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POLITE SPEECH: A SOCIOLINGUISTIC ANALYSIS OF CHAUCER AND THE GAWAIN-POET

Ву

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ABSTRACT

POLITE SPEECH: A SOCIOLINGUISTIC ANALYSIS OF CHAUCER AND THE GAWAIN-POET

By

Hyesoon Lim Eun

Using sociolinguistic theory, the polite speech of Chaucer and the *Gawain*-poet has been analyzed in terms of the two singular pronouns, various vocatives and different syntactic forms of request. Through their variations in the use of the second singular pronouns ye and thou, the characters in these works show their attitudes toward each other as well as their relative social status. In order to analyze these relationships, the distinctive use of the two singular pronouns ye and thou is classified into four categories of politeness; honorific, humble, neutral and sarcastic.

Vocatives were also analyzed in symmetrical and asymmetrical situations to show the relationships among the characters. Calling someone by his personal name, a kinship term such as brother, suster, cosyn, nece and uncle or both indicates that their relationships are friendly or hostile whereas honorific titles such as lorde, sire, maister, lady, dame and madame were employed in polite speech to show social distance. On the other hand, professional or rank



labels were normally used as neutral vocatives even though they were often employed to show animosity between professional enemies with respect to their occupation.

Different syntactic forms of request such as imperatives, statements and interrogatives were used to define the situation in which they occur. Though they all have the illocutionary force of requests they have different expressive values depending on the situation in which they are spoken. Generally speaking, the more indirect a speech is, the more polite it is considered in an appropriate situation.

Above all, social context is very important in interpreting polite speech both at the lexical and at the syntactic level. Depending on the social context, polite speech can be interpreted as sarcasm or as an insult.

Chaucer and the *Gawain*-poet took great advantage of the linguistic varieties which the language of their time, late Middle English, could provide in showing their views on issues such as 'gentilesse,' 'courtly love,' ' marriage,' 'friendship' and 'courtesy' in their own ways.

Through the examination of social factors determining the use of polite speech in Chaucer and the *Gawain*-poet, I tried to find out the social value of these linguistic features in the society of the late Middle English period.



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I. INTRODUCTION

Polite speech is used to keep social distance in public situations in Modern English. Besides keeping social distance, late Middle English employed a much wider range of features to mark politeness from honorific address to sarcastic address, and from socially distancing to socially intimate. These markers, although relatively absent in Modern English, are retained in some other languages, including Korean. Although the language is different, the principles remain much the same. Therefore I, as a native speaker of Korean, am much more sensitive to the use of these markers than Modern English speakers because my ear is more attuned to the use of intimate versus distancing pronouns, the use of vocatives to give honor or insult and the phrasing of requests which are socially appropriate. Among these items I am basically aware of the different coded social features.

There are a great many social features which are coded in the rules for the selection of a certain linguistic pattern. One selects the appropriate linguistic features which mark the politeness value of an utterance according to various complex and elusive social factors such as the



relative status of the speaker and the addressee, as well as the speaker's attitude towards the addressee.

Language can be used for various functions. In one traditional view, the functions of language are cognitive, evaluative and affective. The cognitive function is the use of language to express ideas, concepts and thoughts. The evaluative function is that language which conveys attitudes and values, and the affective function of language is to transmit emotion and feeling. 1 As one of the modifications to the traditional classification, Michael Halliday proposes three general functions which "to some degree supplement and cut across the three traditional functions" (Roger Bell, Sociolinguistics, p.85); the ideational, or the expression of content, the interpersonal, aimed at the establishment and maintenance of social relations, and the textual function whereby language provided links with itself and with the situation in which it is used. Any utterance can fulfill more than one function.

Polite speech is a social attitude of the speaker expressed through a certain selection of linguistic markers or forms in a social relationship, so it has to do with the social context in the use or choice of language rather than the capacity of language to evoke ideas, or the expression of 'content.' Politeness in speech may be indicated by a wide variety of linguistic forms, but for this study I have focused on three drawn from the morphological, lexical and syntactic levels: the use of the second singular personal



pronouns ye and thou, vocatives, and request forms dependent on the social context.

Halliday (1978) divides the social context in which language occurs into two categories: social and situational. Social patterns include such social aspects of language use as the establishment of boundaries in interpersonal relations, as well as the social status of the speaker and the addressee. Situational patterns are the settings in which language is used.

There have been some systematic studies in modern contemporary languages focused on how politeness is marked through linguistic features, though they are few. The major contribution is that of Brown and Gilman (1960), who examined the use of the two second person pronouns (referred to for convenience as T and V, following the Latin Tu and Vos) in some of the Indo-European languages. They argue in their well-known article "The Pronouns of Power and Solidarity" that the symmetrical and asymmetrical use of the two pronouns varies along with the dimensions of solidarity and power. They also argue that nonreciprocal patterns of address have given way, through time, to symmetrical ones, with the T form now being used by both interlocuters to indicate solidarity, and the V form being used by both to indicate social distance. They suggest that the importance of expressing status difference through pronoun usage has diminished in recent times relative to the importance of



expressing degrees of solidarity. The shift reflects basic changes in value systems.

Brown and Ford (1961) also suggest in the study of forms of address in American society that there is very little expression of status differences in the current use of address forms. They looked at modes of address used in American plays and collected data on actual usage and selfreported usage in American English. They analyzed three patterns: reciprocal use of first name, reciprocal use of honorific title before last name, and the nonreciprocal situation where one person uses first name and the other uses an honorific title before last name according to the relationship between speaker and addressee. Their study showed that the use of title before last name indicates distance and deference, while the first name is used to express both intimacy and condescension. Brown and Ford's study also showed how the development of a personal relationship affects the progression from mutual use of title before last name to nonreciprocal use of address, to mutual use of first name. However, in the Middle English period, social class differences played a very important role in the use of address forms.

One other linguistic treatment of politeness is that of Robin Lakoff (1972), who emphasizes the social context of the utterance in determining the choice of politeness markers. Focusing on modal auxiliaries as devices for politeness, she argues that the degree of politeness of an



utterance can be changed depending on the situation in which it occurs. An auxiliary verb which is considered polite in one context can be interpreted as impolite in another context. For example, under ordinary circumstances, the modal verb 'must' imposes an obligation, whereas the modal verb 'may' allows the addressee to do something. Therefore, the use of 'may' is considered more polite than that of 'must.' However, the degree of politeness can be reversed if such sentences as 'you must have some of this cake' and 'you may have some of this cake' are spoken by a hostess at a party.² As shown in her examples, in order to be able to predict how rules are going to apply, one has to to able to identify the assumptions about the social context of an utterance as well as any other implicit assumptions made by the speaker.

Susan Ervin-Tripp (1976) shows that a number of different types of speech acts can be expressed in a variety of syntactic forms. She identified six types of directives, ordered approximately according to the relative power of speaker and addressee: need statements, imperatives, imbedded imperatives, permission directives, question directives and hints. According to her analysis the first two categories, need statements and imperatives, are used to inferiors or familiar equals, while, at the other extreme, question directives and hints are used when the task seems to be difficult to ask someone to do. The two middle



categories, imbedded imperatives and permission directives, are used to superiors or unfamiliar addressees. 3

The concept of politeness itself was discussed in considerable detail by Brown and Levinson (1978). After examining the various types of linguistic expression used to convey politeness in a wide variety of languages, they offer a detailed pragmatic model which describes the quality of social relationships with respect to politeness. They contend that social context or situation is the most important in interpreting polite speech, even though specific forms are often considered more polite than others.

As little research as there is focused on politeness markers in current English, there are no studies of polite speech in Middle English. By investigating the literary language of any period from a sociolinguistic point of view, one can see how the language reflects the society of that period and how the language can be exploited to express its morality and its value. Through the synchronic analysis of the markers of some aspects of polite speech in Middle English literature, it is possible to explore the literary effects which were present in the state of the language.

In analyzing polite speech as represented in the literature of Late Middle English, I assume, as Marie Borroff (1962) does in her book Sir Gawain and the Green Knight, that the characters in the literature use the language of everyday life in 'real' situations. She argues in her study of style that the fictional speaker uses "words



and phrases that the author himself might have used in everyday life " (35), apart from metrical form and word order. She explains that "the extent to which the language of literary works reproduces the language of everyday life is precisely what is to be determined " (35).

Since Russian Formalists and the members of Prague School such as Ejxenbaum, Sklovskij, Jakobson, and many other literary critics suggest that literature is linguistically autonomous because it consists of intrinsic properties which distinguish it from other kinds of discourse, it has been traditionally assumed within literary criticism that literary and non-literary discourse (or poetic and non-poetic) should be distinguished.

However, I agree with Mary Louise Pratt's argument in Toward a Speech Act Theory of Literary Discourse that "it is both possible and necessary to develop a unified theory of discourse which allows us to talk about all the things people do in literature in the same terms we use to talk about all the other things people do with language" (xiii). Pratt develops her argument against the attempt to build the poetic/ordinary language opposition into linguistic theory, claiming "literary discourse must be viewed as a use rather than a kind of language" (xiii). As Pratt suggests, "socially-based, use-oriented linguistics is a prerequisite toward sealing the breach between formal and sociological approaches to literature" (xix). The only differences between the language of literature and of non-literature lie



in how language is used in a given utterance and context. She demonstrates "how some of the general principles of language use worked out by sociolinguists such as William Labov and Emmanuel Schegloff, and speech act theoreticians such as John Searle and H. Paul Grice can be used to describe what writers and readers are doing with the language when they are participating in works of literature " (xiii). Agreeing with Pratt, Michael Halliday (1978:57) proposes that any theory of language use should serve to describe literary language to the same extent it can describe ordinary language. Searle (1972) contends that both literary and non-literary language consist in performing speech acts of a quite specific kind called "illocutionary acts." 5 Ohmann (1972) argues that literary works are discourses with the usual illocutionay rules suspended. 6 As Ohmann (1974:54) puts it, many literary works are "imitation speech acts."

Stanley Fish (1980) also makes no distinction between literary language and 'ordinary' or 'real world' language. He says that "the very act of distinguishing between ordinary and literary language...leads to an inadequate account of both " (101). Therefore there is only one distinction which will be made between ordinary and literary language.

In the application of sociolinguistic theory to the literature, I have found that literature has some advantage over non-literature in analyzing the linguistic features



relating to social factors. The author will often supply words descriptive of the character's background, such as those dealing with social status, age, education or else. Above all, the most important advantage of analyzing speech used in literature is that such speech offers patterns that are understood by the audience and reader in that age. The case for using literature as a basis for a linguistic analysis has been well established (Page 1972; Halliday 1967; Chatman and Levin 1967; Saporta 1960; Jakobson 1960). Compared with lively research in modern literature, there are not many studies in Middle English literature from a sociolinguistic point of view. As far as the second singular personal pronouns are concerned, there have been some studies focused on their usage in Chaucer and the Gawain-poet. Finkenstaedt (1963) analyzed the pronoun usage of the Wife of Bath's Tale, Norman Nathan (1956), of the Friar's Tale, William Evans (1959), of Sir Gawain and the Green Knight, Charles C. Walcutt (1935), and Everett C. Johnston (1962), of Troilus and Criseyde. As for vocatives, however, there is no systematic study at all. William Stowell (1908) dealt with the honorific titles in French in his book Old-French Titles of Respect in Direct Address, which is the only work on vocatives I can refer to. At the syntactic level some speeches in Sir Gawain and the Green Knight have been studied with respect to 'courtesy' by A. C.

Spearing (1964) and Cecily Clark (1966). With scanty



research of this field, I attempt to explain some linguistic features to mark politeness in Chaucer and the Gawain-poet.

The distinctions of the second person pronouns ye and thou as singular, and various vocatives are discussed in terms of social patterns. Request forms which range from the most direct to the least direct are interpreted with respect to the situational context in which they are used.

These linguistic variants have been selected with the help of Concordance to Chaucer's work and other Middle English poetry, 7 and A Middle English Dictionary (Francis Henry Stratmann's dictionary, rev. by Bradley, Oxford: Clarendon Press;1891), from direct discourse in Late Middle English literature, particularly, from two poets, Chaucer and the Gawain-poet, to analyze politeness in speech. Because I am dealing with direct discourse, I will take into account only the micro-conversational aspect of a work of literature—the conversations between the fictional characters within a work—rather than macro-conversation, the larger communication between the author of a work and his audience.

My discussion of polite speech is limited to the works of Chaucer and the *Gawain*-poet because they represent the second half of the fourteenth century, which belongs to late 'Middle English literature.⁸ As far as polite speech is concerned, it has greater importance in late Middle English literature than in that of any other period in the history of English literature.



The late Middle English period was considered to be the most important in the history of the medieval English language and its literature. As Elizabeth Salter (1983:1) comments on this period in Fourteenth Century English Poetry, the late Middle English period was "the zenith of medieval civilization" and "the greatness of which was reflected in its literature." Compared with the earlier and later medieval period in which English poets were "almost silent," this period was very alive in poetry. As Salter also notes, "No later age has ever utilized poetry quite so naturally and so relentlessly " and "the whole range of medieval life-inner or outer-was expressed in poetry; it served practical needs and refined appetites with equal enthusiasm and fidelity" (1).

For three hundred years after the Normans conquered England in 1066, most literature was written in French or Latin. The upper classes spoke French, but the common people continued to speak English. Around the middle of the fourteenth century English finally received the official recognition of the upper class, as V. T. Scattergood notes:

In 1362 English was made the official language of the law and in the same year the Chancellor first opened Parliament with a speech in English. (Politics and Poetry in the Fifteenth Century, p.13)

With the development of English there was also a greater transition in the social and economic spheres of effective political power, than in the immediately earlier and subsequent periods. The outstanding features of the century were the broadening of the basis of the social hierarchy,



the rise of the bourgeoisie, and the working out in detail of the concept of limited monarchy. The traditional vertical structure of the society was mixed with the horizontal structure because of the sudden appearance of a new group, the middle class promoted by the expansion of trade. With increasing prosperity, the middle class, developed to a position of affluence and prestige between the hereditary nobility and the lower commons. The fluidity of social status is reflected in the literature in that period through the use of linguistic variants.

Late Middle English allowed great variation in all aspects of language because there was no standard English in the forms of a norm of correctness to which one should adhere.

Particularly, in grammar the second person plural pronoun ye began to be used to refer to a singular person, a usage which originates in the use of ye as a term of politeness. Thus, synchronic study of the distinctive usage of the two second singular personal pronouns ye and thou in this period helps to explain their diachronic development. Much of the English literature of this period is, if not straightforward translation, at least imitative of foreign works. Through translation and imitation many foreign words entered the language. Thus the writers could take a good advantage of the diverse aspect of language to their purpose.



As Doyle (1963) notes in *The Social Context of Medieval English Literature*, almost all medieval literature is ultimately didactic, overtly or covertly. One of the aims of literature of this period was the education of its audience in matters of current theological, political and ethical interest. Besides entertainment, the purpose of writing was to instruct the audience or reader by "instilling and encouraging a moral code." Jackson (1960) comments on the aim of writing in medieval literature as follows:

Much literature has been produced by authors who felt that society needed their help in overcoming its evils. Most satirists are would-be improvers of their social milieu. The more didactic authors of classical antiquity appealed strongly to medieval readers, since they had a purpose, announced or unannounced, to improve morals and lash out at wickedness. ("The Reasons for Writing Literature." in Literature of the Middle Ages, p. 37)

By presenting the relationship of the characters in their works through their use of language, the Middle English writers tried to show their morality in order that they might teach the audience (or readers), concentrating on the language in terms of diversity rather than uniformity. Teaching social value from the author's point of view is the important aspect of medieval literary works. Therefore literature was used as a tool of encouragement to critique and change the values of the social system and the morality of that society.

Above all, the greatest ideal of this age, upheld by every writer was 'courtesy' (cortaysye). As D. S. Brewer



observes, a characteristic of much medieval literature was 'courtesy':

All viable societies necessarily practice some forms of self-control and mutual help among their members, some forms of decency and gracefulness in daily social intercourse. This necessity in part took the form, in medieval European feudal society, of courtesy. (Chaucer and His Contemporaries, 1968, p.310)

Considering 'courtesy' as a word describing a relationship between persons, one of the chief ways that courtesy is made known is through the speech by which relationships are expressed and regulated.

Among others who represent late Middle English literature, Chaucer and the anonymous author of Sir Gawain and the Green Knight are writers whose main interests are on the social and moral level. Besides the fact that they were the great masters of language in the late Middle English period, they were considered the greatest didactic poets and they were both interested in 'courtesy.' They put much emphasis on 'courtesy,' one of the expressions of which is through the speech in their poems. In Chaucer, 'courtly love' and 'gentilesse' are reflected in the use of courteous In the Gawain-poet, the setting of Sir Gawain and speech. the Green Knight is in the courts where 'courtesy' is demanded. The two courts of Sir Gawain and the Green Knight, Arthur's and Bercilak's are each represented as the height of 'courtesy.' In order to focus my analysis, I have chosen to concentrate on some works of Chaucer and the Gawain-poet, particularly on the Canterbury Tales and



Troilus and Criseyde by Chaucer, and Sir Gawain and the Green Knight by the other poet.

Since the depiction of courtesy is a reflection of the author's perception, some time must be devoted to biography.

Geoffrey Chaucer is known as the man who helped make the English language what it is today. He was probably born about 1340.9 He was the son of a well-to-do wine-merchant; member of the King's personal household; courtier; diplomatic envoy to France and Italy; high customs official and later a member of Parliament. He lived much of his life in the City of London, therefore his language is the dialect . of East Midlands. As reflected in his works, he knew many of the area's most important men. He was dependent on French authority, and instead of turning to the native alliterative verse, he wrought, by critical cultivation, to reform and establish an English style, so he is called the father of English poetry. He also made the English language polite and courteous. As George H. Cowling (1971) observes, "no English dialect, before Chaucer wrote, was quite definitely the language of polite and courtely verse" (181).

The Canterbury Tales and Troilus and Criseyde are considered the representative works of Chaucer on which his reputation rests. The weight of these two works makes all the others, that is, what is written by and about Chaucer, significant and interesting, even though there is



diminishing objective coincidence between his minor works and what is known of his life (Fisher, p.952).

The Canterbury Tales is about a pilgrimage. This was the one social function in medieval life that would bring people of different social castes together on a footing of equality. Though the exact time when Chaucer first conceived the idea of writing the Canterbury Tales is not known, it is generally thought that he had begun it either in 1385 or in 1386. The sources of the tales are found in other European literature, yet the work as a whole is markedly English. Chaucer gave vivid character sketches of all kinds of people, high and low. The characters in the Canterbury Tales are so real and varied that they represent the whole range of humanity in medieval England. Each tale has significance in relation to other tales, but also in relation to the interlude between the tales, to the character of its own teller, and to the characters of the other Canterbury Pilgrims who tell the other Tales and who are imagined to make up the audiences. Characters are individuals and at the same time are moral and social representatives. These Tales are the entertainment the Pilgrims provide for each other and at the same time they are a fuller revelation of themselves, their interests, attitudes and antagonisms.

Through their language interaction with each other in the Canterbury Tales, Chaucer shows their social relationships as well as their social rank in that



 $\exists \, j \overset{\sim}{=} \,$

hierarchical society. His shifts of style reflect the changing relationship, not only between fictional characters, but also the poet and his literary tradition.

About the same time the Canterbury Tales was completed between 1390 and 1393, Chaucer was working on Troilus and Criseyde, which is considered as "the first modern novel" (John Speirs, p.20). Though somewhat lesser in importance now, it "was referred to more often and praised more highly than the Canterbury Tales" (Robinson, p.309). Though the story was known to be derived from an Italian poem, Il Filostrato written by Boccaccio, Chaucer modified the story by adding and reducing some parts. In particular the relationships between characters in the story were quite differently described from those in Il Filostrato, such as the friendship between Troilus and Pandarus, and Pandarus and Criseyde. The medieval convention of courtly love is also handled critically in the poem. My interest in Troilus and Criseyde is how the relationships between the characters and their attitude toward each other are shown through the use of language, such as friendship and courtly love in hierarchical society.

Chaucer's love vision poem, Parliament of Fowls, was written in a form which was popular in that period. It reflects the hierarchy of all ranks and provides good data for analyzing how language reflects social status and marks politeness. This poem is believed to have been begun in May 1382 and was therefore ready for St Valentine's day, 14



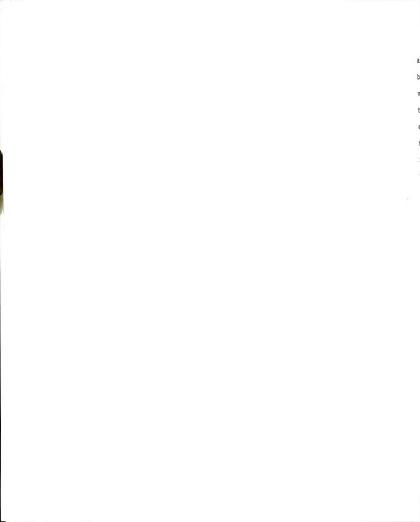
February 1383. As a form of love-visions, this poem is concerned with the question of who makes the best lover among the birds, who stand as representatives of all living species, from the noblest to the lowest. The birds compose a hierarchy of worth comparable to, though not exactly matching, that of fourteenth-century society, with the hawks and eagles highest, representing the highest' knightly class, and the other groups of birds lower in the scale. Nature, the vicar of God, endorses this hierarchy, and the most worthy birds have the right to choose their mates first.

Another great poet of Chaucer's time is the Gawainpoet, whose name and identity are not known. He may take
his place beside Chaucer as a commentator upon his time.
He shares with Chaucer and other writers in that period a
recognition of the hierarchical nature of society. The poet
himself is thought to have been highly educated, familiar
with courtly French literature as well as English
alliterative poetry, and deeply learned in Scripture and
controversial religious questions. His most notable trait,
however, is his sympathetic understanding of the
aristocratic life and his admiration for its highest ideals
of civilized conduct. Though it is still not proven that
the other poems such as Pearl, Purity, and Patience are
written by the same poet, I share the general view that the
poems are the work of a single writer, the Gawain-poet. 10



These four Middle English poems are preserved in a single manuscript now in the British Museum, known as Cotton Nero A.x: Pearl, Purity, Patience, and Sir Gawain and the Green Knight, listed in the order in which they appear in manuscript. The date of the manuscript is about 1400, and internal evidence suggests that the four poems were composed between 1360 and 1395, in the dialect of the Northwest Midlands. It is generally assumed that the simpler poems are the earlier, and the more complex later, thus the alliterative poems, Pearl and Sir Gawain and the Green Knight, which are more complex than the earlier two, can be considered to be latest. 11

The alliterative romance poem, Sir Gawain and the Green Knight, a primary source for this study, is considered "one of the great poems of the Middle Ages" and is built upon 'courtesy.' 12 The focus of the poem is to maintain the honor of the Arthur's Court and the order of chivalry. Even though it has its own sources, it is considered a totally different type of poetry apart from its French romantic roots. Because as John Speirs (1966) already notes in Medieval English Poetry, the Beheading Game and the Temptation, the two major elements of the plot, are not found in combination in any conceivable source, although they are found separately elsewhere. The value of 'courtesy' is defined in the poem through the two elements of the plot which are considered moral tests for its hero, Gawain.



Pearl consists of a dialogue between a dreamer-father and his daughter, Pearl, who had died in infancy and had been redeemed by Christ. This poem is basically a dream or vision allegory in the popular medieval tradition. Though there are still many discussions about dialect, authorship, elegy versus allegory, theology and symbolism in the poem, my concern focuses on the relationships between the dreamerfather and his two-year old daughter through the language they are using in the poem. The dreamer-father is instructed by or arguing with his glorified daughter throughout Pearl. In showing respect to his daughter the father uses ye to her, which makes their relationship ironic. However, their normal father-daughter relationship is also shown in the use of the pronouns, thou to the daughter and ye to the father, when they are talking about worldly things.

In subsequent chapters, I examine the ways these two authors used polite speech to mark the social status of the characters, comment indirectly on their characters' relationships, and judge the social system they write about. By showing interesting insights about the characters of the same or different social rank, and about the various situations with respect to the important themes of the period, each author reveals his linguistic flexibility by choosing the best linguistic variants.

I will analyze polite speech of direct discourse in the Canterbury Tales, the Parliament of Fowles and Troilus and



Criseyde by Chaucer, Sir Gawain and the Green Knight and Pearl by the Gawain-poet. In doing so, I will take into account from a sociolinguistic viewpoint the distinctive use of the second person singular pronouns ye and thou; the use of various vocatives such as calling someone by his personal name alone and/or by kinship terms or non-honorific common nouns, professional or rank labels, or the honorific titles; and the use of the different syntactic request forms, based on the speech acts theory developed by J. L. Austin (1962) and John R. Searle (1969).



Notes

¹Roger T. Bell, *Sociolinguistics*, p.82. Leech and Short, *Style in Fiction*, p.30. They introduce three influential theories about the functions of language. The first one is I.A. Richards' four types of function; sense, feeling. tone and intention. The second is the one by Jakobson who distinguishes six functions; referential, emotive, connotive, phatic, poetic, ans metalinguistic. As a more recent one Halliday's three way classification is considered.

²Robin Lakoff, "Language in Context," *Language*, 48 (1972), pp.907-27.

³Susan Ervin-Tripp, "Is Sybil there? The Structure of Some American English Directives," *Language in Society* 5 (1976), pp. 25-66

⁴P.Brown and S.Levinson (1978), "Universals in language usage, "In E.N.Goody, ed., Questions and politeness: Strategies in social interaction.

⁵John R. Searle (1975), "The Logical status of fictional discourse." New Literary History 6, 2, pp. 319-322.

⁶R. Ohmann, "Speech, Literature and the Space Between," New Literary History 4 (1972), pp. 47-63.

 7 J. S. P. Tatlock and A. G. Kennedy, A Concordance of the Complete Works of Geoffrey Chaucer (Washington, 1927).

Barnet Kottler and Alan M. Markman, A Concordance to Five Middle English Poems: Cleanness, St. Erkenwald, Sir Gawain and the Green Knight, Patience, Pearl (Pittsburgh, 1972).

 $^{8}\mbox{The late Middle English was usually thought of as the period of Chaucer.$

 $^{9}\mathrm{I}$ rely mainly on Fisher and Robinson for Chaucer's biography.



 $^{10}\mathrm{Believing}$ that the Gawain-poet wrote the other three poems, Larry Benson mentions as follows:

"If it could be proved that they were written by two, three, or four different authors, we would still have to assume that those authors knew, admired, and echoed one another's work, and we would merely have replaced "the school of the Gawain-poet." (Art and Tradition in Sir Gawain and the Green

Knight, 1965, p.xi.)

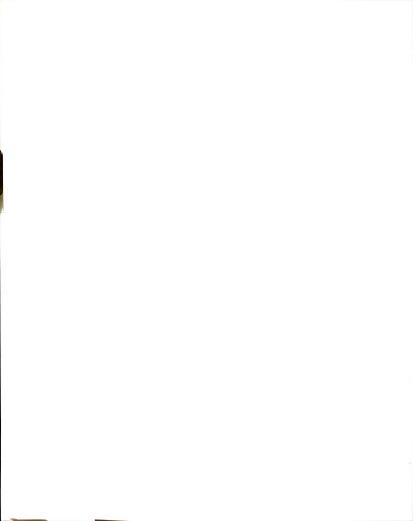
11D. S. Brewer, "The Gawain-poet; A General Application of Four Poems," EIC 16 (April 1967) 130-142.

12 John Speirs, p.221.

II. THE DISTINCTIVE USE OF THE SECOND SINGULAR PRONOUNS YE AND THOU

The second person singular pronoun you in modern English developed from the Middle English ve which was originally only plural. Though the distinction between ve and thou does not exist in Modern Standard English any more, a synchronic study of ye and thou in Late Middle English literature from a sociolinguistic point of view can help to explain the historic development and the survival of ve as a singular pronoun as well as explaining how it took over the function of thou. The use of the plural pronoun ye to refer to a singular person appeared in the Middle English Period. Used with increasing frequency, it finally expelled the original second singular pronoun thou from Modern Standard English. 1 Besides the phonological reasons for this change 2 the replacement of the original singular pronoun thou by the plural pronoun ve can be explained sociolinguistically with respect to politeness.

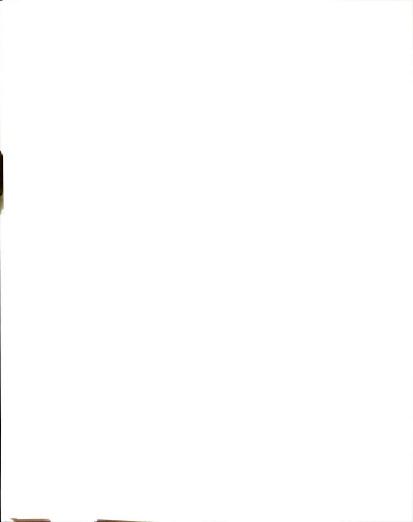
In Old English there were two second person pronouns, the plural 3e and the singular \$u, besides the dual pronoun $git.^3$ In the early Middle English period, because of partly



the influence of French, the language of the court, the plural form of the second personal pronoun, ye, with its oblique case you and possessive youre, began to replace the singular forms thou, thee, thy(n). At the beginning it was employed to refer to a singular person in a very limited way similar to the use of the polite vous in French. In French the plural vous had already been used as a respectful or polite form of address. 5

The French practice of using vous influenced the English use of ye with a singular referent. However, the rules governing the selection of thou or ye as the appropriate form of address were not the same as those determining the alternation between tu and vous in French. A comparison of English translations with their French equivalents shows that there is no regular, mechanical substitution of a thou for a tu and a ye for a vous. 6

Many scholars have studied the English usage of the second singular person pronouns ye and thou independent of the French influence. Studies of the function of the second singular person pronouns ye and thou have been done by Kennedy (1915), who writes on the thirteenth century usage, and Stidtson (1917), who writes on the fourteenth century usage. Based on their discoveries, Nathan (1957) writes on Chaucer's usage of ye and thou in the Friar's Tale. Walcutt (1935) and Johnston (1962) study the use of the second-person pronoun in Troilus and Criseyde. The most detailed analysis of the usage of the two second singular pronoun is,



however, the one by William W. Evans, Jr. (1959), who examines the pronoun usage of the *Gawain*-poet in *Sir Gawain* and the *Green Knight*. In his dissertation he asserts that ye as the singular pronoun is "the norm in his romance," though "the historical singular-thou-was still the norm in colloquial speech." According to his conclusion, the *Gawain*-poet departs radically from fourteenth-century English reality in which the two second person singular pronouns were used altogether.

Finkenstaedt (1963), however, focuses on Middle English usage of the two singular pronouns from the sociolinguistic point of view. 8 He contends that the plural form ye was used as 'marked' for politeness when it referred to a singular person, in contrast with the 'unmarked' form thou, which was the normal second singular person pronoun in Middle English. As the plural ye encroached increasingly on the territory of the singular thou, ye became 'unmarked' with regard to any pretensions to politeness by the end of the sixteenth century. 9 By Shakespeare's time the situation was completely reversed; that is, thou was used as marked, implying contempt or insult in contrast with the 'unmarked' ye. 10 Contempt is also shown in Coke's berating of Sir Walter Raleigh; "All that he did was by thy instigation, thou Viper; for I thou thee, thou Traitor" (Finkenstaedt, p.148). Gradually decreasing in use, thou became obsolete in the standard language in the eighteenth century except for poems and addresses to God (Finkenstaedt, p.195).



According to Kennedy's examination (p.90), the use of the plural ye as a pronoun of address to a singular person first appeared in English literature in the thirteenth century (around 1252). As the literature developed, the singular meaning of ye began to replace the singular form thou more frequently, as authors highlighted contrasts between characters and situations in the conversation of late Middle English literature.

Since thou alone was used as the second person singular pronoun in Old English and in earlier Middle English, the usage of ye as the second person singular pronoun along with thou in late Middle English literature could become a sociolinguistic tag. By using this tag the author could express the speaker's respect or humility towards the addressee or relative social distance between speaker and addressee. The author could indicate sarcasm on the part of the speaker. Or, finally, the author may use any combination of these usages. Thus, I classify the usages of singular ye into four general categories in terms of relative politeness: honorific, humble, neutral, and sarcastic.

The honorific-polite ye:

The first usage category of the polite form of ye is used to show the speaker's respect for the addressee or to exalt an addressee who is superior in terms of social station, age, learnedness or morality. I will call this



usage 'honorific-politeness.' The second singular pronoun ye was first used in the asymmetrical speech situation, a situation in which the speaker uses ye to his superior and receives thou from the latter. This usage is well explained by W.W. Skeat:

Thou is the language of a lord to a servant ...whilst ye is the language of a servant to a lord. (The Complete Works of Geoffrey Chaucer, p. 175)

However, this asymmetrical use of the honorific-polite ye expanded to other relations between superior and inferior. Thus I will use the word 'superior' in terms of the 'power' semantic of Brown and Gilman who examine the relationship between the polite and familiar pronouns of address in several European languages using the dimensions of power and solidarity. According to Brown and Gilman, "there are many bases of power-physical strength, wealth, age, sex, institutionalized role in church, the state, the army or within family " (Language and Social Context, p.255). I will apply the word 'superior' not only to social status, but also to age, learnedness and morality.

The fact that Chaucer and the Gawain-poet have their characters use the honorific-polite ye asymmetrically to their social superiors shows the vertical relationship between speaker and addressee in their works, reflecting the hierarchical society of that period. In the Canterbury Tales Chaucer has the Host use ye to his social superiors: to the Knight and his son the Squire, who belong to



nobility, and to the Monk and the Prioress, who are members of the upper ranks of their religious orders.

In contrast to his use of the polite ye to his social superiors, the Host addresses his social inferiors or his equals with thou: the Miller, the Reeve, the Cook and the Canon's Yeoman who represent the working class; the low clergy: the Parish Priest, 11 the Nun's Priest who accompanies the Prioress, and the Pardoner whose profession is the most contemptible, the Summoner, a functionary of the ecclesiastical courts and the Pardoner's friend and travelling companion, and the Manciple, who may be considered a lower stratum businessman.

This asymmetrical use of ye and thou is also shown in the Parliament of Fowls, in which the second falcon addresses the royal falcon with ye, indicating the natural superiority of the birds:

Another tersel egle spak anon,
Of lower kynde, and seyde, "That shal nat be!
I love hire bet than ye don, by Seynt John,
Or at the leste i love as wel as ye,
And longer have served hire in my degre. (449-53)

On the other hand, thou is used by the falcon to the duck, his inferior:

"Now fy, cherl," quod the gentil terselet,
"Out of the donghil cam that word ful right!
Thow canst nat seen what thyng is wel beset.
Thow farst by love as oules don by lyght:
The day hem blent, but wel they sen by nyght.
Thy kynde is of so low a wrechednesse
That what love is thow canst nat seen ne gesse.

(596-602)

This asymmetrical use of the second singular pronouns shows the hierarchical relationship between the speaker and the addressee.

In the same way, in *Troilus and Criseyde* Pandarus usually uses the polite ye to his social superiors who are Trojan royalty, who in turn always use thou to Pandarus. 12 Pandarus uses the polite ye to Helen (II,1268), Deiphebus (II,1406; 1420) and to Troilus, but only in public situations, 13 whereas he receives thou from them.

The Gawain-poet also emphasizes the hierarchical relationships of characters through the asymmetrical use of the second singular person pronouns ye and thou. He employs the polite ye to show the respectful attitude of a speaker towards an addressee who is a social superior. In Sir Gawain and the Green Knight Gawain addresses King Arthur with ye (343-344), whereas he addresses a number of relatively minor characters who are his inferiors in social status with thou. He speaks to the porter at Bercilak's castle using thou (811) and addresses a servant likewise (2127). This correct usage of the second singular pronoun ye and thou shows Gawain's good manners as a perfect knight. All the examples above show the correct parameters of usage of the honorific-polite ye to superiors.

In contrast to the speaker who observes the norm of usage of the polite ye to his superior, the speaker who breaks the norm indicates that he has no manners, or that he is challenging the social status of the addressee, or both.



In contrast to Gawain's usage of the polite ye to the King, the Green Knight at first appearance consistently uses thou in speaking to his superior, King Arthur:

Bot for be los of be, lede, is lyft vp so hy3e And by bur3 and by burnes best ar holden, Stifest vnder stel-gere on stedes to ryde, be wy3test and be worbyest of be worldes kynde, Preue for to play wyth in ober pure laykez (258-662)

And then he continues his speech with thou showing both bad manners and an attempt to manipulate his position.

A speaker might deliberately address an obvious social superior as thou in an attempt to put himself into a certain position in relation to the addressee. Thus, the Green Knight's use of thou to the King Arthur could be interpreted to demonstrate that the latter does not deserve his respect and deference. This breaking of the norm of pronoun usage shows not only the rudeness of his attitude but also his challenge to King Arthur's perception of the social situation because if he had used the polite ye, he would have acknowledged Arthur's social superiority. 14

Another example of breaking the norm of the honorificpolite usage of ye to a social superior can be taken from
the speeches of the Guide who escorts Gawain to the Green
Chapel. He switches from his previous ye into thou to his
obvious social superior, Gawain, in his second speeches.
Like the Green Knight, the Guide ignores the ordinary
conventions of society by breaking the norm of second person
pronoun usage. Mabel Day argues the Guide is the Green



Knight himself 'in the likeness of a servant' in part because of his use of the the familiar thou. 15

In his analysis of the second personal pronouns in Sir Gawain and the Green Knight, Evans concludes that the Gawain-poet shows the speaker's personality as well as the speaker's attitude towards the addressee through pronominal usage. The use of the honorific-polite ye to social superiors reflects the hierarchical society in which the ordering of society in degree is "natural" and God-ordained, as Chaucer himself confirms through the Parson's mouth:

God ordeyned that som folk sholde be moore heigh in estaat and in degree, and some folk moore lough, and that everich sholde be served in his estaat and in his degree. (X,770)

However, what is important in the usage of the honorificpolite ye in these later Middle English poems is the notion
of 'superior' which Brown and Gilman call the 'power
semantic.' The basis of the notion 'superior' can be other
than social status. Therefore the use of the honorificpolite ye is affected not only by social status but also by
age, learnedness, sex in marriage and morality.

Age is another factor which affects the speaker's attitude in the poetry of that period. If the addressee is relatively older than the speaker or the speaker and addressee are parent and child, the older addressee or the parent usually receives the polite ye from the younger speaker or child (Kennedy, p.87).

Chaucer contrasts the correct usage of the honorificpolite ye to the older with the breaking of this usage norm to reveal his characters' personality. In the Friar's Tale the summoner uses thou to the widow:

Thou olde virytrate!
I trowe thou hast som frere or preest with thee.
(III, 1582-83)

Here the summoner is shown by the Pilgrim Friar to be rude and disrespectful. The summoner's use of thou to the old is contrasted with the devil's use of the honorific-polite ye to her:

Now Mabely, myn owene moder deere, Is this youre wyl in earnest that ye seye? (III,1626-27)

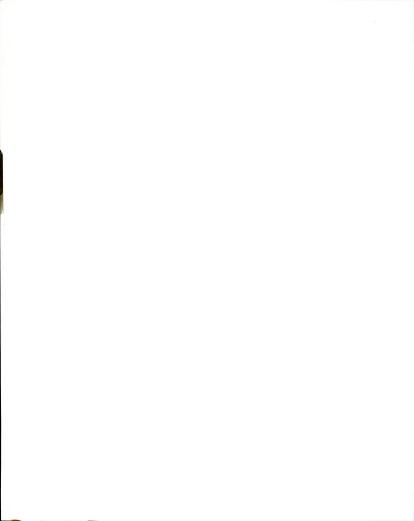
The story teller, the Pilgrim Friar, intends to show the Pilgrim Summoner's bad manners by having the summoner in his Tale violate the norm of usage of the honorific-polite ye to the old, in contrast to the devil's use of the polite ye to the same person, the old widow.

And also in the *Pardoner's Tale*, the young boy uses ye to one of the patrons of the inn (VI,671), as is proper. This is contrasted with the three rioters who use *thou* to the old man whom they meet on the way. One of them says:

...What, carl with sory grace,
Why artow al forwrapped save thy face?
Why lyvestow so longe in so greet age?
(VI,717-19)

Another rioter also uses thou to the old man:

"Nay, olde cherl, by God, thou shalt nat so."
Seyde this oother hasardour anon;
"Thou partest nat so lightly, by Seint John!
Thou spak right now of thilke traytour Deeth,
That in this contree alle oure freendes sleeth.
Have heere my trouthe, as thou art his espye,
Telle where he is, or thou shalt it abye,
By God, and by the hooly sacrement!
For soothly thou art oon of his assent



To sleen us yonge folke, thou false theef!" (VI,750-59)

This shows their rude attitude or bad manners toward the addressee, the old man, by ignoring the normal usage of the polite pronoun ye to the old.

The use of the honorific-polite ye because of the relative age of the speaker and addressee takes precedence over its usage on the basis of social class. For example, the Knight in the Wife of Bath's Tale addresses the old ugly woman as ye when he first meets her beginning with My leeve mooder (III,1004), in spite of his higher social position. And the knight receives thou from her interspersed with ye because of his high status:

Plight me thy trouthe heere in myn hand,...

The nexte thyng that I requere thee,

Thou shalt it do, if it lye in thy myght,

And I wol telle it yow er it be nyght.

(III,1009-12)

Similarly, other speakers put themselves in a superior position by using thou to the younger. The Franklin uses thou to the young Squire, the Knight's son:

...thou hast thee wel yquit
And gentilly. I preise wel thy wit.
(V,673-74)

The Squire is "of twenty yeer of age" (I,81) and the Franklin is as old as "whit was his berd as is the dayesye" (I,332). Though the young Squire is of higher social position than the Franklin, 17 the Franklin uses thou to the Squire simply because of the Squire's youth.

In the *Merchant's Tale*, January addresses his younger brother Justinus as thou (IV, 1566; 1570), whereas he receives

ye from his brother according to the norm of the pronoun usage to the old.

In parent-child relationships, the parent is expected to receive ye from his or her child who is, in turn, addressed as thou. In the Man of Law's Tale, Constance addresses both her father and her mother as ye whereas Constance use thou to her child. In the Physician's Tale, the knight Virginius addresses his daughter, Virginia, as thou whereas Virginia uses the honorific-polite ye to her father:

Yif me my deeth, er that I have a shame; Dooth with youre child youre wyl, a Goddes name! (VI,249-50)

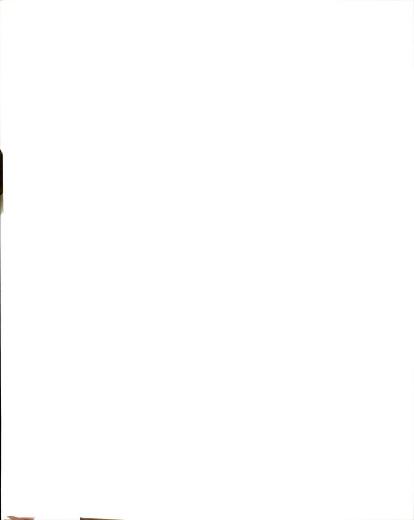
In the Clerk's Tale, Griselda says thou to her child when she is going to deliver her first baby to an officer.

Fareweel my child! I shal thee nevere see.
But sith I thee have marked with the croys
Of thilke Fader-blessed moote he be!That for us deyde upon a croys of tree,
Thy soule, litel child, I hym bitake,
For this nyght shaltow dyen for my sake.

(II,554-60)

In Chaucer the norms of ye usage are upheld for both age and social status unless the teller of the tale has in mind to insult a fellow Pilgrim.

The Gawain-poet also applies this usage of the honorific-polite ye to showing the changing relationships, worldly and religious, between the dreamer-father and his daughter, the Pearl-maiden. In the beginning of the conversation between father and daughter in the poem Pearl the dreamer-father uses thou to his child and receives ye



from the latter as we would normally expect between father and daughter. Here is an example of the dreamer-father's speech:

O perle, ..., in perle3 py3t,
Art pou my perle pat I haf playned,
Regretted by myn one on ny3te?
Much longeyng haf I for pe layned
Sypen into gresse pou me agly3te.
Pensyf, payred, I am forpayned,
& pou in a lyf of lykyng ly3te
In Paradys-erde, of stryf vnstrayned!
(V,241-48)

The child answers him with ye:

Sir, 3e haf youre tale myse tente To say youre perle is al awaye pat is in cofer so comly clente As in bis gardyn gracios gaye, Hereinne to lenge for euer & play, ber mys nee mornyng neur here. (V, 257-62)

And then the dreamer-father begins alternate using thou and ye to his daughter. When he is talking about worldly things, he uses thou to his daughter. Otherwise he will switch to ye, even in the middle of conversation.

In the same way the maiden Pearl alternates using ye and thou though the use of thou prevails. After the use of ye to her father which is based on the normal fatherdaughter relationship in the opening conversation, the maiden Pearl switches into thou, which is the reversal of the usage found in an ordinary parent-child relationship. This reverse use of pronouns shows the changed relationship between the dreamer-father and the maiden; it shows the religious relationship between the two in which she is deified rather than that of a worldly parent and child.

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In *Troilus and Criseyde*, Criseyde addresses her uncle Pandarus with the honorific-polite ye without any single exception. When she meets Pandarus in her place, she receives him ("Ey, uncle myn, welcome iwys") and starts her conversation, using the honorific-polite ye:

... This nyght thrie,
To goode mot it turne, of yow I mette. (II,89-90)

And then she continues to use the honorific-polite pronoun

ye to the end of the story.

As all these examples show, the norm of the usage of honorific-polite ye to the old can be used to reveal the relationships between characters such as those of parent-child or young and elder. By observing the norm of the honorific-polite ye in terms of age the speaker reveals his good manners, whereas his bad manners can be revealed by breaking the norm of usage.

In addition to social status and age, learnedness in the addressee is another factor that affects the use of the honorific-polite ye. The Host uses ye to the two members who are learned, the Clerk (I,840; IV,2) and the Man of Law (III,1286:1300) though the nature of their learning differs. The title 'clerk' in the Middle Ages was used to refer to a man who had taken "minor" (as opposed to "holy") orders in the Church and hence could read, write, and perform certain subordinate ecclesiastical duties. The Man of Law is also described as a learned man in The General Prologue:

In terms haddee he caas and doomes alle That from the tyme of Kyng William were yfalle. Therto he koude endite and make a thyng,

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Ther koude no wight pynche at his writyng; And every statut koude he pleyn by rote. (1.321-27)

In contrast to the use of ye to the learned, the Host uses thou to the pilgrim Chaucer and the Physician who are considered representatives of not-so-learned professions. Chaucer, the Pilgrim, is described as slow-witted, easily frightened, and having little desire for knowledge or power. 18 The Host does not know him, but at the close of the tale of the Prioress asks him, "What man artow?"

(VII, 695). The Physician is also considered a not-so-learned man though "he was a verray parfit praktisour"

(I, 422). In the General Prologue his study is mentioned after a long list of his medical knowledge: "His studie was but litel on the Bible" (I, 438).

Another factor which plays a role in pronoun usage is the sex of the person in marriage relations. In the marriage relations the husband is superior to his wife, which reflects the inferior social position of women in the medieval period. A wife is completely subordinate to her husband.

In the Tale of Melibee the learned dame Prudence usually addresses her husband as ye with several cases of thou, in which she shows off her superiority in terms of learnedness rather than the relationship of marriage, while Melibeus mostly uses thou to her. In the same way, in the Shipman's Tale the merchant constantly addresses his wife as thou whereas he receives ye from his wife. And in the

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Second Nun's Tale Cecilia addresses her husband, Valeria, as ye, while she receives thou from her husband. Through the asymmetrical use of the second person pronouns these examples show the woman's inferior position in that society.

However, Chaucer shows the different relationships or undesirable personalities of his characters by having them break the conventional norms in using the second person singular pronouns. In the Canterbury Tales after the Tale of Melibee the Host quotes his wife's thou to him:

And if that any neighbore of myne
Wol nat in chirche to my wyf enclyne,
Or be so hardy to hire to trespace,
Whan she comth hoom she rampeth in my face,
And crieth, 'False coward, wrek thy wyf!
By corpus bones, I wol have thy knyf,
And thou shalt have my distaf and go spynne!
(VII,1902-8)

This use of *thou* by wife to husband reflects the opposite position of the ordinary relationship of marriage and thus his wife's bad manners, because she breaks the norm of convention in the use of this pronoun. 19

In the Tales of the so-called Marriage Group the relationships between wife and husband are shown through the usage of the second person singular pronouns ye and thou. The Marriage Group is usually said to begin with the Wife of Bath's prologue and ends with the Franklin's Tale. The traditional order is first, the Wife of Bath, whose prologue opens this marriage discussion; the Friar's Tale and the Summoner's Tale which are not concerned with marriage; the Clerk's Tale; and the Merchant's Tale. After the Squire's

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Tale, which must be regarded as another interlude, the marriage debate is ended by the Franklin.²⁰

The knight in the Wife of Bath's Tale, as noted above, addresses the ugly old woman as ye when they meet first because of her greater age (III, 1008). After they marry, however, he switches into thou (III, 1100) from his previous use of ye, whereas the woman addresses him continuously as ye. An extreme example of this subordinate position of the wife in marriage is well described in the Clerk's Tale in which the obedient Griselda always addresses her husband, Walter, as ye with the respectful title lord and always receives thou and her personal name from her husband, even though Walter addresses her by the honorific-polite ye during the test. In the same way, in the Merchant's Tale, January addresses his wife as thou while his wife May uses ye to her husband. Even when he addresses his wife in the elevated poetic language of the Song of Solomon, he still uses thou:

The turtles voys is herd, my dowve sweete;
The wyntwe is goon with alle his reynes weete
Com forth now, with thyne eyen columbyn.

(IV, 2139-41)

The above examples all show the inferior position of women in the marriage relationship, as was commomly accepted at the time.

However, contrary to the conventional use of the pronoun in marriage relations, in the Wife of Bath's Tale the Wife of Bath concentrates upon the conditions for a successful married life. She preaches that the superiority

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of the wife is the essential condition for success. the use of thou to her husbands she sets up her antagonism toward the antifeminists. She is portrayed as a radical opponent of inherited values, whereas Griselda's behaviour is seen as the result of social determinism in a maledominated society. Thus, Chaucer's advice for a successful marriage is given in the Franklin's Tale, where at the beginning the knightly husband, Arveragus, promises always to obey his wife, Dorigen, just like a lover in the courtly love tradition, while his wife also promises to be his "humble trewe wife "(V,758). In his Tale the Franklin tries to show the ideal relations between a man and wife, based on a reconciliation between the convention of courtly love and the terms of a workable marriage through the use of ye to each other. C. Hugh Holman (1951) finds Chaucer applauding the wisdom of Dorigen and Averagus in abandoning 'maistrie' to arrive at a deep rich love.

Even though social status, age and learnedness are all factors which help set the norm of use for the honorific-polite ye, the most important factor affecting the usage of the honorific-polite ye is morality. The use of the honorific-polite ye in moral situations is dependent upon the speaker's judgment of the addressee's moral purity. The use of the honorific-polite ye based on the determination of morality often takes precedence over every other factor. Chaucer, in particular, put the first priority on morality in his poetry, which makes his poetry didactic, based upon

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the judgement and approval of the many Pilgrims, but especially the Host.

When the Host in the *Canterbury Tales* calls upon the Parson for a story, he uses *thou* because of the Parson's lower social position at that period:

...artow a vicary,
Or arte a person? Sey sooth, by thy fey.
Be what thou be, ne breke thou nat oure pley,
For every man save thou hath toold his tale.
Unbokele and shewe us what is in thy male,
For trewely me thynketh by thy cheree
Thou sholdest knytte up wel a greet mateere.
Telle us a fable anon, for cokkes bones!
(X,22-29)

However, after the Parson says he will not tell a fable but rather give a sermon, the Host is impressed and switches into the honorific-polite ye out of respect for the Parson's morality:

Sire preest,...now faire yow bifalle!
Telleth,...youre meditacioun.
But hasteth yow, the sonne wole adoun;
Beth fructuous and that in litel space,
And to do wel God sende yow his grace.
Sey what yow list, and we wol gladly heere.
(X,68-73)

The opposite case is shown in the way the Host addresses the Monk. He first addresses the Monk as ye, because of the latter's higher social status. However, since the Monk shows that he is not morally upright, the Host uses thou to the Monk:

I vow to God, thou hast a ful fair skyn;
It is a gentil pasture ther thow goost.
Thou art nat lyk a penant or a goost.
Upon my feith, thou art som officer.
Som worthy sexteyn, or som celerer,
For by my fader soule, as to my doom
Thou art a maister whan thou art at hoom,
No poure cloysterer ne no novys,

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c p But a governour, wily and wys, And therwithal of brawnes and of bones, A wel farynge persone for the nones.

(VII, 1932-42).

And he continues using thou to the line VII,1951. Though he reverts to ye again, the first shift to thou from the previous ye shows the Host's attitude towards the low morality of the Monk. The Monk lives a lusty life, unfitting a monk, and thus he loses the Host's respect. The Monk is also described in the General Prologue as a worldly successful man who sees no reason to stick to his cloister or to follow the dull routine of prayer, study, fasting, and manual labor which should be his primary responsibility. This rebuke by the Host is subtle and delivered under the guise of "murye word" starting with the customary ye:

But, by my trouthe, I knowe nat youre name. What shal I calle yow my lord daun John, Or daun Thomas, or elles daun Albon? Of what hous be ye, by youre fader kyn?

(VII,1928-31)

Later, however, the Host reverts to ye again because of the higher status of the Monk. This is a case in which morality temporarily takes precedence over social status.

Sometimes religious morality also takes precedence over age as well. A good example can be taken from the elegy poem *Pearl* in which, in the vision form favored by medieval writers, the dreamer-father laments the death of his two year-old-daughter, Pearl. In this poem the spiritual daughter, who is now in the position of teacher is considered the superior of her worldly father who is in the position of her pupil, though the dreamer-father uses thou

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to his daughter Pearl when the topic concerns their worldly relationship. The ordinary relationship between father and daughter is reversed because of Pearl's death and shown through her continual use of thou toward her father, as opposed to her father's use of ye toward her.

The Pilgrim Franklin also switches from thou to ye to the young Squire, the Knight's son, when he learns of the Squire's impressive accomplishments. At first he had addressed the Squire with thou because of his young age (V,686). After hearing the Squire, however, the Franklin thinks of his own son, and the comparison between the two young men is very much to his son's disadvantage, so he uses ye out of respect:

I have a sone, and by the Trinitee,
I hadde levere than twenty pound worth lond,
though it right now were fallen in myn hond,
He were a man of swich discrecioun.

(V,682-85)

Each poet's concept of morality relates to the issue which each considers most important, gentilesse for Chaucer and cortasye for the Gawain-poet. For Chaucer, gentilesse is a moral ideal, independent of external social rank. It is personally chosen, and evinced in personal behavior. With respect to gentilesse, moral superiority sometimes also takes precedence over gender in the marital relationships. The Knight in the Wife of Bath's Tale addresses his wife with the honorific-polite ye instead of his customary thou, (the conventional address of husband to wife-as I explained

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earlier), after he is impressed by her sermon about gentilesse:

I put me in youre wise governance; Cheseth youreself which may be moost plesance And moost honour to yow and me also. I do no fors the wheither of the two, For as yow liketh it suffiseth me.

(III, 1231-35)

The marquis in the *Clerk's Tale* addresses the peasant-girl Griselda by the honorific-polite ye when he first meets her ("Where is youre fader, O Griseldis? "), though he uses to her father the conventional pronoun thou:

If that thou vouche sauf, what so bityde, Thy doghter wol I take, er that I wende, As for my wyf, unto hir lyves ende. (IV,306-8)

His use of ye to his social inferior, the peasant-girl Griselda, reflects the admiration he had already developed for her when he had observed her from afar ("commendynge in his herte hir wommanhede, / And eek hir vertu"). And after he marries her, he continues using the honorific-polite ye to her while he is testing her fidelity to him:

I seye, Grisilde, this present dignitee, In which that I have put yow, asd I trowe, Maketh yow nat foryetful for to be That I yow took in povre estaat ful lowe, For any wele ye moot youreselves knowe.(IV,470-74)

He sometimes switches into thou which is the conventional pronoun from husband to wife, though W.W. Skeat explains in his Works of Geoffrey Chaucer, "it is a slight but significant sign of insult, offered under pretense of reporting the opinion of others." Finally, Walter uses only thou in addressing her:

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This is ynogh, Grisilde myn,...

Be now namoore agast ne yvele apayed.

I have thy feith and thy benyngnytee

As wel as evere womman was assayed,

In greet esaat and pourliche arrayed.

Now knowe I, dere wyf, thy stedfastnesse.

(IV,1051-56)

And he continues thou to the end of the story. This exclusive use of thou is a great contrast to the previous usage of ye. Considering that the singular-polite ye is 'marked' for politeness, and thou is the norm of usage for a pronoun to a wife from a husband: then, the use of thou should be interpreted in that context. Therefore, the previous thous in the same story cannot be a 'sign of insult.' Rather, through the alternation of ye and thou the Clerk, the teller of the Tale, emphasizes Griselda's virtue, that is, her patience in the marriage relationship.

The speaker's own morality affects whether he judges the addressee as his superior, revealing the willingness of the speaker to use the socially polite and acceptable form of the second person pronoun when the speaker feels that it is otherwise inappropriate.

The Pilgrim Friar shows in his tale that the Pilgrim Summoner is stupid and vicious through his choice of the second singular pronoun ye or thou. The summoner in the Friar's Tale addresses the devil as ye when the latter boasts of his great wealth saying "I have gold and silver in my cheste":

"Brother," quod he, "where is now youre dwellyng Another day if that I sholde yow seche?" (III,1410-11)

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Fr SW because he thinks the devil is his superior in terms of wealth and then he continues with the polite ye (III,1417-19). But later, when the devil says "My wages been ful streite and ful smale" (III,1426), the summoner switches into thou: "tel me thanne thy name" (III,1444). The summoner switches thou and ye depending on the addressee's wealth. If the addressee is rich, (which is the speaker's judgment by the former's words) the summoner uses the honorific-polite ye because he thinks the addressee is his superior. In the same way, he switches into thou when he thinks the addressee is poor, and thus, his inferior, or at best his equal.

The same summoner in the story also uses the polite ye to the devil when the latter identifies himself as a fiend:
"I am a feend; my dwellyng is in helle..."(III, 1448), because he thinks that the devil is his superior in terms of evil. In contrast, the summoner uses thou when he at first meets the devil, because he appears to be but an ordinary character. By using the honorific-polite ye to the devil the summoner shows his low morality because of his respect for wealth and evil. For Chaucer wealth has nothing to do with gentillesse. Thus the Pilgrim Friar's intention is to betray the Pilgrim Summoner's vice, shown by his respect for wealth and evil, and his stupidity, shown by his judging a person (here the devil) by his appearance and words. The Friar Pilgrim does so by having the summoner in his tale switch between the honorific-polite ye and the familiar thou

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However, there are many occasions on which it is difficult to decide which factor has priority over another, because in this period social class and morality often go together, that is, high class people are moral while lower class people are immoral. For example, as Chaucer shows in the *General Prologue*, the Pilgrim Knight, who belongs to the highest social station is described as a man of perfect morality: "He was a verray, parfit gentil knyght" (1,72).

The lower class is assumed to have a lower morality, as shown in the characters of the Miller, the Reeve, the Summoner and the Shipman. The Miller is rough and rude; he tells his tale without being asked and in spite of the Host's urging him to wait; he quarrels with the Reeve. Chaucer apologizes in advance for any indecency in the Miller's Tale by saying that the "Millere is a cherl, ye knowe wel this" and therefore as a matter of course, he will tell "a cherle's tale in his manere." The Reeve, who is a counterpart to the Miller, has become the manager or bailiff to some great landowner. He is described in the General Prologue as an unattractive lean man of unpleasant disposition ('a sclendre colerik man'). The Summoner, who is one of the most loathsome and dangerous of all the pilgrims, is an example of moral corruption. He receives bribes and will let a potential prisoner remain free to practice licentiousness if his "palm is well oiled."

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And this is why the Host does not use the honorific-polite ye to him. The Shipman is also anything but devout when he refuses to listen to a tale from the parson. When the Host tells the whole company "we schal han a predicacioun/ This Lollere herre wil prechen us somwhat," the Shipman says: 22

Nay, by my fader soule, that schal he nat. ...he shal nat preche. He shal no gospel glosen here ne teche. We leven alle in the grete God. (II,1168-80)

So, the choice of the honorific-polite ye is in part determined by the speaker's perception of the morality of the addressee.

Though medieval society was rigidly divided on the basis of class, this division leads to a conception of virtues and vices which is neither purely ethical nor purely social, but a mixture of the two. However, Chaucer also shows that external status has nothing to do with gentilesse as the Parson and Plowman are good examples of gentilesse in spite of their low social status. Chaucer most explicitly dissociates gentilesse from rank or degree in the Wife of Bath's Tale to show that those of noble birth like the Knight in the Tale do not always behave nobly:

And nel hymselven do no gentil dedis
Ne folwen his gentil auncestre that deed is,
He nys nat gentil, be he duc or erl,
(III,1155-57)

And noble behavior is not confined to the aristocracy, which is what the Franklin argues in his Tale. 23

As all the above examples have shown, the honorificpolite ye is chosen when the social status is higher for the

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addressee, but that choice may be set aside if the speaker believes that the addressee is of a lower moral status. The reverse seems true also, namely that the familiar thou, appropriate for subordinates, can be replaced with ye, provided the speaker believes the addressee has great (not necessarily superior) qualities of virtue and morality. This latter use then would be complimentary because it is not common.

Through the asymmetrical use of the honorific-polite ye to superiors, we can see not only the hierarchical structure of the society but also the moral lessons which these poets want to impart to the audience or the reader.

The humble-polite ye:

The polite ye is also used to show humility on the speaker's part regardless of the status of the addressee. However, this humble-politeness is focused on the speaker rather than the addressee who is exalted through the use of the honorific-polite ye. Humble-politeness might be overlapped with the first category, honorific-politeness, in the sense that the addressee could be also exalted. Yet, in humble-politeness the speaker humbles himself regardless of his status in relation to the addressee.

Good examples can be taken from the lover's use of ye in courtly love. Courtly love demands an attitude of abject, patient adoration on the part of the male lover as a

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servant, though the lady's use of ye is not humble-polite but neutral-polite, which I will explain a little later.

In Troilus and Criseyde, which depicts the courtly love of Troilus and Criseyde, Troilus consistently addresses his love Criseyde as ye with several exceptions of thou ²⁴ in spite of her inferior position in social rank. Troilus is the King's son and Criseyde is the former wife of a nobleman and the daughter of a priest. Criseyde is not only in an extremely precarious social position but also she is the daughter of a traitor and in need of the protection of Hector in order to maintain any position in Trojan society at all.

In the same way, Chaucer emphasizes the abjectness of the male courtly lover in the Knight's Tale by having his characters observe the norm of the usage of the humble-polite ye. In the Knight's Tale the courtly lover Arcite addresses his love, Emelye as ye in his long speech when he is dying (I,2765-96). Unlike the other Tales in which the convention of the honorific-polite ye is observed, the Knight's Tale which is all about courtly love has no honorific-polite ye where it would be expected. For example, the Duke, Theseus, who occupies the highest position in the court, is usually addressed as thou by his inferiors, including women, and Arcite and Palamon. Arcite uses ye to his lady in the courtly situation and Duke Theseus also uses ye to his sister Emelye and even to

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Palamon, to whom otherwise thou would be used. First he addresses his sister Emelye:

Suster, this is my fulle assent, With al th'avys heere of my parlement, That gentil Palamon, youre owene knyght, And ever hath doon syn ye first hym knewe, That ye shul of youre grace upon hym rewe, And taken hym for housbobde and for lord. Lat se now of youre wommanly pitee. He is a kynges brother sone, pardee And though he were a poure bacheler, Syn he hath served yow so many a yeer, And had for yow so greet adversitee, It moste been considered, leeveth me, For gentil mercy oghte to passen right.

(I,3075-89)

And then to Palamon:

I trowe ther nedeth litel sermonyng To make yow assente to this thyng. Com neer, and taak youre lady by the hond. (I,3091-93)

In the Knight's Tale all characters observe the convention of the humble-polite ye though the honorific-polite ye is not observed, suggesting that the narrator (and Chaucer) intend to emphasize the humility of the courtly lover and his situation.

In the scene of imitation of courtly love this humblepolite ye is also employed, which heightens the ironic In the Miller's Tale "on the effect of the passage. fateful night," the vain parish clerk, love-sick Absalon addresses his beloved Alisoun as ye:

What do ye, honycomb, sweete Alisoun, My faire bryd, my sweete cynamome? Awaketh, lemman myn, and speketh to me! Wel litel thynken ye upon my wo, That for youre love I swete ther I go. (I, 3698-702)

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This scene could be interpreted as parody of courtly love since his customary pronoun to her is thou (I,3766,3771).

Before he tells his story, the Miller promised that he would 'quit' the Knight's Tale which was about courtly love:

By arms, and by blood and bones, I kan a noble tale for the nones, With which I wol now quite the Knyghtes tale. (I,3125-27)

By using the humble-polite ye like a courtly lover, the Miller might think his story is also considered as a courtly love story. Thus the irony of the Miller's Tale "results from the application of a courtly vocabulary to an inelegant character or situation."²⁵

The humble-polite ye, besides being used by the courtly lover, is also used to show the personality of the speaker in Chaucer and the Gawain-poet. The Gawain-poet in particular shows his hero's, Gawain's, humility by having him use the humble-polite ye to his addressee, the Green Knight. Though Gawain's customary pronoun to the Green Knight is thou, he switches to the humble-polite ye when he feels humility. After he fails in the challenge to the Green Knight, he feels humility and confesses his fault with the use of the humble-polite ye to the Green Knight:

I biknowe yow, kny3t, here stylle, Al fawty is my fare, Letez me ouertake your wylle And efte I schal be ware.

(2385 - 88)

Chaucer also uses the humble-polite ye to show his characters' personalities. For example, among all the Pilgrims the Friar and the Franklin are described as the men

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who most want to give a good impression to others; their usage of the humble-polite ye reflects this desire.

The Pilgrim Friar can be as courteous and humble as necessary when it profits him to be so. The friar in the Summoner's Tale uses ye to layman Thomas, which reflects the Pilgrim Friar's character as described in the General Prologue:

And over al ther as profit sholde arise Curteis he was and lowly of servyse.
(I,249-50)

In his Tale the Pilgrim Summoner here also intends to insult the Pilgrim Friar by the friar's hypocritical use of the humble-polite ye. Thus the Pilgrim Summoner has the friar in his Tale use the humble-polite ye to Thomas, who is his inferior, to humble himself whenever he needs to do so:

What nedeth yow diverse freres seche?
What nedeth hym that hath a parfit leche
To sechen othere leches in the toun?
Youre inconstance is youre confusioun.
Holde ye thanne me, or elles oure covent,
To pray for yow been insufficient?
Thomas, that jape nys nat worth a myte.
Youre maladye is for we han to lyte.
(III.1955-62

The friar also uses the humble-polite ye in other places: (III,1976-1984; 1999-2000). The friar, however, will usually in the same conversation put himself in a superior position by switching from the humble-polite ye to thou. This can be seen when he is preaching to the same person, Thomas:

Nere thou oure brother, sholdest nat thryve. In oure chapitre praye we day and nyght

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(III.1944-46).

Thomas, of me thou shalt nat been yflatered; Thou woldest han oure labour al for noght. (III,1970-71)

The Pilgrim Friar's language skill is also mentioned in the General Prologue:

In alle the orders foure is noon that kan So muchel of daliaunce and fair langage.
(I,210-11)

The Pilgrim Summoner shows through the friar's usage of the second pronoun that the friar in his Tale shares hypocritical humility with the Pilgrim Friar.

In the same way, the Franklin uses ye to the Host, whom he wants to impress with his gentilesse towards the cherl²⁶ who treats him as inferior. Thus the Franklin addresses the Host as ye, and the latter uses thou to him, contrary to the normal usage, since the Franklin is of higher status than the Host.²⁷ When the Host calls on the Franklin for a tale, he uses thou:

Telle on thy tale withouten wordes mo. (V,702)

To this the Franklin replies with the humble-polite ye:

Gladly, sire Hoost,...I wole obeye
Unto youre wyl. Now herkneth what i seye.
(V.703-4)

Considering the Franklin's aspiring to *gentilesse*, it is no surprise that he would show his humility even to the person who insulted him. ²⁸ As A. C. Spearing puts it, this humility, almost "an obsequiousness" towards the Host, can be seen as "an exaggerated parody of *gentil* behaviour" (10).

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In the same way, in his Tale he shows humility even in marriage relationships by having a husband (here Arveragus) use the humble-polite ye to his wife instead of the conventional use of thou. As I explained in the first section of this chapter on honorific-politeness, the husband is supposed to be addressed by his wife as ye whereas the wife is addressed as thou, reflecting the inferior position of women in the society of the period. At the beginning of the Franklin's Tale, the knightly husband, Arveragus, promises always to obey his wife, Dorigen, just like a courtly lover, and his wife also promises to be his "humble trewe wife." Thus they address each other with the humblepolite ye in order to have a happy marriage. Chaucer emphasizes humility, as a great virtue in the period as the key to a happy marriage through the use of the second person singular pronoun ye.

Besides the two Pilgrims, the Friar and the Franklin, another Pilgrim, the Merchant, shows humility through the use of the humble-polite pronoun ye in his Tale. In the Merchant's Tale January addresses his two brothers, Justinus and Placebo with two different pronouns. He uses thou to his one brother, Justinus, according to the conventions. However, he switches into ye when he speaks to his other brother, Placebo, who is not his superior in any of the categories of status. As his name alludes, Placebo flatters January by telling January only what will please him. Placebo means 'I shall please.' Thus January wants to show

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his humility to his younger brother, Placebo, by using the humble-polite ye even though the latter is younger and inferior in social rank. After he listens to Justinus's advice, with which he is not satisfied, January uses the conventional thou to Justinus, whose name means 'the just man.' First he says to Justinus, using thou:

Wel, and hastow ysayd?
Straw for thy Senek, and for thy proverbs.
I counte nat a panyer ful of herbes
Of scole-terms. Wyser men than thow,
As thou hast herd, assenteden right now
To my purpos.

(IV, 1566-1571)

Then he switches into ye when he shifts his speech to Placebo:

Placebo, what sey ye? (IV, 1571)

Here January shows a humble attitude towards Placebo, because he is content with his words. Otherwise he would use thou to Placebo, just as he does to his other younger brother, Justinus. Through January's use of the humble-polite ye to Placebo we can see not only the personality of the speaker in the story, January, who believes only what he wants, but also the personality of the teller of the Tale, Pilgrim Merchant who lacks the wisdom to know what is true and the humility to accept it.

Thus the humble-polite ye is frequently used to show the speaker's humility towards the addressee regardless of the latter's social status, learnedness, age, sex and morality, though some of these uses are hypocritical.

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The neutral-polite Ye:

Besides showing respect or humility towards the addressee, the second person singular pronoun ye is also used by the upper classes as a neutral, unemotional form of address between social equals or sometimes even to inferiors, whereas the lower classes normally use thou to one another. In other words the second person singular pronoun ye is employed to maintain social distance between speaker and addressee. This category will be called neutral-politeness. Since the use of the plural pronoun ye to indicate only one person is influenced by the use of 'tu' and 'vous' in French literature, 29 its usage in this way shows that the speaker belongs to the high class or wants to be regarded as such.

This neutral-polite ye shows the respectful attitude of the speaker but does not exalt the addressee as the honorific-polite ye, or humble the speaker as the humble-polite ye. In other words, the neutral-polite ye is used as a distancing device. First, the neutral-polite ye is used to a stranger whereas the pronoun thou is used to a familiar person. The Gawain-poet uses the neutral-polite ye to show the speaker's courtesy towards the addressee who is a stranger. In Sir Gawain and the Green Knight Gawain constantly uses the polite ye to Sir Bercilak who returns his polite address. Gawain also uses the neutral-polite ye in response to Bercilak's neutral-polite address. When

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Bercilak sees Gawain in his court, he addresses Gawain politely by using the neutral-polite ye:

3e are welcum to welde as yow lykez pat here is; al is yowre awen, to haue at yowre wylle and welde (835-37)

Gawain replies to this by the use of the neutral-polite ye:

Graunt mercy,... per Kryst hit yow for 3elde. (838-39)

However, the Green Knight shows himself as a rude knight by using thou to King Arthur and Gawain when he appears as the Green Knight at the court of King Arthur. In contrast to the rude attitude in Arthur's court through the use of the thou to King Arthur and Gawain, in his own court, Bercilak reveals himself as the man of good birth by addressing Gawain by ye although he sometimes slips into the normal previous thou. This 'slip' helps identify Bercilak as the Green Knight. The Green Knight shows a different personality before and after he identifies himself through the different use of the second singular personal pronouns ye and thou. Before he identifies himself to Gawain, he always uses thou to Gawain, as he had done as the Green Knight in Arthur's court. After he reveals himself to Gawain, however, he shows courtesy by keeping the usage convention of the neutral polite pronoun ye. Another example of the neutral-polite ye to a stranger is seen in the speech of the Pilgrim Knight. Even though a hierarchical relationship is shown in the asymmetrical use of vocatives, the Pilgrim Knight in the Canterbury Tales uses ye to anyone he might be expected to address.

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of his social class, virtually every other member of the party should be addressed as thou. This use of ye even to the lower classes shows that the speaker is a man of good birth. In contrast to the polite use of ye from the nobility to the Host, the lower social orders address the Host and one another as thou. The representatives of the lower classes —the Cook, the Shipman, the Pardoner, the Parson, and Chaucer the Pilgrim—all address the Host as thou. They address one another as thou though there are actual exchanges only between three pairs of lower class pilgrims: the Reeve and the Miller, the Manciple and the Cook, and the Cook and the Host. Therefore thou is the unmarked normal form among lower class people.

Second, the neutral-polite ye is used to the opposite sex. In contrast to the use of the humble-polite ye of the courtly lover, the use of ye on the lady's part in courtly love can be explained in terms of neutral politeness. The lady in courtly love does not have to exalt her lover or humble herself like the man in courtly love. The lady in courtly love is supposed to maintain a respectable distance from the lover by using the neutral-polite pronoun ye.

In *Troilus and Criseyde* Criseyde addresses Troilus by the neutral-polite ye with three exceptions of petrified phrases or contractions.³⁰ Criseyde also uses the neutral-polite ye to Diomede who, in turn addresses her with the humble-polite ye according to the norm of courtly love.

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In the Franklin's Tale Dorigen addresses Aurelius, her social inferior who falls in love with her by ye:

'Is this youre wil,' quod she,'and sey ye thus? Nevere erst,' quod she,'ne wiste I what ye mente.' (V.980-81)

Dorigen's use of the polite ye to her social inferior shows her good manners to any one regardless of his status.

Dorigen also addresses Aurelius by his name, whereas

Aurelius addresses her by the honorific title madame, which

I will explain further in the next chapter on vocatives.

As Arthur Kennedy explains regarding this neutral-polite ye:

...by the time of Crestien de Troyes (c.1170), the plural form is commonly employed between equals as well as to superiors as a sign of good breeding.(23-24)

The use of the neutral-polite can be a marker of good breeding.

The neutral-polte ye is also used in formal or public situations while the other second pronoun thou is used in informal or intimate situations. Unless the relationship between speaker and addressee is intimate or close, the speaker wants to be polite to the addressee regardless of the social status of the addressee by using the polite singular pronoun ye.

Thus the neutral-polite ye can be an indicator of formality. The neutral-politeness has the same function as that of formality in the sense that both politeness and formality convey a sense of distance, though politeness and formality are in principle different. Leech and Short (1980) point out that a formal style is associated with the

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distance of serious public communication of language "on its best behaviour."³² In the *Franklin's Tale*, the Clerk addresses his servant-squire by the neutral-polite ye:

To him this maister called his squire, And seyde him thus: 'Is redy oure soper? Almoost an houre it is, I undertake, Sith I yow bad oure soper for to make.'

(V, 1209-12)

By using the neutral-polite pronoun ye to his social superior the philosopher wants to keep the situation formal rather than private. Unlike the other categories of politeness, the speaker uses the neutral-polite ye in public situations even if the relationship between the speaker and the addressee is close and intimate. In Troilus and Criseyde Pandarus uses ye to his niece, Criseyde, in spite of being her elder relative. This reflects his wish to maintain the impression that he is of good breeding and that he is aware of it.³³

However, he switches into thou to Criseyde when he wishes to emphasize intimacy as her uncle rather than politeness as her uncle. One occasion occurs when he is exhorting her to accept the attentions of Troilus:

And also thenk wel that this is no gaude; For me were levere thow and I and he Were hanged than I sholde be his baude, As heygh as men myghte on us alle yse. I am thyn em; the shame were to me As wel as the, yf that i sholde assente Thorough myn abet that he thyn honour shente.

The other occasion on which he addresses Criseyde as thou is

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when he is trying to comfort Criseyde after she learns that she is to be exchanged for Antenor and thus must leave Troy and Troilus.

"And thow, my suster, ful of discomfort,"
Quod Pandarus, "what thynkestow to do?
Whi ne hastow to thiselven som resport?
Whi woltow thus thiselve, allas, fordo?
Lef al this werk, and take now hede to
That I shal seyn, and herkene of good entente
This which by me thi Troylus the sente."
(IV,848-54)

Here he is in the role of a compassionate uncle. In both cases the close relationship between niece and uncle is reinforced by the use of thou instead of the distancing polite address ye except for purpose of rhyme and petrified forms 32

Thus, the pronoun thou is used among the high class if the situation calls for intimacy rather than politeness. In the Knight's Tale, the two knights, Palamon and Arcite, use thou to each other from the beginning to the end. This reciprocal use of thou consistently shows their close friendship.

In Sir Gawain and the Green Knight King Arthur addresses his wife Guinevere, with neutral-polite ye in public:

Dere dame, to-day demay yow neuer; (470)

In private Arthur would be expected to use thou to her, the conventional usage of the second pronoun to the wife. In contrast to the use of the polite we in public, the knights

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in Arthur's court address each other as thou in private:

...Bi Kryst, hit is scape pat pou, leude, schal be lost, pat art of lyf noble! (675)

In Sir Gawain and the Green Knight Gawain invariably uses ye to the Lady:

Iwisse, worpy,...3e haf waled wel better,
Bot I am proude of pe prys pat 3e put on me,
And, soberly your seruaunt, my souerayn I holde yow,
And yowre kny3t I becom, and Kryst yow for3elde.
(1276-80)

with a single exception for alliteration: 34

Now iwysse,...I wolde I hade here pe leuest ping for py luf pat I in londe welde, (1801-02)

By his continuous use of ye in a situation of non-courtly love, Gawain shows not only that he wants to keep distance from the Lady who is trying to tempt him, but he also reveals himself as a courteous knight who observes the norms of politeness.

In contrast with Gawain's use of ye, the Lady alternates between the neutral-polite ye and the conventional thou. She begins with the neutral-polite ye:

God moroun, Sir Gawayn, 3e ar a sleper vnsly3e, pat mon may slyde hider; Now ar 3e tan as-tyt! Bot true vus may schape, I schal bynde yow in your bedde, pat be 3e trayst' (1208-1211)

And she continues this ye over more than thirty lines (1223-40). Then she switches into thou (1250) and subsequently alternates between ye and thou until the end of the conversation in their first meeting.

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In the second encounter, the Lady begins with the polite ye and uses it constantly, except for one thou:

Sir, 3if 3e be Wawen, wonder me pynkkez, Wy3e pat is so wel wrast alway to god, And if mon kennes yow hom to knowe, 3e kest hom of youre mynde; pou hatz for3eten 3ederly pat 3isterday I ta3tte Bi alder-truest token of talk pat I cowpe.

(1481-86)

In the third encounter she begins with thou:

A! mon, how may bou slepe, bis morning is so clere? (1746)

Then switches into the polite ye and uses it until shortly before her departure, when she once again lapses into thou. This alternation can be explained in terms of formality and intimacy. The Lady is trying various means of tempting Gawain, switching back and forth between familiarity and politeness. Through the use of thou, the Lady invites Gawain to do the same and to allow their relationship to take on intimacy rather than formality. However, Gawain's continuous use of ye forces her to return their conversation back to a more formal level, so she switches back to the polite ye.

In Troilus and Criseyde Pandarus distinguishes the public situation from the private one by using the different pronouns to the same person. Troilus and Pandarus address each other as thou from Book I through Book V, which reflects their close relationship as friends in spite of their different social rank. However, Pandarus always switches into ye in the presence of others, that is, in a public situation. Besides the use of the honorific-polite

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ye to his social superior (I,553), Pandarus addresses

Troilus by the neutral-polite ye on formal occasions. When

Troilus is visited at his sickbed by Pandarus, Deiphebus and

Helen, Pandarus uses ye to Troilus:

And it youre will be,
That she may take hire leve, er that she go?
(III,1688-89)

And also in the presence of Criseyde Pandarus use ye again:

God do boot on alle syke! Se who is here yow comen to visite. (III,61-2)

Chaucer also uses the neutral-polite ye in the Summoner's Tale. The friar usually uses the neutral-polite ye when he meets laymen regardless of their social status to show off his good education or good manners. He uses the neutral-polite ye not only to the the lord of the village he uses the neutral-polite ye, but also to the lower class. When he first meets the sick layman, Thomas, he uses the neutral-polite ye:

"Thomas,...God yelde yow! ful ofte Have I upon this bench faren ful weel Heere have I eten many a myrie meel." (III,1771-73)

However, the friar switches to thou in order to pretend to be intimate with Thomas to achieve his purpose of receiving gifts from him:

Thomas! Thomas! so moote I ryde or go,
And by that lord that clepid is Seint Yve,
Nere thou oure brother, sholdestou nat thryve.
In our chapitre praye we day and nyght
To Crist, that he thee sende heele and myght
Thy body for to weelden hastily.

(III, 1942-47)

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Just as the friar has pretended intimacy in order to obtain gifts from Thomas, Thomas also pretends intimacy by switching to thou from his customary ye in order to trick the friar:

And in thyn hand thou shalt it have anon, On this condicion, and oother noon, That thou departe it so, my deere brother, That every frere have also muche as oother, This shaltou swere on thy professioun, Withouten fraude or cavillacioun.

(III, 2131-36)

Thomas and the friar use the second singular pronouns ye and thou to show their hypocritical intentions.

Particularly, the friar uses the neutral-polite ye and the intimate thou freely even in the same sentence, confirming what is said about his language skill in the General Proloque.

In the Second Nun's Tale, Cecilia addresses Almache with the neutral-polite ye until the latter condemns her to death. When Almache, an inquisitor, asks her using thou ("What maner womman artow? I axe thee though it thee greeve, / Of thy religioun and of thy bileeve.") she answers him with the neutral-polite ye:

Ye han bigonne youre question folily, ...that wolden two answeres conclude In o demande; ye lewedly.

(VIII, 428-30)

However, she shifts into thou when Almache urges her to renounce her religion on the pain of death:

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That I ne knew therwith thy nycetee; And that thou were, in every maner wise, A lewed officer and a veyn justise.

(VIII, 493-97)

This sudden shift from ye to thou shows Cecilia's anger at Almeche and thus her desire to insult him publicly.

Thus the use of the neutral-polite ye indicates that the situation is formal, whereas its use in an inappropriate situation can reveal the speaker's hypocritical intentions as in the case of the friar in the Summoner's Tale. On the other hand, the use of conventional thou shows the close or intimate relationship between speaker and addressee in private or informal situation. But thou in formal situations can be interpreted as "impolite" showing the speaker's intention to insult the addressee or his contempt towards the addressee like the example of Cecilia's sudden shift to thou in the Second Nun's Tale.

The Sarcastic-polite ye:

Lastly, the polite-ye can also be chosen by the speaker to satirize the addressee or something which the addressee represents, such as his or her occupation, manner or attitude. Because of its connotation of politeness in appropriate situations, the singular pronoun ye as used in unexpected situations can be sarcastic or ironic. In such cases, the use of the polite ye can of be interpreted as an intention to insult, which the addressee does not always recognize. This will be called 'sarcastic-politeness.'

The use of ye can be interpreted by members of the

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lower classes as abusive. Such degradation may come about through the exploitive use of the polite ye in order to convey insult, that is, through sarcastic politeness. In the Canterbury Tales, the sarcastic-polite ye is used by the Manciple to the Cook. When the Cook is drunk and cannot tell a tale, the Manciple insults him through the use of the polite ye:

...wol ye justen atte fan?
Therto me thynketh ye been wel yshape!
I trowe that ye dronken han wyn ape,
And that is whan men pleyen with a straw.

(IX, 42-45)

This polite form of address does not fit the Cook by any means since the Cook is of lower status and has low morality. Thus the use of the polite form ye in an inappropriate situation such as to describe the Cook should be interpreted as sarcastic.

In the same way the Host rudely interrupts the Franklin when the latter mentions the gentilesse to which he aspires.

"Straw for youre gentillesse!" quod oure Hoost. (V,695)

By using the sarcastic-polite ye the Host shows his impatience with the Franklin. As Spearing points out "fear of an extended moral disquisition, either too private or too abstract," is "the Host's main motive for his interruption" (10). Therefore, the use of the polite pronoun ye (youre) should be interpreted as a sarcastic comments on the Franklin's gentillesse. Otherwise the Host would use his customary thou to him.

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The Wife of Bath in the Canterbury Tales switches into ye from thou when she is being sarcastic, as in her words to her first husband, though she usually uses thou to her husbands in accordance with her theory of maistrie:

Sire olde kaynard, is this thyn array?
Why is my neighbores wyf so gay?
She is honoured over al ther she gooth;
I sitte at hoom; I have no thrifty clooth.
What dostow at my neighbores hous?
Is she so fair? Artow so amorous?
What rowne ye with oure mayde, benedicite?
(III, 235-241)

And she goes on:

By this proverbe thou shalt understonde, Have thou ynogh, what thar thee recche or care How myrily that othere folkes fare? For certeyn, olde dotard, by youre leve, Ye shul have queynte right ynogh at eve.

(III, 328-32)

The only time the devil in the Friar's Tale uses ye to the summoner provides another example of sarcasm. When the carter says "The devel have al, bothe hors and cart and hey" (III,1547), the summoner cannot tell what the carter intends, that is, entente from what he says. After the summoner says to the devil, "Hent it anon, for he hath yeve it thee,/ Bothe hey and cart, and eek his caples thre" (III,1553-54), the devil replies sarcastically with the use of the polite ye, which pronoun does not befit the summoner, his social inferior:

Heere may ye se, myn owene deere brother
The carl spak oon, but he thoghte another.
Lat us go forth abouten oure viage;
Heere wynne I nothyng upon cariage.

(III,1567-69)

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The devil otherwise uses thou to the summoner, whom he considers his social inferior. Since the only pronoun to the inferior is thou, Norman Nathan in his analysis of pronouns of the Friar's Tale concludes that the use of ye in the words of devil is "a slip of Chaucer's pen" and "incorrect." Instead, it should be interpreted as a sarcasm, showing contempt for the summoner, which the summoner does not recognize, because the devil's intention is to mock the summoner with the respectful pronoun of address, ye. Furthermore, it is evident that the narrator, the Pilgrim Friar, intends to show the Pilgrim Summoner's stupidity through the use of ye of the devil to the summoner in the Tale

So far, I have examined the distinctive use of the two second singular pronouns thou and ye in the works of Chaucer and the Gawain-poet, who are fairly representative of late Middle English literature. For this analysis, with respect to polite speech, the second singular pronouns ye and thou have been divided into four usage categories: honorific, humble, neutral and sarcastic.

In Chaucer, many characters of all ranks show their personality as well as their relationships through the use of the marked pronoun ye. The Gawain-poet, however, because of the setting of his poems, has a limited number of characters of lower rank. Therefore, we cannot reliably analyze the pronoun usage of the lower class in the society about which he writes.

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The two poets emphasize various aspects of their worksthemes, issues, personal relationships-by having their characters observe and break the norms of pronoun usage. The fact that thou was used in Late Middle English literature as an unmarked form concurs with Stidston's conclusion that thou was still the natural second person singular form in the fourteenth century. Therefore we can interpret the usage of ye as a singular in these late Middle English poems to be a consciously marked form for politeness. The use of the polite ye as a marked form in the Late Middle English period played a great role in changing common usage. Through the use of the honorificpolite, the humble-polite and the sarcastic-polite ye, the value of that society reflects in the literature of late Middle English. Because of the use of the neutral-polite ye between equals among the high classes the usage of the polite ye was imitated by those below them, and spread down the social hierarchy.

Therefore, in the works of Chaucer and the Gawain poet, special significance is attached to ye to emphasize their issues or themes with respect to polite speech rather than to thou which is still the norm of the second singular pronoun. Thou is unmarked as a second singular pronoun but it becomes marked when it is used where ye is expected, for example, when a wife addresses her husband. In other words, the ye-thou pair operates together. Thou takes on "impolite" uses whereas ye takes on "polite" uses. Through

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the process of marked thou in early Modern English, the singular ye could survive because of its use to connote politeness in the late Middle English period.

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Notes

¹In standard speech *thou* survives down to the 18th century.

²As for phonological reasons for disappearance of thou, linguistic changes in verb conjugations should be considered. The verb form with thou is marked by an inflectional ending -est in the present tense, whereas the verb form with ye is not. The verb was unmarked for the first person singular and plural; second and third person plural; the third person singular, the ending was -eth. But by the end of the 16C the ending -s was regularly appearing in standard English, especially in informal registers, apparently spread from the northern dialects; and after the Restoration the -eth forms gradually disappear altogether. In contrast to the rest of the verbal paradigm, -est must appear increasingly archaic; and from the phonetic point of view more unwieldly, since it demanded an extra syllable (although syncope was common); and could result in awkward clusters (revisitest; cf. also past tense promisedst, etc.)

³The dual pronoun *git* did not survive beyond the thirteenth century. (Mustanoja, *Middle English Syntax*, 1960)

⁴Hereafter, for simplicity, I will use ye for three forms, ye, you and youre; thou for thou, thee and thy(n).

⁵Arthur Garfield Kennedy, The Pronoun of Address in English Literature of the Thirteenth Century (Stanford University, 1915) p.23.

⁶Patricia E. Mason, "The Pronouns of Familiarity and Respect in the Roman de la Rose and its Middle English Translation." Literary and Historical Perspectives of The Middle Ages. (1982) pp.66-75.

⁷William W. Evans, The second-person Pronoun in Sir Gawain and the Green Knight, 1959 and "Dramatic Use of the Second Person Singular Pronoun in Sir Gawain and the Green Knight," Studia Neophilologica, 39 (1967), 38-45.

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- ⁸Thomas Finkenstaedt, "You and Thou. Studien zur Anrede im Englischen." Berlin: de Gruyter, 1963.
- ⁹Albert C. Baugh, A History of the English Language, p.293.
- 10Angus McIntosh," 'As you like it': a grammatical clue to character." Review of English literature 4. 1963, pp68-81.
- $^{11}\mathrm{Country}$ Parson's social position was not high and did not enjoy exalted social prestige in fourteenth century society.
- 12Everett C. Johnston, "The pronoun of Address in Chaucer's Troilus," Language Quarterly (1962) vol.1,no.1 According to Johnston's assumption, "Pandarus' social position was that of the lesser Nobility in Chaucer's England: that is, above the knightly class yet below the Greater Nobility. In today's England Pandarus would perhaps be a baron or viscount. Since he was an uncle of Criseyde who was the former wife of a nobleman and was the daughter of a priest. Even if Criseyde's possible noble position did not automatically give Pandarus the same position, he could not be of the lower class considering he was a close friend of Troilus."
- 13Pandarus uses most of the time thou to Troilus, his obvious social superior, out of close friendship.
- 14Allan A. Metcalf, "Sir Gawain and You" The Chaucer Review, vol.5 no.3.(1971) pp. 165-178.
- 15 Evans introduces Mabel Day's suggestion which, in turn, follows Gollancz's theory.
 - 16Evans, pp.122-128.
- 'franklin' implied about a man's social status. It means literally a freeholder, one who possesses land absolutely, not temporarily or in return for dues or services. By the fourteenth century it seems to have come to be the name of a class of landowners below the class of the nobility and yet roughly the equivalents of gentleman. (Gordon Hall Gerould, "The Social Status of the Franklin," in Chaucerian Essays, p.33) In some circumstances perhaps a franklin would be thought the social equal of a knight or an esquire, but this Franklin, evidently a rich country squire, is clearly outside the magic circle of chivalry represented by the Knight and his son.

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- 18 Baugh, "Chaucer the Man," in Companion to Chaucer Stuides, Rowland, pp.12-13 He cites Marchette Chute's argument that Chaucer is "as much Chaucer's literary creation as any other pilgrim" and "the characteristics attributed to him are not necessarily a faithful reflection of the poet himself."
- 19 Stidston observes that a wife used ye to husband but husband used thou to wife. (pp57-59)
- 20Marriage debate in the *Canterbury Tales* was first proposed by G.L.Kittredge in 1912.
 - 21Robinson (p.711) quotes Skeat's suggestion.
- 22This passage was originally assigned to the Wife of Bath but Chaucer substituted "Shipman" for "Wife of Bath."
- 23The Franklin shows in his Tale that noble behaviour is not confined to the aristocracy through the *gentillesse* of Arveragus, Dorigen, Aurelius and the magician.
- 24When Criseyde has fainted Troilus thinks her dead and uses thou.

And thow, Criseyde, o swete herte deere, Receyve now my spirit! (IV, 1209-10).

According to the explanation of Finkensteadt the dead person is addressed as thou. Another case in which Troilus uses thou to Criseyde is when he is apostrophizing:

What gilt of me, what fel experience, Hath fro me raft, allas! thyn advertence? (V,1257-58)

- 25Paul G. Ruggiers, The Art of the Canterbury Tales.
 p.57
- 26Brewer, "Class Distinction in Chaucer," Speculum, 43 (Spring, 1968), pp290-305. According to his binary classification of the class, the gentil and the cherl, the Host belongs to the class of cherl.
- 27Kemp Malone, pp.192-21. He mentions the Host's use of thou and the Franklin's use of ye: "On an actual pilgrimage in fourteenth-century England this give and take would have been impossible: no innkeeper would have dreamt of behaving in this way towards a gentleman, and if he did so behave no gentleman would have put up with it."
- 28The Host interrupts rudely with the Franklin's speaking. When the Franklin is talking about gentilesse, the

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Host satirizes his gentilesse with the use of sarcastic-polite ye.

 $29_{\rm In}$ French, reciprocal *vous* had been already used among power equals of the upper classes as a respectful form of address.

 $30_{\mbox{Three}}$ cases in which Criseyde uses conventional thou to Troilus are petrified phrases or contractions:

For I am thyn, by God and by my trouthe! (III, 1512) And:

Syn I am thyn al hol, withouten mo, (IV,1641)

And:

O Troilus, what dostow now? (V,734)

31Leech and Short (1980) distinguish politeness from formality: "The scale of formality often correlates with that of politeness, but the two are in principle distinct. Both convey a sense of distance, but a formal style is associated with the distance of serious public communication, of language 'on its best behaviour.' Formality reinforces a norm, rather than reacts against it". (p.314)

However, I am here relating the neutral-politeness to

formality.

 $32_{\rm Walcutt}$ explains Pandarus's use of ye to Criseyde, his niece in terms of courtly situation:

It may be that Chaucer wished thus to emphasize Criseyde's situation as an object of courtly love, rather than as someone's relative; perhaps Pandarus's courtly servility would have reminded a contemporary that he too was a lover, who had 'a joly wo, a lusty sorwe.'

 $33_{\rm The}$ other cases in which Pandarus addresses Criseyde by thou can be explained in terms of rhyme or contractions (petrified forms). The cases of rhyme are:

Now, nece myn, the kynges deere sone, The goode, wise, worthi, fresshe, and free, Which alwey for to don wel is his wone, The noble Troilus, so loveth the, That, but ye heipe, it wol his bane be.(II,316-320)

And:

Thenk ek how elde wasteth every houre In ech of yow a partie of beautee; And therefore, er that age the devoure, Go love; for old, ther wol no wight of the. Lat this proverbe a loore unto yow be: (II,392-97)

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The examples of contractions or petrified forms are:

...what thynkestow to do? Whi ne hastow to thyselven som resport? Whi wiltow thus thiself, allas, fordo? (IV,849-51)

 $^{34}\mathrm{Evans}$ argues that this use of singular thou by Gawain to the Lady is an indication of Gawain's agitated state of mind.

35Norman Nathan, "Pronouns of Address in the Friar's Tale" Modern Language Quarterly 17 (1956), pp39-42.

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TIT. VOCATIVES

Just as the second singular pronouns ye and thou are used to show politeness and familiarity, vocatives also can be used to delineate rank and the social relationship between speaker and addressee. Vocatives, words or phrases used when calling someone, 1 can express the manifold gradients of superiority and inferiority, and of intimacy and distance, between speaker and addressee.

In Middle English literature, vocative forms can be largely classified into three categories with respect to politeness. The first category consists of speakers calling addressees by kinship terms such as brother, suster, cosyn, nece and uncle, by their personal names or both together. In the second category, speakers use professional or rank labels, 2 such as knyght and squire. In the last category, speakers use honorific titles such as lorde, sire, mayster, lady, dame and madame.

All these vocatives are used in both symmetrical and asymmetrical speech situations to show the speaker's attitude towards the addressee and their relationship. The symmetrical use of vocatives includes speaker and addressee calling each other by their personal names or kinship terms, or by professional or rank labels, without using any

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honorific titles. The reciprocal or symmetrical use of personal names or kinship terms in direct address shows the horizontal relationship between speaker and addressee. Social equals usually address one another by their personal names. Even among different social classes, the symmetrical use of vocatives occurs among intimate persons regardless of their social status. In most cases the familiar second singular pronoun thou accompanies their use of personal names and kinship terms rather than the polite ye. Calling each other by their professional or rank labels without any honorific titles also shows a symmetrical relationship between speaker and addressee.

The asymmetrical use of vocatives occurs when one person uses a personal name or kinship term to address another person, who in return uses an honorific title to address the former. The honorific title used reveals the nature of vertical relationship. Thus, the symmetrical use of vocatives indicates that the speaker and the addressee are on the same social level or that their relationship is close, or in the use of personal names, it may indicate enmity. On the other hand, the asymmetrical use of vocatives shows that they are not on the same social level. A reciprocal use of vocative usually indicates intimacy, regardless of any honor attributed to the addressee. Thus vocatives indicate the level of intimacy or the level of respect or politeness. The only case where the two should

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be considered together is in the case of intended insult.

Then the pronoun is a cue to the intentions of the speaker.

The conjunction with the second personal pronouns vocatives reinforces not only the intention of the speaker, but also the relationships between the speaker and the addressee. First, the use of personal names and kinship terms as vocatives shows intimacy or enmity when used with thou, whereas use with the polite ye shows both intimacy and The second category, professional and rank politeness. label, is usually used to show no respect and no insult when used alone. Therefore if used with thou to the social inferior, it shows no insult and if used with ye to the social superior, it shows no respect. However, when it is used with thou to the social superior, it shows insult. when used with ye to the social inferior, it shows sarcasm. Contrasted with the use of two categories of vocatives, personal names and kinship terms, and professional and rank labels, honorific titles generaly show different connotations when used with the personal pronouns ye and thou. Since honorific titles are used to show politeness, the polite pronoun ye is expected with them. However, when used with thou, they show respect to the social inferior or reinforce sarcasm to any person.

Personal names and kinship terms:

Even though personal names occur only infrequently in Middle English poetry, they usually are an indication of

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intimacy between two characters unless some occupational animosity exists. Among close or intimate persons, personal names and kinship terms are symmetrically used to express the intimacy between the two people regardless of their social status. Thus, Chaucer has his characters use their personal names and kinship terms in intimate relationships even among equals in high social classes. In the Knight's Tale, the two knights, Palamon and Arcite, call each other by the kinship term, cosyn in addition to using the familiar pronoun thou. Arcite addresses Palamon:

Cosyn myn, what eyleth thee,
That art so pale and deedly on to see?
Why cridestow? Who hath thee doon offence?
(I,1081-83)

Palamon's answer to this also begins with cosyn:

Cosyn, for sothe, of this opinioun Thou hast a veyn ymaginacioun.(I,1093-94)

Through the symmetrical use of vocatives, the relationship between the 'sworn brothers' is emphasized. Similarly, in the Shipman's Tale, the story of a monk-wife-merchant triangle, their relationships and deceptions are shown despite the use of kinship terms, cosyn and nece. The merchant's wife uses the kinship term cosyn to the monk:

O deere cosyn myn, daun John, What eyleth yow so rathe for to ryse? (VII,98-99)

And the monk replies by using the kinship term nece:

Nece,...it oghte ynough suffuse Fyve houres for to slepe upon a nyght (VII,100-101)

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They continue to use those kinship terms to each other to emphasize their intimate relationship, which turns out to be a deception. The relationship between the monk and the merchant is also emphasized through the use of the kinship term cosyn. When the merchant is about to leave his house on a business trip, the monk addresses the merchant:

...Cosyn, it standeth so,
That wel I se to Brugges wol ye go.
God and seint Austin spede yow and gyde!
I prey yow, cosyn, wisely that ye ryde.
(VII, 257-60)

The merchant replies to the monk:

...O cosyn myn, daun John,
Now sikerly this is a smal requeste.
My gold is youres, whan that it yow lests,
And nat oonly my gold, but my chaffare.
Take what yow list, God shilde that ye spare.
(VII, 282-85)

The teller, the Shipman, (Chaucer, too) intends to show the ironical relationships among the characters by having them overuse the kinship term cosyn and the polite pronoun ye to each other. Unlike the relationship between the two knights, Palamon and Arcite in the Knight's Tale, which is pure, the three characters in the Shipman's Tale deceive each other by pretending intimacy. The merchant is duped both by the monk who "hym claymeth as for cosynage," and also by his wife at the end of the tale.

In the same way, among the lower classes, like those portrayed in the Miller's Tale and the Reeve's Tale, close relationships are shown by the reciprocal use of personal names. In the Miller's Tale, John and Nicholas who live in the same house reciprocate personal names with each other.

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John and Aleyn in the Reeve's Tale also call each other by their personal names.

Speakers and addressees belonging to different social

classes also show their close friendship through the reciprocal use of personal names and kinship terms.

Throughout Troilus and Criseyde, friendship is emphasized through the symmetrical use of personal names and kinship terms such as brother, nece and uncle. The friendship between Troilus and Pandarus is primarily portrayed throughout the story by their reciprocal use of vocatives. They call each other by personal names, just as they reciprocate the use of thou to each other. Pandarus uses Troilus' personal name in addressing him, even though

Ye, Troilus, now herke,...
Though I be nyce, it happeth often so,
That oon that excesse doth ful yvele fare
By good counseil kan kepe his frend therfro.
(1.624-27)

Troilus is his social superior:3

Troilus also calls Pandarus by his personal name :

But herke, Pandare, o word, for I nolde
That thow in me wendest so gret folie,
That to my lady I desiren sholde
That toucheth harm or any vilenye;
(I,1030-33)

And:

Now, Pandare, I kan na more seye, But, thow wis, thow woost, thow maist, thow art al! (I,1051-52)

Troilus also uses the kinship term, brother:

Allas, my deere brother Pandarus, I am ashamed for to write, ywis, Lest of myn innocence I seyde amys, Or that she nolde it for despit receyve;

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Than were I ded, ther myght it nothyng weyve.
(II.1046-50)

Sometimes this pair uses non-kinship common nouns to each other instead of or in addition to their personal name or kinship term which reinforces their close friendship, despite their different social rank. Troilus addresses

Pandarus by frend with his name, as we would expect him to:

Suffiseth this, my fulle frend Pandare, That I have seyde, for now wostow my wo; And for the love of God, my colde care, So hide it wel--I tolde it nevere to mo. (I,610-13)

We know that relationship is intimate because Troilus allows

Pandarus to address him, his social superior, as frend:

Allas, what may this be?
Now, frend, yf evere love or trouthe
Hath ben, or is, bytwyxen the and me,
Ne do thow nevere swich a crueltee
To hiden fro thi frend so gret a care!
Wostow naught wel that it am I, Pandare
(I,583-85)

Pandarus even uses the word fool to Troilus:

How, hastow thus unkyndely and longe Hid this fro me, thow fool?
(I,617-18)

In the Friar's Tale, the summoner and the devil use brother to each other to show their intimacy or solidarity because they are both bailiffs. The summoner says to the devil:

Brother,...where is now youre dwelling Another day if that I sholde yow seche? (III,1410-11)

Even if the summoner addresses the devil by the honorificpolite pronoun ye, because the devil is his social superior, his use of the kinship term brother expresses his intimate

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Feeling towards the devil because they have common interests. The devil also replies with brother:

Brother, ... fer in the north contree, Where as I hope som tyme I shal thee see.

(III, 1413-14)

They then continue to reciprocate brother to each other. The

reciprocal use of the kinship term brother is contrasted with the use of the honorific title sire. When the summoner

meets the devil first, he uses the honorific title sire:

"Sire, hayl, and wel atake." However, after the summoner

explains his job and the devil asks "Artow thann a baily?"

the devil addresses the summoner as brother:

Depardieux, ... deere broother, Thou are a baily, and I am another I am unknowen as in this contree; Of thyn aquevntance I wolde praye thee And eek of bretherhede, if that yow leste. (III, 1395-99)

In the same way Pandarus and Criseyde address each other by kinship terms, nece and uncle to show their friendship.

Even though they use the polite pronoun ye to each other,

Pandarus always uses the kinship term nece ('niece') to her despite the fact that he addresses her by using the

nonorific title madame in the presence of other ladies: ... Madame, God yow see,

With yowre faire book and al the compaignye. (II, 85-86)

After Crisevde replies to him:

Ey, uncle myn, welcome ywys

(II, 87)

Pandarus switches to a kinship term nece:

Ye, nece, ve shal fare wel the bet, If God wole, al this yer,

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(II, 92-93)

Through the use of common familiar nouns to each other they show their close friendship as Criseyde mentions:

Iwis, myn uncle, grant mercy.
Youre frendshie have I founden evere yit;
I am to no man holden, trewely,
So muche as yow, and have so litel quyt....

Though this friendship is different from that of Troilus and Pandarus it implies advice and counsel. 4 Pandarus and Criseyde's use of kinship terms to each other shows their intimate relationship; at the same time they show their politeness to each other through the use of ye, which I already explained in Chapter I.

The symmetrical use of personal names and kinship terms is in sharp contrast to the asymmetrical use of vocatives between Troilus' brother, Deiphebus and Pandarus. Pandarus calls Deiphebus, who is his superior, by sire and lord along with using the honorific-polite pronoun ye, whereas Deiphebus calls Pandarus by frend and thou. Pandarus always addresses Deiphebus with the honorific titles

Lo, sire, I have a lady yn this town
That is my nece and called is Criseyde,

(II,1416)

and:

sire and lorde:

...yf ye, my lord so dere,
Wolden as now do this honour to me,
To preyen hire to-morwe, lo, that she
Come unto yow, hire pleyntes to devise,
Hire adversaries wolde of it agrise.

(II,1431-35)

and:



Syre, al this shal be don. (II,1459)

In contrast to his use of honorific titles to Deiphebus, his social superior, Pandarus receives the general common noun frend:

...O, is not this That thow spekest of to me so straungely Criseyda, my frend? (II,1423)

Thus in *Troilus and Criseyde* the friendships between Troilus and Pandarus, and Pandarus and Criseyde, are emphasized through the symmetrical use of vocatives, in contrast with the asymmetrical use of vocatives between Pandarus and Deiphebus.

In the same way, speakers use personal names or common

familiar nouns to show their intimate relationship with the addressee or to pretend an intimate relationship where none exists. In the Summoner's Tale, for example, the friar constantly repeats the layman Thomas's name, as well as using thou when he is asking for gifts from him. Elsewhere the friar uses the polite pronoun ye as noted in Chapter I. The Pilgrim Summoner intends to uncover the Pilgrim Friar's hypocrisy and so has the friar in his tale pretended to be intimate with Thomas through the obviously hypocritical use of his personal name in conjuction with the use of familiar thou:

Thomas, of me thou shalt nat been yflatered; Thou woldest han oure labour al for noght. (III,1970-71)

And therfore, Thomas, trowe me if thee leste, Ne stryve nat with thy wyf, as for thy beste. And ber this word awey now, by thy feith, (III,1985-87) The fact that the Pilgrim Summoner has his friar in his Tale use a personal name in a hypocritical manner underscores the convention which states that personal names when used between friends indicates familiarity.

Between lovers, as between friends, personal names are generally used to express intimate feelings. In the Miller's Tale, Absalon, the parish clerk, calls Alison by her name: "What do ye, honycomb, swete Alisoun " (I,3698). In the same way in the Reeve's Tale Aleyn calls Malyne by her personal name: "Fare weel, Malyne, sweete wight!" (I,4236).

In the marriage relationship husband and wife usually address each other by their personal names. In the Miller's Tale, the carpenter and his wife call each other by their personal names:

What, Alison, herestow nat Absolon, That chaunteth thus under oure boures wal? (I,3366-67)

And she answers her husband:

Yis, God woot, John, I heere it every deel. (I, 3369)

Also in the Wife of Bath's Tale, the fourth husband of the Wife of Bath, Jankin, calls her "Deere suster Alison" (III-804), a term of endearment, as he attempts to apologize for throwing her to the floor. This precarious situation requires more intimacy than any other time in the tale, in order to soothe her anger. All the above examples show that

personal names and kinship terms are used between people in close relationships.

Besides emphasizing that a situation requires intimacy or familiarity between speaker and addressee, personal names are also used to show enmity towards the addressee. Thus animosity between professional rivals reveals itself through the symmetrical use of personal names and/or general common nouns.

One pair of occupational rivals in the *Canterbury Tales* is the Host and the Cook. There were laws that prohibited London innkeepers from selling food or drink in competition with victualers, such as the Cook. Consequently, a natural antagonism existed between the Host and the Cook. The personal names of the two come out in their quarrel. The Host addresses the Cook by his personal name when he calls upon him for a tale:

Now telle on, Roger, looke that it be good; (I,4345)

Now telle on, gentil Roger by thy name (I,4353)

In his response to the Host, the Cook also uses the personal name of the Host, Harry Baily:

..., Herry Bailly, by thy feith,
Be thou nat wrooth, er we departen heer,
Though that my tale be of an hostileer.
But natheless I wol nat telle it yit,
But er we parte, ywis, thou shalt be quit.

(I, 4358-62).

Along with the second person singular pronoun thou, the interchange of personal names between professional or occupational enemies heightens the sense of their enmity.

Another pair of rivals is the Miller and the Reeve. As Tupper has already shown in his article "The Quarrels of the Canterbury Pilgrims," the Miller and the Reeve are professional and traditional enemies (p.265). The job of the Miller is to grind grain, while the Reeve's is to oversee the farm; and because of their conflicting interests, they often accuse each other of cheating. The Miller is the first one to call the Reeve by his name, when the two men quarrel: "leve brother Osewald" (I,3151). The Miller, by using the Reeve's personal name in conjunction with the kinship term brother, which otherwise would indicate a close relationship, thereby shows his animosity.

In addition to the use of personal names to show friendship or animosity, personal names are also used to members of the lower class. In the Canterbury Tales, the Pilgrims of lower class are addressed by their personal names alone, whereas those Pilgrims who are of the higher class are addressed by honorific titles. When the Miller insists upon telling his story immediately after the Knight's Tale in spite of his drunkenness, the Host calls him by his personal name:

Abid, Robyn, my leeve brother, Som bettre man shal telle us first another. Abid, and lat us werken thriftily. (I,3129-31)

Even though he uses the kinship term brother with his personal name, the Host's calling the Miller by his personal name simply indicates the latter's lower rank; but not animosity, because these men are not professional rivals.

The Host thereby emphasizes the Miller's disruption of the social order. Instead of kinship terms, the common nouns such as carl and cherl are used to deride members of the lower class. The cognates of the words carl and cherl are both terms of abuse in Middle English, the latter being used rather more frequently. Even though the basic meaning of the two words is: "any person not belong to the nobility or clergy " (MED), they have connotations of contempt or abusiveness as these examples, the first from in the General Prologue, and the second from the Prologue to the Miller's Tale show:

The Millere was a stout carl for the nones. (I,545)
The Millere is a cherl (I,3182)

In direct address, these two words are used in offensive situations with the common noun, theef. In the Pardoner's Tale, the three rioters address each other by 'felawes' 'bretheren' and 'may deere freend,'which show their intimate relationships or their pretentious intimacy whereas they address the old man with 'carl,' 'olde cherl' and 'false theef.'

And in the Summoner's Tale, the friar who uses the personal pronoun and familiar noun brother to Thomas, switches to the word cherl when he is tricked by Thomas:

A, false cherl, for Goddes bones! This hastow for despit doon for the nones. (I,2153-4)

In the Franklin's Tale, personal names are used in asymmetrical situations. Dorigen addresses Aurelius by his

personal name, whereas Aurelius addresses Dorigen, his social superior, and whom he loves, by the honorific title madame:

But now, Aurelie, I knowe youre entente, By thilke God that yaf me soule and lyf Ne shal I nevere been untrewe wyf In word ne werk, as fer as I have wit (V.982-85)

And then she continues:

Aurelie, ...by heighte God above, Yet wolde I graunte yow to been youre love, Syn I yow se so pitously complayne. (V.989-91)

Aurelius uses the honorific title madame in answering her:

Madame,...this were an impossible Thanne moot I dye of sodeyn deth horrible. (V,1009-10)

This asymmetrical use of vocatives indicates the hierarchical relationship between the duchess and the squire, even though Dorigen uses the neutral-polite ye to him, as I explained in Chapter I (p.57). Therefore, the asymmetrical use of vocatives can be a cue to their social status where the polite pronoun ye is neutrally used.

In marriage, the asymmetrical use of the wife's personal name by her husband reflects the inferior position of women in that period. In the Clerk's Tale, the obedient wife, Griselda, is always addressed by her personal name by her husband, Walter, who in return receives the honorific title lorde. Griselda never calls her husband by his name, but Walter always addresses his wife by her personal name and the familiar pronoun thou:

This is ynogh, Grisilde myn,



Be now namoore agast ne yvele apaved. I have thy feith and thy nenyngnytee As wel as evere womman was assayed, In greet estaat and poureliche arrayed. Now knowe I, dere wvf, thy stedfastnesse (IV, 1051-56)

and:

Grisilde, by God that for us devde, Thou art my wyf, ne noon oother I have, Ne nevere hadde, as God my soule save. (IV, 1062-64)

Even when he uses the polite pronoun ye to her, he calls her by her name instead of by an honorific title:

I seye, Grisilde, this present dignitee In which that I have put yow, as I trowe, Maketh yow nat foryetful for to be That I yow took in povre estaat ful lowe, For any wele ye moot yourselven knowe.

(IV, 470-74)

In contrast to the use of his personal name Griselda always uses the honorific title lorde to her husband. This asymmetrical use of vocatives reflects their vertical relationship which is easily contrasted with the symmetrical use of personal names to reveal intimacy in other Tales.

The Gawain-poet also uses personal names in similar situations. In Sir Gawain and the Green Knight, when the Green Knight first appears in Arthur's court and later in his chapel, he calls Gawain by his personal name without any honorific title. First the Green Knight in Arthur's court:

Lok, Gawain, bou be graype to go as bou hettez, And layte as lelly til pou me, lude, fynde, (448 - 49)

And also in his chapel:

'Gawain,' quod bat grene gome, 'God be mot loke! (2239)



This shows that the Green Knight is acting as a superior to Gawain, which in turn also suggests the Green Knight's rude attitude toward Gawain, who is also a knight and therefore should be addressed with an honorific title such as sire.

Thus personal names and kinship terms are used either symmetrically or asymmetrically. In symmetrical situations personal names are used to indicate intimacy or animosity. The kinship terms such as suster, brother, cosyn, nece and uncle accompany personal names in intimate situation whereas other common nouns such as carl, cherl or theef accompany in order to reinforce thoughts of animosity. Personal names and kinship terms are found in asymmetrical situations to show a hierarchical relationship between speaker and addressee.

Professional or rank labels:

While personal names and kinship terms are used to show intimacy, professional or rank labels are also employed in contexts where personal names could also serve. The difference is that the use of personal names indicates intimacy or insult, whereas professional or rank labels are usually neutral; they generally convey neither intimacy nor respect, although a professional label may be used to denigrate a person's occupation.

By calling someone by his professional label without any honorific title the speaker indicates that the addressee is not his superior. In the Canterbury Tales, the Host uses

professional labels to the lower-class Pilgrims, who are his inferiors. Harry Baily calls the Canon's Yeoman, an alchemist, by his occupational label:

"Ther-of-no fors, good Yeman" (VIII,652). He calls the Pardoner by his occupational name when he asks the Pardoner for a merry tale after the Physician's tragic story of Virginia, which has profoundly affected the Host: "Thou beel amy, thou pardoner" and to the Nun's Priest: "Com neer, thou preest, com hyder."

He can be also blunt and direct when he addresses those

whom he considers his equals, as is evidenced by his calling them by their professional labels. The Host addresses those among the middle class whom he thinks his inferiors or at best his equals by professional labels whereas he addresses those whom he thinks his superiors by honorific titles. For example, the Host addresses the Franklin, the Manciple, and the Merchant by their professional labels. The common in these three cases is the Host's use of their professional labels after the three men delay their stories. When the Franklin is talking about gentillesse after the Squire's Tale, the Host interrupts him and urges him to tell his story with the use of the second person singular pronoun thou and his professional label and then adds sarcastic sire:

What, Frankleyn, pardee, sire, wel thou woost That ech of yow moot tellen atte leste A tale or two, or breken his biheste. (V,696-98) When the Host calls on the Cook to tell a tale, the Manciple interrupts and says he will do the Host a service by telling a story, berating the Cook for being ridiculously drunk. The Host uses the Manciple's occupational label when he warns him of the revenge the Cook might take on him:

But yet, Manciple, in feith thou art to nyce, Thus openly repreve hym of his vice. Another day he wole, peraventure. Reclayme me thee and brynge thee to lure.

(IX.69-71)

And the Host addresses the Merchant with the polite pronoun ye and his professional label without any honorific title:

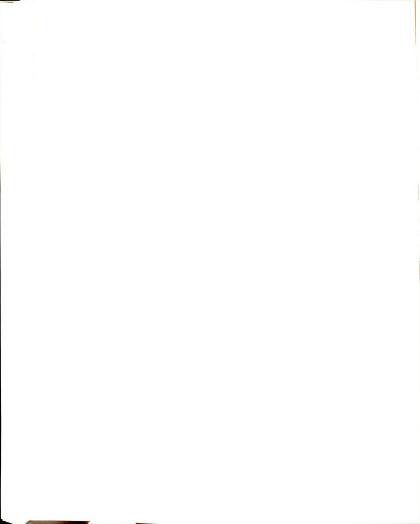
Now,...Marchaunt, so God yow blesse, Syn ye so muchel knowen of that art, Ful hertely I pray yow telle us part. (IV.1240-42)

Even though the Host uses the polite ye to the Merchant, unlike to the Franklin and to the Merchant, he shows no respect for the Merchant by using his professional label alone. After the Clerk finishes his tale, the Merchant gives the details of his marital troubles, indicating the Clerk's story as the immediate occasion for his outburst:

Wepyng and waylyng, care and oother sorwe I know ynogh, on even and a-morwe, ...and so doon othere mo
That wedded been. I trowe that it be so,
For wel I woot it fareth so with me.
I have a wyf, the worste that may be;

(IV,1213-18)

He continues his troubles to line IV,1238. As Lumiansky explains about this situation, "the Host is quick in his attempt to turn this outburst to the benefit of the storytelling game" (155).



The Host's use of the particular vocative shows that the middle class Pilgrims neutrally address each other by professional labels without any honorific titles depending on their subjective judgement. When the speaker considers the addressee as his superior, he uses the honorific title. However, when the speaker thinks the addressee is his equal he uses the occupational or professional label only.

Among the Pilgrims, there are two men who call the Host by his occupational label alone without any honorific title: the Pilgrim Chaucer (VII,707) and the Man of Law (II,39). Pilgrim Chaucer and the Man of Law consider the Host their inferior or at best their equal. In contrast to the Man of Law's use of his professional label alone to him, the Host addresses the Man of Law with an honorific title before his professional label: "Sire Man of Law" (II, 33) As these examples show, the concept of equality or inferiority among the so-called middle classes is not objectively defined in this period. The characters in this literature decide inferiority or equality on the basis of their subjective judgment.

In the same way rank labels such as knyght or squire are employed to equal or inferior without any honorific title. For example, in Sir Gawain and the Green Knight Gawain addresses the Green Knight as just knyght when they first meet in Arthur's court:

I wot neuer where bou wonynes, bi hym bat me wro3t,

Ne I know not be, kny3t, by cort ne bi name.
(399-400)

Gawain treats the Green Knight as his inferior by using rank label without any honorific title. This use of vocative is reinforced by the use of the pronoun thou.

In the Canterbury Tales the Pilgrim Franklin addresses the Squire, the Knight's son, by his rank label alone without any honorific title:

In feith, Squire, thow hast thee wel yquit! And gentilly. I preise wel thy wit. (V,673-74

The Franklin wants to identify himself as equal to the Squire, who is his obvious social superior, by using his rank label without any honorific title. Franklin's use of the rank label to the Squire can be contrasted with the use of the honorific title *sire* to the Host (V,703) who is his social inferior, or at best his equal.

Between rivals, the informal use of professional or rank labels shows antagonism in much the same way as calling someone by his personal name. As the Host uses the personal name of his occupational enemy, the Cook, he also uses his occupational label, along with thou when the latter is sleeping:

'Awake, thou Cook,God yeve thee sorwe! What eyleth thee to slepe by the morwe? Hastow had fleen al nyght, or artow dronke, So that thow mayst nat holden up thyn heed? (IX,15-19)

By using his occupational label, the speaker can insult the addressee's job as well as the addressee himself. While the use of personal names is focused on individual attack, the use of professional labels is focused on the type of

profession to show the animosity between rival characters.

Another pair of professional rivals are the Friar and the Summoner. The Friar is a member of the regular clergy with papal authority; the Summoner is a member of the secular clergy under the control of the Bishop and Archdeacon of the Diocese. Their enmity is shown by their use of professional labels without any honorific title. This enmity is reinforced by their descriptions of each other in their Tales. The Summoner calls the Friar by his professional label without any modifying words:

Now elles, Frere, I bishreewe thy face (III,844-5)

To those whom they consider to be inferiors, or at best equals, the speakers employ professional labels without any modifying honorific titles as vocative forms of address in all the quotations above. This use of professional labels is usually reinforced by the use of the pronoun thou.

On the other hand, rank labels can also be used as neutral vocatives which accompanies the polite pronoun ye. The Host calls the Squire by his rank label without any honorific title:

Squire, com neer, if youre will be, And sey somwhat of love, for certes ye Konnen theron as muche as any man.

(V, 1-3)

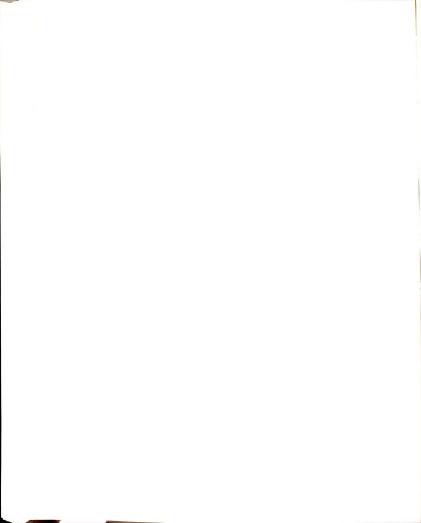
Even if he uses the honorific-polite ye to the Squire, who is his social superior, the Host calls the Squire by his rank label alone because of the latter's young age.



From these examples, familiarity, animosity or superiority can be clearly seen through the symmetrical or asymmetrical use of vocatives. Thus the use of personal names or kinship terms, or professional or rank labels shows the relationship between speaker and addressee as well as the speaker's attitude towards the addressee regardless of the latter's real social position. Although personal names and professional or rank labels are both used in situations of animosity and to inferiors, as well as to insult the addressee, only personal names are used in intimate situations because they turn the focus on the individual and his personality. However, professional or rank labels focus on a more "public" aspect of the person, and hence generally are a more neutral form of address.

Honorific titles:

Originally honorific titles were devised and used to establish the identity of speaker in terms of his relationship with the larger group. Along with personal names or professional labels, honorific titles such as lorde, sire, mayster, lady, dame and madame are also used as vocatives. According to the Concordance to Chaucer, 8 of all the honorific titles sire and dame were the most widely used as a polite term of address. Contrary to the two earlier categories, in which vocatives were used in intimate or antagonistic situations, or neutral context, these honorific titles are employed in Middle English poems as forms of



respectful address to show politeness towards the addressee. These honorific titles can also be employed symmetrically and asymmetrically in late Middle English literature depending on the situation, though they had originally been used only asymmetrically to social superiors.

Sire, Mayster and Lorde:

Among three honorific titles largely used to refer to men, sire is the most common as a term of respectful address. It was used either by itself or in combination with the addressee's personal name, professional or rank label. The normal meaning of sire in the Middle Ages is "he who has authority." Thus sire was generally used to refer to the emperor, the king and to feudal seigneurs, who possessed authority over country and for husbands, lovers and fathers, who had authority over their wives, sweethearts and children (Stowell, p.191).

Just as the honorific-polite ye is used to a superior so the honorific title sire is used to a superior in terms of social status, age, sex, learnedness and morality of the addressee. The Host in the Canterbury Tales often shows courtesy by calling those whom he considers his superiors by the title sire alone or before professional labels. He addresses his social superiors, the Knight, and the Squire, who belong to nobility; and the Monk, who belongs to the upper rank of the clergy, by calling them sire. The Host addresses the Knight who holds the highest rank among the



Pilgrims as sire when he calls him for drawing a cut and telling a story: "Sire Knyght" (I,837). The Host addresses the Monk as sire when he needs to show courtesy because the latter's socially superior rank. 10 When the Knight has finished his romance of Palamon and Arcite, the Host compliments him and then calls upon the Monk, who is next in rank to the Knight, with the use of the honorific title sire: "Sire Monk" (I,3118). Also, when inviting the Monk to tell a Tale, the Host courteously addresses him as "My lord, the Monk" (VIII,1924), but after the Knight's interruption, he rudely refers to "this Monk" (VII-2781). And then he softens the situation immediately by addressing him as Sire Monk:

Sire Monk, namoore of this, so God yow blesse! Youre tale anoyeth al this compaignye.

(VII, 2788).

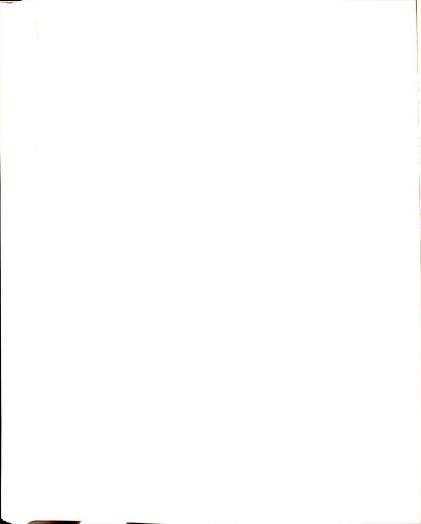
In the *Knight's Tale*, Duke Theseus, who holds the highest position in his court, is addressed as *sire* by Arcite. In *Troilus and Criseyde* all members of the Trojan royal family are addressed as *sire* by the other characters. Pandarus uses *sire* to King Hector's sons, Deiphebus (II,1416;1459) and Troilus (II,957). Criseyde sometimes uses *sire* to Troilus (III,68).

The use of the honorific title *sire* is also applied to the learnedness of the addressee as the honorific-polite *ye* is. The Host also addresses the Clerk (I,840; IV,1) and the Man of Law who are known as men of learning (II,33) as *sire*. 11

In marriage, husbands are regularly addressed by their wives as sire which is contrasted with a common noun husband·12 In the Tale of Melibee, dame Prudence mostly calls her husband Melibeus as sire and Melibeus addresses her as dame. In contrast with the symmetrical use of honorific titles between husband and wife in the Tale of Melibee, in the Franklin's Tale Arveragus is addressed as sire by his wife, whereas he addresses his wife, Dorigen, by her personal name and the non-honorific common noun wyf. Dorigen addresses her husband by the honorific title sire:

...Sire, sith of youre gentillesse
Ye profre me to have so large a reyne,
Ne wolde nevere God bitwixe us tweyne,
As in my gilt, were outher werre or stryf.
Sire, I wol be youre humble trewe wyf.
((7,754-58)

In contrast, Arveragus calls his wife, Dorigen, by her name:
"Is ther oght elles, Dorigen, but this?" (V,1468). He also he uses the common noun wyf: "Ye, wyf,...lat slepen that is stille"(V,1472). This asymmetrical use of Arveragus and Dorigen in the Franklin's Tale indicates that their relationship between husband and wife is vertical rather than horizontal in spite of their symmetrical use of the polite pronoun ye. Arveragus's use of her personal name or the word wyf to his wife instead of the honorific title contradicts his statements about humility and his use of the honorific-polite ye (discussed in Chapter I), and thus shows his contradictory personality, which in turn reflects that of the teller, the Franklin.



Morality is also a factor which affects the use of the honorific title sire. In the Canterbury Tales the Franklin switches from using a rank label into using the honorific title sire when he is impressed by the achievements of the young Squire. In complimenting the Squire on his excellent performance when the Squire finishes his story, the Franklin addresses the Squire as sire, in spite of the Squire's young age, which would normally cause the Franklin to use the rank label Squire (V, 673):

...considerynge thy youthe, So feelyngly thou spekest, sire, I allow the. (V, 3-4)

Using the honorific title *sire* causes him to use the polite ye later. He switches to the polite ye from the previous thou which is the conventional pronoun used by an elder speaker to an younger addressee.

In the Franklin's Tale, the clerk magician speaks respectfully to Aurelius when he is touched by Aurelius's 'gentil dede.' After Aurelius tells about his sending Dorigen to her husband as 'frely' as Arveragus sent her to him, the magician says to Aurelius:

Sire, I releesse thy thousand pound As thou right now were cropen out of the ground Ne nevere er now ne haddest knowen me.

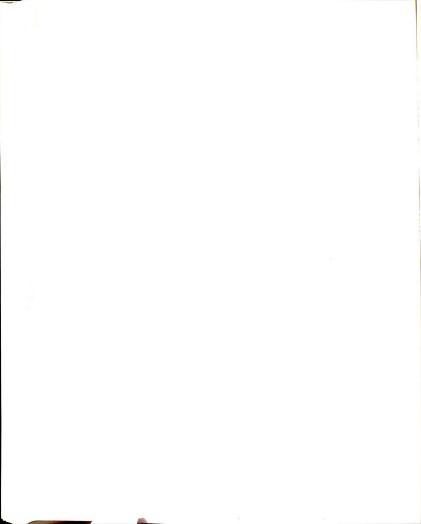
For, sire, I wol nat taken a peny of thee
For al my craft, ne noght for my travaille.

Thou hast ypayed wel for my vitaille.

It is ynogh, and farewel, have good day!

(V,1613-19)

Even though the magician uses the familiar pronoun thou to Aurelius who is his social inferior, he shows his respect towards Aurelius by using the honorific title sire.



Sire is also used by the speaker who wants to show humility towards the addressee regardless of the latter's social status. Just like the use of the humble-polite ye in Chapter I, the speaker uses the honorific title sire to show his humility towards the addressee.

In the *Nun's Priest's Tale*, when the fox first sees the cock, Chauntecleer, in the herbs, the fox courteously addresses Chauntecleer, who is frightened and is about to fly away by using *both sire* and *ye*:

Gentil sire, allas! wher wol ye gon?
Be ye affrayed of me that am youre freend?...
(VII, 3284-5)

The fox addresses Chauntecleer in this manner in order to persuade the cock to stay and put him off his guard. He pretends to be humble by using the title *sire* to Chauntecleer. Later, Chauntecleer also uses the title *sire* to deceive the fox:

Sire, if that I were as ye,
Yet wolde I seyn, as wys God helpe me,
Turneth agayn, ye proude cherles alle!
A verray pestilence upon yow falle!
Now I am come unto the wodes syde.
Maugre youre heed, the cock shal heere abyde.
I wol hym ete, in feith, and that anon.
(VII, 3406-14)

The use of the honorific title *sire* is used to show the speaker's humility towards the addressee, if it is pretentious or not.

The Pilgrim Franklin uses sire to the Host even though the Host insulted him. This shows that the Franklin is obsequious in the hope of making a good impression on the rest of the company. Every time he addresses himself

directly to the pilgrims he speaks respectfully using sire twice to the Host in spite of the latter's insulting his interest in gentillesse. Though the Host rudely interrupts the Franklin, the Franklin replies humbly with the use of the honorific title sire: "That knowe I wel, sire" (V,699). And later:

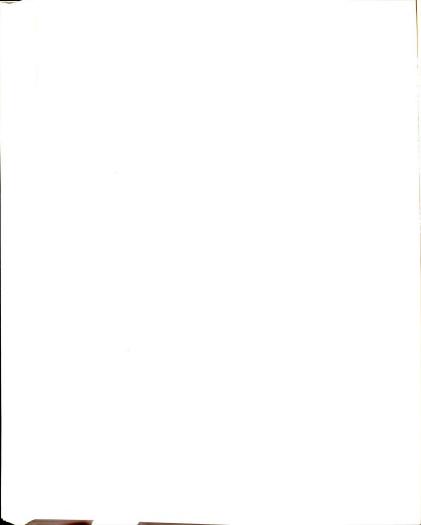
Gladly, sire Hoost, I wol obeye
Unto your wil. Now herkneth what I seye.
(V, 703-4)

This use of the honorific title *sire* to the Host who is not only his social inferior but also the man who insults him, reflects the Franklin's ingratiating personality rather than his *gentilesse*. ¹³ Besides using the honorific title *sire*, the Franklin addresses the polite pronoun *ye* to the Host who in return uses the pronoun *thou*.

The Gawain-poet also uses the title sire in Sir Gawain and the Green Knight to show the speaker's humility towards the addressee. After Gawain humbly confesses his failure to the Green Knight, the Green Knight uses the title sire:

And I gif pe, sir, pe gurdel pat is golde-hemmed, For hit is grene as my goune. Sir Gawain, 3e maye Benk vpon pis ilke prepe, per pou forth pryngez Among prynces of prys, and pis a pure token Of pe chaunce of pe grene chapel at cheuralrous kny3tez. (2395-99)

Impressed by Gawain's humble attitude, the Green Knight also shows his humility to Gawain by using the honorific title sire and the humble-polite pronoun ye even though he still mixes ye with his customary pronoun thou. The Green Knight's use of the honorific title sire to Gawain is



contrasted to that of personal name alone in Arthur's court, which shows his rude attitude.

Just as the polite-pronoun ye is employed neutrally, so is the honorific title sire. Regardless of the social status of the addressee one can use the title sire as a respectful term of address to those who are not superiors. In other words, the title sire is used to the addressee regardless of his or her social station, just like the use of neutral-polite ye when the speaker wants or needs to be polite to the addressee in these late Middle English poems. Such usage reveals the speaker's awareness of the conversational conventions which in turn shows his good breeding or education.

In the Canterbury Tales the Pilgrim Knight, who has the highest rank of all the Pilgrims, addresses the other Pilgrims as sire, who are all his social inferiors. For example, the Knight uses sire to the Pardoner and the Host along with ye and thou when he stills their quarrel at the end of the Pardoner's Tale. He soothes the tempers of the Pardoner and the Host by addressing them in overly polite terms with honorific title sire:

Namore of this, for it is right ynough!
Sire Pardoner, be glad and myrie of cheere;
And ye, sire Hoost, that been to me so deere,
I prey yow that ye kisse the Pardoner.

(VI, 962-5)

He addresses the Monk as *sire* when he interrupts his sad story:

...good sire, namore of this!
That ye han seyd is right ynough, ywis,

And muchel moore, for litel hevynesse
Is right ynough to muche folk, I gesse.
(VII,2767-70)

The Squire also uses *sire* to the Host when the Host calls upon the young Squire for a tale of love, alleging that he knew as much as any man on the subject:

Nay, sire, but I wol seye as I kan
With hertly wyl; for I wol nat rebelle
Agayn youre lust; a tale wol I telle.
(V, 4-6)

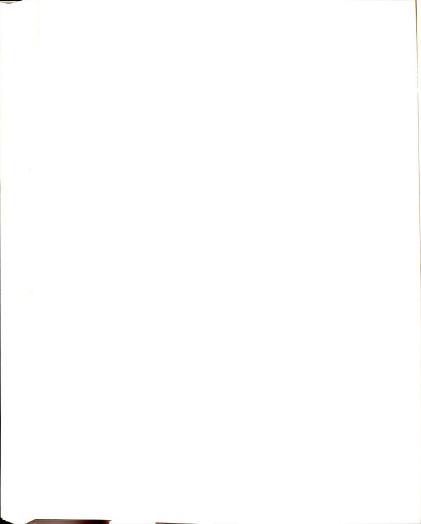
Sire is also used by the Canterbury Pilgrims to address the Host: the Wife of Bath (III,844), the Merchant (IV,1233), the Manciple(IX,104), the Franklin (V,703) and the Nun's Priest (VII,2816), all use this form of address.

In the Tale of Melibee, Melibeus, a powerful and rich young man, is addressed as sire by the young and the old, as well as by professionals such as surgeons and physicians when he calls them together to ask for advice.

The title *sire* is also used to the person whose profession gives him authority over the speaker. The Host calls the Parson *sire* in spite of his lower social status in that period:

Sir Parisshe Prest,...for Goddes bones, Telle us a tale, as was thi forward yore. (II,1166).

Though the Host uses the familiar pronoun thou to the parson because of his lower social status, 14 he addresses the parson as sir because of his profession, which has authority over laymen. Also the Host uses the honorific title sire to the Nun's Priest, though he calls the latter by his professional label alone without any honorific title before



(VII,3447) because of his lower status: "Sire, faire falle yow" (VII,3460). In the Friar's and the Summoner's Tale all the laymen address the summoner and the friar as sire because their professions give them authority over the laymen. For example, in the Friar's Tale, the old widow addresses the summoner as sire:

God save you, sire, what is youre sweete wille? (III,1585)

In the same way all the laymen in the Summoner's Tale address the friar as sire because of his profession. Thomas's wife calls the friar by sire:

Ye, God amende defautes, sire, Algates, welcome be ye, by my fey.

(III, 1810-11)

And a lord of the village also calls him sire:

Sire,... ye woost what is to doone (III,2194)

Therefore the title *sire* is used to the person whose job has the authority over the layman regardless of his social status which is seen in the usage of the second singular pronoun ye and thou.

The Gawain-poet uses the title sire neutrally to show politeness to the addressee regardless of their status. In Sir Gawain and the Green Knight, King Arthur addresses the Green Knight and Gawain as sire (275;477) though they are his social inferiors. The Green Knight and Gawain reciprocate sire to each other along with the alternation of non-honorific common words such as burne and wi3e which have more general meaning. 15 The Lady of Bercilak also addresses

Gawain as sire with ye and sometimes with thou. 16 Gawain and the Porter also reciprocate sire to one another despite their different social statuses. This neutral use of sire is seen in another poem Pearl, where the honorific title sire is also used by the maiden to her dreamer-father as a neutral polite form of address along with non-honorific common word burne without any different meaning.

Sir, 3e haf your tale myse tente To say your perle is al awaye, (257-58)

Now blysse, burne, mot be bytyde! (397)

Sir, fele here porchase3 & fonge3 pray Bot supplantore3 none wythinne bys place. (439-40)

Thus the neutral use of the honorific title sire is used independently from the use of the second person singular pronouns ye and thou which shows their social relationship.

In the same way that the polite ye is employed sarcastically, sire can be used sarcastically or ironically when the word does not fit the situation.

The sarcastic *sire* is used by the Pilgrim Friar to his professional enemy, the Summoner, when he is angry with him. The Friar calls his professional enemy, the Summoner, by the honorific title *sire* before his occupational label:

Ye, woltow so, sire Somonour? (III,840)

Since the Friar is angry with the Summoner, it is obvious that his use of sire is intended to anger the Summoner.

When the Friar comments on the Prologue of the Wife of Bath's Tale ("So have I joye or blis,/ This is a long preamble of a tale" III,830-31), the quarrelsome Summoner

seems to seize this opportunity to express in language of increasing violence what is obviously a long-standing grudge against friars:

...What spekestow of preambulacioun?
What! amble, or trotte, or pees, or go sit doun!
Thou lettest oure disport in this manere.

(III,837-39)

To this Summoner's attack the Friar responds scornfully with the use of honorific title *sire*, which does not befit the Summoner.

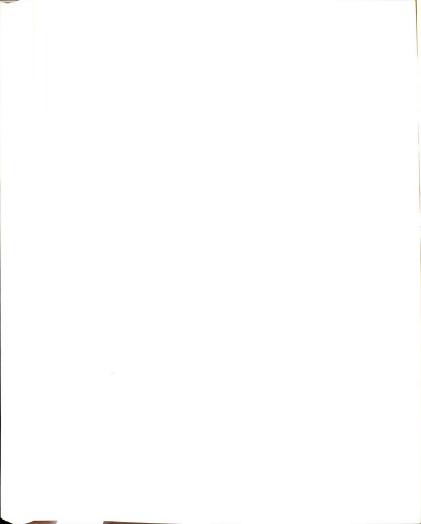
The Friar also uses the sarcastic *sire* to insult the Summoner in his Tale. In the Friar's Tale the devil addresses the summoner as *sire* when the latter asks a stupid question ("What maketh yow to han al this labour?"):

Ful may a came, leeve sire somonour, ...but alle thyng hath tyme.

(III,1474-75)

The devil always uses thou to the summoner because of the latter's inferior position and to show the social inferiority of summoners is precisely the Pilgrim Friar's intention, too. Furthermore, by using the honorific title to the summoner in his Tale, the Pilgrim Friar also intends to insult the Pilgrim Summoner. The summoner in his Tale who resembles the Pilgrim Summoner cannot recognize the devil's intention because of his stupidity. Here the Pilgrim Friar makes a fool of the Pilgrim Summoner and at the same time the summoner's occupation through the sarcastic use of the honorific title sire.

Another sarcastic use of the honorific title sire appears in the speech of the Manciple to the Cook. When the



Cook falls off his horse and cannot tell a tale, the Manciple addresses him scornfully by using the honorific title sire:

Wel, ...if it may doon ese
To thee, sire Cook, and to no wight displese,
Which that heere rideth in this compaignye,
And that oure Hoost wole of his curteisye,
I wol as now excuse thee of thy tale.

(IX, 25-29)

He uses *sire* to the Cook one other time after he mentions the Cook's bad smell ("Fy, stynkyng swyn, fy, foul moote thee falle!"):

Now sweete sire, wol ye been justen atte fan? Therto me thynketh ye been wel yshape! I trowe that ye dronken han wyn ape, And that is whan men pleyen with a straw.

(IX, 42-45)

This time the polite pronoun ye, the honorific title sire accompanies instead of thou. Thus the Manciple sneers at the Cook repeatedly by using an ironic, courtly epithet: the honorific title sire combined with the polite pronoun ye. Of course, the Cook, of all the pilgrims, least merits this address since he is not only the person of low morality but also of the lowest social status. This unexpected honorific title should be interpreted as an insult or show of contempt, since irony is as powerful a weapon as direct abuse. After the Host calls the drunken Cook by his occupational label to insult his occupation as well as the Cook himself, the Manciple flatters the Host by treating the Cook in the same way as the Host does through the sarcastic use of the honorific title sire. In most cases we would

expect this sarcastic sire might be spoken in a different tone from other uses of sire.

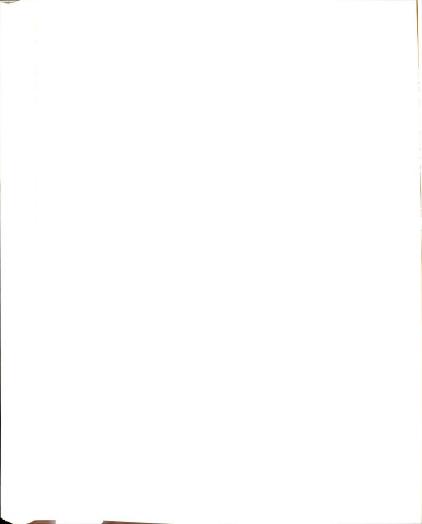
The Host uses the honorific title *sire* sarcastically to Pilgrim Chaucer and the Franklin. When the Host can no longer stand the tale of *Sir Thopas*, (a parody of the popular romances of the time,) he softens his interrupting with "Namore of this,... for thou makest me/So wery...(VII,901-11) but when the narrator Pilgrim Chaucer protests against being hindered, the Host uses the title *sire* to indicate his anger:

Sire, at o word, thou shalt no lenger ryme (VII,932)

The Host also uses *sire* to the Franklin whom he considers his equal, which usually causes the Host to address him by thou and his professional label, Franklin. But then he adds *sire*, which might be spoken in a different tone from the previous words. 17 When the Franklin tells about *gentillesse*, to which he aspires, the Host calls him by his professional label and then adds *sire* sarcastically:

What, Franklin, pardee sire, wel thou woost
That ech of yow moot tellen atte leste
A tale or two, or breken his biheste.
(V, 696-98)

Gentillesse has already been mentioned too often for the Host and he protests with his usual bluntness. Once the Franklin has got started on this, evidently his favorite subject, there is no knowing when he will come to a stop. And so he reminds him of the pilgrims' agreement to tell stories on their way by using the honorific title sire sarcastically.



Another situation of sarcastic use of *sire* takes place in the confrontation between the Pardoner and the Host.

After the Pardoner finishes his Tale and then describes the Host as "moost envoluped in synne" (VI, 942), he asks the Host to kiss his relics:

Com forth, sire Hoost, and offre first anon. (VI,943)

The Pardoner's use of *sire* to the Host can be interpreted as an attack on the Host, since the use of an unexpected strategy could be seen as an intention to insult which the addressee does not always recognize.

In the Wife of Bath's Prologue and Tale this sarcastic use of the honorific title sire appears several times. The Wife of Bath addresses her husband sarcastically with sire when she is blaming him for the lechery in which he has not indulged. She continues using her usual thou to him adding sire:

Sire olde Kaynard, is this thyn array? (III, 235)
Sire olde lecchour, lat thy japes be.(III, 242)
Sire olde fool (III, 357)

The use of the honorific title *sire* can be interpreted as sarcasm because it appears with the familiar pronoun *thou* instead of the polite pronoun *ye* by which a wife would be expected to address her husband.

Also, in her Tale, when in full court, the old woman reminds the knight of his promise to marry her, she addresses him as sire:

Bifore the court thanne preye I thee, sir knyght, Quod she, 'that thou me take unto thy wyf' (1054-5)

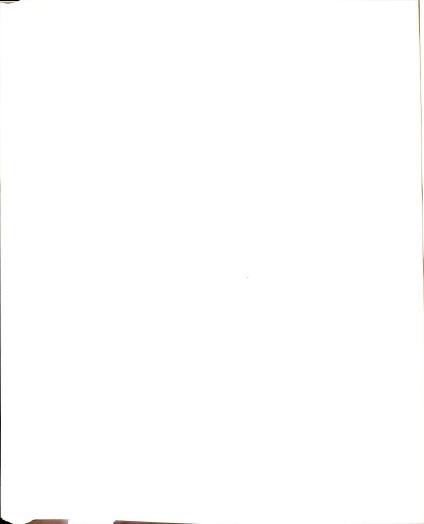
Her use of the sarcastic *sire* with *thou* in her *Prologue* and her *Tale* is in sharp contrast to that of the honorific *sire* with *ye*. When later in the story the old woman has become the knight's wife, she addresses the knight as *sire* and *ye*, which is the norm of address to a husband.

In the Franklin's Tale, Dorigen uses sire to her husband, Arveragus as befits a humble wife:

Sire, I wol be youre humble trewe wyf. (V,758)

As the above examples show *sire* is usually used as a polite term of address with or without a professional or rank label, even though it can be used sarcastically. However, the connotation of *sire* can be derogative when used with personal names. ¹⁸ The Host sarcastically addresses the Nun's Priest by using *sire* before his occupational label combined with *thou* when the Nun's Priest finishes his tale about the cock, Chantecleer. After the Knight has interrupted the Monk's dreary accounts of the fall of great men, the Host turns from the Monk to the Nun's Priest who has not hitherto figured in the foreground, for a merry tale by calling him *sire* before his personal name: "thou sir John" (VII-2820).

As Lumiansky points out, this can be considered as "a contemptuous appellation for a priest" (108). Since he is annoyed at the Monk's story, the Host speaks quite rudely to another churchman, the Nun's Priest, by using sir with his



personal name because of his lower social position:

Sire Nonnes Preest,
Iblessed by thy breche, and every stoon!
This was murie tale of Chauntecleer.
But by my trouthe, it thou were seculer,
Thou woldest ben a trede-foul aright.

(VII, 3447-3451)

In the *Physician's Tale* a judge, who is depicted as a 'false juge' is addressed as 'sire Apius' (VI, 178).

Though *sire* can be interpreted as an honorific title which sometimes carries the opposite meaning depending on the context, it is the most widely used as a polite vocative regardless of the person's social rank.

Another honorific title, lorde, is employed as a respectful form of address to men of high rank. Lorde in the plural may be used to mean "warriors" or "retainers" but in the singular it almost always refers to a person of superior rank in a group of warriors (Marie Borroff, p.54). It is also employed as the usual polite or respectful form of address to a nobleman under the rank of duke, and to a bishop by persons much inferior in position. Lorde was used to refer to the supreme being, God, the usage of which survives in Modern English.

In all these late Middle English poems, lorde, a title of ostensibly higher status than sire, is more restricted in direct address. It is employed to address the King or the governors as well as referring to God. Chaucer and the Gawain-poet use this honorific title lorde to the man who is the leader in the community. In the Knight's Tale, Duke Theseus is addressed as lorde by the Theban ladies(I,

922,927, 930, 1757, 2419, 2563) and in the Clerk's Tale Duke Walter is addressed as lorde by the common people, including Griselda (before marriage) and her father. In Troilus and Criseyde Pandarus sometimes uses lorde to Troilus though he uses the pronoun thou together because of their closeness:

Lord, and frend, and brother dere,
God woot that thi disese doth me wo.
But wiltow stynten al this woful cheere,
And, by my trouthe, er it be dayes two,

(II,1359-62)

In Sir Gawain and the Green Knight King Arthur is addressed as lorde by Gawain: "worpilych lorde" (343); "lege lorde of my lyf" (545) while Gawain is addressed as sire by the King Arthur: "Now sir, heng vp byn ax, pat hatz innogh hewn" (476).

However, in the *Canterbury Tales* the Host addresses the Monk with *lorde*, a title which is usually given to only abbots and bishops among clergy: "My lord, the Monk," (VII,1924). This use of *lord* indicates that the Monk is no common soldier in the ranks but that he has already risen high, almost to the top. 19 In the *General Prologue* the Monk is described as the head of an independent cell, though not yet an abbot: A manly man, to been an abbot able (I,167).

Besides admiring his position, the Host, at the same time, satirizes him for accepting the security of the monastic life without accepting all its duties, by addressing him by the combined use of the supremely honorific title *lord* and the professional label *the Monk*. In the *General Prologue* the Monk's personality is described:

He yaf nat of that taxt a pulled hen
That seith that hunters been nat hooly men,
Ne that a monk, whan he is recchelees,
Is likned til a fissh that is waterlees—
This is to seyn, a monk out of his cloystre.
(I,177-181)

His disliking the cloister, his liking hunting, falconry, and good food do not fit the characteristics which are expected of a Monk.

Since the word lord has the opposite meaning of 'servant,' it is used to express the vertical relationship between speaker and addressee. In ordinary marital relationships, the husband is often addressed with lord by his wife, which reflects the dominant position of the husband and subordinate position of the wife, just like the relationship of 'lord' and 'servant.' In the Clerk's Tale, Griselda, the obedient wife, always addresses her husband as lorde combined with the honorific-polite ye without exception:

Lord, al lyth in your plesaunce.

My child and I, with hertely obeisaunce,

Been youres al, and ye mowe save or spille

Youre owene thyng; werketh after youre wille.

(IV,501-4)

Griselda's usage of the honorific title *lorde* and honorific-polite *ye* contrasts with her husband's use of her personal name to her as I explained earlier.

In contrast to the asymmetrical use of the honorific title lorde in the Clerk's Tale, Prudence and Melibeus reciprocate honorific titles to each other in the Tale of Melibee. Prudence alternates lord and sire in similar situations; because the contexts are alike, it is evident

that she uses the two titles without any difference of meaning:

My lord, I yow biseche as heretely as I dar and kan, ne haste yow nat to faste, and for alle gerdons as yeveth me audience. (VII, 1051)

and:

My lord, as to your firste resoun, certes it may lightly been answered. (VII, 1064)

and:

Now sire,and syn ye vouchesauf to been governed by my conseil, I wol enforme yow how ye shul governe yourself in chesynge of youre conseillours. (VII,1114)

Her husband, Melibeus, in turn, addressees her as dame:

Dame, as yet into this tyme ye han wel and convenably taught me as in general how I shal governe me in the chesynge and in the withholdynge of my conseillours.

(VII, 1232)

The Clerk's Tale and the Tale of Melibee show the different relationships in marriage through the use of symmetrical or asymmetrical use of vocatives. In the Clerk's Tale Chaucer is portraying an unequal relationship; hence, the use of vocatives is asymmetrical. In the Tale of Melibee the relationship is equal, so the use of vocatives is symmetrical.

Another honorific title, mayster, is used in alliterative poetry to refer to men; as a rule to men who are not warriors. It was originally used vocatively as a term of respect or politeness to a person who has authority or competence not only in learning (OED s.v. mayster sb.1 sense 12) but in such realms as government (sense 1), seamanship (sense 2, carpentry), and so on.

Therefore the title mayster is usually used to emphasize the learnedness of the addressee for some reason. In Chaucer the title mayster is seen in the speech to a learned man regardless of his social status. In deference to his learning, the Host calls the Friar mayster. At the beginning of his Tale the Host says:

Tel forth youre tale, my leeve maister deere. (III,1300)

And again when the Friar quarrels with the Summoner, the Host addresses the Friar as mayster:

Now telleth forth, though that the Somonur gale; Ne spareth nat, myn owene maister deere. (III,1336-37)

This fits his character as described in the *General*Prologue. Just as the Host satirizes the Pilgrim Friar's showing off his being intellectual or learned, the Pilgrim Summoner wants to reveal the fact that in his tale the Pilgrim Friar is so hypocritical that he pretends to be learned. In the Summoner's Tale, the friar is addressed as maister by layman Thomas and his wife:

O deere maister, (III, 1781)

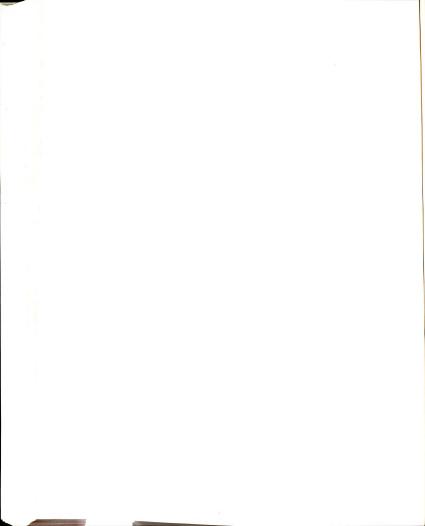
Ey, maister, welcome be ye, by Seint John! (III,1800)

Now, maister, er that I go, What wol ye dyne? I wol go theraboute. (III, 1836-37)

When the lord of the manor also addresses the friar in the Summoner's Tale as maister:

Now, maister, I yow biseke(III,2184)

The friar answers:



No maister, sire, but servitour,
Thogh I have had in scole that honour.
God liketh nat that 'Raby' men us calle
Neither in market ne in youre large halle.
(III,2184-88)

Here the friar replies humbly by rejecting the title maister but shows off his learnedness by indicating that he got the title maister from school. Considering that the controversy between the Friar and Summoner centers on satirizing intellectual achievement, the Summoner's intention to show his professional rival's, the Friar's, hypocrisy is clearly seen. The Summoner tells of a hypocritical friar who resembles Pilgrim Friar Hubert, whose special pride is intellectual superiority.

In the *Miller's Tale*, Nicholas, who is learned in astrology and can predict rain or drought, is called *maister* by carpenter:

What! how! What do ye maister Nicholay? How may ye slepen al the longe day?

(I,3437-8)

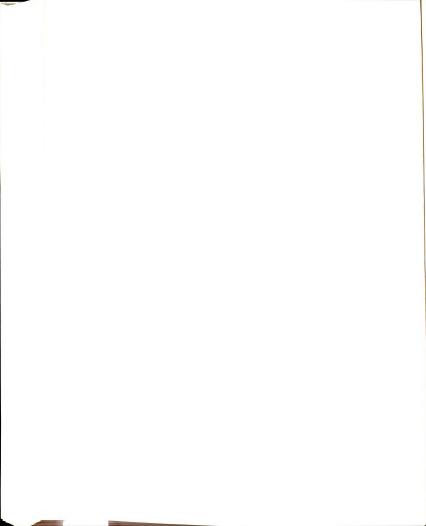
In the same way in the Franklin's Tale, Aurelius, a squire, addresses the philosopher and magician who helped him to get out of trouble as maister with yow:

Maister, I dar wel make avaunt,
I failled nevere of my trouthe as yit.
(V,1576-77)

while the latter addresses Aurelius as sire with thou:

Sire, I releesse thee thy thousand pound As thou right now were cropen out of the ground Ne nevere er now haddest knowen me. For, sire, I wol nat taken a peny of thee For al my craft, ne noght for my travaille.

(V,1613-17)



Though their asymmetrical relationship is seen in the use of the second singular pronoun ye and thou, that is, the philosopher is higher than the squire, the honorific titles show different aspects of the speaker or addressee. The philosopher's use of sire to his social inferior, the squire, is used as a neutral vocative to show the speaker's polite attitude towards the addressee. The squire's use of the title maister indicates that the speaker has respect for the learnedness of the addressee, the philosopher. However, the honorific title maister is sometimes used less politely than lorde or sire in Chaucer. At first the Host addresses the Friar as sire, as befits his social status:

A, sire, ye shode be hende
And curteys, as a man of youre estaat(III,1286-87)

But after the Pilgrim Summoner refers to the Friar as a

flattering licenced beggar ('flaterynge lymytour') the Host

calls the Friar maister: "Tel forth youre tale, leeve

maister deere"(III,1300). Finally after the quarrel between

the Summoner and the Friar, the Host also uses the title

maister to the latter instead of sire: "Ne spareth nat, myn

owene maister deere"(III,1337). When the Summoner

interrupts the tale shortly after it has begun, the Host

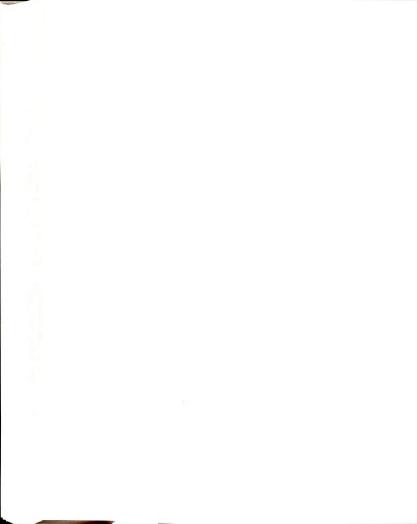
silences him and urges the Friar to proceed. Encouraging

the Friar to tell his tale, the Host also denigrates the

Friar's position by using the less elevated honorific title

maister.

Just as the Host uses the title maister to the Pilgrim friar, so does he to the Physician. Impressed by the

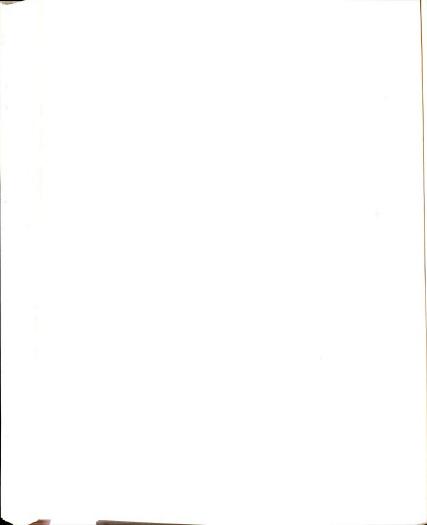


Physician's knowledge about medicine as revealed in his Tale, the Host calls the Physician as maister with thou which reveals his lower social status in that period:

But trewly, myn owene maister, (VI,301)

The Host is recognizing the Physician's lower social position by using thou, but recognizing his superior knowledge by using the title maister. However, at the same time the Host's use of the title maister to the Physician can be interpreted as a sarcasm since his knowledge has nothing to do with any morality and the Host is already aware of the hypocritical practices of the Physician: "He kepte his pacient a ful greet deel "(I,415). By using the same title to the Friar and the Physician, the Host shows that the Pilgrim Physician shares hypocritical practices with the Pilgrim Friar, the "worthy lymytour," besides being learned.

As Stowell notes about the title maister, "there has been a tendency on the part of the upper classes of society to look contemptuously on the learned man, associating pejorative notions of pedantry with the idea of the scholar" (183). This tendency was especially strong among the Medieval nobility, both because of the low ebb of scholarship, and because the nobility, being uneducated fighting machines, based their conceptions as to a man's worth upon his physical powers and upon his superiority in warlike pursuits (Stowell, p.119).

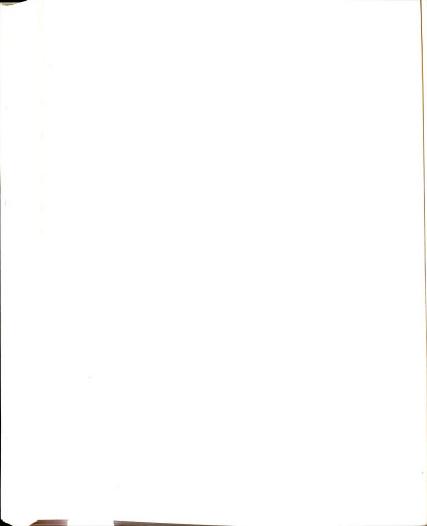


The Host also addresses the Shipman as mayster because the latter clearly commands an important body of knowledge that the other Pilgrims do not, even though he is of lower social status. This use of the title maister to the Shipman contains a touch of sarcasm too since the Host knows his lower personality, which is described in the General Prologue: "Of nyce conscience took he no keepe" (I,398).

As all the examples above show, Chaucer uses the title mayster for dramatic effect for showing the personality of the characters, which is respectful and at the same time sarcastic. Because in both the Physician's and Shipman's cases, maister recognizes the mastery of a body of useful knowledge which should command of a certain amount of respect but the uses of maister implies sarcasm because their knowledge has nothing to do with morality. However, the Gawain-poet does not use mayster in direct address because of limited characters in his works.

Thus the honorific titles to men, sire, lorde and maister are employed in polite speech when they show respect, humility or sarcasm towards the addressee in Chaucer. However, the Gawain-poet uses non-honorific common words which have more general meaning to refer to men such as burne and wi3e 20 interchangeably with the honorific titles as neutral vocatives for alliteration.

As far as the word burne is concerned, it is seen in both polite and impolite situations. The Gawain-poet uses this common word burne in the same polite situation as the



honorific title is used as well as in less polite speech. For example, in Sir Gawain and the Green Knight Gawain and the Green Knight use burne to each other in offensive situations. When the Green Knight insults Gawain by saying "pou fles for ferde er pou fele harmez!/ Such cowardise of pat kny3t I neure here" (2272-73), Gawain uses this word burne:

Bot busk, burne, bi bi fayth, and bryng me to be poynt. Dele to me my destine, and do hit out of honde, For I shal stonde be a strok, and start no more Til byn ax haue me hitte: Haf here my trawbe.

(2284-87))

The use of common noun burne indicates that Gawain has no respect for the Green Knight and the familiar pronoun thou enforces Gawain's angry attitude toward the Green Knight.

And when Gawain complains about the Green Knight's violence and asks him to stop, he calls the Green Knight by burne:

Blynne, burne, of by bur, bede me no mo! (2322)

And also in his reply the Green Knight uses the same word to Gawain:

Bolde burne, on bys bent be not so gryndel.

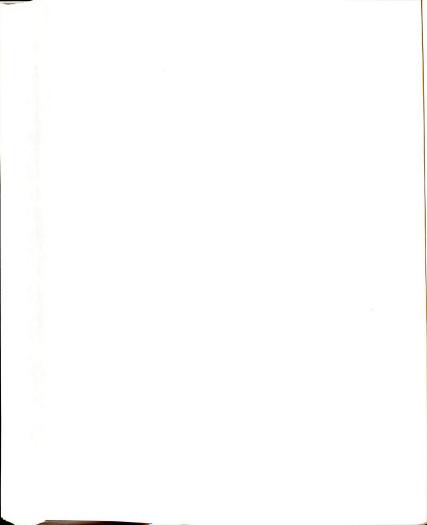
No mon here vnmanerly be mysboden habbez,

Ne kyd bot as couenaunde at kyngez kort schaped.

(2338-40)

Thus each of them deprecates the other by using a non-honorific word, burne, instead of honorific titles. 21

However, the non-honorific common word has the connotation of politeness when used with the polite pronoun ye. The common word burne is used in the Bercilak's court which is sharply contrasted to the challenging scene in the Green



Chapel. In his court Bercilak is polite as a knight, though he uses the common noun *burne* as a vocative to Gawain with the alternation of the polite pronoun ye and familiar thou:

Bot 3e schal be in youre bed, burne, at pyn ese, (1071)

In the same court, Gawain always uses the honorific title sire to Bercilak (1037;1056;1091).

Wy3e which merely means "person" or "being" is also generally used by the Gawain-poet as a neutral vocative which does not belong to the category of honorific titles. The Green Knight uses this word to Gawain along with his personal name in a threatening situation:

Gawain,....God pe mot loke!

Iwysse pou art welcom, wy3e, to my place.

(2240-41)

The *Gawain*-poet, however, often uses this word as a polite term of address to anyone, regardless of their status or the situation. Therefore King Arthur addresses the Green Knight by *wi3e*, along with *sire*, without any difference in meaning:

...Wy3e, welcom iwys to bis place pe hede of bis ostel Arthour I hat. (252-53) And also:

> ...Sir cortays kny3t, If bou craue batayle bare, Here faylez bou not to fy3t.

(276 - 78)

The lady sometimes addresses Gawain as wy3e:

I woled wyt at yow, wy3e, pat worpy per sayde, (1508)

The servant of Bercilak and Gawain use this word wi3e to each other in the same context as the use of the title sire.

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When he accompanies Gawain to the Green Chapel, acting his quide he uses a common noun wy3e as a vocative to Gawain:

For I haf wonnen yow hider, wy3e, at bis tyme, (2091)

And Gawain also uses this common noun to him:

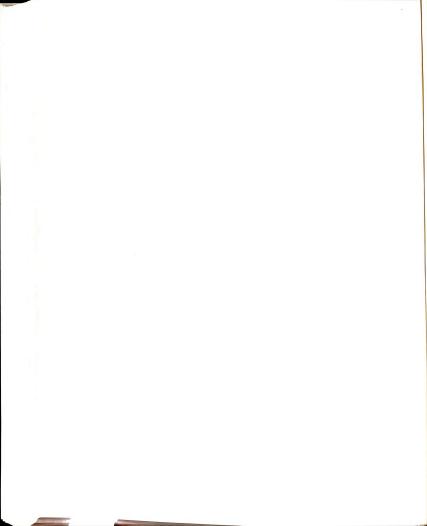
Wel worth pe, wy3e, pat woldez my gode, And pat lelly me layne I leue wel pou woldez.

Non-honorific common nouns are often equivalent terms to the honorific titles for the <code>Gawain-poet</code> but not for Chaucer. In Chaucer general common nouns are considered less polite than specific titles. For example, in the <code>Pardoner's Tale</code> the two audiences, the pilgrims and the villagers, are kept apart for the reader by appropriate terms of address.

<code>Lordynges</code> and <code>sires</code> are used by the Pardoner when he addresses the Pilgrims, ²²while he addresses the villagers by "goode men" (VI, 352) and "goode men and wommen" (VI, 377) since he considers them the "lewd peple" (VI, 392; 437). In contrast to the use of the non-honorific common nouns which has no connotation of respect, the honorific titles are used to show politeness towards the addressee.

Dame, Madame, and Lady:

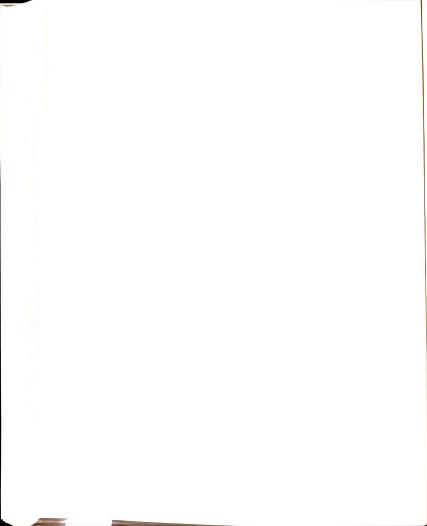
The honorific titles for women such as dame, madame and lady developed independently from French as polite terms of address in Middle English though sometimes French usages directly influenced the usage of dame and madame. In contrast to the use of common nouns as a vocative such as lemman or wyf, or personal names, which are used in intimate



relationships or to social inferiors, the honorific titles are used to express politeness.

In Middle English the most widely used honorific titles to woman are dame, madame and lady. Each title was used as a polite address to a woman, when used separately. However, depending on the context, each title showed different degrees of politeness. Dame, the most common honorific title referring to woman, is often employed by or to the lower class except for the same usage of an identical French word. Madame is seen in the speeches of higher class or in the address to a woman of higher rank. Lady is used as the highest honorific title to a woman, as shown in the examples of the speech of courtly lovers presented earlier.

The word dame in Middle English was used to describe or address a woman of rank, the head of a convent, the mistress of household, or the mother of young children. It was borrowed from the French word dame which was originally employed as a title for women of the nobility only (until the first half of the 13th century) and was never used as a title for the bourgeoisie or for the lower classes of society. Dame, meaning 'a noble lady' as a title for married women of the nobility was occasionally used as a title for a noble girl, to whom, as a mark of great respect and courtesy, was given a title that respectfully belonged to an older woman only (Stowell, p.123). However, the title dame is used to contrast with the title madame. The two titles are normally equivalent since they are both used in



the same speech situation. However, dame is given to a lower-ranking woman while a higher class woman is addressed as madame. On all occasions the Wife of Bath is addressed as dame by the Host, the Friar and the Pardoner. The Host addresses the Wife of Bath as dame when he calls upon her to tell a tale:

Do, dame, tell forth youre tale, and that is best. (III-853)

The Host's use of dame to the Wife of Bath is in contrast with his use of lady and madame (I,121) to the Prioress (I,839; VII,447). The Friar, showing his interest by a laughing comment (III, 830) also addresses the Wife of Bath with dame when she declares that her prologue is finished and her story about to begin:

Now dame,so have I joye or blis,
This is a long preamble of a tale.(III,830-31)

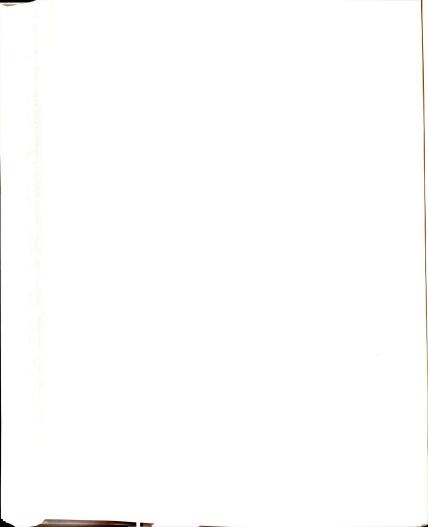
He addresses her with dame in other places as well

(III,1270;1274). The Pardoner also addresses the Wife of
Bath as dame:

Dame, I wolde praye yow, if youre wyl it were (III,164; 184)

The Wife of Bath herself also uses dame in her Prologue and Tale. When the Wife of Bath expresses what she would have, one of her husbands calls her dame.

In the same way the friar in the Summoner's Tale differentiates between the women of the lower and higher class by calling the one by dame (III,1805; 1812), and the other by madame. The friar in the Tale addresses Thomas's



wife by dame when he orders his dinner in his affected mixture of French and English:

Now dame, now je vous dy sanz doute, Have I nat of a capon but lyvere, And of youre softe breed nat but a shyvere. (III,1834-40)

whereas he uses madame to the other woman, the hostess of the mansion:

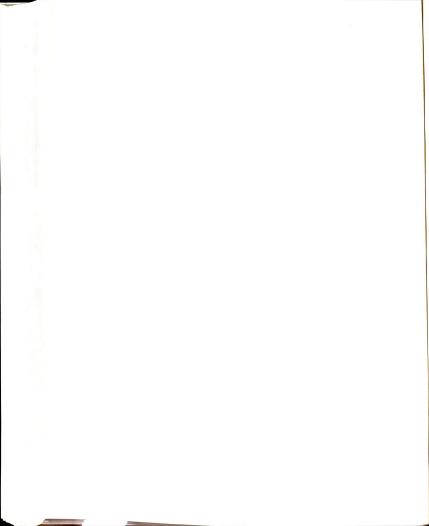
Madame,...how thynke ye herby? (III, 2204)

Madame,...by God, I shal nat lye,
But I on oother wyse may be wreke,
I shal disclaundre hym over al ther I speke,
(III,2212)

Besides revealing the addressee as a not so high ranking woman, the use of dame also shows the speaker's personality or social position. The speaker reveals himself as a member of the lower class through the use of dame rather than madame. In the Canterbury Tales dame appears in the speech of the lower orders whereas madame is used in the speech of the higher class. The Miller, the Shipman, the Pardoner and the Summoner, who all belong to the lower class, use dame in their tales as a polite address to any woman instead of madame (I,1751; 1805; 1812; 1838; 1848).

As seen in the above examples, dame is usually used to address a not-so-high-ranking woman, or it appears in the speech of the lower class in Chaucer's the Canterbury Tales.

However, sometimes dame is used in the same context as madame, following the French usages, in which the words are identical. In Sir Gawain and the Green Knight, Arthur addresses his wife Guinevere as 'dere dame' (470). In the



Tale of Melibee Melibeus uses dame before her personal name when he addresses his wife Prudence with ye:

I graunte yow, dame Prudence, that pacience is a greet vertu of perfeccioun. (VII,1518)

His usage of ye in conjuction with the title dame shows his

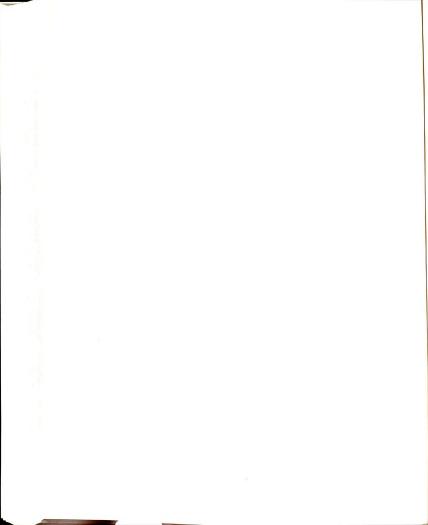
intention to be respectful. The Man of Law uses dame only with personal names such as 'dame Custance' and 'dame Hermengyld', as does the Nun's Priest in 'dame Pertelote'.

Despite the use of dame in polite situations, because of its increasing application to any woman, the honorific title dame lost its original conception of honor. Instead, ma dame fused into one word madame in French, 23 and was then adopted in English.

Compared with the title dame, therefore, madame is, because of its French source, usually used to indicate a high-ranking woman to distinguish some particular woman from the wives of other feudal seigneurs who were also entitled to the designation dame. The Prioress is addressed with madame which is in contrast with the use of dame to the Wife of Bath on all occasions.

In Chaucer dame is not found in the courtly context of the Knight's or Squire's Tales, nor in *Troilus and Cryseyde*. In these poems madame is used, albeit sparingly, alongside the much more common lady.

In the Squire's Tale and Troilus and Criseyde
honorific titles to woman are madame and sometimes lady.
Likewise in the Franklin's Tale Aurelius always addresses
Dorigen as madame:



Madame,...by God that this world made, So that I wiste it myghte youre herte glade, I wolde that day that youre Arveragus, Hadde went ther nevere I sholde have come agayn

In the Man of Law's Tale the messenger addresses the King's mother as madame though dame is used in the form of 'dame Custance' and 'dame Hermengyld':

Madame, ye may be glad and blithe (II,732)

In the Clerk's Tale, the Seargent, concerned at Griselda's distress apologizes to her with the use of madame:

Madame,.... ye moote foryeve it to me
Though I do thyng to which I am constreyned.

(IV,526-27)

The Gawain-poet also uses madame. In Sir Gawain and the Green Knight, Gawain always calls the lady of Bercilak by madame.

As all the above examples show, madame, the respectful title to a woman, has been used to refer to women of high social status. However, as its usage increased, it also came to be used to women in the middle classes who were social climbers like the guildsmen themselves. In the General Prologue to the Canterbury Tales there are some suggestions for calling the wives of guildsmen such as haberdasher, carpenter, weaver, dyer and upholster madame. After mentioning their rising social status:

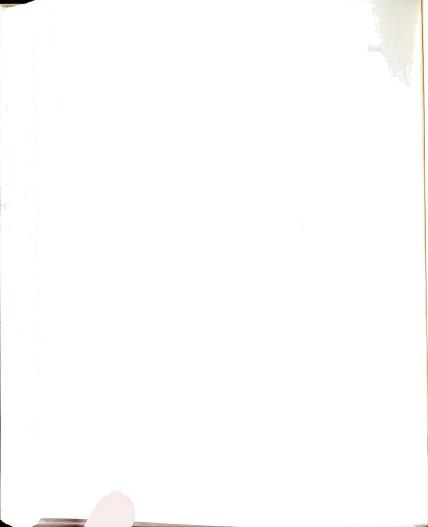
Wel semed ech of hem a fair burgeys
To sitten in a yeldehalle on a deys.
Everich, for the wisdom that he kan,
Was shaply for to been an alderman.
For catel hadde they ynogh and rente,
And eek hir wyves wolde it wel assente;
And elles certeyn were they to blame. (I, 369-375)

and then:

It is ful fair to bee ycleped "madame," (I,376)The increasing use of the honorific title madame to address women of the middle class shows that society was changing, and was lifting this new group to a position of affluence and prestige with the expansion of trade.²⁴

In marriage, just as the husband is addressed as sire, so the wife is addressed as madame by her husband as a polite term of address. In the Nun's Priest's Tale, Chauntecleer addresses his wife as madame(VII, 2970,3165) by itself and also with her personal name (VII, 3158,3200). Thus the honorific title madame is used in symmetrical situations as a counterpart title of sire to husband. However, unlike dame, which become a colorless designation for any woman, madame or ma dame still retained the conception of respect and honor that dame had possessed before.

Lady is also used as a polite address form in this poetry. The normal courtly title for a woman was lady though all the poets in that period used dame or madame as a polite vocative in direct address. Lady is the female counterpart of lord as a supreme honorific title. When it is used as a vocative in direct address, its usage is restricted. Lady was originally used to a woman of superior position in society, or to a woman whom such a position is attributed conventionally or by courtesy (OED), such as the



Virgin Mary (as in *Pearl*) or the Queen, just as *lord* is used to God or the King.

In the Wife of Bath's Tale, the Queen is addressed as lady by the knight and the old woman. The knight addresses the Queen, on whom his life depends, as lady:

My liege lady, generally, (III-1037)

And the old woman who teaches him the right answer also addresses the Queen as *lady*: "my sovereyn lady queene!"(III-1048).

The Pilgrim Prioress is addressed by the Host as lady. In the General Prologue the Host asks her to draw cut by using the honorific title lady:

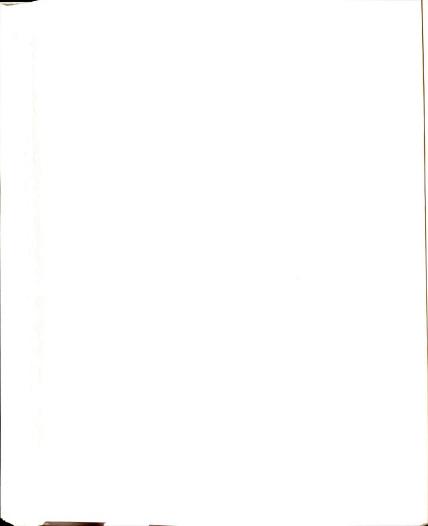
Cometh neer, my lady Prioress, (I-839)

And when he calls upon her for a tale he also uses the word lady:

My lady Prioresse, by youre leve,
So that I wiste I sholde yow nat greve,
I wolde demen that ye tellen sholde
A tale next, if so were that ye wolde.
Now wol ye vouchesauf, my lady deere?

(VII, 447-451)

Among the Pilgrims, the Monk is the only man who is addressed with lord alone and not in combination with sire or maister like the Knight. This indicates that the Prioress is the female counterpart of the Monk in terms of social rank. They have something worldly in common as described in the General Prologue. The worldliness of the Prioress is presented through the use of the title lady with a mild and amused irony, just as the Monk, able and luxurious, is admired and mocked at once in the title of



lord. The Host shows a clumsy deference to the Prioress by addressing her as lady, just as he shows deference to the Monk with the supreme honorific title lord.

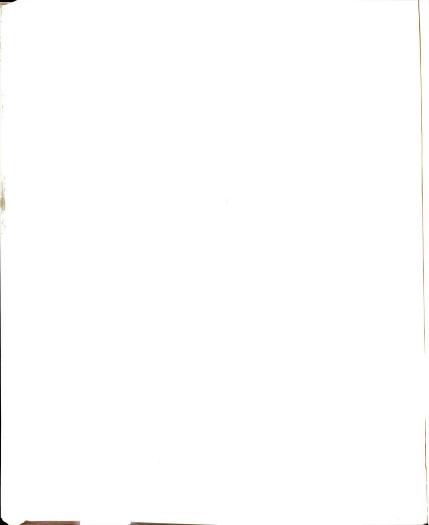
Since the honorific title *lady* is the usual term for a woman in high positions, it is only natural to call the object of courtly love *lady*, because in courtly love the woman is supposed to be in a higher position than the man, the lover. As *lord* is used to a husband in marriage, so *lady* is used to a woman in courtly love. 25

In Troilus and Criseyde, Criseyde is usually addressed as lady by Troilus. In the same way in the Knight's Tale the archetype courtly lover Arcite uses lady to his lover, Emily. When he has been given up by his physicians and when he is just on the point of death, he says to Emily:

Naught may the woful spirit in myn hert Declare o point of alle my sorwes smerte To yow, my lady, that i love moost, But I biquethe the servyce of my goost To yow aboven every creature, Syn that my lyf may no lenger dure. (I, 2765-70)

In contrast to the use of *lady* in courtly love, when the title *lady* is used to a lower class which makes the situation is to be interpreted as comic. In the *Miller's* Tale the carpenter's wife, Alison, is described in a way that parodies the formal description of the beautiful court lady through the use of the honorific title *lady*:

Now, deere lady, if thy wille be
I praye yow that ye wole rewe on me,
(I,3361-62)



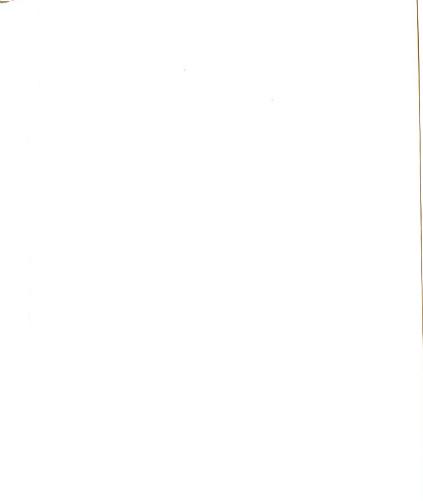
This use of *lady* displays some irony because the speaker uses a title for courtly love in conjunction with *thou* which is not used in courtly love.

In the Shipman's Tale, the monk calls the merchant's wife lady: "Now trewly, myn owene lady deere" (VII-196)

By the use of the honorific title lady, the monk is flattering the merchant's wife.

Even in the marriage relationship, lady is used to refer to the wife. In the Wife of Bath's Tale, the Knight addresses his wife as lady after her sermon about gentillesse:

My lady and my love, and wyf so deere, I put me in youre wise governance. (III, 1230-31) The use of lady in marriage reflects that their relationship is that of courtly love showing humility of the husband, like a courtly lover by a combined use of the polite pronoun ye. However, in the Franklin's Tale, though Arveragus promises to behave himself as a courtly lover, he never uses the honorific title. Arveragus always uses the more general common word wyf to address his wife while she addresses him with the honorific title sire. Though the Franklin emphasizes in his Tale courtly love in marriage, Arveragus doesn't use the title lady to Dorigen. And the teller Franklin himself uses the word wyf instead of the title lady. As Lumiansky points out, the Franklin betrays his contradictory opinion about marriage by speaking of the couple Arveragus and Dorigen as "Arveragus and Dorigen his wyf," not "Arveragus and Dorigen his lady (193)."



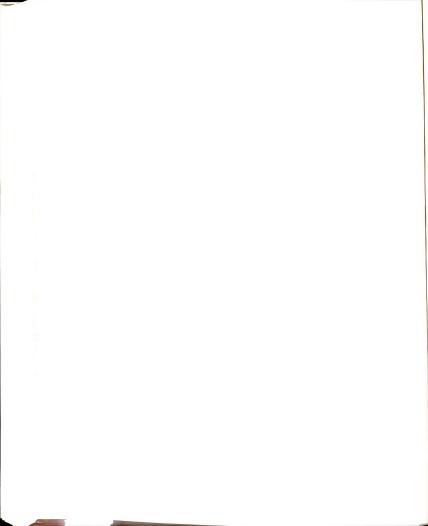
The honorific title *lady* can also be used sarcastically in an inappropriate situation, as can the other honorific titles. In *the Merchant's Tale*, when January sees his wife sitting in the tree with her lover, he says: "O stronge lady, stoore, what dostow?" (IV,2367). January also uses *lady* to his wife "in the elevated poetic language of the Song of Solomon," with thou, instead of with the polite pronoun ye:

Rys up, my wyf, my love, my lady free!
The turtles voys is herd, my dowve sweete;
The wynter is goon with alle his reynes weete.
Com forth now, with thyne eyen eyen columbyn.
(IV,2138-41)

Considering January's usual vocative to his wife is the common noun wyf, his use of lady and sometimes dame shows his hypocrisy and pretentiousness.

The use of the title *lady* in courtly love is in contrast with the use of *lemman* in the lower class. The difference between courtly lovers and lovers of the lower class is that courtly lovers use the honorific titles *lady* or *madame* to their ladies whereas lovers of the lower class use general nouns of address such as *lemman* instead of honorific titles. In the *Miller's Tale* the two lovers, Nicholas and Absalon, address Alison²⁷ as *lemman*. The lovesick Absalon addresses his beloved Alison as *lemman* with ye, a combination intended to parody courtly language:

What do ye, honeycomb, sweete Alisoun, My faire bryd, my sweete cynamome? Awaketh, lemman myn, and speketh to me! Wel litel thynken ye upon my wo, That for youre love I swete ther I go. No wonder is thogh that I swelte and swete;



I moorne as dooth a lamb after the tete. Ywis, lemman, I have swich love-longynge That lik a turtel trewe is my moornynge. I may nat ete na moore than a mayde.

(I,3698-707)

The other lover, Nicholas, also addresses Alison as lemman:

Ywis, but if ich have my wille, For deerne love of thee, lemman, I spille. (I,3277-78)

And:

Lemman, love me al atones, Or I wol dyen, also God me save!

(I, 3280-1)

In the Reeve's Tale lovers use lemman which literally means "one beloved," instead of lady or madame. Aleyn addresses Malyne as lemman and wight:

Fareweel, Malyne, sweete wight. (I, 4236)

Now, deere lemman, go fareweel. (I, 4240)

And, goode lemman, God thee save and kepe. (I, 4247)

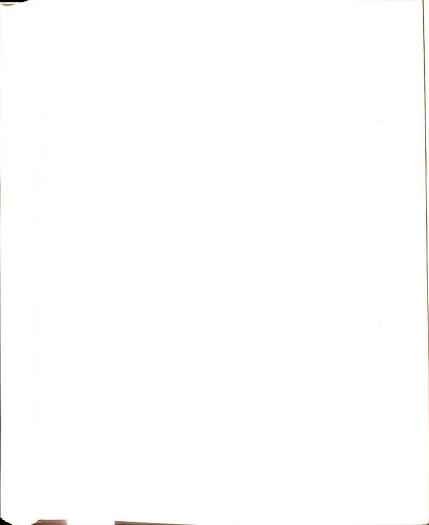
In the Manciple's Tale, Chaucer makes the following comments on how the status of the word lemman contrasts with the word lady:

And so bifel, whan Phebus was absent, His wyf anon hath for hir lemman sent. Hir lemman? Certes, this is a knavyssh speche! Foryeveth it me, and that I yow biseche. The wise Plato seith, as ye may rede, The word moot nede accorde with the dede. If men shal telle properly a thyng, The word moost cosyn be to the werkyng. I am a boystous man, right thus seye I, Ther nys no difference, trewely, Bitwixe a wyf that is of heigh degree, If of hir body dishonest she bee, And a poure wenche, oother than this--If it so be they werke bothe amys--But that the gentile in hire estaat above, She shal be cleped his lady, as in love; And for that oother is a poure womman, She shal be cleped his wenche or his lemman. (IX, 203 - 220) Chaucer informs us here that lemman is a 'low' word in medieval times.²⁸

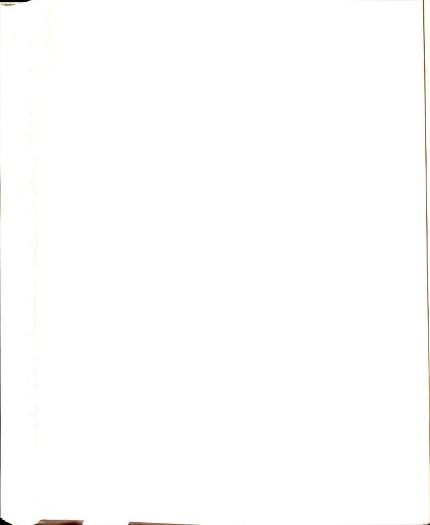
The use of vocatives in Chaucer and the Gawain-poet shows high artistic skills in describing hierarchical society and at the same time changing social mobility. Chaucer emphasizes changing society whereas the Gawain-poet focuses on hierarchical society through vocatives. The use of kinship terms or personal names can be interpreted as signs of familiarity between speaker and addressee, as in the examples from the Knight's Tale in the Canterbury Tales, and in Troilus and Criseyde no matter what social rank they have. However, if their relationship is not close or intimate, the use of personal names can be interpreted as a contempt or an insult as shown in the conversation among the Pilgrims in the Canterbury Tales and in the speech of the Green Knight to Gawain in Sir Gawain and the Green Knight.

Calling the addressee by the occupational or rank label without any title can be used neutrally unless the speaker has intention of insulting the addressee.

On the other hand the use of honorific titles such as sire, maister, lorde, dame, madame and lady are used as polite, honorific, humble, neutral and sarcastic depending on the context. If separately used, each honorific title can be interpreted as the same polite address as the other. However, if used comparatively with the other honorific title, one honorific title stands higher than the other. In the late Middle English poems I have dealt with I have shown



that maister and dame are used as polite labels irrespective of the person's social rank, even though maister carries a special connotation of learnedness. The supreme honorific titles lorde and lady are only used in a situation where courtesy is required depending on the interest or ideal, and the social rank of the speaker. Thus it is no wonder to see that the Middle English honorific titles madame and sire have descended into Modern English as general polite address forms. For the Gawain-poet, the choice of any particular variation was usually a matter of meter rather than social meaning. However, for Chaucer all these honorific titles have different meanings depending on the context in which they appear.



Notes

¹Geoffrey N. Leech use the term 'vocative' to refer to the words to call the addressee in order to get attention from him. Thus I use the term 'vocative' as a mode of address in direct conversation.

²I will use the word 'label' only for profession and rank to distinguish these terms from honorific forms of address, for which I will use the word 'title.'

 3 I explained about their social rank in Chapter I.

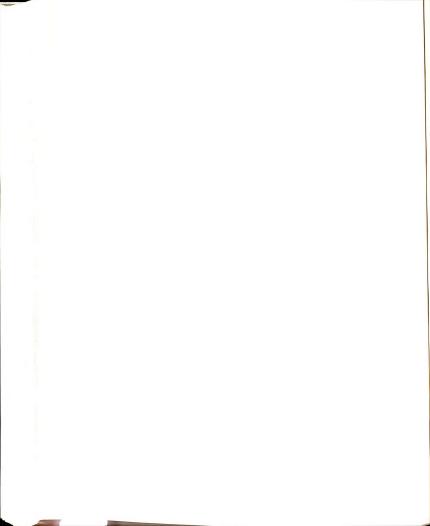
⁴Allan T. Gaylord deals with various kinds of friendship among the characters in *Troilus and Criseyde*, in his article, "Friendship in Chaucer's Troilus" *The Chaucer Review*, 3, No. 4 (1966), 238-64. According to his explanation 'friendship' is an idea that matters much in *Troilus and Criseyde*. He even argues that "without understanding the importance of friendship as both a value and an element in the plot cannot really be said to understand what the poem is about."

⁵ Concerning antagonism between the Host and the Cook, note F.J. Tupper, "The Quarrels of the Canterbury Pilgrims," JEGP,14 (1915), 265.

⁶Ralph Elliott assigns one chapter of his book, Chaucer's English (London: Andre Deutch, 1974) to Chaucer's cherl's terms.

⁷The Middle class is not a unified, self-conscious class in the minds of contemporaries. Thus among the middle class each individual judges each other by his own standard.

⁸According to A Concordance of the Complete Works of Geoffrey Chaucer, (J. S. P. Tatlock and A. G. Kennedy; Washington, 1927) and A Concordance To Five Middle English Poems: St. Erkenwald, Sir Gawain and the Green Knight, Patience, Pearl, (Kottler, Barnet and Markman M.; University of Pittsburgh Press, 1966) titles sire and dame appear more than any other title.



⁹Concering the original meaning of honorific titles I refer to William Averill Stowell's book, *Old-French Titles of Respect in Direct Address* (Baltimore: J. H. Furst Company, 1908)

 $^{10}{
m The}$ Host uses to the Monk different vocatives which show the Host's temporary feeling at the time he speakes to the Monk.

 ^{11}I already mentioned the learnedness of the Clerk and the Man of Law in chapter I.

 12 It is very like the usage of Mr. in Victorian times, and later, in which some women addressed their husband with Mr.

13Godon Hall Gerould (p.54) explains the Franklin's polite attitude in terms of his *gentillesse*, in his book, Chaucerian Essays (New York: Russell & Russel, 1968).

¹⁴Parson's social status was not high in that period.

 15 In Middle English the word 'wy3e' had not only the meaning " a human being, man or woman" (OED s.v. wight sb. sense 2)—it is used by the poet to refer to the lady in line 1792—but "a living being in general" (s.v. sense 1); and it was often applied to supernatural beings (sense 1b).

Originally the word 'burne,' which was 'bern' or 'beorn,' meant "warrior"

 ^{16}I explained about the Lady's alternation of ye and thou in Chapter I.

 $^{17} \text{Depending}$ on the tone or intonation, the same word may have different connotation.

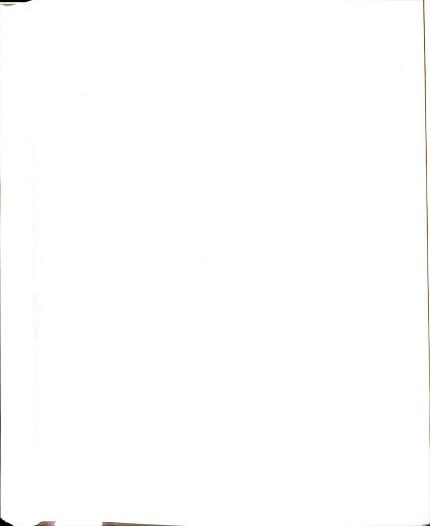
18 Chaucer does not prefix 'sire' to a knight's name anywhere else in his works but 'sir Topas.'Foulet thinks that 'sire' had been too frequently associated with unworthy names and so became tainted with vulgarity. (J.A. Burrow, Essays on Medieval Literature. Oxford: Clarendon press. p69-74)

¹⁹Malone, p.174.

²⁰See Notes ¹⁵.

²¹Here Dr. Yunck comments that much of Sir Gawain and the Green Knight's vocabulary depends on the need for alliteration rather than the matter of politeness.

22 A. Luengo discusses the effect of the two audiences (the church people and the Pilgrims) on the form and style of the core sermon of the Pardoner's presentation in the



article "Audience and Exempla in the Pardoner's Prologue and Tale," The Chaucer Review 11, No.1 (Summer, 1976), 1-10.

23Stowell (p.125) takes examples in which "ma dame" is written as one word in some French texts of the second half of the thirteenth and the first half of the fourteenth centuries.

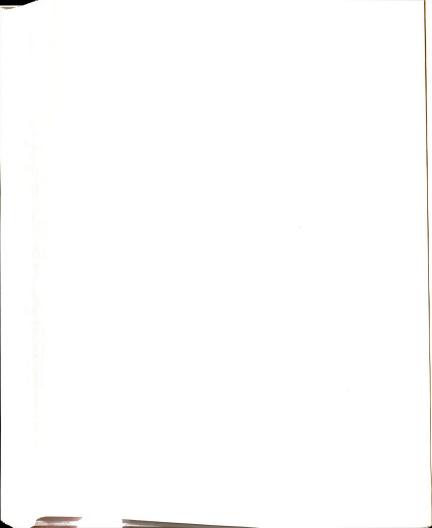
 24 Middle class is considered to reflect changing society in the decline of feudalism of that period.

25This explains well that the marriage relationship is the oposite to courtly love.

²⁶January's speech is full of echoes of the Song of Solomon.

27Alison is not a courtly lady at all.

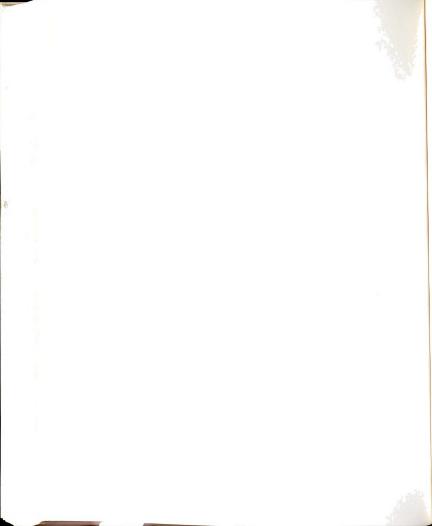
 28 Scattergood (p.139) remarks that "lemman" was a mildly impolite word:



IV. REQUESTS

Different syntactic structures, even if they are semantically synonymous, mark the situations in which they occur as different. In conjunction with the distinctions of the two second person singular pronouns thou and ye and the vocative forms, the choice of variant syntactic forms of requests (under which category I include all kinds of directives) also shows the social context in which language is used in late Middle English poetry. While the earlier two chapters focused on social patterns of linguistic features, such social aspects of language use, as interpersonal relations and the social status of the speaker and the addressee, this chapter will focus on syntactic variants which illuminate most clearly situational patterns, the settings in which language is used. 1

Though the request forms were not used in the same way as those shown in recent studies of current English usage, as Ervin-Tripp (1969) shows in her classification of requests because of the different situation and language, ² Chaucer and the *Gawain*-poet make the most of the various syntactic forms of request, that is order, ask, recommend or advise, in their own way to explain the situations and at the same time the personalities of their characters.

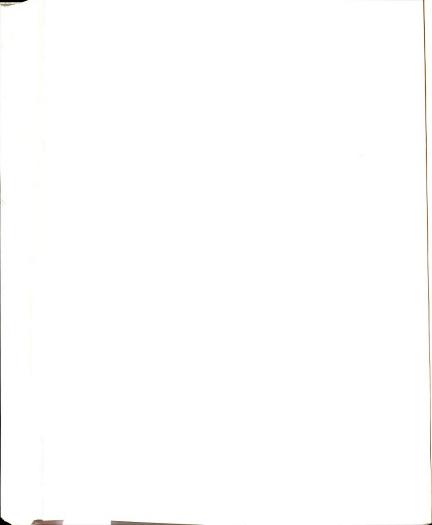


Chaucer employs different syntactic forms freely as requests to show the position or the role of his characters in his works by having the same character use different forms depending on the situation. On the other hand the Gawain-poet uses various syntactic forms of request as the instrument of characterization.

The analysis in this chapter is based on the assumption that the most indirect form of a request is the most polite, if it is used in an appropriate situation; otherwise it should be interpreted as sarcastic or as an insult towards the addressee. On the other hand, the most explicit and direct request is usually least polite, although context, in particular the speech situation, and relative social status will affect interpretation.

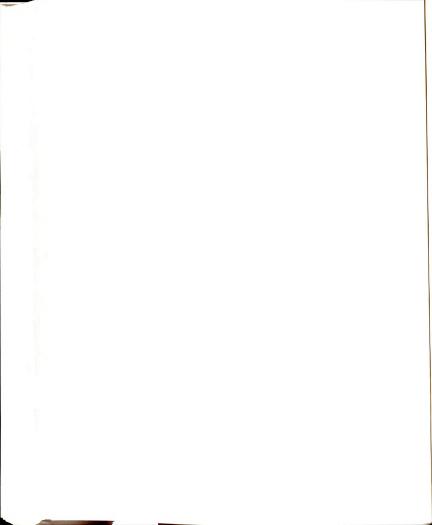
Brown and Levinson (1978) argue that indirect forms are more polite than direct structures. Thus the most indirect form would be considered the most polite choice available if it is used in appropriate situations. For example, the indirect request, "Would you open the door?" is usually interpreted as more polite than the direct request form, the imperative, "Open the door." However, the same request form could convey sarcasm if used in inappropriate situations. It could function to insult or irritate the addressee, while containing sufficient ambiguity to protect the speaker.

There have been many terms to describe indirect request forms. Gordon & Lakoff (1971) have tried to explain the relationship between direct and indirect request forms



by distinguishing literal meaning from conveyed meaning. Through conveyed meaning the speaker can deliver his attitude towards the addressee implicitly. They show that expressions of politeness rely on conveyed meaning rather than on literal meaning. Robin Lakoff (1972) shows the importance of social context of an utterance in interpreting politeness. In her article "Language in Context," she shows that social context plays a role in the interpretation of speech by using some modal verbs as examples. Depending on the social context, one modal verb which is considered more polite than the other, can be reversed in the degree of politeness and considered more rude than the other.

Other generative semanticists, such as Ross and Sadock, proposed the performative hypothesis, which assumes that the structure of a speech is coded in the form of abstract underlying sentences which contain a performative verb as well as the pronoun 'you' and 'I'. For example, the imperative form "Open the door" could be prefixed in its specific context with a performative tag "I request you to." According to the performative theory both forms are essentially identical. However, despite their syntactic relations, requests which have different surface forms cannot have the same meaning from the sociolinguistic point of view. Hymes (1974) states that "social function gives form to the ways in which linguistic features are encountered in actual life" (196). Circumstances in which one would utter one request form are different from those in

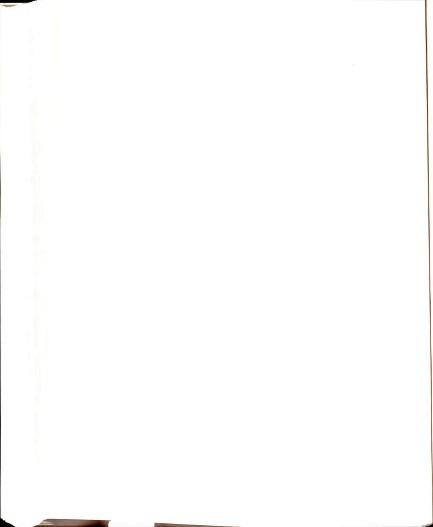


which one would utter the other. In many, perhaps most cases, one cannot tell what illocutionary act is being performed in the uttering of a certain sentence unless one is provided with a context.

Since Hymes' observations must be accounted for, perhaps the most important theory which relates the utterance meaning to the situation in which it is uttered is Speech Act theory, developed by the philosopher J. L. Austin (1962) in How To Do Things with Words, and elaborated by J. R. Searle (1969) in Speech Acts.

According to the basic Speech Act theory as outlined by Austin and Searle, there are three major kinds of acts that one performs as a speaker within the communication situation: locutionary acts, which are the sounds or the print a speaker or writer produces which are ordered according to all the structural rules of that language; illocutionary acts, which are acts performed in the speaking (writing) of a meaningful utterance; and perlocutionary acts, which are the causing of any contingent consequence as a result of speaking.

For example, simply uttering the sentence "Open the door" is to perform a locutionary act. Furthermore, if the sentence is addressed to the appropriate person in appropriate circumstances, such as standing outside or inside of a room with the intention of requesting some person in the room with you to open the door, then an illocutionary act is performed (the act of requesting). A

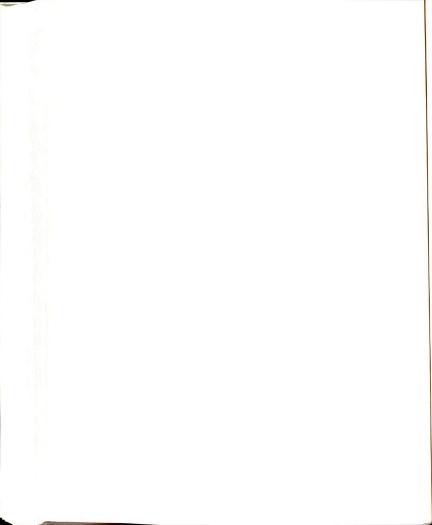


perlocutionary act would be performed if that person opened the door as a result of the request. 3

Among these three kinds of acts the socially significant acts are illocutionary acts, though both the locutionary and the illocutionary acts seem to offer the richest expressive possibilities. By uttering the remark "Open the door," a locutionary act, the speaker is performing the illocutionary act of requesting which is socially significant only because of the social context.

While the locutionary act contains the coded message (oral or written) that the speaker directs to the addressee, how the speaker intends the message and what the addressee perceives from the message belong to the illocutionary act. That is, when people speak, they also perform acts of various kinds, such as declaring, asking, requesting, commanding, promising and so on. To properly decode the speaker's intention, the people involved need to share the same verbal and nonverbal background.

Speech act theory explicates the speaker's competence in what Richard Ohmann (1971) terms "using speech to act (and be acted upon) within the matrix of social and verbal conventions" ("Speech, Acts and Style," in *Literary Style: A Symposium*). The competence requisite on the part of the speaker and addressee includes both verbal and nonverbal parameters. For the verbal, the semantic and syntactic choices a speaker makes can produce different responses in the addressee. As Roger Fowler (1979) points out in regard

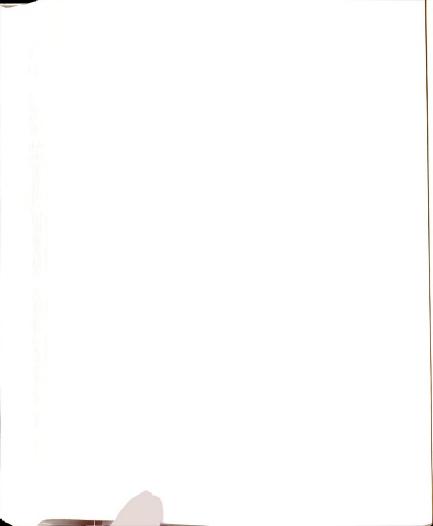


to syntactic choices, "a speaker's syntactic choices may 'mean' more than one thing and something else for interlocutor " (8).

Nonverbal factors such as social status, position of authority and power, age, occupational status, and any other indicators of placement within the social hierarchy or setting interact with the verbal message and contribute to the meaning. Illocutionary acts must be interpreted in the light of the status of the speaker relative to the addressee and of the relation of both to the social situation because these relationships can have a direct bearing upon the illocutionary force of an utterance.

Commenting on the syntax of Sir Gawain and the Green Knight, A. C. Spearing (1964) in his book, Criticism and Medieval Poetry, points out that the manner of expression cannot be delivered through a modern translation, even though "the content of the speech can be easily translated into modern English" (40). In the second chapter he compares a modern translation of Gawain's speech to King Arthur with the original and finds that the modern translation lacks the same degree of courtesy or politeness. He then concludes that characters' personalities are "expressed though syntax rather than imagery" (45). Particularly, he shows that Gawain's courtesy is shown by the way in which he says rather than by what he says.

Unlike the other variables which were discussed in chapters one and two (the second person pronouns and



vocatives), request forms are more constrained by the social situation in which they take place, including the task or topic which is requested, than they are constrained by the social relationship between speaker and addressee. For example, the sentence "Open the door" in Modern English shows that its occurence is more situationally than socially restrained.

- (1) (Please) open the door (imperative)
- (2) I'd like you to open the door (statement)
- (3) Could you open the door (question)

 Though sentences (1)-(3) have different syntactic forms,

 they are all underlying requests, but with very different

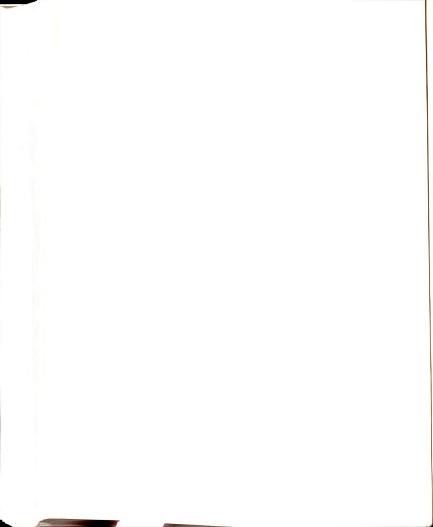
 expressive value depending in part on the situation in which

 they are used.

I will explain different syntactic forms of request in terms of the situations in which they take place. Other factors such as task and the relative status of the speaker and addressee can be included among situations with respect to politeness. From this example of situational constraint basically three different syntactic request forms should be considered. These range from explicit and slightly modified imperatives to statements and questions which are formally identical to utterances which are not requests.

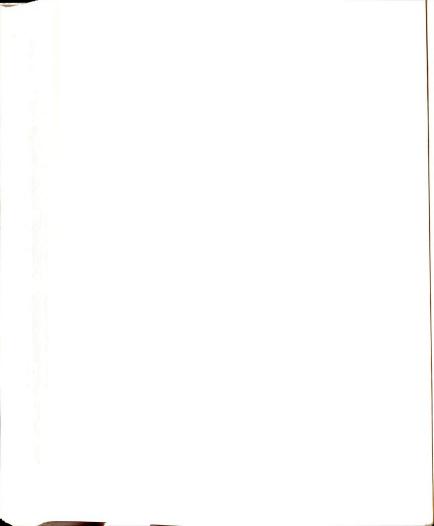
Direct Requests:

The most explicit and direct form of request is the imperative structure—the command. As the most direct form,



imperatives are employed in situations in which obligations and rights between speaker and addressee exist or the relationship of "authoritor" and "authoritee" is established. 4 Imperatives are usually employed in an obvious rights-and-obligations situation. Where the speaker has the right to command and the addressee has the obligation to follow him, or where there is intimacy between speaker and addressee, the speaker does not need to be polite in using request forms. Thus imperative forms are used in both asymmetrical and symmetrical situations. social rank is another factor which affects the choice of request form. For example, between the people of different social rank, the social superior uses the imperative form to his inferior, who in turn uses the indirect request form. However, the imperative form is used symmetrically between close relations regardless of their social status.

Chaucer and the *Gawain*-poet employ various syntactically different forms to order, ask, recommend and advise (which I put in a larger category 'request') to characterize the situation in which the request is spoken. By having his characters use a particular syntactic form of request in the speech situation, Chaucer and the *Gawain*-poet show the personality of his character depending on the situational patterns. Chaucer emphasizes the role and the position of the speaker in speech situations in the *Canterbury Tales*, *Troilus and Criseyde* and the *Parliament of Fowles*. The Gawain-poet shows his ideal theme *cortayse* in



Sir Gawain and the Green Knight with respect to the court.

As the greatest image of splendor and power, the court is where we would expect to find courtesy. In Sir Gawain and the Green Knight there are two courts: Arthur's and Bercilak's. In Arthur's court, King Arthur uses the imperative form to command freely, which is what is expected of him because a King has every right to command in his court. He uses the imperative form to his cousin, Gawain:

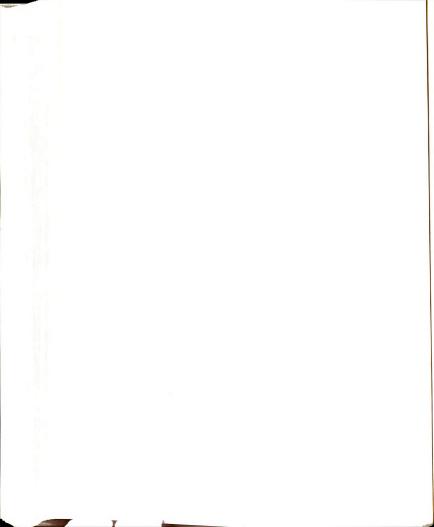
Kepe be, cosyn, bat bou on kyrf sette, (372) and:

Now sir, heng vp þyn ax, þat hatz innogh hewen. (476)
King Arthur's use of imperative forms to his social inferior
shows his dominant position to the fellow-men in his court.
Instead of using imperatives, Gawain uses indirect request
forms to Arthur:

Now, lege lorde of my lyf, leue I yow ask; 3e know be cost of bis cace, kepe I no more To telle yow tenez berof, neuer bot trifel; Bot I am boun to be bur barely to-morne To sech be gome of be grene, as God wyl me wysse. (545-49)

This shows the proper relationship between Arthur and his subordinates.

Just as King Arthur is in the position of authority in his court because he is King, the Lord Bercilak shows his power in his Castle through the use of imperative forms to Gawain. When Gawain tells Bercilak that he must leave to go to the Green Knight to be challenged, Bercilak uses the imperative form:



...'Now leng be byhoues,

For I shal teche yow to bat terme bi be tymez ende,

be grene chapayle vpon grounde greue yow no more;

(1068-70)

And he continues this same pattern:

And cum to pat merk at mydmorn, to make quat yow likez in spenne.

Dowellez whyle New 3eres daye,
And rys, and raykez penne,
Mon schal yow sette in waye,
Hit is not two myle henne.(1074-78)

When Gawain insists again on leaving in the morning, arguing that the time has almost come, Bercilak detains him again.

After he reassures Gawain that he will get there safely and promptly, he uses the imperative form:

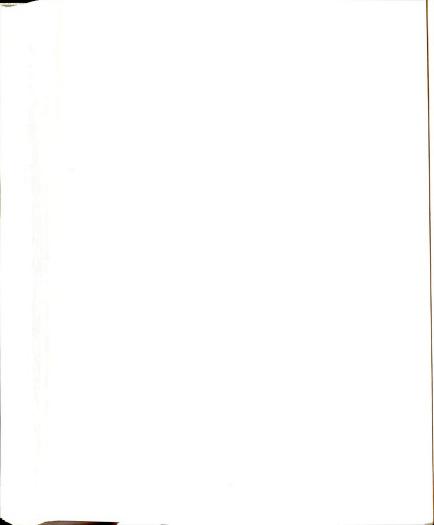
Forpy pow lye in by loft and lach byn ese, And I schall hunt in bis holt, and halde be towchez, Chaunge wyth be cheuisaunce, bi bat I charre hider.7 (1676-78)

In his own court, Bercilak is in power and has the right to use the imperative form.

In contrast to the use of imperative forms with thou to the Green Knight as in Arthur's court, Gawain uses an indirect form of request with ye to Bercilak:

Forpy, sir, bis enquest I require yow here, bat 3e me telle with trawthe if euer ye tale herde Of be grene chapel, quere hit on gounde stondez, And of be knyht bat hit kepes, of colou offoffenel

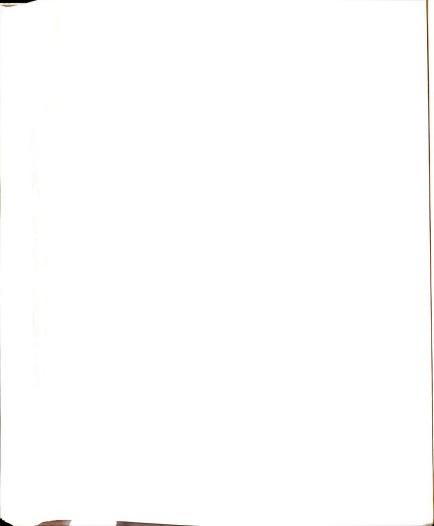
However, the Green Knight uses the imperative form in Arthur's court as well as in his own Castle before revealing himself as the Lord of Bercilak. When he first appears in Arthur's court, he addresses Gawain:



Lok, Gawan, pou be graype me, to go as pou hettez And layte as lelly till pou me, lude, fynde, As pou hatz in pis halle, herande pise kny3tes; (448-56)

Since in Arthur's court the Green Knight has no authority or right to command, his use of the imperative form is a sign of impertinence. This rudeness is reinforced by the use of the pronoun thou instead of the polite pronoun ye. And later, when he meets Gawain in his Chapel, before he reveals himself the Lord of Bercilak, he continues to use the imperative where we would expect a mitigated form between apparent equals: "Haf at be benne!" (2288)

This use of a short imperative is completely different from Gawain's long hypothetical speech. A. C. Spearing (1964) in his book, Criticism and Medieval Poetry, mentions that "the Green Knight's bluffness is expressed through a series of short sentences " (44), and that is also contrasted with Gawain's long elaborate sentence. As Larry Benson (1965) points out in his book, Art and Tradition in Sir Gawain and the Green knight, "the Gawain-poet drew freely on the conventional grimaces of a churlish wild man to characterize the Green Knight " (80). The poet shows the Green Knight's personality, which is rude like his behaviour, by having the Green Knight use imperative forms. At the same time he also shows through Bercilak's use of the same request forms in both courts. The Lord of Bercilak's masquerade as the Green Knight only works superficially; he unwittingly reveals his true personality through his language patterns.

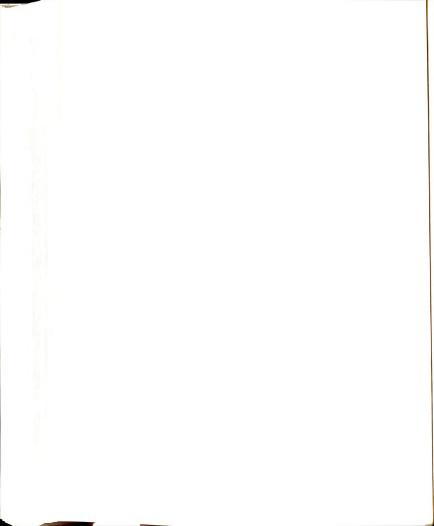


In contrast to the use of indirect polite forms to King Arthur and Bercilak, Gawain uses the most direct request form, the imperative, to the Green Knight in Arthur's court and in the Green Chapel to challenge the Green knight. When Gawain asks about the Green Knight's place in his first appearance in Arthur's court, he says:

Bot teche me truly perto, and tell me how pou hattes And I schal ware alle my wyt to wynne me peder, (401-2)

By using the direct imperative forms to the Green Knight, which the Green Knight has used to him, Gawain challenges the Green Knight's position in Arthur's court, and conveys his understanding that the Green Knight is not his superior.

In addtion to the situations in which a speaker has clear social power over the addressee, any speaker can use the imperative form as a request to any one who is under his control in those situations which give the speaker some kind of authority, even though the speaker is not normally the social superior of the addressee. For example, Harry Baily, the Host in the Canterbury Tales, usually uses imperative forms to every member of the party except to the two women, the Wife of Bath and the Prioress. (I will explain these particular relationships later). In the Canterbury Tales, the Host is the one who is chosen to lead the story-telling and whom all the other members agree to follow. In the



General Prologue, his authority and right to command are described:

"This thyng was graunted, and oure othes swore With ful glad herte, and preyden hym also That he wolde vouchesauf for to do so, And that he wolde been oure governour, And of our tales juge and reportour, And sette a soper at a certeyn pris, And we wol reuled been at his devys In heigh and lough. And thus by oon assent We been accorded to his juggement"

(I,809-818)

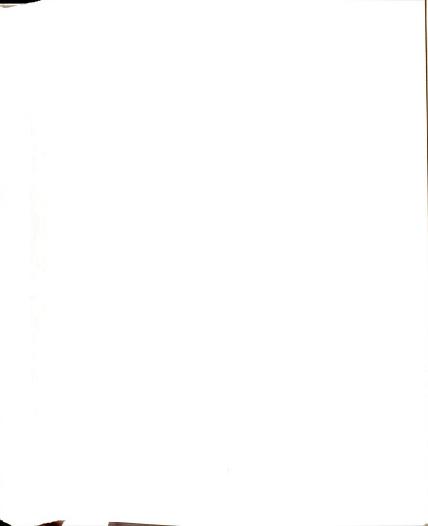
Thus he does "not hesitate to assert his authority" (Malone, p.196) and power in leading story-telling through the use of imperative forms.

When he calls on each member to tell a tale, he uses the plain imperative form which has two different verb endings depending on the subject thou or ye. As J. Kerkhof (1982) notes in his book Studies in the Language of Geoffrey Chaucer, the Host, who is "in no doubt of the importance of social distinctions (57)," is remarkable in his use of the imperative towards the individual pilgrims. Although the agreement at the beginning of the pilgrimage gives him authority over the pilgrims, he still shows distinctions between high and low class pilgrims through his use of verb endings. When he speaks to the lower class pilgrims he uses the stem-form of strong verbs and a form in -e with most weak verbs, the forms which go with the subject thou: 5

to the Miller; tel on devele wey

to the Reeve; sey forth thy tale, and tarie not thy tyme

to the Cook; ne brynge not everyman into thyn house now telle on, but yet I pray thee, be nat wroth for a game



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to the Man of Law; tell us
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- to the Parson; telle us
- to the Wife of Bath; telle forth youre tale
- to the Summoner; tel forth tale
- to the Squire; sey somewhat of love
- to the Franklin; telle on thy wit
- to the Pardoner; telle us
- to Chaucer; telle us
- to the Nun's Priest; telle us
- to the Canon's Yeoman; tel me;
- to the Manciple; telle on thy tale.

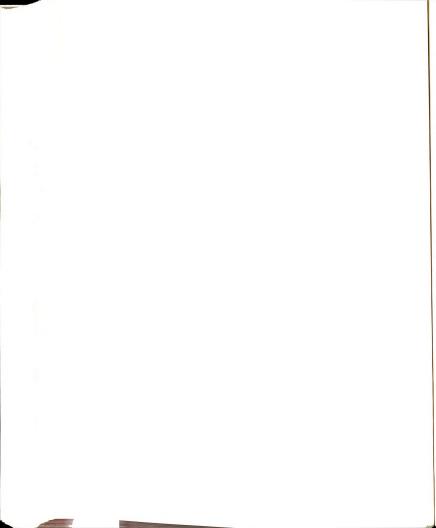
However, he uses the different verb ending -eth, which goes with ye in his address to higher class pilgrims. The distinctions between these verb endings carry the same meaning as the distinctions of ye and thou discussed in Chapter I.

- to the Monk; now telleth ye,
- to the clerk; herkeneth me
- to the Friar; telleth youre tale
- to the Prioress: cometh
- to the Clerk; studieth

Even to the Pilgrim highest in social rank, the Knight, the Host demonstrates his power through the plain imperative form. After he uses all of the honorific titles *sire*, maister, and even lord to the Knight, he commands him with the plain imperative form:

Sire Knyght, my mayster and my lord, Now draweth cut, for that is myn accord. (I,837-38)

Thus the Host's use of imperative verb forms to all the Pilgrims, regardless of their social status, shows his power to command them to tell their stories, but his use of the different verb endings shows his awareness of the Pilgrims' different social statuses. As Kemp Malone (1951) points out, Chaucer makes the Host "domineering one moment" though



the use of imperative forms and "solicitous the next" through the use of the polite pronouns and vocatives. (p.196)

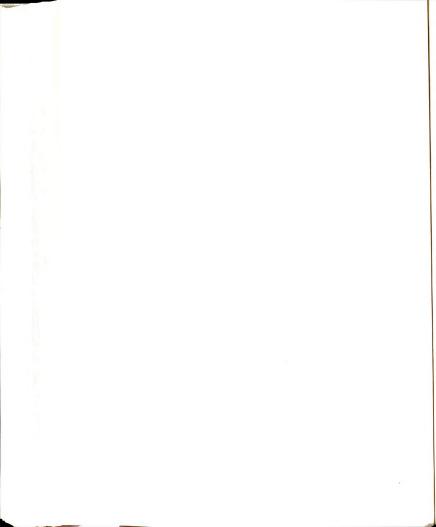
In the Franklin's Tale Aurelius puts himself in a position of authority to command by using the imperative to Dorigen, his social superior. Dorigen has promised to love Aurelius if he can perform the apparently impossible task of removing the black rocks which she sees as a danger to her husband. Aurelius tells her that the deed has been done, demands the fulfilment of her promise, and he does so warning her:

"Aviseth yow er that ye breke youre trouthe." (648)
Because Aurelius has fulfilled his promise, Dorigen is
placed under obligation to him. Aurelius' use of the
imperative here indicates his awareness of his temporary
superiority, even though he is usually in the position of
authoritee in terms of social status, as is evidenced by his
use of vocative forms explained in Chapter II.

In Sir Gawain and the Green Knight the Lady of Bercilak uses the plain imperative form to Gawain on the occasion of her second visit to him. After Gawain says "I am at your comaundement" (1501) she accepts her rights of authority by using the imperative form:

"Dos teches me of youre wythe" (1533)

This plain imperative form is contrasted with her usual indirect form of speech. In giving a brief lesson on the proper role of men in love she tries to argue him into



conforming to her interpretation of the courtly code (1508-1534).

The imperative form is also used in the situations in which the task requested is to the benefit of the addressee.

In Sir Gawain and the Green Knight, a servant of Bercilak who accompanies Gawain to the Green Chapel addresses Gawain:

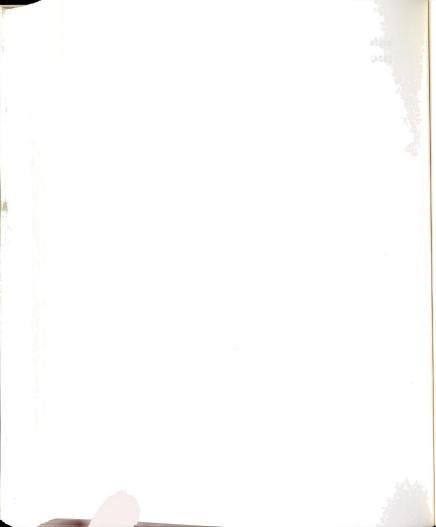
'Forpy I say pe, as sope as 3e in sadel sitte, Com 3e pere, 3e be kylled, may pe kny3t rede, Trawe 3e me pat trwely, pa3 3e had twenty lyves to spende.' (2110-12)

And he continues:

Forpy, goude Sir Gawayn, let be gome one, And gotz away sum ober gate, vpon Goddes halue! Cayrez bi sum oper kyth, ber Kryst mot yow spede, (2118-20)

The servant, who is acting as his guide, uses the imperative form to warn Gawain of some urgency and tempts Gawain to do what he tells by pretending that this warning is to the benefit of Gawain, even though it is not true. 6 In the same way, in the poem *Pearl*, the maiden always uses the imperative form to the dreamer-father without a single exception because all her requests are to the benefit of the latter.

The plain imperative form is also used in intimate situations, regardless of the social status of the speaker and the addressee. Chaucer shows the close friendships between characters by having them use imperative forms to each other. In the *Knight's Tale* two knights, Arcite and Palamon always use the plain imperative form to each other. And in *Troilus and Criseyde*, which puts a great emphasis on



'friendship' among characters, Troilus and Pandarus always use direct imperative forms to one another whenever requests take place despite their different social rank. Pandarus and Criseyde also address each other with the direct form. This symmetrical use of imperatives shows their intimate friendship.

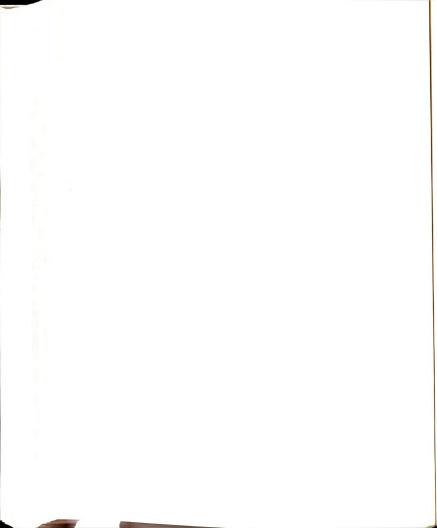
In Sir Gawain and the Green Knight the Lady of
Bercilak sometimes uses the imperative form to Gawain though
her normal request form is much more indirect. This is
appropriate to the disingenuous role she is playing: her use
of this form with the conventional pronoun thou instead of
the polite ye which she otherwise uses underlies the
intimacy that she is trying to establish with Gawain, thus
making herself more of a temptation to him:

"Now, dere, at bis departyng do me bis ese, Gif me sumquat of by gifte, bi gloue if hit were. bat I may mynne on be, mon, my mournyng to lassen. (1798-1800)

Besides being used in intimate situations, the imperative form is also employed in adversarial situations. In Sir Gawain and the Green Knight, in which the Green Knight and Gawain are challenging each other, they use imperative forms to each other, which indicates their adversarial attitudes towards each other.

Gawain addresses the Green Knight agressively by using imperative forms in the Green Chapel before the latter reveals himself as Bercilak:

Bot busk, burne, bi bi fayth, and bryng me to be poynt.



Dele to me my destine, and do hit out of honde, For I schal stonde be a strok, and start no more Til byn ax haue me hitte: haf here my trawbe.

(2284-87)

And Gawain also says to Green Knight:

Blynne, burne, of by bur, bede me no more!

I haf a stroke in bis sted withoute stryf hent,

And berfore, hende, now hoo! (2322-30)

In contrast to his usual use of indirect polite forms, this imperative form shows his competitive attitude towards the addressee, the Green Knight. The Green Knight also uses the imperative form:

Haf at be ax haue be a stroke.(2288)

After they are reconciled, Gawain goes back to his usual indirect style:

Bot on I wolde yow pray, displeses neuer.

Syn 3e be lorde of lorde of be 3onder londe ber I haf

lent inne

Wyth yow wyth worschyp--be wy3e hit yow 3elde

Bat vphaldez pe heuen and on hy3 sittez-
How norne 3e yowre ry3t nome, and benne no more?

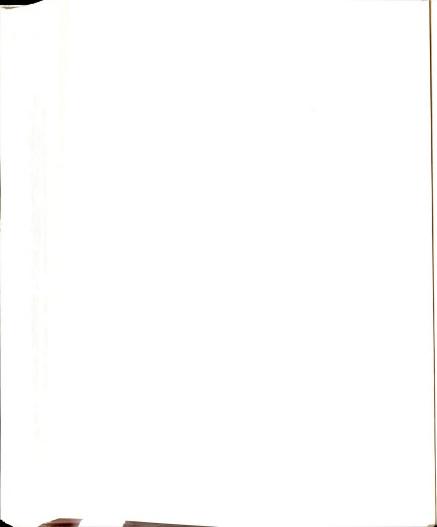
(2439-43)

In the Canterbury Tales the Reeve uses the imperative form to the Miller when he recognizes that he is about to be attacked. The drunken Miller rises to a point of personal privilege and demands that he be permitted to "quyte the Knight's tale" with a story of a cuckolded carpenter and his faithless wife. His speech provokes the Reeve's anger and the Reeve responds:

Stynt thy clappe!
Lat be thy lewd dronken harlotry.

(I, 3144-49)

Another hostile situation in which the direct imperative form is used occurs in the speech of the Host after the Wife



of Bath's prologue. When the quarrel between the Friar and the Summoner erupts, the Host says to the Friar:

Pees, and that anon!
Lat the womman telle hire tale.

(III, 850-51)

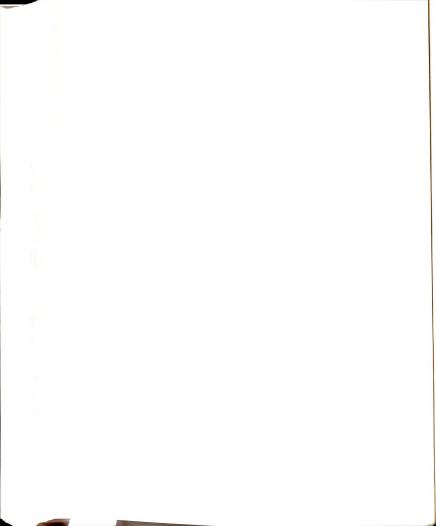
The Host could have used the equally acceptable and more polite modified imperative to restore order; however, he chooses the plain imperative form because he was angry at the disruption caused by the Friar and the Summoner.

And also the Host uses the plain imperative form to the Monk after he mentions the characteristics of the Monk unsuitable to the clergy:

But be nat wrooth, my lord, though that I pleye. (VII, 1963)

The Host's use of an impolite imperative form with the sarcastic vocative 'my lord' provokes the Monk's anger but "this worthy Monk took al in pacience." (VII, 1915)

Another form of direct request is the modified imperative which is simply the imperative form modified with the phrase 'I prey you (or thee)' or 'I beseche' corresponding to 'please' in Modern English. This form is used to put a little more emphasis on a speaker's need or desire to have a certain task performed. When he emphatically asks the addressee to do something or asks the addressee to do something at a cost to the addressee, he adds the phrase 'I prey' or 'I biseke.' Therefore, as mentioned in reference to the Host above, the imperative form with the modifying phrase, 'I prei you (or thee)' is considered more polite than the plain imperative form by



itself since this form implies asking, not commanding, the addressee to do something.

This modified imperative is used in a situation in which the task is difficult to mention or in which the difficulty or uniqueness of the request is emphasized. In the Shipman's Tale, for example, the merchant's wife speaks to the addressee, the monk, in the modified imperative form when she wants to borrow some money from him. Borrowing seems to be a difficult request for her to make:

and therefore I yow prey,
Lene me this somme or ellis moot I deye.
(VII,185-186)

Her use of the modified imperative, a more polite request form, indicates that the task is difficult for her to request. She also adds a dire consequences to stress the urgency of her need. An imperative lacking this tag also lacks the force associated with that urgency.

Since 'I prey' has the connotation of politeness, it is often employed in the negative imperatives. In the Canterbury Tales, the Host uses this modified imperative form to the Cook. When the Knight has begun the story-telling, the Host has no difficulty in inducing the others to continue. However, when the Reeve has finished his tale, the Cook of London is so delighted that he "clawed him on the bak (I,4326)," and volunteered. When the Host accepted the offer of the Cook, fearing he should offend the Cook, the Host begs the Cook not to be angry at his jest:

I pray thee, be not wroth for game. (I,4354)

The Franklin also addresses the Host with the modified imperative because the Franklin deeply desires to have the forgiveness of the Host:

I prey yow, haveth me nat in desdeyn,
Though to this man I speke a word or two.

(V, 700-01)

In the third temptation scene of Sir Gawain and the Green Knight when the Lady offers Gawain gifts 7 which he cannot accept, he asks her to accept his refusal:

And perefore, I pray yow, displese yow no3t, And lettez be your bisinesse, for I baybe hit yow neuer to grounte, (1839-40)

The phrase 'I prey yow' or 'I biseke yow' is added to plain imperatives in situations where the speaker wants something out of the ordinary or wants to emphasize the task or both. In the example above, Gawain's request for her acceptance is out of ordinary and shows the difficulty he predicts she will experience in granting his request. Chaucer also employs this form to emphasize certain situations. In the Canterbury Tales, the Host often uses this imperative form when he wants to call on a particular Pilgrim to tell a specific tale because the request is somewhat demanding or unusual. The Host addresses the young Clerk of Oxford, chiding him for being as silent as a newly-wed maid at table, and asks him for his story in due turn:

Speketh so pleyn at this tyme, we yow preye,
That we may understonde what ye seye. (IV,19-20)

In specifying the nature and treatment of a tale suitable

for the pilgrimage, he uses the imperative form with the



modifying phrase, 'I pray (thee or you)' because the request is more demanding.

After the Knight interrupts the *Monk's Tale*, which is dreary and boring, the Host asks the Monk to tell another story. When he calls upon the Monk to tell a tale about hunting, an appropriate topic for him, ⁸ the Host uses the imperative form with the modifying phrase 'I prey you':

I pray yow hertely telle us somwhat elles; (VII, 2793) and:

Sire, sey somewhat of hunting, I yow prey, (VII,2804)

This usage is contrasted with the plain imperative forms,

for example:

Now telleth ye, sir Monk, if that ye konne Somwhat to quite with the Knyghtes tale.
(I,3118)

The Host uses the modified imperative form because the Monk has already been interrupted once and so needs special inducement to tell another, more appropriate tale. The Host uses the plain imperative form to every Pilgrim, because he has the right to direct their actions by virtue of his position as director of the tales. However, this situation requires politeness and so the Host modifies the imperative. The monk in the Shipman's Tale also uses this form for emphasis because the topic seems to be important to him:

I prey thee, wyf, ne do namore so Telle me alwey, er that I fro thee go, (VII,395-96)

When the Knight sees that the Pardoner is vexed, he also adds 'I prey thee' to the imperative form to soothe him:



And Pardoner, I prey thee, drawe thee neer And, we diden, lat us laughe and pleye.

(VI, 966-67)

The Host uses this form to the Yeoman when, out of curiosity, the Host wants to hear about the Canon because the Yeoman has told about the Canon's magic power and his craft:

I pray thee, tel me than, Is he a clerk or noon? Telle what he is, (VIII,615-17)

Because of the unusual nature of the request the Host uses the modified imperative to persuade the Canon to answer.

The Host uses imperative forms twice to the Manciple; at first he uses the plain imperative form: "Telle on thy tale" (IX,68) because the Host has the right to direct the tellers. The second time the Host uses the modified imperative to strengthen the request:

Tell on thy tele, Manciple, I thee pray
(IX,103)

When he calls on him for a story again, he adds 'I thee pray' which emphasizes the task by gently drawing the Manciple back to the concern at hand--the telling of his tale.

In the same way in the *Miller's Tale*, Nicholas also uses imperative forms twice. First he uses the plain imperative form to his host John:

Go now thy way (I,3596)

And then a modified imperative form:

Go, save oure lyf, and that I the biseche. (I,3600)



This modified imperative shows Nicholas's need that the request be fulfilled.

In Troilus and Cryseyde, Pandarus and Criseyde usually use the plain imperative form, but sometimes they use this modified imperative form. For example, Criseyde adds 'I prey ' to the imperative form when she insists on hearing a story that Pandarus, in order to arouse her curiosity, pretends that he doesn't want to tell her:

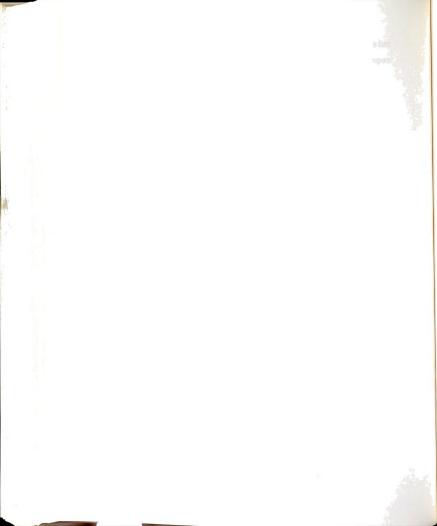
Thus, she indicates the desire that he allieviate her curiosity.

From these examples of imperatives, a clear pattern can be seen. The plain imperative is most commonly used when the speaker has the authority to request an action. While the modified imperative is equally acceptable for use by authority figures, it is often accompanied by a scene in which either urgency or politeness is necessary. These direct requests are often used when there is some obligation on the part of the addressee to perform the requested action.

Indirect requests:

Besides direct, explicit imperatives, the speaker can also convey a request indirectly by making a statement.

Just like the case of plain imperatives, this statement (or



declarative) form has two forms: one is the structure which consists of the second personal pronoun ye or thou as a subject, and the other consists of the first personal pronoun 'I' as a subject and a performative verb. Generally in these poems the modal verb shal accompanies the second person subject ye or thou. As A. C. Spearing (1966) observes, "the modal verb shal had a rather strong sense which is nearer to 'must' than to the modern 'shall' (32)." Thus ye shul or thou shalt has almost the same effect as an imperative. That is, they are used by the speaker who has authority over his addressee. The speaker who uses imperative forms sometimes switches into this form ye shul which is consistent with his power or desire to command.

In the Parliament of Fowls Nature, who is "the vicaire of the almyghty Lord" (379), speaks to the fouls:

Foules, tak hed of my sentence, I preye. (383)

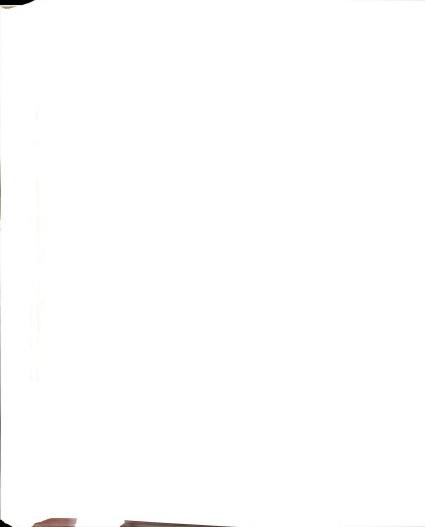
Then she switches to a statement with the modal verb 'shul':

And after hym by order shul ye chese,
After kynde, everiche as yow lyketh,
And as youre hap is shul ye wynne or lese-But which of yow that love most entriketh,
God sende hym hire that sorest for hym syketh.
(400-405)

Like the use of imperative form, the use of 'ye shul' by Nature shows that she has the power and authority to demand an action.

In the Canterbury Tales, the Host sometimes uses this form interchangeably with the imperative. Early on he uses the imperative:

Approche neer and looke up murily (VII,698)



Sey now somwhat, (VII, 705)

Telle us a tale of myrthe, (VII, 706)

But when he is tired of listening to Sir Thopas, he addresses the Pilgrim Chaucer in a more forceful tone:

thou shalt no lenger ryme. (VII, 932)

The Host also alternates between this form and the imperative to the Monk :

Ye shul telle a tale trewely (VII, 1925)

And later, with imperative form:

Now telleth ye, Monk, (I, 3118)

Like the plain imperative, the statement with the modal verb shal is used in those situations in which the speaker places himself in the position of authority. If it is used by an inappropriate person, it can make a speaker or a situation comic. The Pardoner uses this modal form with the imperative to the Host after he finishes his story:

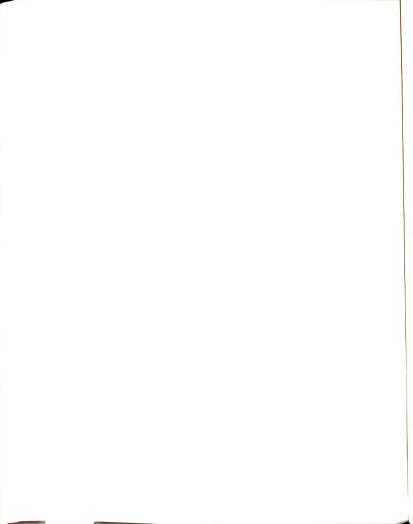
Com forth, sire Host, and offre first anon, And thou shalt kisse my relics everychon. (VI, 943-44)

The Pardoner's use of the direct imperative and the thou shal statement as requests to the Host creates the comic situation. By implying an authority over the Host, which is not in fact present, the Pardoner sets up a comic tension. In the Pardoner's Tale, one of the three rioters addresses the old man with this form:

"Nay, olde cherl, by God thou shalt nat so."

Just as the imperative form shows the speaker's right to

command the addressee, this speaker, the rioter, shows his



rude behaviour by adopting a authoritative attitude through the use of the statement form of obligation even though his social status does not give him the right to command.

In the same way in the Summoner's Tale, which is "an expose of the methods employed by an unscrupulous friar" (Ruggiers, p.101), the friar uses this form to the layman, Thomas, when he is preaching-a situation which demands authority:

Nere thou oure brother, sholdestou nat thryve. (III,1944) and:

Thomas, of me thou shalt nat been yflatered (III,1970)

and:

The revers shaltou se anon,
And preve it by thyn owene experience,
That wyn ne dooth to folk no swich offence
(III, 2056-58)

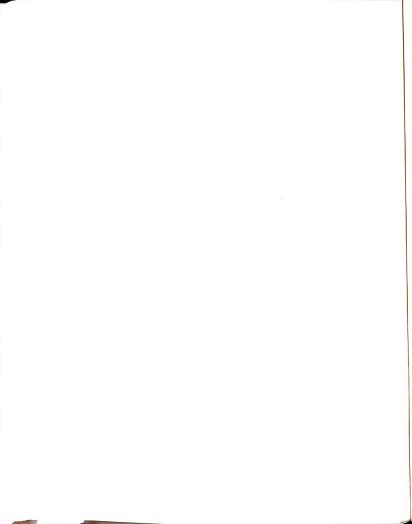
and:

Thou shalt me fynde as just as is squyre (III,2090)

All of these structures are in contrast to another statement structure of request which the friar uses to Thomas's wife:

He consistently uses polite forms to Thomas' wife and the more commanding form with thou with Thomas. These different kinds of requests fit the character of the friar in the story, and the character of the Pilgrim Friar, as described in the General Prologue:

In alle the orders foudre is noon that kan So muchel of daliaunce and fair langage. (I, 210-11)



The teller, the Pilgrim Summoner, intends to betray his professional rival's hypocritical personality in his tale, the half of which is devoted to the friar's self-disclosure.

The Gawain-poet also uses these forms very often in situations where imperative forms could just as appropriately be used, but the Gawain-poet wants to show the speaker's domineering attitude. In Sir Gawain and the Green Knight King Arthur uses this form to Gawain:

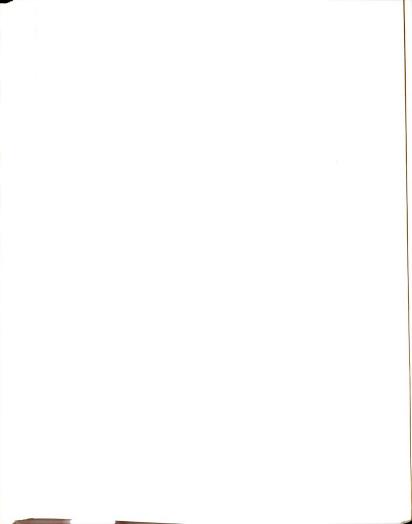
pat bou schal byden be bur bat he schal bede
after.(374)

In the same way the Lord Bercilak switches from imperative forms to the statement form 'ye shul' with little difference in meaning:

...Now leng be byhoues,
For I shal teche yow to bat terme bi be tymez ende.
be grene chapayle vpon grounde greve yow no more;
Bot 3e schal be in yowre bed, burne, at byn ese,
Quyle forth dayez, and ferk on be fyrst of be 3ere,
(1068-72)

This passage consists of virtual commands with the imperative form and the statement form with the use of future obligation 'ye shul.' Concerning the usage of the modal verb 'shal' Cecily Clark comments in the article, "Sir Gawain and the Green Knight: Characterisation by Syntax":

By his liberal use of futures of obligation with 'shal' the Lord implies that not only his interlocutor but third parties also are subject to his command. The syntax of these apparently jocular speeches preposing the sporting covenant is not far from that of the Green Knight's original 'forward' in which he had on Gawain the duty. (Essays in Criticism, 16, No. 4 (1966), p.364.)



When Gawain asks to leave in the morning arguing that his time had almost come, the Green Knight argues against him, using both imperative and the statement form with 'shal':

As I am trwe segge, I siker trawpe pou schal cheue to pe grene chapel py charres to make, Leude, on Nw 3eres ly3t, longe bifore pryme. Forpy pow lye in py loft and lach pyn ese, And I schal hunt in pis holt, and halde pe towchez, Chaunge wyth pe cheuisaunce, bi pat I charre hider; For I haf fraysted pe twys, and faythful I fynde pe. (1673-79)

And also:

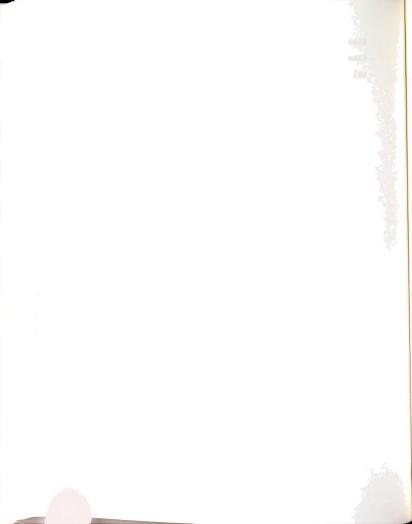
And pou schal haf al in hast pat I pe hy3t ones. (2218)

They are equals and yet the Green Knight is attempting to become momentarily dominant over Gawain to win his release. A servant of Bercilak uses this form to Gawain when he guides the latter to the Green Chapel. After Gawain has insisted upon continuing on despite the guide's warning of danger, the guide switches his style from polite to impolite, using the conventional pronoun thou:

And pou schal se in pat slade pe self chapel, (2147)

Just like the plain imperative form, this can be considered less polite. Considering the previous speech (2091-2155) which consists of long statement structures with the polite ye, the pronoun used to a superior, this thou shal structure of request shows the guide's despair over Gawain's determination to go to the Green Chapel.

In marriage the use of this statement structure shows the relation between husband and wife. In the Canterbury



Tales, the Host quotes his wife's words to him in which she uses this statement structure as well as imperatives:

...Slee the dogges everichoon,
And brek hem bothe bak and every boon!

...False coward, wrek thy wyf!

By corpus bones, I wol have thy knyf,

And thou shalt have my distaf and go spynne!

(VII,1899-1907)

I have already mentioned her bad manners in Chapter 1 through the breaking the norm of the usage of the second singular pronoun. She uses thou to her husband who is supposed to be in a superior position in that society.

In the Tale of Melibee Prudence alternates the statement structure ye shul with the imperative form.

Ye shul first in alle youre werkes mekely biseken to the heighe God that he wol be your conseillour/ and shapeth yow to swich entente that he yeve yow conseil and comfort. (VII, 1115-6),

and:

First ye shul escheue the conseillyng of fooles, (VII,1173)

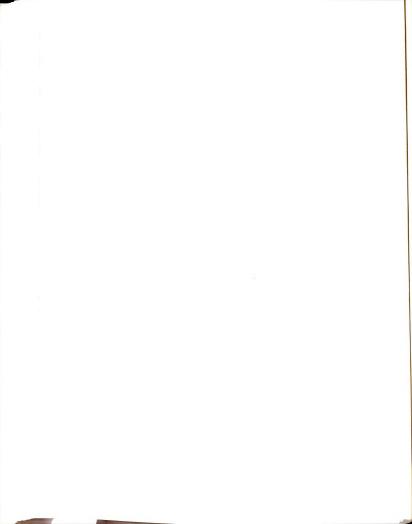
and:

Ye shul also han also han in suspect the conseillyng of swich folk as conseille yow o thyng prively and conseille yow the contrarie openly, (VII, 1194)

and also uses an imperative:

Sire, ye ne be nat alwey in lyk disposicioun/ for certes somthyng that somtyme semeth to yow that it is good for to do another tyme it semeth to yow the contrarie. (VII, 1136-7)

In contrast, in many other places she uses the statement structure of obligation, which puts her in the position of authority over her husband, Melibeus. Melibeus, who at first refuses to give over sovereignty to his wife, does not use the polite pronoun ye:



I purpose nat,...to werke by thy conseil for many causes and resouns. For certes, every wight wolde holde me thanne a fool-this is to seyn, if I for thy conseilling wolde chaungen thynges that been ordeyned and affermed by no manye wyse...And also certes, if I governed me by thy conseil, it sholde seme that I hadde yeve to thee over me the maistrie, and God forbede that it so were. (VII, 1055-57)

However, he is gradually placed in the position of an inferior, which is reflected in Prudence's use of request forms. He finally says to her:

Dame,...dooth youre wil and youre likynge, for I putte me hooly in youre disposicioun and ordinaunce. (VII, 1724-25)

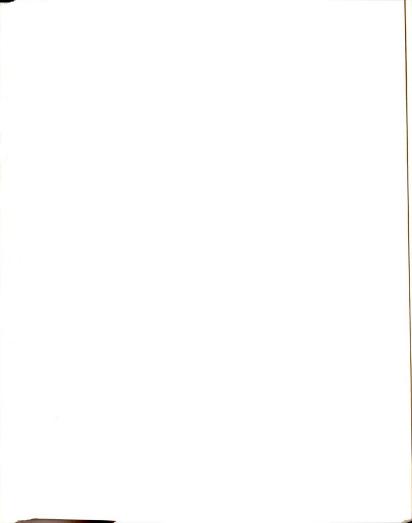
Here Melibeus changes his pronoun into the polite pronoun ye and uses the respectful title dame to his wife. Therefore Prudence shows herself as authority through the use of 'ye shul' a statement form of request during the conversation with her husband.

Another good example of the use of this form to show the speaker's dominant attitude toward the addressee is illustrated by the husband's speech to his wife in the Franklin's Tale, when Arveragus, who promises not to dominate over his wife, "resumes his role as head" and tells her what to do. He says:

Ye, wyf,...lat slepen that is stille. It may be wel, paraventure, yet to day. Ye shul youre trouthe holden, by my fay.

(V,1472-74)

As A. C. Spearing (1966) says in his book *The Franklin's*Prologue and Tale, "thus at this crucial point, maistrie reenters the marriage, with an emphasis that gains force because paradoxically, Arveragus uses his maistrie to order



his wife to keep her promise to become someone else's mistress" (32). This is the point which makes the Franklin's Tale more controversial than the other Tales. It is also the most controversial point within the Franklin's Tale itself. At the beginning, Arveragus's and Dorigen's relationship has followed the convention of courtly love, in which the lady is the dominant partner and her lover is subservient. Spearing also mentions:

The debate about marriage among the Pilgrims has been a debate about maistrie in marriage. Both sides have agreed that marriage is to be seen as a struggle for power, in which either husband or wife must come out victorious. The Franklin wants to resolve the problem by changing its terms. He wishes to remove the whole question of dominance from marriage, and to present it as something other than a power relationship. (p. 32)

This fact is already reflected in the ambiguous way in which the Franklin defines their relationship. He tries to get rid of maistrie, but only loses himself in paradoxes which seem to reappear constantly whether he wishes them to or not.

All of the examples above have almost the same power as the plain imperatives since they are used in situations in which the speaker is placed in the position of authority or the speaker puts himself in that position by using the form 'ye shal.'

The statement structures with ye shul are also used in intimate situations, as are the imperative forms.

In Sir Gawain and Green Knight, the Green Knight uses the imperative form with the polite ye to convey his feelings of intimacy with Gawain at this moment together:



3e schal in þis Nwe 3er a3eyn to my wonez, And we schyn reul þe remnaunt of þis ryche fest ful bene (2400-01)

This ye shal request structure should be interpreted as a sign of intimacy towards Gawain.

The other statement structure used by Chaucer and the Gawain-poet consists of the performative verb combined with the subject "I." Degree of politeness is determined by the choice of the performative verb.

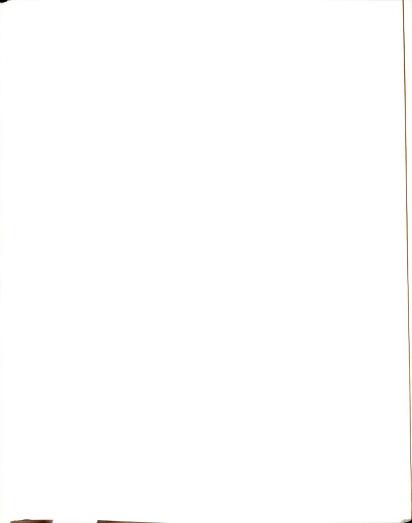
The most often used verbs are conseille, rede, pray and beseke. The verbs conseille and rede mean 'to advise' or 'to counsel.' Both verbs have the same function; they put the speaker in a position of authority. According to speech act theory, one of the conditions of a speech act is that the speaker should be prepared to perform a speech act, if it is to have illocutionary force. However, the other two verbs pray and beseke, do not require the speaker to be in a position of authority. Therefore structures using the verbs pray or beseke can be considered more polite than the verbs conseille and rede when a speech occurs between authoritor and authoritee. The Pardoner says to the Host after his tale by using the verb 'rede':

I rede that oure Host heere shal biginne (VI,941)

The use of the verb rede makes this situation ironical

because the Pardoner is not a position to direct the actions

of the Host. Instead of using the second pronoun to the



Host he uses the words 'the Host' which makes the situation even more ironic.

In the Tale of Melibee Prudence frequently alternates between the imperative and the 'ye shal' forms. In addition, she sometimes uses the statement form of request with the verbs conseille and rede: thanne rede I yow that ye kepe it secre. (VII, 1138)

and: I conseille yow that ye accorde with youre adversaries, and that ye have pees with hem. (VII, 1674)

and: I conseille yow...aboven alle thynges, that ye make pees bitwene God and yow, (VII, 1713)

By using the statement form with the verb conseille or rede as a request, Prudence puts herself in the same position of authority as when she uses the plain imperative and the 'ye shul' structure.

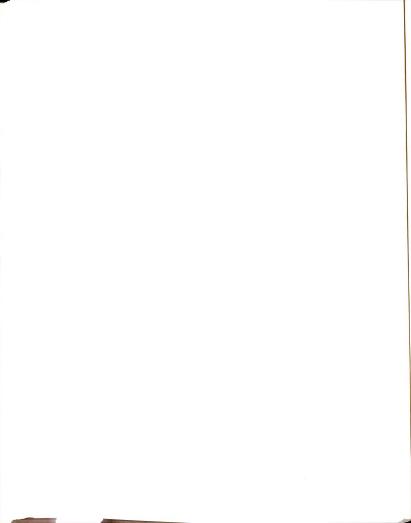
The other two verbs, pray and beseke are considered more polite. The Knight uses this form to the Host and the Pardoner when they quarrel with each other. To the Host he says:

I pray you that you kiss the Pardoner, (VI,965) and to the Pardoner, he says:

I prey thee drawe thee neer, (VI, 966)

This situation requires a more polite form than a direct imperative in order to quiet the quarrel and soothe them. In the Miller's Tale Absolon says to Alison when he needs her mercy:

I praye yow that ye wole rewon me. (I,3362))
And the Reeve, beginning his tale, says:



I pray yow alle that ye nat yow greve Though I answere and somdeel sette his howve, For leveful is with force of-showve

(I,3910-12)

In the Tale of Melibee, in which polite speech usually appears in the use of pronouns and vocatives, Prudence, however, sometimes uses the statement forms of request to Melibeus instead of her usual imperative forms when she wants to emphasize the urgency of the task: "I prey yow that in this necessitee and in this nede ye caste yow to overcome youre herte" (VII,1857); "And I prey yow that ye wole forbere now to do vengence " (VII,1861). Prudence here is urgently requesting that Melibeus overcome his emotions in order that his "goode name may be kept and conserved " (VII,1862).

In the Nun's Priest's Tale, an animal fable, the statement form of request with different verbs can be seen between the two main characters. Chantecleer uses the declarative form with verb 'pray' to his wife:

..., Madame,
I pray yow that ye take it nat agrief
(VII,2892-3)

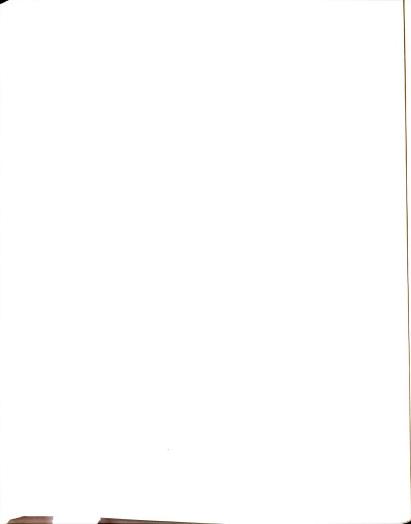
Whereas Pertelote uses the verb conseille:

I conseille yow the beste. I wol nat lye, That bothe pf colere and of malencolye Ye perge yow; (VII, 2945-47)

And then she switches tone to:

...and for ye shal nat tarie, though in this toun is hoon apothecarie, I shal myself to herbs techen yow.

(VII, 2947-49)



She shows herself far from courteous in using request forms, even though the Nun's Priest describes her as "curteys she was, discreet and debonaire" (VII,2871). Instead she shows herself to be an authoritor over her husband by switching styles from the plain imperative to the statement with 'ye shul' and 'I conseille.'

In January's serious speech in the Merchant's Tale, he says to his wife, his social inferior, as they enter the garden:

I prey yow first, in covenant ye me kiss, (IV,2176)
This polite request is used in an asymmetrical situation,
and so creates irony or humor because the true social
superior is acting as an inferior. Normally the social
inferior uses this statement form of request, while the
social superior uses the plain imperative form. In Troilus
and Criseyde Pandarus, other asymmetrical uses can be seen.
Pandarus uses an 'I prey' statement structure to his social
superior, Deiphebus:

I pray yow that ye be Frend to a cause which that toucheth me.

(II,1406-7)

In contrast to this indirect request form, Deiphebus, the superior, uses the most direct form, imperative, to Pandarus:

...but, sey wherfore
It is; for sith that day that I was bore,
I nas, ne nevere mo to ben I thynke,
Ayeins a thing that myghte the forthynke.

(II,1411-14)

And again Pandarus says to Deiphebus:



...Wol ye gon,
If it youre wille be, as I yow preyde,
To speke here of the nedes of Criseyde?

(II,1601-3)

But tell me, thow that woost al this matere, How I myght best avaylen.

(II, 1429-30)

Spek thow thiself also to Troilus
On my byhalve, and prey hym with us dyne.
(II,1457-9)

Telle thow thi neces cas, (II,1611)

Pandarus also uses the indirect request form to Helen,

Deiphebus' wife:

Ye, but wol ye now me here? (II, 1628)

His use of the polite form to Helen indicates his deference toward her because of her higher status.

In addition to the verbs pray and beseche, another verb 'willen' is used in the statement structure as a request. A speaker may choose a volitional statement to motivate another by using 'I wolde.' This form expresses the speaker's wish or desire in a declarative form with the expectation that the addressee will fulfill the wish or desire. This syntactic form of request is considered more polite than that of performative alone since wolde is often used to impart various shades of meaning to the ideas expressed by the verb which are entirely divorced from the notion of past time. This use is known as the 'modal preterite'. As Kerkhof (1982:18) states in Studies in the Language of Geoffrey Chaucer, the preterite of modesty "is used to make a statement or a request in a modest way."

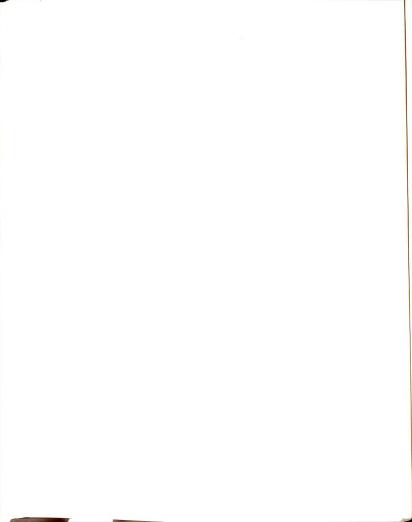
Thus 'wolde' is used as a main verb or a auxilliary verb with another performative verb. In Sir Gawain and the Green Knight, Gawain uses 'wolde' to the Lady:

I wolde yow pray, displese yow neuere (2239)

This speech is considered more polite than the previous 'I prey yow; because of the modal verb 'wolde.'

The question (or interrogative) form is also used as a request with modal verbs such as can or wil which modify the degree of politeness. Both present and preterite form of these modals can be used in making requests. In this interrogative form of request, context is important in differentiating requests from questioning. This form could be interpreted as a yes/no question without context. The interrogative form as request is considered polite because the speaker puts himself in the position of asking rather than demanding.

This interrogative form is usually used in the situation in which the task is difficult to request, because it places the addressee's potential refusal in the context of a hypothetical situation, rather than the here-and-now situation of a direct request. However, a speaker would use this indirect form to demonstrate his modest or gentle personality, regardless of the situation in which he is placed. The most common form is the question form with the modal verbs 'wol' 'wyl' or 'wolde'. This form is mainly used in situations where neither rights nor obligations



exist on either side. In Sir Gawain and the Green Knight
the Lord Bercilak uses this form to Gawain :

Wyl 3e halde is hes here at bys onez? (1090)

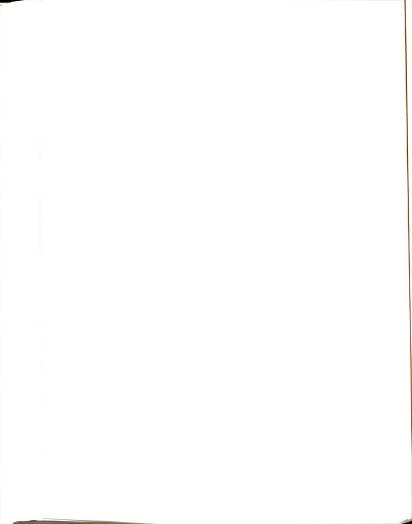
Compared with the previous commands, using the imperative and the statement 'ye shul' structures, this form can be interpreted as a polite request. After he reveals himself as the Lord Bercilak, the Green Knight is usually polite to Gawain, who in turn usually uses the preterite form of the modal verb which is considered to be polite as well.

In Sir Gawain and the Green Knight the protagonist, the 'classical' Gawain, is introduced as the typical hero, who acts entirely in accord with the rules of courtly behavior. As befits his personality, Gawain often employs the indirect polite request form to the King, to Bercilak, and to the Lady. This extremely complex manner of expression is typical of Gawain in situations which most test his cortaysye.

When King Arthur accepts the Green Knight's challenge, Gawain intervenes. When he wishes to turn his uncle, the King, aside from his purpose of taking up the challenge proposed by the Green Knight, he uses the question form with the modal verb wolde as a request:

Wolde 3e, worblich lorde, Bid me bo3e fro bis benche, and stonde by yow bere, (343-44)

Through this interrogative form as a request Gawain shows his supremely courteous attitude to the King by "begging the King to command him to rise," not simply by "asking the



King's permission to rise and give him advice" (Spearing, Criticism and Medieval Poetry, p.41.).

As Spearing notes, "Gawain's cortaysye is expressed most fully in the way in which he says what he says," pointing out the importance of "the manner of expression" (40). Spearing even contends that the content of the speech is delivered in Modern English, but the expressive value can not be fully delivered (40).

And Gawain also uses this question form to the Lady
Bercilak in the first temptation scene, in which Gawain
succeeds in maintaining his politeness, in behavior as well
as in speech:

Bot wolde 3e, lady louely, pen leue me grante, And deprece your prysoun, and pray hym to ryse, (1218-19)

Gawain's use of interrogative form as a request shows his polite attitude towards the lady. Cecily Clark explains Gawain's courtesy in terms of many kinds of utterances which are full of subjunctive forms. 9 For example, Gawain also uses this indirect polite form to the porter:

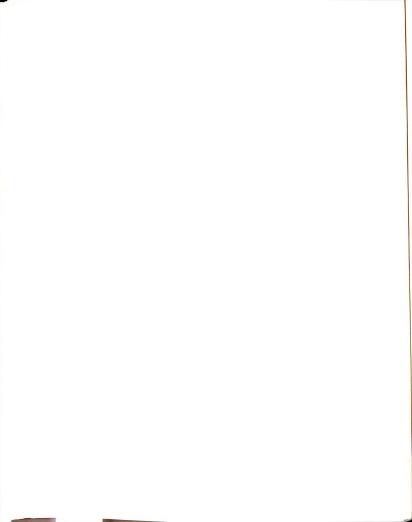
Gode sir, ..., woldez bou go myn ernde To be he3 lorde of bis hous, herber to craue? (811-12)

Thus Gawain displays his good manners by using the polite indirect request form even to his social inferior.

A servant of Bercilak also uses this polite form to Gawain:

Wolde 3e worch bi my witte, 3e worped be better (2096)

This is contrasted to his later use of the imperative form. Forby I say be, as sobe as 3e in sadel sitte,



Com 3e there, 3e be kylled, may pe kny3t rede, Trawe 3e me pat trwely, pa3 3e had twenty lyves to spende.' (2110-12)

and:

Forpy, goude Sir Gawayn, let be gome one, And gotz away sum ober gate, vpon Goddes halue! Cayrez bi sum ober kyth, ber Kryst mot yow spede...
(2118-20)

This switching gives his warning some urgency, but at the same time it tells the reader very clearly that Bercilak is tempting Gawain to stay by pretending to be intimate towards Gawain.

In the Franklin's Tale, Aurelius uses this polite request form to the philosopher when he asks the philosopher to give him time to pay the rent:

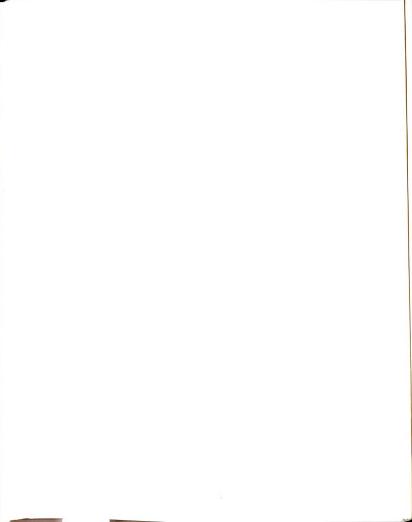
But wolde ye vouchesauf upon seuretee, Two year or thre (V,1581-84)

Aurelius was supposed to pay a thousand pounds in solid gold to that philosopher, but he only gathered up some five hundred pounds. He is thus placed in a position where he needs to ask for the clerk's generosity; and, of course, he needs polite speech in that situation.

The Host uses this indirect request form when he calls upon the Prioress to tell a tale,

Now wol ye vouchesauf (VII, 451)

This usage shows the Host's deferential attitude towards women since, although he has the right to command any Pilgrim, he refrains from commanding the Prioress, and uses a polite request instead. He wields his power over the male Pilgrims including the Knight, through his use of imperative



forms, but his attitude towards the two women Pilgrims, the Wife of Bath and the Prioress, is polite. The use of the indirect form of request to women can show his attitude about woman. He reveals himself as a "hen-pecked husband" (Malone, p.190) when he mentions his marriage at the end of the Tale of Melibee:

...As I am feithful man,
And by that precious corpus Madrian,
I hadde levere than a barel ale
That Goodelief, my wyf, hadde herd this tale.
She nys nothyng of swich pacience
As was this Melibeus wyf Prudence.

(VII, 1891-96)

This is my lif but if that I wol fighte;
And out at dore anon I moot me dighte,
Or elles I am but lost but if that I
Be lik a wilde leoun, fool-hardy.
I woot wel she wol do me slee somday
Som neighebore, and thanne go my way,
For I am perilous with knyf in honde
Al be it that I dar hire nat withstonde,
For she is byg in armes, by my feithThat shal he fynde that hire mysdooth or seith.

(VII,1914-23)

However, this indirect form can be interpreted as sarcasm or as an insult when it is used towards an addressee who cannot always recognize it. In the *Manciple's Tale*, the Manciple use this question form as a request to the Cook in order to insult the latter:

Now, sweete sire, wol ye justen atte fan. (IX, 42)

The Manciple intends sarcasm; when he sees the Cook so drunk that he cannot tell a tale, the Manciple gets the chance to insult the Cook. The antagonism between the Manciple and the Cook is described in the Manciple's Prologue (IX, 1-104).

The modal verb can (or con) or preterite form coude is found in the question form of request. When given as a request, the question about the addressee's ability to perform the task cannot be paraphrased as "Are you able to ..." which would be an acceptable alternative if only syntactic information is taken into account. Could is considered more polite than wyl because wyl construed via its implicated meaning as a request resembles the declarative form in allowing the addressee no freedom to refuse.

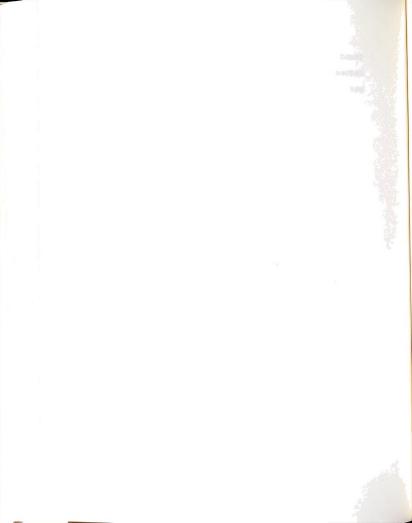
In the Wife of Bath's Tale, the knight asks the ugly old woman to give him an answer. When on the pain of losing his head, he has to reply to the Queen's question "What thyng is it that wommen moost desiren? " (III,905). The knight asks for the woman's help with the use of the polite request form:

Koude ye me wisse, I wolde wel quite youre hire.
(II,1008)

Besides the three basic different forms of request, the most complex structure is used in the most polite situations.

The last request is the most indirect and therefore considered the most polite form if used in an appropriate situation. This request structure is the complex embedding of one of the above structures inside another.

In the Canterbury Tales, the Host uses this extremely polite form to the Prioress when he invites her to tell a story after the Shipman has finished his tale "as curteisly as it had been a mayde" (VII, 445):



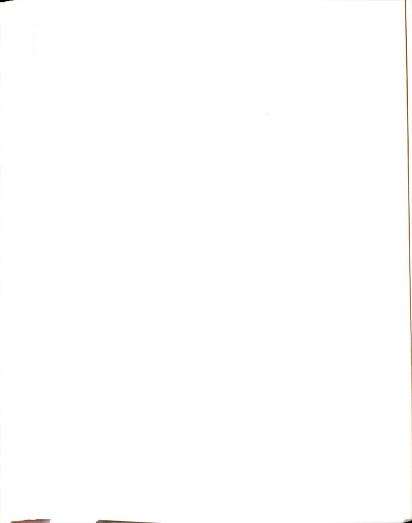
My lady Prioresse, by youre leve,
So that I wiste I sholde yow nat greve,
I wolde demen that ye tellen sholde
A tale next, if so were that ye wolde.
Now wol ye vouchesauf, my lady deere?
(VII.447-51)

Though he is already given the authority to command by the complete agreement of all the Pilgrims, the Host uses the most indirect request form to the Prioress. This extreme politeness could be interpreted as sarcastic. On the other hand, the Host's politeness is understandable considering her social position and the fact that she is a woman. However, his courtesy is excessive because of the use of the complex request form, particularly in a situation in which the right to command has been given to him by his fellow Pilgrims. That the Host does not invite rights and obligations assigned to him suggests the possibility that he "may be slyly poking fun at her extreme emphasis on good manners" (Lumiansky, Of Sondry Folk, p.81) as mentioned in the General Prologue:

In curteisie was set ful muchel hie lest. Hir over-lippe wyped she so clene That in hir coppe ther was bo ferthyng sene Of grace, whan she dronken hadde hir draughte. Ful sikerly she was of greet desport, And ful plesaunt, and amyable of port, And peyned hire to countrefete cherre Of court, and to been estattlich of manere, And to ben holden digne of reverence.

The Pilgrim Pardoner also uses this complex form to the Wife of Bath:

Dame, I wolde pray yow, if youre wyl it were, Seyde this Pardoner, "as ye began,



Telle forth youre tale; sparath for no man, And teche us yonge men of youre practice. (III.184-87)

His suggestion that woman is held in high-esteem is some sort of jape.

In Sir Gawain and the Green Knight, Gawain uses this complex form when addressing to the Lord of Bercilak:

Forby, sir, bis enquest I require yow here, pat 3e me telle with trawthe if euer 3e tale herde Of be grene chapel, quere hit on grounde stondez, And of be kny3t bat hit kepes, of colour of grene. (1056-59)

Before the Green Knight identifies himself as Bercilak Gawain uses plain imperative forms to the Green Knight, with which Gawain challenges the Green Knight's authority. However, since Gawain does not recognize Bercilak as being the same man as the Green Knight, he requests in polite terms as usual.

So far I have classified the request forms from the most direct to the most indirect: explicit imperatives, modified imperatives, indirect requests and indirect complex forms depending on the context in which requests occur.

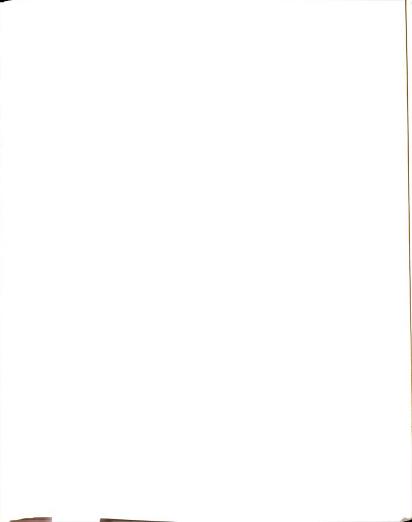
As the most direct form, imperatives are employed in situations in which obligations and rights between speaker and addressee exist or where the relationship of authoritor and authoritee has been established. The relative social status of the speaker and the addressee is included in the authoritor and the authoritee situations. The social superior is, in many cases, in the position of authoritor over the social inferior but not always as shown in Chaucer.

This imperative form is also used in intimate situations or offensive situations. Benefit is another factor which affects the choice of the request form. If the benefit is on the side of the addressee, the speaker uses a more direct form of request. If the benefit is on the side of the speaker, the speaker uses a more indirect form of request.

On the other hand, the most indirect form of request is considered the most polite if used in appropriate situations. However, depending on the context in which they are used, the forms of request could have different meanings. Other factors such as the uniqueness of the task and the relative status of the speaker and addressee might influence choice of request form. Where these other factors occur, I have included them in the descriptions of the situations. Generally speaking, the less likely the addressee is to perform the task, the more likely the speaker is to use the more polite or indirect request form. If the speaker has the right to command and the addressee has the obligation to follow, the task is not likely to be considered difficult, because the addressee already has the obligation to perform it, if asked. Therefore, the speaker uses the direct or plain imperative because there is no need to be polite. If the speaker is emphasizing the task or if extenuating circumstances exist, the speaker will often choose the modified imperative form. When a speaker has no authority to command, that is, having a poor chance of receiving whatever he is requesting, he makes his request

known in an indirect manner in order to try to encourage the favor of the person who can grant their request. Finally, the indirect complex form (a combination of the indirect form and another form) is used primarily in the situation where no rights and obligations exist at all. Since the most indirect speech could be the most polite, this complex form shows the extreme politeness if it is used in an appropriate situation, otherwise it could be interpreted as sarcasm or as an insult towards the addressee.

Chaucer and the Gawain-poet make the most of the various syntactic forms of request to distinguish the situations and the personalities of their characters. Chaucer employs various syntactic forms freely as requests to show the position of his characters and the task requested in his works. On the other hand, the Gawain-poet uses various syntactic forms of request as the instrument of characterization depending on the context.



Notes

¹Halliday (1978) divides social context within which utterance occurs into two categories: social and situational.

² In her article "Is Sibil there: The Structure of some American Directives," Ervin-Tripp classifies requests into six categories depending on the situation. She identifies them, ordered approximately according to the relative power of speaker and addressee; need statements; imperatives; imbedded imperatives; permission directives; question directives and hints. Language in Society, 5 (1976), 25-26.

³Searle, Speech Acts, pp.57-61 and Austin, How to do Things with Words, pp.94-108. Although recent theoretical discussions disagree on the exact nature of a perlocution, those disagreements do not affect the focus of this study.

 4 I borrow these terms from Leech (1980) in *Explorations* in *Semantics and Pragmatics*. He refers to speaker as the authoritor, and addressee as the authoritee when the speaker is in the position of superiority over the addressee.

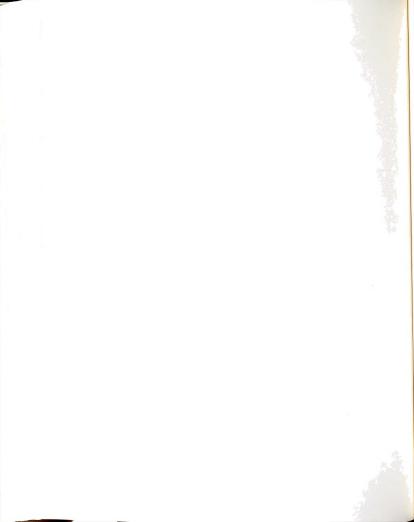
5J. Kerkhof, Studies in the Language of Geoffrey Chaucer, 1982, pp.53-54).

a. The stem-form of strong verbs, used to address one person in a familiar way; b. a form in -e with most weak verbs, used in the same function, but also to address more than one person. c. a form in -eth with both strong and weak verbs, used to address one person politely or to address more than one person.

 $^{6}\mbox{Because}$ the guide is also testing Gawain, as is the Green Knight.

 $^{7}{\rm The~lady}$ says to Gawain: "I schal gif yow my girdel pat gaynes yow lasse."

8In the General Prologue, the Monk's liking for hunting is mentioned: Of prykyng and of huntyng for the hare Was al his lust, for no cost wolde he spare.

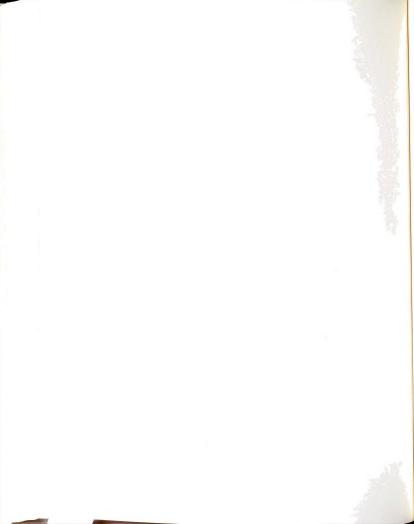


⁹Cecily Clark compares Gawain's speech with the Green Knight's in terms of subjunctive mood, explaining Gawain's speech is full of subjunctive forms, but the Green Knight's indicative in her article "Sir Gawain and The Green Knight: Characterisation by Syntax."

V. CONCLUSION

I have noted social factors determining the use of polite speech in late Middle English by examining the distinction of the two second singular personal pronouns ye and thou; by looking at the use of various vocative forms; and by examining the use of different request forms in Chaucer and the Gawain-poet. In classifying the usage of the second person pronouns and vocatives I have focused on social patterns which include social aspects of language use, while in request forms I have focused more on the situational patterns in which they are used.

Through the classification of polite speech in terms of the distinctive use of the second singular pronouns ye and thou I found that the conventional singular pronoun thou was still used as the normal usage of the second singular pronoun as seen in the *Knight's Tale* (Duke Theseus is addressed as thou by his subordinates). Therefore the singular use of ye can have special meaning depending upon the context; that is, the respect or humility and sometimes the sarcasm of the speaker. The second person pronoun ye also began to be used as a formal address to any one within the high class and then the usage spread to the low class because of its connotation of politeness. Thus, the



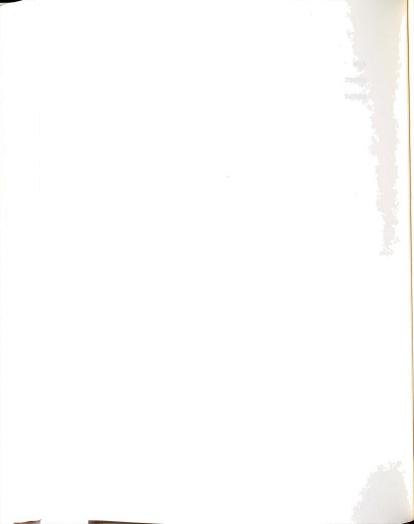
singular ye came to be used as a marked form for polite speech in either respectful or sarcastic situations by anyclass, whereas the conventional thou was still used as an unmarked form.

Through the symmetrical or asymmetrical use of vocative terms between two people we can see the mobility of middle class society as well as the systems of hierarchy in those societies. In symmetrical situations, kinship terms and personal names are widely used to show intimacy and animosity rather than politeness. The speaker uses the kinship terms such as brother, suster, cosyn, nece and uncle, and personal names, or both, to show his intimate feeling towards the addressee. Non-honorific common nouns are also used in intimate or hostile situations. In intimate situations the speaker sometimes uses non-honorific common nouns such as frend and fool. The speaker also uses non-honorific common nouns such as carl, cherl or theef, instead of kinship terms in offensive situations. In asymmetrical situations, kinship terms and personal names are used by a social superior to a social inferior who addresses his superior with an honorific title. In contrast to the honorific titles, however, non-honorific common nouns carry more meaning than that of their Modern English counterparts. Such words as lemman, burne, wight, wyf or husband in Middle English are considered neutral or less polite vocatives.

Professional or rank labels without any honorific title are also used as neutral vocatives even though they are sometimes used to insult the addressee. This especially occurs when there is animosity between professional enemies.

As for honorific titles, sire, lorde, maister, dame, madame and lady are used to show the speaker's polite attitude towards the addressee. Though all these honorific titles were first used only to social superiors, they came to be used as address terms to any man and woman to show politeness, whether it is authentic or pretentious. Because the words maister and lorde carry the specific connotations of 'learnedness' and 'supremacy,' the title sire is more widely used in polite speech to refer to a man. . In the same way, madame is more widely used as a vocative to a woman rather than lady or dame. Though all the honorific titles were originally used to a person of authority, some honorific titles stand higher than others depending upon the situation. Therefore the social context surrounding the use of those titles is important in interpreting the meaning in these late Middle English works. Above all, such honorific titles as sire, dame, and madame gradually came to be used to any class in polite speech just like the use of the second singular personal pronoun ve.

In Chapter 3, I have explored the conditions under which the speaker uses one particular linguistic request form over another. If one linguistic form is to be considered as a request, the conditions of the speaker and



the addressee should both be met in the situation in which that request takes place. I have considered different forms of requests from the most direct to the most indirect. Generally these forms are made in the structure of three different basic forms, imperatives, statements and questions. Different syntactic requests should be interpreted within the social context. And the same request form may be interpreted differently depending on the social context. For example, the most direct request, command, is usually made in the form of an imperative with the speaker in a position of authority. However, in other situations, the imperative form indicates either the speaker's intimacy or his rudeness. In the same way, indirect request forms such as statement and question can be interpreted as an insult or sarcasm when directed towards a person of lower status. Otherwise they should be considered more polite than plain imperatives. Therefore, these two poets capture the way a character shifts or manipulates his style of request forms to create a certain kind of relationship with another character depending on the situation.

Through the symmetrical or asymmetrical use of linguistic variants Chaucer and the <code>Gawain</code>-poet show significant linguistic awareness in their manipulation of verbal distinctions. The hierarchical social structure in feudal medieval England is reflected in linguistic variations, which can be accounted for in terms of politeness at the lexical and syntactic levels in the works

of these two poets. Two distinctive classes in that society, the higher class and the lower class use their appropriate style of language, reflecting Chaucer's distinction of the gentil from the cherl. However, the mobility of the middle class, which lies in between the hereditary nobility and the lower commoners, is reflected in their usage of the various linguistic forms found in late Middle English literature. Besides the social status of the characters and their relationships these two poets show their judgment of social system or value through the poets' viewpoints. Each poet also emphasizes such private issues as 'gentilesse,' 'courtesy,' 'courtly love,' 'marriage' and 'friendship' through the different linguistic choices of their characters which, in turn, are functions of context.

However, these two poets' attitudes towards the social life of that period often represent an ideal rather than an actual condition with regard to polite speech. The most important factor which affects polite speech in these two poets is morality, as mentioned in Chapter 1, which makes this poetry didactic. For example, the honorific polite ye was used in their poetry more to superiors in terms of morality than to those superior in terms of social rank. Chaucer's insistence on the unimportance of wealth and social station, and the Gawain-poet's insistence on the value of goodness as the essential virtue for nobility, are not quite congruous with the societies in which these authors lived. Whether or not the normal linguistic usage

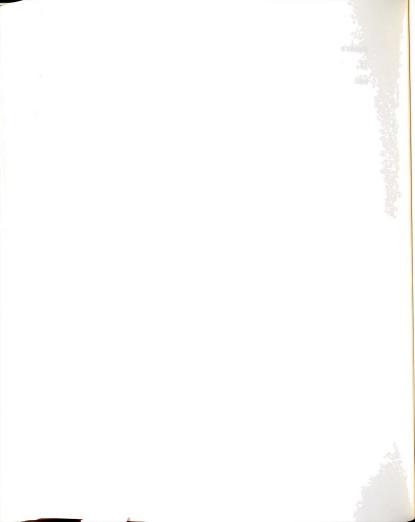
in their literature is the same as that of real life, each poet sets the norm in his own way and then shows the personality of his characters by having them either observe or break it. Thus the shifts of linguistic form reflect not only the changing relationship between these authors' characters but also their evaluation of the situation.

With the similarity in showing politeness, there is a little difference between Chaucer and the <code>Gawain-poet</code> in use of linguistic variants. The <code>Gawain-poet</code>, whose language is the dialect of the Northwest Midlands, sometimes chooses some linguistic variants based on alliteration and meter rather than on the meaning of politeness at the lexical level. For example, in the choice of vocatives he uses for alliteration and meter non-honorific common nouns which are of Old English derivation, which Chaucer doesn't use, instead of honorific titles. As for requests at syntactic level, however, he makes the best of the different syntactic forms to contrast the situations in which the personality of his characters is shown through the use of various request forms.

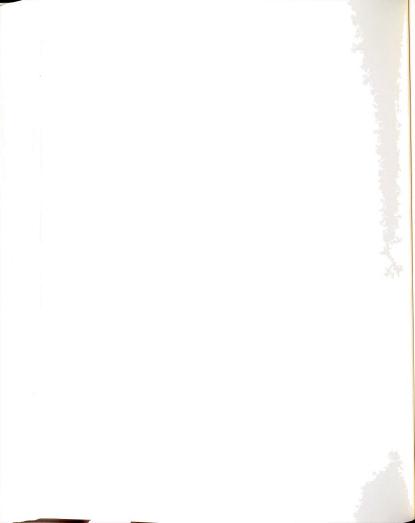
Chaucer, whose language is the dialect of the East-Midlands, attaches himself to French literary fashion, holding himself from native influences, particularly, in the use of vocatives. He chooses each linguistic variant consistently depending on the character and the situation rather than on meter or rhyme to provide an apt vehicle for moral statement. As Chaucer himself makes clear in the

General Prologue " the wordes moote be cosyn to the dede" (I,742). He is thus keenly aware of the referential and social meaning.

In conclusion I would like to say that speech should be interpreted as 'polite' when it is used in an appropriate situation in which it is spoken, like Swift's definition of style, "proper words in proper places."



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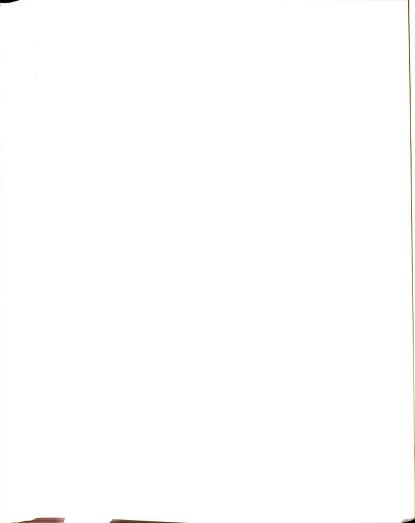
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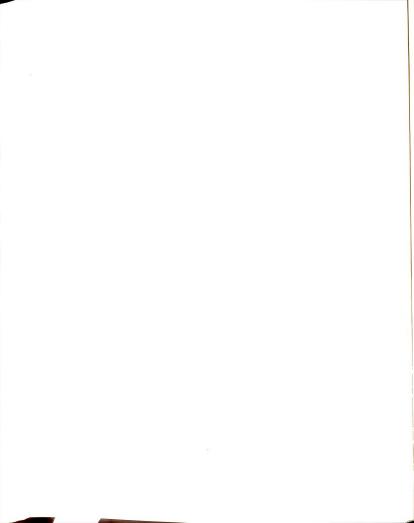


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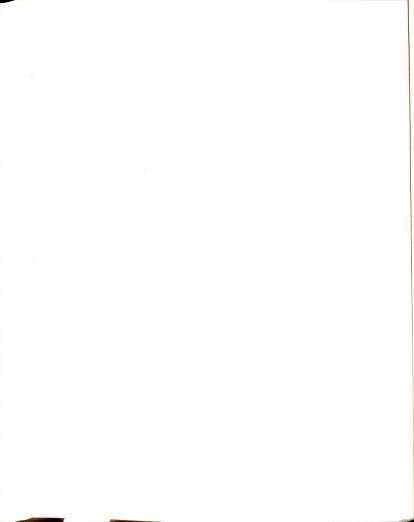


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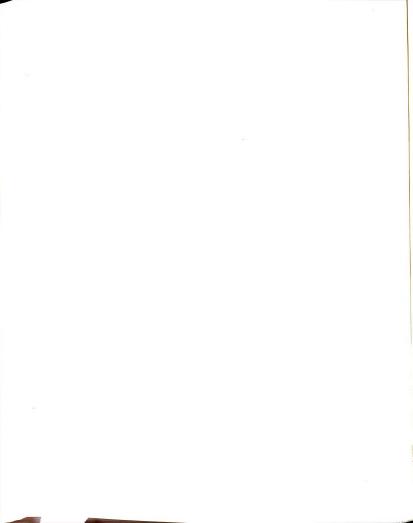
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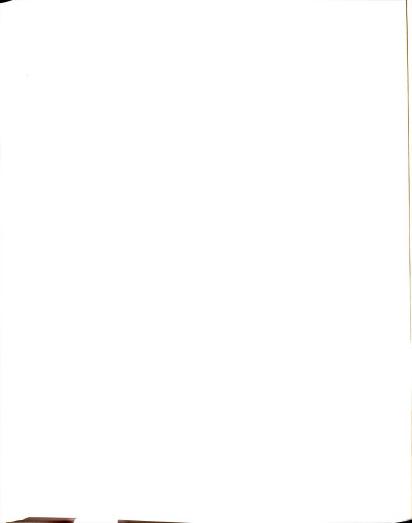


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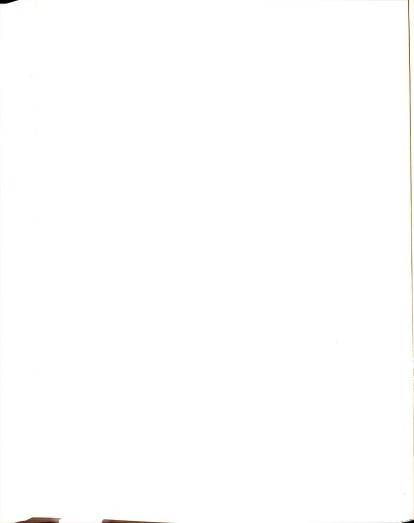
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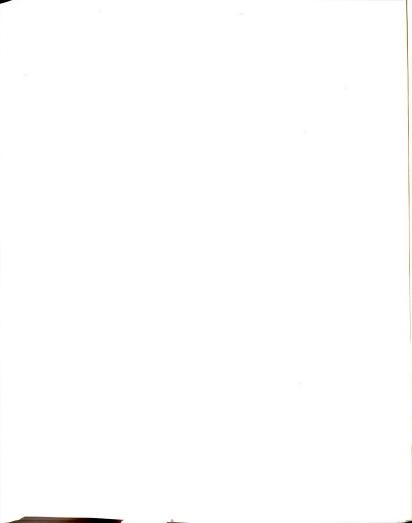


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