original simulated systems with the results of the the new single-space energy performances, providing for evaluation of the energy improvements caused by the shading device geometry variations. HVAC equipment consisted of ^a common ventilation system with terminal units connected to several packaged DX fan coils and return air ducts.

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> grouping characte had to include in single space. That addressed to a sir shading device get The HAP program energy input can b

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also requires the

Setting up one sing the single-space m 200 systems per f $\frac{f_{\text{llcs}}}{f_{\text{llcs}}}$ and the $\frac{f_{\text{llcs}}}{f_{\text{llcs}}}$ spreadsheet which

Additional data ab. movided below.

All systems and spaces previously defined in respect of the 4-04 Room characteristics and dimensions had to be organized by mechanical system groups before creating the final model. Such groups would have been used during the next step for the creation of a fictitious plant that would have formed the first building simulation. The HAP program also requires the single space and system set-up information about their location, grouping characteristics and systems, be input. In order to achieve this point the model had to include individual systems with identical features for every previously-created single space. That implied the progressive set-up of 375 different systems, each of them addressed to a single space equivalent to the room 2-06 but characterized by different shading device geometries.

The HAP program allows analysis either at ^a system, building or plant level. Loads and energy input can be calculated in relationship to all systems such as HVAC and lighting. Setting up one single building and one plant model for each system was not necessary for the single-space model analysis. Unfortunately, the HAP program can support only up to 200 systems per file, so the 375 single-room systems had to be split into two different files and the analyses run separately. The results were later collected in a single spreadsheet which allowed the researchers to evaluate all configurations together.

Additional data about the ventilation equipment assigned to each single-space system are provided below.

Ventilation Syst Ventilation Ai

Ventilation Res

Ventilation Fan

Table 4.4. $\mathop{\mathrm{proj}}$

Thermostats and

 N ⁰
 $E:$ cooling syst

Ventilation System Components:

Ventilation Air Data:

Ventilation Reclaim Data:

Ventilation Fan Data:

Table 4.4: proportion ratios between airflow rates and the energy use percentages.

Thermostats and Zone Data:

 \blacksquare

NOTE: cooling system was considered enable also for unoccupied spaces.
Common Termina Cooling Coil: Heating Coil: $\overline{1}$ H S Terminal Units D $Z_{\rm O}$ $T_{\rm eq}$ M_i Far **Sizing Data (Comput)** System Sizing C_{00} Hea Hydronic Sizir $Chill$ H_{0} t y Safety Factors $\mathsf{C}_{\mathsf{O} \mathsf{O} \mathsf{I}_\mathsf{G}}$ C_{Ool} _{1:} H_{catt}

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Common Terminal Unit Data:

Cooling Coil:

Heating Coil:

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 $\mathcal{L}(\mathcal{L}^{\text{max}})$ and $\mathcal{L}(\mathcal{L}^{\text{max}})$

 $\label{eq:2.1} \frac{1}{\sqrt{2}}\int_{\mathbb{R}^3}\frac{1}{\sqrt{2}}\left(\frac{1}{\sqrt{2}}\right)^2\frac{1}{\sqrt{2}}\left(\frac{1}{\sqrt{2}}\right)^2\frac{1}{\sqrt{2}}\left(\frac{1}{\sqrt{2}}\right)^2.$

 $\label{eq:2.1} \frac{1}{2} \int_{\mathbb{R}^3} \left| \frac{d\mathbf{x}}{d\mathbf{x}} \right|^2 \, d\mathbf{x} \$

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Terminal Units Data:

Sizing Data (Computer-Generated):

System Sizing Data:

The researcher

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▼ $\mathcal{A}^{\mathcal{A}}$

Zone Sizing Data:

Zone Airflow Sizing Method Sum of space airflow

rates ...

 Space Airflow Sizing Method Individual peak space loads

Table 4.5: zone sizing data parameters.

Eguipment Data

Table 4.6: list of parameters set up for each single-space equipment.

4.5 Window Features Settings and Optimum Solution Selection.

The researcher originally modeled the window proportions as it was in the original building. The original plans of the selected room called for continuous band windows on the south facade. The specified window dimensions were 31 feet in length and 7.89 feet in height. Afier preliminary study it was easy to determine that projection beyond the window length would have relatively little proportional impact.

Additionally, the overall height of the window and overall area would tend to mitigate the impact on any shading devices. Consequently, the researchers identified the following concerns about the original windows:

- 0 Shading device projection from the edges ranged between 4 and 12 inches, were very small relative to the 31-feet length of the continuous-band windows.
- The height of the original window could have been excessive in order to have tangible values of shading device impact on single-space energy performance.
- The area of the window, as shown in the original project, would cover more than the half of the whole facade surface. 244 square feet $(31 \times 7.89 \text{ sq. ft.})$ out of the total 467 facade square feet were designed as glass surface. Therefore, the average thermal inertia of the single-space would have been much lower than the ones of any other internal or semi-intemal spaces of the building.

In order to clear these issues researchers had to make sure that single-space analysis were not affected by such exceptional window areas which could distort or hide the shading device impact on energy consumption. Therefore, four different analyses with different window areas were developed and analyses run. All analyses were based on the same single-space, facade and shading device features. For the four analyses all 375 shading devices were considered and U-values for glass and walls were not modified. Researchers chose the single 5 ft. x 6ft. window as the basic modular unit for exterior openings because it reflects an average window size for this type of buildings. At the same time, the regularity of its dimensions allows for multiple windows and separation between windows along the single-space facade. The Analyses are classified as follows:

⁰ ANALYSIS A: one window of ⁵ by 6 feet.

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- \bullet ANALYS
- \bullet ANALYS
- NOTE: HAP so
- "additional glass
- walls, as if each s
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- areas previously i
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- parameter of the st
- At the end of each to a specific value The annual energy three different fact Central Heating C sering process do $\frac{\text{g} \omega_{\text{m}}}{\text{g} \omega_{\text{m}}}\text{c} \omega_{\text{m}} \text{b} \text{i} \text{n} \omega$ values, as shown in b_{axis} of m inimum ^{variation} was taken
- ANALYSIS B: one window of 10 by 6 feet.
- ANALYSIS C: five windows of 5 by 6 feet.
- ANALYSIS D: one window of 31 by 7.89 feet (original design).

NOTE: HAP software considers windows as "holes in the exterior walls", not as "additional glass area". In other words, operators always have to input all data of various walls, as if each space didn't have any exterior opening. Each wall is characterized by an orientation, an average U-value and other features. Then, windows are assigned to each wall and by doing that, the program subtracts the window areas from the original wall areas previously input. Therefore, for this set of analyses, the parameters the researchers had to change were the height, width and number of windows on the facade. No other parameter of the single-space model was modified.

At the end of each analysis every combination of shading device variables corresponded to a specific value indicating the annual energy consumption of the single-space model. The annual energy consumption value ("total load" value) was calculated as the sum of three different factors, Central Cooling Coil Load, Central Cooling Equipment Load and Central Heating Coil Load respectively related to cooling and heating loads. After a sorting process done through a specifically designed spread sheet, all shading device geometry combinations were ranked on the basis of the annual energy consumption values, as shown in tables 4.6 and 4.8 below. Optimum solutions were identified on the basis of minimum gaps between total energy load results. The tolerance for total load variation was taken as 0.1%.

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Table 4.

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Depth, length,				Central Cooling Central Cooling Central Unit Clg Central Heating Central Heating		
edge ext. (inch.)	Coil Load (kBTU)	Eqpt Load (kBTU)	Input (kWh)	Coil Load (kBTU)	Coil Input (kWh)	TOTAL LOAD (kBTU)
00,04	1729	1729	122	15787	4501	19244
4,4,8-8 4,16,16-16	1752 1755	1752 1755	122 122	15787 15787	4512 4506	19291 19296
16,0,00 16,4,8-8	1632 1658	1632 1658	121 121	15744 15744	4509 4517	19007 19060
16, 16, 16-16 $\ddot{\bullet}$	1726	1726 	121 	15744 	4513 \cdots	19196
				Table 4.7: snapshot of the analysis result list before the sorting process.		
Depth, length,				Central Cooling Central Cooling Central Unit Cig Central Heating Central Heating		TOTAL LOAD
edge ext. (inch.)	Coil Load (kBTU)	Eqpt Load (kBTU)	Input (kWh)	Coil Load (kBTU)	Coil Input (kWh)	(kBTU)
4,16,16-16 4,4,8-8	1755 1752	1755 1752	$\frac{1}{2}$ 122	15787 15787	4506 4512	19296 19291
00,0م 16, 16, 16-16	1729 1726	1729 1726	122 121	15787 15744	4501 4513	19244 19196
$16, 4, 8 - 8$ 16,0,00	1658 1632	1658 1632	121 121	15744 15744	4517 4509	19060 19007

Table 4.7: snapshot of the analysis result list before the sorting process.

Depth, length,					Central Cooling Central Cooling Central Unit Clg Central Heating Central Heating	TOTAL LOAD
edge ext.	Coil Load	Egpt Load	Input	Coil Load	Coil Input	
(inch.)	(kBTU)	(kBTU)	(kWh)	(kBTU)	(kWh)	(kBTU)
4,16,16-16	1755	1755	122	15787	4506	19296
8-8, A	1752	1752	122	15787	4512	19291
00,04	1729	1729	122	15787	4501	19244
16, 16, 16-16	1726	1726	121	15744	4513	19196
$16, 4, 8 - 8$	1658	1658	121	15744	4517	19060
16,0,00	1632	1632	121	15744	4509	19007
loss.	\cdots			\cdots		\cdots

Table 4.8: snapshot of the analysis result list after the sorting process.

Each analysis led to the identification of an optimum solution, intended as the combination of shading device variables that implies the lower amount of annual energy consumption. At the end of the process, total load values from the analyses A, B, C and D were different, even for the same combination of geometrical variables. However, the list of total load values, each of them associated with a specific variable combination, had the same ranking order for both cases C and D. This was one of the main reasons that made the researcher choose the optimum solution identified by analysis C and D. Other causes and elements that lead to such conclusions are explained below in the discussion of the conclusions for each window-type analysis.

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4 VALYSIS $A - C$

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For each analysis 376 combinations of shading device geometries were considered, the original 375 resulted from the three variable increment in addition to the case "0", for which no shading device was considered. 1504 values were determined for the four cases. Besides analyzing the four optimum combinations of variables resulting from the four different analyses, researchers also drew some general conclusion related to groups of values, in order to reach a general understanding of the results. The key element that led the data sorting process was depth from wall which proved to be the most impacting variable on single-space energy consumption. The main conclusions about optimum solutions that the researchers drew for each run of the single-space analysis are reported below.

ANALYSIS A – one single "5 by 6 feet" window.

In this case, all resulting values related to the annual total energy load varied by a range of 1%. In all cases the impact of shading devices could be considered irrelevant because gaps between different load values were smaller than 0.1%. Researchers determined several factors led to the smaller than expected improvement which are identified below.

- The optimum combination of variable turned out to be the "36 inch depth, 0 inch height above window, 0-0 inch projection from the edges" (36 depth,0 height,0-0 extensions), with a total load of 18325 kBTU.
- The worst combination of variable, which was the 8 depth,0 height,12-12 extension, indicated a total annual load of 18528 kBTU.
- ⁰ This 203 kBTU range between best and worst combination represented approximately the 1% increment of the total load value, contained all results of the 376 combinations of variables.
- 0 The sorting process done through the annual load values ranking operations apparently didn't show any rational connection between variable increments and total load variation. Long and short projections, wide and narrow edges, big and small distances from the border appeared in a sequence didn't reflect any correlation between variables and total energy load values. is 203 kBTU range between best and worst combination r
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	376 combinations of variables.			
		e sorting process done through the annual load values ranking operations		
		parently didn't show any rational connection between variable increments and		
		al load variation. Long and short projections, wide and narrow edges, big and		
		all distances from the border appeared in a sequence didn't reflect any		
		relation between variables and total energy load values.		
Shading	Height above	Extension from	Space energy	
device depth (inch.)	window range (inch.)	sides (range) (inch.)	load (range) (kBTU)	
0			18326	
4	$0 - 16$	$0 - 12$	18388 - 18337	
8	$0 - 16$	$0 - 12$	18528 - 18341	
12	$0 - 16$	$0 - 12$	18508 - 18353	
16	$0 - 16$	$0 - 12$	18459 - 18341	
20	$0 - 16$	$0 - 12$	18484 - 18337	
24	$0 - 16$	$0 - 12$	18419 - 18335	
28	$0 - 16$	$0 - 12$	18393 - 18439	
32	$0 - 16$	$0 - 12$	18347 - 18432	
36	$0 - 16$	$0 - 12$	18325 - 18416	
40	$0 - 16$	$0 - 12$	18382 - 18433	
44	$0 - 16$	$0 - 12$	18327 - 18427	
48	$0 - 16$	$0 - 12$	18369 - 18497	
52	$0 - 16$	$0 - 12$	18387 - 18469	
56	$0 - 16$	$0 - 12$	18386 - 18501	
60	$0 - 16$	$0 - 12$	18399 - 18518	

Table 4.9: summary table showing ranges of result values for analysis A, related to the 5by-6 single window configuration.

The main causes of this inconclusive set of results were related to the smallness of the window area and can be summarized as follows:

- Low solar impact on heating and cooling loads caused by the small glass area.
- ⁰ High average U-value for exterior and interior partitions, made mostly of solid wall and little glass.
- 0 High value of thermal capacity. Exterior walls, as well as the entire building partitions, were classified in HAP software as "heavy structure". This input has direct consequences on the thermal mass of the space. In other words, it affects the capability of the structure of retaining heat (or cold, depending on the outside temperature) when no air conditioning equipment is running. This factor homogenizes the temperature throughout the whole day and night time reducing energy needs and solar impact on heat gains. Other aspects of thermal capacity effects in buildings are explained more specifically at the end of chapter 4.

ANALYSIS B one window of 10 by 6 feet;

This case partially reflects the results obtained for the previous analysis:

- The optimum combination of variable was identified as the "32 inch depth 16 inch height above window, 0-0 inches projection from the edges" (32,16,0-0), with a total load of 18,536 kBTU.
- The worst combination of variable, corresponding to the 56 depth,4 height, 4–4 extension, indicated a total annual load of 18,943 kBTU.

Once again the range of values showed a total increment of 407 kBTU for all the 376 analyzed combinations. The causes are the same as the ones listed above for analysis A and therefore researchers decided not to consider these values for the scope of the research. However, in this case at the end of the ranking operations the list of values appeared more organized than the one obtained for analysis A. The sorting process lined out some criteria, based on the depth, that characterized the whole set of values and are summarized below: d more organized than the one obtained for analysis A. The sorting proce
ne criteria, based on the depth, that characterized the whole set of values
fixed below:
Shading devices with projection length between 20 and 40 inc more organized than the one obtained for analysis A. The sorting procedition of exercise, based on the depth, that characterized the whole set of value and below:

Analing devices with projection length between 20 and 40 i more organized than the one obtained for analysis A. The sorting procenties citeria, based on the depth, that characterized the whole set of value and below:

Example 19 and 40 inches, regarded below:

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criteria, based on the depth, that characterized the whole set of value

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- Shading devices with projection length between 20 and 40 inches, regardless of the other two variables, were the best-ranked solutions.
- 0 Shading devices with projection length below the 20 inches occupied the average band of values.
- Shading devices with projection length above the 40 inches constituted the bottom of the pool in terms of energy performance values.

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e criteria, based on the depth, that characterized the whole set of values and are							
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Shading devices with projection length between 20 and 40 inches, regardless of							
the other two variables, were the best-ranked solutions.							
Shading devices with projection length below the 20 inches occupied the average							
band of values.							
Shading devices with projection length above the 40 inches constituted the bottom							
of the pool in terms of energy performance values.							
Shading device	Height above	Extension from	Space energy				
depth (range)	window range	sides (range)	load (range)				
(inch.) $\mathbf 0$	(inch.)	(inch.)	(kBTU) 19025				
$\overline{\mathbf{4}}$	$0 - 16$	$0 - 12$	18732 - 18761				
	$0 - 16$	$0 - 12$	18697 - 18750				
8							
$\overline{12}$	$0 - 16$	$0 - 12$	18656 - 18700				
16	$0 - 16$	$0 - 12$	18634 - 18700				
20	$0 - 16$	$0 - 12$	18559 - 18590				
24	$0 - 16$	$0 - 12$	18563 - 18665				
28	$0 - 16$	$0 - 12$	18588 - 18731				
32	$0 - 16$	$0 - 12$	18544 - 18677				
36	$0 - 16$	$0 - 12$	18567 - 18817				
40	$0 - 16$	$0 - 12$	18664 - 18849				
44	$0 - 16$	$0 - 12$	18600 - 18864				
48	$0 - 16$	$0 - 12$	18787 - 18914				
52	$0 - 16$	$0 - 12$	18659 - 18904				
56 60	$0 - 16$ $0 - 16$	$0 - 12$ $0 - 12$	18659 - 18855 18754 - 18885				

Table 4.10: summary table showing ranges of result values for analysis B, related to the 10-by-6 window configuration.

 \overline{a} $\mathbf{\tilde{S}}$ \mathfrak{g} ردع $\mathbb{E}_{\mathbb{S}_2^1}$ \sim \sim \mathfrak{A} .
ماند The differentiation between categories was gradual and the intent of this brief description is to give a sense of how total load vales were ranked throughout the result list. Complete lists of values are reported in appendix C.

ANALYSIS C (five ⁵ ft. ^x ⁶ ft. windows) and ANALYSIS D (one ⁷ ft. ^x 31ft. window):

These cases reflected the results that researchers expected. Both sets of results had the same optimum combination of variable, as well as, the whole ranking order based on annual energy consumption and the listing sequence didn't present any random element such as with analyses A and B. Projection length appeared to be, once again, the most important variable governing the ranking list of energy consumption values. The main data collected from the two analyses is summarized here below:

- The optimum solution appeared to be the "56 depth, 12 height, 4-4 extension" with an annual energy consumption of 20019 kBTU for analysis C and 21520 kBTU for analysis D.
- o The worst combination was identified as the "4 depth, 12 height, 0-0 extension", with an annual energy consumption of 20511 kBTU for analysis C and 23584 kBTU for analysis D.

Especially for analysis D, shading device impact is clearly identifiable because the range of values obtained constitutes 9.5% of total annual energy consumption. The results based on the projection length suggested the following:

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- Projection lengths between 40 and 60 inches induced the best energy consumption values.
- 0 Projection lengths between 20 and 40 inches induced average energy consumption values. mojection lengths between 40 and 60 inches induced the best energy correlations.

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Section lengths between 20 and 40 inches induced average energy conses.

Here is expection lengths between 4 and 20 inches induced low energy conse
- 0 Projection lengths between 4 and 20 inches induced low energy consumption values.

		ojection lengths between 40 and 60 inches induced the best energy consumption		
lues.				
	ojection lengths between 20 and 40 inches induced average energy consumption			
lues.				
		ojection lengths between 4 and 20 inches induced low energy consumption		
lues.				
Shading device	Height above	Extension from	Space energy	
depth (range)	window range	sides (range)	load (range)	
(inch.)	(inch.)	(inch.)	(kBTU)	
0 4		$0 - 12$	21784	
8	$0 - 16$ $0 - 16$	$0 - 12$	20478 - 20511 20451 - 20511	
12	$0 - 16$	$0 - 12$	20376 - 20481	
$\overline{16}$	$0 - 16$	$0 - 12$	20330 - 20396	
20	$0 - 16$	$0 - 12$	20234 - 20353	
24	$0 - 16$	$0 - 12$	20217 - 20327	
28	$0 - 16$	$0 - 12$	20198 - 20286	
32	$0 - 16$	$0 - 12$	20304 - 20138	
36 40	$0 - 16$	$0 - 12$	20079 - 20241 20051 - 20241	
44	$0 - 16$ $0 - 16$	$0 - 12$ $0 - 12$	20082 - 20214	
48	$0 - 16$	$0 - 12$	20102 - 20243	
52	$0 - 16$	$0 - 12$	20118 - 20353	
56 60	$0 - 16$ $0 - 16$	$0 - 12$ $0 - 12$	20019 - 20357 20070 - 20355	

Table 4.11: summary table showing ranges of result values for analysis C, related to the five 5-by-6 windows configuration.

NOTE: In each analysis the worst energy performance was given by the "0" case, which had a 0 inch depth, 0 inch height and 0 inch edges shading device, equivalent to not using any shading device.

		Extension from		
Shading device depth (range)	Height above window range	sides (range)	Space energy load (range)	
(inch.) $\mathbf 0$	(inch.)	(inch.)	(kBTU) 24943	
4 8	$0 - 16$ $0 - 16$	$0 - 12$ $0 - 12$	23437 - 23583	
$\overline{12}$	$0 - 16$	$0 - 12$	23294 - 23429 23043 - 23279	
16 ¹ 20	$0 - 16$ $0 - 16$	$0 - 12$ $0 - 12$	22916 - 23187 22371 - 22992	
24	$0 - 16$	$0 - 12$	22034 - 22904	
28 32	$0 - 16$ $0 - 16$	$0 - 12$ $0 - 12$	22173 - 22748 21827 - 22797	
$\overline{36}$ 40	$0 - 16$ $0 - 16$	$0 - 12$ $0 - 12$	21832 - 22727 20051 - 20241	
44	$0 - 16$	$0 - 12$	20082 - 20214	
48 $\overline{52}$	$0 - 16$ $0 - 16$	$0 - 12$ $0 - 12$	20102 - 20243 20118 - 20353	
56 60	$0 - 16$ $0 - 16$	$0 - 12$ $0 - 12$	20019 - 20357 20070 - 20355	

Table 4.12: summary table showing ranges of result values for analysis D, related to the 31-by—6 window configuration.

Single-space analysis results are graphically summarized in figures 4.3 and 4.4 below. In figure 4.3 values indicating single-space annual energy consumption are shown as a function of the fixed shading device projection length. Figure 4.4 shows the energy ' consumption improvement caused by shading device implementation as a function of the projection length.

Figure 4.3: graphical representation of analysis A, B, C and D results.

Figure 4.4: graphical representation of energy consumption improvement values obtained from analysis A, B, C and D.

In order to display the impact of secondary variables (height and lateral extension) on total energy consumption researchers focused on the range of values obtained for a specific projection length. The projection length chosen for this type of investigation was the optimum one. Results related to energy consumption for the 56 inches projecting shading device are reported below. Figure 4.5 shows that the closer the shading device is to the top of the window and the farther the extension, less energy will be used In the building. However, these effects are very small in relation to the impact of projection on In order to display the impact of secondary variables (height and lateral extension) or total energy consumption researchers focused on the range of values obtained for a specific projection length. The projection length c energy use.

Figure 4.5: graphical representation of energy consumption range for 56-inchesprojection shading devices.

4.6 Single-Space Result Validation.

The unpredictability of values obtained for analysis A and B raised some concerns about the accuracy of results. Two main issues were highlighted. First of all the sequence of values proceeded from the sorting process based on total annual energy load didn't show any apparent correlation with shading device variables. Moreover, the gap between best and worst energy performance was almost undetectable. Upon advice of mechanical engineer, the researchers focused their attention on the possible side effect of high thermal mass values related to concrete walls. The whole school building was simulated in HAP program as ^a "heavy structure", with an average density value of 130 pounds per square foot, typical of concrete structure buildings in Italy. This specific characteristic is the main factor that influences thermal mass effects causing discrepancies in energy load balance.

The concept of thermal mass is strictly bound to the concept of thermal capacity, which is defined by Stein and Reynolds as "indicator of the ability of a fixed volume ofmaterial to store heat" (Ben Stein, John S. Reynolds — 2006). In reality this definition is not precise but it gives an intuitive idea of the main concept. In fact, the principles that govern thermodynamic laws are based on temperature and thermal gradients, not on heat quantities. More specifically the thermal capacity is related to the speed at which a certain body characterized by a specific temperature reaches the thermal equilibrium with the environment it's dipped in under specific convection, conduction and irradiation conditions (Kalema T. et al. -2008).

A study about thermal capacity and mass effects on residential construction systems was recently developed by Katerine Gregory and other Australian researchers. The work focused on a single-space model and analyzed the impact of varying thermal mass

features on energy performance, by simulating four different construction systems. Analysis results showed that "thermal mass has the ability to significantly reduce energy usage in residential buildings by maintaining a comfortable internal temperature" (Gregory et a1. — 2007). However, in order to have result consistency between different single-space simulations, increasing window area requires thermal mass to be increased proportionally (Gregory et al. -2007). According to Gregory, thermal mass strongly impacts building energy performance especially in systems like the Arco school, in which the schedule of use and the exterior temperature varies completely from night to day periods. The whole concept could be briefly explained as follows. If the whole heating and cooling systems are shut down during part of the day the internal environment temperature tends to reach the equilibrium with the external one. However, if the time needed by the internal environment to reach such equilibrium is longer than the period in which systems are shut down due to the presence of big thermal mass, then the quantity of energy needed to bring internal spaces to the previous temperature would be lower than the one of a low-thermal mass building.

With respect to the present research, results of Gregory's study were considered as guidelines for the choice of the optimum shading device solution. Researchers decided to choose, for the single-space simulation, a window configuration for which the proportion between gross wall and glass area of the single-space could match with that of the wholebuilding. Bearing in mind that all exterior walls of the structure had the same U-value and thermal capacity, the total exterior wall area of the building was divided by the total window area. The ratio between overall wall and window area of the building turned out to be approximately 2.55. While considering the single-space simulation the total gross area of the exterior wall was 369 square feet. Researchers applied the same proportion to the single-space model finding a fictitious window area of 145 square feet. Such area value resulted very close to the one given by the five-by-six-feet windows case, in which 5 punctured windows were considered with a total glass area of 150 square feet and a ratio between single-space wall and window area of 2.45. This particular consideration led researchers to the choice of the 5-by-6-feet window simulation as the case to select the shading device optimum solution which would be modeled in the whole building simulation addressed in Chapter 5. The optimum solution coincided with the following shading device variables combination: 56 inches projection, 12 inches height above window and 4 inches projection from both sides.55. While considering the single-space sin
Il was 369 square feet. Researchers applied
Il finding a fictitious window area of 145
se to the one given by the five-by-six-feet
were considered with a total glass area of
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Figure 4.6: overhang shading device geometry parameters for the optimum solution.

4.7 Chapter Summary.

This chapter describes the process followed by researchers to define the single-space features for the single-space analysis. Reasons for supporting researcher's choices are reported and explained. Variables used to consider different shading devices and window configurations on the single-space analysis are explained, as well as, reasons and processes that led researchers to their choice. Finally the combination of shading device variables and window configuration which were selected as the "optimum solution" in terms of single-space energy performance is identified.

$-$ CHAPTER 5 $-$

WHOLE-BUILDING ANALYSIS

5.1 Introduction.

The single-space analysis discussed in chapter 4 was used to establish the optimum shading device solution for use in a whole building analysis. The optimum solution was one which yielded the lowest energy consumption from all the analyses and dimensions of 56 inch projection from the wall, 12 inch height above window and 4 inches projection from the lateral edges.

After studying the single space analyses the researcher used the optimum solution to study its impact in a whole building solution, again using the Arco School case study as a basis for analysis. The whole building simulation named "whole-building optimum solution" (WBOS) considered one optimum shading device installed over each of the school windows. Annual energy consumption values resulting from the WBOS analysis (design case) were compared to the original building annual energy consumption values and design configuration (baseline case). The difference between baseline and design cases energy consumption gave the potential energy savings for the whole building on an annual basis.

In order to. test the results for varying climate conditions, the same process of comparison between design and baseline case was repeated for four geographical locations of the building related to an equal number of weather conditions, sun exposures, longitude and latitude values. All locations were chosen in the South Europe area and within the HAP software restrictions, which provides a limited number of pre-set conditions. The decision to test the difference of annual energy performance between baseline and design cases was taken in order to help normalize the research results. The researchers opined that the shading device solutions and energy savings could be strictly related and dependent on geographical location of the building. If so, the present research would be valid just for the northern Italian area, otherwise its results could be applied to a broader area. Details related to the choice of the different locations for the whole building simulation analysis are explained in at the end of chapter 5.

5.2 Whole-Building Features Overview.

The project chosen as a reference for conducting the whole building energy simulation is a middle school complex. The core is formed by the classroom building where all office and main educational activities are performed. This portion of the building is characterized by a total area of about 28,500 square feet. The whole structure is on three main floors plus an unconditioned basement level that matches with the whole classroom building footprint. Additionally, the school complex also includes a 9,667 square feet gymnasium with opposite locker room and related facilities as well as cafeteria, kitchen and service area. A detailed list of all school spaces and their areas is reported in figure 5.1 below.

In order to develop the energy simulation the HAP software requires the input of different types of data. For the modeling in the software each building is characterized by various systems intended as the whole group of mechanical devices that provide and perform any kind of mechanical-related function in the building (heating, cooling, air fan, ...). Each system is designed to serve a limited number of spaces, each of them characterized by specific design features, as already shown in Chapter Four for the single space analysis. Each group of spaces linked to one single mechanical system was defined as a "thermal

The Arco school project was designed to have a central heating plant that could supply heat to every space of the building. Therefore all data related to the central heating plan were also input in the HAP software.

		曾序日中国图 的主 图 AX 户中 题 -3 > = 图 □ □ △ & ?
CE Arco school HAP4 4 Alex shading	Space	Floor Area
学 Weather	2-03 Classroom	6971
Space:	204 Classroom	6971
Systems	205 Classroom	697.1
Plants Buildings	2-06 Classroom	6971
Project Libraries	207 Classroom	697.1
Schedules	Ell 2-08 Classroom	697.1
Walls	2nd floor toilet rooms	520.0
Roofs	3-02 Secretary office	551.0
H Windows	303 304 toilets	224.0
Doors	3-06 Porters lodge	1945
Shades	3-07 Teachers-Library	554.0
B Chilers	60 3-08 Classroom	697.1
Cooling Towers	3-09 Language Lab	515.9
Bolers	3-10 Physics Lab	676.1
PE Electric Rates	3-11 Storage	1930
Fuel Rates	3-12 Music Room	515.9
	3rd floor toilet room	520.0
	401 Classroom	6971
	402 Storage	1930
	403 Classroom	515.9
	4-04 Classroom	6971
	4-05 Storage	1930
	4-06 Language Lab	515.9
	4-07 Physics Lab	676.1
	4-08 Storage	1930
	409 Classroom	515.9
	4-16 Classroom	478 B
	4th floor toilet room	520.0
	Classroom bidg basement	10041.0
	ED Core-Atrium	3961.0
	60 Gymnasium	7344.0
	All Locker room	2323.0
	Refectory	5272.6

Figure 5.1: snapshot of HAP E20-II program showing all spaces considered for the whole-building analysis with the related square feet floor areas.

At a whole-building simulation level, researchers had to input two types of data, the first related to the building design, intended as the sum of all single space design features, and the second ones related to the mechanical system design. Figure 5.2 below shows a simplified scheme related to the types of data that were input in the HAP energy model. e second ones related to the mechanical system design. Figure 5.2 below shows
mplified scheme related to the types of data that were input in the HAP energy model. o the mechanical syst
to the types of data that

Figure 5.2: schematic representation of HAP operating mode for whole-building energy simulation.

A summary of all features related to each space that were used to setup the wholebuilding simulation are reported in table 5.1 below. Further and more detailed information are reported in appendix D.

• Overhead Lighting: Window Shade Type Fixture Type Door Type Wattage **Roofs, Skylights Features:** Ballast Multiplier **Exposion** Lighting Schedule Roof Gross Area • Task Lighting: Roof Slope Wattage Skylight Quantity Schedule **Infiltration:** Electrical Equipment: Design Cooling Wattage Design Heating Schedule Energy Analysis People: • Floors: \bullet Occupancy Type Activity Level Floor Area Sensible Heat Total Floor U-Value

Walls, Windows, Doors:

Wall Type

WindowType

- Latent Heat Unconditioned Space Max Temp. Occupancy Schedule Ambient at Space Max Temp. Unconditioned Space Min Temp. Ambient at Space Min Temp.
	- Internal Partition Details.

All processes and activities related to the simulation set up were performed on the basis of an existing project previously developed by Italian companies and offices. In order to give the reader a better understanding of the whole building structure part of the original drawings are shown in figures 5.3, 5.4 and 5.5 below. No architectural or structural modifications were implemented for the HAP simulation purposes and all inputs used to set up the energy model were directly taken from the original project.

Figure 5.3: image of the Arco school project showing A-A and C-C cross sections.

Source: "KREG Engineering - ATA Group"

Figure 5.4: original drawing of the Arco school project showing the ground floor plant of the building.

Source: "KREG Engineering - ATA Group"

Figure 5.5: image of the Arco school project showing A-A and C-C cross sections. Source: "KREG Engineering - ATA Group"

5.3 Whole-Building Simulation Settings.

The whole building analysis was based on the original Arco school project features. The original HAP simulation created for the Arco LEED® certification process was used as the baseline case (Entire Arco School). Another HAP simulation file considering the installation of the optimum shading device on every window was created afterwards and is referred to as the design case (entire Arco School shading). The only difference between the two cases was the presence of the shading devices over the windows, all the other characteristics of the building intended as data input in the simulation file were the same. For this reason all values related to building geometry features, air conditioning system designs, mechanical data and heating plant characteristics reported below and

system designs, mechanical data and heating plant characteristics reported below and with more details in appendix D were not repeated for both design and baseline cases. The simulation was created by inputting data related to the various aspects of the building. Geometric characteristics of every space, as well as, orientation and location in the building were considered. All data related to the heating plan were input after creating an entire building heating plan. The whole building structure was divided in four thermal blocks, each of them characterized by different features referenced to the different spaces and to all mechanical aspects of each space. General information about these features are reported below. For a more detailed description refer to appendix D.

• Entire Arco School Input Data Secondary Loop Features.

5.4 Whole-Building Analysis - Arco Location.

Geographical Location Overview

The whole building analysis was based on the original Arco school project features located in Trentino Alto Adige, in Northern Italy. This area is unique, due to the proximity of high mountains (Dolomites) and the Garda lake, which covers a total area of about 145 square miles. Such aspects impact the local climate which is characterized by frequent precipitations during spring, fall and summer seasons and by fairly stable outdoor temperatures during the whole year, due the mitigating effect of the lake. The singularity of the area in which the Arco school building is set can be gathered from the figures reported below.

Figure 5.6: image showing the Arco School surrounding area.

Figure 5.7: image showing the Arco School construction site.

Figure 5.8: image showing the proximity between the Garda Lake and the highlighted Arco urban area.

Whole-Building Analysis Results

A primary objective of this research was to evaluate the impact of fixed shading devices on whole-building annual energy performances. However, first it is important to define the meaning of the term "energy performance", which could be seen in different ways, depending on aspects considered. For this instance researchers focused their attention on building energy performance as the total quantity of energy supplied by human infrastructures to the building in order to keep each of its activities running properly under a pre-determined annual schedule. This definition summarizes the concept of energy performance described in the ASHRAE Standard and the decision of adopting it for the current project came from the idea of considering the Arco school building from a LEED® prospective. In fact, as the sustainable protocol itself reports in EA ¹ section, the

percentage improvement in the pr0posed building performance rating has to be calculated by following the ASHRAE/IESNA Standard 90.1-2004. Following the definition cited above two different aspects of annual building energy consumption were considered, thermal energy and electrical energy respectively measured in British Thermal Units (BTU) and Kilo Watts (kW). Each building system and energy-consuming component were calculated separately for both the baseline and design cases. At the end, all values related to single items were summed together and the total annual energy consumption for the whole building was summarized in two values, the total thermal and electrical energy used. The same process was then repeated for each geographical location chosen to test the consistency of the results in other locations. In order to reduce the length of the thesis, complete tables are reported only for the Arco location, as shown in table 5.1 through 5.6 below. Tables 5.1, 5.2, 5.3 and 5.4 list annual values for HVAC components, which include cooling loads, pre-cooling coil loads, pre-heating coil loads, heating coil loads, fans, pumps and part of the boiler heating loads. All other loads and of energy consuming elements implemented in the building, such as, process loads and lighting loads, are listed under the non-HVAC components section.

Component	Baseline	Design Case-	Energy Cost
	Case (\$)	Shading	Savings (%)
		Devices (\$)	
HVAC Components Electric	7,590	6,659	12.23
Natural Gas	3,406	3,409	0.09
HVAC Sub-Total	10,996	10,067	8.45
Non-HVAC Components Electric	26,492	26,384	0.4
Natural Gas	8,479	8,370	1.29
Non-HVAC Sub-Total Grand Total	34,971 45,967	34,756 44,767	0.62 2.67

Table 5.1: annual cost summary table for baseline and design whole-building cases.

Here annual cost savings related to the implementation of the shading optimum solution mainly arise from HVAC power supply difference. This variation implies ^a percentage cost savings of 8.45% on HVAC components operating costs. This percentage is given by an annual saving for electrical supply of 12.23% and an annual loss for natural gas supply of less than 0.1%. On the other hand, the difference between non-HVAC component costs are minor totaling only about 0,77%. An important conclusion related to this first table is that implementation of fixed projecting shading devices, within the limitation of this first whole-building energy simulation, have ^a substantial impact on HVAC component energy costs. However, due to the order of magnitude of the grand total energy values, HVAC-related savings do not impact sensibly the whole energy costs.

Component	Baseline Case (S)	Design Case- Shading Devices (\$)	Energy Savings (%)	
	26,788 1,664	23,501 1,666	12.23 0.12	
	93,499	92,919	0.62	
	4,144 120,287	4,090 116,420	1.29 3.2	
	5,808	5,756	$\overline{0.9}$	
HVAC Components Electric (kWh) Natural Gas (Therm) Non-HVAC Components Electric (kWh) Natural Gas (Therm) Totals Electric (kWh) Natural Gas (Therm) e 5.2: annual energy consumption summary table for baseline and design cases. .2 shows in terms of energy consumption values the same concepts previously ed for table 5.1. HVAC annual energy consumption is consistently reduced by the fixed shading devices (12.23 %). However, this is only a partial savings ion, the total impact of shading devices on electrical energy annual consumption about 3.2 %. Component		Baseline Case (S)	Design Case - Shading	
HVAC Components			Devices (\$)	
Electric Natural Gas		0.122 0.055	0.107 0.055	
HVAC Sub-Total Non-HVAC Components		0.177	0.162	
Electric		0.426	0.412	
Natural Gas Non-HVAC Sub-Total Grand Total		0.136 0.562 0.739	0.136 0.548 0.710	

Table 5.2: annual energy consumption summary table for baseline and design cases.

Table 5.2 shows in terms of energy consumption values the same concepts previously explained for table 5.1. HVAC annual energy consumption is consistently reduced by the use of fixed shading devices (12.23 %). However, this is only a partial savings calculation, the total impact of shading devices on electrical energy annual consumption is only about 3.2 %.

Table 5.3: annual cost summary table per unit floor area for baseline and design cases.

Considerations previously done for tables 5.1 and 5.2 are also reflected in table 5.3 which shows the impact of shading device use on annual energy costs on a square foot basis. Once again the energy costs per square foot of the Arco school building are considerably lower for only the HVAC system, and they are slightly lower for the whole-building energy consumption values. previously done for tables 5.1 and 5.2 are also reflected in to a square of shading device use on annual energy costs on a square energy costs per square foot of the Arco school building and the HVAC system, and they are s previously done for tables 5.1 and 5.2 are also reflected in
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ption values.			
Component	Baseline	Design Case	
	Case (S)	– Shading	
		Devices (\$)	
HVAC Components			
Electric	16.5	14.8	
		7.6	
Natural Gas	7.4		
HVAC Sub-Total	23.9	22.4	
Non-HVAC Components			
Electric	57.6	58.8	
Natural Gas	18.4	18.8	
Non-HVAC Sub-Total	76.1	77.6	
Grand Total	100.0	100.0	

Table 5.4: whole-building summary table for baseline and design cases showing component cost as a percentage of total cost.

Table 5.4 above demonstrates an important aspect of energy savings caused by the use of fixed shading devices. The importance of each system component on the whole-building energy consumption rates varies as a function of the shading device configuration. More specifically, for the design case simulation HVAC components have ^a smaller impact on annual energy consumption, whereas non-HVAC components provide a larger impact.

	Design Energy	Proposed Design	Baseline Building	Proposed Building	Percent Savings	
End Use	Type	Units	Results	Results	$(\%)$	
Interior Lighting	Electric	Energy kWh Demand kW	20,228 17.7	20,228 17.7	0.0 0.0	
Space Heating	Electric	Energy kWh	730	731	-0.1	
	Natural Gas	Demand kW Energy Therm	1.5 1,664	1.4 1,666	6.7 -0.1	
Space Heating		Demand MBH	348.4	328.3	5.8	
Space Cooling	Electric	Energy kWh Demand kW	11,690 20.9	9,861 17.1	15.6 18.2	
Fans - Interior	Electric	Energy kWh Demand kW	13,569 3.7	12,103 3.3	10.8 10.8	
Process energy	Electric	Energy kWh	26,319	26,319	$0.0\,$	
	Natural Gas	Demand kW Energy Therm	12.3 4,144	12.3 4,144	0.0 0.0	
Service water heater		Demand MBH	273	273	0.0	
Elevator	Electric	Energy kWh Demand kW	10,699 5 ₁	10,699 5 ₅	0.0 0.0	
Exterior lighting	Electric	Energy kWh Demand kW	4,380 1	4,380 1	0.0 0.0	
Energy Totals		Total Annual Energy Use kBTU Annual Process Energy kBTU	991,218 235,056	980,138 235,056	1.1 $\overline{0.0}$	

Table 5.5: performance rating summary table for baseline and design cases showing energy consumption values of each building component.

Table 5.5 shows the impact of shading device use on each energy consumption category for each system component considered in the whole-building simulation. Values are related to their specific energy source, either electric or natural gas. Major savings are related to electrical consumption, especially for space heating and cooling components and for interior fan systems. Taken one at a time, each of these energy saving values seem consistent. Energy use for cooling interior spaces decreases by 15.6%, and the energy used to run the interior fan system decreases by 10.8%. However, energy reductions for other gas based systems are not as large as shown in the final summary table 5.6 below.

Table 5.6: annual energy consumption summary table for baseline and design cases located in Trentino.

5.5 Whole-Building Analysis - Multiple Locations.

In order to test the validity of the results and to see if they were dependent on this specific location the researcher evaluated the same baseline and design case buildings in three other locations in southern Europe. The whole-building energy models were tested for various locations which varying weather conditions, latitude and morphological aspects of the surrounding areas. Three other European cities were chosen as case-study locations ' including: Naples (Italy), Valencia (Spain) and Frankfurt (Germany). Figure 5.9 reported below shows a map of Europe with the four location selected for the whole-building simulation.

Figure 5.9: map of Europe showing locations of Arco, Valencia, Naples and Frankfurt. Source: Alabama Maps — website.

Naples — Italy —

The choice of Naples as one of the locations was determined by considerations specifically related to varying weather conditions, latitude and the morphological aspects of the surrounding areas.

The city of Naples is located in the south part of Italy, by the Mediterranean sea coast. Its location is almost flat, and is substantially different from the conditions set for the previous model, situated in the Alps. Moreover, weather conditions of Naples are very different from the previous ones due to its proximity to the Mediterranean sea.

The whole-building energy simulation for Naples conditions was run for both design and baseline cases, always considering the first as the one with fixed shading devices, the second as the original Arco school design. Also for Naples, as for each location

considered, all analysis and result categories cited for chapter 5.3 were performed. Only a summary is reported here, the complete results for whole-building multiple locations is reported in appendix E. The goal of this section is to provide a general overview and considered, all analysis and result categories cited for chapter 5.3 were performed. Only a
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summary is reported here, the complete results for whole-building multiple locations is
reported in appendix E. The goal of this

	Proposed Design				Baseline Design	Percent Savings		
Energy Type	Energy Use		Cost(5)	Energy Use		Cost(S)	E. Use	Cost
Electric	120,514	kWh	34.146	117,181	kWh	33,202	2.8	2.8
Natural Gas	5,817	Therm	11.903	5,814	Therm	11,898	0.1	0.0
TOTAL (Model Outputs)	992.049	kBTU	46.049	981,242	kBTU	45,100	1.1	2.1

Table 5.7: annual energy consumption summary table for baseline and design cases located in Naples.

The energy simulation results were similar to those of the Arco whole-building analysis. Energy savings of 2.8 % from annual electrical energy consumptions is somewhat offset by small natural gas savings. However, once again the use of fixed shading devices substantially impacted the annual electrical energy consumption related to HVAC components. Under this design case results showed an annual electrical energy cost of \$10,129 against the \$ 11,078 of the baseline case. In other words an annual electrical energy saving of 8.5%, very similar to the 8.45% previously obtained for the Arco location. These savings are also reflected in the total electrical energy consumption which goes from the 120,514 kWh of the baseline case to the 117181 kWh of the design case with a percentage saving of 2.7 %.

Valencia - Spain —

This specific location was chosen to confirm the results obtained for the previous location. From many points of view Naples and Valencia are two similar cities. Both are located by the Mediterranean at approximately the same latitude and also have similar the morphological characteristics. However, weather conditions are different, especially when considering precipitation and cloud cover. In fact, Valencia is surrounded by a small mountain chain that keeps most of all atmospheric disturbances away from the city area. This yields higher sun exposure and solar gains in buildings. Valencia – Spain –

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The whole-building energy simulation was conducted following the previous model settings. No major changes were noticed between the Naples and Valencia models. Total energy consumption calculated on annual basis was similar, with some minor variation in ^a 0.2 % range. Also annual total cost savings between baseline and design cases were similar with, 2.7 % for Valencia against the 2.8 % for the previous location. Summary values are reported in table 5.11 below.

	Proposed Design			Baseline Design			Percent Savings	
Energy Type	Energy Use		Cost(S)	Energy Use		Cost (S)	E. Use	Cost
Electric	120,389	kWh	34.111	117.181	kWh	33.202	2.7	2.7
Natural Gas	5.812	Therm	11,893	5.814	Therm	11.898	0.0	0.0
TOTAL (Model Outputs)	992.948	kBTU	46.004	981.242	kBTU	45.100	1.2	2.0

Table 5.8: annual energy consumption summary table for baseline and design cases located in Valencia.

As detected for the previous cases, the main energy savings were related to electrical energy consumptions, especially for HVAC systems. In terms of costs, the optimum

shading device led to an annual ⁹ % saving for HVAC component electrical energy consumption. This was reflected in the total annual electrical energy consumption as a 2.76 % saving resulting from the difference between the 120,389 kWh of the baseline case and the ¹ 17,181 kWh of the design case.

An interesting observation is that electrical energy savings calculated for the original Arco locations resulted in higher savings than the ones found for Naples and Valencia locations. The main elements that affect electrical savings are HVAC components and, more specifically cooling loads. Because of these considerations researchers expected higher electrical energy savings for warmer weather locations but analysis didn't reflect this prediction. In order to partially verify these results researchers decided to pick a northern Europe city as the last whole-building location test. The city chosen was Frankfurt in central-westem Germany.

Frankfurt — Germany —

Based on the consideration listed above the whole-building energy model was run on the basis of Frankfurt area settings. In this case, the characteristics of the area are similar to the Valencia case, except for the proximity to the sea. The height above sea level is the same, as well as, the morphology of the surrounding area and the urban area extension. Researchers didn't pick a location in the north of Europe, such as Norway or Sweden for example, because weather conditions would have been too different from those of Arco. The building was originally designed to perform in the northern Italian area. Drastic weather and location changes could heavily impact the building response not only from

an energy consumption standpoint but also for other aspects such as material or windowfloor area required ratio values.

For this case the results met the researcher's original expectations. The total electrical energy consumption calculated on an annual basis decreased by approximately ¹ %. In fact, looking at Naples case-study annual consumption values for electrical energy went from 120,514 kWh to a 119,391 kWh. Moreover, natural gas saving values related to the implementation of fixed shading devices were negative. The issue is explainable by considering solar gains which provide heat to the building. In the Arco school building natural gas is used to produce heat during winter. Fixed shading devices stop part of the solar radiation that heats the building and, because of that, they have a positive effect during the summer but a negative impact during winter. The loss of solar heat caused by the implementation of the shading devices is reflected by the increment of natural gas consumption in the design case. However, both in terms of cost and energy performance, energy savings from reductions in cooling load during the summer period exceeded the heating looses during the winter period. This consideration is demonstrated by the total annual energy savings values which reached 1.9 % for both energy use and cost parameters. Table 5.9 below reports the summary values for the present case-study. contract to the season and the season of the season and the season of this case the results met the researcher's original expectations. The total electr energy consumption standpoint but also for other aspects such as material or windo
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Table 5.9: annual energy consumption summary table for baseline and design cases located in Frankfurt.

Whole-building analysis results for different location are summarized in figure 5.10 below. Table 5.9: annual energy consumption summary table for baseline and design cases
located in Frankfurt.
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ow.

Table 5.10: annual energy consumption summary graph for baseline and design cases related to Arco, Naples, Valencia and Frankfurt locations.

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5.6 Chapter summary

Chapter Five describes the whole-building analysis process used by the researchers. The original whole-building characteristics related to the Arco project that were used as input . files for the energy simulation are reported. The chapter also describes the choice of the several geographical locations selected to help validate the whole-building analysis. Analysis results obtained fiom different whole-building energy simulations, each of them located in different areas, are reported, explained and compared.

$-$ CHAPTER $6-$

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CONCLUSIONS AND SUMMARY

6.1 Introduction.

Chapters Four and Five addressed the single Space analyses and whole building analyses respectively. This final chapter addresses conclusions and recommendations that the researchers drew from the research, discusses limitations of the study and suggests areas for future research.

6.2 Single-Space Analysis.

6.2.] Single-Space Analysis Limitations

The impact of fixed shading devices on single-space energy use varies as a function of the space design characteristics, window proportion and thermal mass. Four singlespace analysis were performed in order to identify the optimum solution for shading devices in relation to window configuration. Results varied as a function of window configuration. In some cases, such as with the single and multiple 5-by-6 feet punctured window spaces, gaps between energy consumption values were even bigger because of the secondary effect of thermal mass. These considerations led researchers to focus on the single-space result limitations and the range of applicability of the results. They concluded that the impact of fixed shading devices on single-space energy consumption can not be generalized to all types of singlespace designs. However, they can be considered valid for categories of single-space design. The main design features that characterized the sample single space were the floor area, the window area, the orientation and the geometrical shape. From a design point of view, the single space used as a case-study is a middle-school classroom characterized by standard features. In Italy, as well as in Europe and in the US, classroom spaces are required to have a maximum occupancy coefficient which establishes the maximum ratio between area and number of students. Codes require

classrooms to be designed with a minimum window-floor area ratio, as well as, minimum lighting levels, air flow rates and air conditioning systems. From this point of view, the single space selected to determine the shading device optimum solution could be considered common and certainly standardized. Therefore, results obtained from the single-space analysis could be implemented as general guideline by project teams that are designing a classroom similar to the one used for the present research.

Another point that has to be considered is the impact of thermal mass on energy consumption. The concept of thermal mass is strictly related to the density of material used, the ratio between window and wall surface and the ratio between space volume and total space contact area intended as the sum of all walls, windows, floor and ceiling areas. However, national codes fix the values for all these coefficients except for the density of the material used. That aspect is a function of the material type and can vary from case to case. While the study did address changes in thermal mass, there were primarily the result of changing window size and not construction systems. This study did not address changes in construction type (i.e from heavy construction to light construction) so the results and conclusion are not necessarily valid for "light" buildings. Further research would be necessary to address changes in construction type.

Another issue is related to the specific location that was chosen to run the single-space analysis. As previously explained in chapter Three, all single-space simulations had the city of Arco as location input data. From the HAP software point of view, the main aspect that affects the single-space model is the latitude, which is related to the sun irradiance angle in different periods of the year. For the scope of this thesis researchers didn't verify the impact of such variable on the single-space analysis results. Therefore, all values obtained can be considered valid only for single space simulation characterized by the same latitude of Arco. However, the researchers did explore this impact later during the whole building analysis.

6.2.2 Single-Space Analysis Conclusions.

On the basis of these considerations, information obtained from the single-space analysis that could be used for other cases are:

- Qualitative impact of fixed shading devices on single-space annual energy \bullet consumption. All single-space analysis developed during the present research showed that a single space without shading devices requires more energy than a space characterized by shaded windows. This effect is caused by the positive impact of shading devices on cooling loads during the summer which resulted always larger than the negative impact on heating loads during the winter. In other words, the implementation of fixed shading devices improves singlespace annual energy performances.
- Qualitative identification of fixed shading device characteristics for obtaining best annual energy performances. Single-space analysis results showed that shading device projection length is the most impacting variable for energy consumptions. As explained in the previous paragraph, the main improvement arises from the cooling gains obtained during the summer period. Therefore, fixed shading devices with a length range between 40 and 60 inches led to better energy performance that shorter ones.
- Identification of the best combination of geometric variables for fixed shading devices. On the basis of the considerations reported in chapter four

the optimum shading device that led to the highest annual energy savings for the single-space had a length of 56 inches, an extension beyond window borders of 4 inches and a distance from the top window border of 12 inches.

0 Quantitative identification of the percentage savings introduced by the implementation of the optimum shading device solution on the basis of annual energy consumption. For this research researchers considered only the last two case-studies, in which thermal mass had only smaller impact. Here values of savings for annual energy consumption ranged between 8.1 % of the five 5-by-6 windows space and the 13.7 % of the single 31-by—6 window space. However, these values represent the energy consumption gaps between optimum shading devices use and spaces without shading devices. Within the range of shading device use the difference between the optimum and the worst combination of variables was 2.4 % for the five punctured windows and 8.7 % for the single wide window. Specific values of all single-space analysis are reported in appendix C.

6.3 Whole-building analysis

The whole-building simulation process was described in chapter Five. In order to identify the information that could be used as reliable conclusions for future projects, researchers focused on the limitations of the specific experiment. On the basis of these limitations, a list of general conclusion was developed.

6.3.1 Whole-Building Analysis Limitations.

A primary limitation of the research was the use of ^a single case-study building. Other building conditions and configurations could have led to other possible results. The main variables that could affect analysis and conclusions are building design and location.

From the design point of view, the Arco school could be considered typical for the region. Shapes of either single rooms and of the entire complex are simple and squared. Moreover, as previously explained in section 6.1 for single-space limitations, geometric features of educational institutions are heavily standardized by local and governmental codes. However, other aspects, such as, high window configuration and space orientation are specifically related to this single project. Final conclusions about the whole-building Simulation results certainly won't be valid for all possible school building designs. Therefore it's important to identify some key elements of the building that affected the energy model analysis and that could be used as reference parameters to calibrate the applicability of final conclusions. For the scope of the analysis, researchers identified the following main categories:

- 0 Space design related elements: floor area, ceiling height, building weight, average light consumption (Watts per Square Foot), space occupancy, type of activities performed, wall/window area ratio, type of walls and interior partitions.
- 0 Mechanical system related elements: thermostat cutoffs, thermal efficiency, ventilation system type, operating schedule, heating plant settings, cooling system components.

Specific values related to the whole-building analysis input data are reported in appendix D. Future project teams will be able to implement the whole-building analysis information listed below only after comparing the proposed design with the Arco school project.

Under the whole-building analysis section the researcher considered cost savings only as total annual energy cost reduction. The analysis of costs related to installation and maintenance of fixed projecting shading devices was not in the scope of this research and therefore it was not taken into account.

Another aspect that has to be considered prior to drawing final conclusions is the limited number of locations investigated. In order to prove the consistency of the data obtained the whole-building simulation was run for four locations, intended as weather conditions and geographical coordinates. Researchers chose four cities respectively located in Italy, Spain and Germany. For each simulation output values were considered; the total annual energy cost, the total energy consumption and the annual cost of each mechanical system component. The considerations related to final results and reported in the next paragraph were confirmed by all four simulations. However, results can not be considered valid for all location inside the selected countries. The fact that all four analysis results coincide gives researchers a high probability, but not the certainty, that same considerations could be applied to contiguous locations.

118

6.3.2 Whole-Building Analysis Conclusions.

On the basis of these considerations, information obtained from the whole-space analysis that could be implemented for other cases are:

- Qualitative and quantitative impact of optimum fixed shading devices on whole-building energy consumption. All energy simulations conducted for the whole-building model implementing fixed shading devices characterized by the optimum combination of variables showed an annual energy consumption improvement. Percentage savings obtained from the analyses ranged between 1.1 % and 2.6 %, considering all kinds of energy use of the building. Two different energy sources were considered for the whole-building supply; natural gas and electrical energy.
- Qualitative and quantitative impact of optimum fixed shading devices on system components. Percentage savings resulting for whole-building total energy consumption proceeded from annual energy savings of each group of system components. Two main groups were identified; HVAC and non-HVAC devices. HVAC components included cooling loads, pre-cooling coil loads, pre-heating coil loads, heating coil loads, fans, pumps and part of the boiler heating loads. All other loads and of energy consuming elements implemented in the building, such as, process loads and lighting loads, were listed under the non-HVAC components section. As HAP analysis results Showed, the impact of fixed shading device implementation is different for different system components. The highest shading device impact was noticed for the annual electrical energy consumption HVAC. Under this point, percentage savings calculated for the four case-studies ranged between the 8.15 % of the Valencia simulation and the 8.63 % of the Frankfurt simulation.

These values were interpreted by researchers as the consequence of shading device impact on cooling loads during the summer period. On the other hand, savings related to annual consumption of natural gas, which in the Arco project was mainly used for heating purposes, didn't undergo any considerable changes. Percentage savings related to natural gas annual consumption ranged between - 0.2 % and 0.9 %. It is important to highlight the negative value obtained for the Frankfurt case-study. Researchers interpreted this as the consequence of heating losses caused y the implementation of shading devices during the winter period. Especially in northern areas characterized by rigid winter temperatures, heating gains caused by direct solar irradiation play an important role in whole-building energy balance. The presence of fixed shading device has a negative impact on solar gain. However, such losses are abundantly overbalanced by cooling gains during the summer related to HVAC electrical energy consumption.

Qualitative and quantitative impact of optimum fixed shading devices on \bullet electrical energy consumption. Performance improvements highlighted for HVAC energy consumption are reflected also on the total annual building electrical energy balance. Under this particular point, savings due to shading device use ranged between the 2.7 % for the Valencia case-study and the 3.2 % for the original Arco simulation. These values are substantially smaller than the ones cited above for the single HVAC electrical consumption and that is explainable by considering building process and lighting loads. In fact, these types of loads cover an important part of the total electrical energy consumption and they were considered constant for all simulations analyzed. More specific data related to electrical energy consumption values are reported in appendix D.

6.4 Development of Researcher Recommendations

On the basis of these considerations, the following recommendations are made for researchers as follows:

- Investigate the types of loads that could be affected by the implementation of fixed projecting shading devices.
- 0 Determine general rules and equations applicable to whole-building systems to quantify the impact of fixed shading device impact on cooling loads versus heating loads.
- Determine the qualitative and quantitative impact of fixed shading device use on different types of energy sources in relationship to mechanical system settings.
- Determine optimum weather conditions and geographical location which could maximize the positive impact of the fixed shading device use on whole building energy performance.

6.5 General Conclusions

All work done for the present thesis increased the researcher's knowledge and confidence in shading-device-related issues. Information has been used to explore their impact on energy use. The researchers have developed the following conclusions about the impact of frxed shading device on building energy performance.

- The implementation of fixed shading device has a positive impact on building \bullet energy performance and glare-related issues. All simulation results showed the increment of energy savings due to the implementation of such devices. On the other hand, glare control issues were not considered within the scope of the research but fixed shading devices certainly constitute one possible way to adjust them.
- Shading devices could possibly have also negative impact on daylight parameters. This issue was not specifically tested during the research but is implied by the literature.
- Implementation of fixed shading devices is strongly recommended in \bullet buildings characterized by large cooling loads and cooling-related mechanical systems.
- Longer projections of shading devices lead to better energy performance \bullet compared to short-projecting ones.
- Overall impact of shading devices is closely related also to window size and \bullet configuration, as well as, thermal mass characteristics of the building.

6.6 Impact of Fixed Shading Devices on LEED® Buildings.

The use of fixed shading devices has ^a positive impact on achieving LEED® credits. The main advantages arise from whole-building energy savings calculated on an annual period basis. The initial baseline case simulated for the original Arco School design showed a total annual energy consumption of 1,867,656 kBTU. In this case, the whole building was set up using basic default parameters assigned by LEED®, in accordance with the ASHRAE 90.1. On the other hand, the Arco School proposed design showed ^a total annual energy consumption of 991,218 kBTU with ^a

comprehensive annual saving of 47 %. Implementation of fixed shading devices showed an additional annual percentage energy saving of 2.6 % with reference to the proposed design. As the LEED® ranking list for energy savings shows, values of annual savings have a superior order of magnitude. For example, the proposed Arco School building earned 10 credit points exceeding 42% of annual energy saving. However, even a small gain such as the one due to shading device use could be very useful for the scope of the LEED® certification helping designers to achieve better energy performance levels.

Researchers found that, for LEED® purposes, use of fixed shading devices should not be considered as a major-effect element that heavily impacts the whole building accreditation process. However, it should always be considered as a possible solution to earn potentially one to two additional point under the EA Credit ¹ section.

6.7 Areas for Future Research

The present research investigated a very specific element related to the use of fixed shading devices. Therefore, this thesis has, as previously explained, many limitations that were already considered throughout the whole text. However, limitations can also be seen as opportunities to develop other research studies and eventually to validate the information discussed previously. From this point of view, researchers considered the following fields.

Analysis results showed that annual energy savings due to fixed shading device use result from cooling gains during the summer period. However, fixed shading devices have a negative impact during the winter period due to the sun heating losses that they

cause. An interesting aspect could be the impact of movable shading devices on whole-building performance. Movable devices could provide both cooling gains during the summer and heating gains during the winter. Theoretically this solution should have a positive impact on the whole-building energy balance and other researchers could focus on how effective this solution could be in terms of percentage savings. For the scope of the present research the whole-building analysis were nm for a limited number of locations. AS already explained above, researchers can not extend the applicability of the results to different locations. However, all data implemented for the current whole-building analysis could be used as a platform to run other energy simulations with different location settings. This operation would integrate the work done for the present research and will also assign a higher value to all data obtained. cause. An interesting aspect could be the impact of movable shaking devocs on
whole-building performance. Movable devices could provide both cosing gains
during the summer and besting gains during the winter. Theoreticall

Another area of interest for future research is related to building design. For the present thesis the Arco school building was chosen as a case-study design and tested under different conditions. Using the conclusions of this work as a starting platform, other researchers could apply the same process to different building designs in order to verify how fixed shading devices could impact whole-building energy consumption for various designs. Depending on the results obtained, researchers could then identify some main design parameters that affect the impact of shading devices on energy performance.

6.8 Chapter Summary.

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In this chapter researchers summarized and explained the most important conclusions identified during the research. Considerations of limitations and area of future research are also presented.

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— APPENDICIES —

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- APPENDIX A —

The LEED® System

A.l The LEED and USGBC Background

During the early 1990's the green building movement begun to take hold. The hopes of the American Society of Testing and Material (ASTM) of creating a sustainability standard failed. The movement's supporters begun looking for an alternative organization willing to develop a rating system. The U.S. Department of Energy became an ally of green building followers and by 1996 had contracted with Public Technology, Inc. (PTI) and United States Green Building Council (USGBC) to develop the "Sustainable Buiding Technical Manual: Green Building Design, Construction and Operations" (PTI 1996). The manual was written under contract, including editorial contributions from people closely aligned with USGBC. The first paragraph of the Manual firmishes a good idea of how this movement was conceived:

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Public Technology, Inc. developed this manual to address the growing demand for information on the design and construction of green buildings. The manual was jointly sponsored by PTI '3 Urban Consortium Environmental and Energy Task Forces. The U.S. Green Building Council (USGBC) worked with P77 to develop the manual. David Gottfried of Gottfried Technology Inc., served as managing editor. An Advisory Committee of local-government and private-sector representatives assisted in developing the manual. The manual underwent a consensus review process by members of the USGBC and was peer reviewed by U.S. DOE and U.S. EPA officials.

Source: "American Wood Council " website — www.awc. org The Leadership in Energy and Environmental Design (LEED) rating system is a credit-driven assessment program for rating new and existing commercial, institutional, and high-rise residential buildings. Evaluation of environmental

128

performance is made from a "whole building" perspective over a building's life cycle, and a standard scale is provided to define what constitutes a " green building."

These overall ratings are awarded based on how many points are accumulated. Assessment and point scoring is conducted by LEED accredited designers. USGBC also accredits these design professionals and provides third-party review of the building's compliance with LEED.

A.2 LEED Overview

The LEED Green Building Rating System was developed by the U.S. Green Building Council as a voluntary, consensus-based national standard for developing highperformance, sustainable building projects. According to USGBC, LEED was created for the following reasons:

- 0 Facilitate positive results for the environment, occupant health and financial return
- Define "green building" by establishing a common standard for measurement
- Prevent "greenwashing" (false or exaggerated claims)
- Promote whole-building, integrated design processes
- Recognize environmental leadership in the building industry
- Stimulate green competition
- 0 Raise consumer awareness of green building benefits
- Transform the "building market"

Source: Northeast Waste Management Officials' Association website (http://www.newmoa.org)

A wide variety of benefits can be achieved by building green buildings to comply with LEED. These benefits include energy savings, economic benefits, improved health of building occupants and conservation of precious resources. Benefits range from being fairly predictable (energy, waste and water savings) to relatively uncertain (productivity / health benefits).

The LEED system utilizes ^a list of performance based "credits" worth up to ⁶⁹ points. Organizations pursuing LEED certification voluntarily adopt and document compliance with selected standards, and upon achieving various thresholds of compliance, step levels of LEED certification ratings are achieved. There are 4 prerequisite areas that every building must meet and several credit options in each area. These 69 credits are divided into six categories: Sustainable Sites (SS); Water Efficiency (WE); Energy and Atmosphere (EA); Materials and Resources (MR); Indoor Environmental Quality (IA); and Innovation & Design Process (ID). In order to attain LEED certification, ^a minimum of ²⁶ points must be achieved. A Silver rating is achieved by earning between 33 and 38 points, Gold between 39-51 and Platinum between 52 and 69 points. The distribution of points by general category is **Shown in Tables 1.1 - 1.2 - 1.3 below. This could be considered as the main LEED** Organic structure.

The LEED classification systems had been developed under the supervision of USGBC committees, in relationship with the USGBC politic and processes. Some differences between the definition and further application of each credits come up depending on the considered building. The most general one is the LEED NC (New Construction) that relies up to 69 credits. It is just one more product between the Whole range of classification systems that are taking place among the LEED world. These files could be summarized as follows:

Source: www.usgbc.org (visited on 17th February 2008) Figure A. 1: LEED System organization

Table A2: LEED NC Point Distribution Source: LEED NC v. 2.2 Reference Manual \blacksquare

Figure A.3: LEED Credit Categories Source: www.usgbc.org (visited on $17th$ February 2008)

Table A.4: LEED Certification Levels Source: USGBC 4, 2005

The following lists were extracted from the LEED NC reference manual and describes the principal concepts of each chapter:

69 pc
Table A.4: LEED Certific
Source: USGBC 4,
wing lists were extracted from the LEEI
ipal concepts of each chapter:
SS Credits: are designed to develop o
i and / or sites, protect natural and agrile use and protect and LEED SS Credits: are designed to develop only appropriate sites, reuse existing buildings and / or sites, protect natural and agricultural areas, reduce the need for automobile use and protect and / or restore natural sites. The principal arguments covered under this chapter are:

0 Construction Activity Pollution Prevention;

- Site Selection;
- Development Density & Community Connectivity;
- o Brownfield Redevelopment;
- Alternative Transportation: (Public, Bicycle Storage, Low Emitting & Fuel Efficient Vehicles, Parking Capacity);
- Site Development;
- Storm water Design;
- Heat Island Effect;
- Light Pollution Reduction.

LEED WE Credits: aim to reduce the quantity of water needed for a building and to reduce municipal water supply and treatment burden. The main arguments covered under this chapter are:

- 0 Water Efficient Landscaping;
- Innovative Wastewater Technologies;
- 0 Water Use Reduction;

LEED EA Credits: are based on the main idea of building energy performances. The compliance with them provides a high-efficiency energy-use performance for the building and for its utilities. The main arguments covered under this chapter are:

- 0 Commissioning Authority and Commissioning Process (accounted as a system-and-building check-up team)
- **Minimum Building Energy Performance**
- 0 Refrigerant System Management
- 0 Energy Performance Optimization
- On-Site Renewable Energy Use
- Measurement & Verification Approach
- Renewable Energy Use

LEED MR Credits: support the appropriate evaluation and choice of materials used inside the building, either for design, construction, health and recycling issues. The main arguments covered under this chapter are:

- 0 Recyclables Materials and Systems
- **Building Reuse**
- 0 Construction Waste Management
- **Materials Reuse**
- Use of Regional Materials
- 0 Use of Renewable Materials
- Use of Certified Wood

LEED EQ Credits: are pointed toward the building indoor quality improvement. An effort to achieve a high quality standard for the indoor environment based on air quality, pollutant avoidance and chemical products use. The main arguments covered under this chapter are:

- Tobacco Smoke Control
- Air and Ventilation Monitoring
- Use of Low-Emitting Materials
- 0 Pollutant Source Control
- Thermal Comfort Systems

LEED ID Credits: are designated to push all constructions toward the use of new technologies, ways and methods to improve the building performance. Under this chapter are also considered the assignment of extra point due to some high performance achievement under the previous credit chapters. Except for these last ones the main arguments covered under this chapter are:

• Innovation in Design

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⁰ Presence of ^a LEED Accredited Professional

— APPENDIX B —

Implementation of the LEED® System.

B. 1 The LEED World-Wide Status to Date.

The LEED system has been growing up very quickly during the last years, starting from a national dimension it founded a very positive international feedback. Every country, afier the early creation of the US GBC, started developing their own Green Building Councils.

The World GBC held its founding meeting in November of 1999 in San Francisco, California USA, with eight countries in attendance including:

- U.S. Green Building Council;
- Green Building Council of Australia;
- Spain Green Building Council;
- United Kingdom Green Building Council;
- Japan Green Building Council;
- United Arab Emirates;
- Russia.

Source: World GBC - www.worldgbc.org (visited 02/27/2008)

Global awareness of the urgent need to reduce greenhouse gas emissions and other $environmental degradation mandates the rapid formation of green building courcils$ around the world. Buildings are responsible for 40% or more of greenhouse gas emissions in the developed world (www. worldgbc. org).

The World Green Building Council was created as ^a union of national councils whose mission is to accelerate the transformation of the global property industry towards sustainability.

World GBC members have been leading the movement that is globalizing environmentally and socially responsible building practices. Its objective is to rapidly build an international coalition that represents the entire global property industry. Now ^a day the World GBC is ^a business-led coalition. Green Building Councils are consensus-based not-for-profit organizations with no private ownership, and diverse and integrated representation from all sectors of the property industry. Business are considered as a powerful solution-provider, and the main purpose will be to improve frameworks that harness business's ability to deliver. Another important goal of the World GBC is to coordinate efforts with other international forces to optimize everyone's effectiveness. World GBC has partnered the Clinton Climate Initiative (CCI), and supports UNEP's Sustainable Building and Construction Initiative, and the World Business Council on Sustainable Development's Zero-energy Buildings Project.

In Europe, Spain already created its own GBC in ¹⁹⁹⁶ and now Italy is following the sare path, starting from some prototype-projects in the Trentino Region. Also in the "Old Continent", especially concerning public and important projects, many countries and companies are now leading toward massive green building construction, that is Caused by the always increasing needs of sustainability and, on the other hand, by the Wish of these companies and countries to improve their image by developing Sustainable and therefore innovative buildings.

Some statistical data concerning the Canadian LEED system (one of the most representative ones) growth during the last years are given below in order to support these claims. Its development is fairly larger in the US (when it started in the early 90ies) rather than in the rest of the World. Despite of that numbers and information

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are typically the ones of an international relationship with LEED strong of ^a constant are typically the ones of an international relationship with LEED strong of a constant
increase of budget, constructions and governments involved. increase of budget, constructions and governments involved. e typically the ones of an international relationship with LEED strong of a constant
crease of budget, constructions and governments involved.

Figure 8.1: CaGBC membership growth by month (last update May 2007). Source: www.worldgbc.org/docs/Canada.ppt (visited 03/20/2008).

These new construction systems and technologies are experiencing rapid growth. For this main reason the research team decided to focus on LEED and its potential development. From a professional point of view the relative newness of these Councils and certification systems is opening a large number of potential development and growth fields. However, they still present some weaknesses and Substantial problems that need to be solved. The well-established presence of the US Green Building Council has already developed out guidelines that other institutions could, and in some cases will have to, follow. Especially for the very recent councils, different realities of different countries will have to be compared. The presence of Specific laws and requirements strongly affects the approach to the LEED system and therefore, could become very difficult and complicated under certain conditions. One Of the main concepts that will have to be considered for the development of this research project is the current need of comparison guidelines that could support the

European use of the LEED protocol, with respect to the US Green Building Council standards.

After consulting some authoritarian parties, such as Spanish GBC experts, faculty **professors and LEED A.P.** professionals, leading to a preliminary analysis of the potential issues, the four basic problems that currently can be identified in Europe are:

- l. The need for developing a "Commissioning System" similar to that in the USA. Legal, institutional and occupational comparison between the two different social and professional structures and creation of a standard process model showing how this professional task could be exported to the European system, where it still doesn't exist.
- Coordination between the growth and the improvement of the Indian, Spanish and Italian Green Building Councils is needed.
- Most European manufacturers are currently unable to supply materials for LEED projects because their products have not been certified according to the US standards. A comparison between the main protocols that regulate the product certifications inside the American and European systems and eventual application limits to the LEED Standard purpose is needed.
- The "Energy and Atmosphere" chapter contained in the US LEED Manual is the most important for all issues related to building energy performance. An equivalent European management system for these aspects of LEED has not been created yet. Comparison and adjustment of the European standards to American requirements is needed. Implementation of architectural and design solutions concerning screening and shading devices for buildings needs to be

developed in order to meet environmental standards, especially for what concerns the summer-period issues.

The forth of these problems will be addressed by the proposed research.

In this field, because of their nature itself and for the purpose of ^a research project with a wide range of applicability, the use and implementation of screening and shading devices plays a main role beside other architectural features such as building exposure and use of natural ventilation. The implementation of the last ones can not be extended to some general project conditions because their effect on building performances depends on too many unpredictable variables and therefore such a research project would not result accurate. Climate, wind and exposure characteristics can vary deeply between different zones, even if considering reduced portion of land, whereas shading devices and strategies depend on fewer, predictable and standard features like site latitude, season (sun position) and building orientation

A research based on shading devices effects would provide more measurable, Comparable and therefore accurate results.

3.2 ASHRAE Advanced Energy Design Guide for Small Office Buildings

This standard is strictly connected with the previous document (ASHRAE Standard) and provides a simplified approach for small office buildings. The possibility to follow easy approaches for minor constructions has a big importance given that its applicability would cover a large number of cases. Although this would not be as issue because the cost reduction arising from calculation processes cut down will allow the owners to meet some basic requirement with no extra expenses. The results Of this research will have to be applicable to different situations, not only considering

the main constructions, but also the minor ones. Therefore is important to have an acknowledgment of the requirement listed under this document which includes:

- 0 Integrated Process to Achieve Energy Savings during the different project stages: Pre-Design Phase, Design Phase, Construction, Acceptance, Occupancy, Maintenance and Other Operation.
- 0 Recommendations by Climate: the choice of the area has to fall upon one of the eight zone-types provided, depending on the project site characteristics.

Improvement of building features through implementation of recommendation: quality assurance, envelope, opaque envelope components, vertical glazing (envelope), window design guidelines for thermal conditions, window design guidelines for daylight, lighting, day lighting, day lighting controls, electric lighting design, HVAC, service water heating, bonus savings, plug loads, exterior lighting. ndow design guidelines
daylight, lighting, day
, HVAC, service water h
hermal Performance Fac
Source: www.ashrae.org

0 Envelope Thermal Performance Factors.

Source: www.ashrae.org (visited on 03/20/2008)

— APPENDIX C —

Single-Space Analysis Results.

CI The LEED and USGBC Background

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C.2 Single-Space Analysis B Results. C.2 Single-Space Analysis B Results.

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C.3 Single-Space Analysis C Results. C.3 Single-Space Analysis C Results.

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C.4 Single-Space Analysis D Results

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— APPENDIX D —

Detailed Report of the Whole-Building Analysis Input Data.

D.l Entire Arco School Input Data 1.1 Entire Arco School Input Da

General Details:

Building Name

Plants Included in this Building:

1. General Details:

Building Name Entire Arco School

2. Plants Included in this Building:

3. Air Systems Included in this Building:

4: Miscellaneous Energy

5: Meters

Electric ... Arco electric

Natural Gas ... Arco natural gas

6: Miscellaneous Data

D.2 Arco Heating Plant Input Data

I. General Details:

2. Air Systems served by Plant:

3. Configuration

4. Distribution

Distribution System

Type Primary/Secondary, Variable Speed Secondary

Fluid Properties

Primary Loop

Secondary Loop

Control Head ... 0.0 fl wg

Minimum Pump Flow ... 100.0 %

D.3 East Thermal Block Input Data

1. General Details:

2. System Components:

Ventilation Air Data:

Economizer Data:

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Ventilation Reclaim Data:

Central Cooling Data:

Capacity Control Temperature Reset by Greatest Zone Demand

Supply Fan Data:

Duct System Data:

Supply Duct Data:

Return Duct or Plenum Data:

Return Air Via .. Ducted Return

3. Zone Components:

Space Assignments:

Thermostats and Zone Data:

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 $\Delta \sim 10^{11}$ and $\Delta \sim 10^{11}$

 $\Delta \sim 10^4$

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Supply Terminals Data:

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4. Sizing Data (Computer-Generated):

System Sizing Data:

Hydronic Sizing Specifications:

Safety Factors:

Zone Sizing Data:

Zone Airflow Sizing Method Sum of space airflow rates

Space Airflow Sizing Method Individual peak space loads

5. Equipment Data

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Central Cooling Unit - Air-Cooled DX

D.4 West Thermal Block Input Data

1. General Details:

2. System Components:

Ventilation Air Data:

Airflow Control Scheduled control

Ventilation Sizing Method ASHRAE Std 62-2001

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Economizer Data:

Ventilation Reclaim Data:

Central Cooling Data:

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Duct System Data:

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Supply Duct Data:

Return Duct or Plenum Data:

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3. Zone Components:

Space Assignments:

Thermostats and Zone Data:

Supply Terminals Data:

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Zone Heating Units:

4. Sizing Data (Computer-Generated):

System Sizing Data:

Hydronic Sizing Specifications:

Zone Sizing Data:

Zone Airflow Sizing Method Sum of space airflow rates

Space Airflow Sizing Method Individual peak space loads

5. Equipment Data

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Central Cooling Unit - Air-Cooled DX

D.5 North Thermal Block Input Data

1. General Details:

2. System Components:

Ventilation Air Data:

Airflow Control Scheduled control

Ventilation Sizing Method ASHRAE Std 62-2001

Economizer Data:

Ventilation Reclaim Data:

Central Cooling Data:

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Duct System Data:

Supply Duct Data:

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 $\Delta \sim 10^{11}$ km

 ~ 10 $\mathcal{L}_{\rm{max}}$

Return Duct or Plenum Data:

Return Air Via .. Ducted Return

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3. Zone Components:

Space Assignments:

Thermostats and Zone Data:

Supply Terminals Data:

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Zone Heating Units:

4. Sizing Data (Computer-Generated):

System Sizing Data:

Hydronic Sizing Specifications:

Zone Sizing Data:

Zone Airflow Sizing Method Sum of space airflow rates

Space Airflow Sizing Method Individual peak space loads

D.6 South Thermal Block Input Data

1. General Details:

2. System Components:

Ventilation Air Data:

Economizer Data:

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Ventilation Reclaim Data:

Central Cooling Data:

Supply Fan Data:

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Supply Duct Data:

Return Duct or Plenum Data:

Return Air Via .. Ducted Return

3. Zone Components:

Space Assignments:

Thermostats and Zone Data:

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Supply Terminals Data:

Zone Heating Units:

4. Sizing Data (Computer-Generated):

System Sizing Data:

Hydronic Sizing Specifications:

Safety Factors:

Zone Sizing Data:

Zone Airflow Sizing Method Sum of space airflow rates

Space Airflow Sizing Method Individual peak space loads

5. Equipment Data

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Central Cooling Unit - Air-Cooled DX

— APPENDIX E —

Whole-Building Analysis Results: Multiple Geographical Locations.

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NAPLES - DESIGN CASE RESULTS

Table 1.

Table 2.

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Annual Energy Consumption

NAPLES - BASELINE CASE

Table 2.

Annual Energy Consumption

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NAPLES - DESIGN CASE RESULTS NAPLES – DESIGN CASE RESULTS
Table 3.
Annual Cost per Unit Floor Area

Table 3.

Annual Cost per Unit Floor Area

NAPLES - DESIGN CASE RESULTS	
Table 3.	
Annual Cost per Unit Floor Area	
Component	Entire Arco school
	shading (\$)
HVAC Components	
Electric	0.108
Natural Gas	0.055
HVAC Sub-Total	0.163
Non-HVAC Components	
Electric	0.426
Natural Gas	0.136
Non-HVAC Sub-Total Grand Total	0.562 0.725
	62227.5
Gross Floor Area (ft ²) Conditioned Floor Area (ft ²)	33801.5
Table 4.	
Component Cost as a Percentage of Total Cost	
Component	Entire Arco school
	shading (\$)
HVAC Components Electric	14.9
Natural Gas	7.6
HVAC Sub-Total	$\overline{22.5}$
Non-HVAC Components	
Electric	58.7
Natural Gas	18.8
Non-HVAC Sub-Total Grand Total	77.5 100

Table 4.

Component Cost as a Percentage of Total Cost

Table 4.	
Component Cost as a Percentage of Total Cost	
Component	Entire Arco school shading (\$)
HVAC Components	
Electric	14.9
Natural Gas	7.6
IHVAC Sub-Total	22.5
Non-HVAC Components	
lElectric	58.7
lNatural Gas	18.8
Non-HVAC Sub-Total	77.5
Grand Total	100

NAPLES — BASELINE CASE

Table 3.

NAPLES – BASELINE CASE
Table 3.
Annual Cost per Unit Floor Area Annual Cost per Unit Floor Area

NAPLES - BASELINE CASE	
Table 3.	
Annual Cost per Unit Floor Area	
Component	Entire Arco school
	shading (S)
HVAC Components Electric	
Natural Gas	0.123 0.055
HVAC Sub-Total	0.178
Non-HVAC Components	
Electric	0.426
Natural Gas	0.136
Non-HVAC Sub-Total	0.562
Grand Total	0.74
Gross Floor Area (ft ²)	62227.5
Conditioned Floor Area (ft ²)	33801.5
Table 4.	
Component Cost as a Percentage of Total Cost	
Component	Entire Arco school
HVAC Components	shading (\$)
Electric	16.6
Natural Gas	7.4
HVAC Sub-Total	24.1
Non-HVAC Components	
Electric	57.5
Natural Gas	18.4
Non-HVAC Sub-Total Grand Total	75.9 100

Table 4.

Component Cost as a Percentage of Total Cost

E.2 Location # 2: Valencia — Spain. E.2 Location # 2: Valencia – Spain.
VALENCIA – DESIGN CASE RESULTS
Table 1.
Annual Costs

VALENCIA - DESIGN CASE RESULTS

Table 1.

Table 2.

Annual Energy Consumption

VALENCIA – BASELINE CASE
Table 1.
Annual Costs VALENCIA — BASELINE CASE

Table 1.

Annual Costs

Annual Energy Consumption

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VALENCIA - DESIGN CASE RESULTS VALENCIA – DESIGN CASE RESULTS
Table 3.
Annual Cost per Unit Floor Area

Table 3.

Annual Cost per Unit Floor Area

Table 4.

Component Cost as a Percentage of Total Cost

VALENCIA – BASELINE CASE
Table 3.
Annual Cost per Unit Floor Area VALENCIA - BASELINE CASE

Table 3.

Annual Cost per Unit Floor Area

Table 4.

Component Cost as a Percentage of Total Cost

E.3 Location # 3: Frankfurt — Germany. E.3 Location # 3: Frankfurt – Germany.
FRANKFURT – DESIGN CASE RESULTS
Table 1.
Annual Costs

FRANKFURT — DESIGN CASE RESULTS

Table 1.

Table 2.

Annual Energy Consumption

FRANKFURT-BASELINE CASE
Table 1.
Annual Costs FRANKFURT— BASELINE CASE

Table 1.

Annual Costs

Table 2.

Annual Energy Consumption

FRANKFURT – DESIGN CASE RESULTS
Table 3.
Annual Cost per Unit Floor Area FRANKFURT — DESIGN CASE RESULTS

Table 3.

Annual Cost per Unit Floor Area

Table 4.

Component Cost as a Percentage of Total Cost

FRANKFURT-BASELINE CASE
Table 3.
Annual Cost per Unit Floor Area FRANKFURT- BASELINE CASE

Table 3.

Annual Cost per Unit Floor Area

Table 4.

Component Cost as a Percentage of Total Cost

— APPENDIX F —

Additional information related to patented shading devices and existing research.

 $\bar{\mathcal{A}}$

1. Patented Movable Shading Devices.

- U.S. Patent no. $4.517.960$ Bartenbach Christian 1983 is an invention of a protection device against sunlight. The shading device consists of:
	- A plurality of slats that are made of ^a light-permeable, refracting material. The slats have a flat non-reflective base surface on a side oriented to the sun and a prismatic structure on the opposite side oriented away from the sun, as shown in figure 2.12.
	- The prismatic structure consists of prismatic rods that have a triangular cross section. The prismatic rods are parallel to the longitudinal axes and have two nonreflective surfaces that work only by total internal reflection, as illustrated in figure 2.13.

The slats are arranged in a side-by-side relationship in a window opening. The slats are mechanically coupled to one another, and all the slats are simultaneously moved at the same time and for the same angle around the longitudinal axes (Bartenbach - 04/22/2008).

Figure F1: Isometric view of the patent 4,517,960 shading device.

(Bartenbach Christian - 1983)

Figure F2: Cross section through the prismatic slat of the patent 4,517,960 shading device

(Bartenbach Christian - 1983).

Inclination of the slats can be changed during he day and year, depending on the angle of the sun rays. This shading device has the following advantages:

- Improved light transmittance.
- Effective protection from the sunlight.
- Does not require adjustment of the slat inclination during the day for the south orientation since the required screening conditions are fulfilled during several days.
- It requires little adjustment throughout the year for the south orientation. For instance, only four adjustments of the angle of inclination of the slats are needed. These four adjustments cover the entire range of change in altitude angle in accordance with the time of year (in meridian plane 47°) with a screening range of 12° in the same plane.

The slats can be adjusted to let sun rays enter the interior space and be used for heating the space in winter. Transparency of the shading device can be improved in two ways:

- By improving the transparency of the obstructed (slat) area or
- Reducing the obstructed (slat) area

Transparency of the obstructed area can be improved by adding another prism array. The. slats become transparent since the additional prism restores the direction of the light rays that pass through the slat and are not internally reflected.

0 U.S. Patent no. 3,438,699 - B.I. Seeger 1969 - The shading device consists of multiple slats assembled in a configuration similar to Venetian blinds. The slats can be either horizontal or vertical, and they are collectively moved depending on the sun angle, as shown in figure 2.14. Each slat consists of two prisms which are oriented opposite of each other. Together they form a rectangular cross-sectioned slat, being

0.1707" thick, and 2. wide, and as long as one dimension of a sunlight area as shown in figure 2.15 (Seeger – 22/04/2008). hick, and 2. wide, and as long as one dimension of a sunlight a
2.15 (Seeger – 22/04/2008).

Figure F3: Horizontal section of the patent 3,438,699 prismatic slat (Seeger –

22/04/2008)..

Figure F4: Isometric view of the patent 3,438,699 prismatic shading device. $(Seeger - 22/04/2008)$

The ridges of prisms are immersed in a thin medium, such as air or a vacuum, which has an index of refraction lower than the prism material. The materials used for slats are highly transparent to all light, such as a transparent polymethyl methacrylate material, which has an index of refraction 1.49. Figure 2.16 reported below shows a cross section of the prismatic shading device.

Figure F5: Cross section of the patent 3,43 8,699 prismatic shading device (Seeger — 22/04/2008).

Refiaction and total reflection of the sun rays for different incident angles can be calculated using the sample scheme reported in figure 2.17:

- ⁰ Ray A has an incident angle 0°, that is, it is perpendicular to the surface, and it will be totally internally reflected, protecting the interior space from overheating and glare.
- Ray B is twice refracted and emerges parallel to its original direction. An occupant has a clear and undistorted view along the line of such a ray. Ray B is not ^a glare ray and it supplies the desired light to the interior space. Ray B forms ^a "clear view range."
- Ray C is refracted at the first outside surface and after that there are several . successive total internal reflections at the parallel surfaces of the slat. Rays C forms an "opaque view range."

Figure F6: Detailed cross section through the patent 3,438,699 prismatic shading device (Seeger — 22/04/2008).

This shading device totally reflects all glare rays. Indirect glare rays are totally reflected while other light rays that have less energy are provided to the space beyond the location of the slats by:

- Construction of the slats proposed by this invention.
- Using transparent materials with indices of refraction equal to or greater than $\tilde{a}2$.
- Control of their collective rotation.

The other strategy for improving the transparency of a shading device is reducing the slat area. A different functional arrangement of the slats is needed for achieving this goal. Assuming a mean solar profile angle between 45° and 60°, only a retro-reflecting slat will provide protection at nearly a horizontal orientation. Figure 2.18 shows a slat with a retro-angle of 45°.

Figure F7: Detailed cross section through the retro/reflecting slat (Seeger — 22/04/2008).

2. Existing Research: "The Light Pipes".

"Light Pipes: Innovative Design Device for Bringing Natural Daylight and Illumination into Buildings with Deep Floor Plan (Patent Applied)" — T.R. Hamzah & Yeang Sdn Bhd Architects — Research work developed for the Asian Innovation Awards 2003.

"The Light Pipes".

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e analyzed in this dissertation is the "light-pipe"

insmitting The innovative device analyzed in this dissertation is the "light-pipe", a passive lowenergy device for transmitting natural daylight into buildings with deep plans. The daylight is transmitted horizontally and vertically using internal mirrored surfaced within a box-tube structure (hence the term "pipe") coupled with laser-cut panels (LCP) at the outer edge of the pipe as collectors. 1n buildings with deep plans (> ¹⁰ m from windows), the usual natural illumination from daylight from side windows becomes impossible. Previous studies (Hansen et a1. 2001) have shown the potential of mirrored light-pipes in deep-plan buildings, but that light distribution and extraction along the pipe was not optimal. This work developed an optimization of this solution. The main improvement done with this work is relative to the conveyance of solar rays through the use of laser cut panels, represented in figure 2.24. pptimal. This work developed an optimization of this solution. The main improvement
done with this work is relative to the conveyance of solar rays through the use of laser cut
panels, represented in figure 2.24. k developed an optimi

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l in figure 2.24.

The performance of the light pipes was enhanced with:

- ⁰ A laser cut panel light deflector at the input aperture to deflect high elevation light more directly along the axis of the pipe, as illustrated in figure 2.25a.
- A light extraction system to extract the required proportion of piped light into the inner zone.
- ⁰ A light spreading system that distribute the light away from the area directly below the light pipe.

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ds & All - 1995) were prode.
Leach cut became a thin
ular light. The fraction of which provid
eflected, f_d, d LCPs (Edmonds & All - 1995) were produced by making parallel laser cuts in transparent acrylic panels. Each cut became a thin mirror, which provides powerful deflection of non-perpendicular light. The fraction of light deflected, f_d , depended on the angle of

incidence, I, and the cut spacing to cut depth ratio, D/W, as shown in figures 2.25b and 2.25c for three nominal D/W ratios. ncidence, I, and the cut spacing to cut depth ratio, D/W, as shown in figures 2.25b and
2.25c for three nominal D/W ratios. $\frac{1}{2}$
h ratio, D/W, as shown in figures 2.25b

Figure F9: Detail of the Laser Cut Panels function system (Edmonds & All – 1995).

A: light-receiving end of the pipe.

B: Laser cut panel section. Incoming light deflected and transmitted.

C: Fraction of incident light deflected for different spacing to depth ratios (D/W).

This research considered two types of light-pipes, the horizontal and the vertical, and both were analyzed from the practical point of view in a case study in Kuala Lumpur.

Horizontal pipes.

The design used four horizontal light pipes per floor, oriented west-east, with LCP used as light collectors on the west facade. The pipes were ²⁰ m long, 2m wide and 0.8 m high, formed from 85% reflectance material. Each pipe was to illuminate an area of 12 x 12 m. LCP as collectors are inclined at an angle of 55°, which was the optimum angle for a fixed system (in Kuala Lumpur) to redirect sunrays more axially along the pipe, and reduce the number of reflections. This parameter would have been the only one that would had to be re-defined at a practical and structural level because different locations would have been characterized by different latitudes and so different solar light incident angle. Five transparent panels were inserted at a fixed spacing (2 m) along each pipe with sufficient reflectance material to extract approximately one-fifth of the light at each aperture (Edmonds et a1. 1997). A triangular arrangement of LCPs was then used to redirect the extracted light sideways to achieve a better and more uniform light distribution over the floor space. Figure 2.26 shows the main element that characterize a horizontal light-pipe system.

229

Figure F10: Horizontal light pipe cross-section (Hamzah & Yeang - 2003).

Vertical pipes.

A vertical version was also developed comprising ^a pyramid form LCP collector to improve the redirection of less the 90° sun angles more axially into ^a ² m diameter, 18.4 m long vertical light pipe. The pipe had extraction apertures at each floor. Cone-shaped reflective extractors inclined at 37.5° were placed within the pipe at apertures to redirect the light into the space and illuminate an area of ¹² ^x 12m. A diffusing shelf surrounded each aperture to spread the light upwards and avoid direct view of the aperture by the occupants as schematically shown in figure 2.27.

Researchers considered also other aspects of the light-pipe that could also be implemented in the present research. Its conclusions provided a solid understanding of how these devices could be implemented. For each light-pipe type, the researcher developed some graphic models that represent the different device performances in relationship to the angle of solar light incidence. The initial idea of including light pipes in the simulation model to analyze their possible impact on energy and daylight performance was discarded because of the amount of additional work needed. However, the research was used as reference to define how analysis results could be reported and

illustrated. Graphic models ad curves similar to the one reported below were developed in order to link energy performance to shading device variables. For example, figure 2.27 shows Harnzah's graphic result with specific variables (distance from side wall, distance from front wall, lux level). Illustrated. Graphic models ad curves similar to the one reported below were developed in
order to link energy performance to shading device variables. For example, figure 2.2;
shows Hamzah's graphic result with specific v strated. Graphic models ad curves sine
to to link energy performance to sha
ws Hamzah's graphic result with spe
n front wall, lux level).

Figure F11: Schematic representation of the vertical light-pipe implementation system (Hamzah & Yeang - 2003).

For comparison between shading device performances and LEED® requirements, such diagrams was intersected with other curves indicating the LEED® minimum requirements. That could give designers an immediate and simple representation of shading device performance impact.

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Figure F12: Example of model result graphic representation (Hamzah & Yeang - 2003).

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