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FRUIT RESPIRATION AND DETERMINATION OF LOW OXYGEN LIMITS

FOR APPLE (MALUS DOMESTICA, BORKH.) FRUIT

presented by

Christopher David Gran

has been accepted towards fulfillment of the requirements for

M.S. degree in Horticulture

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# FRUIT RESPIRATION AND DETERMINATION OF LOW OXYGEN LIMITS FOR APPLE (MALUS DOMESTICA, BORKH.) FRUIT

Ву

Christopher David Gran

#### A THESIS

Submitted to
Michigan State University
in partial fulfillment of the requirements
for the degree of

MASTER OF SCIENCE

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### **ABSTRACT**

# FRUIT RESPIRATION AND DETERMINATION OF LOW OXYGEN LIMITS FOR APPLE (MALUS DOMESTICA, BORKH.) FRUIT

By

### Christopher David Gran

Low oxygen (O<sub>2</sub>) storage of apple (*Malus domestica*, Borkh.) fruit is an important practice which slows respiration rates and maintains fruit quality longer than air storage. The experiments conducted employed modified atmosphere packaging (MAP) techniques to determine low O<sub>2</sub> limits of commercially stored apple cultivars over a range of temperatures. The low O<sub>2</sub> limit was determined from fruit respiratory quotient (RQ) breakpoint and level of ethanol (EtOH) in package headspace. Generally, as temperature increased, the low O<sub>2</sub> limit increased, however the extent of the effect was cultivar-dependent. CO<sub>2</sub> removal from package headspaces did not alter low O<sub>2</sub> limits. RQ breakpoint and elevated headspace EtOH were found to be useful tools for determining low O<sub>2</sub> limits. Low O<sub>2</sub> limits determined by elevated EtOH levels are in agreement with to recommended storage atmospheres, while values of low O<sub>2</sub> limits determined by the RQ breakpoint were slightly lower.

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1993

# For Victor L. Leidel 1919-1988

If I had a choice
I'd break it
bury my memory
bones
wander off into the sea
and cast my lonely face
towards home
no crashing screams
no faded shore
I know too well the
nature balanced score

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### Guidance Committee:

The journal paper format was chosen for this thesis in accordance with departmental and university regulations. The thesis is divided into 3 chapters in which the first has been accepted for publication in *Postharvest Biology and Technology*. The second and third chapters have been prepared according to format requirements for *Postharvest Biology and Technology* but have not been submitted.

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#### LIST OF SYMBOLS AND ABBREVIATIONS

A surface area atm atmosphere cm centimeter C celsius

CA controlled-atmosphere C<sub>2</sub>H<sub>4</sub> ethylene (ethene) Ca(OH)<sub>2</sub> hydrated lime CO<sub>2</sub> carbon dioxide

[CO<sub>2</sub>]<sub>stm</sub> atmospheric carbon dioxide partial pressure package carbon dioxide partial pressure

EtOH ethanol (ethyl alcohol)
[EtOH]<sub>oke</sub> package ethanol level

 $\begin{array}{ll} g & gram \\ h & hour \\ H_2O & water \\ kg & kilogram \\ kPa & kilopascal \end{array}$ 

LDPE low density polyethylene MA modified-atmosphere

MAP modified-atmosphere packaging

 $\begin{array}{ll} \text{min} & \text{minute} \\ \text{mmol} & \text{millimole} \\ \text{O}_2 & \text{oxygen} \end{array}$ 

 $[O_2]_{atm}$  atmospheric oxygen partial pressure package oxygen partial pressure

ppm parts per million  $(\mu l/l)$ 

P<sub>CO2</sub> partial pressure of carbon dioxide

 $P_{02}$  partial pressure of oxygen

P<sub>co2</sub> carbon dioxide permeability coefficient

P<sub>02</sub> oxygen permeability coefficient
P<sub>v</sub> water vapor permeability coefficient

RH relative humidity
RQ respiratory quotient

r resistance (s·cm<sup>-1</sup>) of tissue to gas transport

r<sub>v</sub> resistance (s·cm<sup>-1</sup>) of tissue to H<sub>2</sub>O vapor transport

 $r_{CO2}$  resistance (s·cm<sup>-1</sup>) of tissue to  $CO_2$  transport

### LIST OF SYMBOLS AND ABBREVIATIONS (cont.)

 $r_{O2}$  resistance (s·cm<sup>-1</sup>) of tissue to  $O_2$  transport  $r_{C2H4}$  resistance (s·cm<sup>-1</sup>) of tissue to  $C_2H_4$  transport

RR<sub>C02</sub> rate of carbon dioxide production

RR<sub>02</sub> rate of oxygen uptake T temperature (°C)

s second W fruit weight

X thickness of low density polyethylene

μl microliter

### LIST OF BOTANICAL NAMES

Common name Botanical name

Apple Malus domestica, Borkh.

Avocado Persea americana, Mill.

Banana Musa, L. sp.

Blueberry Vaccinium corymbosum sp.

Cantaloupe Cucumis melo, L.

Citrus, L. sp.

Grapefruit Citrus paradisi, Macf.

Mango Magifera indica, L.

Onion Allium sp.

Orange Citrus sinensis, L. Osbeck

Peach Prunus persica, L.

Pear Pyrus communicus, L.

Pumpkin Cucurbita pepo, L.

Strawberry Fragaria x ananassa, Duch.

Tomato Lycopersicon esculentum, Mill.

### LITERATURE REVIEW

Fruit respiration, fruit storage, the internal atmospheres of fruit, and gas movement through bulky tissues have interested plant scientists for over 100 years. The movement of water vapor (H<sub>2</sub>O), oxygen (O<sub>2</sub>), carbon dioxide (CO<sub>2</sub>), and ethylene (C<sub>2</sub>H<sub>4</sub>) through fruit cuticles has significant implications for the respiratory activity, ripening, and ultimately, the storability of bulky plant tissues. The purpose of this literature review is to investigate specific paths of gas movement in fruits and the physiology of low O<sub>2</sub> storage of fruits.

### Transpirational vapor movement.

The characteristics of  $H_2O$  vapor movement through bulky plant tissues varies from the other biologically active gases (ie.  $O_2$ ,  $CO_2$ , and  $C_2H_4$ ). Resistance to  $H_2O$  transport  $(r_v)$  in 'Valencia' oranges (*Citrus sinensis*, L. Osbeck) has been measured at  $110 \text{ s} \cdot \text{cm}^{-1}$ , compared with transport resistance values of 5700, 6000, and 6900  $\text{s} \cdot \text{cm}^{-1}$  for  $CO_2$   $(r_{CO2})$ ,  $O_2$   $(r_{O2})$ , and  $C_2H_4$   $(r_{C2H4})$ , respectively (Ben-Yehoshua, *et al.*, 1985).

Pieniazek (1944), examining apple fruit transpiration, found no correlation between cuticle thickness and rate of transpiration. Blocking of lenticels with paraffin reduced transpirational weight loss by 8 to 25%, while the removal of the wax coating from the cuticle using cheesecloth resulted in a 7% to 88% increase in transpiration rate. Increases in transpiration rates as low as 7% indicate incomplete removal of the wax coating.

Horrocks (1964), in his study of the waxes of apple fruits, concluded "...the cuticular wax is a prime factor determining the impedance to water vapour of an

apple cuticle. It is possible that the density or geometry of the lenticels is important or it may be that the distribution or type of wax plays the dominant part". In addition,  $H_2O$  vapor permeability ( $P_v^{\bullet}$ ) of apple tissue was found to be similar to that for isolated peels.

Ben-Yehoshua *et al.*, (1985), using a scanning electron microscope (SEM), showed waxing to partially or completely plug open stomatal pores of 'Valencia' oranges, increasing the resistance to the transport of  $CO_2$ ,  $O_2$ , and  $C_2H_4$  by 140, 250, and 100%, respectively, while only increasing the  $r_v$  by 25%. Conversely, sealing individual fruits within high density polyethylene (HDPE) increased  $r_v$  by 1400%, while increasing  $r_{CO2}$ ,  $r_{O2}$ , and  $r_{C2H4}$  by 72, 230, and 25%, respectively. Results indicate  $H_2O$  vapor moves through the cuticle by a pathway different from that of  $CO_2$ ,  $O_2$ , and  $C_2H_4$ .

Schönherr (1976), examining the influence of cuticular waxes on P\*, found diffusion for H<sub>2</sub>O through the cuticle membrane to be completely determined by the permeability coefficient of the cuticular waxes for citrus, pear (*Pyrus communicus*, L.) and onion (*Allium*, sp.). Schönherr and Schmidt (1979) found P\*, to be independent of cuticle thickness. Further studies which consisted of the extraction of cuticular waxes increased P\*, by 300- to 500-fold without cuticle thickness alterations of equal magnitude. Cuticular resistance is not a function of thickness, and therefore for isolated cuticles H<sub>2</sub>O vapor movement does not obey Fick's Law of Diffusion, but is a function of the diffusional matrix and the diffusion coefficient resulting from cuticular composition.

Schönherr and Schmidt (1979) next tested the hypothesis that vapor pressure deficits alter cuticular permeability coefficients. Using isolated cuticles of citrus they determined  $P_{vv}^*$  transpiration ( $J_{cl}$ ), and  $r_{vv}$  and found that each varied with both pH and  $H_2O$  vapor activity ( $a_{vv}$ ), where  $a_{vv}$ =relative humidity (RH)/100. Based upon the observations of both citrus and artificial membranes, two models were developed for membrane transport (Schönherr and Schmidt, 1979).

Model I is a porous membrane, which consists of a lipid matrix containing H<sub>2</sub>O-filled pores (Figure 1). These pores are such that pore length exceeds membrane thickness, and they form as a result of hydration of polar functional groups within the membrane matrix. Varying a<sub>w</sub> will both increase the driving force of diffusion and the flux of H<sub>2</sub>O vapor, reducing the H<sub>2</sub>O content of the

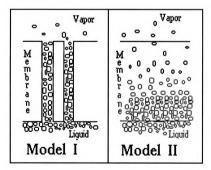


Figure 1. Transport models for lipid membranes. Model I. Porous membrane. Model II. Solubility membrane. Adapted from Schönherr and Schmidt, 1979.

membrane and, therefore, the  $P_{v}^{\bullet}$  for the membrane. The effect of  $a_{wv}$  upon the diffusion coefficient can be described by:

$$P^{\bullet}_{\ \nu} = \frac{D \cdot n \cdot \pi \cdot r^2}{\theta} \tag{1}$$

where P\*<sub>v</sub> is directly proportional to D, the diffusion coefficient (Schönherr and Schmidt, 1979). The relationship of the number of pores (n), and the square of the pore radius (r), are inversely proportional to diffusional path length (Θ). The driving force for diffusion is the sum of the vapor pressure gradients for each given gas. Experimental results indicated that for cuticles, pore number increased with increasing pH, while pore diameter was independent of pH (Schönherr, 1976). This model is believed to represent gas phase movement in apples of O<sub>2</sub>, CO<sub>2</sub>, and C<sub>2</sub>H<sub>4</sub>, but not H<sub>2</sub>O vapor, with CO<sub>2</sub>, O<sub>2</sub>, and C<sub>2</sub>H<sub>4</sub> gas movement facilitated by residually open lenticels.

Model II represents a solubility membrane, in which the molecules of the membrane are tightly packed and no pores develop which traverse the membrane. H<sub>2</sub>O molecules move through the cuticle independently, and this movement is only accomplished with the breaking of all H bonds between neighboring H<sub>2</sub>O molecules (Figure 2). Molecular movement through the membrane occurs in the gas phase, with transition to the gas phase occurring at the membrane/liquid phase interface, or the interior surface of the membrane structure. Because of the semi-closed nature of this system, diffusion and the permeability coefficient

are constant and independent of a<sub>wv</sub>, the H<sub>2</sub>O vapor activity. The driving force for movement across the membrane is the partial pressure gradient for H<sub>2</sub>O across the membrane.

The driving forces for H<sub>2</sub>O vapor movement across the membrane in the two models differ in that Model I, the porous membrane, the driving force is the difference in H<sub>2</sub>O potential between the inner and outer surface of the membrane, while in Model II the driving force is the partial pressure of H<sub>2</sub>O vapor differences across the membrane. Data indicate that Model II is representative of H<sub>2</sub>O vapor diffusion and transpirational resistance (Burg, 1990). This conclusion is based upon determinations of transpirational resistance for fruits ranging from 33 to 200 s·cm<sup>-1</sup>, compared with cuticular resistance to water of 30 to 290 s·cm<sup>-1</sup> for the adaxial surfaces of hypostomatous leaves (Ben-Yehoshua *et al.*, 1985). Because

r, is not a function of cuticle thickness for

isolated cuticles (Schönherr and Schmidt, 1979), a change in the resistance to gas exchange must occur with the isolation process.

In studies of transpirational H<sub>2</sub>O loss and the openness of lenticels, apple (Malus domestica, Borkh,) peels stored under increased RH had less resistance to H<sub>2</sub>O vapor loss (Anon., 1953). Models developed by Fockens and Meffert (1972) show loss of mass due to evaporation depends upon the cuticular resistance of the fruit, with cell shape and intercellular space affecting r<sub>v</sub>. High RH results in H<sub>2</sub>O uptake by the cell, circular shaped cells, increased intercellular spaces, and

decreased diffusional resistance (r) of skin tissue, and visa versa. Schönherr and Schmidt (1979) have shown that transpirational H<sub>2</sub>O loss, as well as gas phase diffusion, depended upon the barrier matrix and phase of transfer inherent for each tissue system.

### The barriers to gas movement.

Maintaining O<sub>2</sub> levels sufficient for aerobic respiration in tissues at the center of fruit tissues, especially large fruits, poses an interesting problem. What are the driving forces for gas movement into and out of fruit tissues? What is the behavior of gas movement through fruit tissues, and what factors determine gas movement behavior? Are gas exchange characteristics static through the stages of maturation?

When examining a fruit, the most obvious barrier to gas exchange is the epidermis, or skin, of the fruit. Kidd and West (1934) hypothesized that cuticle permeability influenced fruit respiration during controlled-atmosphere (CA) storage.  $P_{CO2}$  and  $P_{O2}$  values were determined "...by dividing at corresponding times the rate of carbon dioxide-production by the concentration of carbon dioxide and by the oxygen deficit in the internal atmosphere respectively." (Kidd and West, 1949). Examination of the influence of apple skin permeability upon respiration determined low  $P_{CO2}$  decreased respiration rates. Apple cultivars varied in storage life, internal gas compositions, and susceptibility to storage damage. Time course data indicated cuticle  $P_{CO2}$  and  $P_{O2}$  did not change significantly through the climacteric, although after 60 days storage at 12° C

cuticular  $r_{02}$  increased more rapidly and to a greater extent than  $r_{CO2}$ . Similar increases in  $r_{02}$  in comparison with  $r_{CO2}$  were noted by Trout *et al.*, (1942). For all cultivars examined, permeability to  $P^{\bullet}_{O2}$  was less than  $P^{\bullet}_{CO2}$ . Differences in  $P^{\bullet}_{O2}$  and  $P^{\bullet}_{CO2}$  within the fruit may directly influence storage disorders in CA storage.

Another factor thought to influence tissue permeabilities is tissue cell structure. Fockens and Meffert (1972), demonstrated that changes in cell structure were correlated with changes in RH. This underscores the importance of cell structure in relation to diffusional resistance. Increased RH results in H<sub>2</sub>O uptake by the cell, swollen cells, and larger intercellular airspaces, and vise versa (Figure 2). Diffusional resistance is high when intercellular space is reduced and cells have a reduced cross-sectional width. Park (1990) has also shown a change in the gas exchange characteristics of fruit through development with variations thought to be directly influenced by the collapse of stomatal guard cells and the blocking of skin pores.

Trout et al., (1942) tested the hypothesis that diffusional resistance of the fleshy tissues is negligible compared to cuticular resistance. Removal of the apple fruit's skin, O<sub>2</sub> levels increased from 6% to 20% while CO<sub>2</sub> levels decreased from 7.2% to 2.4%. Similar changes in O<sub>2</sub> and CO<sub>2</sub> concentrations were seen when small sections of the peel were removed. Based upon these results, Trout et al., concluded that, for apples, determination of the cuticular resistance to diffusion is an accurate measure of a fruit's total diffusional resistance.

Biochemical analyses of cuticles have determined their structure to be a

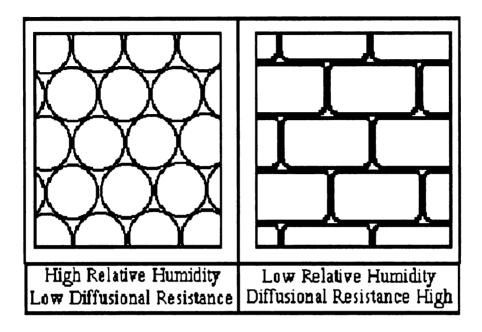


Figure 2. Structure of apple skin modeling the differences in cell structure and intercellular air spaces with changes in relative humidity. Adapted from Fockens and Meffert, 1972, J. Fd. Sci. Agric.

polymer membrane consisting of a cutin polymer matrix. This matrix contains imbedded lipids which are often referred to as waxes (Schönherr and Bukovac, 1973). These layers of lipids are oriented in a fashion parallel to the surface.  $P^*_{O2}$ ,  $P^*_{CO2}$ , and  $P^*_{C2H4}$  may also change when the composition of the cuticle, or waxy layer, changes.

#### Gas movement through bulky fruit tissues.

Burg and Burg (1965) investigated gas movement and asked whether diffusion of gases through fruit tissues obeys Fick's First Law, which may be represented as:

$$\frac{ds}{dt} = \frac{-x \cdot \hat{A} \cdot D_i \cdot (C_{internal} - C_{external})}{T}$$
 (2)

where ds/dt is the rate of transport, D is the diffusion coefficient,  $C_{internal}$  and  $C_{external}$  the concentrations of gas within and outside the fruit, respectively, T the effective thickness of the barrier to diffusion, 'A the surface area of the fruit, and x the fraction of the surface area through which gas exchange occurs (value from 0-1). This relationship will hold when the gases are at steady state. From this formula, a value r, the resistance coefficient for the barrier to a specific gas, can be generated. For a gas such a  $CO_2$ , which has an approximate  $C_{external}$  value of 0, Fick's Law can be reduced to:

$$\frac{C_{internal}}{ds / dt} = \frac{-T}{x \cdot \hat{\lambda} \cdot D} = r \tag{3}$$

Note that the resistance factor, r, when  $C_{\text{external}}$  has a value of 0, divided by the rate of transport (ds/dt), is the inverse of the permeability factor used by Kidd and West (1934) in their determination of gas exchange rates, analogous to the relationship of resistance and conductance for the flow of current.

Diffusion of CO<sub>2</sub> and C<sub>2</sub>H<sub>4</sub> for preclimacteric tissues of cantaloupe (*Cucumis melo*, L.) fruit (Lyons *et al.*, 1962), bananas and mangoes (*Magifera indica*, L.) were found to obey Fick's First Law (Burg and Burg, 1962). Climacteric avocado (*Persea americana*, Mill.) fruit (Ben-Yehoshua *et al.*, 1963) stored apples (Trout *et* 

al., 1942), and post-climacteric bananas (Leonard and Wardlaw, 1941), however, do not. This indicates that a changed diffusional pathway or the barrier matrix to diffusion alters diffusional resistance during the course of the climacteric or aging. Cellular breakdown and the loss of cell turgor with cell senescence and the loss of water by transpiration, which then results in reduced intercellular airspace for the diffusional movement of gases into and out of the fruit, may be the cause of the deviation in results.

Studies using avocado and peach (*Prunus persica*, L.) by Rodriguez *et al.*, (1989) show the correlation between loss of flesh firmness and increased  $r_{02}$ ,  $r_{CO2}$ , and  $r_{C2H4}$ . The drastic changes in flesh texture and associated losses in unobstructed intercellular pathways have direct influences on gas exchange characteristics with fruit ripening. The dynamic nature of fruit maturation and ripening further complicate the mechanisms of fruit gas exchange and respiration.

Increases in the matrix barrier resistance, which would occur with cell wall softening, cellular membrane ruptures, and subsequent flooding of the intercellular airspaces of tissues and the pathways of gas diffusion, would result in an alteration in gas exchange properties. During flooding of intercellular air spaces, gas movement through this liquid matrix is substantially slower for O<sub>2</sub> and C<sub>2</sub>H<sub>4</sub> when compared with CO<sub>2</sub> rates of diffusion. More importantly, flooding would reduce levels of O<sub>2</sub> available to internal cells because the diffusivity of O<sub>2</sub> in water is 10<sup>6</sup> less than in air (Burg and Burg, 1965). Examinations of the intercellular air space of apples have shown 30-35% of the total fruit volume

consists of intercellular gas (Smith, 1947). Although a significant percent of the fruit's volume is open space, minor losses of intercellular space will reduce the continuous pathways for the unrestricted movement of gaseous O<sub>2</sub> and CO<sub>2</sub> through fruit tissues.

The rate limiting step in the outward passage of  $CO_2$  and  $C_2H_4$  gas occurs in a media where both possess nearly identical diffusivities (Burg and Burg, 1965; Ben-Yehoshua *et al.*, 1985). On the basis of solubilities of  $CO_2$ ,  $C_2H_4$ ,  $O_2$ , and water vapor in lipids, the rates of diffusion should be  $H_2O > C_2H_4 > CO_2 > O_2$ .

In an O<sub>2</sub> atmosphere enriched with N<sub>2</sub> or He diffusion of C<sub>2</sub>H<sub>4</sub> through an apple peel mimics diffusion of C<sub>2</sub>H<sub>4</sub> through pores in apple peels and paper of a known diameter. The dependance of CO<sub>2</sub> and C<sub>2</sub>H<sub>4</sub> gas exchange upon atmospheric pressure and gas composition supports the theory that movement of CO<sub>2</sub> and C<sub>2</sub>H<sub>4</sub> through apple peels occurs through air-filled pores, with open lenticels an obvious candidate for this passageway (Burg and Burg, 1965).

The driving force for gas movement of O<sub>2</sub>, CO<sub>2</sub>, C<sub>2</sub>H<sub>4</sub>, and N<sub>2</sub> would be partial pressure gradients, although the specific paths for gas movement differ.

Mathematical models, based upon Fick's Law of Gas Diffusion, as well as other models related to gas phase movement of O<sub>2</sub>, CO<sub>2</sub>, H<sub>2</sub>O, and C<sub>2</sub>H<sub>4</sub> have indicated that water vapor moves preferentially through the cuticle of the fruit in the liquid state, while other gases move preferentially through available pores of the tissue.

Partial pressure gradients for O<sub>2</sub>, CO<sub>2</sub>, and C<sub>2</sub>H<sub>4</sub> gas allow for mass transfer of these molecules through the tissue.

#### Tissue gas gradients.

Rajapaske et al., (1989) have found that O<sub>2</sub> concentration gradients exist from fruit centers to epidermal tissue. Gas gradients varied with cultivars and were dependant upon the respiration rate and volume of intercellular airspace. Solomos (1987) has also reported CO<sub>2</sub> gradients within apple tissues. The existence of O<sub>2</sub> and CO<sub>2</sub> gradients between tissue areas indicates that in certain cases tissue resistance to diffusion may not be negligible, especially under conditions of reduced atmospheric O<sub>2</sub> levels, when the existence of O<sub>2</sub> partial pressures 0.1% below that maintained in the atmosphere may result in fermentation and skin discoloration (Lau, 1989).

#### Pathways for gas movement.

Devaux (1891), after conducting experiments involving forcing gas through submerged tissues, postulated that gases pass through both pore openings and through the cuticle, with movement through the cuticle possible in both the free and dissolved state. Devaux suggested movement of gases depended on both the permeability and the porosity of the peridermic membrane, and that O<sub>2</sub> enters pumpkin (*Cucurbita pepo*, L.) fruit primarily through pores and CO<sub>2</sub> exits primarily through the membrane. Although these experiments were conducted well before determination of cuticle chemistry and the advent of gas chromatography, it is very interesting that data from recent experiments examining gas exchange support Devaux's conclusions.

Burg and Burg (1965) concluded that gas exchange in apples and other fruits

was governed by Fick's law of diffusion, with the peel of apple fruit providing the primary resistance to gas exchange. CO<sub>2</sub> and C<sub>2</sub>H<sub>4</sub> had similar diffusivities, with exchange dependent upon atmospheric pressure and gas composition. The diffusion barrier for CO<sub>2</sub> and C<sub>2</sub>H<sub>4</sub> exchange was considered to consist of air filled pores, with indirect measurements of pore size in agreement with the expected size and number of lenticels present on the apple cuticle. Calculations of barrier thickness using Fick's Law generated estimated cuticle thicknesses similar with actual measurements.

Examinations of stomatal density and responsiveness for banana (*Musa* sp.) fruit have shown fruit stomatal density to be 30 times less than that for leaf tissues, averaging approximately 450 stomates/cm², with residual opening being influenced positively by high RH conditions and light exposure treatments (Johnson and Brun, 1966). Other anatomical studies indicate 1.8 lenticels/cm² of apple fruit cuticle (Clements, 1935), compared with 40,000 stomates/cm² for apple leaf tissue (Curtis and Clark, 1950). Consideration of these figures point to the reduced avenues of gas phase diffusion in fruits relative to leaves. For citrus (*Citrus*, L.) fruits, when diffusion coefficients are considered, residual stomatal opening of less than 0.4% initial open pore area is sufficient to account for the needed residual gas exchange through these means (Ben-Yehoshua *et al.*, 1985). Therefore, in certain fruits, gas exchange does not occur solely in the dissolved state through the cuticle. Some gases move through pores in the cuticle.

Ben-Yehoshua et al., using oranges and grapefruit (Citrus paradisi, Macf.),

examined the effect of waxing and sealing of fruits with high density polyethylene (HDPE) films and indicated mass transport of H<sub>2</sub>0 vapor and other gases occurs by different mechanisms. Values of r<sub>CO2</sub>, r<sub>O2</sub>, and r<sub>C2H4</sub> are similar for untreated fruits, while the r<sub>v</sub> is 60-100 times less (Ben-Yehoshua *et al.*, 1985). Waxing of fruits inhibits CO<sub>2</sub>, O<sub>2</sub>, and C<sub>2</sub>H<sub>4</sub> transport but not H<sub>2</sub>O vapor, while wrapping fruits with HDPE film mainly restricted H<sub>2</sub>O transport. Waxing is thought to restrict non-H<sub>2</sub>O vapor transport by blocking of open stomates and lenticels, while not effecting H<sub>2</sub>O vapor transport due to the tendency of the wax layer to pit and crack. An increase of 1,400% in r<sub>v</sub> was seen with HDPE wrapping compared with increases of 72, 230, and 25% for r<sub>CO2</sub>, r<sub>O2</sub>, and r<sub>C2H4</sub>, respectively. These results arise from differences in the selective permeability of the fruit cuticle and the barrier to transpirational H<sub>2</sub>O loss created by the film.

Cameron and Yang (1982) determined coefficients of diffusion for bulky plant tissues by means of loading of ethane (C<sub>2</sub>H<sub>6</sub>) gas, allowing this system to come to steady-state, and then measure gas efflux levels in order to determine specific paths of gas movement and each pathway's diffusion coefficient. Cameron and Yang determined 94, 81 and 67% of C<sub>2</sub>H<sub>4</sub>, CO<sub>2</sub>, and H<sub>2</sub>O vapor gas exchange in tomato (*Lycopersicon esculentum* Mill., Cv. 'Ace') fruit occurred through the stem scar, and that cuticular r<sub>v</sub> was 1,000 times less than r<sub>CO2</sub>, r<sub>O2</sub>, and r<sub>C2H4</sub>. The calyx end of 'Golden Delicious' apple fruit account for 29, 24 and 2% of C<sub>2</sub>H<sub>4</sub>, CO<sub>2</sub>, and H<sub>2</sub>O vapor exchange, respectively. On this basis Cameron and Yang concluded that lenticular gas exchange is insignificant for total fruit gas exchange.

It should be noted, however, that the physical structure of 'Golden Delicious' apple fruit, used by Cameron and Yang, vary significantly when compared with 'McIntosh' apples, which were used in the studies of Burg and Burg (1965). While the calyx end of 'Golden Delicious' is usually quite open and free to gas exchange, this is often not the case, especially with 'McIntosh'. It should also be noted that for 'Golden Delicious' lenticels are numerous and raised, and the fruit lack an apparent waxy bloom, which is quite opposite 'McIntosh' fruit.

Recent experiments by Park (1990), involving blocking of the lenticels of 'McIntosh' apples also support Burg and Burg's conclusion that for apple fruit, lenticels are the primary pathway for gas exchange, excluding water vapor exchange. Park also indicated that resistance to gas exchange is a factor of lenticel structure, not strictly related to lenticel density.

#### Respiration and low oxygen tolerance of apple fruits.

Rate of respiration affects storage life, value, and quality of stored fruits. The rate at which stored carbohydrates are converted by respiration to CO<sub>2</sub> may influence the length the stored fruits maintain marketable quality. In the study of low O<sub>2</sub> storage and the low O<sub>2</sub> limits of fruits, the influence of temperature, RH, and the influence of elevated CO<sub>2</sub> levels upon respiration are very important because of their abilities to alter respiratory activity and gas movement.

Knowledge of these factors is vital to understanding those factors which affect respiration and the internal gas composition of the fruit present for respiration.

The fruit respiration studies initiated by Kidd and West, and continued by

Fidler and North, at the Low Temperature Research Station at Cambridge,
England established many of the fundamental characteristics of climacteric fruit
respiration, testing the influence of temperature, RH, and atmosphere
composition on respiratory rates.

Decreased storage temperatures are known to lower respiration rates, slow metabolic processes in tissues, and maintain marketable value and edible qualities. With low temperature or high CO<sub>2</sub> damage (Fidler and North, 1964) respiration rates increase in comparison with apples at the same temperature. Respiratory quotients (RQ) increased with decreasing temperatures, from 1.3-1.4 at 38°C to 1.6-1.7 at 32°C (Fidler and North, 1966). Lower temperatures (0°-3.5°C) alter the respiratory substrate from the expected 1:1 ratio of carbon (C) lost to C evolved (Fidler and North, 1968).

Kidd and West (1933) have shown respiration rates of apple tissue can be increased with elevated  $O_2$  partial pressures ( $P_{02}$ ) (50-100%) or decreased with lowered  $P_{02}$  (5%). Elevated  $CO_2$  partial pressures ( $P_{CO2}$ )(5-10%) delayed the respiratory climacteric. With further  $P_{CO2}$  increases, continued decreases in respiration rates were observed.

Hardy (1949) found internal  $P_{co2}$  concentrations in apple fruit vary with temperature, from 1.7% to 13.2% for 0° to 25°C respectively, and respiration rates varied as well. This reflects an increase in respiration rates relative to increased tissue permeability with increased temperatures.

The RQ for apple tissue is unaffected by fluctuations of P<sub>02</sub> from 2-21%, but is

lowered with progressive increases in P<sub>CO2</sub> (Fidler and North, 1964). When stored in CO<sub>2</sub>-free atmospheres, the RQ can range from 1.5 to 1.8, with peaks of 3.0 at 0-2°C. Results using modified atmosphere packaging (MAP) follow these patterns, with RQ's ranging from 1.3 to 1.5 for the 9 cultivars tested at 0.1°C (Gran and Beaudry, 1993).

For apple fruit metabolism, malic acid synthesis occurs with exposure to high  $P_{CO2}$  concentrations, which may occur with climacteric respiration (Anon., 1950). Addition of malic acid to post-climacteric fruits will increase  $CO_2$  production without an increase in  $O_2$  uptake, but only in the presence of  $O_2$ , thus increasing the RQ (Anon., 1955). Pyruvic acid also has this effect in both pre- and post-climacteric fruit, when  $O_2$  is present or absent. A saturation of enzymes converting pyruvate and malic acids into the Krebs cycle is indicated. Hulme (1961) hypothesized that the  $CO_2$  climacteric results from increased activity of malic enzyme and pyruvic carboxylase, which should not result in an equivalent rise in  $O_2$  uptake, thusly creating the observed increase in RQ during the climacteric. The RQ for apples through the climacteric, however, has been observed to remain rather constant at 1.1 to 1.3 at 7 to 12°C (Fidler and North, 1965).

Storage of fruits at  $P_{02}$  levels below the low  $O_2$  limit will lead to low  $O_2$  damage, characterized by glycolytic conversion of pyruvate to acetaldehyde and ethanol, which can lead to the production of off-flavor compounds and visible tissue damage. Fruits with low  $O_2$  damage have noticeable buildups of EtOH in

the damaged areas, along with tissue browning.

Park (1990) has shown respiration is negatively correlated with resistance to gas exchange at picking. It may be the case that respirational gas exchange is restricted within those tissue areas highly susceptible to low O<sub>2</sub> damage, creating anaerobic conditions which lead to fermentation of tissues. We have observed that low O<sub>2</sub> damage of fruits generally occurs in areas of the fruit having smaller cell size and decreased intercellular air spaces. With extended storage under anaerobic conditions, the products of fermentation build up to levels toxic for normal cellular functions, with cell death and tissue browning the result.

Gas exchange characteristic are intricately related to physical characteristics of the tissue cell mass. Changes in physical characters have been seen to influence physiological processes during ripening. Maximum respiration of bananas is achieved only when RH levels exceed 80% (Grierson and Wardowski, 1978).

Lowered RH is also known to decrease storage breakdown of 'Jonathan' apples, as well as decrease volatiles and flavor components of bananas and mangos and increase strawberry (*Fragaria x ananassa*, Duch.) and pear flavor development (Grierson and Wardowski, 1978).

#### The anaerobic extinction point.

The examination of low  $O_2$  limits and the effects of limiting  $P_{O2}$  on  $O_2$  uptake,  $CO_2$  production, and the various indicators of increased tissue EtOH integrate those properties defined as the anaerobic extinction point (Blackman and Parija, 1928; Parija, 1928; Blackman, 1928). The anaerobic extinction point was defined

as the theoretical "point ... such that just enough oxygen enters the cells to convert the whole product of D (terminal substrate of aerobic carbohydrate metabolism) to OA + OR (the final oxidation products) and there is no longer any NR (the product of nitrogen respiration) production. This marks a definite physiological state...(and we are conducting experiments in order to locate the) external concentration of oxygen that, for a given tissue, coincides with this 'extinction point' of NR." Blackman and Parija further define the anaerobic extinction point by stating "One physiological index of it, in a living apple, may be that this point there is a minimum production of CO<sub>2</sub>. Incidentally it has the significance that at this value there is presumably no longer any accumulation of alcohol in the tissues." (Blackman, 1928). It is by the physiological indicators of reduced O<sub>2</sub> uptake, minimal CO<sub>2</sub> production, and tissue EtOH production that we may determine the anaerobic extinction point. Although Blackman and Parija could not specify the substrates and products of aerobic and anaerobic respiration, the definition is useful for a better understanding the physiological considerations of CA and MA storage.

The processes of fruit respiration, ripening, and senescence in storage are influenced by a complex interaction of physical properties and physiological processes. Low O<sub>2</sub> limits and the physiology of CA and MA stored fruits depend upon the interaction of tissue gas exchange characteristics, metabolic and cellular changes with maturation and ripening, and changes in tissue properties with maturation and ripening. The following studies examine low O<sub>2</sub> limits, considering the factors of gas exchange and physiological processes in MA storage, in order to better understand the requirements of low O<sub>2</sub> storage.

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# Chapter 1

Determination of the Low Oxygen Limit for Several Commercial Apple Cultivars by Respiratory Quotient Breakpoint

### ABSTRACT:

Low oxygen (O<sub>2</sub>) limits for apple (Malus domestica, Borkh.) fruit were determined using modified-atmosphere packaging (MAP) techniques. Fruits were sealed in low density polyethylene (LDPE) packages and placed at 0.1°C until steady-state respiration was reached. Steady state O<sub>2</sub> and CO<sub>2</sub> partial pressures were varied by altering package thickness, package surface area, and the total fruit weight within the package. Based on measured permeabilities of the LDPE packages to O<sub>2</sub> and CO<sub>2</sub>, package partial pressures were used to determine the gas flux for O<sub>2</sub> and CO<sub>2</sub> for each package, with gas flux rates representing respiratory rates. The effect of O<sub>2</sub> partial pressure on O<sub>2</sub> uptake, CO<sub>2</sub> production, and the respiratory quotient (RQ) was then determined. As the O<sub>2</sub> partial pressure decreased to approximately 2 kPa, RQ remained relatively constant. A marked increase in the RQ (the RQ breakpoint) occurred below 2 kPa O2, which was associated with elevated EtOH concentrations. The low O<sub>2</sub> tolerance limit was then estimated for each cultivar as the O<sub>2</sub> level at the RQ breakpoint; these values ranged from 0.7 kPa O<sub>2</sub> for cultivars 'Red Delicious' and 0.8 kPa for 'Law Rome' to approximately 1.9 kPa for 'McIntosh'.

#### INTRODUCTION:

Low oxygen storage of apple fruit is an important commercial practice that slows respiration rates and maintains fruit quality longer than air storage. The storage experiments of Kidd and West (1927, 1933) established that both reduced  $O_2$  (5 kPa) and elevated  $CO_2$  concentrations (5-10 kPa) delayed and reduced the

magnitude of the climacteric rise in respiration (1 %=1.0135 kPa @ 1 atm). Fidler and North (1966, 1967 and 1968) have shown that the respiratory quotient (RQ) for apple tissue is unaffected by variations in O<sub>2</sub> partial pressures ranging from 21 kPa down to 2 kPa, while being lowered with progressive increases in CO<sub>2</sub> partial pressures.

If  $O_2$  levels fall below those supporting aerobic respiration, glycolytic conversion of pyruvate to acetaldehyde and EtOH occurs. The  $O_2$  level at which tissue fermentation is induced may be taken as the lower  $O_2$  limit. Although tissue tolerance to anaerobic conditions is variable, extended exposure to these conditions leads to tissue fermentation, browning, and a loss of economic value.

Commercial storage at O<sub>2</sub> levels below approximately 3 kPa was formerly limited by the technological limitations of controlled atmosphere facilities. Recent improvements in room construction, atmosphere generation and the control and sensing of O<sub>2</sub> and CO<sub>2</sub> partial pressures facilitates the regulation of gas levels to approximately ±0.1 kPa. As a result of these improvements, storage at partial pressures as low as 0.7 kPa for 'Red Delicious' for 7 or more months is being conducted with minimal fruit loss (Lau, 1989). Minimizing the O<sub>2</sub> partial pressures at which fruit are stored increases the necessity for an accurate determination of the lower O<sub>2</sub> limit.

Establishment of the lower O<sub>2</sub> limit for stored fruits has previously been accomplished empirically via a gradual decrease in the storage O<sub>2</sub> partial pressure until intolerable storage damage occurred. Each commodity and new cultivar

required a large investment in time, equipment and materials to establish the lower O<sub>2</sub> limit for successful long term storage. We describe a method for determining the lower O<sub>2</sub> limit that is rapid, relatively simple, requires a minimal number of fruit and is based on the measurement of physiological responses, rather than empirical observations. This method measures the commodity's RQ and the dependence of RQ upon O<sub>2</sub> partial pressure. This information may then be used to establish the lower O<sub>2</sub> limit, as defined by the O<sub>2</sub> level at the upswing in the RQ (RQ breakpoint), as O<sub>2</sub> levels become limiting to aerobic respiration. This article reports upon the determination of low O<sub>2</sub> limits using this method for 9 commercially stored apple cultivars at 0°C.

#### **MATERIALS AND METHODS:**

Apple fruits of the cultivars 'Empire', 'Red Fuji', 'Golden Delicious', 'Ida Red', 'Jonathan', 'Law Rome', 'McIntosh' (strain MacSpur), 'Northern Spy', and 'Red Delicious' were harvested at the preclimacteric stage as monitored by internal ethylene levels and stored at 1°C under 1.5 kPa O<sub>2</sub> and 3.0 kPa CO<sub>2</sub> and packaged 1 to 2 weeks later.

As described by Cameron et al., (1989), a range of package headspace atmospheres with steady-state O<sub>2</sub> and CO<sub>2</sub> partial pressures was produced by varying film thickness, surface area and total fruit weight. Four thicknesses of low density polyethylene (LDPE) (DOW Chemical Co., Midland, MI) (0.00254, 0.00508, 0.00762, and 0.0116 cm) and two surface areas (450 and 1125 cm<sup>2</sup>) were used. Total fruit weight ranged from approximately 100-250 g and 450-800 g for

the 450 and 1125 cm<sup>2</sup> packages, respectively.

Fruits were removed from storage, weighed, and heat-sealed into LDPE packages. A septum consisting of cured silicone rubber (General Electric Clear Silicone II) dolloped on vinyl plastic electrical tape (Scotch, 3M Super 88) was attached to each package for gas sampling (Boylan-Pett, 1986). Packaged fruits were left at room temperature for approximately 1 day to maintain high respiratory rates and rapidly decrease O<sub>2</sub> levels towards the range of expected steady-state partial pressures. Fruits were then placed at 0.1°C and gas partial pressures were monitored until steady-state respiration was reached 50-70 days later. Gas samples (100 µl) were drawn from each package through the selfsealing silicone septum using a 0.5 ml insulin syringe. Gas samples were analyzed for O<sub>2</sub> (Servomex Paramagnetic O<sub>2</sub> Transducer, Series 1100, Servomex Co., Sussex, England) and CO<sub>2</sub> (ADC analytical infra red CO<sub>2</sub> Analyzer, 225-MK3, Analytical Development Co., Hoddesdon, England) in series, with N2 as the carrier gas (flow rate = 100 ml·min<sup>-1</sup>). Gas samples were drawn from 4 or less packages at a time and immediately analyzed, in order that samples not be contaminated by atmospheric gases. This was especially important for those packages with very low O<sub>2</sub> levels.

Respiratory rates were determined according to the following equations:

$$RR_{O_2} = \frac{\frac{p_{O_2} \cdot A}{X} \cdot ([O_2]_{atm} - [O_2]_{ptg})}{W}$$
(1)

$$RR_{CO_2} = \frac{\frac{P_{CO_2} \cdot A}{X} \cdot ([CO_2]_{pkg} - [CO_2]_{atm})}{W}$$
 (2)

where RR<sub>O2</sub> and RR<sub>CO2</sub> are the rates of O2 uptake and CO2 production (mmol·kg<sup>-1</sup>·h<sup>-1</sup>); P<sub>O2</sub> and P<sub>CO2</sub> are O2 and CO2 permeability coefficients measured for the LDPE at 0°C (mmol·cm·cm<sup>-2</sup>·h<sup>-1</sup>·kPa<sup>-1</sup>); A is the surface area (cm<sup>2</sup>); X is the thickness of LDPE (cm); [O2]<sub>stm</sub> and [O2]<sub>pkg</sub> are the external atmosphere and package O2 partial pressures (kPa); [CO2]<sub>pkg</sub> and [CO2]<sub>stm</sub> are the package and external atmosphere CO2 partial pressures; and W is fruit weight (kg).

Permeabilities of the LDPE film packages to O2 and CO2 were determined as described previously (Beaudry *et al.*, 1992). The effect of O2 partial pressure on O2 uptake, CO2 production, and the RQ was then determined using equations 1 and 2. Rates of CO2 production as calculated using MAP techniques have been verified in a flow through system using carrot tissue (Lennington and Beaudry, unpublished data).

EtOH headspace concentrations were determined by gas chromatography (Carle GC with 45.7 cm column, .32 cm bore, Haysep N packing, at 120° C with gas flow rates of 40, 40 and 200 ml·min<sup>-1</sup> for H<sub>2</sub>, He and air, respectively). RESULTS AND DISCUSSION:

Steady state headspace O<sub>2</sub> and CO<sub>2</sub> partial pressures ranging from 16.0 to 0.2 kPa O<sub>2</sub> (Figure 1) and 1.0 to 18.0 kPa CO<sub>2</sub> (data not shown) were generated. As O<sub>2</sub> partial pressure decreased to approximately 2 kPa, the RQ remained

practically constant. Rapid increases in RQ were seen below 2.0 kPa O<sub>2</sub>. Low O<sub>2</sub> limits were estimated for each cultivar at the RQ breakpoint, and ranged from 0.8 kPa for 'Northern Spy' to approximately 2.0 kPa for 'McIntosh'. CO<sub>2</sub> partial pressures ranged from 6.0 to 18.0 kPa for those packages with elevated RQ values.

Whereas the RQ remained rather constant from 16 to 2 kPa O<sub>2</sub> for all cultivars, the rate of O<sub>2</sub> uptake varied among cultivars as steady-state O<sub>2</sub> levels decreased (Figure 2). For instance, while O<sub>2</sub> uptake for 'Northern Spy' decreased slightly from 16 to 2 kPa O<sub>2</sub>, O<sub>2</sub> uptake for 'Law Rome' decreased steadily.

Measurement of EtOH headspace levels for 'Law Rome' showed fruit with O<sub>2</sub> partial pressures below the RQ breakpoint exhibited elevated headspace EtOH concentrations (Figure 3), as well as detectable levels of acetaldehyde (data not shown). Packages with O<sub>2</sub> partial pressures above the RQ breakpoint had no detectable EtOH or acetaldehyde in the package headspace.

As O<sub>2</sub> partial pressures decreased to approximately 2 kPa, the RQ remained practically constant in agreement with the observations of Fidler and North (1967). Assuming the RQ breakpoint to indicate the lower O<sub>2</sub> limit, there is clearly variation between cultivars for the lower O<sub>2</sub> limit (Figure 1). RQ breakpoints for the 9 cultivars tested ranged from 0.7 kPa to 2.0 kPa. Assuming the cuticle provides the primary resistance to gas exchange (Burg and Burg, 1965; Cameron and Yang, 1982), we hypothesize that variation in the RQ breakpoint between cultivars largely reflects differences in cuticular resistance to diffusion,

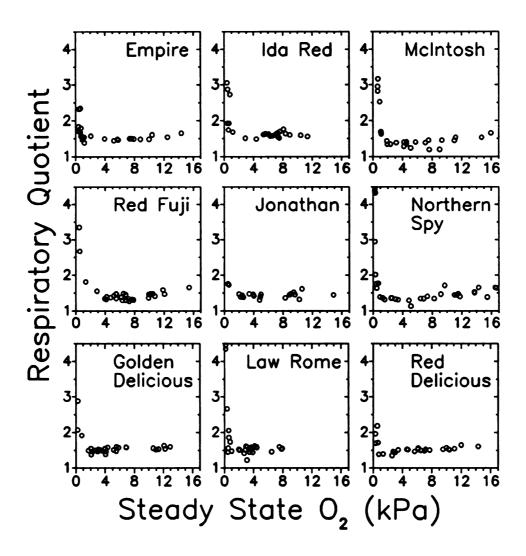


Figure 1. Effect of steady state O<sub>2</sub> partial pressures on the respiratory quotient (RQ) of 9 cultivars of apple fruit sealed in low density polyethylene and held at 0.1°C. Estimated lower O<sub>2</sub> limits as follows: Empire, 0.8; Red Fuji, 1.4; G. Del.,1.3; Ida Red, 1.1; Jonathan, <2.0 (limited data); Law Rome, 0.8; McIntosh, 1.9; Northern Spy, 0.9, and R. Del., 0.7. All values given in kPa.

and that with increasing resistance to diffusion, internal O<sub>2</sub> levels are more readily reduced to levels below the lower O<sub>2</sub> limit. Results from various studies indicate that, in fact, permeability coefficients for O<sub>2</sub>, CO<sub>2</sub>, and C<sub>2</sub>H<sub>4</sub> gas varies between apple cultivars (Kidd and West, 1949; Burg and Burg, 1962; Cameron, 1982; and Rajapakse *et al.*, 1990). The RQ breakpoint at 0° C for 'McIntosh', a fruit with a notable heavy wax sheen, closed calyx end, and few visible lenticels, of 1.9 kPa O<sub>2</sub> compared with a RQ breakpoint of approximately 1.3 kPa O<sub>2</sub> for 'Golden Delicious', a fruit with less sheen, an often open calyx end, and noticeable lenticels, supports the hypothesis that the lower O<sub>2</sub> limits for fruits are in part a reflection of cuticular permeability.

Banks (1984), has observed increasing variation in internal O<sub>2</sub> concentrations with factors that increase O<sub>2</sub> gradients by reducing cuticular permeability. It would follow that fruit with lower cuticular permeabilities would tend to have more variable internal O<sub>2</sub> partial pressures relative to fruit with highly permeable skin. Increased variability in the internal atmospheres of fruits under low O<sub>2</sub> storage would likely result in an increased percentage of fruits with internal O<sub>2</sub> partial pressures below the lower O<sub>2</sub> limit, and a greater incidence of damage. For those varieties having relatively high skin permeabilities and, therefore, more homogeneous internal atmospheres, one may expect smaller numbers of fruits to exhibit damage symptoms at the lower O<sub>2</sub> limit. The influence of temperature upon the low O<sub>2</sub> limits for apple fruit has yet to be examined. At increased temperatures and correspondingly increased

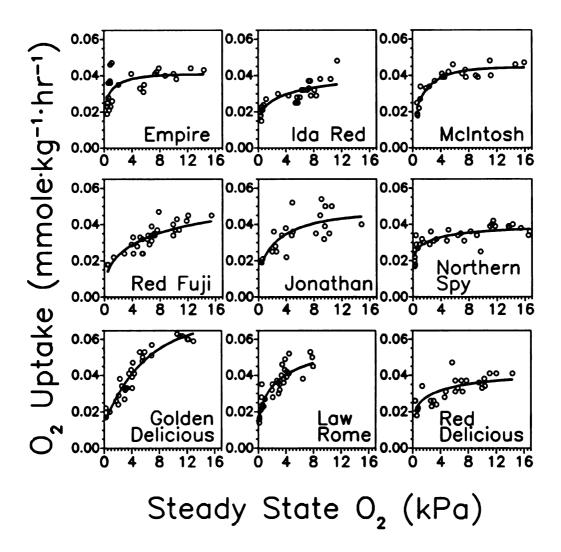


Figure 2. Effect of steady state  $O_2$  partial pressures on the  $O_2$  uptake of 9 cultivars of apple fruits sealed in low density polyethylene and held at 0.1°C. See Table 1 for equations describing curves.

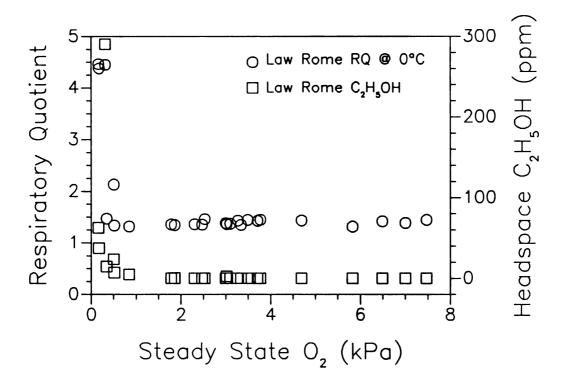


Figure 3. Effect of steady state O<sub>2</sub> partial pressure on the respiratory quotient (RQ) and headspace EtOH of 'Law Rome' apple fruit sealed in low density polyethylene and held at 0.1°C

Table 1. General equations (Eq. [1]) and values of constants describing the relationship between steady state  $O_2$  partial pressures (kPa) and  $O_2$  uptake (mmol·kg<sup>-1</sup>·h<sup>-1</sup>) for the given apple cultivars sealed in LDPE and stored at 0.1°C. Eq. [1]:  $RR_{O2} = b_1 \cdot \{1 - \exp[-b_2 \cdot (O_2)_{pkg}]\}^{b_3}$ 

Cultivar	$b_i$	$\mathbf{b_2}$	b <sub>3</sub>	r <sup>2</sup>	
Empire	0.04074	0.30732	0.19208	0.408	
Red Fuji	0.05048	0.05688	0.35304	0.721	
Golden Delicious	0.07960	0.07300	0.48863	0.886	
Ida Red	0.03972	0.07076	0.21003	0.655	
Jonathan	0.04074	0.30732	0.19208	0.408	
Law Rome	0.05637	0.09455	0.29418	0.794	
McIntosh, (strain MacSpur)	0.04442	0.30241	0.39690	0.871	
Northern Spy	0.03873	0.09112	0.13650	0.658	
Red Delicious	0.04064	0.07697	0.18953	0.560	

respiration rates, the influence of tissue permeability upon low  $O_2$  damage would likely be accentuated. Beaudry et al., (1992), have shown the  $O_2$  level at the RQ breakpoint increased with increasing temperatures in blueberry (*Vaccinium corymbosum*) fruits. The authors suggest respiration rates increase at elevated temperatures more rapidly than skin permeability, creating an increased  $O_2$  gradient across the skin.

Park (1990), using the ethane efflux method of Cameron and Yang (1982) to determine cuticular resistance to gas diffusion, showed considerable ( $\pm 1 \times 10^4 \text{ s} \cdot \text{cm}^{-1}$  at ripe) variability of cuticular resistance coefficients within apple cultivars from year to year. Variations in cuticular resistance also occurred through development, and these changes were largely correlated with stomatal and lenticular structure. O<sub>2</sub> gradients in the cortex of air-stored apple fruit have been

reported recently by Dadzie et al., (1990). In examination of 'Golden Delicious' apple fruit O<sub>2</sub> levels were consistently lower in the calyx end. This variation in O<sub>2</sub> levels within an individual fruit was hypothesized to result from tissue-specific differences in cortex resistance due to variation in intercellular airspace and cell size.

Headspace EtOH data show active fermentation within fruit tissues at O<sub>2</sub> levels at or below the RQ breakpoint, indicating anaerobic conditions. This does not, however, directly reflect tissue damage and the loss of value which may be expected when fruit are stored below the lower O<sub>2</sub> limit. Tolerance to anaerobic conditions appears to be quite variable (Lau, 1989), with 'Red Delicious' apples showing only 8% skin discoloration after 7 months at 0.5% O<sub>2</sub> versus 61% discoloration in 'Spartan' apples. The effects of long-term exposures to  $O_2$  levels, at or below the RQ breakpoint, and the cause of cultivar variations are not clearly defined. Tolerance of 'Valencia' oranges (Citrus sinensis, L. Osbeck) to short-term anaerobic conditions for insect control has been shown (Ke and Kader, 1990). Numerous reports indicate a greater tolerance of low O<sub>2</sub> exposures by nonclimacteric fruits versus climacteric fruits, although differentiation between fruit tolerance of the metabolic products of anaerobic respiration and the tolerance of off-flavor compounds by consumers is required. Further studies relating factors of gas movement through tissue to low O2 damage may clarify cultivar tolerance variations and the effect of increased respiratory demands during the climacteric.

Rates of O<sub>2</sub> uptake with variations in O<sub>2</sub> partial pressure (Figure 2) show the

reduction of respiration rates with decreased O<sub>2</sub> partial pressures. The decrease in O<sub>2</sub> uptake may also reflect the effects of elevated CO<sub>2</sub> levels, as CO<sub>2</sub> was not removed from the headspace of packages. Cultivar variations in the effect of decreased O<sub>2</sub> levels upon O<sub>2</sub> uptake, or respiration rates, shows reducing atmosphere O<sub>2</sub> levels does not always affect respiration to an equal extent. Whereas some cultivars (McIntosh, Red Fuji) showed decreases in rates of O<sub>2</sub> uptake below 4 kPa, other cultivars (Northern Spy) did not show a decrease in rate of O<sub>2</sub> uptake until O<sub>2</sub> levels fell below 1 to 1.5 kPa O<sub>2</sub>. This may be influenced by both skin and tissue resistances to O<sub>2</sub> movement.

Additional examination of the effects of temperature and atmosphere composition on fruit respiration is required. Apple fruit respiration rates in initial experiments did not generate the full range of headspace atmospheres for each cultivar tested as hoped, and additional data will more definitively pinpoint the effect of O<sub>2</sub> partial pressure on O<sub>2</sub> uptake, CO<sub>2</sub> production and the RQ for apple fruits. Further studies of the physiology of modified-atmosphere fruit respiration, and the mechanisms of gas movement through bulky plant tissues should provide valuable information for optimizing low O<sub>2</sub> storage of apples and other fruits.

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## Chapter 2

Modified Atmosphere Packaging of Apple: The Effect of Temperature on the Low Oxygen Limit as Determined by the Respiratory Quotient Breakpoint

#### ABSTRACT:

This study examines the interaction of temperature and cultivar on the low oxygen (O2) limit for apple (Malus domestica, Borkh.) fruit. Low O2 limits were determined by measuring the O<sub>2</sub> partial pressure at which the respiratory quotient (RQ) increased (RQ breakpoint), using modified atmosphere packaging (MAP) techniques. 'Golden Delicious', 'Early Red One Red Delicious', 'Redmax McIntosh', and 'Marshall McIntosh' apple fruits were sealed in low-density polyethylene (LDPE) packages and placed at 0.1, 5, 10, 15, 20, and 25°C, with 3°C substituted for 0.1°C for 'McIntosh' strains, until steady-state respiration was reached. For all apple cultivars tested, the package  $O_2$  partial pressure ( $[O_2]_{pkg}$ ) at which the RQ breakpoint occurred increased with increased temperature. From 3°C to 25°C, the RQ breakpoint increased from 0.75 to 2.5 and 1.2 to approximately 4.0 kPa (1%=1.0135 kPa @ 1 atm) O<sub>2</sub> for 'Redmax McIntosh' and 'Marshall McIntosh', respectively. From 0.1° to 25°C, the RQ breakpoint for 'Red Delicious' and 'Golden Delicious' increased from 0.5 to 0.8 and 0.6 to 1.0 kPa O<sub>2</sub>, respectively. O<sub>2</sub> uptake (RR<sub>02</sub>) decreased with decreasing temperatures and decreasing [O<sub>2</sub>]<sub>pkg</sub>. CO<sub>2</sub> production (RR<sub>CO2</sub>) rate decreased with decreasing temperatures; RR<sub>CO2</sub> also decreased as [O<sub>2</sub>]<sub>pkg</sub> fell below 6 to 8 kPa, and then increased at  $[O_2]_{pkg}$  below the RQ breakpoint as anaerobic respiration began. INTRODUCTION

Decreased  $O_2$  partial pressures ( $P_{02}$ ), elevated  $CO_2$  partial pressures ( $P_{CO2}$ ), and low storage temperature extend the storage life of a wide range of

horticultural commodities, including apple fruit (Kidd and West, 1927). Each factor may significantly inhibit rates of respiration. The minimum  $P_{02}$  at which fruits may be stored without inducing anaerobic respiration may be influenced by both  $P_{co2}$  and temperature. Normal storage strategies alter temperature and  $P_{o2}$  while maintaining  $P_{co2}$  below levels that would limit aerobic  $O_2$  uptake for fruit metabolism. Aerobic respiration decreases as  $P_{o2}$  decreases.

In addition to the influences of temperature and P<sub>CO2</sub> on low O<sub>2</sub> limits, defined here as the minimal P<sub>O2</sub> at which controlled-atmosphere (CA) or modified-atmosphere (MA) storage may be conducted without inducing anaerobic respiration, commodity-specific atmosphere requirements exist (Meheruik, 1989). Safe atmosphere compositions for CA or MA storage vary greatly among individual commodities as the result of inherent differences in organ respiration rates, ripening characteristics, physiological state, and gas exchange properties related to tissue and/or organ morphology and composition. In addition to specific atmospheric requirements, chilling-sensitive, tropical fruits such as mango (Mangifera indica, L.) and banana (Musa, L. sp.) require that storage temperatures not fall below critical temperatures, at which chilling injury may occur. Critical temperatures also vary by commodity and length of exposure. In the case of apple fruit, optimal storage temperatures and CA atmospheres vary with cultivar and strain (Meheruik, 1989).

Fruit quality must be retained and optimized to warrant the added expenses of CA and MA storage. Previous experiments have shown that the low O<sub>2</sub> limit

determined by RQ breakpoint varies with temperature (Fidler and North, 1967). A modified-atmosphere packaging (MAP) approach and use of RQ breakpoint appear to be useful in determining the low O<sub>2</sub> limit for apple and blueberry (Vaccinium corymbosum) fruit (Gran and Beaudry, 1993; Beaudry et al., 1992). In these studies the [O<sub>2</sub>]<sub>pkg</sub> at which the RQ breakpoint occurred was taken to represent the low O<sub>2</sub> limit. Hypothesizing that low O<sub>2</sub> limits increase with temperature, and that increases in low O<sub>2</sub> limit vary with cultivar, experiments were conducted in order to determine the effect of temperature on RR<sub>O2</sub>, RR<sub>CO2</sub>, and low O<sub>2</sub> limits determined by RQ breakpoint for three cultivars of apple fruits. MATERIALS AND METHODS:

Apple fruit of the cultivars 'McIntosh' (strains 'Redmax' and 'Marshall'), 'Golden Delicious', and 'Red Delicious' (strain 'Early Red One') were harvested at the preclimacteric stage as monitored by internal ethylene ( $C_2H_4$ ) levels ( $\leq 20\%$  of fruit  $\leq 0.2 \ \mu l/l$ ) and stored at 3°C in air until packaged 2 to 6 days later.

As described by Cameron et al., (1989), a range of steady-state  $[O_2]_{pkg}$  and package  $CO_2$  partial pressure ( $[CO_2]_{pkg}$ ) were produced by varying film thickness and total fruit weight in the package. Four thicknesses (0.00254, 0.00508, 0.00762, and 0.0116 cm) of low density polyethylene (LDPE) (Dow Chemical Company, Midland, MI) were used. Package surface area was 960 cm<sup>2</sup>; fruit numbered from 2 to 5 fruit and total fruit weights were approximately 250 to 800 g per package.

Fruits were packaged as previously described (Gran and Beaudry, 1993) and

30 or more packages of differing combinations of fruit weight and film thickness were placed at 0.1, 5, 10, 15, 20, and 25°C for 'Golden Delicious' and 'Red Delicious' cultivars, with 3°C substituted as the low temperature for 'McIntosh' strains. The lowest treatment temperatures used are those normally recommended for commercial CA storage (Dilley, 1989; Meheriuk, 1989). Over 30 additional packages for 'Marshall McIntosh' and 'Golden Delicious' fruits were prepared with 9 x 6 cm Tyvek" pouches containing approximately 40 ml of hydrated lime [Ca(OH)<sub>2</sub>], in order to reduce [CO<sub>2</sub>]<sub>pkg</sub> to below ambient levels. Packages containing [Ca(OH)<sub>2</sub>] pouches were stored over the same range of temperatures according to cultivar. [O<sub>2</sub>]<sub>pkg</sub> and [CO<sub>2</sub>]<sub>pkg</sub> were monitored until steady-state respiration was reached.

Duplicate gas samples (25  $\mu$ l) were drawn from each package through a self-sealing silicone septum using a 50  $\mu$ l glass syringe (Hamilton Co., Reno, NV). Samples were analyzed for O<sub>2</sub> (Servomex Paramagnetic O<sub>2</sub> Transducer, Series 1100, Servomex Co., Sussex, England) and CO<sub>2</sub> (ADC analytical infra red CO<sub>2</sub> Analyzer, 225-MK3, Analytical Development Co., Hoddesdon, England) in series, with N<sub>2</sub> as the carrier gas (flow rate = 100 ml·min<sup>-1</sup>).

 $RR_{02}$  and  $RR_{C02}$  were determined using measured permeabilities for  $O_2$  ( $P^*_{02}$ ) and  $CO_2$  ( $P^*_{C02}$ ) previously described (Beaudry *et al.*, 1992; Gran and Beaudry, 1993). The effect of  $[O_2]_{pkg}$  on  $RR_{O2}$ ,  $RR_{CO2}$  and the RQ was determined at each storage temperature, and the effect of temperature on the low  $O_2$  limit was determined.

RQ breakpoints and low  $O_2$  limit boundaries were determined by marked increases (commonly 0.1-0.2 units) in RQ values above the basal aerobic RQ value which occurred between packages with contiguous  $[O_2]_{pkg}$ . Low  $O_2$  limit boundaries were defined by the  $[O_2]_{pkg}$  of the packages between which a change in RQ was observed.

Prediction of RR<sub>02</sub> over the range of temperature treatments was done using SAS<sup>o</sup> nonlinear regressions analysis. Data were fit to the Michaelis-Menten kinetic equation [Eq. (1)] given as:

$$RR_{O_2} = \frac{V_{\text{max}} \cdot [O_2]_{\text{pkg}}}{K_{\text{m}}O_2 + [O_2]_{\text{mbg}}} \tag{1}$$

where  $V_{max}$  is the maximum initial velocity and  $K_mO_2$  is the Michaelis-Menten constant for  $O_2$  for whole fruit tissue.  $RR_{O2}$  curves were generated for 'Golden Delicious' and 'Marshall McIntosh' cultivars, for packages both with elevated  $[CO_2]_{pkg}$  and packages scrubbed for  $CO_2$ .

#### **RESULTS AND DISCUSSION:**

A range of steady-state  $[O_2]_{pkg}$ , both aerobic and anaerobic, were generated for each apple cultivar at each treatment temperature. Steady-state  $[CO_2]_{pkg}$  ranged from approximately 3 kPa for aerobic headspace atmosphere up to 15 kPa for anaerobic package atmospheres. For all cultivars examined, the  $[O_2]_{pkg}$  at which the RQ breakpoint occurred increased with increased temperature. Low  $O_2$  limits of 'Golden Delicious' and 'Red Delicious' fruits were affected to a lesser

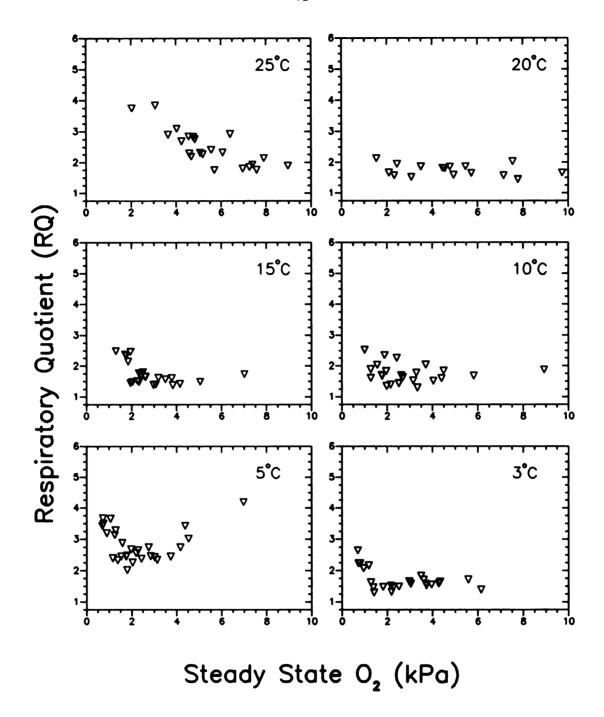


Figure 1. Effect of temperature (3, 5, 10, 15, 20, and 25°C) on the respiratory quotient (RQ) and the RQ breakpoint of 'Marshall McIntosh' apple fruit.

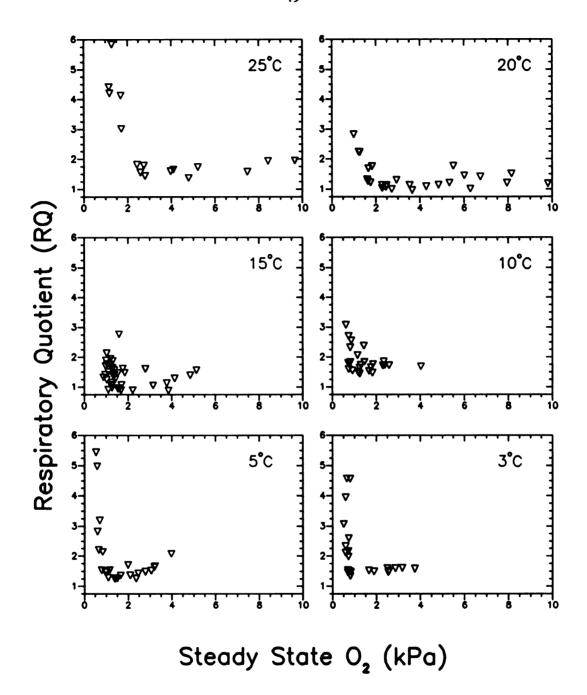


Figure 2. Effect of temperature (3, 5, 10, 15, 20, and 25°C) on the respiratory quotient (RQ) and RQ breakpoint of 'Redmax McIntosh' apple fruit.

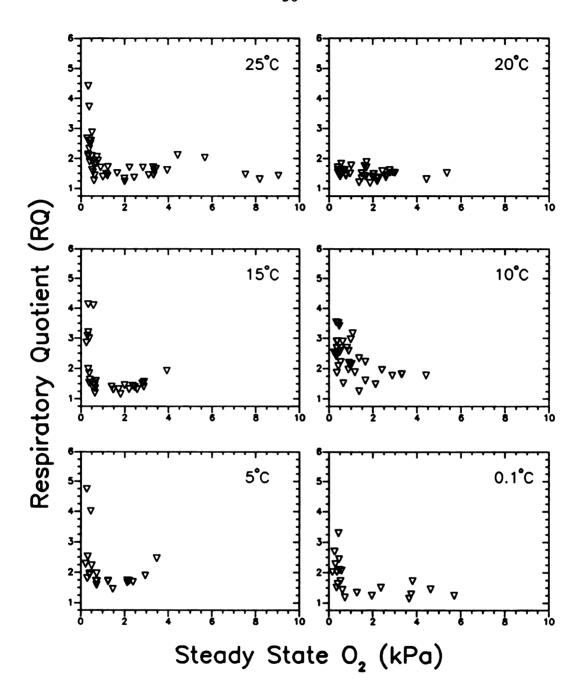


Figure 3. Effect of temperature (0.1, 5, 10, 15, 20, and 25°C) on the respiratory quotient (RQ) and RQ breakpoint of 'Golden Delicious' apple fruit.

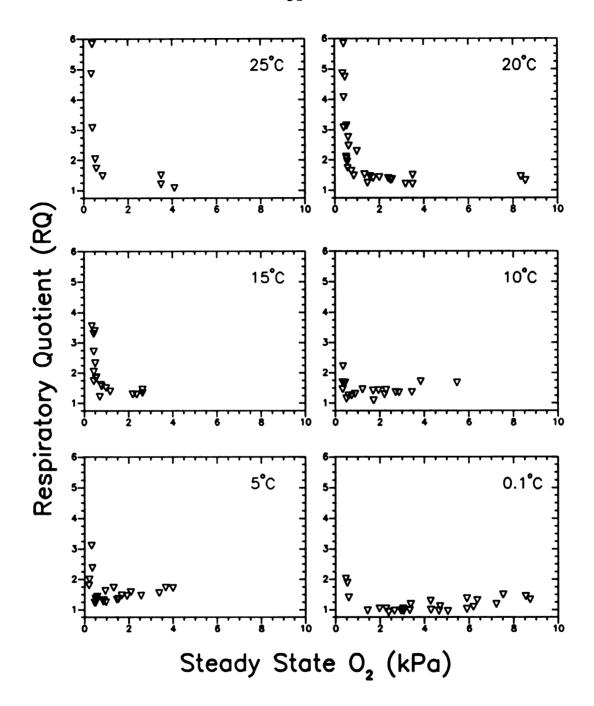


Figure 4. Effect of temperature (0.1, 5, 10, 15, 20, and 25°C) on the respiratory quotient (RQ) and RQ breakpoint or 'Early Red One Red Delicious' apple fruit.

Table 1. Estimated low O<sub>2</sub> limit ranges for apple fruit of 3 cultivars based upon RQ breakpoint (Figures 1-4).

Low O <sub>2</sub> 1	Limit	
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Temperature (°C)	Golden Delicious	Red Delicious	Redmax McIntosh	Marshall McIntosh	
0.1 / 3	0.55-0.60	0.45-0.60	0.75	1.20-1.25	
5	0.50-0.70	0.35-0.45	0.85-0.95	1.25-1.60	
10	0.45-0.65	0.45-0.50	1.15	1.85-2.00	
15	0.40-0.60	0.55-0.70	1.75-1.85	2.50-3.00	
20	0.70-0.95	0.60-0.75	1.90-2.15	4.00-4.10	
25	0.80-1.00	0.55-0.85	2.45-2.50	3.80-4.90	

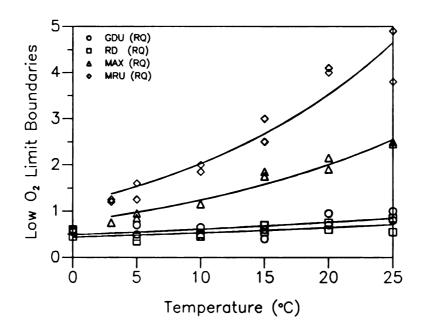


Figure 5. Low O<sub>2</sub> limit boundaries for 3 apple cultivars over a range of temperatures as determined by RQ breakpoint. (GDU, 'Golden Delicious' unscrubbed; RD, 'Red Delicious'; MAX, 'Redmax McIntosh'; MRU, 'Marshall McIntosh' unscrubbed)

extent by temperature than either strain of 'McIntosh' (Figures 1-4). Low O<sub>2</sub> limit boundaries increased from 0.6 to 1.0 kPa and 0.5 to 0.8 kPa O<sub>2</sub> for 'Golden Delicious' and 'Red Delicious' as temperature increased from 0.1°C to 25°C, while for 'Redmax McIntosh' and 'Marshall McIntosh' the low O<sub>2</sub> limit increased from 0.75 to 2.5 kPa and 1.2 to 4.0 kPa O<sub>2</sub>, respectively, as temperature increased from 3 to 25°C. Estimated low O<sub>2</sub> limits and the specific [O<sub>2</sub>]<sub>pkg</sub> at which the RQ breakpoint occurred for treatment temperatures are given for each cultivar in Table 1. Distinct differences in the low O<sub>2</sub> limits of different cultivars and strains of cultivars were observed. Changes in low O<sub>2</sub> limits occurred most markedly above 10°C for all cultivars.

The minimum P<sub>02</sub> determined to be safe for storage, at the lowest experimental temperature, was greater for both 'McIntosh' strains than either 'Golden Delicious' and 'Red Delicious' (Figure 5). Results reflect commercial CA storage practices, with O<sub>2</sub> atmosphere recommendations for CA storage of 'Marshall McIntosh' fruits being 1.0 kPa higher than either 'Red Delicious' or 'Golden Delicious'.

RQ levels for fruits under aerobic conditions decreased in most cases from 1.4 at 15 and 20°C to 1.0 at 0.1 or 3°C (Figures 1-4). This may indicate a loss of organic acids with time. CA storage, at a P<sub>02</sub> of 1 %, maintains organic acid content of stored 'Bartlett' pears (*Pyrus communis*, L.) at levels higher than for air stored fruits (Yoshida *et al.*, 1986).

For all cultivars examined, fruit RQ values were calculated between 1.0 to 1.4

at  $[O_2]_{pkg}$  above the anaerobic extinction point (Figures 1-4). An exception was for the fruit stored at higher temperatures (20 and 25°C), where aerobic RQ levels ranged up to 1.8. The aerobic RQ for apple fruit has been determined to range from 1.5 to 1.8, with peaks of 3.0 at 0°C in  $CO_2$ -free atmospheres (Fidler and North, 1964). Time to steady-state respiration varied from 5 to 7 days at 25°C to 75 days or longer at 0.1°C. The occurrence of mold and general tissue breakdown at higher treatment temperatures required quick sampling and did not allow data collection beyond 15 days.

Differences in aerobic RQ values may be the result of chilling-induced ripening and an associated increased respiratory rate (Purvis, 1985) as observed with chilling of avocado (*Persea americana*, Mill.) fruit (Cooper *et al.*, 1969). Fruit ripening within packages may have occurred at lower treatment temperatures due to slow headspace  $[O_2]_{pkg}$  reduction or large fluctuations in  $[O_2]_{pkg}$  before steady-state respiration was established. In the case of 'Marshall McIntosh' at 3°C,  $[O_2]_{pkg}$  fluctuated by 3.5 kPa between the 12th and 17th day following packaging, with  $[O_2]_{pkg}$  traversing the subsequently determined low  $O_2$  limit. Such fluctuations in  $[O_2]_{pkg}$  would alter  $RR_{O_2}$  and fruit ripening in storage.

A concurrent increase in the rate of respiration, as determined by  $RR_{co2}$  (Figure 6-9) and predicted  $RR_{o2}$  (Figures 10-13), occurred above 10°C.  $RR_{o2}$  increased with increased temperatures for all cultivars examined. The effects of temperature on  $RR_{o2}$  uptake varied with cultivar (Figures 10-13). In the case of both 'McIntosh' strains, particularly 'Marshall McIntosh', respiration and the effect

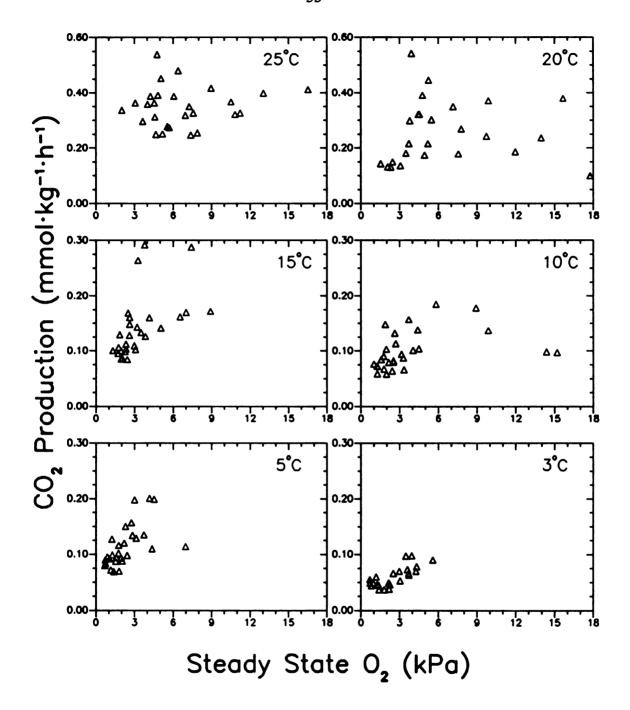


Figure 6. Effect of temperature (3, 5, 10, 15, 20, and 25°C) and  $[O_2]_{pkg}$  on  $RR_{CO2}$  of 'Marshall McIntosh' apple fruit.

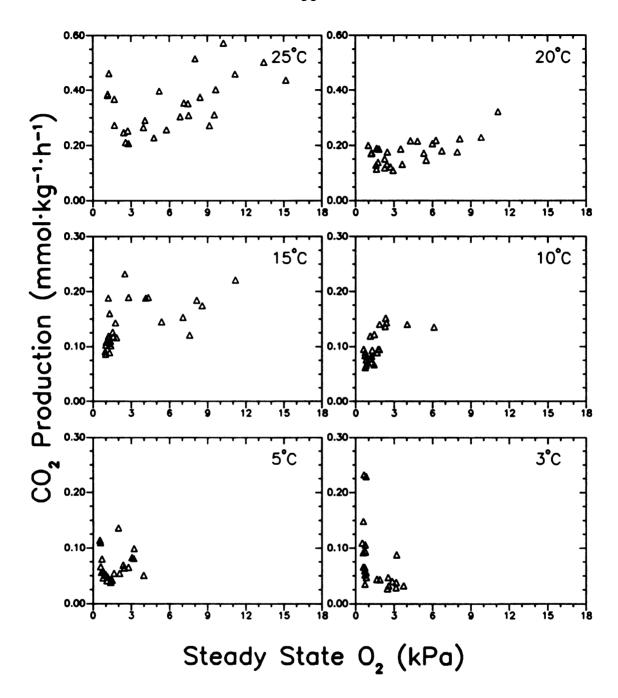


Figure 7. Effect of temperature (3, 5, 10, 15, 20, and 25°C) and  $[O_2]_{pkg}$  on  $RR_{CO2}$  of 'Redmax McIntosh' apple fruit.

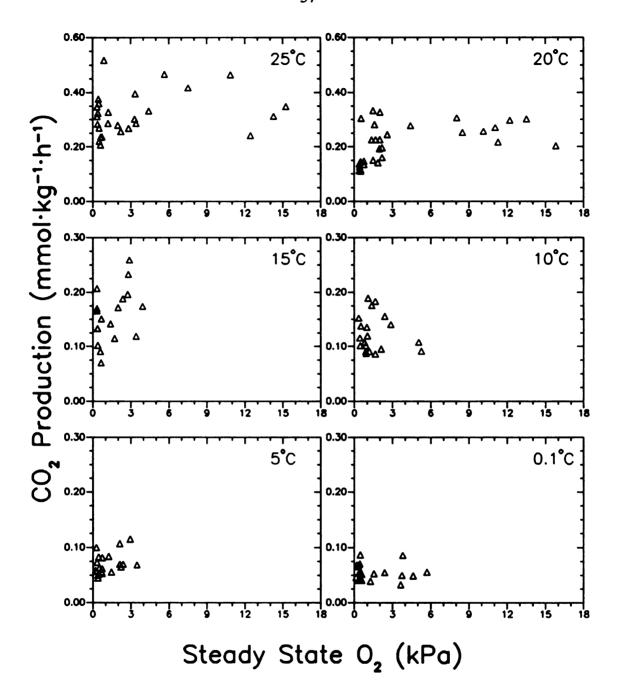


Figure 8. Effect of temperature (0.1, 5, 10, 15, 20, and 25°C) and  $[O_2]_{pkg}$  on  $RR_{CO2}$  of 'Golden Delicious' apple fruit.

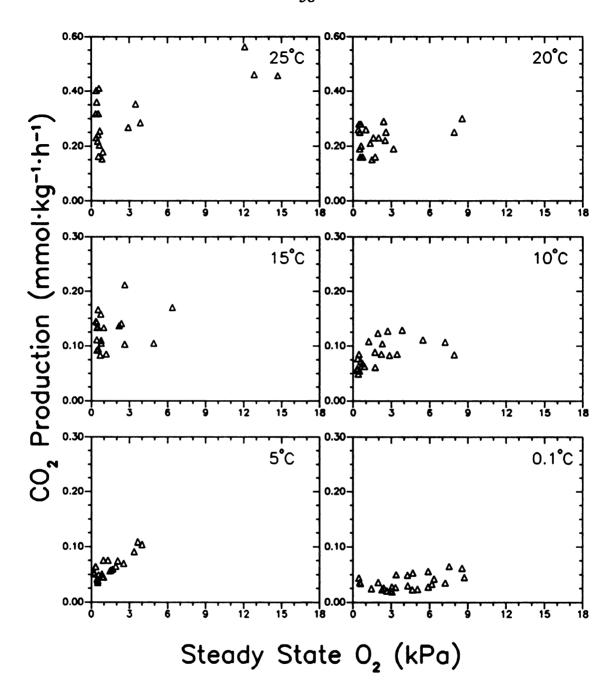


Figure 9. Effect of temperature (0.1, 5, 10, 15, 20, and 25°C) and  $[O_2]_{pkg}$  on  $RR_{CO2}$  of 'Early Red One Red Delicious' apple fruit.

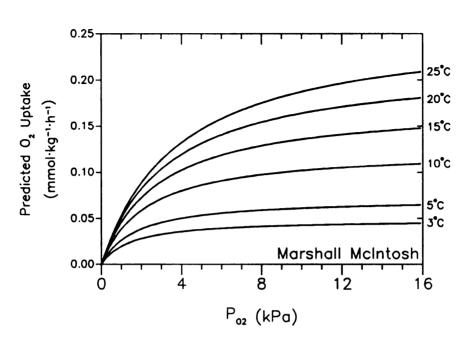


Figure 10. Comparison of O<sub>2</sub> uptake curves for 'Marshall McIntosh' apple fruit over a range of temperatures. See Table 2 for equations describing curves.

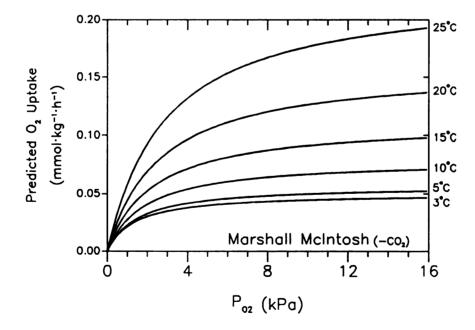


Figure 11. Comparison of O<sub>2</sub> uptake curves for 'Redmax McIntosh' apple fruit over a range of temperatures, with CO<sub>2</sub> removed from package headspaces. See Table 2 for equations describing curves.

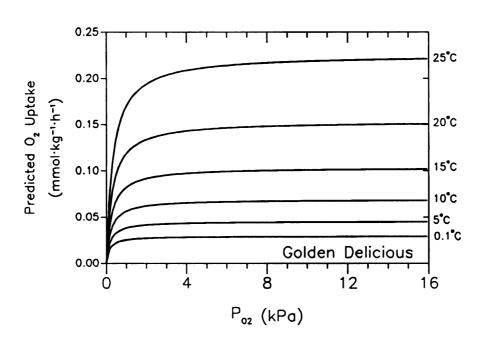


Figure 12. Comparison of O<sub>2</sub> uptake curves for 'Golden Delicious' apple fruit over a range of temperatures. See Table 2 for equations describing curves.

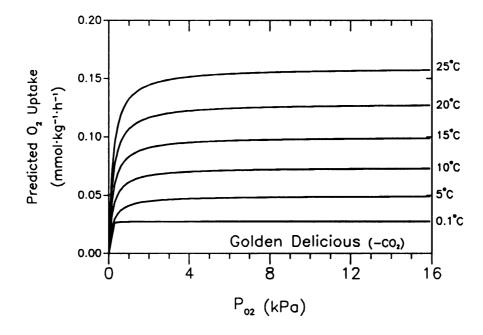


Figure 13. Comparison of O<sub>2</sub> uptake curves for 'Early Red One Red Delicious' apple fruit over a range of temperatures. See Table 2 for equations describing curves.

Table 2. General equations (Eq. (1) and Eq.(2)) and values of constants describing the relationship between steady state  $[O_2]_{pkg}$  (kPa),  $RR_{O2}$  (mmol·kg<sup>-1</sup>·hr<sup>-1</sup>), and storage temperature (°C) for 3 apple cultivars in modified atmosphere packages.

Eq.(1) =  ${(a*exp[b*temperature]c)*[O_2]_{pkg}}/{(d+e*temperature)+[O_2]_{pkg}}$ Eq.(2) =  ${(a*exp[b*temperature]c)*[O_2]_{pkg}}/{(d*exp[e*temperature]+f)+[O_2]_{pkg}}$ 

Cultivar	a	b	с	d	е	f	r²
Marshall McIntosh	7187	0167	.7325	1.1463	.1082	*	.7889
Marshall McIntosh (-CO <sub>2</sub> )**	.0329	.0757	.0091	-9.176	0086	10.284	.8218
Golden Delicious	.0367	.0741	0079	.1514	.0071	*	.7893
Golden Delicious (-CO <sub>2</sub> )**	.2721	.0158	2450	2128	9451	.2038	.7528

<sup>\*</sup> Eq. (1)

<sup>\*\*</sup> Eq.(2)

of temperature on  $RR_{02}$  and  $RR_{C02}$  appears variable with  $[O_2]_{pkg}$ , indicating variation in gas exchange characteristics and subsequently individual fruit respiration.

Respiration, as indicated by  $RR_{CO2}$ , was decreased with decreased temperatures similar to  $RR_{O2}$ . At  $[O_2]_{pkg}$  below the anaerobic extinction point, where rapid decreases in  $RR_{O2}$  were seen, rates of  $RR_{CO2}$  increased rapidly (Figures 6-9), and  $RR_{CO2}$  curves often exhibited a characteristic 'checkmark' shape with decreasing  $[O_2]_{pkg}$ . This increase in  $RR_{CO2}$  is an indication of anaerobic respiration.

In addition to reducing rates of respiration, treatment temperature also affected the  $[O_2]_{pkg}$  at which  $RR_{O2}$  was decreased, indicating a change in apparent  $K_m$  for  $O_2$ . Apparent  $K_m$  for  $O_2$  for 'Marshall McIntosh' increased linearly with temperature, from approximately 1.0 kPa  $O_2$  to 3.5 kPa  $O_2$  from 3° to 25°C (Figure 14), while apparent  $K_m$  for  $O_2$  for 'Golden Delicious' cultivars increased nonlinearly with temperature, increasing sharply from 0.05 kPa  $O_2$  at 0.1° to approximately 0.25 kPa  $O_2$  at 10°C were values remained relatively constant up to 25°C (Figure 15).  $K_m$  for  $O_2$  values for 'Golden Delicious' and 'Red Delicious' cultivars ranged from 0.1 to 0.4 kPa  $O_2$ . Removal of  $CO_2$  from package headspaces may have reduced apparent  $K_m$  for  $O_2$ . Previous MAP studies using blueberry fruits show reduction of  $RR_{O2}$  by decreased  $[O_2]_{pkg}$  varies with temperature (Beaudry *et al.*, 1992). Whereas  $RR_{O2}$  decreased if  $[O_2]_{pkg}$  fell below approximately 6 kPa at 0.1°C,  $RR_{O2}$  decreased continually up to 15 kPa  $O_2$  at

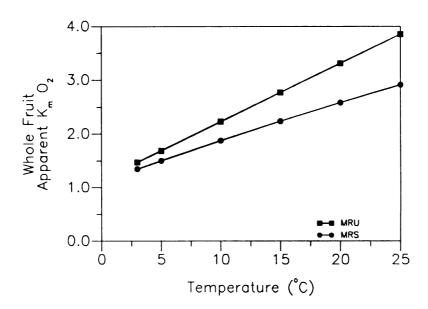


Figure 14. Apparent whole fruit  $K_m$  for  $O_2$  of 'Marshall McIntosh' apple fruit stored over a range of temperatures, with and without scrubbing of  $CO_2$  from package headspaces (MRU, 'Marshall McIntosh' unscrubbed; MRS, 'Marshall McIntosh' scrubbed).

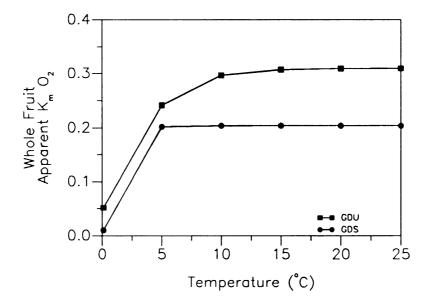


Figure 15. Apparent whole fruit  $K_m$  for  $O_2$  of 'Golden Delicious' apple fruit stored over a range of temperatures, with and without scrubbing of  $CO_2$  from package headspaces (GDU, 'Golden Delicious' unscrubbed; GDS 'Golden Delicious' scrubbed).

25°C. This indicates that at higher temperatures peel and tissue resistances to  $O_2$  diffusion  $(r_{02})$  may limit  $RR_{02}$  at a higher  $[O_2]_{pkg}$  than at lower temperatures.

Removal of CO<sub>2</sub> from package headspaces may have produced noticeable differences in RR<sub>O2</sub> for 'Marshall McIntosh' (Figures 1 and 2, APPENDIX B) or 'Golden Delicious' fruits (Figures 4 and 5, APPENDIX B) when compared with RR<sub>O2</sub> curves for packages with [CO<sub>2</sub>]<sub>pkg</sub> up to 12 kPa, although the removal of [CO<sub>2</sub>]<sub>pkg</sub> which ranged up to 15 kPa unexpectantly did not increase respiration rates as determined by RR<sub>O2</sub>, but rather decreased measured respiration rates.

Calculations of whole fruit apparent  $K_m$  for  $O_2$  (Figures 14 and 15), using non-linear regressions of  $RR_{O2}$ , temperature, and  $[O_2]_{pkg}$ , allowed further indications of differences in gas exchange properties for the cultivars examined. Whereas  $K_m$  for  $O_2$  for 'Marshall McIntosh' changed linearly with changes in temperature, indicating  $r_{O2}$  is limiting respiration, 'Golden Delicious' changed non-linearly, increasing quickly from 0.1 to 10°C and then remaining unchanged at temperatures above 10°C, indicating  $r_{O2}$  is not limiting respiration for this cultivar.

Determination of the low  $O_2$  limit of 'Marshall McIntosh' was difficult due to variable respiration rates of fruits, with variability of  $RR_{O2}$  and  $RR_{CO2}$  increased with temperature. The RQ breakpoint at higher temperatures was poorly defined, which may indicate variable gas exchange characteristics between individual fruits. Variations in low  $O_2$  limits with individual fruit and changes from year to year (Chapters 1 and 2) may result in storage problems, particularly in the case of storage at very low  $O_2$  levels. Differences in low  $O_2$  limits for different strains of

apple cultivars, as indicated by comparison of 'Marshall McIntosh' and 'Redmax McIntosh' data, underscores the difficulties associated with CA and MA storage at low  $P_{02}$  when cultivar-specific requirements of storage are not known. Problems in optimizing storage conditions also arise with variable low  $O_2$  limits of strains when facilities or other limitations require storage of multiple strains of fruits with variable low  $O_2$  limits in the same CA or MA storage unit.

The results show temperature control for low  $O_2$  storage is important, especially in the case of cultivars with a propensity for low  $O_2$  damage.

Temperature control is particularly important for commercial MAP applications, with atmosphere maintenance dependent upon rates of respiration.

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# Chapter 3

Modified Atmosphere Packaging of Apple: The Effect of Temperature on the Low Oxygen Limit as Determined by Headspace Ethanol Accumulation

#### ABSTRACT:

The purpose of this study was to determine the extent to which temperature alters the low O<sub>2</sub> limit for apple (Malus domestica, Borkh.) fruit of three cultivars, by measuring the accumulation of ethanol (EtOH) in the headspace of modified atmosphere packages. For the cultivars tested, the highest package O<sub>2</sub> partial pressure ([O<sub>2</sub>]<sub>pkg</sub>) inducing ethanol (EtOH) accumulation in package headspaces, termed here as the 'fermentation threshold', increased with increasing temperature. From 3° to 25°C the  $[O_2]_{pkg}$  at which headspace EtOH levels [EtOH]<sub>pkg</sub> accumulated increased from 0.75 to 4.0 kPa and 2.0 to 6.6 kPa (1%=1.0135 kPa @ 1 atm) for 'Redmax McIntosh' and 'Marshall McIntosh', respectively, while increasing from 0.5 to greater than 1.0 kPa and 0.6 to 1.2 kPa from 0.1 to 25°C for 'Early Red One Red Delicious' and 'Golden Delicious', respectively. The accumulation of EtOH in the headspace of modified atmosphere packages at steady-state respiration appears to be a useful tool for quick, accurate determination of low O<sub>2</sub> limits of apple fruit.

#### INTRODUCTION:

Successful long term storage of pome fruits depends on optimized temperature and gas atmosphere compositions. Maintenance of the proper storage temperature is required in order to maintain reduced respiration rates and to maximize the retention of firmness and other quality characteristics, especially for those fruits to be stored greater than 6 months. The storage temperature must also be adjusted for various storage related disorders which may be attenuated or

aggravated by temperature effects (Wills et al., 1989). Needed adjustments of storage temperature may be related to cultural conditions and practices, environmental factors, mineral nutrition of the fruit, time from harvest to storage atmosphere establishment, and the predisposal of various varieties to develop storage disorders. A number of storage disorders can be characterized as chilling disorders, including superficial scald, core flush, Jonathan spot, and low temperature breakdown (Fidler et al., 1973). Higher than optimal storage temperatures may also lead to the development of disorders such as senescent breakdown, especially in the case of fruit stored at an over-mature stage.

Storage  $O_2$  and  $CO_2$  partial pressures ( $P_{02}$  and  $P_{C02}$ ) are known affect temperature-related disorders, as well as induce specific  $O_2$ - and  $CO_2$ -related storage disorders. Superficial scald was reduced or eliminated by decreased  $P_{02}$  (Lau, 1989) or increased  $P_{C02}$  (Tomkins, 1966). The effectiveness of elevated  $P_{C02}$  to reduce the incidence of superficial scald may vary with storage ventilation, and was especially ineffective when elevated  $P_{C02}$  is achieved by reduced venting (Meigh, 1970).

The induction of tissue fermentation by limiting  $P_{02}$  or toxic  $P_{C02}$  results, in part, in the production of EtOH and acetaldehyde. The buildup of these fermentation products may result in tissue browning, cell death, intercellular flooding, and production of off-flavor compounds. Tissue EtOH production is an indicator or cause of many controlled atmosphere storage disorders (Fidler *et al.*, 1973).

A primary deterrent to commercial applications of modified atmosphere packaging (MAP) technologies for storage and transit of fruits and vegetables is the likelihood that packages and the commodities they contain will be exposed to a wide range of temperatures throughout the transport chain. An increase in temperature will increase respiration rates to a greater extent relative to changes in film  $O_2$  permeabilities ( $P^*_{02}$ ). With headspace atmosphere dependent upon the temperature effect on tissue respiration rates, temperature shifts will alter package atmosphere. In the case of blueberry (*Vaccinium corymbosum*) fruit, increased temperature lowered  $[O_2]_{pkg}$  (Beaudry *et al.*, 1992). Temperature effects on packaged fruit physiology, with the disparity of changes in  $P^*_{02}$  and  $RR_{02}$ , can be marked when the low  $O_2$  limit increases with temperature. Current research efforts center on the development of packages which would be able to alter atmospheres in response to deleterious storage atmospheres and temperatures.

It is generally accepted that respiration rates vary among individual fruits and throughout the various physiological states of maturation. Ripening and senescence processes, variation in physiological state, environmental conditions for growth, and environment after harvest affect respiration rates. Previous experiments have shown the low O<sub>2</sub> limit of a variety of commodities varies with temperature (Fidler and North, 1967). Studies in our laboratory have shown MAP systems well suited for determination of the low O<sub>2</sub> limit for apple fruit at 0.1°C (Gran and Beaudry, 1993) and blueberry fruit (Beaudry et al., 1992). For apple fruit at 0.1°C, the  $[O_2]_{pkg}$  at which a large increase in  $[EtOH]_{pkg}$  occurred

was taken to represent the low O<sub>2</sub> limit, and coincided with the RQ breakpoint (Gran and Beaudry, 1993).

Transport temperature shifts would change tissue respiration, potentially causing headspace atmosphere fluctuations, fermentation, and reducing tissue preservation with MAP transport and storage of apples. Hypothesizing that low O<sub>2</sub> limits increase with increasing temperatures, and that the extent of the increase varies with cultivar, experiments were conducted to determine the effects of temperature on low O<sub>2</sub> limits for three cultivars of apple fruit using [EtOH]<sub>pkg</sub> accumulation as the indicator.

#### MATERIALS AND METHODS:

Apple fruit of the cultivars 'McIntosh' (strains 'Redmax' and 'Marshall'), 'Golden Delicious', and 'Red Delicious' (strain 'Earli Red One') were harvested at the preclimacteric stage as determined by internal ethylene (C<sub>2</sub>H<sub>4</sub>) levels and stored at 3°C in air until packaged 2 to 6 days later.

As described by Cameron et al., (1989), packages with a range of steady-state  $[O_2]_{pkg}$  and  $CO_2$  partial pressure ( $[CO_2]_{pkg}$ ) were produced by varying film thickness and total fruit weight in the package. Four thicknesses (0.00254, 0.00508, 0.00762, and 0.0116 cm) of low density polyethylene (LDPE) (Dow Chemical Company, Midland MI) were used. Package surface area was 960 cm<sup>2</sup>; fruit number from with a range of 2 to 5 fruit to generate fruit weights of approximately 250 to 800 g per package.

Fruits were packaged as previously described (Gran and Beaudry, 1993) and

30 or more packages of differing combinations of fruit weight and film thickness were placed at 0.1, 5, 10, 15, 20, and 25°C for 'Golden Delicious' and 'Early Red One Red Delicious', with 3°C substituted for the low temperature for 'McIntosh' strains. Over 30 additional packages of 'Marshall McIntosh' and 'Golden Delicious' fruits were prepared with 9 x 6 cm Tyvek" pouches containing approximately 40 ml of hydrated lime [Ca(OH)<sub>2</sub>], in order to reduce [CO<sub>2</sub>]<sub>pkg</sub> to below ambient levels. Packages with Ca(OH)<sub>2</sub> were placed at the same range of temperatures according to cultivar. [O<sub>2</sub>]<sub>pkg</sub> and [CO<sub>2</sub>]<sub>pkg</sub> were monitored periodically for each cultivar stored at each treatment temperature until it was determined that fruits were at steady-state respiration.

Duplicate gas samples (25  $\mu$ l) were drawn from each package through a self-sealing silicone septum using a 50  $\mu$ l glass syringe (Hamilton Co., Reno, NV), and analyzed for O<sub>2</sub> (Servomex Paramagnetic O<sub>2</sub> Transducer, Series 1100, Servomex Co., Sussex, England) and CO<sub>2</sub> (ADC analytical infra red CO<sub>2</sub> Analyzer, 225-MK3, Analytical Development Co., Hoddesdon, England) in series, with N<sub>2</sub> as the carrier gas (flow rate = 100 ml·min<sup>-1</sup>).

Gas samples (250  $\mu$ l) were drawn from packages using a 500  $\mu$ l gastight glass syringe (Hamilton Co., Reno, NV) and [EtOH]<sub>pkg</sub> were determined by gas chromatography (Carle GC with 45.7 cm column, .32 cm bore, Haysep N packing, at 120°C with gas flow rates of 40, 40, and 200 ml·min<sup>-1</sup> for H<sub>2</sub>, He and air, respectively). EtOH levels were determined by comparison with standard solutions consisting of 100  $\mu$ l·100 ml<sup>-1</sup> dH<sub>2</sub>O placed at treatment temperatures,

with adjustments for standard vapor pressure changes with temperature (Harger et al., 1950).

[EtOH]<sub>pkg</sub> and tissue EtOH levels were measured and compared using tissue samples from packages having previously been sampled for [EtOH]<sub>pkg</sub>. Tissue samples were taken from core and cortical tissues, pooling 2-3 g of tissue sliced from multiple fruit removed from packages. Samples of approximately 10 g were macerated, placed in a 25 ml flask, capped with a rubber septum, and placed at original treatment temperatures. Gas samples (250  $\mu$ l) from the sealed flasks were analyzed after 2 hours (Pesis and Avissar, 1990). [EtOH]<sub>pkg</sub> were determined over a range of [O<sub>2</sub>]<sub>pkg</sub> each storage temperature, and the effect of temperature on the low O<sub>2</sub> limit was determined.

EtOH analysis was limited to 10-15 packages at each temperature, with the sample population consisting primarily of packages with a range of  $[O_2]_{pkg} \pm 3$  kPa  $P_{02}$  from the low  $O_2$  limit determined by the RQ breakpoint (Chapter 2).

Low  $O_2$  limit boundaries, indicated by elevated  $[EtOH]_{pkg}$ , were determined by marked increases (commonly 10-50 ppm) in  $[EtOH]_{pkg}$  above the basal aerobic  $[EtOH]_{pkg}$  values which occurred between packages with contiguous  $[O_2]_{pkg}$ . Low  $O_2$  limit boundaries were defined by the  $[O_2]_{pkg}$  for the packages between which an increase in  $[EtOH]_{pkg}$  was observed.

### **RESULTS AND DISCUSSION:**

A range of steady state  $[O_2]_{pkg}$  were generated for each apple cultivar at each treatment temperature.  $[O_2]_{pkg}$  ranged from 0.1 to 6 kPa  $O_2$ . Time until steady-

state respiration varied from 5 to 7 days at 25°C to more than 75 days at 0.1°C. Aerobic  $[EtOH]_{pkg}$  measured  $\leq 10$  to 50 ppm at 0.1 or 3 to 25°C, respectively, until reaching the anaerobic extinction point (Figures 1-4).

For all cultivars examined, the [O<sub>2</sub>]<sub>pkg</sub> at which elevated [EtOH]<sub>pkg</sub> were observed increased with temperature (Figures 1-5). Low O<sub>2</sub> limits are expressed as a range (Table 1), representing [O<sub>2</sub>]<sub>pkg</sub> between which an increase in [EtOH]<sub>pkg</sub> increased above aerobic levels was observed. In some instances the range is small, while for others the increment of [O<sub>2</sub>]<sub>pkg</sub> was separated by larger amounts, which did not allow accurate determination of specific low O<sub>2</sub> limit values. Estimated low O<sub>2</sub> limits at lower treatment temperatures are comparable with recommended atmospheres for controlled atmosphere (CA) storage of apple fruits (Dilley, 1989; Lau, 1989; Meheriuk, 1989).

Low O<sub>2</sub> limits increased from approximately 2.0 to 6.6 kPa and 0.75 to 4.0 kPa for 'Marshall McIntosh' and 'Redmax McIntosh', respectively, as temperature increased from 3 to 25°C (Table 1). Low O<sub>2</sub> limits for 'Golden Delicious' and 'Red Delicious' increased from approximately 0.6 to 1.0 kPa and 0.5 to 1.2 kPa from 0.1 to 25°C. Low O<sub>2</sub> limits increased most dramatically from 15 to 25°C, while increasing only slightly from 0.1 or 3 to 10°C (Figure 5).

[EtOH]<sub>pkg</sub> was highly correlated with EtOH levels of flasks containing macerated tissue (Figure 6). Internal and external tissue samples exhibited EtOH levels which did not differ significantly with area of fruit sampled. Packages with [EtOH]<sub>pkg</sub> above aerobic [EtOH]<sub>pkg</sub> showed increased [EtOH]<sub>pkg</sub> with time,

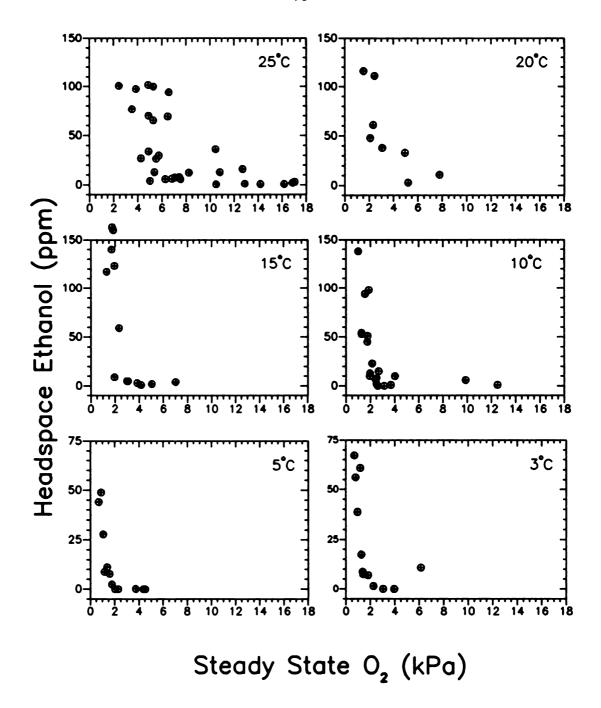


Figure 1. Effect of temperature (3, 5, 10, 15, 20 and 25°C) on headspace EtOH levels as an indication of the low O<sub>2</sub> limit of 'Marshall McIntosh' apple fruit.

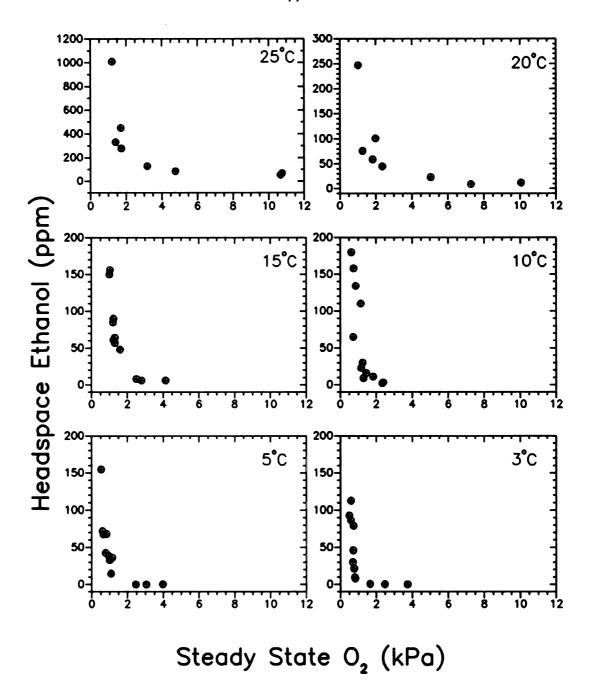


Figure 2. Effect of temperature (3, 5, 10, 15, 20, and 25°C) on headspace EtOH levels as an indication of the low O<sub>2</sub> limit of 'Redmax McIntosh' apple fruit.

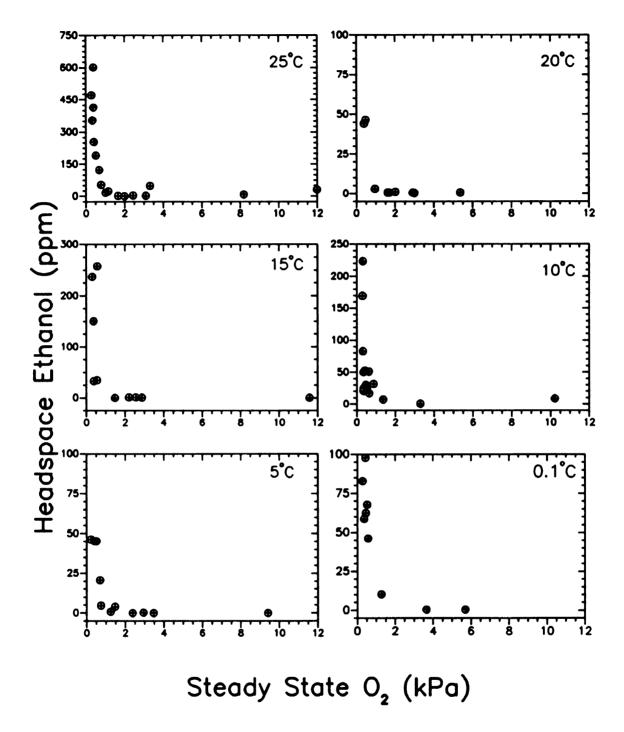


Figure 3. Effect of temperature (0.1, 5, 10, 15, 20, and 25°C) on headspace EtOH levels as an indication of the low  $O_2$  limit of 'Golden Delicious' apple fruit.

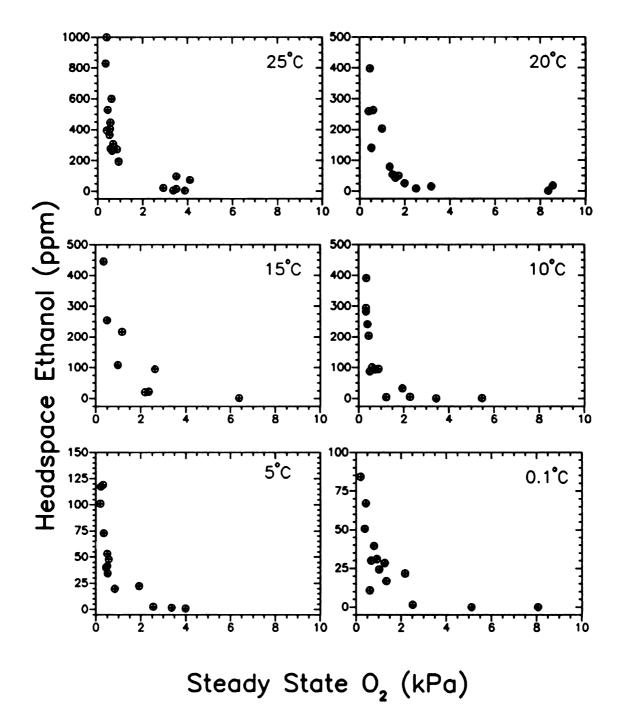


Figure 4. Effect of temperature (0.1, 5, 10, 15, 20, and 25°C) on headspace EtOH levels as an indication of the low O<sub>2</sub> limit of 'Early Red One Red Delicious' apple fruit.

Table 1. Estimated low O<sub>2</sub> limit ranges for 3 cultivars of apple fruit indicated by elevated headspace EtOH.

Temperature (°C)	Golden Delicious	Red Delicious	Redmax McIntosh	Marshall McIntosh
0.1/3	0.55-1.25	0.45-0.60	0.75-0.80	1.80-2.25
5	0.70-0.75	0.55-0.85	1.00-1.10	1.75-2.05
10	0.65	0.90-1.20	1.15	2.15-2.70
15	0.55-1.45	1.15-2.20	1.60-2.50	2.35-3.00
20	0.45-0.95	1.70-2.00	2.00-2.35	4.95-5.20
25	1.15-1.65	0.90-3.35	3.85-4.25	6.60-6.85

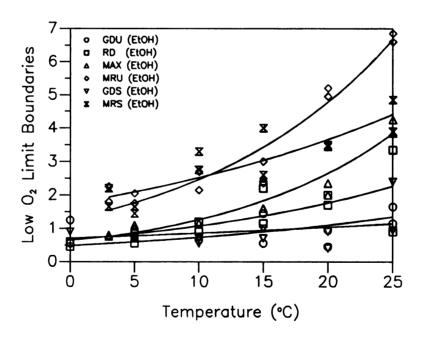


Figure 5. Low  $O_2$  limit boundaries for 3 apple cultivars over a range of temperatures as determined by elevated [EtOH]<sub>pkg</sub>. Treatments include packages with  $CO_2$  removed from headspaces. All low  $O_2$  limits determined by [EtOH]<sub>pkg</sub> accumulation.

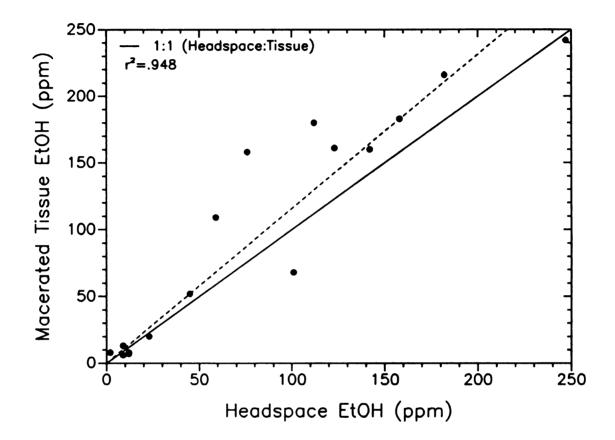


Figure 6. Correlation of headspace EtOH levels with macerated tissue EtOH levels.  $r^2 = 0.948$ .

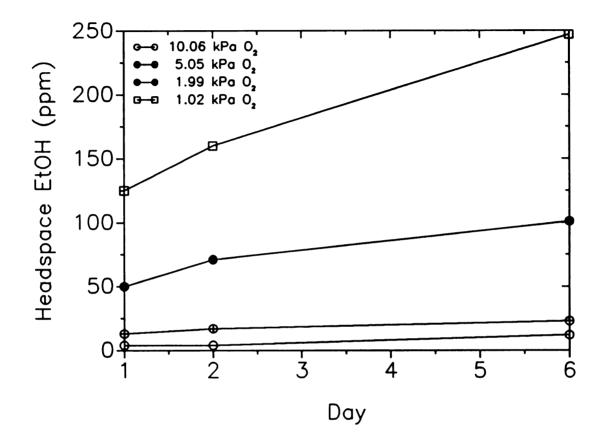


Figure 7. The accumulation with time of EtOH in the headspace of packages at steady-state respiration (Day 1), with a range of headspace O<sub>2</sub> partial pressures ('Redmax McIntosh', at 20° C, with a low O<sub>2</sub> limit of 2.00-2.35 kPa).

indicating continued fermentation of tissues (Figure 7), while packages with an aerobic  $[EtOH]_{pkg}$  of approximately  $\leq 10$  and  $\leq 50$  ppm, at 0.1/3 and 25°C, respectively, did not show increased  $[EtOH]_{pkg}$  during the same period.

[EtOH]<sub>pkg</sub> increased with the accumulation of EtOH in fermenting tissues. The usefulness of an observed breakpoint or increase in [EtOH]<sub>pkg</sub> to be an accurate indicator of the low O<sub>2</sub> limit was examined. Packages with anaerobic [O<sub>2</sub>]<sub>pkg</sub> should exhibit elevated [EtOH]<sub>pkg</sub> with levels of EtOH increasing with time. [EtOH]<sub>pkg</sub> in packages possessing [O<sub>2</sub>]<sub>pkg</sub> above the low O<sub>2</sub> limit would theoretically remain at a basal aerobic level. Observation of low level EtOH production by apples in storage is common (T. Solomos, personal communication). Packages were sampled at or shortly after it was determined that they had reached steady-state respiration. Although there were increases with time in [EtOH]<sub>pkg</sub> for fruits determined to have elevated [EtOH]<sub>pkg</sub> and assumed to be under anaerobic conditions (Figure 6), those fruits at higher [O<sub>2</sub>]<sub>pkg</sub> did not exhibit increased [EtOH]<sub>pkg</sub>. No indication of EtOH production as a result of tissue breakdown was observed for those packages at higher [O<sub>2</sub>]<sub>pkg</sub> 6 days after steady-state respiration.

For 'Marshall McIntosh' fruit with CO<sub>2</sub> removed from headspaces, the [O<sub>2</sub>]<sub>pkg</sub> at which elevated [EtOH]<sub>pkg</sub> were observed increased with increased temperatures (Figure 6, APPENDIX B). For 'Golden Delicious', the low O<sub>2</sub> limits did not increase noticeably from 0.1 to 20°C, increasing from 20 to 25°C (Figure 3, APPENDIX B). Low O<sub>2</sub> limits for fruit stored in packages with CO<sub>2</sub> removed from headspaces are given in Tables 2 and 4 (APPENDIX B), and did not vary

from those with [CO<sub>2</sub>]<sub>pkg</sub> ranging up to 12 kPa (data not shown).

Recent studies of Dadzie et al., (1992) support the observation that the lowest  $P_{02}$  at which fruits may be stored is not constant, but is temperature dependent, and the lowest  $P_{02}$  safe for storage varies to the extent of changes in respiration rate, temperature quotient ( $Q_{10}$ ) values (defined as the ratio of change in respiratory rate with a temperature change of 10°C and with a common value of approximately 2) and differences in surface resistance to gas diffusion.

Differences in low  $O_2$  limit for apple fruit with temperature may be directly related in part to variable tissue permeabilities and  $Q_{10}$  values, although tissue resistance to gas exchange has been shown to change significantly with ripening and aging (Rodriguez et al., 1989), and  $Q_{10}$  values are not constant and may be as high as 7 with a change of temperature from 0 to 10°C (Wills et al., 1989). Changes in  $Q_{10}$  values for respiration-linked reactions may vary among the cultivars examined.

The three cultivars examined possess different gas exchange characteristics, storage characteristics, and vary in susceptibility to storage disorders. 'McIntosh' cultivars are generally less permeable to gases and are especially prone to storage disorders, including low O<sub>2</sub> damage (Fidler et al., 1973; Meheriuk, 1989). 'Marshall McIntosh' are predisposed to storage damage and are particularly problematic for storage. 'Golden Delicious' and 'Red Delicious' cultivars, in contrast, tend to be more permeable to gas exchange and less susceptible to atmosphere-related storage disorders. An interdependence of fruit morphology,

gas exchange characteristics, lowest  $P_{02}$  safe for storage, respiration rates, and susceptibility to low  $O_2$  and high  $CO_2$  storage disorders is evident with comparisons of low  $O_2$  limits and fruit characteristics.

The determination of the low O<sub>2</sub> limit of 'Marshall McIntosh' was difficult due to variations in [O<sub>2</sub>]<sub>pkg</sub> at which EtOH accumulated for individual fruits and packages. Variable  $[O_2]_{pkg}$  for EtOH accumulation were accompanied by variable RQ breakpoints (Chapter 2), indicating fruit-to-fruit differences in gas exchange characteristics. Burg and Burg (1965) concluded that unblocked, open lenticels serve as a primary route for gas exchange of apple fruit. The differences in gas exchange characteristics of individual fruits may be the result of differences in the amount of cuticular waxes or the number of open lenticels present on individual fruit. It would also be expected that variations in gas exchange characteristics and low O<sub>2</sub> limits may be seen with variable growth conditions. Climate and environmental factors such as growing season moisture levels, humidity, and air pollution may alter the makeup and amount of cuticular waxes present, affecting the number of open lenticels closed by natural waxing. Observations of unwaxed orange (Citrus sinensis L. Osbeck) fruit and grapefruit (Citrus paradisi Macf.) fruit peels revealed lenticels were often clogged by natural waxing or foreign debris (Ben-Yehoshua et al., 1985).

In the case of apple fruit with cuticles possessing few lenticels and heavy waxing, as is the case with 'Marshall McIntosh', the lenticels appear less likely to be the sole route of gas exchange. We cannot assume, for all cultivars of apple

fruit, that the number of open lenticels, pore size, and the diffusive resistance of the cuticle serve as the sole determinants of gas exchange, thereby determining low O<sub>2</sub> limits for storage. The flooding of intercellular air space, measured at 30-35% of the total volume of apple fruit (Smith, 1947), would alter the diffusive resistance to gases, especially gases with low solubility in H<sub>2</sub>O such as O<sub>2</sub>. Watercore, a disorder seen especially in over-mature fruits, would alter gas exchange properties, increasing tissue resistance, and increase the low O<sub>2</sub> limit.

While accumulation of EtOH in the headspace of modified atmosphere packages appears to be a quick and accurate means to determine the low O<sub>2</sub> limit of apple fruit using a limited number of fruit, it does not provide a definite indication of actual fruit damage and propensity for the development of storage disorders. The studies of Lau (1989), examining fruit tolerance to anaerobic conditions, showed that while 61% of 'Spartan' apple fruit showed discoloration after 7 months at 0.5% O<sub>2</sub>, 8% of 'Red Delicious' apples discolored. The development of storage disorders, including low O<sub>2</sub> damage, appears to be cultivar dependant with variations in tolerance to intermittent or continuous anaerobic conditions. While EtOH has not been determined to be the cause of any storage disorder, it may indicate tissue fermentation, which is a commonly associated symptom of many storage disorders.

The results of this study underscore the possible problems in developing and implementing commercial MAP of fruits and vegetables through the marketing chain, given the current packaging films available and their permeability

characteristics. Data such as that generated herein may be used to calculate proper combinations of produce weight, package size, and film thickness to generate low O<sub>2</sub> and elevated CO<sub>2</sub> atmospheres, which in turn may extend storage life and maintain high fruit quality. For commodities exposed to a range of temperatures in transit and holding, rates of respiration would fluctuate, causing package [O<sub>2</sub>]<sub>pkg</sub> to decline to P<sub>O2</sub> below the anaerobic extinction point.

Temperature increases would thereby induce tissue fermentation, while temperature decreases would elevate P<sub>O2</sub>, such that shelflife would not be extended. Recent review articles by those working with the storage and transit of horticultural commodities reiterate these concerns for commercial applicability of MAP technology given the temperature exposures from harvest to consumer (Sharp et al., 1993), the properties of films available for MAP, and the inability to control atmosphere compositions with changes in temperature (M.E. Saltveit, personal communication).

The determination of low O<sub>2</sub> limits by EtOH accumulation, compared with calculations of RQ values and RQ breakpoints (Chapter 2), another indicator of low O<sub>2</sub> limits, establish higher low O<sub>2</sub> limits, with disparity in the determined low O<sub>2</sub> limits increasing with increasing temperatures for both strains of 'McIntosh'. Previous experiments examining low O<sub>2</sub> limits of 'Law Rome' apple fruit indicated RQ breakpoints and [EtOH]<sub>pkg</sub> accumulation occurred at the same [O<sub>2</sub>]<sub>pkg</sub> (Gran and Beaudry, 1993). The previous experiment, however, was conducted at 0.1° C and it appears that differences between the techniques in determining low O<sub>2</sub>

[EtOH]<sub>pkg</sub> accumulation are very close to the atmospheres recommended for commercial storage, while comparison of RQ-determined low O<sub>2</sub> values suggest slightly lower than recommended storage atmospheres at 0.1 and 3° C.

Using MAP to determine the low O<sub>2</sub> limits by means of [EtOH]<sub>pkg</sub> accumulation is a simple and accurate technique. Results are similar to previous large scale, commercial storage studies. The procedures do not require extensive facilities or the large quantity of fruit as is the case for CA storage experiments. While technical problems may plague the commercial application of MAP technologies, the system may prove a useful tool for applied studies of ripening and stored fruit physiology.

Differences in gas exchange characteristics must be considered for storage atmosphere recommendations and are likely to be helpful in establishing storage atmosphere regimes for new varieties. Gas exchange characteristics should not be overlooked as a factor affecting successful storage on a year to year basis. Commercial storage recommendations for  $P_{02}$  continue to decrease, with ultra low  $O_2$  storage (1 kPa or less  $P_{02}$ ) atmospheres increasingly used. Further reductions of  $P_{02}$  for storage will accentuate the consequence of a variation in gas exchange characteristics. Cultivar-specific data relating fruit-to-fruit variability of gas exchange characteristics may prove important for successful storage in the future.

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**Summary and Conclusions** 

#### Low O2 Limits and Storage Studies

Results from two years of experimentation show low  $O_2$  limits vary with temperature, cultivar, and slightly from year to year. Low  $O_2$  limits increased with increasing temperature for all cultivars examined, using both RQ breakpoint and elevated EtOH levels as indicators. The extent to which increasing temperatures affected low  $O_2$  limits varied highly between cultivars, with low  $O_2$  limits for 'McIntosh' fruits, particularly the 'Marshall' strain, changing  $\approx 4$  kPa  $O_2$  from 3 to  $25^{\circ}$ C.

Low O<sub>2</sub> limit values determined by RQ breakpoint were slightly lower than those indicated by elevated [EtOH]<sub>pkg</sub>. Low O<sub>2</sub> limits indicated by elevated [EtOH]<sub>pkg</sub> compared closely with commercially recommended storage O<sub>2</sub> atmospheres. Differences in low O<sub>2</sub> limits among the various cultivars examined may be related to tissue gas exchange characteristics.

# Variations in Low O<sub>2</sub> Limits Determined by RQ Breakpoint and Elevated Headspace EtOH Levels

The extensive storage studies initiated by Kidd and West and continued by Fidler and North at Ditton Laboratory in England established general physiological characteristics of fruit ripening and climacteric respiration. In these studies, RQ values associated with fruits in aerobic atmospheres were found to rise with increased temperature, be reduced with increased P<sub>CO2</sub>, and normally ranged from 1.5 to 1.8, but up to 3.0 under certain conditions. The results of this study confirm many previous results and thereby demonstrate the usefulness of

MAP as a tool to examine the physiology of fruits in MA storage.

An interesting note from the study, particularly when examining the effects of temperature on low  $O_2$  limits, is the apparent difference in low  $O_2$  limit determined by RQ breakpoint and the low  $O_2$  limit, or anaerobic extinction point, determined by headspace EtOH accumulation. In almost every instance, RQ breakpoint values determined and taken to represent low  $O_2$  limits were slightly lower than those determined by elevated headspace EtOH levels.

Why is there an apparent difference in the determined low  $O_2$  limit with alternate methods? Both methods rely upon physiological characteristics rather than empirical determination of threshold levels below which excessive damage occurs. One primary difference is the dependence of RQ breakpoints upon calculated flux levels, while headspace EtOH levels are measured directly. Differences in actual and measured film  $P^*_{CO2}$  would result in miscalculated RQ values. This error would primarily affect basal RQ values, while affecting RQ breakpoints to a lesser extent. Errors in calculated  $[O_2]_{pkg}$ , however, could effect both aerobic RQ levels and the determination of the  $[O_2]_{pkg}$  at which the RQ breakpoint occurs.

A possible cause of variation in low  $O_2$  limit determined by the two methods may be the differences in tissue permeability for the 'McIntosh' strains compared with 'Golden Delicious' and 'Red Delicious'. Comparisons of apparent whole fruit  $K_m$  for  $O_2$  (Figures 14 and 15, Chapter 2) indicate relatively high and low tissue gas permeabilities for 'Golden Delicious' and 'Marshall McIntosh',

respectively, over a range of temperatures. While the apparent  $K_m$  for  $O_2$  of 'Marshall McIntosh' increased linearly with increasing temperature, the apparent  $K_m$  for  $O_2$  for 'Golden Delicious' apple fruit increased rapidly from 0 to 10° C and remained constant at temperatures up to 25° C.

An additional indication of respiration being limited by tissue permeability for 'Marshall McIntosh' is the shape of predicted O<sub>2</sub> uptake curves (Figures 10 and 11, Chapter 2). With increased temperatures, predicted O<sub>2</sub> uptake curves approach linearity, indicating limited O<sub>2</sub> uptake with any decrease in [O<sub>2</sub>]<sub>pkg</sub> at 25° C. Predicted O<sub>2</sub> uptake curves for 'Golden Delicious' (Figure 12 and 13, Chapter 2), in comparison, did not change markedly with increased temperature.

Variations in determined low  $O_2$  limits may result from the indicated tissue permeability differences. Limited cuticle gas permeability may cause  $O_2$  and  $CO_2$  gradients within the fruit tissue. If the principal route of  $O_2$  and  $CO_2$  gas exchange into 'Marshall McIntosh' is through the calyx pore rather than lenticels, thought to be the principal route for gas exchange into apple fruits (Burg and Burg, 1965), those areas with the lowest level of  $P_{02}$  would be directly below the cuticle rather than at the center of the fruit tissue, as is normally though to be the case for apple fruit and other bulky fruit tissues. Localized anaerobic areas created under the cuticle in an aerobic atmosphere for the whole fruit tissue may induce fermentation and EtOH production in portions of the 'Marshall McIntosh' fruit tissue at aerobic  $O_2$  atmospheres, indicating low  $O_2$  limits at higher a  $[O_2]_{pkg}$ .

## Variations in O2 Uptake Between Cultivars

Another issue for examination relates to variations in  $RR_{02}$  between cultivars. Presentation of this data has brought differing opinions from fellow scientists, some believing this to be an actual phenomenon related to limiting  $RR_{02}$  with variation in flesh permeability, others believing differences to be artifacts of the experimental methods. Further examination of this is required. Arguments that the apparent differences are actually artifacts include the heritable enzymatic systems involved in fruit respiration. Any differences in  $RR_{02}$  indicate possible differences in  $K_m$  values for  $O_2$ . Apparent  $K_m$  for  $O_2$  for whole fruit is not a function of cytochrome oxidase alone, but involves other oxidases, as well as whole tissue gas permeability to  $O_2$ . Differences in  $K_m$  for  $O_2$  may be indicative of the extent to which tissue resistance to gas diffusion limits respiration of individual fruit or fruit cultivars.

Variations in tissue P<sup>\*</sup><sub>O2</sub>, P<sup>\*</sup><sub>CO2</sub>, P<sup>\*</sup><sub>v</sub>, P<sup>\*</sup><sub>C2H4</sub> (Burg and Burg, 1965; Cameron, 1982; Ben-Yehoshua *et al.*, 1985; Rodriguez *et al.*, 1989) have been shown for a number of fruit tissues throughout the ripening process. Variations for these tissue permeabilities have also been shown between individual cultivars of apple fruit (Park, 1990).

The internal atmospheres of fruits, both stored in air and under CA conditions, have been examined from the advent of CA storage (Kidd and West, 1949), with O<sub>2</sub> and CO<sub>2</sub> gradients found for various biological gases. The tissue gradients for various physiologic gases appear to be transient (Kidd and West,

1949), vary from year to year and between individual fruits and regions of each fruit (Dadzie et al., 1992), and change significantly with maturation and ripening (Kidd and West, 1949). MAP techniques may be useful in the examination of gas exchange and internal gas gradients of fruits.

#### Problems of Modified Atmosphere Packaging Examination of Fruit Storage

One problem associated with the use of MAP techniques for examination of fruit physiology is the dependance of atmosphere establishment upon respiration, the long length of time for atmosphere pulldown at low temperatures, and changes in respiration with time. With delayed atmosphere establishment, ripening would progress in packages, allowing changes in carbohydrate and organic acid content. Such changes are detrimental for stored fruits, and would not be representative of those conditions desirable for commercial storage studies and applications.

The influence of CO<sub>2</sub> on fruit ripening, and the means by which elevated CO<sub>2</sub> partial pressures act to extend CA and MA storage life, are still unresolved.

Further work must determine the specific mechanisms by which the pleiomorphic effects of CO<sub>2</sub> are induced. Use of MAP techniques to study these factors may be limited by the mechanical constraints of the methodology. While it is possible to completely remove CO<sub>2</sub> from package headspaces, establishment of precise CO<sub>2</sub> levels in combination with specific O<sub>2</sub> levels is more difficult, incorporating MAP and the methods of small scale CA studies. In this system, headspace CO<sub>2</sub> atmospheres depend upon film permeability, and with variation in film

permeabilities of 10% on any given lot of film, additional experimental error may be introduced. The increased complexity of experiments and calculations with a possible decrease in accuracy using MAP techniques to study CO<sub>2</sub> effects are not warranted given the availability of CA techniques. Finally, the physiological responses of whole fruit to CO<sub>2</sub> are well studied and documented, with studies using MAP techniques unlikely to increase the information on specific CO<sub>2</sub> effects.

#### Further Applications of Modified Atmosphere Packaging Techniques

The experiments conducted demonstrate the usefulness of MAP techniques for quick and relatively simple determination of low O<sub>2</sub> limits. These techniques may be particularly useful when CA storage of newly introduced cultivars is desired. When CA storage facilities are not available for storage research, MAP techniques may serve as a useful substitute.

In addition to the study of MA storage of commodities, MAP techniques may prove useful for the study of changes in protein content and syntheses induced by CA and MA storage. Using MAP techniques it is possible to generate a range of O<sub>2</sub> atmospheres in small increments from which samples may be extracted and analyzed. Use of this tool may allow for quick, accurate generation of samples for the study of specific enzymes, proteins, or genes which are modified in transcription, translation, or activation under modified atmospheres.

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# APPENDIX A

The following data constitute the CO<sub>2</sub> production rates for 8 cultivars of apple fruit packaged at 0.1° C for the first years experiments (1991). This data is presented as a supplement to data included in Chapter 1.

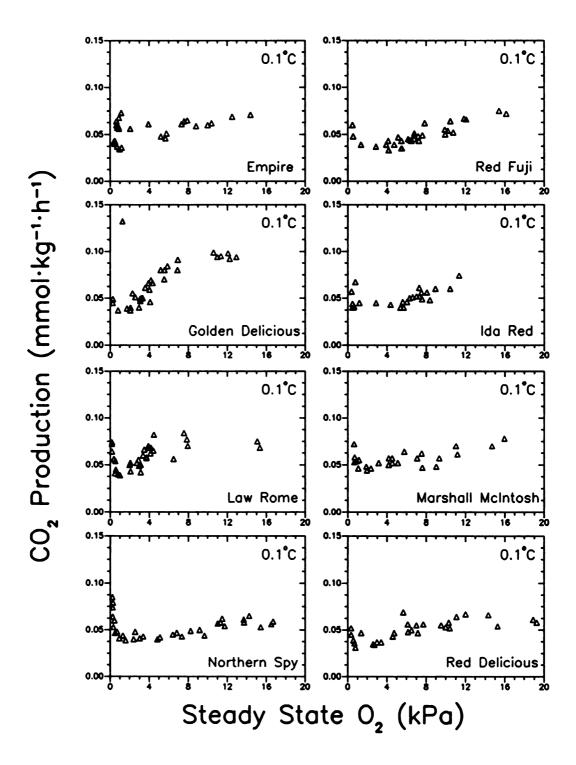


Figure 1. Effect of steady state O<sub>2</sub> partial pressure on CO<sub>2</sub> production of 8 cultivars of apple fruit at 0.1°C.

## APPENDIX B

The following data are derived from the second year of experiments (1992). This material consists of raw data for O<sub>2</sub> production rates for the 3 cultivars of apple fruit examined with best fit curves and equations describing those curves, the raw data for SAS<sup>o</sup> predicted O<sub>2</sub> uptake analysis for 'Marshall McIntosh' and 'Golden Delicious' cultivars, the headspace [EtOH]<sub>pkg</sub> for packages of 'Marshall McIntosh' and 'Golden Delicious' with CO<sub>2</sub> removed by scrubbing with hydrated lime [Ca(OH)<sub>2</sub>], and the low O<sub>2</sub> limits estimated for these varieties using headspace EtOH as the indicator.

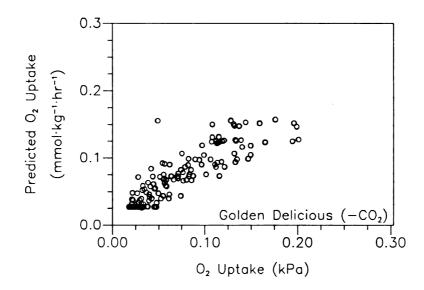


Figure 1. O<sub>2</sub> uptake and predicted O<sub>2</sub> uptake for 'Golden Delicious' apple fruit, with CO<sub>2</sub> removed from package headspaces, over a range of temperatures, using SAS<sup>•</sup> nonlinear regression fit.

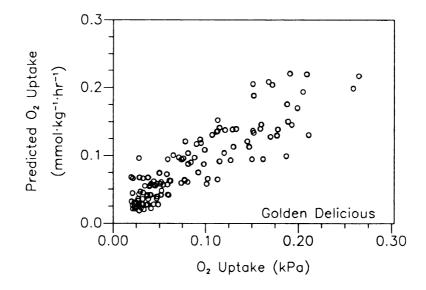


Figure 2. O<sub>2</sub> uptake and predicted O<sub>2</sub> uptake for 'Golden Delicious' apple fruit over a range of temperatures, using SAS<sup>\*</sup> nonlinear regression fit.

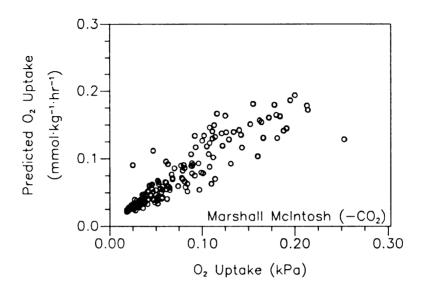


Figure 3. O<sub>2</sub> uptake and predicted O<sub>2</sub> uptake for 'Marshall McIntosh' apple fruit, with CO<sub>2</sub> removed from package headspaces, over at a range of temperatures, using SAS<sup>o</sup> nonlinear regression fit.

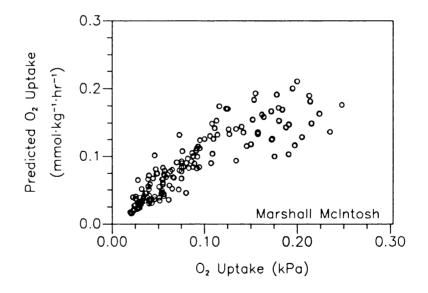


Figure 4. O<sub>2</sub> uptake and predicted O<sub>2</sub> uptake for 'Marshall McIntosh' apple fruit over a range of temperatures using SAS<sup>\*</sup> nonlinear regression fit.

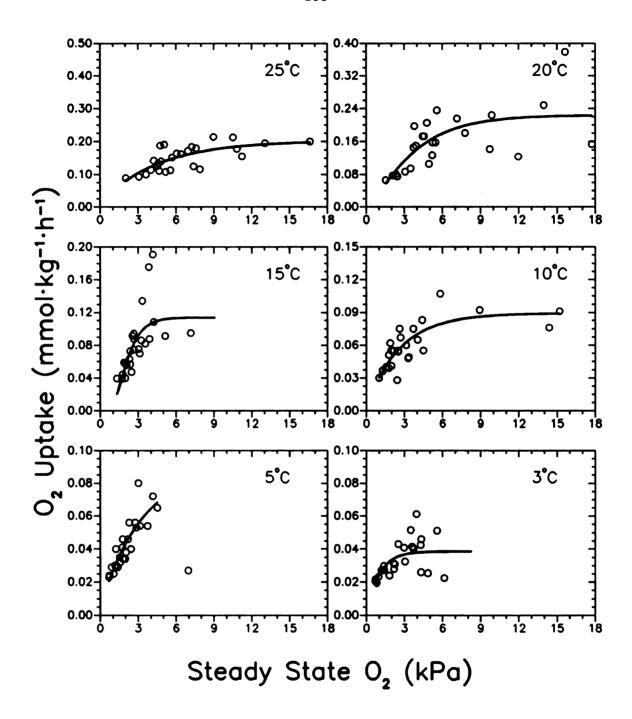


Figure 5. Effect of temperature (3, 5, 10, 15, 20, and 25°C) on  $RR_{02}$  of 'Marshall McIntosh' apple fruit. See Table 1 for equations describing curves.

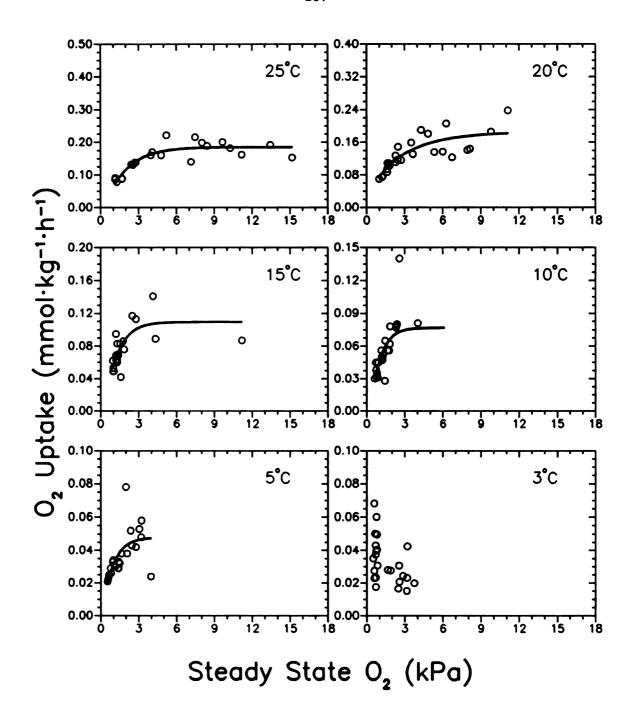


Figure 6. Effect of temperature (3, 5, 10, 15, 20, and 25°C) on  $RR_{02}$  of 'Redmax McIntosh' apple fruit. See Table 1 for equations describing curves.

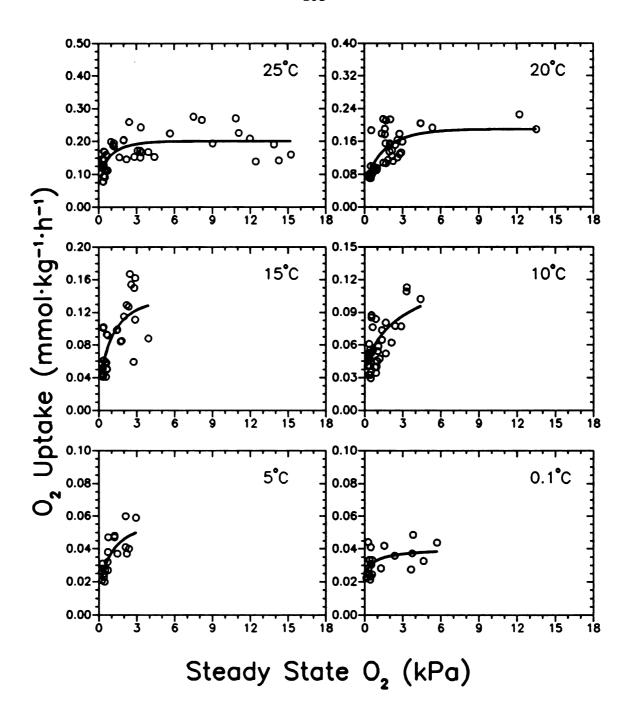


Figure 7. Effect of temperature (0.1, 5, 10, 15, 20, and 25°C) on RR<sub>02</sub> of 'Golden Delicious' apple fruit. See Table 1 for equations describing curves.

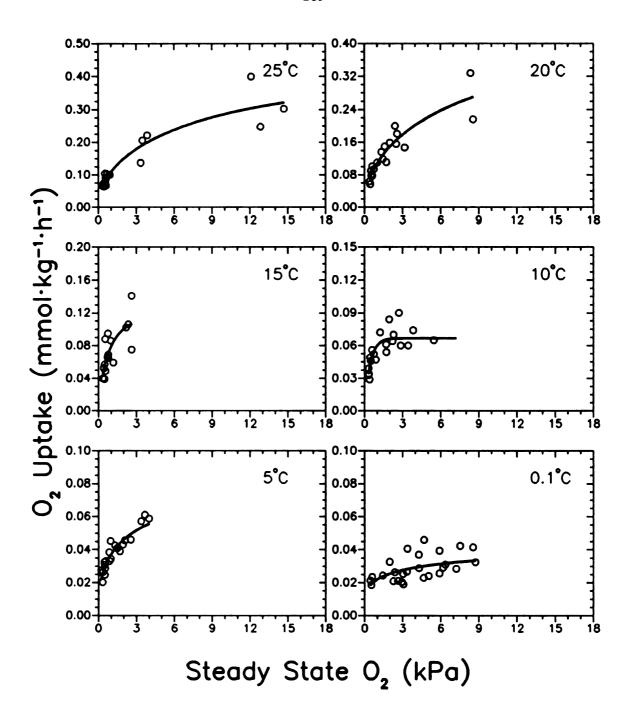


Figure 8. Effect of temperature (0.1, 5, 10, 15, 20, and 25°C) on RR<sub>02</sub> of 'Early Red One Red Delicious' apple fruit. See Table 1 for equations describing curves.

Table 1. General equations (Eq. (1)) and values of constants describing the relationship between steady state  $O_2$  partial pressure (kPa) and  $O_2$  uptake (mmol·kg³·h¹) for 3 apple cultivars in modified atmosphere packages over a range of temperatures. Eq.(1):  $RR_{O2} = b_1 \cdot \{1\text{-exp}[-b_2 \cdot (O_2)_{pkg}]\}^{k_3}$ 

Cultivar	T (°C)	b <sub>1</sub>	b <sub>2</sub>	b <sub>3</sub>	r²
'Marshall McIntosh'	3	0.03858	1.04802	1.07847	0.357
	5	0.09628	0.23805	0.82028	0.787
	10	0.08918	0.36431	0.91880	0.652
	15	0.11381	1.16961	7.14997	0.469
	20	0.22397	0.32543	1.45153	0.465
	25	0.20351	0.20891	0.87544	0.531
'Redmax McIntosh'	5	0.04790	1.21530	1.19230	0.465
	10	0.07677	1.40427	1.84727	0.445
	15	0.10944	1.05992	1.56692	0.551
	20	0.18612	0.31237	0.69226	0.663
	25	0.18529	0.60942	1.34822	0.798
'Golden Delicious'	0.1	0.03927	0.32535	0.3136	0.259
	5	0.05529	0.51940	0.42338	0.629
	10	0.13979	0.07294	0.29358	0.436
	15	0.13571	0.58672	0.52379	0.521
	20	0.18988	0.48562	0.48583	0.545
	25	0.20109	0.69397	0.34256	0.461
'Early Red One Red Delicious'	0.1	0.03818	0.09332	0.22647	0.306
	5	0.06949	0.18928	0.35375	0.876
	10	0.06669	2.34255	10.3644	0.607
	15	0.11496	0.86286	0.69101	0.616
	20	0.38478	0.07736	0.48758	0.850
	25	0.38988	0.07546	0.48661	0.851

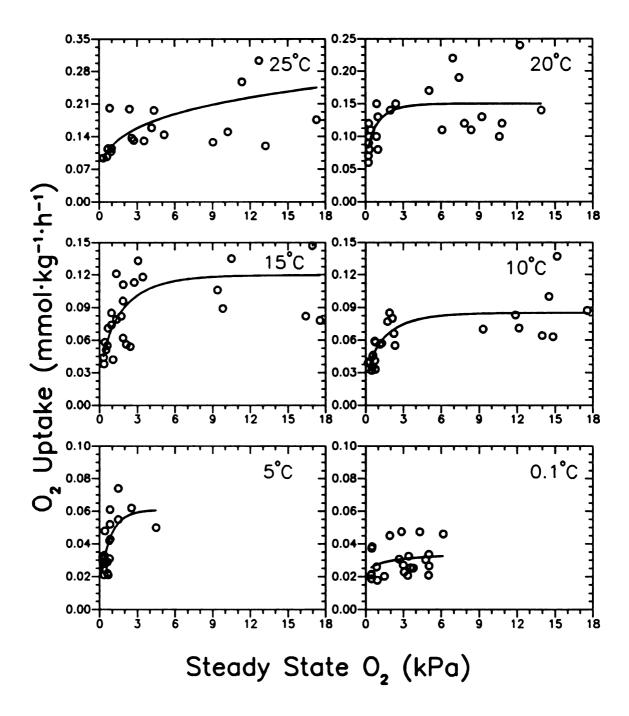


Figure 9. Effect of steady state O<sub>2</sub> partial pressure on the O<sub>2</sub> uptake of 'Golden Delicious' apple fruit over a range of temperatures with CO<sub>2</sub> removed from package headspaces. See Table 2 for equations describing O<sub>2</sub> uptake curves.

Table 2. General equations (Eq. (1)) and values of constants describing the relationship between steady state  $O_2$  partial pressure (kPa) and  $O_2$  uptake (mmol·kg<sup>-1</sup>·h<sup>-1</sup>) for 'Golden Delicious' apple fruit over a range of temperatures with  $CO_2$  removed from package headspaces.

T (°C)	$b_1$	b <sub>2</sub>	b <sub>3</sub>	r <sup>2</sup>
0.1	0.03499	0.11569	0.10414	0.070
5	0.06087	1.04650	0.61064	0.495
10	0.08503	0.43285	0.41455	0.588
15	0.11967	0.39001	0.45600	0.459
20	0.15026	0.74490	0.32932	0.398
25	0.52207	0.00292	0.25203	0.354

Table 3. Estimated low O<sub>2</sub> limits, as indicated by elevated headspace EtOH, of 'Golden Delicious' apple fruit sealed in packages with CO<sub>2</sub> removed from the headspaces, over a range of temperatures.

T (°C)	Estimated low O <sub>2</sub> limit (kPa)
0.1	<b>≈</b> 0.90
5	0.70-0.75
10	0.55-0.70
15	0.70-1.00
20	0.40-0.90
25	0.95-2.40

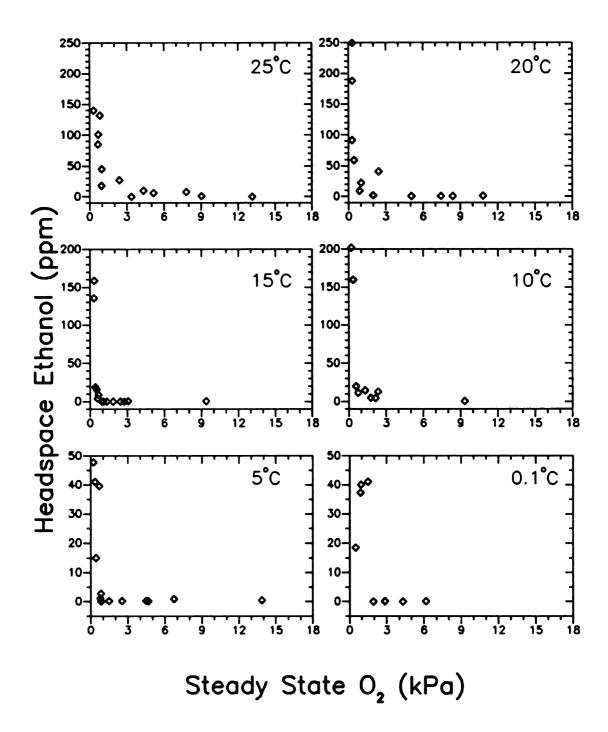


Figure 10. Effect of steady state  $O_2$  partial pressure on the low  $O_2$  limit, as indicated by elevated headspace EtOH levels, of 'Golden Delicious' apple fruit over a range of temperatures with  $CO_2$  removed from the package headspaces. See Table 3 for estimated low  $O_2$  limits.

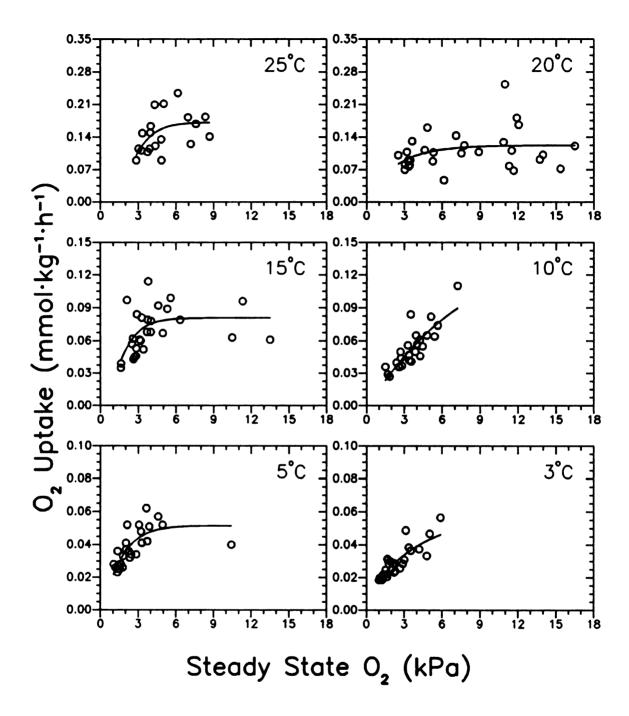


Figure 11. Effect of steady state O<sub>2</sub> partial pressures on O<sub>2</sub> uptake of 'Marshall McIntosh' apple fruit over a range of temperatures with CO<sub>2</sub> removed from package headspaces. See Table 4 for equations describing curves.

Table 4. General equations (Eq.(1)) and values of constants describing the relationship between steady state  $O_2$  partial pressures (kPa) and  $O_2$  uptake (mmol·kg<sup>-1</sup>·h<sup>-1</sup>) for 'Marshall McIntosh' apple fruit over a range of temperatures with  $CO_2$  removed from package headspaces.

T (°C)	$b_1$	$b_2$	b <sub>3</sub>	r²
3	0.06152	0.18805	0.71192	0.730
5	0.05156	0.74187	1.41744	0.609
10	0.13914	0.15775	1.14515	0.712
15	0.08079	0.89873	2.46464	0.291
20	0.12236	0.42082	0.93988	0.104
25	0.17133	0.87569	6.08627	0.280

Table 5. Estimated low O<sub>2</sub> limits, as indicated by elevated headspace EtOH, for 'Marshall McIntosh' apple fruit sealed in packages with CO<sub>2</sub> removed from the package headspaces, stored over a range of temperatures.

T (°C)	Estimated low O <sub>2</sub> limit
3	1.65-2.20
5	1.45-1.65
10	2.75-3.30
15	2.60-4.00
20	3.45-3.50
25	3.90-4.85

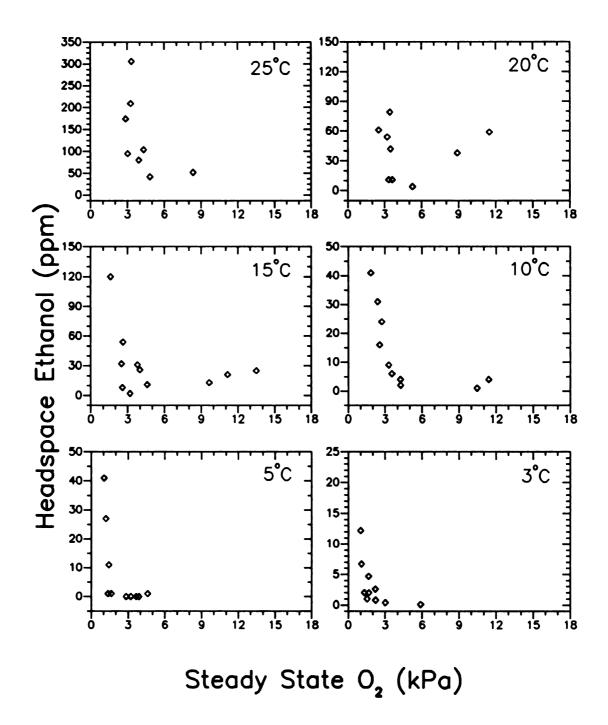


Figure 12. Effect of steady state O<sub>2</sub> partial pressure on the low O<sub>2</sub> limit, as indicated by elevated headspace EtOH levels, of 'Marshall McIntosh' apple fruit over a range of temperatures with CO<sub>2</sub> removed from package headspaces. See Table 5 for estimated low O<sub>2</sub> limits.

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