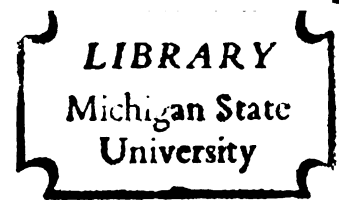


THE RELATIONSHIP AMONG THE FACTORS OF
COUNSELOR-CLIENT SOCIAL CLASS SIMILARITY,
EMPATHIC UNDERSTANDING, AND FELT SIMILARITY

Thesis for the Degree of Ph. D.
MICHIGAN STATE UNIVERSITY

Richard E. Lawrence

1965



This is to certify that the

thesis entitled

THE RELATIONSHIP AMONG
THE FACTORS OF COUNSELOR-CLIENT
SOCIAL CLASS SIMILARITY,
EMPATHIC UNDERSTANDING, AND FELT SIMILARITY
presented by

RICHARD E. LAWRENCE

has been accepted towards fulfillment
of the requirements for

Ph.D. degree in Education

George H. Miller
Major professor

Date 2 April 1965

ABSTRACT

THE RELATIONSHIP AMONG
THE FACTORS OF COUNSELOR-CLIENT SOCIAL CLASS SIMILARITY,
EMPATHIC UNDERSTANDING, AND FELT SIMILARITY

by Richard E. Lawrence

This investigation was undertaken for the purpose of learning more about the counselor-client relationship in counseling. Three variables (social class similarity, empathic ability, and felt similarity), were selected following an extensive review of the literature. It was hypothesized that there would be a positive relationship between social class similarity and empathic ability, social class similarity and felt similarity, and empathic ability and felt similarity.

In order to test the hypotheses, scales were selected that would permit these three variables to be quantified. Warner's Index of Status Characteristics (difference score), was chosen as the measure of social class similarity between counselor and client. The choice of the empathic ability scale was more difficult because of the relatively large number available from which to choose. After careful consideration, Dymond's Empathic Ability Scale was

se

fe

St

st

wa

ju

re

va

ant

ma

mer

th

ma

sc

co

in

th

nu

at

si

de

lar

hy

the

selected. Finally, there was need for a scale to measure felt similarity (counselor perceived). The Lesser Felt Similarity Scale was selected.

To test the hypotheses, a sample of twenty college students from four Michigan colleges and universities was obtained. These students were entering personal adjustment counseling and volunteered to participate in the research project. The number of counseling interviews varied from six to thirty-two. When termination was anticipated, the counselor gave the client an envelope of materials including Warner's and Dymond's scales already mentioned and instructions for completing the scales. At this time the counselor also completed his envelope of materials which included Warner's, Dymond's, and Lesser's scales, plus the necessary instructions. Client and counselor mailed the completed envelopes directly to this investigator.

The analysis of the data was accomplished by use of the product-moment coefficient of correlation. Fourteen null hypotheses were tested, and all but one was accepted at the 5% level of confidence. There was found to be a significant positive relationship (at the 1% level of confidence) between client-rated empathic ability and felt similarity as perceived by the counselor. The remainder of the hypotheses revealed either a negative relationship between the variables measured, or no relationship at all.

The conclusions drawn from the investigation suggested that at least within the counseling relationship, similarity between counselor and client may not lead to understanding as has been suggested in the literature.

THE RELATIONSHIP AMONG
THE FACTORS OF COUNSELOR-CLIENT SOCIAL CLASS SIMILARITY,
EMPATHIC UNDERSTANDING, AND FELT SIMILARITY

By
Richard E. Lawrence

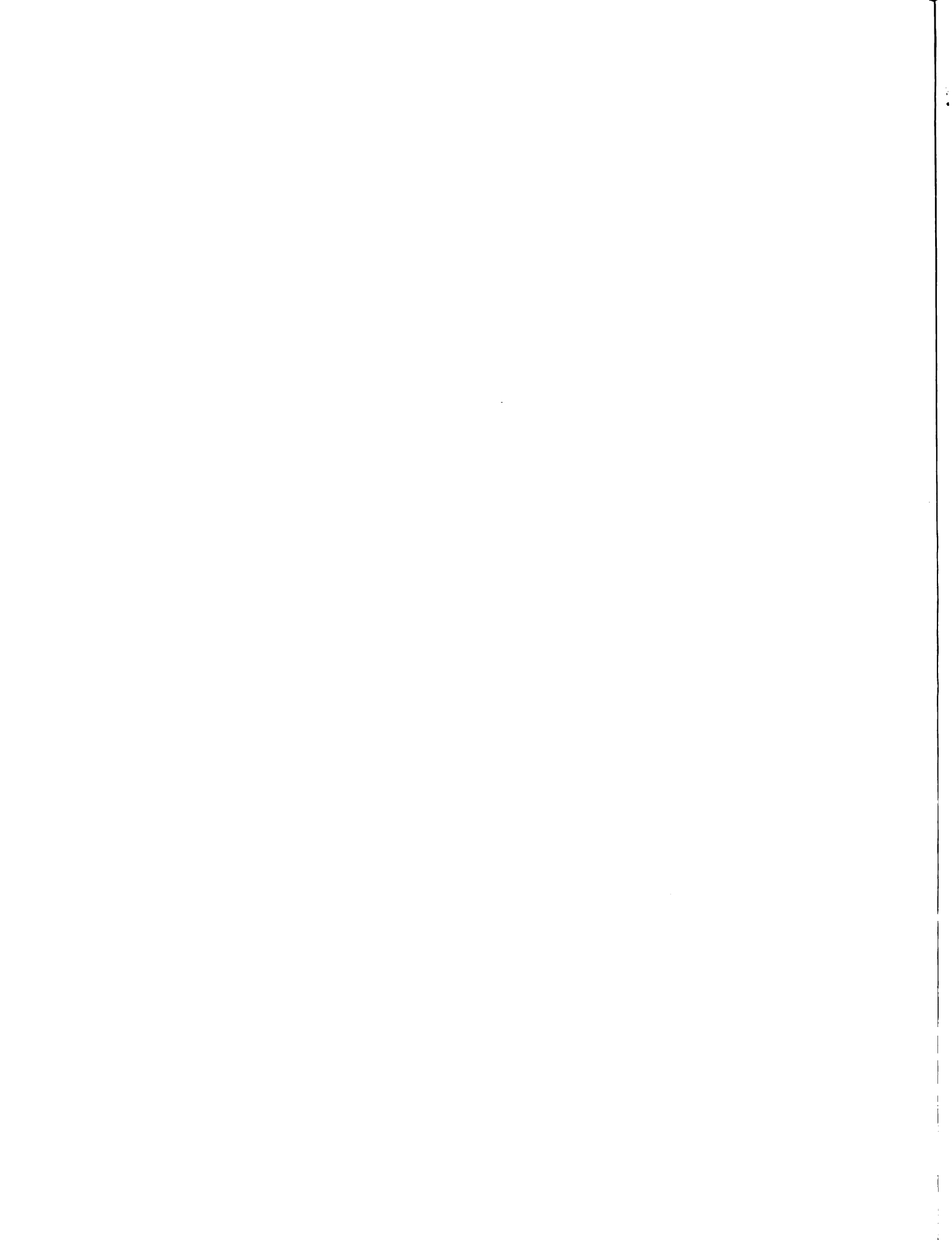
A THESIS

Submitted to
Michigan State University
in partial fulfillment of the requirements
for the degree of

DOCTOR OF PHILOSOPHY

College of Education

1965



35838
1-20-66

ACKNOWLEDGMENTS

The writer is indebted to a number of people for the successful completion of this study:

To Dr. Gregory Miller, this writer's major advisor, for guidance and encouragement;

To the members of this writer's committee, Dr. Walter Johnson, Dr. Donald Leu, and Dr. Charles Hanley for their cooperation and assistance;

To other persons too numerous to mention, who provided this writer with technical and statistical assistance;

And to this writer's wife, Christine, for patience and understanding.

* * * * *

TABLE OF CONTENTS

Chapter	Page
I. PROBLEM.....	1
Purpose of the Study.....	1
Need for the Study.....	1
Statement of the Problem.....	2
Limitations of the Study.....	3
Operational Definition of Terms.....	4
Theory.....	5
Research Hypotheses.....	8
Overview.....	9
II. REVIEW OF LITERATURE.....	10
Empathic Ability.....	10
Similarity and Interpersonal Communication.....	17
Social Factors and Personality Traits and Tendencies.....	23
Summary.....	27
III. DESIGN OF THE STUDY.....	29
Sample.....	29
Measuring Instruments.....	30
Method of Collecting Data.....	39
Statistical Hypotheses.....	43
Means of Analysis of Data.....	43
Summary.....	46
IV. ANALYSIS OF THE DATA.....	47
Results.....	47
Summary.....	56
V. SUMMARY AND CONCLUSIONS.....	57
Summary.....	57
Discussion.....	59
Implications for Future Research.....	61
BIBLIOGRAPHY.....	63
APPENDICES.....	68

LIST OF TABLES

Table		Page
3.1	Sex, Age, Academic Level, and Number of Interview Hours for the Constituents of the Client Group.....	31
3.2	Scales for Making Primary Ratings of Four Status Characteristics.....	34
4.1	Counselor-rated Empathic Ability (reversed deviation score).....	49
4.2	Counselor-rated Empathic Ability (right score).....	50
4.3	Client-rated Empathic Ability (reversed deviation score).....	51
4.4	Client-rated Empathic Ability (right score)..	52
4.5	Reversed Counselor-Client Social Class Background Similarity.....	54
4.6	Felt Similarity.....	55

.....

.....

.....

.....

.....

.....

.....

.....

LIST OF APPENDICES

Appendix	Page
A. Questionnaire for Background Information.....	68
B. Empathic Ability Scale (Client).....	75
C. Empathic Ability Scale (Counselor).....	80
D. Felt Similarity Scale.....	85
E. Raw Data.....	87

CHAPTER I

PROBLEM

Purpose of the Study

The purpose of this study is to explore certain elements involved in the counselor more fully understanding his client. Specifically, this investigation is concerned with the relationship among selected factors of counselor-client background social class similarity, counselor and client empathic understanding, and counselor-rated felt similarity.

Need for the Study

Recently researchers have given considerable thought to elements which might be involved in the process of the counselor understanding his client and means of determining counseling progress, and many investigations have been directed to this end. However, findings concerning the functional meaning and interrelationships of these phenomena to date, have been inconclusive. Moreover, none of these studies have dealt directly with actual counselor-client similarity of social background.

Lesser (27) stated, "The entire area of similarity seems in need of much investigation to add to our



theoretical and practical knowledge" (27, p. 93). Although as mentioned earlier, social class background similarity has not been systematically investigated in the past, other research studies have often referred to the need for investigation of counselor-client similarity.

In addition to the void in research noted above, there is almost a total absence of research drawing on social psychological theory and findings to postulate the elements or factors involved in the development of empathic ability and aspects of similarity.

An understanding of these phenomena is necessary for a holistic appraisal of what happens in the dynamic counseling process.

Statement of the Problem

The problem is three fold:

1. To determine whether or not selected factors of counselor-client social class background similarity are related to the counselor's empathic ability (as measured by the Dymond Empathic Ability Scale).
2. To ascertain the relationship between selected factors of counselor-client social class background similarity and counselor-client social class similarity as perceived by the counselor.

3. To investigate the relationship between the counselor's empathic ability and counselor-client similarity as perceived by the counselor.

Limitations of the Study

The main aspects of this investigation are limited to college students who were voluntarily involved in personal adjustment counseling at the counseling centers of four Michigan colleges and universities.

The counselors involved are more or less oriented toward self-theory and client-centered counseling techniques.

The social class factors studied in this investigation are limited to those that have been identified with the Index of Status Characteristics by Warner with the addition of his educational factor.

Lastly, such variables as age, sex, education and possibly many other similar variables within the client and counselor groups have not been controlled. Research findings to date, are equivocal regarding the influence of such variables on the counseling relationship. Moreover, this study makes no assumptions concerning the factors which might influence the development of empathic understanding and felt similarity. Therefore, the importance of controlling these kinds of variables in this investigation is minimized.

Operational Definition of Terms

1. Counselor-client background social class similarity has a two-fold definition:
 - (1) a reciprocal of the discrepancy between the counselors' and clients' scores on the Index of Status Characteristics measurement; and (2) the addition of Warner's educational factor used in combination with the Index of Status Characteristics.
2. A counseling period is the interim commencing at the onset of counseling and ending with its termination.
3. Empathic ability is the imaginative transposing of one's self into the thinking, feeling, and acting of another and structuring the world as he does. Empathic ability involves seeing things from the other person's point-of-view. Operationally, empathic ability is measured by the score obtained on the Dymond Empathic Ability Scale.
4. Counselor-rated felt similarity is the likeness and sameness between counselor and client as perceived by the counselor. Operationally, counselor-rated felt similarity is determined by the score obtained on the Lesser Felt Similarity Scale.

Theory

General.

An attempt is made in this investigation to blend the tenets underlying two apparently divergent points-of-view concerning the commonality of motivational factors, attitudinal responses, and emotional experiencing and responsiveness of the human organism. The one group (an example might be phenomenologically oriented theorists), would look within the individual when attempting to understand human behavior and to assist the individual to better adjustment. The second group (an example might be theorists who stress social determinism), would look primarily outside the individual, to such things as one's environment, in order to gain an understanding of the individual and his behavior. Because of this apparent dilemma, it is deemed necessary to indicate some of the opinions of each group regarding the practicality of such research as is herein proposed.

Phenomenological Point-of-View.

A careful review of the literature will reveal that much of the recent research in counseling and psychotherapy has been undertaken by the Rogerian school (Phenomenologically oriented). The self-concept is one of the chief constructs underlying the work done by Rogers and associates, and self-concept theorists are of necessity, phenomenologically oriented. They believe

that "one cannot understand and predict human behavior without knowledge of the subject's conscious perceptions of his environment and of his self as he sees it in relation to the environment" (49, p. 6).

Phenomenological theory places great emphasis on the uniqueness of the experiences of the individual. The chief motivators of behavior and attitudes, according to self-theorists and phenomenological theorists, lie within what is termed the individual's phenomenal field (49). It is impossible to understand or predict human behavior without knowledge of the nature of this phenomenal field, or as Lewin (28) indicates, without knowledge of the individual's psychological environment. Consequently, phenomenologically oriented researchers do not attempt to postulate the cultural constructs responsible for attitudinal reactions and emotional states. Rogers (40) contends that these are not generally considered to be relevant material for the determination of therapeutic progress. Phenomenologically oriented researchers hold that one cannot generalize concerning the effects of environmental stimuli upon the individual's phenomenal field. Although these stimuli might modify the existing phenomenal field, the nature of this modification cannot be predicted by other persons because of the uniqueness of the individual's perception of these stimuli, which is ultimately based upon his already existing self-concept.

Empathic ability is held to be a determinant of counseling progress. Yet, empathy is neither sufficiently defined operationally, nor is the process and dynamics by which such ability is achieved, fully clarified. It is hoped this investigation will shed some light on this problem.

Social Deterministic Point-of-View.

Some contemporary social psychologists (32, 18, 24) subscribe to the notion that all behavior, and behavioral and attitudinal tendencies are learned by the individual. This learning takes place as a result of the interaction of social, biological, and general environmental influences. Granted, this is an oversimplification of a complex principle, but for the purpose of this investigation the oversimplification seems to be sufficient.

Self-theorists do not deny this principle, but on the other hand they do not postulate a connection between this and the development of the phenomenal field, a major construct in their theoretical scheme. The social psychologist would probably say that empathic ability is an aspect of social skill and social skills are learned patterns of behavior. If this is the case, then one should be able to identify, define, and manipulate some of the factors involved in the learning process. There will be more on the social psychological point-of-view under the "Review of Literature" section.

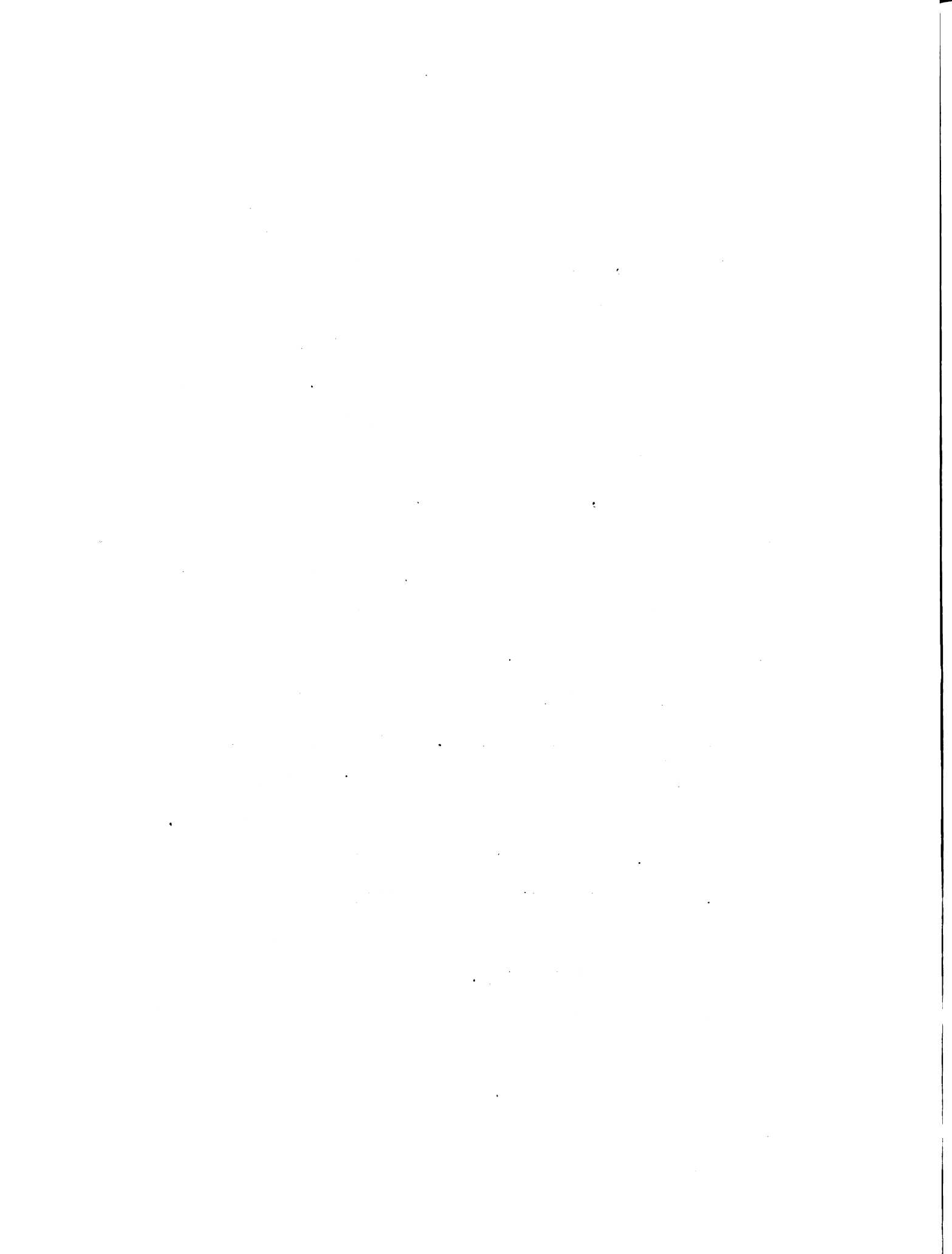
Research Hypotheses

As will be revealed in the discussion of "Review of the Literature," previous research findings tend to suggest that differences in background social class experiences between two people might lead to a limitation in their ability to deeply understand one another. When viewed with respect to the counseling situation, it is evident that such an inability on the part of the counselor to understand his client, or vice versa, might result in problems of communication and ultimately retard counseling progress. The results of previous research, when viewed relative to the theoretical considerations underlying this study, suggest certain hypotheses.

Taft (46) believed that background similarity and understanding go hand in hand. Halpern (30) has suggested that understanding of another person is related to the degree of similarity between the two people involved. Consequently, the following hypothesis is advanced:

1. Empathic ability is positively related to counselor-client background social class similarity.

Wolf and Murray (48) indicated that background similarity seemed to aid one's ability to predict such things as personality variables. They hypothesized that in general predictability would be improved when the person



predicting (the counselor), is similar in background to the other person in the relationship (the client). This hypothesis follows:

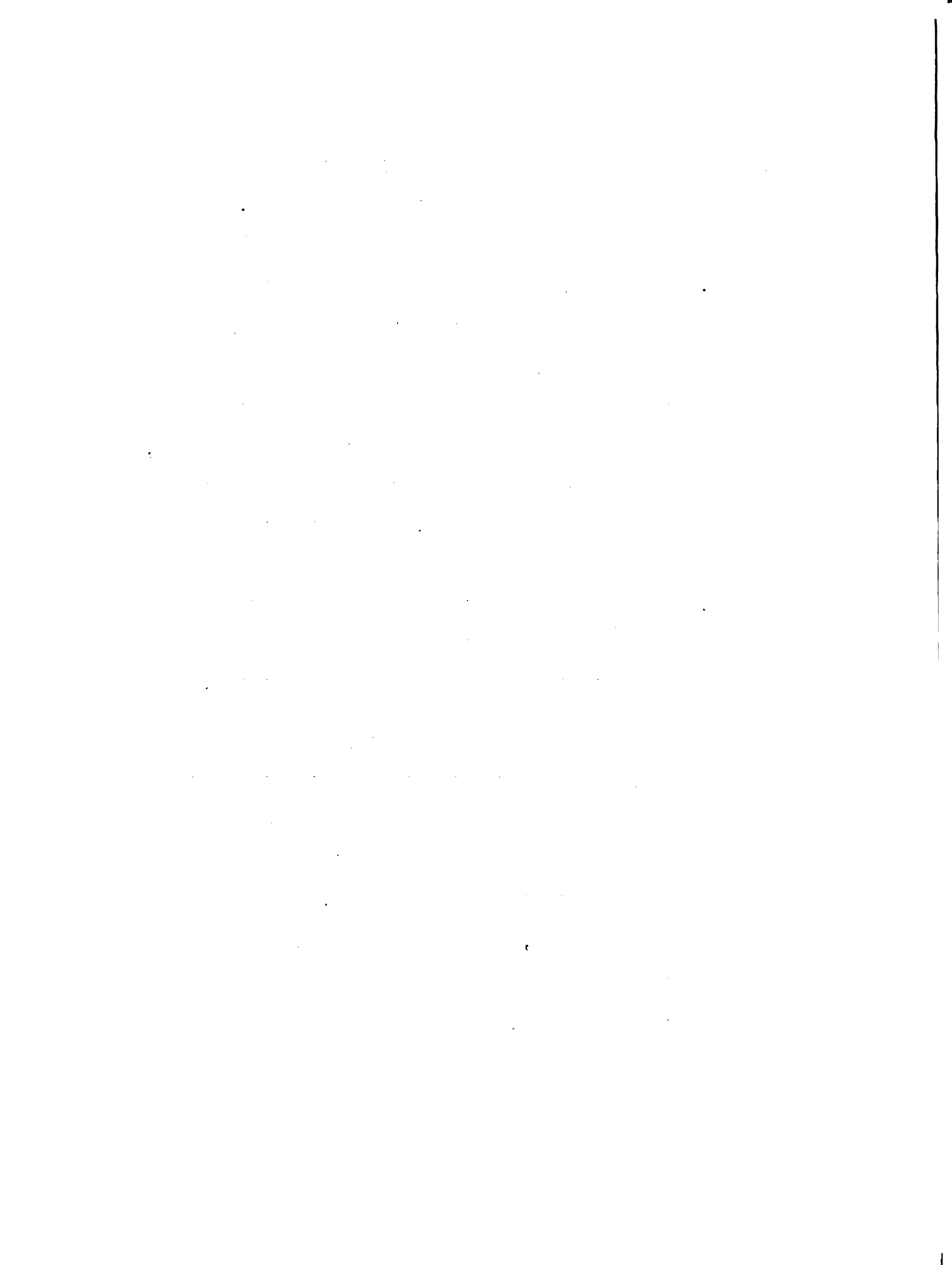
2. Counselor-client background social class similarity is positively related to felt similarity.

Fiedler (12) suggested that when one believes a person thinks and feels as he does and is similar to himself, then his attitude toward him is more friendly and positive and there is greater understanding. The following hypothesis is therefore proposed:

3. The extent to which the counselor feels that his client is similar to himself is positively related to empathic ability.

Overview

The overall plan of this dissertation is as follows: a review of the literature will be presented in Chapter II; the design of the study will be explained in Chapter III along with a description of the sample, a summary of the measuring instruments, and an explanation of the means of analyzing the data; and analysis of the data will be reported in Chapter IV.



CHAPTER II

REVIEW OF LITERATURE

Empathic Ability

Historically, a review of literature pertaining to empathic ability dates back to at least the late 1930's. In 1936, Sears (43) asked fraternity brothers to rate themselves and each other on a number of traits such as stinginess. He found that if one had insight into this trait in himself, he attributed less of it to others. On the other hand, subjects lacking self-insight assigned more extreme ratings to others on a given trait than did subjects possessing this insight. He concluded that self-insight and accurate perception or understanding of others are positively related.

In 1949, Dymond (8) constructed a test of empathy in order to measure the ability of one to understand or empathize with another. This test was composed of four sections. Each of the four sections contained the same six items. In part one, the subject rated himself on a five-point scale for each of the six items. In part two, the subject rated another person on the same scale. In part three, the subject rated another person as he thought

that person would rate himself. In part four, the subject rated himself as he thought the other person would rate him.

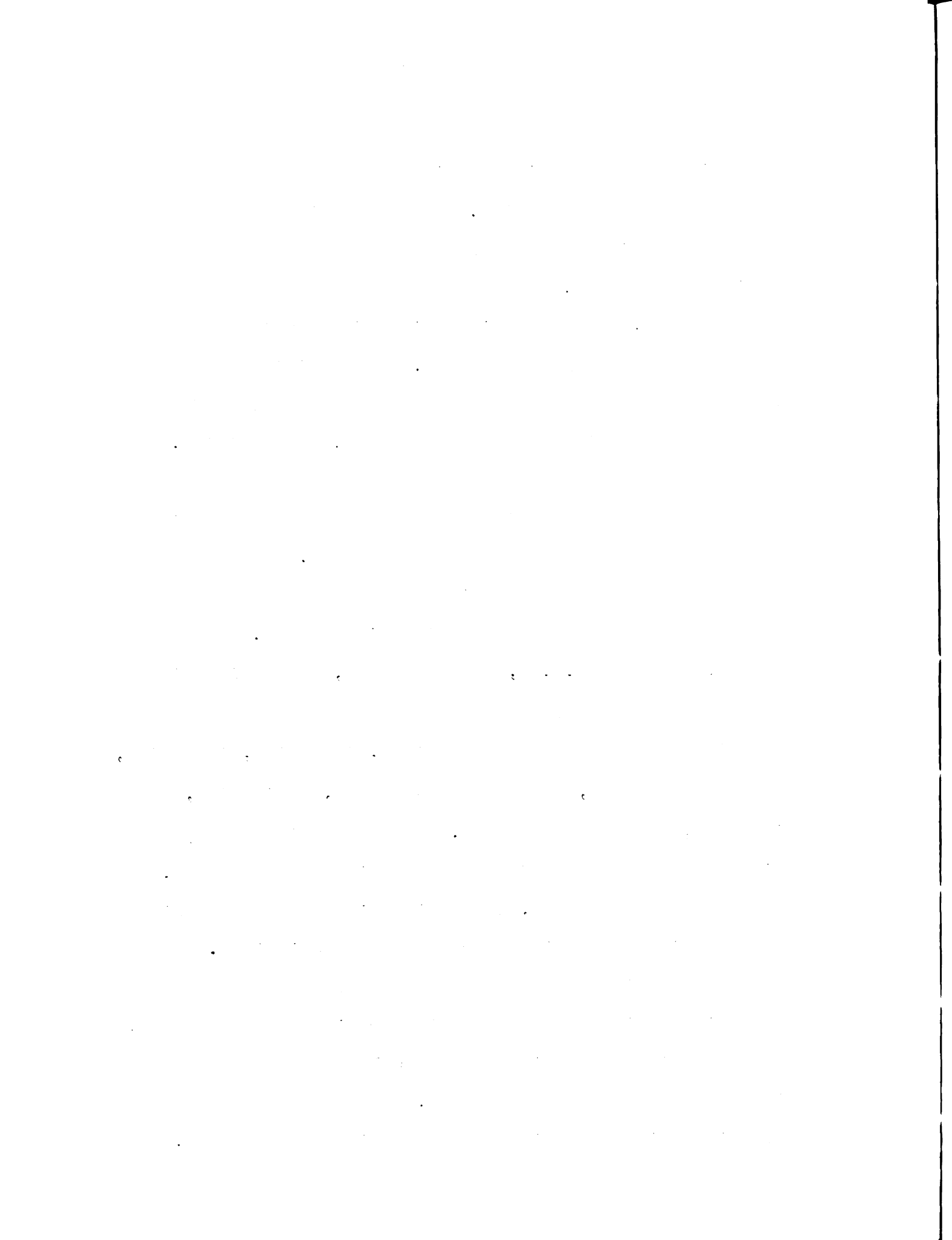
The population consisted of fifty-three subjects, twenty-nine females and twenty-four males. These fifty-three subjects were divided into five groups of seven persons each and three groups of six persons each. Each of these ten groups met once a week to work on a class project. Each of the fifty-three subjects did all four ratings after his particular group had met three times. The results were significant at the .01 level with respect to predictability. This same procedure was repeated after the groups had completed eight sessions. Slight improvement was found but this improvement was not significant at the desired level of confidence.

Dymond next took the five highest empathizers (predictors), and gave each the T.A.T. In general, she found that the highest empathizers took the role of the story characters while the low empathizers did not. Dymond then requested each subject to rate himself as to whether or not he was empathic. A poor correlation was found between this self rating and the subject's ability to predict for low empathizers. A high correlation was found for high empathizers. Dymond concluded that it would appear as though those whose empathic ability was high had better insight into the fact that they had such ability, while those whose

empathic ability was low had less insight into the fact that their ability was low. Dymond concluded further that self-insight and ability to understand others are positively related.

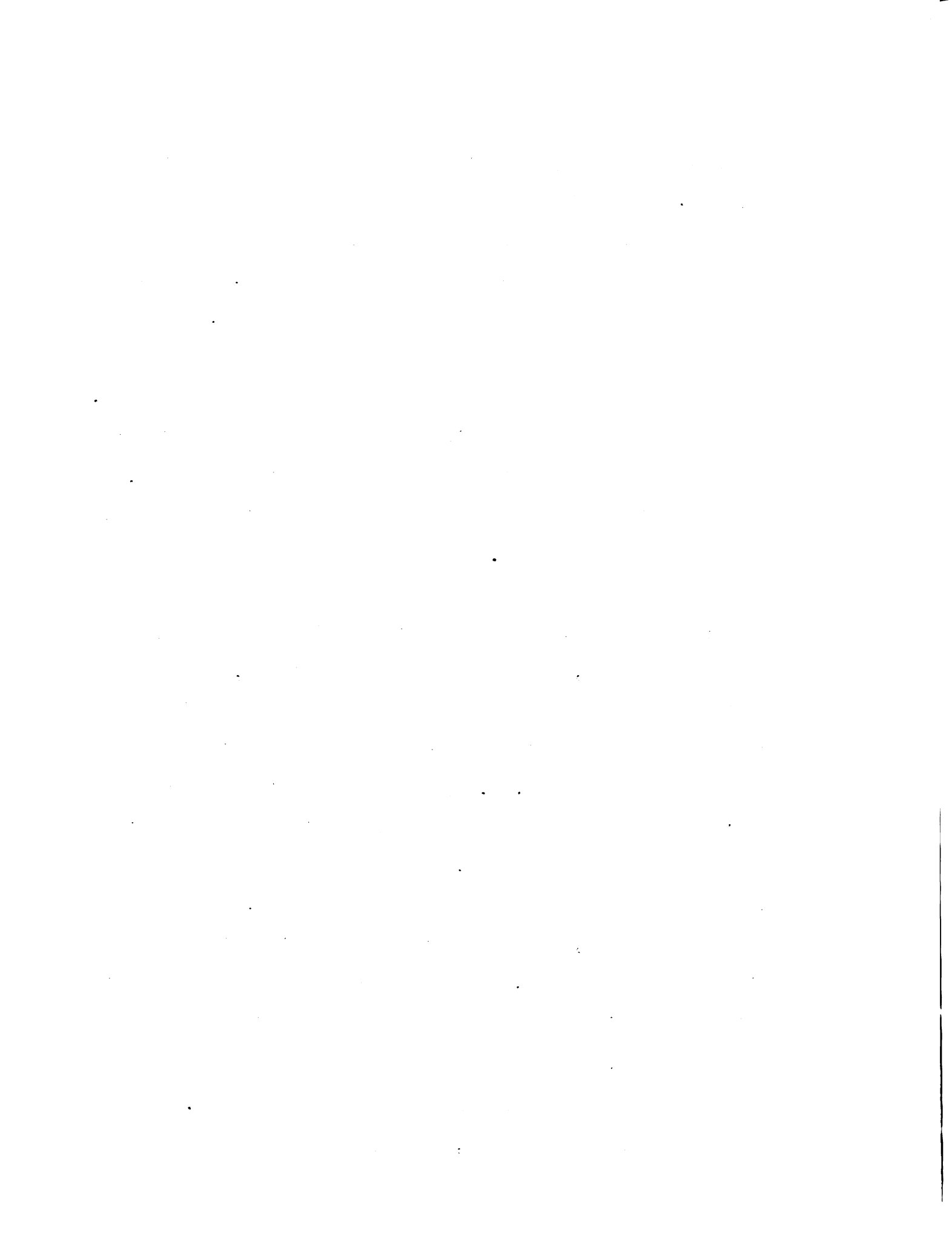
In 1950, Dymond (9) investigated the relationship between personality and empathy. She administered her four-part empathy scale to a class and found that females were better predictors than were males. In addition, she discovered that it was more difficult to predict a person who had low empathic ability than a person who had high empathic ability as measured by the scale. Dymond again found a positive relationship between insight and ability to understand others or empathize with others. Dymond then administered the T.A.T., the Rorschach, the California Ethno-Centrism Test, and the Wechsler-Bellevue and found that the low empathizers were rigid, impulsive, infantile, mistrusted others, and were ego-centric, dominating, and insecure, among other things. As a composite group, the high empathizers were just the opposite on all counts. There seems to be then, a relationship between the ability to empathize and various personality descriptions. It could be concluded from these results that the ability to empathize would be found only in certain types of people.

A refined empathic ability scale was developed in 1952, by Hastorf and Bender (21). One of the main purposes of this scale was to isolate projection from empathy. The



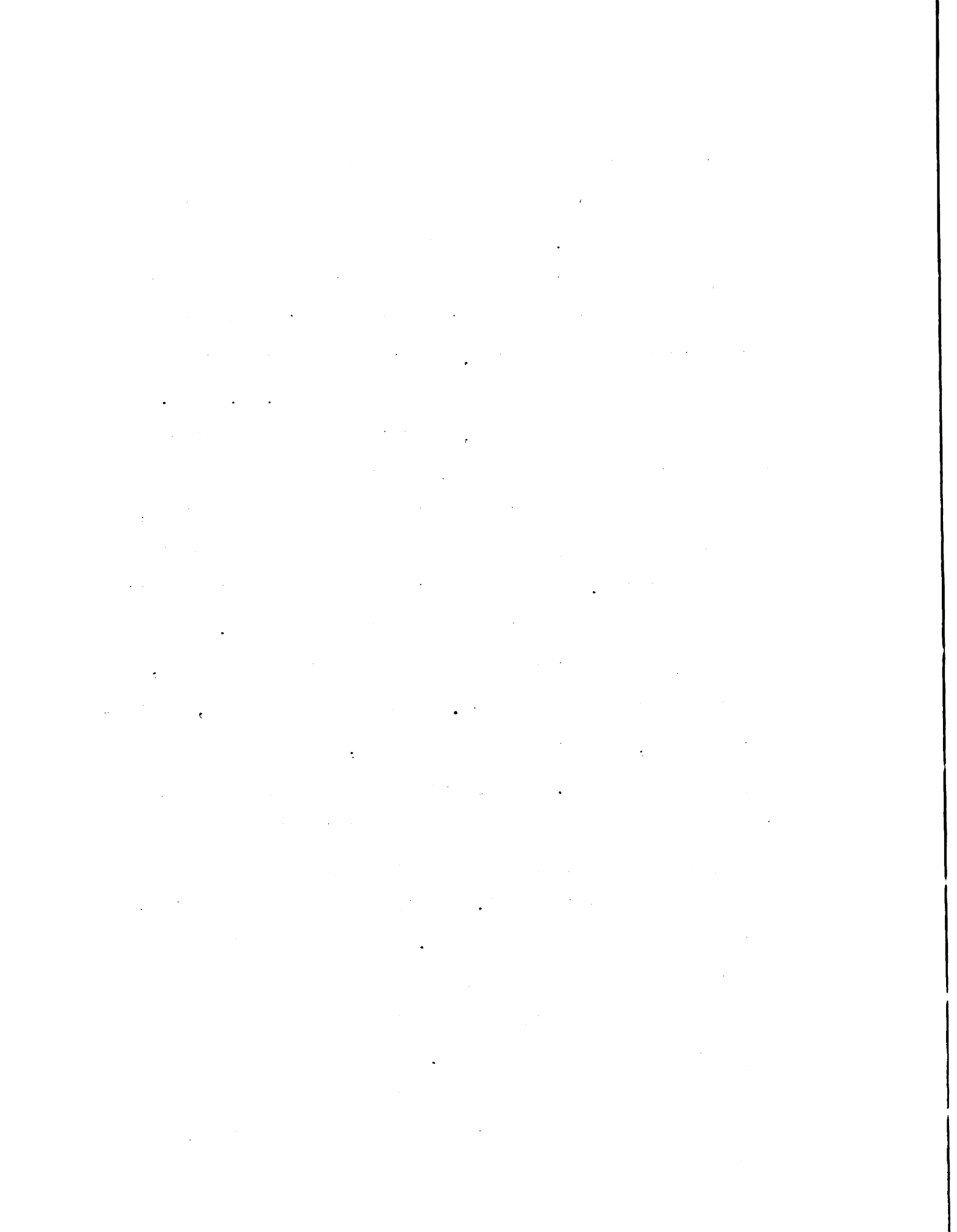
Allport-Vernon Study of Values was administered to fifty subjects. Each of these subjects chose another person whom he knew well and predicted the values that would be obtained on the Allport-Vernon Study of Values. A projection score and an empathy score were obtained. The projection score was the difference between the subject's own score and that which he predicted for the other person. The empathy score was the difference between the subject's predicted and the actual score for the predicted person. A total difference score was obtained by summing the item-by-item deviation scores.

The basic question that Hastorf and Bender were trying to answer was this: "In attempting to predict the responses of another person, well known to the predictor, was the prediction closer to the response of the person predicted for (empathy) or was it closer to the predictor's own score (projection)" (21, p. 575)? Subtracting the subject's "empathy score" from his "projection score" provided the answer to this question. The results showed that twenty-eight of the subjects were "projectors," twenty were "empathizers," and two were about similar in empathizing and projection. In the extreme cases when comparing their subjects' responses to the responses of those whom they predicted, the ten "projectors" were more similar to their associates than were the ten "empathizers." The authors concluded by saying, "The results of this study



emphasize the fact that part of the successful prediction of another person's responses may be due to projection rather than empathy, and a refined measure of empathic ability will approximate more adequately the psychological aspects of empathy when it is defined as 'transposing one's self into the thinking, feeling and acting of another and so structuring the world as he does' (21, p. 576).

Bender and Hastorf (2), reaffirmed their earlier viewpoint in their 1953 study. Their contention had been that if a subject and his associate were highly similar, the subject who projects would be given spurious credit for empathic ability. A forty-two item form concerning feelings and attitudes was administered to fifty students. Each of these subjects predicted the responses of four friends, who also filled out the form. A raw empathy score, a similarity score, a refined empathy score, and a projection score were obtained. The results showed that there was a high correlation between the actual similarity and the raw empathy score of the two subjects; but refined empathy (empathy minus projection), was independent of the similarity between the two subjects. A second finding was that a positive correlation was found between projection and raw empathy while a negative correlation was found between projection and refined empathy. It seems then that the presence or absence of a correlation between these scores of empathy and projection is actually predetermined, or



built into the scores.

Gage and Cronbach (17) also pointed out that Bender and Hastorf's correction procedure for obtaining the "refined empathy" results in cancelling out those items in which the predictor is actually similar to those whom he is predicting. They believe that Bender and Hastorf clearly did not arrive at an accurate independent measure of assumed similarity and real similarity. Gage and Cronbach further show that individual differences in prediction are less strongly determined by differences in assumed similarity than by differences in accuracy of prediction. One's ability to understand another person depends upon the degree of favorability toward the other, plus the predictor's "implicit personality theory which is based on his own prior experiences" (17, p. 395). Gage and Cronbach thus suggest that the positiveness of one's feelings toward another, plus the effects of one's background and resulting view of people combined to produce understanding or what we might call empathy.

Two years later, in 1955, Hastorf, Bender, and Weintraub (22) investigated again the concept of "refined empathy." Subjects marked a questionnaire for themselves and for those whom they predicted according to certain patterns of response. There was a relationship manifest between a person's responses and his choice of the type of associate whose questionnaire he predicted. These authors

found that a subject received a high refined empathy score, not necessarily because of his empathic ability, but because of his pattern of response and the particular pattern of response of the associate whom he chose. This would suggest that the "refined empathy" score was still an unsatisfactory measurement of empathic ability.

The research that has just been discussed does not reveal any clear answer to the questions: What is empathy? and, How is it measured? The results were inconsistent and there are many ramifications and possible meanings of the test results. In 1958, Lesser (27) used the Q-sort method to assess counseling progress and found that empathic understanding was not related to counseling progress. Lesser concluded that perhaps a maximum of empathic understanding is not necessarily most conducive to counseling progress. Others have felt similarly; namely, that some tension is necessary for the purpose of motivating the patient or client to work through his problems. Another of Lesser's findings was that the clients continuing in counseling appeared to be better understood by their counselors; however, clients who terminated showed greater progress. One possible conclusion is that empathic understanding may hold a client but may not necessarily help him.

From the numerous studies discussed in the preceding pages, it is quite evident that empathy, as related to psychotherapy, is not clearly understood and to a great extent still remains an unsolved mystery.

Similarity and Interpersonal Communication

Halpern (19) administered a personality trait inventory to thirty-eight female nursing students. Each student was asked to predict the test performance of five other students, two of whom were most similar to herself, two least similar, and one in the middle with respect to similarity to herself. Each subject was also asked to indicate whether or not she was pleased with herself on each of the personality characteristics on the inventory. The results were as follows:

1. More accurate predictions were made for those who were similar to the subjects than those dissimilar.
2. Greater predictive accuracy was found on those items which the subject and the person whom she predicted marked similarly than on items they marked differently.
3. A greater accuracy of prediction occurred on those items with which the subject was pleased with herself than on those which she was dissatisfied with herself.
4. There was no difference in accuracy of prediction between those who were similar to the subject and those dissimilar to the subject on items which the subject and the person whom she predicted marked differently (nonconcordant items).
5. There was no correlation between the ability to predict on nonconcordant items and the overall ability to predict.

Halpern discussed the results, and he seemed to feel that they were not necessarily due to consciously attributing one's feelings to others, but that a subject might more easily recognize patterns of behavior and feelings

in others if he had experienced them himself. The important consideration here seems to be that similarity between people is related to their understanding of the other person involved.

R.D. Normal (33) compared the relationships among acceptance-rejection, self-other identity, insights into the self, and the realistic perceptions of others by the use of a rating scale. Normal found positive correlations between self-other identity and insight, and between self-other identity and a realistic perception of others. Normal concluded that others appear to be judged by analogy with ourselves, and the less valid the analogy, then the less accurate the judgment.

A subsequent study was performed by Notcutt and Silva (34). In this investigation, sixty-four married couples were each given a self-rating scale. They were asked to make predictions for their spouses. The results seemed to indicate that the predictions made were significantly greater than what would have occurred by chance alone. Notcutt and Silva concluded that successes were greater on items in which subjects rated themselves similarly; in other words, people are better judges of those like themselves.

Still another type of study was conducted by Wolf and Murray (48). Five judges met with subjects for a forty-five minute group session. Each of the subjects were marked on forty personality variables. After a

thorough discussion within the group, each judge marked himself and the other judges on the forty personality variables, and a score for each judge was determined by averaging his own rating and the ratings of the other four judges who had rated him. These average scores were then compared with the ratings the judges had given the fifteen subjects. Wolf and Murray concluded that a person can only understand that which he has already experienced. These results tend to support the results of other studies in which similarity seemed to aid predictability. There is a further implication; namely, that similarity of response suggests similarity of background and results in greater ability toward understanding the person involved - the client.

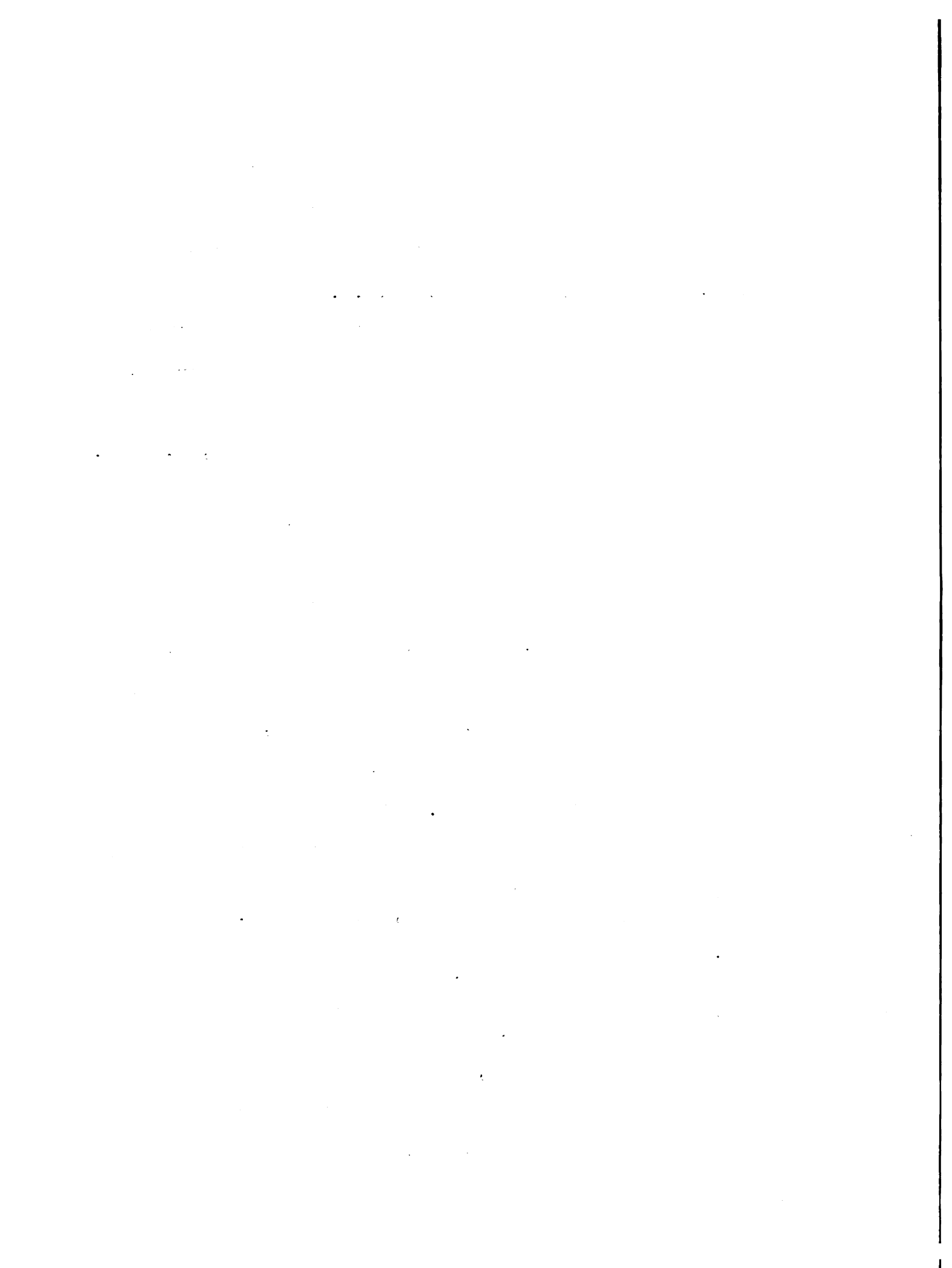
These latter two views are expressed quite vividly by Hollingshead and Redlich (23) in connection with psychiatric treatment. They state, "All too often, psychotherapy runs into difficulties when the therapist and the patient belong to different classes. In these instances, the values of the therapist are too divergent from those of the patient and communication becomes difficult between them" (23, p. 345). The psychiatrists interviewed were irritated, as a group, by their lower class patients' inability to think in their terms. Hollingshead and Redlich indicate that social class difference leads to a lack of understanding between patient and therapist. They further point out that this

appears to be a major reason why neurotic patients in the two lower classes of the group they studied dropped out of treatment much faster than did those in the higher classes. The authors continue, ". . . the values of the therapist and patient need not be alike or even similar; they merely cannot be too far apart socially and psychologically unless the therapist has a real and sympathetic understanding of the patient's class culture" (23, p. 347).

Fiedler (12) used a Q-sort of seventy-five statements and asked trained judges to rate the relationship between therapists and clients after listening to a number of tapes. Despite the theoretical orientation of the therapist (that is Rogerian, Adlerian, or psychoanalytic), experts from each of these schools showed empathic understanding toward the patient. In other words, they were sensitive to the patient's feelings, while nonexperts were swayed more by their own needs. Fiedler listed three main dimensions for describing patient-therapist relationships:

1. The therapist's ability to communicate with, and to understand, the patient.
2. The emotional distance of the therapist toward the patient.
3. The status of the therapist in relation to the patient.

In a subsequent study, Fiedler (13) asked one patient to do a self-sort and twenty-two therapists attempted to predict this patient's self-sort. The self-sort and an



ideal self-sort was also done by a therapist. Four rather interesting correlations were obtained:

1. Patient and therapist self-sort.
2. Therapist self-sort and his patient prediction Q-sort.
3. The therapist ideal self-sort and the patient's self-sort.
4. The therapist's ideal self-sort and his prediction of the patient's sort.

Supervisors then were called in to rate the therapists as to their ability. Good therapists, as rated by their supervisors, showed an empathic attitude toward their clients and seemed to understand their clients' feelings. In addition, they seemed to see their patients as being similar to themselves, and inferentially, used this similarity to advance the therapeutic process. These findings and views by Fiedler support the ideas of Hollinghead and Redlich as they were summarized earlier.

Fiedler and Senior (14) further studied the patient-therapist relationship. These authors decided that each of the two persons in a therapeutic situation is part consciously and part unconsciously aware of the other's feelings. The hypothesis of the investigation was, ". . . the attitudes which one of the two participants had toward himself and toward the other person will be meaningfully related to the attitudes held by the second person" (14, p. 446). Each of the persons did a self-sort

and an ideal self-sort, and each sorted as he thought either the therapist or the patient had sorted himself.

The findings of this study were five in number:

1. Better therapists as ranked by their supervisors, are better able to predict their patients' self-sort than are poor therapists.
2. Better therapists are not as self-satisfied as poor therapists.
3. The better therapist is seen by the patient as more ideal than he really is.
4. The more self-satisfied the therapist, the poorer predictor he is.
5. The greater the similarity of the therapist to the patient's ideal, the less the therapist tends to like or empathize with his patients (25, p. 449).

These results suggest that actual similarity between a therapist and a client is less important for a therapist's understanding of the client than the therapist's feeling of similarity. In addition, a close relationship between the therapist's ideal self and the client's real self seemed to result in less misunderstanding on the part of the therapist.

Fiedler (13) indicated that he feels "assumed similarity" by the therapist for his patient, suggests a positive attitude by the therapist toward that patient. In other words, when one believes that a person thinks and feels as he does, then one's attitudes toward him tend to be friendly and positive. Better therapists, therefore,

appear to have warmer feelings and more liking for their clients than do less competent therapists.

Social Factors and Personality Traits and Tendencies

Sears (44) wrote that the status level of a family in a social hierarchy determines the particular mode of child-rearing which is conventionally followed. Warner (47) tended to agree with this notion after considering the results obtained from his studies of the social class in America. Gist and Halbert (18) have suggested that differences in child-rearing practices can result in the development of differential social aptitudes on the part of the children.

The above comments are only a few that suggest a close relationship between status and personality traits. There are many other social factors which act as determinants of the type of interpersonal attitudes which eventually become organized into the personality structure. Miller and Swainson (31) indicated that the scope of early socialization includes more informal and probably more effective training by the age peers. These authors continue, "from such diverse learning experiences with specific patterns of identification they produce, their gradually emerge differences in expressive styles, ego-defense systems, and moral control" (31, p. 61).

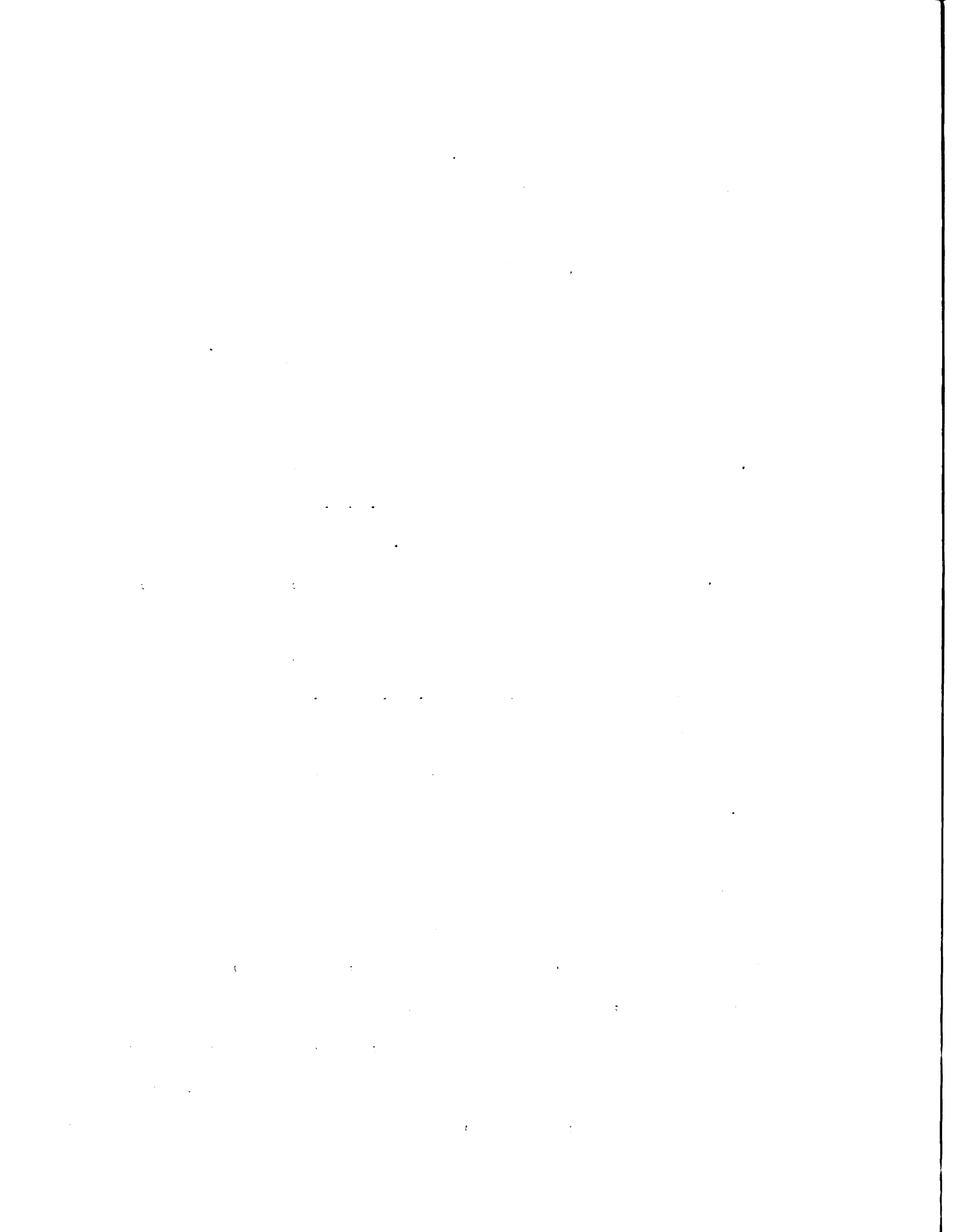
Seward (45) demonstrated some of the relationships referred to by Miller and Swainson with selective subcultures

in contemporary United States. She found definable differences in personality characteristics and expressive tendencies between individuals who were reared in, and who were members of, different subcultures.

Social and cultural factors command increasing consideration in the area of psychiatric treatment. Opler (35) conducted numerous studies into the effects of sub-cultural and social experiences upon personality development. He indicated that few psychiatrists formally study social psychology and culture and " . . . fewer still utilized such knowledge in therapy. As for schools of thought, one can mention Myerian Psychiatry, for example, in which the various 'psychological' or biological and cultural factors were constantly alluded to, as if for some plan of research . . ." (35, p. 125).

Opler has considered the work of such neofreudians and ego-psychologists as Horney, Sullivan, Kardiner, Meyer, Fromm, and Hartmann and concluded that culture is not an empty abstract in human affairs inasmuch as a specific culture contains and includes all the substances of meaning by which a particular people understand and perceive experiences. Opler continued, "As such, it guides activity, forms sentiments, and motivates strivings in special channels according to sex, age, kinship, class, and other relationships it specifically ordains" (35, p. 126).

Many years ago, in 1937, Karen Horney (25) became very



interested and profoundly influenced by tenets underlying status and culture and how they related to personality theories. At that time she began her almost literal translations of neurotic syndromes from generalized cultural pictures and pictures of modern American Society. She observed in neurotic personalities that neuroses develop and are generated by "specific cultural conditions" under which we live.

Opler (36) used several cultural factors for the purpose of determining some of the social factors which might have entered into the dynamic personality picture of his schizophrenic subjects. Some of the more important factors which he isolated were intergenerational conflicts, environmentally imposed racial conflicts, the pace of acculturation in different cultural groups, and child rearing practices. From his findings, he concluded: "We find their (schizophrenics) problems of intergenerational conflict and acculturation less related to class as such than to ethnic subcultural problems interwoven with class membership and to the whole spectrum of values - conflicts correlative to social and cultural change. These factors become important when a psychiatrist attempts to understand a particular patient, or a group of patients - their value systems, experiences, and symbolic communications, verbal and nonverbal" (36, p. 127).

Honigmann (24) discussed the factors affecting personality patterns and indicated that socially and culturally imposed intercultural behavioral patterning affects the child's development of interpersonal characteristics and tendencies and capacities which become aspects of the adult personality. Family relations become a prototype of interpersonal behavior. The implication is that if the family is characterized by distant relationships, the child is likely to be inclined to develop a characteristically distant attitude toward interpersonal relations. Relating this to the counseling situation, such an adult personality would probably find it difficult to relate to the therapist who has been conditioned by his experiences to be socially outgoing and warm. Peers and cultural surrogates also exert significant influences upon the development of interpersonal attitudes on the part of the child.

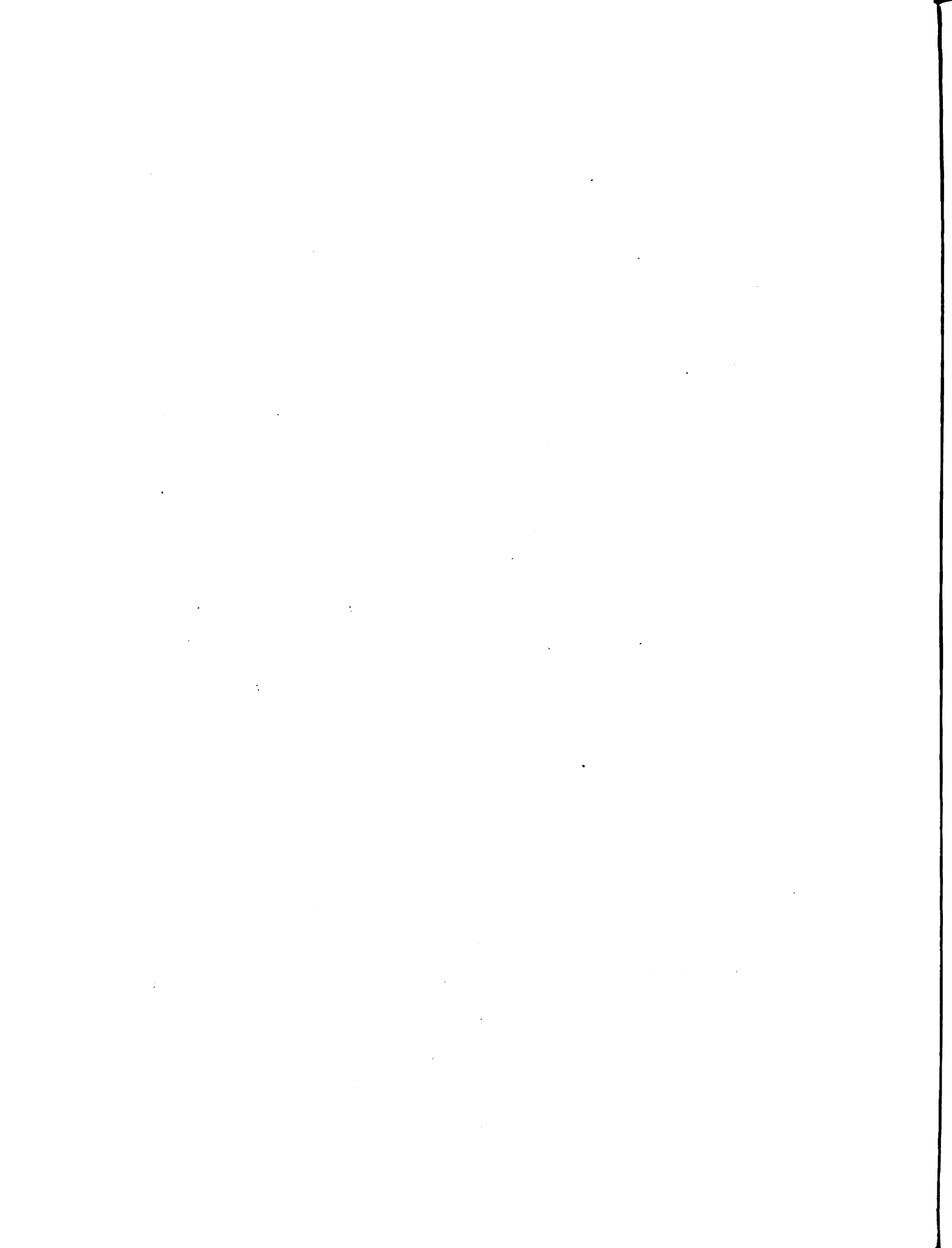
Mitchell (32) conducted one of the very few studies which attempted to relate status and cultural background similarities between client and counselor to the therapeutic relationship. He found that differences between client and counselor with respect to their social status led the therapist to misunderstand many of the dynamics and aptitudes of the client. He indicated that due to such misunderstandings, therapy was unsuccessful many times. On the other hand, progress in therapy was observed in the same case after a period of therapy with

another therapist. This study disclosed information which closely supported the main tenets underlying the current investigation. Although it would be difficult to prove that counselor-client understanding preceded and was a necessary element before therapeutic progress could be a reality, it does seem that this understanding between client and counselor was at least one factor. Further, it seems that the similarity in terms of social status factors contributed a great deal to this understanding.

Gist and Halbert (18) found that the urban resident was more tolerant than his rural counterpart when it comes to persons who differ from him in race, nationality, or point-of-view. Again, the implication is present that social status differences can cause difficulty, when the differences between counselor and client background are markedly different.

Summary

The review of pertinent literature contained articles in three subject areas: empathic ability, similarity and interpersonal communication, and social factors and personality traits and tendencies. The meaning of empathy, as related to psychotherapy, has not been consistently and clearly defined in the research. It has been defined operationally by many different researchers, but the results of these studies are inconclusive. Similarity of



background has been found to aid in understanding and in interpersonal communication. Lastly, there seems to be a close relationship between social factors such as status and certain personality traits.

CHAPTER III

DESIGN OF THE STUDY

SAMPLE

The subjects consisted of students involved in personal adjustment counseling and their counselors at the four Michigan colleges and universities mentioned earlier.

Four of the seven counselors were individuals with Ph.D. degrees and three were Ph.D. candidates. All except one of the counselors had completed at least three years of experience in active personal adjustment-type counseling. All the counselors were, at least to some extent, oriented toward the self-theory and client-centered counseling concepts and techniques. Six of the counselors were male and one was female. Six of the counselors were American-born Caucasians, and the remaining one was American-born and of the Negro race.

The client group consisted of twenty individuals, twelve females and eight males. Eighteen of the clients were undergraduate students, and two were graduate students enrolled at four Michigan colleges and universities. The range in age was from 19 to 36 years, with only three of

the individuals exceeding the age of 25. Hours spent in counseling ranged from six to thirty-two. Table 3.1 presents the sex, age, academic level, and the number of hours spent in counseling for each of the twenty individuals.

Measuring Instruments

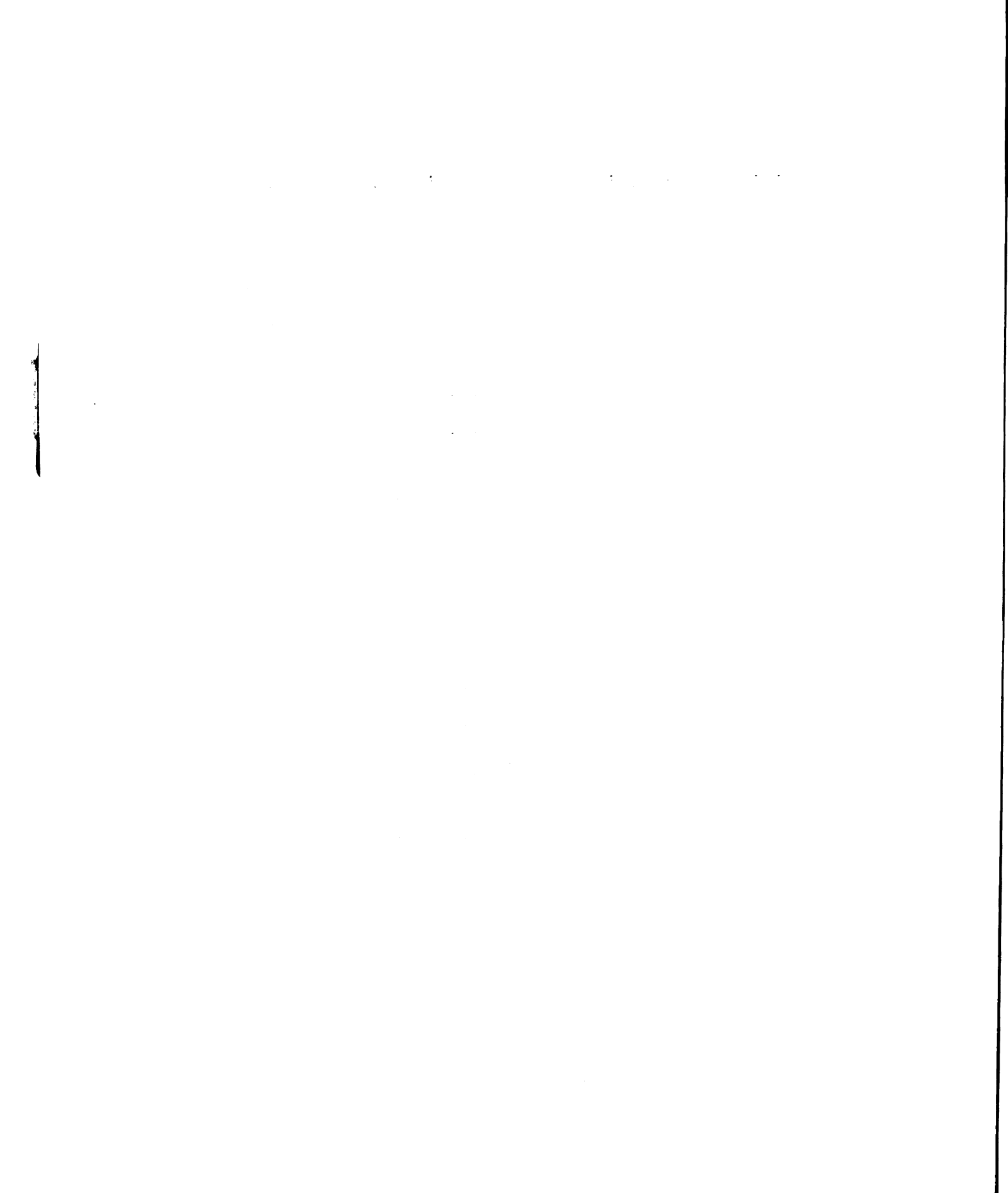
Three measuring instruments were used in this study. These instruments included: (1) an instrument for the assessment of counselor-client social class similarity; (2) an instrument for the measurement of the counselor's ability to understand his client; and (3) an instrument for the purpose of measuring the extent to which the counselor feels that he is similar to his client. The first of these instruments was a part of a larger instrument constructed for the purpose of this and another investigation (5). This procedure will be discussed thoroughly in this section. The latter two techniques have been standardized or used by previous investigators and will be discussed only briefly.

The Technique for Assessing Counselor-Client Social Class Similarity.

The Questionnaire. A questionnaire was constructed to assess background information which would lend itself to the classification scheme embraced by Warner's Index

Table 3.1. Sex, Age, Academic Level, and Number of Interview Hours for the Constituents of the Client Group

Client Number	Sex	Age	Academic Level	Number of Counseling Interviews
1	Male	27	MA (Ph.D. Candidate)	32
2	Male	25	MA (Ph.D. Candidate)	28
3	Male	20	Junior in College	21
4	Male	25	Senior in College	6
5	Female	22	Senior in College	16
6	Female	20	Junior in College	16
7	Female	21	Junior in College	17
8	Female	24	Senior in College	6
9	Female	19	Junior in College	10
10	Female	21	Senior in College	8
11	Female	22	Junior in College	7
12	Female	21	Junior in College	7
13	Male	22	Senior in College	10
14	Female	22	Senior in College	15
15	Female	22	Sophomore in College	32
16	Male	36	Second year Special Student	24
17	Male	30	Second year Special Student	16
18	Female	20	Senior in College	15
19	Male	21	Senior in College	14
20	Female	22	Senior in College	6



of Status Characteristics (I.S.C.). Additional information was obtained by this questionnaire (information not needed to obtain this I.S.C.), but such was not included in this study.

Index of Status Characteristics. Warner, (47) in his Yankee City study, analyzed evaluated participation of individuals in the social class hierarchy. He asked individuals in the community to assign social classes to other constituent individuals. The assumption was that meaningful social class differentials in America lie within the perception of individuals with respect to who falls into what class. Social class differentials (socio-economic aspects such as wealth and style of life), exist in America to the extent that they are perceived by the individuals who constitute various differential groups. Warner examined the Yankee City material for the purpose of discovering what social characteristics correlated most highly with class as determined by evaluated participation. He then separated participation from social class characteristics and developed a seven-point scale for the isolated characteristics. The final technique was to yield an index of status characteristics. The correlation of the various characteristics was undertaken to discover the relative importance with respect to a class criterion. The characteristics were weighted accordingly. Warner states, "The index of status characteristics as a

measurement of social class is positive on two propositions: that economic and other prestige factors are highly important and closely correlated with social class; and that these social and economic factors such as talent, income, and money, if their potentialities for rank are to be realized, must be translated into social-class behavior acceptable to the members of any given social level of the community" (47, p. 39).

Warner isolated four status characteristics and called these occupation, source of income, house-type, and dwelling area. The scales for making primary ratings of these characteristics are listed in Table 3.2.

To obtain the Index of Status Characteristics, the ratings are multiplied by the following weights:

Occupation.....	4
Source of Income...	3
House Type.....	3
Dwelling Area.....	2

The Index yields perceived social class status inasmuch as the characteristics were obtained by means of evaluated participation. This Index, therefore, has relevance to how a person sees himself in his social class and ultimately to the development of social attitudes and self-concept. As Warner puts it: "The most important fact to remember about using I.S.C. as a measurement of social class is that, in order for it to be a reliable instrument and an accurate index of social class, each of the four characteristics and the points in their scales

.....
.....
.....
.....

Table 3.2. Scales for Making Primary Ratings of Four Status Characteristics

Status Characteristic and Rating	Definition	Status Characteristic and Rating	Definition
Occupation: Original Scale		House Type: (continued)	
1. Professionals and Proprietors of large businesses		5. Small houses in good condition; small houses in medium condition; dwellings over stores	
2. Semiprofessionals and smaller officials of large businesses		6. Medium-sized houses in bad condition; small houses in bad condition	
3. Clerks and kindred workers		7. All houses in very bad condition; dwellings in structures not intended for homes originally	
4. Skilled workers			
5. Proprietors of small businesses			
6. Semiskilled workers			
7. Unskilled workers			
Source of Income:		House Type: Revised Scale	
1. Inherited wealth		1. Excellent houses	
2. Earned wealth		2. Very good houses	
3. Profits and fees		3. Good houses	
4. Salary		4. Average houses	
5. Wages		5. Fair houses	
6. Private relief		6. Poor houses	
7. Public relief and nonrespectable income		7. Very poor houses	
House Type: Original Scale		Dwelling Area:	
1. Large houses in good condition		1. Very high; Gold Coast, North Shore, etc.	
2. Large houses in medium condition; medium-sized houses in good condition		2. High; the better suburbs and apartment house areas, houses with spacious yards, etc.	
3. Large houses in bad condition		3. Above average; areas all residential, larger than average space around houses; apartment areas in good condition, etc.	
4. Medium-sized houses in medium condition; apartments in regular apartment buildings			

Table 3.2. (continued)

Status Characteristics and Rating	Definition	Status Characteristic and Rating	Definition
Dwelling Area: (continued)			
4. Average, residential neighborhoods, no deterioration in the area		6. Low; considerably deteriorated, run down and semislum	
5. Below average; areas not quite holding their own, beginning to deteriorate, business entering, etc.		7. Very low; slum	

must reflect how American feels and think about the relative worth of each job, source of income which supports them, and an evaluation of their houses in the neighborhoods in which they live. For it is not the house, or the job, or the income, or the neighborhood that is being measured so much as the evaluations that are in the backs of all of our heads - evaluations placed there by our cultural tradition and our society. From one point of view, the four characteristics - house, occupation, income, and neighborhood - are no more than evaluated symbols which are signs of status telling us the class levels of those who possess the symbols. By measuring the symbols, we measure the relative worth of each; and by adding up their several 'worths,' reflecting diverse and complex economic and social values, we get a score which tells us what we think and feel about



the worth of a man's social participation, meaning essentially that we are measuring his Evaluated Participation or social class" (47, p. 40).

It becomes quite clear at this point that the tenets underlying the Index of Status Characteristics are not at variance, but in fact are quite similar to the tenets inherent in the major concepts which serve as the basis for the present study (i.e., the concept relative to factors which enter into the development of the self-structure and the concept of the influence of social factors upon the development of interpersonal attitudes and understandings as they relate to therapy). This questionnaire is found in the appendix.

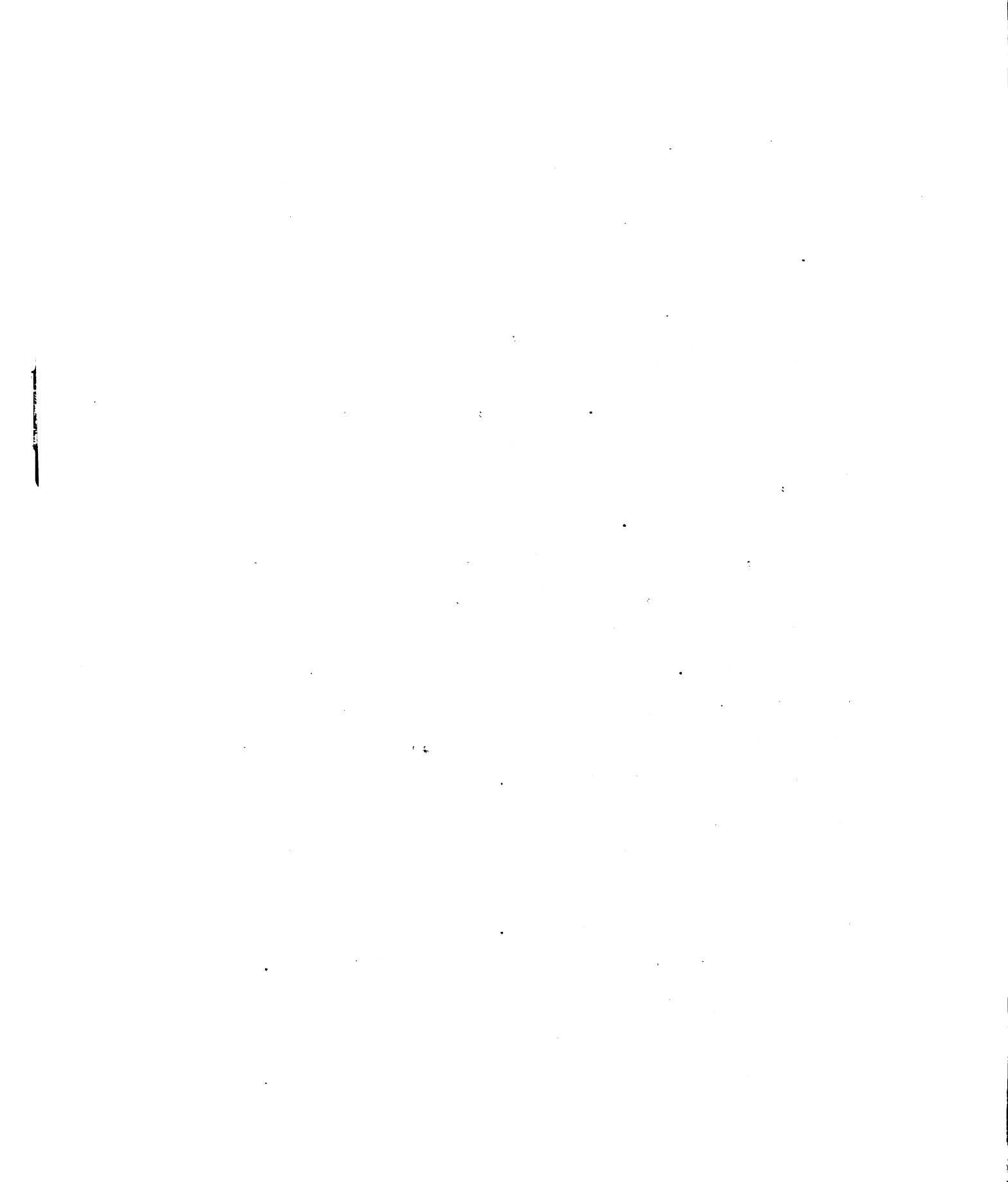
Empathic Ability Scale.

General. As can be clearly seen from the research cited in the section "Review of Literature," many attempts have been made to develop a measure of empathic understanding between counselor and client. These date back to at least 1949, and included studies by Rosalind Dymond; H.C. Lindgren (29); I.E. Bender (2); A.H. Hastorf (21); N.L. Gage (16); and L.J. Cronbach (7). Although some of these investigators have developed what they called "refined empathy," contrasting it with Dymond's original scale, there are many drawbacks and shortcomings from their research efforts. After reviewing all of the



studies in detail, it was decided by this investigator to use Dymond's scale of empathic understanding which was developed in 1949, and has been used numerous times since.

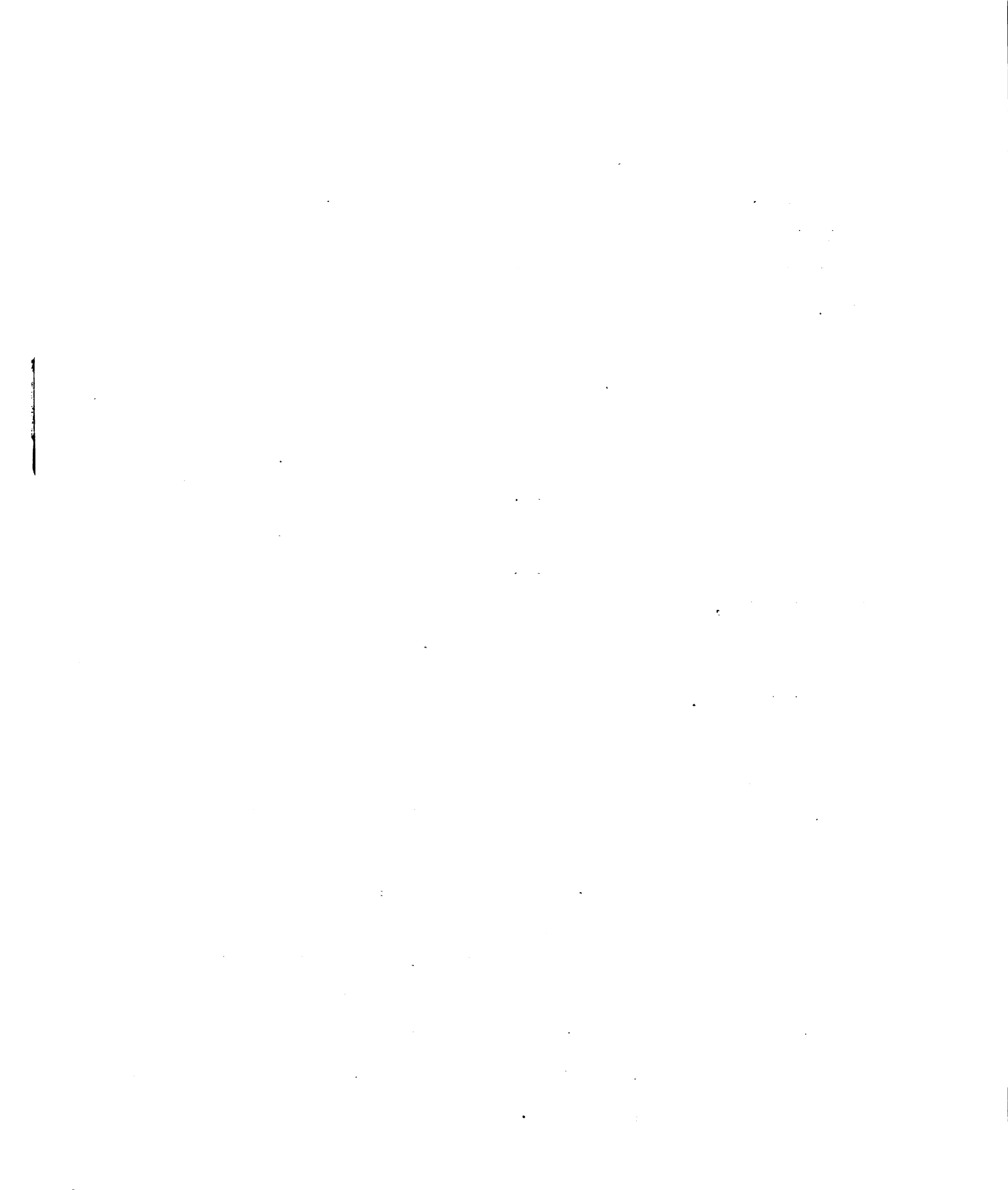
Inasmuch as Dymond's scale was rather completely discussed in an earlier section, it does not seem necessary to go into any detailed explanation at this point except for a very short summary. Empathy, for Dymond, was "imaginative transposing of one's self into the thinking, feeling, and acting of another and so structuring the world as he does" (8). Dymond used such traits as self-confidence, superiority-inferiority, selfish-unselfish, friendly-unfriendly, leader-follower, and sense of humor as traits or characteristics which seemed to underlie empathic ability. The scale, it will be recalled, consists of four parts, each containing six items. A five-point scale was used which extended from, as an example, quite superior to quite inferior. Two people (a counselor and a client), must be involved and a rating of the counselor's empathic ability can be derived by calculating how closely his predictions of the client's ratings correspond with the client's actual ratings. The opposite would be done if the client's empathic ability was of interest. In conclusion, this inventory by Dymond seemed to do the best job of measuring what is called "empathic ability" (seeing things from the other person's point-of-view).



Scoring Procedure. Dymond (8) has used two scoring procedures, a deviation score and a "right score." The deviation score is found by totaling the number of points the individual is in error in his prediction, disregarding sign. The "right score" indicates the number of right predictions (a right prediction is one that coincides exactly with the actual rating). For Test I on the original group used by Dymond for standardization purposes, the range for the deviation score was from 37-70 with a mean of 51.3 and a standard deviation of 8.0. The "right score" for the same test had a range of 18-39 with a mean of 28.8 and a standard deviation of 6.5. For the purposes of this investigation, both of these means of scoring will be used so as to be able to compare the results.

Felt Similarity.

The felt similarity scale selected was a scale developed by Lesser (27) in an unpublished doctoral dissertation in 1958. His investigation has also been discussed more fully under the "Review of Literature" and will be dealt with only briefly at this time. Felt similarity, as judged by the counselor, was defined as the closeness or the degree of likeness between counselor and client. Seven statements such as "in many ways I am quite similar to this client" and "it is amazing how similarly this client and I view the world," were used. The seven point scale, from least through most like me, was used. The scoring of this scale



is simply an additive procedure with the lowest sum indicating least like me and the highest sum most like me. This scale rates only the counselor's felt similarity in relation to his client. For the complete scale and the instructions given to the counselor, refer to the appendix.

Method of Collecting Data

The data reported were collected in conjunction with a second project dealing with the effect of counselor-client cultural background similarity upon counseling progress. For the purpose of the second project, an instrument for the assessment of the degree of personal adjustment was given before and at the termination of therapy. The results of this instrument do not fall within the scope of this study and have been reported elsewhere (5). In addition to the instrument for assessing the degree of personal adjustment, the felt similarity scale, the empathic ability scale, and the questionnaire for assessing social class data were administered at the termination of therapy.

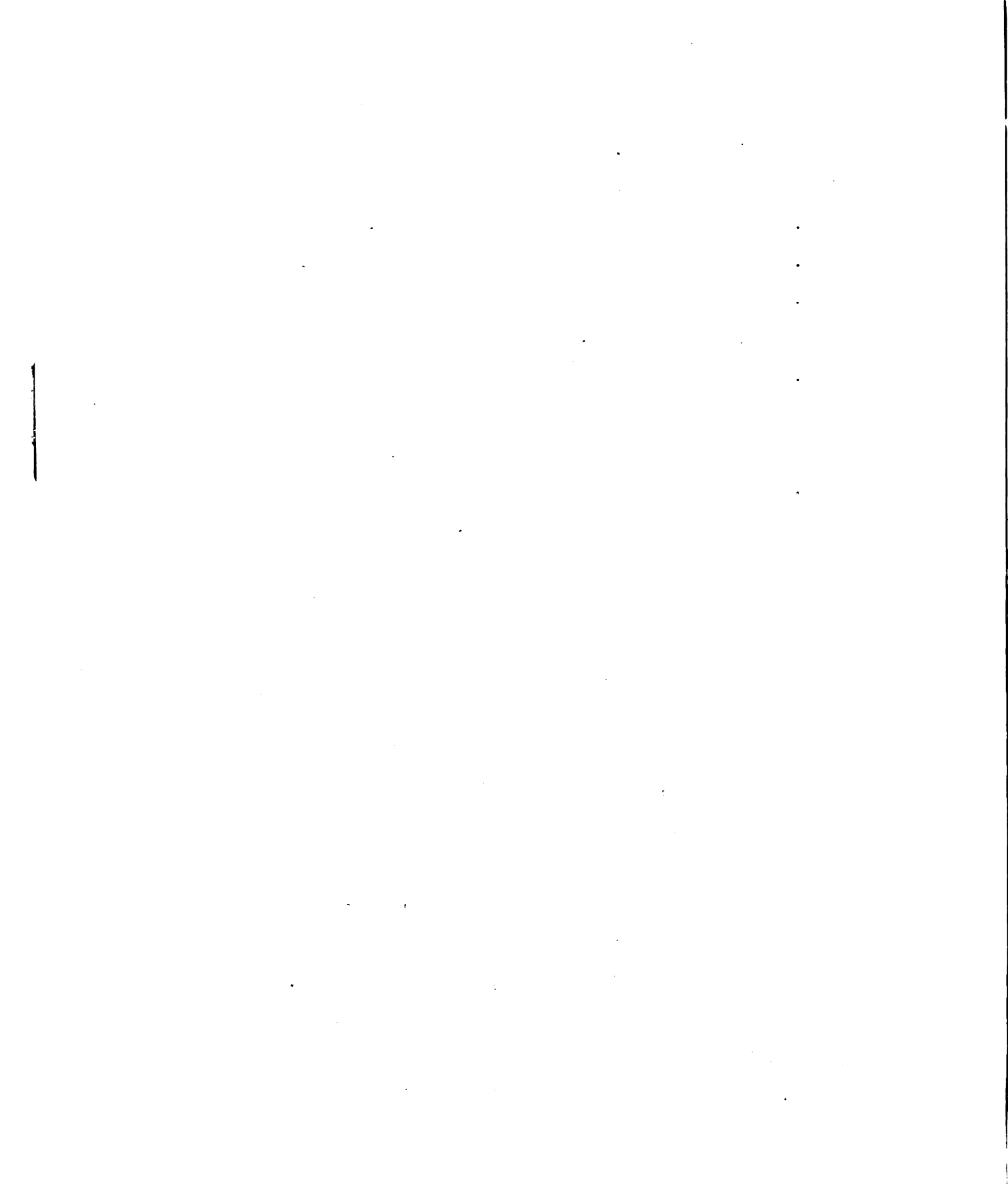
Letters were written and personal contacts were made with counselors at four Michigan colleges and universities - Michigan State University, Ferris State College, Central Michigan University, and Western Michigan University. Counselors who agreed to participate in this investigation were asked to provide as many clients as possible within

a given period of time. The criteria for selecting clients included the following:

1. Client must be enrolled in college;
2. Client is voluntarily seeking counseling;
3. Counseling was to be of the personal adjustment type;
4. The nature of the problem indicated that the period of counseling would include at least four interviews; and
5. Client must avail himself to the study without reservations.

Several of the counselors who agreed to participate in the study found they were unable to provide clients during the designated period, who met all five requirements listed previously.

At the termination of counseling the counselor was asked to give the client an envelope containing the empathic ability scale, the questionnaire for assessing social class information, and the instrument for assessing the degree of personal adjustment (the inclusion of the latter instrument has been previously explained), (5). Also included in the client's envelope was a set of general instructions and a self-addressed, stamped envelope. The client was instructed to take the material home, complete it, and mail the completed forms and scales to the investigator. The following were the instructions placed in



the client's envelope:

This is your second and final envelope of materials to be filled out and mailed to us. You will note that some of the material is the same as before. Again, we solicit your sincerity and honesty while filling out the enclosed material.

It is important that you follow these steps (in the order listed).

1. Take the inventory marked No. 1 from the envelope (put all other materials aside and do not open them for any reason until you have completed Inventory No. 1.
2. Read the instructions on the cover of Inventory No. 1.
3. Turn the page and begin.
4. After you have completed Inventory No. 1, seal it with the seal provided.
5. Read the instructions on Inventory No. 2 (do not open the others).
6. Turn the page and begin.
7. After you have completed Inventory No. 2, seal it and put it aside.
8. Read the instructions for Inventory No. 3. Complete and seal it as in the case of Nos. 1 and 2.
9. After having completed all three of the mimeographed inventories, complete the "Study of Values" booklet. (Read the directions on Page 2 of the booklet before beginning).
10. Complete the "Empathic Ability Scale."



11. Fill out the "Questionnaire for Background Information."
12. You may complete all of the material in one day or you may do so in two days. However, you should not stop while doing a given test. If you desire to take a break, do so between tests.
13. Please complete the material within two days after you receive it and mail it immediately. A stamped envelope is provided for this purpose.
14. Before mailing, please check to be certain that all of the material has been completed.

Please put your name on all of the material. Again, we would like to remind you that the information you submit will be seen only by the two persons directly involved in the research project.

Thank you again for your cooperation.

The counselor also received an envelope at this point. His envelope contained the scale for the assessment of social class information, the empathic ability scale, the felt similarity scale, and the instrument for assessing the degree of personal adjustment.

A final visit was made to the counselors for the purpose of obtaining from them the record form, and other information the counselor was able to provide relative to the therapeutic situation. Special thanks were extended to the counselors for the part they played in the study.

Statistical Hypotheses

Null Hypothesis I.

Empathic ability and social class background similarity are either negatively related or not related.

Alternate Hypothesis IA. Empathic ability and social class similarity are positively related.

Null Hypothesis II.

Social class background similarity and felt similarity are either negatively related or not related.

Alternate Hypothesis IIA. Social class similarity and felt similarity are positively related.

Null Hypothesis III.

Felt similarity and empathic ability are either negatively related or not related.

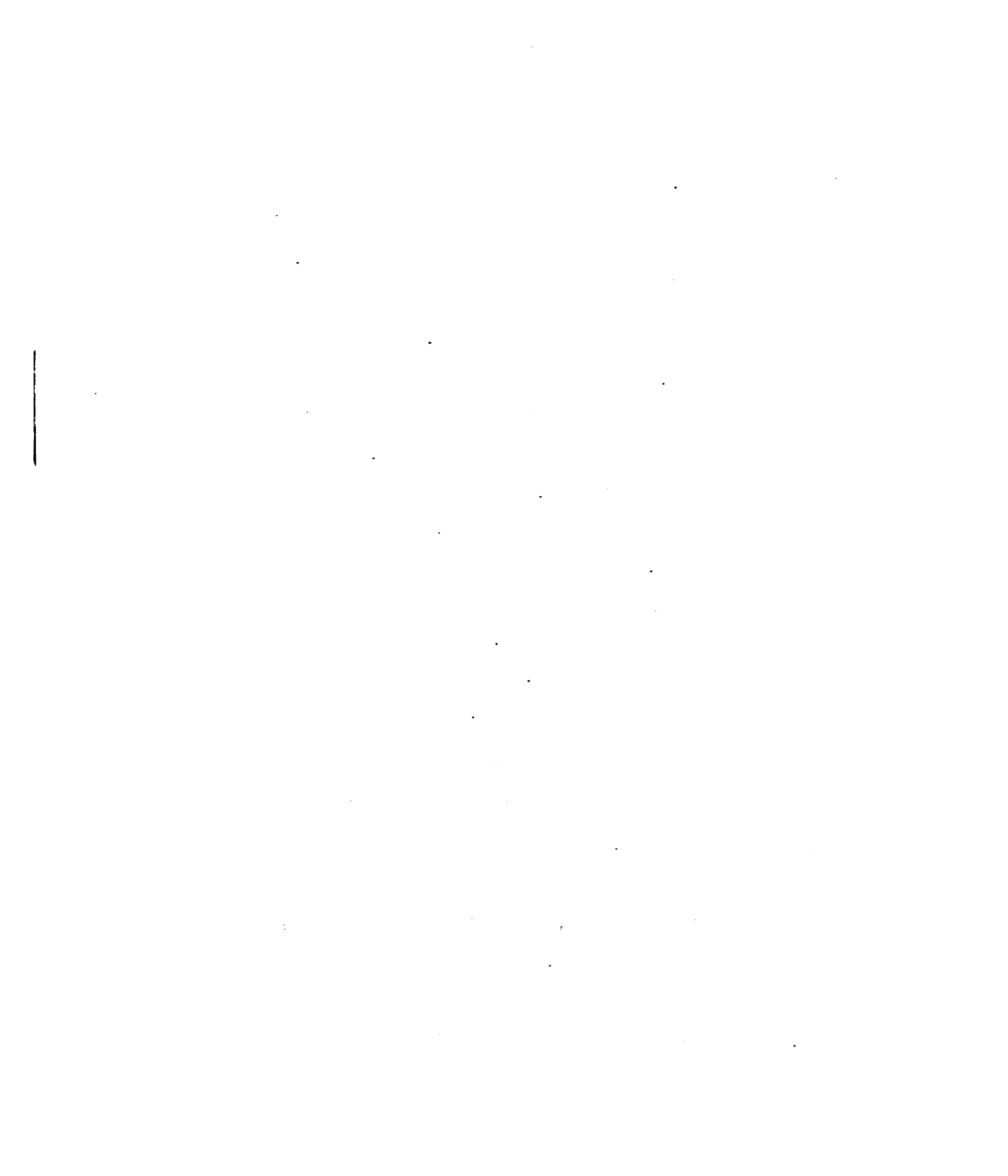
Alternate Hypothesis IIIA. Felt similarity and empathic ability are positively related.

Means of Analysis of Data

The normality of each distribution investigated in this study was assumed. Product-moment coefficients of correlation were obtained on several distributions and in these cases independence, linearity of regression, and equal intervals were assumed.

The following correlations were obtained:

1. The relationship between social



class without the education factor and empathic ability (deviation score), was obtained by a product-moment correlation between the measures of social class similarity and:

- (A) Empathic understanding-client and
- (B) Empathic understanding-counselor.

2. The relationship between social class similarity including education and empathic ability (deviation score), was obtained by product moment correlation between the measures of social class similarity with education and:

- (A) Empathic understanding-client and
- (B) Empathic understanding-counselor.

3. The relationship between social class similarity without education and empathic ability (right score), was obtained by a product moment correlation between the measures of social class similarity without education and:

- (A) Empathic understanding-client and
- (B) Empathic understanding-counselor.

4. The relationship between social class similarity with education and empathic understanding (right score), was obtained by a

product moment correlation between the measures of social class similarity including education and:

- (A) Empathic understanding-client and;
- (B) Empathic understanding -counselor.

5. The relationship between social class similarity without education and counselor felt similarity was obtained by a product-moment correlation between measures of these two variables.
6. The relationship between social class similarity including education and counselor felt similarity was obtained by product-moment correlation between the measures of these two variables.
7. The relationship between the counselor felt similarity and empathic ability (deviation score), was obtained by a product-moment correlation between the measures of counselor felt similarity and:
 - (A) Empathic understanding-client and
 - (B) Empathic understanding-counselor.
8. The relationship between the counselor felt similarity and empathic ability(right score), was obtained by a product-moment correlation between the measures of counselor felt

similarity and:

- (A) Empathic understanding-client and
- (B) Empathic understanding-counselor.

Summary

In this chapter the design of the study was presented. The sample consisted of 20 college students in personal adjustment counseling at four colleges and universities in Michigan. Three measuring instruments were used: Warner's Index of Status Characteristics; the Dymond Empathic Ability Scale; and the Lesser Felt Similarity Scale. Data was collected from counselors and their clients, all of whom voluntarily participated in the research. Fourteen statistical hypotheses were drawn from the theoretical hypotheses. Analysis of the data will be accomplished by use of the product-moment correlation of coefficient.

CHAPTER IV

ANALYSIS OF THE DATA

Product-moment correlation coefficients were obtained for each of the desired pairing of variables. The hypothesis that the population correlation was zero was tested, and the level of confidence for accepting or rejecting the null hypotheses with $N-2$ degrees of freedom was $p = .378$ at the 5% level and $p = .516$ at the 1% level.*

Each null hypothesis is presented in the subsequent pages of this chapter, followed by a short discussion of the findings. Further discussion of the findings and their implications will be undertaken in Chapter V.

Results

Alternate Hypothesis 1.

Empathic ability and social class similarity are positively related.

Null Hypothesis 1A. The counselor-rated empathic ability score (reverse** deviation score), and the reversed

*Unless otherwise specified all statements of significance will be at the 5% level of confidence.

**The term "reversed" will be used on several occasions in subsequent pages of this study. It is used to describe the process of reassigning raw score values so that all of the null hypotheses may be written using the same general

counselor-client Index of Status Characteristics (excluding the education factor) difference score, are either negatively related or not related.

Findings. The null hypothesis was accepted at the 5% level of confidence as shown in Table 4.1. There was, in fact, a significant negative relationship between counselor empathic ability and social class similarity, as defined above.

Null Hypothesis 1B. The counselor-rated empathic ability score (reversed deviation score), and the reversed counselor-client Index of Status Characteristics (including the education factor) difference score, are either negatively related or not related.

Findings. The null hypothesis was accepted at the 5% level of confidence as shown in Table 4.1. A significant negative relationship between counselor empathic ability and social class similarity, as defined in the null hypothesis, was found.

Null Hypothesis 1C. The counselor-rated empathic ability score (right score), and the reversed counselor-client Index of Status Characteristics (excluding the education factor) difference score, are either negatively related or not related.

form. The subject with the highest score receives the lowest and so on down until the last subject (one with lowest score) is assigned the highest corresponding number. An example is given in Appendix E.

11

Table 4.1. Counselor-rated Empathic Ability
(reversed deviation score)

Variables	Product-moment Correlation Coefficients	Significance	Null Hypothesis; Accepted or Rejected
Reversed coun.- client social class_bkgd. sim. \bar{s} educ.*	$r = -.57$	Not significant at the 5% level of confidence	Accepted
Reversed coun.- client social class_bkgd. sim. \bar{c} educ.*	$r = -.53$	Not significant at the 5% level of confidence	Accepted

*The following abbreviations will be used in each of the tables in this chapter: coun. - counselor; bkgd. - background; sim. - similarity; educ. - education; \bar{s} - without; and \bar{c} - with.

Findings. The null hypothesis was accepted at the 5% level of confidence as shown in Table 4.2. Counselor empathic ability and social class similarity were negatively related, and this relationship was found to be significant.

Null Hypothesis 1D. The counselor-rated empathic ability score (right score), and the reversed counselor-client Index of Status Characteristics (including the education factor) difference score, are either negatively related or not related.

Findings. The null hypothesis was accepted at the 5% level of confidence as shown in Table 4.2. There was

Table 4.2. Counselor-rated Empathic Ability
(right score)

Variables	Product-moment Correlation Coefficients	Significance	Null Hypothesis; Accepted or Rejected
Reversed coun.-client social class bkgd. sim. \bar{s} educ.	$r = -.62$	Not significant at the 5% level of confidence.	Accepted
Reversed coun.-client social class bkgd. sim. \bar{c} educ.	$r = -.58$	Not significant at the 5% level of confidence.	Accepted

a significant negative relationship between counselor empathic ability and social class similarity as defined and limited in the above null hypothesis.

Null Hypothesis 1E. The client-rated empathic ability score. (reversed deviation score), and the reversed counselor-client Index of Status Characteristics (excluding the education factor) difference score, are either negatively related or not related.

Findings. The null hypothesis was accepted at the 5% level of confidence as shown in Table 4.3. A significant negative relationship was discovered between the variables of client empathic ability and social class similarity as specified above.

Table 4.3. Client-rated Empathic Ability
(reversed deviation score)

Variables	Product-moment Correlation Coefficients	Significance	Null Hypothesis; Accepted or Rejected
Reversed coun.-client social class bkgd. sim \bar{s} educ.	$r = -.57$	Not significant at the 5% level of confidence	Accepted
Reversed coun.-client social class bkgd. sim \bar{c} educ.	$r = .48$	Not significant at the 5% level of confidence	Accepted

Null Hypothesis 1F. The client-rated empathic ability score, (reversed deviation score), and the reversed counselor-client Index of Status Characteristics (including the education factor) difference score, are either negatively related or not related.

Findings. The null hypothesis was accepted at the 5% level of confidence as shown in Table 4.3. Client empathic ability and social class similarity were found to be significantly negatively related as these variables were defined above.

Null Hypothesis 1G. The client-rated empathic ability (right score), and the reversed counselor-client Index of Status Characteristics (excluding the education factor)

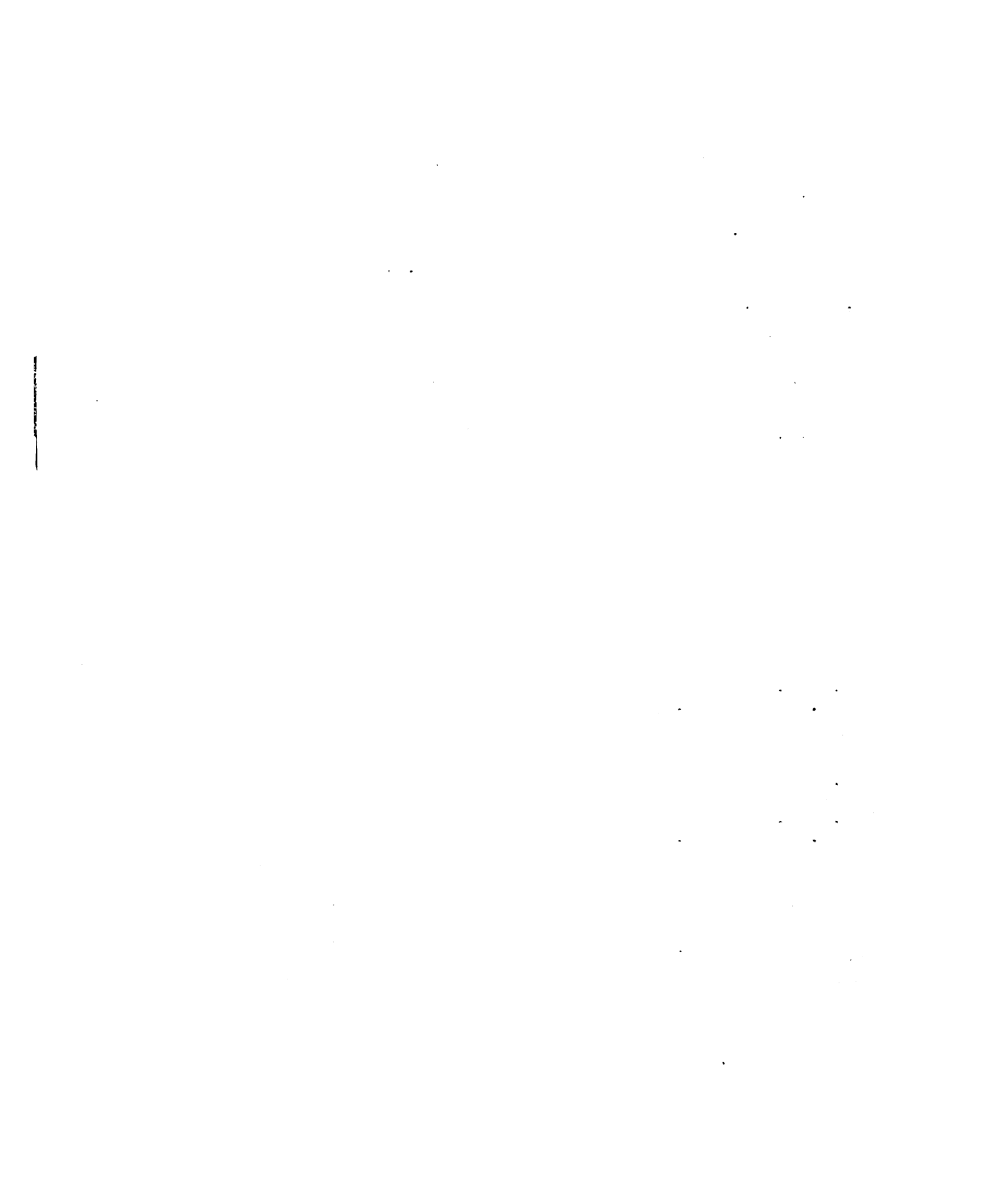
difference score, are either negatively related or not related.

Findings. The null hypothesis was accepted at the 5% level of confidence as shown in Table 4.4. There was, in fact, a significant negative relationship found between client empathic ability and social class similarity as specified in null hypothesis 7.

Table 4.4. Client-rated Empathic Ability (right score)

Variables	Product-moment Correlation Coefficients	Significance	Null Hypothesis Accepted or Rejected
Reversed coun-client social class bkgd. sim. \bar{s} educ.	$r = -.57$	Not significant at the 5% level of confidence	Accepted
Reversed coun.-client social class bkgd. sim. \bar{c} educ.	$r = -.55$	Not significant at the 5% level of confidence	Accepted

Null Hypothesis 1H. The client-rated empathic ability (right score), and the reversed counselor-client Index of Status Characteristics (including the education factor) difference score, are either negatively related or not related.



Findings. The null hypothesis regarding client empathic ability and social class similarity was accepted. Interestingly enough, there was a significant negative relationship found between these two variables.

Alternate Hypothesis 2.

Social class similarity and felt similarity are positively related.

Null Hypothesis 2A. The reversed counselor-client Index of Status Characteristics (excluding the education factor) difference score and the felt similarity score, are either negatively related or not related.

Findings. The null hypothesis was accepted at the 5% level of confidence as shown in Table 4.5. Felt similarity score and social class similarity (as defined above), were found not to be related to one another.

Null Hypothesis 2B. The reversed counselor-client Index of Status Characteristics (excluding the education factor) difference score, and the felt similarity score, are either negatively related or not related.

Findings. The null hypothesis was accepted at the 5% level of confidence as shown in Table 4.5. Social class similarity and felt similarity (as defined in the above hypothesis), were found not to be related to one another.

Alternate Hypothesis 3.

Felt similarity and empathic ability are positively related.

Table 4.5. Reversed Counselor-Client Social Class Background Similarity

Variable	Product-moment Correlation Coefficients	Significance	Product-moment Correlation Coefficients	Significance
Felt Sim.	$r = .02$	Not significant at the 5% level of confidence	$P = .06$	Not significant at the 5% level of confidence

The null hypotheses were accepted in both of the instances.

Null Hypothesis 3A. The felt similarity score and the counselor-rated empathic ability score (deviation score), are either negatively related or not related.

Findings. The null hypothesis was accepted at the 5% level of confidence as shown in Table 4.6. There was, in fact, a significant negative relationship between felt similarity and counselor empathic ability, as specified in the above hypothesis.

Null Hypothesis 3B. The felt similarity score, and the counselor-rated empathic ability score (right score), are either negatively related or not related.

Findings. The null hypothesis regarding felt similarity and counselor empathic ability was accepted as shown in Table 4.6. Although the relationship was positive, it was not significant at the 5% level but did approach significance at the 10% level of confidence.

Table 4.6. Felt Similarity

Variables	Product-moment Correlation Coefficients	Significance	Null Hypothesis; Accepted or Rejected
Coun.-rated empathic ability (rev. d.s.)*	$r = -.37$	Not significant at the 5% level of confidence	Accepted
Coun.-rated empathic ability (r.s.)**	$r = .29$	Not significant at the 5% level of confidence	Accepted
Client-rated empathic ability (rev. d.s.)	$r = -.50$	Not significant at the 5% level of confidence	Accepted
Client-rated empathic ability	$r = .65$	Significant at the 1% level of confidence	Rejected

**"rev. d.s." - reversed deviation score

***"r.s." - right score

Null Hypothesis 3C. The felt similarity score, and the client-rated empathic ability score, (reversed deviation score), are either negatively related or not related.

Findings. The null hypothesis was accepted at the 5% level of confidence as shown in Table 4.6. Felt similarity and client empathic ability were, in fact, negatively related as defined in the above hypothesis.

Null Hypothesis 3D. The felt similarity score and

the client-related empathic ability score, (right score), are either negatively related or not related.

Findings. The null hypothesis was rejected at the 1% level of confidence as shown in Table 4.6. A positive relationship was found between felt similarity and client empathic ability as defined in the above hypothesis.

Summary

Fourteen null hypotheses were tested. They were derived from three broad research hypotheses involving social class similarity and empathy, social class similarity and felt similarity, and empathy and felt similarity. One of the null hypotheses was rejected at the 1% level of confidence (the relationship between felt similarity and client-rated empathic ability using the "right score" method of scoring), and none were rejected at the 5% or the 10% levels of confidence. One hypothesis approached significant at the 10% level of confidence (the relationship between felt similarity and counselor-rated empathic ability using the "right score" method of scoring). The remaining null hypotheses were accepted at the 5% level of confidence.

CHAPTER V

SUMMARY AND CONCLUSIONS

Summary

This investigation was undertaken for the purpose of learning more about the counselor-client relationship in counseling. Three variables - social class similarity, empathic ability, and felt similarity - were selected following an extensive review of the literature. It was hypothesized that there would be a positive relationship among these three variables.

In order to test the hypotheses, scales were selected that would permit these three variables to be quantified. Warner's Index of Status Characteristics (difference score), was chosen as the measure of social class similarity between counselor and client. The choice of the empathic ability scale was more difficult because of the relatively large number available from which to choose. After careful consideration, Dymond's Empathic Ability Scale was selected. Finally, there was need for a scale to measure felt similarity (counselor perceived). The Lesser Felt Similarity Scale was chosen.

A sample of twenty college students from four Michigan colleges and universities was obtained. These students were entering personal adjustment counseling and volunteered to participate in the research project. The number of counseling interviews varied from six to thirty-two. When termination was anticipated, the counselor gave the client an envelope of materials including Warner's and Dymond's scales already mentioned and instructions for completing the scales. At this time the counselor also completed his envelope of materials, which included Wanrer's, Dymond's, and Lesser's scales, plus the necessary instructions. Client and counselor mailed the completed envelopes directly to this writer.

The analysis of the data was accomplished by use of the product-moment coefficient of correlation. Fourteen null hypotheses were tested, and all but one was accepted at the 5% level of confidence. There was found to be a significant positive relationship (1% level of confidence), between client-rated empathic ability and felt similarity as perceived by the counselor. The remainder of the null hypotheses were accepted at the 5% levels of confidence. The conclusion is that there is either no relationship or a negative relationship between the other variables measured.

Discussion

In general, the results obtained from this study did not support the hypotheses that were advanced. The null hypothesis relative to felt similarity and client-rated empathic ability (right score), was found to be significant at the 1% level of confidence. This would suggest that client empathic ability, or the client's ability to understand the counselor (scored by the above-mentioned procedure), and the way the counselor feels about his client, are related. A second hypothesis, counselor-rated empathic ability and felt similarity, approached significance at the 10% level of confidence. This may be evidence of a trend toward linking the counselor's ability to understand the client and the way the counselor feels about his client.

All of the hypotheses relating empathic ability, both client and counselor rated, to selected factors of social class similarity were significantly and negatively related at the 5% level, regardless of whether or not the factor of education was included. This seems to suggest that understanding and similarity of social class background are not positively related and do, in fact, act in opposition to one another. As social class similarity increases, empathic ability decreases.

This is in contrast to the theory proposed by Taft (46) and Halpern (30) regarding background similarity and understanding. It must be remembered though, that the

nature of the two previously cited studies was quite different from this present research. Both were attempting to measure predictive accuracy outside of a therapeutic setting and neither dealt either directly or indirectly with the counseling situation. This may be a significant deviation.

Those hypotheses dealing with selected factors of social class background similarity and felt similarity show no relationship to one another. The remaining two hypotheses, those concerned with felt similarity and counselor and client-rated empathic ability, are significantly and negatively related. Empathic ability reveals a positive relationship using one method of scoring (right score), and a negative relationship using another method of scoring (deviation score). The same measuring instrument was employed on both occasions. This could suggest a weakness in the scoring procedure for empathic ability scales, but this flaw was not as evident when comparing empathic ability and social class background similarity.

Fiedler (12) has suggested that when one believes another to be similar to himself, his attitude toward him is more friendly and there is greater understanding. This theory was not substantiated by this present study. In contrast there seemed to be no relationship between felt similarity and empathic understanding, which was, at least in part, supported by Lesser (27).

The results summarized previously are not in accord with the views discussed in the literature. Much of the research to date, in areas related to the present study, have concluded their studies with findings that are equivocal. Occasionally trends and tendencies have been noted, but conclusive findings are lacking. Eventually, theory suggesting a positive relationship between similarity and understanding may be strengthened and enlarged; but certain weaknesses in research design, which are evident in nearly all research done in counseling, must be corrected. Some of these weaknesses will be discussed in the following section.

Implications for Future Research

This research study has certain shortcomings which must be discussed and which should be considered by those contemplating similar research in the future.

The sample was small (twenty), and cannot be considered representative of the population of college students seeking and involved in personal adjustment counseling. Only four colleges were involved, and each of these institutions were located in Michigan. The sample was not selected randomly; but the study did include all students involved in personal adjustment counseling at the four colleges during a specified period, who volunteered to participate and whose counselors were also

willing to participate. This procedure is definitely at variance with methods of random sampling. Moreover, the results of this study cannot validly be generalized to other types of populations which are basically different from counseling in a college setting.

The variance with respect to length of counseling may well have influenced the results in this study because of the limited amount of exposure to one another. Counseling sessions ranged from six to thirty-two in number. For purposes of future research of this type, a minimum of twelve to fifteen hours of counseling might be desirable.

The counselors who participated in this investigation were generally oriented toward self-theory and client-centered techniques. Perhaps, a greater variety of counselors with respect to orientation, would have yielded different results. A greater variety of counselors, from the standpoint of social class background factors, might have also yielded different results. No attempt was made to insure representativeness within the population of counselors in regard to social class background factors. The same can be said concerning the sample of clients. If this study is to be replicated, the factors and conditions mentioned should be taken into consideration. As mentioned earlier, the present research should be regarded only as an exploratory study.



BIBLIOGRAPHY

1. Bender, I.E. and Hastorf, A.H., "The Perceptions of Persons: Forecasting Another Person's Responses on Three Personality Scales," Journal of Abnormal and Social Psychology, Vol. 45, 1950, pp. 556-561.
2. Bender, I.E. and Hastorf, A.H., "On Measuring Generalized Empathic Ability," Journal of Abnormal and Social Psychology, Vol. 48, 1953, pp. 503-506.
3. Bordin, E.S., Psychological Counseling, New York: Appleton-Century-Crofts, 1955.
4. Breslaw, B.J., "Development of a Socio-economic Attitude," In R.S. Woodworth (ed), Archives of Psychology, No. 226, 1938.
5. Cade, Alex J., "Relationship Between Counselor-Client Cultural Background Similarity and Counseling Progress," Unpublished doctoral dissertation, Michigan State University, 1963.
6. Cottrell, L.S. and Dymond, Rosalind F., "The Empathic Responses," Psychiatry, Vol. 12, 1949, pp. 355-359.
7. Cronbach, L.J. and Glesser, G.C., "Assessing Similarity Between Profiles," Psychological Bulletin, Vol. 50, 1953, pp. 456-473.
8. Dymond, Rosalind F., "The Measurement of Empathic Ability," Journal of Consulting Psychology, Vol. 13, 1949, pp. 127-133.
9. Dymond, Rosalind F., "Personality and Empathy," Journal of Consulting Psychology, Vol. 14, 1950, pp. 343-350.
10. Dymond, Rosalind F., "The Relation of Insight and Empathy," Journal of Consulting Psychology, Vol. 12, 1948, pp. 228-233.
11. Erickson, G.E., Urban Behavior, New York: The Macmillan Co., 1954.

12.

• \mathbb{C}^2 is a complex vector space of dimension 2. A basis is $\{z_1, z_2\}$.

• The standard inner product on \mathbb{C}^2 is $\langle z_i, z_j \rangle = \delta_{ij}$.

• The adjoint of a linear operator T is denoted by T^* .

• The adjoint of a linear operator T is defined by $\langle Tz, z' \rangle = \langle z, T^*z' \rangle$.

• The adjoint of a linear operator T is the unique linear operator T^* satisfying the above property.

• The adjoint of a linear operator T is the unique linear operator T^* satisfying the above property.

• The adjoint of a linear operator T is the unique linear operator T^* satisfying the above property.

• The adjoint of a linear operator T is the unique linear operator T^* satisfying the above property.

12. Fiedler, F.E., "Quantitative Studies on the Role of Therapists' Feelings Toward their Patients," in O.H. Mowrer (ed), Psychotherapy Theory and Research. New York: Ronald Press, 1953, pp. 296-316.
13. Fiedler, F.E., "The Psychological-distance Dimension in Interpersonal Relations, Journal of Personnel Vol. 22, 1953, pp. 142-150.
14. Fiedler, F.E. and Senior, Kate, "An Exploratory Study of Unconscious Feeling Reactions in Fifteen Patient-therapist Pairs," Journal of Abnormal and Social Psychology, Vol. 47, 1952, pp. 446-453.
15. Gage, N.L., "Accuracy of Social Perception and Effectiveness in Interpersonal Relationships," Journal of Personnel, Vol. 22, 1953, pp. 128-141.
16. Gage, N.L., "Explorations in the Understanding of Others," Educational and Psychological Measurement, Vol. 13, 1953, pp. 14-26.
- 17.. Gage, N.L. and Cronbach, L.J., "Conceptual and Methodological Problems in Interpersonal Perception." Psychological Review, Vol. 62, 1955, pp. 411-422.
18. Gist, N.P. and Halbert, L.A., Urban Society. New York: Thomas Y. Crowell Co., 1941.
19. Halpern, H.H., "Empathy, Similarity, and Self-Satisfaction," Journal of Consulting Psychology, Vol. 19, 1955, pp. 449-452.
20. Harding, D.C.W., Social Psychology and Individual Values, London: Hutchinson's University Library, 1953.
21. Hastorf, A.H. and Bender, I.E., "A Caution Respecting the Measurement of Empathic Ability," Journal of Abnormal and Social Psychology, Vol. 47, 1952, pp. 574-576.
22. Hastorf, A.H., Bender, I.E., and Weintraub, D.J., "The Influence of Response Patterns on the 'Refined Empathy Score,'" Journal of Abnormal and Social Psychology, Vol. 51, 1955, pp. 341-343.
23. Hollingshead, A.B. and Redlich, F.C., Social Class and Mental Illness, New York: John Wiley & Sons, 1958.

24. Honigmann, J.J., Culture and Personality, New York: Harper and Bros., 1954
25. Horney, K., New Ways in Psychoanalysis, New York: Norton Co., 1939.
26. Isaacs, K.S., Fiedler, F.E., and Fiske, D.W., "Some Factors Involved in the Understanding of Patients by Clinicians," University of Chicago, Chicago: Mimeographed, 1950.
27. Lesser, W.M., "Relationship Between Counseling Progress and Empathic Understanding," Unpublished doctoral dissertation, Michigan State University, 1958.
28. Lewin, K., "Field Theory in Social Science; selected theoretical papers," D. Cartwright (ed), New York: Harper and Bros, 1951.
29. Lindgren, H.C., and Robinson, Jacqueline, "Evaluation of Dymond's Test of Insight and Empathy," Journal of Consulting Psychology, Vol. 17, 1953, pp. 172-176.
30. MacFarlane, T.G., "Empathic Understanding in an Interpersonal Interview Situation," Unpublished doctoral dissertation, University of California, Los Angeles, 1952.
31. Miller, D. and Swanson, G.E., "The Study of Conflict," In M. Jones (ed), Nebraska Symposium on Motivation, Lincoln: University of Nebraska, 1956.
32. Mitchell, H.E., "Color Conflict as a Defense," In G. Seward (ed), Clinical Studies in Culture Conflict, New York: The Ronald Press Co., 1958.
33. Normal, R.D., "The Interrelationship Among Acceptance-rejection, Self-other Identity, Insight into Self, and Realistic Perception of Others," Journal of Social Psychology, Vol. 37, 1953, pp. 205-235.
34. Notcutt, B. and Silva, A.L.M., "Knowledge of Other People," Journal of Abnormal and Social Psychology, Vol. 46, 1951, pp. 30-37.
35. Opler, M.K., "Anthropological Aspects of Psychiatry," In J.H. Masserman and J.L. Moreno (eds), Progress in Psychotherapy, New York: Grune & Stratton, 1959.

36. Opler, M.K., "Epidemiological Studies of Mental Illness: Methods and Scope of the Midtown Study, New York, NY," In D.M. Rioch (ed), Symposium on Preventive and Social Psychiatry, Washington, D.C.: Walter Reed Army Institute of Research, 1958.
37. Opler, M.K. and Singer, J.L., "Ethnic Differences in Behavior and Psychopathology," Int. Journal of Social Psychiatric, Vol. 2, 1956, pp. 11-23.
38. Rennie, A.C., "Epidemiological Studies of Mental Illness: Methods and Scope of the Midtown Study, New York City," In D.M. Rioch (ed), Symposium on Preventive and Social Psychiatry, Washington, D.C.: Walter Reed Army Institute of Research, 1958.
39. Richard, R.J., "Self Culture Movement in New England," Thesis (M.A.), Michigan State University, 1957.
40. Rogers, C.R., Client-centered Therapy, Cambridge: Riverside Press, 1951.
41. Rogers, C.R. and Dymond, Rosalind F., (eds), Psychotherapy and Personality Change, Chicago: University of Chicago Press, 1954.
42. Rogers, C.R., "Perceptual Reorganization in Client-centered Therapy," In R.R. Blake and G.V. Ramsey (eds), Perception: An Approach to Personality, New York: Ronald Press, 1951, pp. 307-327.
43. Sears, R.R., "Experimental Studies of Projection: I Attribution of Traits," Journal of Social Psychology, Vol. 7, 1936, pp. 151-163.
44. Sears, R.R., et al., Patterns of Child Rearing, Evanston, Ill.: Row, Patterson and Co., 1957.
45. Seward, G., Clinical Studies in Cultural Conflict, New York: The Ronald Press Co., 1958.
46. Taft, R., "The Ability to Judge People," Psychological Bulletin, Vol. 52, 1955, pp. 1-23.
47. Warner, W.L., Social Class in America, New York: Harper and Bros., 1960.

48. Wolf, R. and Murray, H.A., "An Experiment in Judging Personalities," Journal of Psychology, Vol. 3, 1937, pp. 345-365.
49. Wylie, R.C., The Self Concept, Lincoln: University of Nebraska Press, 1961.

APPENDICES

APPENDIX A

Questionnaire for Background
Information

Name: _____ Date: _____

All of the items on this questionnaire are concerned with your life and experiences before you reached the age of 17 years. Please keep this in mind as you fill it out.

Please proceed.

- List the types of work your father (or stepfather) did before you were 17 years old (If you lived with both your father and a stepfather during this period, list the types of work both did).

Types of Employment	Duration of Employment	Father (Check)	Step-one) father
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

- Was your father (or stepfather) in business for himself during this period? _____ What kind of business was he in? _____

- Did your mother (or stepmother) work during this period? _____ If "yes," list the types of work she did.

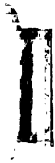
Types of Employment	Duration of	Mother	Step-mother
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

4. Please indicate the source of the family's income during this period by checking the appropriate proportion of the total family income obtained from the following sources: (Check)

	None	One Third	One Half	Two Thirds	All
a. Inherited Wealth	()	()	()	()	()
b. Earned Wealth	()	()	()	()	()
c. Profit and Fees	()	()	()	()	()
d. Salary and Wages	()	()	()	()	()
e. Public Relief	()	()	()	()	()
f. Other (list) _____	()	()	()	()	()

5. Approximately how many different houses did the family live in before you were 17? _____
6. The house in which we lived for the longest duration during this period was probably valued in the price range: (check one)
- a. () Less than \$5,000 b. () \$5,000-\$9,999
 c. () \$10,000-\$14,999 d. () \$15,000-\$19,999
 e. () \$20,000-\$29,999 f. () \$30,000-\$39,999
 g. () \$40,000 or over
7. We lived in this house _____ years and it was about _____ years old at the time of my best memory of it.
8. Other houses in which we lived during this period were, with respect to value and size, (check one)
- a. () far superior to this house
 b. () somewhat superior to this house
 c. () about the same as this house
 d. () slightly inferior to this house
 e. () very inferior to this house
9. The house we lived in for the longest duration before I was 17 (check one)
- a. () was in the suburbs.
 b. () was in the city.
 c. () was in a rural area.

10. In comparison to the other neighborhoods in the city or community, our neighborhood was generally considered (check one)
- a. () the highest b. () quite high c. () just about average
 d. () average e. () just below
 f. () low g. () the lowest.
11. Most of the other houses in which we lived during this period were (check one)
- a. () in the suburbs b. () in the city
 c. () in a rural area
12. Before I was 17, we lived mostly (check one)
- a. () on farms.
 b. () in towns with populations less than 2,000.
 c. () in towns with populations less than 10,000 but more than 2,000.
 d. () in towns with populations less than 25,000, but more than 10,000.
 e. () in cities with populations less than 100,000, but more than 25,000.
 f. () in cities with populations less than 300,000, but more than 100,000
 g. () in cities with populations over 300,000.
13. The cities or communities in which we lived before I was 17 are mostly located in the (check one)
- a. () South b. () Midwest (North Central)
 c. () East d. () West e. () others (list) _____
-
14. In the neighborhoods where I lived, most people (check one)
- a. () lived in apartments or flats.
 b. () were renting homes.
 c. () were buying homes.
 d. () had paid for their homes.
15. In these neighborhoods, (check "yes" or "no" for all items)
- a. people were always talking politics..Yes ___ No ___
 b. as a rule people went to church almost every week.....Yes ___ No ___
 c. people put a lot of emphasis on education.....Yes ___ No ___



- d. people married, as a rule, before they were 21.....Yes ___ No ___
- e. as a rule people were quite concerned about morals.....Yes ___ No ___
16. Most of the families in the neighborhood where we lived for the longest duration probably had incomes which were (check one)
- a. () much greater than ours. b. () somewhat greater than ours. c. () about the same as ours. d. () somewhat less than ours. e. () considerably less than ours.
17. What is your educational level (highest level achieved in school)? _____
18. What is your father's (or stepfather's educational level)? _____
19. What is your mother's (or stepmother's) educational level? _____
20. If your parents were separated or divorced, how old were you when this occurred? _____
21. If your mother is deceased, how old were you when she passed? _____
22. If your father is deceased, how old were you when he passed? _____
23. With whom did you live until you were 17? (Do not use names.) _____
24. How many of your sisters are younger than you? _____
How many older? _____
25. How many of your brothers are younger than you? _____
How many older? _____
26. Do you have stepsisters or stepbrothers? _____
How many? _____
27. What was your mother's age at the time of your birth? _____ and your father's? _____
28. I was disciplined more by (check one)
- a. () my mother. b. () my father. c. () about the same by each.

29. What is (was) your parents' religious faith? _____
30. Before I was 17, my parents (check one)
- insisted that I attend church.
 - strongly encouraged me to attend church.
 - moderately encouraged me to attend church.
 - did not encourage me to attend church.
31. Before I was 17, my parents (check the most appropriate)
- put a lot of emphasis on education.
 - showed a desire to have me acquire an education but used little pressure.
 - showed little concern about education.
 - seemed to have a negative attitude toward education in general.
32. My parents disciplined me mostly (You may check more than one, but put the approximate age range at which the type of discipline occurred before the corresponding letter.)
- by explaining the meaning and implications of my wrong-doings.
 - by making me feel somewhat ashamed for my misdeeds.
 - by showing me that to be loved and appreciated, you must be good.
 - by withholding privileges from me.
 - by making me do some strenuous or dreadful task.
 - by locking me in my room or some other form of forced isolation.
 - by making me go to my room or to bed.
 - by scolding me.
 - by whipping or spanking me.
 - by other methods (list) _____
33. When I became a teen-ager, my parents (check one)
- were very strict about not allowing me to keep late hours.
 - showed concern about me keeping late hours, but were not too strict.
 - more or less felt that I could take care of myself and did not worry too much about it.

34. My parents seemed very concerned about the types of people with whom I associated.

(Check "True" or "False")

True _____ False _____

35. They permitted me to participate in many family decisions. (check)

True _____ False _____

36. For the most part, the communities in which I was reared were made up of (check one)

- a. () only whites
- b. () only Negroes
- c. () both Negroes and whites
- d. () only Orientals
- e. () several races, including Negroes and whites
- f. () others (explain) _____

37. List the races from which you had associates, classmates or close friends before you were 17. (Indicate after the races listed whether persons of the race were close friends, classmates or associates. If a given person was all three or two of the three indicate this. Please include your own race.)

_____	_____	_____
_____	_____	_____
_____	_____	_____

38. In the city or community where you lived for the longest duration before you were 17, approximately what percentage of the total population did the following races or ethnic groups constitute?

Negroes _____% Whites _____% Jews _____% Orientals _____%

American Indians _____% Mexicans _____% Other Spanish Americans _____% Others (list) _____

39. Please list the honorary and civic positions held by your father before your seventeenth birthday.

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

40. Please list the most frequent recreational activities of your parents.

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

41. How old are you? _____ What is your sex? _____ What is your race? _____

Thank you for your participation in this study. We realize that we asked a lot of you. We sincerely hope that as a result of your cooperation and that of others like you, this study will make a contribution to the understanding of some of the important needs of people and how they can best be met in the counseling situation.

Alex J. Cade

Richard Lawrence

Items 1, 4, 6, 14, 18, and 19 were used for this study. The entire questionnaire was administered and used for another project.

APPENDIX B

EMPATHIC ABILITY SCALE

(Client)

Your Name _____

Date _____

Your Counselor's Name _____

On this form, you are asked to rate yourself and your counselor on six characteristics as you saw them in your relationship with him (her). The characteristics are repeated four times and you are to rate them from the perspective indicated following the Roman numeral. The counselor will be given the same scale and the ultimate objective of this scale is to see how well you can predict what his ratings will be.

I. HOW DO YOU HONESTLY FEEL ABOUT YOURSELF IN RELATION TO YOUR COUNSELOR?

(check the appropriate box under each item)

1. How superior or inferior are you to your counselor?

Quite Superior	Somewhat Superior	About half and half	Somewhat inferior	Quite inferior
----------------	-------------------	---------------------	-------------------	----------------

2. How friendly or unfriendly are you to your counselor?

Very friendly	Fairly friendly	About half and half	Fairly unfriendly	Very unfriendly
---------------	-----------------	---------------------	-------------------	-----------------

3. Which do you think you are (or would be) in your relations with your counselor, leader or follower?

Always leader	Mostly leader	About half and half	Mostly follower	Always follower
---------------	---------------	---------------------	-----------------	-----------------

4. Which are you in your relations with your counselor, shy or self-assured?

Very shy	Fairly shy	About half and half	Fairly self-assured	Very self-assured
----------	------------	---------------------	---------------------	-------------------

5. Which do you think you are toward your counselor, sympathetic or unsympathetic?

Very sympathetic	Fairly sympathetic	About half and half	Fairly un- sympathetic	Very un- sympa- thetic
---------------------	-----------------------	------------------------	---------------------------	------------------------------

6. Which do you think you are in your relations with your counselor, secure or insecure?

Very secure	Fairly secure	About half and half	Fairly insecure	Very insecure
----------------	------------------	------------------------	--------------------	------------------

II. HOW DO YOU HONESTLY FEEL ABOUT YOUR COUNSELOR?
(check the appropriate box under each item).

1. How superior or inferior do you think your counselor is to you?

Quite superior	Somewhat superior	About half and half	Somewhat inferior	Quite inferior
----------------	-------------------	---------------------	-------------------	----------------

2. How friendly or unfriendly is your counselor to you?

Very friendly	Fairly friendly	About half and half	Fairly unfriendly	Very unfriendly
---------------	-----------------	---------------------	-------------------	-----------------

3. Which do you think your counselor is (would be) in his (her) relations with you, leader or follower?

Always leader	Mostly leader	About half and half	Mostly follower	Always follower
---------------	---------------	---------------------	-----------------	-----------------

4. Which do you think your counselor is in his (her) relations with you, shy or self-assured?

Very shy	Fairly shy	About half and half	Fairly self-assured	Very self-assured
----------	------------	---------------------	---------------------	-------------------

5. Which do you think your counselor is toward you, sympathetic or unsympathetic?

Very sympathetic	Fairly sympathetic	About half and half	Fairly unsympathetic	Very unsympathetic
------------------	--------------------	---------------------	----------------------	--------------------

6. Which do you think your counselor is in his (her) relations with you, secure or insecure?

Very secure	Fairly secure	About half and half	Fairly insecure	Very insecure
-------------	---------------	---------------------	-----------------	---------------

III. WHAT DO YOU THINK YOUR COUNSELOR WILL SAY ABOUT HIMSELF (herself)? (check the appropriate box under each item)

1. How superior or inferior will your counselor say he (she) is to you?

Quite Fairly About half Somewhat Quite
superior superior and half inferior inferior

2. How friendly or unfriendly will your counselor say he (she) is to you?

Very Fairly About half Fairly Very
friendly friendly and half unfriendly unfriendly

3. Which will your counselor say he (she) is (or would be) in relation to you, leader or follower?

Always Mostly About half Mostly Always
leader leader and half follower follower

4. Which will your counselor say he (she) is in his (her) relation with you, shy or self-assured?

Very Fairly About half Fairly Very
shy shy and half self-assured self-assured

5. What will your counselor say he (she) is in his (her) relation to you, sympathetic or unsympathetic.

Very Fairly About half Fairly Very
sympa- sympa- and half unsympa- unsympa-
thetic thetic thetic thetic thetic

6. Which will your counselor say he (she) is in his (her) relations to you, secure or insecure?

Very Fairly About half Fairly Very
secure secure and half insecure insecure

IV. WHAT DO YOU THINK YOUR COUNSELOR WILL SAY ABOUT YOU? (check the appropriate boxes)

1. How superior or inferior will your counselor say you feel to him (her)?

Quite Somewhat About half Somewhat Quite
superior superior and half inferior inferior

2. How friendly or unfriendly will your counselor say you are to him (her)?

Very Fairly About half Fairly Very
friendly friendly and half unfriendly unfriendly

3. Which will your counselor say you are (or would be) in relation to him (her), leader or follower?

Always Mostly About half Mostly Always
leader leader and half follower follower

4. Which will your counselor say you are in your relations with him (her), shy or self-assured?

Very Fairly About half Fairly Very
shy shy and half self-assured self-assured

5. What will your counselor say you are in relation to him (her), sympathetic or unsympathetic?

Very Fairly About half Fairly Very
sympa- sympa- and half unsympa- unsympa-
thetic thetic thetic thetic

6. Which will your counselor say you are in your relation to him (her), secure or insecure?

Very Fairly About half Fairly Very
secure secure and half insecure insecure

APPENDIX C

EMPATHIC ABILITY SCALE

(Counselor)

Your name _____ Date _____

Your Client's Name _____

On this form, you are asked to rate yourself and client on six characteristics as you saw them in your relationship with him (her). The characteristics are repeated four times and you are to rate them from the perspective indicated following the Roman numeral. The client will be given the same scale and the ultimate objective of this scale is to see how well you can predict what his ratings will be.

I. HOW DO YOU HONESTLY FEEL ABOUT YOURSELF IN RELATION TO THE ABOVE CLIENT? (check the appropriate box under each item)

1. How superior or inferior are you to the client?

Quite Somewhat About half Somewhat Quite
superior superior and half inferior inferior

2. How friendly or unfriendly are you to the client?

Very Fairly About half Fairly Very
friendly friendly and half unfriendly unfriendly

3. Which do you think you are (or would be) in your relations with the client, follower or leader?

Always Mostly About half Mostly Always
leader leader and half follower follower

4. Which are you in your relations with the client, shy or self-assured?

Very Fairly About half Fairly Very
shy shy and half self-assured self-assured

5. Which do you think you are toward the client, sympathetic or unsympathetic?

Very sympa- thetic	Fairly sympa- thetic	About half and half	Fairly unsympa- thetic	Very unsympa- thetic
--------------------------	----------------------------	------------------------	------------------------------	----------------------------

6. Which do you think you are in your relations with the client, secure or insecure?

Very secure	Fairly secure	About half and half	Fairly insecure	Very insecure
----------------	------------------	------------------------	--------------------	------------------



II. HOW DO YOU HONESTLY FEEL ABOUT THE CLIENT? (check the appropriate box under each item)

1. How superior or inferior do you think the client is to you?

Quite superior	Somewhat superior	About half and half	Somewhat inferior	Quite inferior
----------------	-------------------	---------------------	-------------------	----------------

2. How friendly or unfriendly is the client to you?

Very friendly	Fairly friendly	About half and half	Fairly unfriendly	Very unfriendly
---------------	-----------------	---------------------	-------------------	-----------------

3. Which do you think the client is (would be) in his (her) relations with you, leader or follower?

Always leader	Mostly leader	About half and half	Mostly follower	Always follower
---------------	---------------	---------------------	-----------------	-----------------

4. Which do you think the client is in his (her) relations with you, shy or self-assured?

Very shy	Fairly shy	About half and half	Fairly self-assured	Very self-assured
----------	------------	---------------------	---------------------	-------------------

5. Which do you think the client is toward you, sympathetic or unsympathetic?

Very sympathetic	Fairly sympathetic	About half and half	Fairly unsympathetic	Very unsympathetic
------------------	--------------------	---------------------	----------------------	--------------------

6. Which do you think the client is in his (her) relations with you, secure or insecure?

Very secure	Fairly secure	About half and half	Fairly insecure	Very insecure
-------------	---------------	---------------------	-----------------	---------------

III. WHAT DO YOU THINK THE CLIENT WILL SAY ABOUT HIMSELF (herself)? (check the appropriate box under each item)

1. How superior or inferior will the client say he (she) is to you?

Quite Fairly About half Somewhat Quite
superior superior and half inferior inferior

2. How friendly or unfriendly will the client say he (she) is to you?

Very Fairly About half Fairly Very
friendly friendly and half unfriendly unfriendly

3. Which will the client say he (she) is (or would be) in relation to you, leader or follower?

Always Mostly About half Mostly Always
leader leader and half follower follower

4. Which will the client say he (she) is in his (her) relation with you, shy or self-assured?

Very Fairly About half Fairly Very
shy shy and half self-assured self-assured

5. What will the client say he (she) is in relation to you, sympathetic or unsympathetic?

Very Fairly About half Fairly Very
sympa- sympa- and half unsympa- unsympa-
thetic thetic and half thetic thetic

6. Which will the client say he (she) is in his (her) relations to you, secure or insecure?

Very Fairly About half Fairly Very
secure secure and half insecure insecure

IV. WHAT DO YOU THINK THE CLIENT WILL SAY ABOUT YOU?
(check the appropriate boxes)

1. How superior or inferior will the client say you feel to him (her)?

Quite Somewhat About half Somewhat Quite
superior superior and half inferior inferior

2. How friendly or unfriendly will the client say you are to him (her)?

Very Fairly About half Fairly Very
friendly friendly and half unfriendly unfriendly

3. Which will the client say you are (or would be) in relation to him (her), leader or follower?

Always Mostly About half Mostly Always
leader leader and half follower follower

4. Which will the client say you are in your relations with him (her), shy or self-assured?

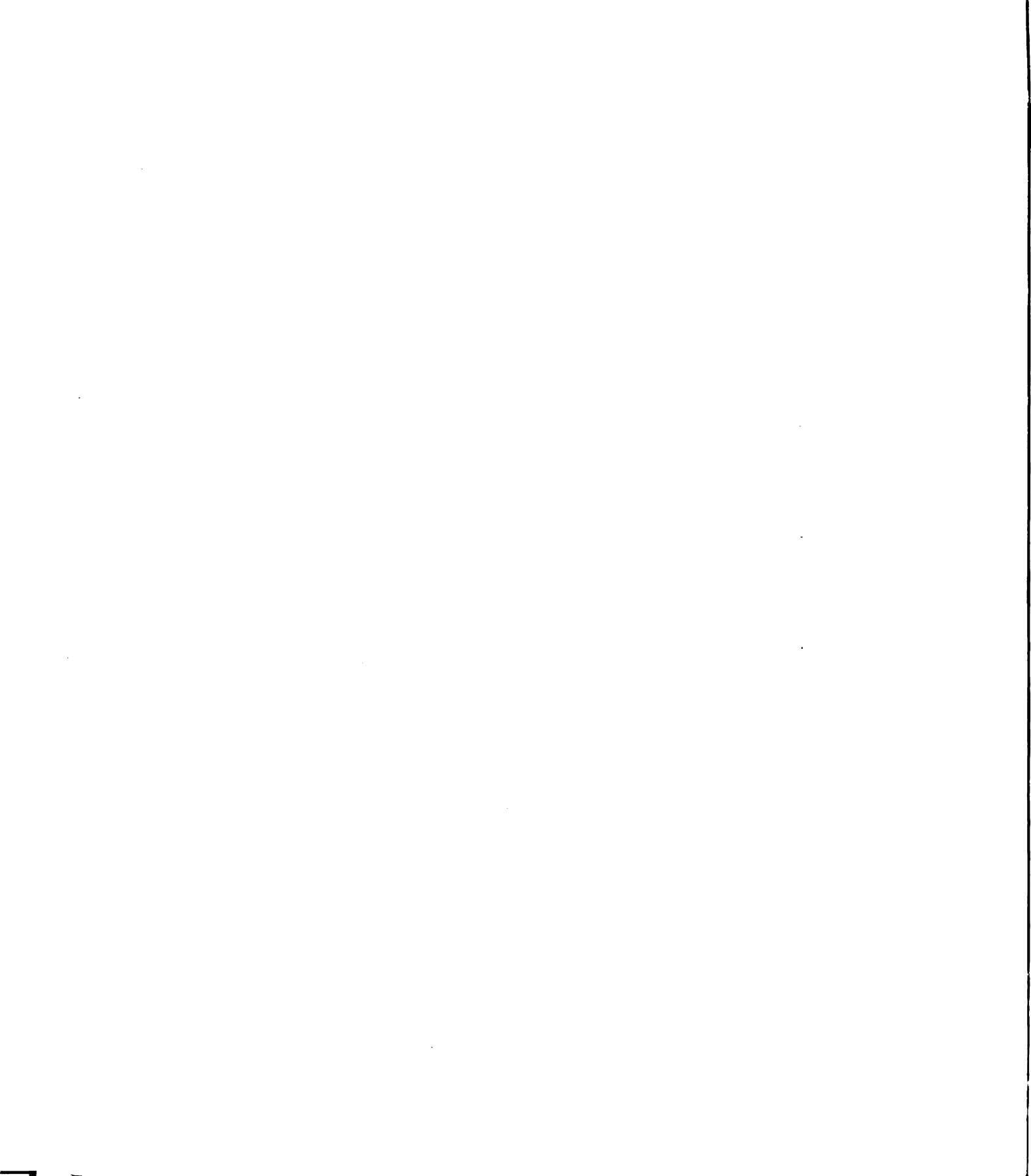
Very Fairly About half Fairly Very
shy shy and half self-assured self-assured

5. What will the client say you are in relation to him (her), sympathetic or unsympathetic?

Very Fairly About half Fairly Very
sympa- sympa- and half unsympa- unsympa-
thetic thetic thetic thetic

6. Which will the client say you are in your relation to him (her), secure or insecure?

Very Fairly About half Fairly Very
secure secure and half insecure insecure



4. It is amazing how similarly this client and I view the world.
- (1 2 3 4 5 6 7)
(least most)
5. I am sure that my dynamics differ only slightly from this client's dynamics.
- (1 2 3 4 5 6 7)
(least most)
6. This client and I are so much alike, we could almost be siblings.
- (1 2 3 4 5 6 7)
(least most)
7. I usually feel much less similar to my clients than I do to this client.
- (1 2 3 4 5 6 7)
(least most)

RAW DATA

Subjects	Reversed Coun.-client bkgd. sim.		Counselor Empathy		Client Empathy	
	\bar{c} educ.	\bar{s} educ.	Reversed dev. score	Right score	Reversed dev. score	Right score
1	26	27	12	5	8	4
2	22	23	10	3	8	4
3	29	38	9	4	7	5
4	28	37	8	4	6	3
5	33	38	12	5	5	7
6	23	28	10	3	8	5
7	32	31	11	4	10	7
8	14	9	7	2	8	5
9	32	37	1	2	8	4
10	27	32	8	3	3	3
11	1	2	17	10	15	11
12	28	25	9	5	1	4
13	6	1	17	10	12	8
14	27	22	10	4	4	4
15	33	38	11	5	6	5
16	31	36	12	6	5	4

APPENDIX E

RAW DATA (con't)

Subjects	\bar{c} educ.	Reversed Coun.-client bkgd. sim	Counselor Empathy		Client Empathy	
			Reversed dev. score	Right score	Reversed dev. score	Right score
17	29	34	8	3	4	1
18	23	24	10	6	4	2
19	27	34	10	3	3	1
20	30	31	7	4	2	3

An example of the "reversed" scoring procedure.

Original scores---8, 4, 7, 10, 14, 3, 9, 18, and 16.

Reversed scores
starting with
number 1-----11, 15, 12, 9, 5, 16, 10, 1, and 3.

MICHIGAN STATE UNIVERSITY LIBRARIES



3 1293 03085 6953