# A CRITICAL EVALUATION OF GREEN ROOF SUBSTRATE COMPONENTS

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# A THESIS

Submitted to
Michigan State University
in partial fulfillment of the requirements
for the degree of

Horticulture – Master of Science

2015

### ABSTRACT

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Extensive green roofs are highly technical growing systems that must overcome environmental, physical, and biological challenges in order to function properly. Proper selection of materials for inclusion in the substrate layer is of particular importance. Compost is often included in green roof substrates to increase fertility and water holding capacity. However, compost is a highly variable material whose effects on many other aspects of green roof functionality are poorly understood. A green house experiment was performed to measure the effect of six different composts on the physical properties of substrates, the growth and development of plants grown in those substrates, and the water quality of run off during simulated rain events. Compost selection was found to be an important factor in all of those categories. Conventional substrate materials also represent a significant percentage of the total embodied energy and environmental impact of green roofs. The ecological role and overall sustainability of green roofs would be greatly improved by finding alternatives to the energy-intensive substrate components. Two materials from recycled sources, foamed glass and crushed bulk porcelain, were compared to heat-expanded shale in an outdoor field trial. Total plant coverage in both materials over a two-year observation period was equivalent to coverage in the conventional substrate. Foamed glass was half the density of the expanded shale and porcelain substantially reduced substrate temperature fluctuations.

## **ACKNOWLEDGEMENTS**

The completion of this thesis was only possible because of the support of many people along the way. I thank my major advisor, Dr. Bradley Rowe, and committee members, Dr. John Biernbaum and Dr. Kurt Steinke, for having faith in my ability to take on this challenge. I thank my friends and family for making the extra effort to accommodate my unpredictable availability and for providing encouragement when it was needed. I thank Dr.'s Matt Grieshop and Rufus Isaacs for their patience and support as I completed this thesis. Most of all, I want to acknowledge my friend and partner, Rachel Rochefort, for the uncountable ways in which she made this degree possible.

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## **KEY TO ABBREVIATIONS**

**CEC** Cation Exchange Capacity

FLL Forschungsgesellschaft Landschaftsentwicklung Landschaftsbau

(Society of Landscape Development and Landscape Design)

**FWH** Food Waste Hot-Compost

**FWW** Food Waste Worm-compost

LCA Life Cycle Analysis

SHC Saturated Hydraulic Conductivity

WHC Water Holding Capacity

# Chapter 1:

Literature Review

#### Introduction

Cities represent large expanses of impervious surfaces with little to no vegetation. The displacement of natural green space has measureable consequences for both wildlife and human beings. More than half of the human population now resides in urban areas (Marshall 2007) and the size of that population continues to rise. The continued disruption of natural space and the consequent loss of the biological services they provide is an inherently unsustainable practice. It is absolutely necessary for human kind to adopt new technologies that preserve the ecological functionality of the spaces we occupy. One such technology is the green roof.

The term "green roof" encompasses a wide range of roofing systems that support the growth of vegetation. Across the world, green roofs differ greatly in terms of their construction, appearance, vegetation, functionality, and cost. A specific type of green roof is the single course extensive system. These roofing systems are relatively shallow, with typical depths ranging from 5 to 20 centimeters. The substrate layers are of uniform composition. Access to this style of roof is often limited and minimal maintenance is anticipated. The vegetation layer is often composed of drought resistant, persistent species such as sedums, grasses, and sedges. Single course extensive green roofs are usually installed more for their economic benefits than for other reasons.

## **Benefits of Extensive Green Roofs**

**Thermal Regulation:** The roof a building is exposed to solar radiation for most of the day and can become quite hot. A large temperature differential between the top floor and roof of a building drives the ingress of heat into the building. Green roofs help to reduce that temperature differential by mitigating heat accumulation on the rooftop.

Liu and Baskaran (2003) took thermal measurements at the Field Roofing Facility in Ottawa, Canada for two years. Surface temperatures on the conventional portion of the roof exceeded 70°C and had a median daily fluctuation of 45°C. The green roof portion never exceeded 40°C and had a median fluctuation of 6°C, which reduced heat flow through the roof into the building by 75%. Saiz et al. (2006) had similar results in Madrid with conventional roof temperatures reaching 65°C compared to 32°C on the green roof.

The cooling effect of green roofs is a combination of multiple factors. The substrate layer provides additional insulation between the roof surface and the building interior. The contribution of the substrate layer is fairly minor, however. Carter and Keeler (2008) determined that a 7.62 cm deep substrate layer composed of expanded slate had an insulation value of R-2.8, which is roughly equivalent to 2.5 cm of the closed cell foam used in home construction. For perspective, Michigan's Uniform Energy Codes require insulation values between R-24 and R-49 for ceilings and attics (MDELEG 2011). The vegetation layer is the major source of cooling on a green roof. Plant leaves selectively absorb visible light with pigments while reflecting away the near infrared radiation that causes surface heating. Thick leaves with large intercellular air spaces and waxy cuticles, characteristic of many of the crussulacean species used in extensive green roofs, are particularly effective at reflecting near infrared radiation (Slaton et al. 2001; Woolley 1971). Heat is also actively removed from the roof by plants through evapotranspiration (Omnura et al. 2001). The amount of heat removed by plant transpiration and evaporation from the soil and leaf surfaces is highly influenced by leaf area (Takakura et al. 2000). Thus effective cooling by a green roof is largely dependent on the activity and coverage of the plant layer.

The mitigation of heat and modulation of roof top temperatures reduces the cooling costs of a building and increases the service life of the waterproof membrane.

The lifespan of extensive green roofs is frequently estimated to be between 40 and 50 years, though exact figures are difficult to obtain. In comparison, most conventional roofs are replaced every 15 to 25 years.

Stormwater Management: Urbanization has created large expanses of impermeable surfaces. In the short term, precipitation that falls onto these surfaces has four paths that it can follow: it can evaporate, it can be diverted to a water treatment facility, it can be stored in a retention pond, or it can flow into a natural watershed. Water treatment facilities and storage reservoirs have limited capacities and the cost of their construction/maintenance is proportional to their size. Exceeding the capacity of a treatment facility can result in overflows of untreated water into populated and natural environments. Impervious surfaces have been directly linked to decreases in aquatic species richness and water quality in nearby streams as a result of eutrophication, sediment loading, and the dissolution of soluble chemicals (Brabec et al. 2002).

Green roofs control stormwater with the substrate layer. Precipitation has to move through the pore network of the substrate before draining. Substrates are able to hold a certain amount of water, referred to as field capacity or water holding capacity, before running off. With small rain events, water is absorbed into the substrate and never enters the drainage system. The water is removed from the substrate by direct evaporation or by transpiration of the vegetation layer, replenishing its water holding capacity. With larger precipitation volumes, drainage of the water landing on the roof is delayed by its passage through the substrate layer. The timing of this delay is determined by the rate at which

water is able to move through the substrate, which is referred to as hydraulic conductivity. Water will travel at different rates depending on whether the substrate is in a saturated or unsaturated state. The net effect is a reduction and delay of stormwater leaving a roof.

Bliss et al. (2009) observed an overall reduction of stormwater from a green roof compared to a flat roof from the end of August 2006 to the middle of January 2007 in Pittsburgh, PA. The total runoff volume of each storm was reduced between 5 and 69%, with larger reductions realized during smaller rain events. Runoff flow rates were similarly reduced. VanWoert et al. (2005) conducted a similar study in Holt, MI that compared gravel, bare green roof media, and green roof media with a vegetation layer over a 14-month period. The gravel consistently retained less water than the other treatments, with an overall difference of 23.2% between the gravel and bare media. The difference was most pronounced during moderate and heavy rain events. The presence of a vegetated layer increased overall retention by 10.2%. These two studies show that green roofs measurably reduce stormwater runoff; that the composition of a green roof substrate has a significant effect on the quantity of water that can be retained; and that the presence of a vegetated layer enhances the retention ability of the substrate layer. These results are consistent with a much larger body of literature documenting this subject matter.

Runoff Water Quality: As water passes through the substrate layer, there is the potential for an exchange of soluble ions. There is concern that the soluble nutrient pool of the substrate layer will be carried away from the green roof during precipitation events. The leaching potential of plant nutrients, in particular nitrogen and phosphorus, and the impact of increased nutrient loads in runoff water on natural and man-made

watersheds have been heavily investigated (Hart et al. 2004; Beman et al. 2005). Given the clearly detrimental effect of even small quantities of these nutrients leaching into watersheds, it is important to understand how green roofs behave in regards to this issue. There have been several investigations conducted into the quality of water from green roofs, but the results of these studies have not produced a general consensus. Several studies have determined that green roofs do contribute to the mass loading of plant nutrients in stormwater. The degree of mass loading reported in these studies varies greatly. Vijayaraghavan et al. (2012) reported nitrate and phosphate concentrations of 25 and 20 ppm, respectively. However, Toland et al. (2012) reported nitrate and phosphate concentrations as low as 0.17 and 1.57 ppm, respectively. Berndtsson et al. (2009) found that the green roof in their study actually decreased nitrogen concentrations in rainwater, though they still reported elevated levels of phosphorus (0.27 ppm) in the runoff.

There is still a need to identify factors in green roof design and operation that explain and predict their impact on runoff water quality. One such factor that has been implicated in the literature is the organic matter included in green roof substrates.

Agricultural studies investigating the effects of field-applied organic matter have found that such applications can degrade water quality, but the results of these studies are variable and differ among specific organic matter types (Eghball and Gilley 1999; Faucette et al. 2004; Spargo et al. 2006). There has been little to no research that specifically addresses how different organic matter sources included in green roof substrates affect the quality of runoff water from green roofs.

**Ecosystem Services:** There are additional benefits offered by green roofs that go beyond the scope of an individual building. Graham and Kim (2003) conducted a

modeling study that implied that the retrofitting of all rooftops capable of supporting a green roof in Vancouver, British Columbia, Canada would restore the local watershed to its natural state within 50 years. According to Yang et al. (2003), retrofitting green roofs has a similar effect on air quality as planting urban forests. Modeling the adoption of greens roofs in Chicago, IL, Smith and Roebber (2011) demonstrated that ambient air temperature, relative humidity, and lake-breeze circulation would be affected. These studies, while clearly speculative, show that the nearly intangible impacts of a single green roof would become quite perceivable in aggregate. The economic return of ecosystem services to the owner of an individual green roof is difficult to calculate and therefore may not be viewed by building owners as incentives for selecting a green roof installation. However, these benefits are critical to understanding the potential ecological functions of green roofs.

## The Substrate Layer

General Considerations: Supporting plant growth on a rooftop is a challenge. A substrate must provide a suitable environment for plant growth while also meeting the technical requirements of the building on top of which it is being placed (FLL, 2008). Unless supporting a vegetative layer was part of the initial building plan, flat rooftops are rarely designed for loads beyond those experienced with a shallow gravel ballast layer and some equipment. This means that weight is a limiting factor in selecting materials for substrates. In discussing weight, one must also consider that substrates will be exposed to rain. In heavy or prolonged precipitation events, the mass of water falling onto a roof can become substantial. Therefore, the density of a material when wet and its ability to drain are key factors to consider.

Extensive green roof substrates must also be physically rigid to avoid changes in the substrate profile due to compaction. Compaction has several negative consequences. Pore network continuity is compromised when soil particles are forced into greater proximity of each other. This disrupts the flow of water through the substrate, reducing the ability of the roof to drain. It also creates physical barriers to root growth and restricts the diffusion of air throughout the substrate (Matthews et al. 2010; Nadian et al. 1997). The inclusion of small particle sizes, like those of fine silts, can also cause similar problems. These particles could become mobile under saturated flow conditions created by heavy rain events and migrate until they occlude micropores in the substrate, clog the drainage fabrics, or wash out of the green roof all together (Gee and Or 2002; Xu 2003).

Commonly selected inorganic materials for green roof substrates come from both natural (volcanic rock, gravel, sand) and manufactured (heat-expanded shale, clay, and slate) origins. Commercial green roof substrates tend to be blends of these materials, which helps to balance the benefits and drawbacks of each. However, blends of these materials are still prone to having low water and nutrient holding capacity / content, and little to no biological activity. Organic matter is often added in small quantities, less than 20% by volume, to green roof substrates to overcome the shortcomings of the inorganic fractions (FLL), 2008). Ampim et al. (2010) provides a thorough overview of green roof substrates.

**Organic Matter:** The role of organic matter in soils and substrates has been a subject of intense review for many years. There is a general consensus that total water holding capacity increases with organic matter content. However, there are mixed opinions regarding the change in *available* water holding capacity with respect to organic

matter (Loveland and Webb 2003). Some feel that organic matter simultaneously increases both the field capacity and the wilting point and thus does little to make water more available to plants (Bauer and Black 1992; Haynes and Naidu 1998). However, Hudson (1994) performed a critical and detailed review of the literature to show that when individual studies on this subject are reviewed together, strong evidence exists in favor of available water holding capacity increasing with respect to organic matter content. There has been no investigation regarding the relationship between organic matter content and available water capacity in green roof substrates.

Organic matter is also associated with improved soil fertility, largely due to its high cation exchange capacity (CEC). Organic matter has been cited as having CECs between 40 and 200 cmol·kg<sup>-1</sup> (Harada and Inoko 1980; Helling et al. 1964). To put this into context, pumice was tested at 2.0 cmol·kg<sup>-1</sup> (Fassman 2010) and expanded shale at 2.8 cmol·kg<sup>-1</sup> (Sloan et al. 2010). The CEC of a sandy soil ranges between 3-5 cmol·kg<sup>-1</sup>, a light loam from 10-20 cmol·kg<sup>-1</sup>, and dark loam from 15 to 25 cmol·kg<sup>-1</sup> (Mengel 1993). A small percentage of organic matter can result in a large increase in the CEC of a green roof substrate composed of these inorganic materials. High cation exchange values help a substrate retain a pool of available nutrients, hold water, and buffer the pH of a substrate.

It is important to recognize that organic matter is a heterogeneous mixture of molecules that vary in size, chemical functionality, age, and non-carbon content. Organic matter can refer to discernable materials like sphagnum moss and coco coir; to fresh plant residues; to materials that are further decomposed such as peat and compost, or to exceptionally recalcitrant molecules composed predominately of carbon. The organic

matter of a mature system is often composed of members from the entire spectrum. The formation of organic matter is a multi-stage process that is highly dependent upon time, environment, and the microbial community.

The activity of microbes is highly correlated with the decomposition of organic matter and thus factors that affect their activity also influence the rate at which organic matter is decomposed. The initial breakdown of fresh residues is largely dependent on the relative abundance of soluble nutrients required for microbial cellular metabolism, particularly nitrogen, phosphorus, and sulfur. The pool of readily accessible carbon, i.e. sugars, starches, and celluloses, is quickly depleted. This process occurs over the range of a few years in a temperate forest (Jenkinson and Ladd 1981). The more complex forms of carbon remaining from the organic residues take much longer to decompose, on the order of a decade. There are several factors that contribute to the slowing of decomposition over time. First, the remaining forms of carbon such as lignins, tannins, and chitins, are more resistant to enzymatic degradation and oxidation (Derenne and Largeau 2001). Kalbitz et al. (2003) showed that the chemical structure and complexity of dissolved organic matter extracted from various organic residues was strongly related to their halflives in the presence of soil microbes. Secondly, as the initially homogenous pool of resources is depleted, access to additional nutrients and degradable forms of carbon is reduced. Microbes can become isolated from carbon sources through changes in pore networks, soil aggregation, and the complexation of carbon molecules with other substances (Lutzow et al. 2006; Van Veen and Kuikman 1990).

Nagase and Dunnett (2011) compared the dry shoot mass of plants grown in a green roof substrate with varying organic matter percentages under both drought and

irrigated conditions. Total water holding capacity of the substrate increased with organic matter content. Under drought conditions there was greater shoot mass in treatments with organic matter than without, but no statistical difference was observed in shoot mass after organic matter content exceeded 10% by volume. However, shoot mass increased in proportion to organic matter content up to 50% of the substrate volume when the plots were irrigated.

**Compost:** Composting is a process designed to accelerate the decomposition of organic matter by optimizing conditions for microbial activity. The generalized method of modern composting begins by combining organic materials to ensure an adequate and balanced supply of nutrients, particularly reducible carbon and nitrogen. The materials included in compost are referred to as "feedstocks." There is an exceptionally wide range of feedstocks used in composting. Examples include manures, crop residues, yard wastes, sewage sludge, straw, hay, and food scraps. Temperature, moisture, and dissolved oxygen are managed through techniques as simple as physically mixing or through more complicated methods involving forced air and buried tubing. Encouraging microbial activity and allowing the heat generated by microbial metabolism to raise the temperature of a pile allows the compost to enter a thermophilic phase. Temperatures in compost piles can exceed 60°C (MacGregor et al. 1981). Many organisms cannot survive at these temperatures, particularly human and plant pathogens (Watanabe et al. 1997). The thermophilic phase is proceeded by the *maturation phase*, in which compost is allowed to stabilize and mature over time. An excellent definition of stability and maturity is provided in Pullicino et al. (2007):

"...it has been generally accepted that compost stability refers to the rate or degree of organic matter decomposition expressed as a function of microbial activity and evaluated by means of respirometric measurements ...or by studying the transformations in the chemical characteristics of compost organic matter...On the other hand, maturity generally refers to the degree of decomposition of phytotoxic organic substances produced during the active composting stage and has been generally evaluated through plant or seed bioassays..."

Though the process of aerobic composting can be generalized, there are many points at which specific differences can exist. The decisions made by a particular compost producer change the properties of the compost being made. The nutrient concentration, pH, CEC, and pathogen load of finished compost can be influenced by feedstock selection (Bernai et al. 1998, Dimambro et al. 2007), environmental conditions (Tiquia et al. 2000), and even the frequency at which a pile is mixed (Ogundwande et al. 2008). These factors also affect the population dynamics of microbial communities (Liang et al. 2003) and consequently, the time needed to achieve stability and maturity (Huang et al. 2004, Zhu 2007, Eiland et al. 2001).

Given the many ways in which compost can differ, it is not surprising that compost selection has been demonstrated to affect the performance of plant substrates. Atiyeh et al. (2000) made potting mixtures blended with any of two types of vermicompost and five types of compost at concentrations of 10 and 20% by volume. The composts differed in terms of pH and macronutrient content. The dry shoot masses of multiple plant species grown in the potting mixtures were significantly different and

microbial activity was greater in the vermicomposts than in the other types of compost. Hashemimajad et al. (2004) made potting mixes ranging from 0 to 45% by volume of composts made from dairy manure, tobacco residue, yard leaf, or sewage sludge. All potting mixes that contained compost resulted in tomato plants having greater dry shoot mass than those that did not. There were significant differences between compost types. There were also significant differences in the measured physical properties: bulk density, particle density, total porosity, and water holding capacity; as well as in the nutrient and organic carbon concentrations, of the potting mixes. Klock-Moore (2000) found that including compost made from feedstocks blending biosolid wastes and yard trimmings into potting mixes resulted in greater shoot mass and number of flower spikes in salvia plants than including compost made from feedstocks blending seaweed and yard trimmings.

Research on the subject of compost for use in green roof substrates has paid little attention to the diversity of these materials and the potential impact of their selection. The green roof community recognizes that composts differ from other forms of organic matter (Ampim et al. 2010) and has gone so far as to acknowledge that some composts may differ in terms of soluble salts (Friedrich 2005). However, the majority of research on organic matter and compost in green roofs has involved how the amount of material included in a substrate affects plant growth (Nagase and Dunnett 2011; Olszewski et al. 2010) and runoff water quality (Hathaway et al. 2008; Moran 2004). The conclusions of these studies made no mention of the possibility that different composts could have produced results other than those obtained. Given the clear impact of compost selection

observed in the research of potting mixes, it is obvious that the role of compost in green roof substrates requires investigation.

## The Environmental and Economic Impact of Extensive Green Roofs

A big question surrounding large-scale implementation of green roofs in North America is whether or not they are "worth it." Answering this question is not so straightforward. There are many aspects to a green roof, all of which are not equally valued by each person questioning their merits. Economic, environmental, and social aspects of green roofs all interact with each other at various scales. A building owner balancing the cost of construction, maintenance, and repair of a green roof against the energy savings and extended lifespan associated with a green roof may feel differently than a municipality determining cost-savings associated with ecosystem services. The restoration of green space lost to urbanization has to be weighed against the environmental disruption of obtaining and producing the materials needed for a green roof assembly. Researchers from a variety of disciplines have begun to conduct a sort of assessment called a *Life Cycle Analysis* that attempts to combine the effects of these various viewpoints into a single balance sheet.

Carter and Keeler (2008) considered the economic implications of replacing flat roofs with green roofs in the Tanyard Branch watershed, located near Athens, GA. Their analysis considered installations costs, roof maintenance, stormwater management, and changes in air quality. Based on the pricing at the time of publication, they estimated that a green roof is 18.87% more costly to an individual and 12.14% more costly to the society than a black, flat roof. However, the net value of green roof installation was improved when the analysis was adjusted to account for anticipated increases in energy

costs and decreases in the cost of green roof installation resulting from improved domestic availability of green roof components.

Kosareo and Ries (2007) compared the environmental impact of green roofs and conventional flat roofs based on construction in Pittsburgh, PA. Their assessment considered many factors including stormwater management, runoff water quality, energy use, and green house gas emissions. They did not factor in the impact of the substrate material. They found that, over the course of their service life, extensive green roofs use less energy, result in fewer greenhouse and ozone layer depleting gas emissions, and have a lesser impact on aquatic and human health than conventional roofs. They also found that the most significant environmental impacts resulted from the materials used in construction of the roof and that the benefits of green roofs were largely tied to reduced building energy use and extended service life.

Saiz et al. (2006) performed a holistic analysis of the life of a sixteen-floor building with an extensive green roof located in Madrid, Spain. They focused on energy use, heat mitigation, and the possible recycling of grey water runoff. Their analysis accounted for the production of the substrate materials, but did not account for its disposal after the service life of the green roof had been reached. In this model, the installation of an extensive green roof was environmentally favorable in comparison to a common flat roof. The most significant factors affecting environmental impact were related to the reduction in energy consumption due to heat mitigation.

Peri et al. (2012) published a critical review of the analyses conducted by Kosareo and Ries (2007) and Saiz et al. (2006). Peri considered these works to be "partially comprehensive" because they failed to fully consider both the full lifecycle of the roof

and all of its components, namely the substrate layer, in their analyses. Peri performed an environmental LCA of an existing extensive green roof located in Bagheria, Italy that was constructed with a substrate composed of volcanic rock, perlite, compost, and peat. They gave full consideration to all of the materials used in the construction of the green roof from the initial production of those materials through the end of the green roof's service life and consequent disposal. The production of materials, in particular the extraction and kilning of the inert substrate components, accounted for the majority of the crude oil consumed during the life of the green roof.

Chenani et al. (2015) based their LCA study on a modeled extensive green roof located in Chicago, IL. They compared multiple substrate compositions. Their results showed that expanded clay was the major contributor of environmental impact when included in a substrate, even at low rates (10%). They recommended finding alternatives to energy and resource intensive substrates. The energy cost of the substrate layer was also investigated by Getter et al. (2009). They calculated the carbon footprint of a green roof with a 6 cm deep substrate layer and then determined the amount of time needed to offset that footprint based on carbon sequestration in the vegetation layer and building energy savings. They found that over seven years was required to balance the carbon released into the environment and that nearly 87% of that carbon was associated with the heat expanded slate in the substrate layer.

Bianchini and Hewage (2012) specifically considered the impact of using recycled polymer-based materials on the environmental balance of green roofs. Using recycled materials resulted in more than a two-fold reduction on the time required to offset the environmental cost of producing those components.

The results of the LCA studies, while not entirely conclusive, provide several useful insights into the relationship between the cost, benefits, and impacts of green roofs. As it stands, green roofs are generally more environmentally favorable than conventional roofs, but may be more monetarily costly. The reduction in building energy use through heat mitigation and the extended roof service life are the most significant contributors to the green roof's environmental favorability and economic return, followed by the combined effect of multiple roofs on stormwater management. However, the materials used in green roof construction have considerable impacts on the environment and are expensive. Their production is energy and resource intensive. The manufacture of the non-organic substrate components represents a considerable portion of the total embodied energy and environmental impact of the green roof. The transportation of green roof components from non-local sources due to local unavailability is also economically and environmentally unfavorable. Reducing the cost and environmental impact of the construction materials, in particular the substrate, would drastically alter the balance. Using materials from local, recycled sources in place of non-local, newly –manufactured materials is a potential way in which to do this.

#### **Alternative Materials**

Current Investigations: There is a small, but growing, pool of literature related to the use of recycled materials in green roof substrates. One of the first recycled materials to be widely employed in green roofs, at least in the United Kingdom, was crushed brick. This material is exceptionally difficult to source in the United States and its use in green roofs within the US is negligible. Therefore, it is not surprising that most of the studies that include crushed brick as a treatment were conducted somewhere in the

UK. Young et al. (2014) make the point that, despite the general acceptance of crushed brick as a suitable substrate component, there are very few producers of the material in the UK. The limited availability often forces those wishing to use it in a green roof project to pay for long hauling distances, which is neither economically or environmentally favorable. Much of the research on recycled-source substrates has focused on materials that are more locally available. Materials that have appeared in the literature include: pelleted waste clay/sewage sludge, pelleted newspaper ash, carbonated limestone, crushed demolition aggregate, solid municipal waste incinerator bottom ash aggregate, crushed tile, and crumbed rubber (Molineaux et al. 2009; Bates et al. 2015; Graceson et al. 2014; Peréz et al. 2012).

The results of these studies are generally favorable for the adoption of alternative substrates. They also reveal that small changes in the composition of substrates, such as the specific ratio of each component or the particle size to which materials are milled, can have a strong effect on how the resultant substrate performs. For example, in the study by Young et al. (2014), changing brick particle size from 4-15 mm to 2-5 mm diameter increased water holding capacity by 35% and increased shoot growth by 17%. In Molineaux et al (2009), a clay pellet-based substrate produced three times the biomass of a crushed brick substrate when compost was mixed at 15% by volume. When the compost was increased to 25%, the biomass in the crushed brick more than tripled and was equivalent to that in the clay pellet, which had no response to the added compost.

The works of these authors serve as strong examples that replacement of conventional green roof substrates with sustainable alternatives is an achievable goal.

They also serve as a cautionary reminder that substrates are complex systems composed

of interactive parts that are not necessarily interchangeable. The complexity of substrates and the potentially strong influence of small changes must be kept in mind as the green roof community continues to evaluate new candidate materials.

**Recycled Materials Meriting Investigation:** Foamed glass and crushed porcelain are two materials that have received little attention as potential substrate components for green roof applications. There is currently one company in the United States that manufactures foamed glass substrates, Growstone LLC, located in New Mexico. Recycled glass bottles are ground into a fine powder and the powdered glass is combined with foaming agents and kilned in a gas furnace using a blend of natural gas and landfill-sourced methane as a fuel source. The gas released by the foaming agent creates a network of micropores within the glass media. The raw product is then cracked, crushed, and blended. The resulting product is incredibly lightweight, non-compressible, and easily drained. Klopp and Berghage (2012) performed a preliminary evaluation of foamed glass for use in green roof applications. They grew sedum plugs in small containers simulating an extensive green roof in a greenhouse and reported favorable growth of the sedum over a seven week period. They also reported that the wet density of the material was below that of commonly used green roof substrates. One potential issue mentioned in their study was that foamed glass is subject to degradation due to freeze/thaw cycles under saturated conditions. It is unclear whether these conditions would be experienced in the field. This is the only published study related to the use of foamed glass substrates for green roofs. While the results are promising, they are hardly conclusive due to the short observation window, idealized experimental conditions, and limited characterization of the material.

Porcelain is a common component of demolition waste that originates from sinks, toilets, tiles, and bath tubs. These fixtures are ubiquitous in buildings throughout the United States. Currently, most demolished porcelain ends up in landfills. The material is strong and non-compressible. White porcelain has a high albedo due to its color and surface sheen. There are some interesting implications for the use of a high albedo substrate. As mentioned previously, the heat mitigation potential of a green roof is largely dependent upon the vegetation layer and is reduced when large portions of a green roof surface are exposed or when plants are not actively transpiring. Roofs covered with high albedo materials have been shown to provide cooling equivalent to fully functional green roofs (Gaffin et al. 2005). A high albedo material like porcelain used in a green roof substrate could increase the cooling efficiency of a green roof.

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#### LITERATURE CITED

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# Chapter 2:

Does Compost Selection Impact Green Roof Substrate Performance: Measuring Physical Properties, Plant Development, and Runoff Water Quality.

### Abstract

Foamed glass and porcelain sourced from bulk waste intended for disposal in a landfill were used to create extensive green roof substrates. A control substrate was prepared with expanded shale. The resulting substrates were analyzed to determine bulk density, maximum water holding capacity, granulometric distribution, air filled porosity, total pore volume, saturated hydraulic conductivity, and cation exchange capacity. The substrates were used to fill outdoor green roof platforms to a depth of 10 cm and then planted with nine species suitable for green roof application. Plant coverage was measured six times over two seasons in 2013 and 2014. Substrate temperature and volumetric moisture content were recorded during the 2014 growing season. Total plant coverage was statistically equivalent between the three substrates on 5 of the 6 measurement dates. Moisture content of the porcelain and foamed glass was either equivalent or significantly greater than the expanded shale throughout the observed season. Subsurface temperatures were cooler in the porcelain and foamed glass than the expanded shale during the daytime for the majority of the observed season. Daily variations in temperature of the porcelain substrate were significantly lower than expanded shale during the early part of the season when portions of the substrate surface were still exposed.

#### Introduction

A typical extensive green roof system consists of a textured drainage layer, filter fabric, and a 5 to 20 cm substrate layer supporting the growth of vegetation on the surface (Getter and Rowe, 2006). There are variations on this basic design, including the use of non-permanent modules that are placed on top of a roof, however the primary concept minimizes the amount of substrate required for maintaining a plant canopy. Traditional extensive green roofs utilize drought resistant species such as sedums, sedges, and prairie grasses to create a system requiring little to no maintenance. However, there has been growing interest in the use of native, and even culinary, plant species in both the general public and in academic research (Whittinghill et al. 2013).

The installation costs of an extensive green roof are much greater than those of conventional bituminous or gravel ballasted roofs. The higher capital investment of green roof construction is justified by the additional services they provide. The mitigation of heat by the vegetated layer and the management of stormwater are two of the most significant benefits of green roofs. Rooftop temperatures can be reduced by upward of 30°C relative to a conventional roof, leading to a 75% decrease in heat flow into the top floors of a building equipped with a green roof (Liu and Baskaran 2003; Saiz et al. 2006). An additional benefit of reducing rooftop temperatures is that wear on the waterproofing membrane from cycles of expansion and contraction is reduced. The service life of green roofs is estimated to be at least 30 years, twice that of conventional roofs (Jörg Breuning & Green Roof Service, LLC 2015). Heat mitigation potential is largely affected by the condition of the vegetation grown on a green roof.

Green roofs have been shown to have multiple beneficial effects on stormwater runoff. A green roof can store water in the substrate layer and reduce the total volume of stormwater runoff. Green roofs also delay and decrease the rate of runoff (VanWoert et al. 2005; Castleton et al. 2010). The overall effect of this interaction with stormwater is an attenuation of the demand placed on municipal water treatment facilities and of on the influx of urban runoff into aquatic ecosystems. While most researchers generally agree that green roofs are universally beneficial with regard to runoff volume, there is disagreement regarding the runoff water quality (Berndtsson 2010; Rowe 2011). Green roofs have been found to act as both a source (Hathaway et al. 2008; Vijayaraghavan et al. 2012; Toland et al. 2012) and a sink (Berndtsson et al. 2009) of dissolved nitrogen and phosphorus.

The depth of the substrate layer in extensive systems is restricted by building load limitations, which complicates fertility management and plant establishment (Dunnett et al., 2008; Rowe et al., 2006). Green roof substrates must remain lightweight when wet. They must also be resistant to compression and compaction, which decrease drainage, root development, and plant growth (Matthews et al. 2010; Nadian et al. 1997). The green roof industry has utilized light weight expanded aggregates made from naturally sourced volcanic rock or from heat-expanded shale, clay, and slate. These materials are less prone to compaction, drain rapidly, and have lower bulk densities than topsoils (Beattie and Berghage, 2004). However, expanded aggregates tend to have low water and nutrient holding capacities. Fertility and water-holding capacity are managed using small percentages (4-12% by mass) of an organic matter source such as peat moss or compost (FLL, 2008, Ampim et al. 2010).

Organic matter is a fairly non-specific term that encompasses a wide range of carbonaceous materials derived from decomposed plant and animal residues.

Decomposition of organic residues is a multi-stage process involving the selective preservation of recalcitrant molecules through the interaction of chemical structure, spatial inaccessibility, water availability, microbial activity, and environmental conditions (Lutzow et al. 2006). The resulting collection of "humic" molecules contains a wide variety of acidic and negatively charged functional groups that readily associate with water and cations (Havlin et al. 2005). The inclusion of organic matter in green roof substrates has been shown to increase water retention and plant growth (Nagase and Dunnett 2011; Olszewski et al. 2010).

The stabilization of organic matter can be a lengthy process. The decomposition of readily available carbon (sugars, starches, organic acids, celluloses, etc.) occurs over several years in temperate forests and further degradation continues over decades (Jenkinson and Ladd 1981, Lutzow et al. 2006). Composting is a process designed to accelerate the primary decomposition of organic residues into a stable material through enhanced microbial action (Bertoldi et al. 1983). Compost is made from a variety of organic materials including animal byproducts, plant residues, paper scraps, cardboard, and municipal waste. Composts can be processed in piles or windrows, with or without cover, and with varying frequencies of mixing (Brodie et al. 2000). Some composts are processed using worms or the larvae of some insects (Newton et al. 2005). Variations in starting materials and production process effect the physical, chemical, and biological properties of finished compost (Campitelli and Ceppi 2009; Cooperband 2003; Tiquia et al. 2002).

Compost is often treated as a fungible material within the green roof community. Consequently, recommendations for the use of compost are generic and pay little consideration to the fact that composts are highly diverse. Multiple studies have shown that compost selection affects the physical and chemical properties of greenhouse substrates as well as the development of plants grown in those substrates (Atiyeh et al. 2000; Klock-Moore 2000). It stands to reason that variability in composts included in green roof substrates will result in differences between those substrates.

The purpose of this study was to determine how compost selection influences green roof substrates in terms of physical and chemical properties, plant growth and development, and susceptibility to leaching of nitrate and soluble phosphorus, which can be potential pollutants.

## **Materials and Methods**

Composts: Six different composts varying in feedstock and production style were combined with a commercial green roof mixture of expanded shale and 2NS sand (Renewed Earth, Kalamazoo, MI) to create six substrates that varied only in their compost selection. The composts are referred to as FWH, FWW, Yard G, Yard T, Trans D, Trans W, (Table 2.1). Substrate blends consisted of 24% haydite A (.074-2.38mm), 24% haydite B (2.38–9.51mm), 32% 2NS sand, and 20% of the given compost. Proportions were measured by volume. Haydite is an expanded shale aggregate produced by the Hydraulic Press Brick Company in Indianapolis, IN.

**Physical and Chemical Analysis of Substrates:** The analyses were based on methods presented in *Methods of Soil Analysis*, published by the Soil Society of America. Thick-walled steel tubing with an internal diameter of 7.7 cm was cut into equal length

sections and fitted with circular sections of course filter paper and cheesecloth held in place with a rubber band to form a permeable bottom. Excess material was trimmed away after fitting. Each core was filled with one of the seven treatments to a height (L) of 10 cm and vibrated to allow for settling. Additional material was added to restore the height to 10 cm and this process was repeated until no shrinkage occurred. Four replicates were prepared per treatment.

The cores were oven dried for 24 hours at 150 °C and then weighed. Dry bulk density was determined using the known volume and dry mass. Cores were then saturated from the bottom up in a sink and allowed to soak for a minimum of 24 hours. Saturated cores were weighed. Total porosity was determined using the difference between the dry and saturated masses. The saturated cores were then used to determine saturated hydraulic conductivity.

Saturated flow conditions were created using a marionette reservoir composed of a stoppered 1 L Erlenmeyer flask with an air inlet and a water outlet. The reservoir was placed on a jack above a supported Buchner funnel. A saturated core was placed in the Buchner funnel and the water outlet tube of the reservoir was clamped to the lip of the core. The reservoir was positioned with the jack so that the bottom of the outlet tube inside of the flask corresponded with the desired level of standing water head on top of the core (**Figure 2.1**). The head height (H<sub>i</sub>) was monitored with a ruler placed inside of the core. Cores were allowed to achieve steady state flow in equilibrium with the established hydraulic head. A tared beaker was placed under the Buchner funnel to collect at least 100 mL of effluent. The beaker was removed and weighed to determine the exact volume (V) of water collected and the exact time (t) of collection was recorded

with a stopwatch. This procedure was repeated four times for each core. An average of the four trials was used for all statistical analyses.

Saturated hydraulic conductivity constants  $(K_S)$  were calculated for each core using a derivation of Darcy's Law, where A is the surface area of the core:

$$K_{S} = \frac{V \cdot L}{A \cdot t \cdot (H_{i} + L)}$$

Saturated cores were weighed with no hydraulic head and then transferred to Tempe cells equipped with 1 bar ceramic membranes and placed under 0.33 bar of applied air pressure for 24 hours. Cores were weighed immediately following removal from the Tempe cells, dried in an oven for 24 hrs at 105 °C, and weighed again. Cores were then emptied and weighed. Bulk density ( $P_b$ ), total porosity ( $f_T$ ), and volumetric water content ( $\Theta_V$ ) at field capacity (defined here as a matrix potential of -0.33 bar) were calculated for each core using the recorded masses and volumes.

**Plant Growth and Development:** Bulb crates measuring 56.2 x 35.6 cm were filled to a depth of 10 cm with one of six blended substrates and planted with three plant species that differed in growth rate, nutrient demand, and drought tolerance: *Ocimum basilicum* (basil), *Sedum floriforum* (sedum), or *Carex eburnea* (bristleleaf sedge). The sedum were purchased as plugs from Hortech, Spring Lake, MI in 38 cell trays with cell volumes of 407 cm<sup>3</sup>. The bristleleaf sedge were purchased as plugs from Mother Plants, Ithaca, NY and the basil were grown from seed (Gurney's Seed & Nursery Co., Greendale, IN). Both the bristleleaf sedge and basil were grown in 72 cell plug trays with cell volumes of 54 cm<sup>3</sup>.

Six plugs of each species were planted in each substrate blend and replicated three times for a total of 54 crates. There were 18 plants of each species within each substrate

for a total of 324 plants in the study. Crates were placed randomly on greenhouse benches in a completely random design. The study took place in a glass greenhouse under natural lighting. Air temperature was controlled by a thermostat set at  $21\pm1^{\circ}$ C. Moisture readings were taken on experimental units were taken every other day using an ML2X Theta Moisture Probe (Delta T Devices, Ltd.; Cambridge, U.K.). Experimental units were irrigated to runoff when volumetric moisture content was at or below 7%. Weeds were removed during moisture checks.

Plant growth was monitored every three weeks for a period of 14 weeks by measuring height and width in two directions to determine the approximate volume occupied by each plant. Growth of basil was measured until it was ready to be harvested. At that point it was harvested perpetually and fresh harvest weights were recorded. Top growth was removed to maintain the plant's height at approximately 20 cm. Flowers were removed to perpetuate vegetative growth. Basil was replanted once harvest weights diminished. After 25 weeks (April through October 2013) plants were harvested, separated into roots and shoots, dried at 60 °C for seven days, and weighed to determine plant biomass accumulation.

Runoff Water Quality: During three regularly spaced intervals (days 21, 93, and 165), runoff water was collected and submitted to the MSU Soil and Plant Nutrient Laboratory to determine nitrate, and soluble phosphorus concentrations. Runoff was induced by slowly watering each experimental unit until it just began to drip from the bottom. The experimental units were allowed to equilibrate for one hour before adding an amount of water equivalent to a 13 mm precipitation event. Runoff was then collected during a 2 hour window before being weighed and sampled. A sample of the tap water

used to induce runoff was also collected and submitted for analysis. Ion concentration values were adjusted prior to statistical analysis by subtracting the concentrations of the tap water from the concentrations of the runoff.

Statistical Analysis: Recorded volumes of all plants within an experimental unit for each measurement date were summed. Simple linear regression was performed modeling the rate of change in plant volume in each experimental unit over time. The slope of the regression line was taken to be the growth rate of each experimental unit. Mean values for plant biomass and plant growth rate were compared using two-way ANOVA models. One-way ANOVA models were used for substrate physical properties. Runoff water quality was analyzed using a repeated measures model with time as the repeated factor. Plant species and compost type were treated as fixed effects in all models. Data were evaluated for compliance with normality and equal variance assumptions. Non-normal data was corrected using either a natural log or power transformation. All values were back-transformed prior to reporting results unless otherwise noted. Data failing to meet equal variance assumptions were analyzed using grouped variance models. Repeated measures analyses were conducted using a heterogeneous autoregressive covariance structure. Denominator degrees of freedom in repeated measures and non-equal variance models were determined using the Kenward-Rogers method. Multiple comparisons were made using Fischer' LSD at a 95% confidence level. All analyses were performed with PROC MIXED and PROC REG in SAS 9.4 (SAS Institute, Cary NC).

#### **Results**

**Physical and Chemical Analysis of Substrates:** One-way ANOVA demonstrated significant differences between treatments for bulk density ( $F_{6,10.3}$ =23.22, P <.0001), field capacity ( $F_{6,5.5}$ =26.09, P=.0008), saturated hydraulic conductivity ( $F_{6,7.36}$ =13.78, P=.0012), and total porosity ( $F_{6,5.43}$ =15.02, P=.0033).

All compost substrates had greater field capacities than the un-amended control. Field capacities within compost treatments ranged from 0.168 to 0.205 ml·cm<sup>-3</sup>. Differences in field capacity relative to the un-amended control ranged from 0.010 ml·cm<sup>-3</sup> in the Trans W treatment to 0.047 ml·cm<sup>-3</sup> in the FWW treatment (**Table 2.2**).

Saturated hydraulic conductivities within compost treatments ranged from 1.4 to  $10.2 \text{ cm} \cdot \text{hr}^{-1}$ . The FWW, FWH, Yard T, and Trans W substrates had lower saturated hydraulic conductivities than the control, but the Trans D and Yard G substrates had higher saturated hydraulic conductivities. Differences relative to the unamended control ranged from  $5.9 \text{ cm} \cdot \text{hr}^{-1}$  lower to  $2.9 \text{ cm} \cdot \text{hr}^{-1}$  greater (**Table 2.2**).

Bulk densities within compost treatments ranged from 1.23 to 1.42 g·cm<sup>-3</sup>. The FWW, FWH, and Yard T treatments had greater bulk densities than the control. The Trans D treatment had a lower bulk density than the control. The Yard G and Trans W treatments were statistically similar in bulk density to the control. Differences in bulk density relative to the un-amended control ranged from  $0.09 \text{ g·cm}^{-3}$  lower to  $0.10 \text{ g·cm}^{-3}$  greater (**Table 2.2**).

Total porosities within compost treatments ranged from 41.5% to 50.3%. The Trans D treatment had greater total porosity than the control. The FWH, FWW, and Yard T treatments had lower total porosities than the control. The Yard G and Trans W

treatments were statistically similar to the control. Differences in total porosity relative to the control ranged from 6.0% lower to 2.8% greater (**Table 2.2**).

Chemical analysis revealed that total and soluble nutrient concentrations as well as pH varied among compost types (**Tables 2.3, 2.4**). Soluble nutrient concentrations were consistently greatest in the FWW compost. Among the nutrients analyzed, those in the FWW compost were between 3.5 (calcium) and 150 (nitrate) times greater than those of the lowest testing compost. There was no compost that consistently had the greatest or lowest total nutrient concentrations. Variability within total nutrient concentrations was much less pronounced than observed within soluble nutrient concentrations. Differences between the lowest and highest testing composts for each nutrient ranged between 1.5 and 3.8 fold.

**Plant Growth and Development:** The interaction between compost type and plant species was significant for shoot biomass ( $F_{10,23}$ =5.38, P=.0004). Basil harvest mass was lowest in the Yard G treatment and greatest in the FWW treatment. However, bristleleaf sedge and sedum shoot biomass were lowest in the Trans W treatment and greatest in the FWH treatment (**Figure 2.2**). Main effects analysis showed that compost type was significant for shoot biomass ( $F_{5,30}$ =17.66, P<.0001). Basil harvest weights ranged from 46 to 194 g per tray. Bristleleaf sedge shoot dry masses ranged from 82 to 156 g per tray and sedum dry shoot masses from 40 to 77 g per tray (**Table 2.5**).

The effect of compost type on root mass was significant for sedum ( $F_{5,12}$ =3.16, p=.0476) but not for bristleleaf sedge ( $F_{5,12}$ =1.95, p=.1588). Sedum root masses ranged from 14 to 37 g per tray and bristleleaf sedge root masses ranged from 60 to 129 g per tray. A post-hoc pairwise comparison of the treatments with the highest and lowest mean

values for root biomass in bristleleaf sedge revealed a significant difference (df=12, t=2.22, p=.0463) (**Table 2.5**).

The interaction between compost type and plant species was significant for plant growth rate ( $F_{10,17}$ =2.51, P=.0452). Basil growth rate was lowest in the Trans W treatment and greatest in the FWH treatment. Growth rates in bristleleaf sedge were lowest in the Yard G treatment and greatest in the Trans D treatment. In sedum, growth rates were lowest in the Trans W treatment and greatest in the FWH treatment (**Figure 2.2**). Main effects analysis showed that compost type was significant for growth rate ( $F_{5,15}$ =5.38, P=.0048). Basil harvest weights ranged from 46 to 194 g per tray. Bristleleaf sedge shoot dry masses ranged from 82 to 156 g per tray and sedum dry shoot masses from 40 to 77 g per tray (**Table 2.6**).

**Runoff Water Quality - Nitrate:** The three-way interaction of time, compost selection, and plant type was significant ( $F_{20,61.2}$ =1.78, P=.0448) for nitrate concentration in runoff water, which warranted exploration of the two-way interactions. The two-way interaction of plant type and time was significant ( $F_{4,56.7}$ =6.26, P=.0003) (**Figure 3.3a**). Marginal means for sedum, sedge, and basil were 34.51, 32.79, and 13.95 ppm, respectively. Pairwise comparisons showed that the marginal means for sedum (dF=43.9, t=4.30, P<.0001) and sedge (dF=43.9, t=6.62, P=.0001) were significantly different from basil, and from each other (dF=43.9, t=2.32, P=.0251). Slicing the interaction by time showed that nitrate concentrations were significantly different among plant types on day 21 ( $F_{2,36}$ =10.84, P=.0002) and day 93 ( $F_{2,36.5}$ =15.86, P<.0001), but not on day 165 ( $F_{2,35.1}$ =2.35, P=.1102). Pairwise comparisons revealed that difference between sedge and sedum was not significant on day 21 (df=36, t=0.48, P=.6324). The two-way interaction

of time and compost type was also significant ( $F_{10,61.9}$ =4.95, P<.0001) (**Figure 2.4**). The marginal means for days 21, 93, and 165 were 107.30, 3.39, and 1.32 ppm, respectively. Pairwise comparisons showed that marginal means for all three days were significantly different (P<.0005). Nitrate concentrations on day 21 were significantly greater than days 93 or 165 for all composts types (P<.0001). The main effects of compost selection ( $F_{5,43.9}$ =22.16, P<.0001), plant type ( $F_{2,43.9}$ =43.9, P<.0001), and time ( $F_{2,51}$ =657.57, P<.0001) were all significant. On day 21, among all treatments, nitrate concentrations ranged from 8.23 to 510.66 ppm. On day 93 the ranges were from 0.30 to 46 ppm and on day 165 the ranges were from 0.40 to 5.03 ppm. The vermicompost treatments had the highest concentrations of nitrate on the first measured event in all species (**Figure 2.5**).

**Runoff Water Quality – Phosphorus:** The three-way interaction of time, compost selection, and plant type was significant ( $F_{20,53}$ =2.45, P<.0001) for phosphorus concentration in runoff water , which warranted exploration of the two way interactions. The two way interaction of plant type and time was significant ( $F_{4,47}$ =12.13, P<.0001) (**Figure 2.3**). Marginal means for sedge, sedum, and basil were 1.06, 0.94, and 0.79 ppm, respectively. Pairwise comparisons showed that the marginal means for sedge (dF=34.9, t=8.13, P<.0001) and sedum (dF=34.9, t=3.89, P=.0004) were significantly different from basil, as well as from each other (dF=34.9, t=4.24, P=.0002). Slicing the interaction by time showed that phosphorus concentrations were significantly different among plant types on day 21 ( $F_{2,35,3}$ =6.63, P=.0045), day 93 ( $F_{2,34,6}$ =66.61, P<.0001), and day 165 ( $F_{2,44,8}$ =23.31, P<.1102). The two-way interaction of time and compost type was also significant ( $F_{10,53}$ =73.29, P<.0001) (**Figure 2.4**). The marginal means for days 21, 93, and 165 were2.21, 0.61, and 0.38 ppm, respectively. Pairwise comparisons showed that

marginal means for all three days were significantly different (P<.0001). Phosphorus concentrations on day 21 were significantly greater than days 93 or 165 for all composts types (P<.0001). The main effects of compost selection (F<sub>5,34.9</sub>=219.69, P<.0001), plant type (F<sub>2,34.9</sub>=33.05, P<.0001), and time (F<sub>2,51</sub>=1535.18, P<.0001) were all significant. On day 21, among all treatments, phosphorus concentrations ranged from 0.61 to 7.0 ppm. On day 93 the ranges were from 0.14 to 1.64 ppm. On day 165, the ranges were from 0.13 to 0.85 ppm. The vermicompost treatments had the highest concentrations of phosphorus on the first measured event in all species (**Figure 2.5**).

## **Discussion**

Physical Analysis of Substrates: The addition of compost to expanded shale affected every measured physical property. The field capacities of all amended treatments were greater than expanded shale, which supports the general practice of adding compost to improve water retention of green roof substrates. However, the magnitude of the increase was variable, meaning the actual effect of compost addition on field capacity is not predictable. The magnitudes of change in properties other than field capacity were also variable. Unlike field capacity, the addition of compost to expanded shale did not consistently increase or decrease bulk density, porosity, or saturated hydraulic conductivity. The observed variability in both the direction and magnitude of change of key physical properties resulting from compost selection demonstrates that the effects of compost addition on green roof substrates cannot be generalized.

Understanding how particular composts will affect a green roof substrate is important because the physical properties of green roof substrates are related to the environmental and economic costs of a roof, the capacity of a substrate to support

vegetation, and the ability of a roof to provide ecosystem services. As an example, consider the FWW and Trans D substrates. There was a 0.18 g·cm<sup>-3</sup> difference in dry bulk density, which means that a truckload of the FWW substrate would weigh 14.6% more than the same volume of the Trans D substrate. The additional weight translates to increased fuel consumption. The fuel consumed during transportation of substrates represents a significant portion of both the cost and embodied energy of a green roof (Carter and Keeler 2008; Peri et al. 2012). Choosing between the two substrates would also affect the way in which a green roof would manage stormwater. The saturated hydraulic conductivity of the FWW substrate was 1.4 cm·hr<sup>-1</sup>, which was 8.5 cm·hr<sup>-1</sup> less than the Trans D. The largest rainfall recorded for 2014 over a one-hour period in the Lansing, MI area was 3.2 cm, which occurred during a string of rain events that would have resulted in green roofs being near field capacity. Assuming that such a rain event ended after an hour, a green roof made with the Trans D substrate would have released that water at the same rate that it fell, compared to spreading the release over a 75 minute window following the end of the event with the FWW substrate.

Plant Growth and Development: Green roof performance is highly dependent upon the active transpiration and coverage area of the vegetative layer. Plants maintain roof temperatures during summer months through evapotranspirational cooling and reflection of the near infrared radiation responsible for surface heating (Takakura et al. 2000; Slaton et al. 2001; Woolley 1971). Evapotranspiration also accelerates the removal of water from the substrate layer, increasing the amount that can be stored during successive precipitation events. Thus it is desirable for a green roof to achieve complete surface coverage and to have actively transpiring plants as soon as possible. However,

there is also evidence to show that growth beyond that which is necessary to achieve complete coverage may be detrimental to a green roof. Rowe et al. (2006) showed that plants producing lush vegetation had decreased survivorship under drought conditions.

They postulated that the increased leaf surface area resulted in more rapid water loss than occurred in plants with less vigorous growth.

A nearly two fold difference was observed in dry biomass production among compost treatments for all three species included in the study. Growth rates for basil varied by a factor of 2.6 and those of bristleleaf sedge by a factor of 1.5. These results suggest that compost selection can have a strong effect on the initial establishment of a green roof, both in terms of the size of plants and the area which they cover. Furthermore, compost selection could make the difference between having poor plant establishment, achieving desirable plant coverage, and producing a vegetative layer that is overly susceptible to drought stress.

Runoff Water Quality: There have been few investigations into the quality of runoff from extensive green roofs. Amongst the studies that have been conducted, reported concentrations of nitrate and phosphorus in green roof runoff vary (Berndtsson et al. 2009; Hathaway et al. 2008; Toland et al. 2012; Vijayaraghavan et al. 2012), which has resulted in a lack of consensus regarding the degree to which green roofs contribute to mass loading of stormwater. One possible reason for this lack of consensus is that the age of the roofs in these studies was not the same. In our study, nutrient concentrations were greatest on the initial measurement date and decreased significantly after six months. It stands to reason that the mass loading effect of a green roof is affected by age.

Comparing the results of multiple green roof runoff studies with respect to the age of the roofs under investigation provides support to this hypothesis.

Vijayaraghavan, K., et al. (2012) constructed small green roof platforms using green roof substrate made from volcanic rock and compost filled to a depth of 15 cm.

Their experiments were conducted two months after the platforms were filled using simulated rain events. Mean nitrate concentration in the runoff water was approximately 25 ppm. Mean phosphorus concentration was near 20 ppm.

Hathaway, A.M., et al. (2008) monitored effluent from an extensive green roof in North Carolina. The substrate was composted of expanded slate, sand, and 15% cow manure compost with a depth of 10 cm. The roof had been established for one year prior to the start of data collection. Ion concentrations from the green roof effluent were compared to those from the effluent of a conventional roof control and collected rain water. The green roof acted as a source of phosphorus and nitrogen. Nitrogen concentrations were statistically similar to those observed from the control roof. Nitrogen concentrations from the green roof were between 0.7 and 6.9 ppm. Concentrations from the control were between 0.7 and 7.2 ppm. However, phosphorus levels were much higher from the green roof than from the control roof or the rainwater. Phosphorus concentrations from the green roof were between 0.6 and 1.4 ppm and those from the control were between 0.05 and 0.35 ppm. Rain water nitrogen was between 0.06 and 2.41 ppm. Rainwater phosphorus was consistently 0.05 ppm.

Toland, D.C., et al. (2012) observed water quality from multiple green roofs, conventional roofs, and urban streams near Fayetteville, AK. The green roofs were established between 17 and 23 months prior to observation. The green roof substrates

were mixes of expanded clay with 15% compost. Nitrate concentrations from green roofs ranged between 0.17 to 0.41 ppm, which was within the range observed for the streams and control roofs. However, phosphorus concentrations were greater from green roof runoff than from that of conventional roofs or samples from urban streams. Soluble reactive phosphorus concentrations ranged from 1.57 to 1.82 ppm from green roofs, 0.01 to 0.02 ppm from conventional roofs, and 0.03 to 0.12 ppm from the urban streams.

Berndtsson, J.C., et al. (2009) observed runoff from a green roof in Sweden. The roof had been established for 4 years. Concentrations of nitrate, soluble phosphorus from the roof were compared against rainwater. Nitrogen concentrations were approximately 1 ppm in the rainwater and less than 0.5 ppm in the green roof effluent. Phosphorus concentrations were less than 0.1 ppm in the rainwater and between 0.3 and 0.4 ppm in the green roof effluent.

There is a decreasing trend in nutrient concentrations relative to the age of the green roof under study. This trend is likely a result of younger roofs having a greater pool of soluble compounds from the substrate components that gradually reach a state of equilibrium after repeatedly being leached by precipitation events. If this is the case, then the impact of compost selection on runoff water quality would be most pronounced during the establishment of the roof and would diminish with time.

The runoff concentrations observed in our study are consistent with this explanation and prediction. Initial nitrate concentrations within a given species type differed by 311 to 645 ppm and initial phosphorus concentrations by 6.5 to 8.2 ppm. The magnitudes of these values were much greater than those reported in other studies of green roof runoff. However, no other study has captured runoff from roofs, simulated or

otherwise, that were as young as the units in our study. After six months, our observed concentrations were consistent with the concentrations observed by other authors. Thus it stands to reason that compost can have a pronounced effect on green roof runoff quality, but that effect is likely observable only through the first few seasons following establishment.

The interpretation of runoff water analyses is complicated by a lack of water quality standards specifically regulating green roof construction and maintenance. Researchers have been using other established water quality standards in combination with comparisons of values cited in other green roof literature to put results into context. We compiled the concentration limits for nitrate and soluble phosphorus from three water quality standards: the MDEQ Groundwater Quality Standard (MDEQ 1998), the EPA National Primary Drinking Water Standard (USEPA 2010), and the EPA Ecoregional Nutrient Criteria for Rivers & Streams (USEPA 2002) (**Table 2.7**). At the beginning of the study, the EPA drinking water standard was violated by all treatments and only the Yard T substrate planted with basil was able to meet the MDEQ standard. By the end of the study, the MDEQ standard was met by all treatments and all but the FWW substrate planted with sedum met the EPA drinking water standard. The EPA criteria for freshwater rivers and streams were violated for the entire duration of the study. **Compost Production:** This study included two pairs of composts that differed in production by a single factor: the food waste composts (FWW & FWH) and the transplant composts (Trans W and Trans D). The food waste composts shared a common feedstock, but were composted using either a traditional hot compost method (FWH) or a vermicompost method (FWW). The transplant composts used identical feedstocks and

were both composted with the traditional hot compost method. The Trans W was blended with water during the initial mixing and the Trans D was blended with an aqueous nutrient solution. Examination of the differences between related pairs of composts demonstrates how subtle changes in compost production translate to substrate performance.

Food Waste Composts: Vermicomposting has been shown to solubilize a greater fraction of total nutrients than hot composting (Albanell et al. 1988, Tognetti et al. 2005). The soluble fractions of total phosphorous, potassium, calcium, and magnesium were 1.85, 23.49, 3.13, and 0.76%, respectively, in the FWH compost compared to 5.15, 29.87, 4.64, 2.91% in the FWW compost. It is likely that the observed increase in those solubilized nutrients resulted from vermicomposting. Greater solubilization of nitrogen is also consistent with vermicomposting, however the 900 ppm disparity between the FWH and FWW composts requires additional explanation. The pH of the FWH compost was 8.9 and only 0.04% of the total nitrogen was in a non-mineralized form, compared to a pH of 6.5 and a soluble nitrogen fraction of 1.68% in the FWW. High pH and low soluble nitrogen are suggestive of unfinished, unstable compost.

Compost stability refers to the interaction of organic matter decomposition and microbial activity (Pullicino et al. 2007). Nitrogen in feedstocks is predominately contained within organic compounds such as proteins. Proteins are degraded along with labile carbon sources by heterotrophic microbes during the thermophilic phase of composting (Tuomela et al. 2000). Initially, nitrogen liberated from feedstock residues is immobilized in microbial biomass. Once nitrogen requirements are met, additional nitrogen that is extracted during the acquisition of reduced carbon becomes available as

ammonia. Ammonia, a basic cation, accumulates and raises the pH of the compost. After the labile pool of carbon has been depleted, the accumulated ammonia is converted to nitrate by chemoautotrophic bacteria (Amlinger et al 2003, Cabrera et al. 2005, Tuomela et al. 2000). The nitrification process is acidic and reduces the compost pH to somewhere between 6.5 and 8.0 (Sánchez-Monedero et al. 2001, Tuomela et al. 2000). The nitrogen in the FWH compost was likely immobilized in microbial biomass at the beginning of the study.

It is reasonable to suspect that plant growth would be related to availability of nutrients. However, no statistical differences were observed in shoot mass for basil, sedum, or bristleleaf sedge between the two food waste composts. The reduction in soluble nutrient concentration did have an effect on initial runoff water quality. On day 21, mean nitrate concentration across all plant types was 258 ppm, or 72%, lower in the FWH substrate than in the FWW substrate and mean phosphorus concentration in the FWH substrate was 4.01 ppm, or 55%, lower. One explanation for the equivalence of plant development is that nutrients in the FWH substrate were gradually released while the initially large pool of soluble nutrients in the FWW substrate was rapidly depleted through leaching.

The choice of processing method was also reflected in substrate physical properties. Field capacity was 14% greater and saturated hydraulic conductivity was three times smaller in the FWW substrate than the FWH substrate, which means that the FWW substrate would hold a larger volume of water for a greater period of time than the FWH substrate.

Transplant Composts: These two composts were the most similar composts included in this study and are an excellent example of the downstream impact of small changes in composting practices. The Trans W and Trans D composts differed only by the liquid used to wet the piles during the initial mixing of feedstocks. Despite the strong similarity between the two composts, significant differences existed in the physical properties of the substrates in which the composts were included and the development of the plants grown in those substrates. Saturated hydraulic conductivity of the Trans D substrate was 118% greater than that of the Trans W substrate and porosity was 4.8 % (vol.) greater. Shoot biomasses of basil and bristleleaf sedge were 87% and 45% greater, respectively, in the Trans D substrates than the Trans W substrates. The addition of the nutrient solution also had an effect on chemical properties. Excepting magnesium, soluble nutrient concentrations of the Trans D compost were at least twice those of the Trans W compost. The effects of increased soluble ion concentrations were reflected in initial runoff water quality. Nitrate and phosphorus concentrations in run off water were 63% and 25% less in the Trans W than the Trans D substrate.

# **Conclusions**

The substrate is involved with many functional aspects of green roofs. Stormwater management is directly related to substrate field capacity and hydraulic conductivity. Runoff water quality is affected by soluble nutrient concentrations and cation exchange capacity. Thermal regulation, while directly attributable to the plant layer, is also affected by the substrate layer's influence on plant growth and development. We observed significant differences in substrate physical properties, plant development, and runoff water quality resulting from compost selection. Our results suggest that compost selection

has the potential to affect the ability of green roofs to provide ecosystem services. However, this study was limited to an observational window of six months, which represents only a small portion of the expected lifespan of green roofs. We saw that time plays a factor in the significance of compost selection on runoff water quality. Further research is required to understand the long-term implications of compost selection on other key parameters related to green roof performance. Additional research is also needed to understand how the composting process can be adapted to produce composts specifically tailored to green roof applications.

APPENDIX

**Table 2.1. Description of Composts** 

Yard G	Screened commercial compost produced in large windrows from municipal yard waste (Granger LLC, Lansing MI)
Yard T	Screened commercial compost produced in large windrows from municipal yard waste and horse manure (Tuthill Farms and Composting, South Lyon MI)
Trans W	Unscreened compost produced in pallet size piles at the MSU Student Organic Farm (SOF) using straw, pine shavings, hay, sphagnum peat and water by soaking prior to layering
Trans D	Produced in the same fashion as the Transplant blend with the addition of the liquid waste fraction of an on-campus anaerobic digester in place of water during construction of the pile.
FWH	Food Waste Hot (FWH). Screened compost produced in outdoor piles at the MSU SOF using pre-consumer food scraps, leaf mold and wood chips.
FWW	Food Waste Vermicompost (FWW). Screened vermicompost produced in a wedge bed system in a high tunnel at the MSU SOF using pre-consumer food scraps, leaf mold and wood chips.

**Table 2.2. Physical Properties of Green Roof Substrates Prepared with Different Composts** 

	Yard G	Yard T	Trans W	Trans D	FWH	FWW	Control
Bulk Density (g·cm <sup>-3</sup> )	1.25 b	1.42 a	1.31 b	1.23 b	1.42 a	1.41 a	1.32 b
Field Capacity (ml·cm <sup>-3</sup> )	0.203 a	0.183 b	0.168 cd	0.180 bc	0.175 bc	0.205 a	0.158 d
K <sub>s</sub> (cm·hr <sup>-1</sup> )	10.18 a	3.16 b	4.52 b	9.88 a	4.26 b	1.39 с	7.28 a
Porosity <sub>s</sub> (% vol)	48.5 ab	44.8 cd	45.5 bc	50.3 a	41.5 d	45.0 c	47.5 ab

A commercial green roof substrate composed of expanded slate and sand was combined with different composts. Composts were mixed at 20% by volume. Values in the same row sharing a letter were found to be statistically similar using Fischer's LSD at  $\alpha$ =0.05.

**Table 2.3. Soluble Nutrient Analysis of Composts** 

	pН	EC (mmhos)	NO <sub>3</sub> (ppm)	NH <sub>4</sub> (ppm)	P (ppm)	K (ppm)	Ca (ppm)	Mg (ppm)
Yard G	7.9	6.11	229	2.7	3.8	1350	660	131
Yard T	7.6	3.75	204	2.6	5.2	462	420	125
Trans W	6.0	3.51	271	1.5	31	538	360	100
Trans D	6.3	7.03	416	244	56	1069	720	67
<b>FWH</b>	8.9	5.15	6	4.1	27.7	1386	660	56
$\mathbf{FWW}$	6.5	12.89	904	6.5	123.5	2357	1500	247

Analysis performed by Michigan State University Soil and Plant Nutrient Laboratory.

**Table 2.4. Total Nutrient Analysis of Composts** 

	% N	% P	% K	% Ca	% Mg
Yard G	1.76	0.23	0.78	4.76	0.94
Yard T	1.4	0.13	0.34	4.66	0.9
Trans W	1.04	0.06	0.4	3.56	1.14
Trans D	1.99	0.08	0.72	3.44	0.99
<b>FWH</b>	1.6	0.15	0.59	2.11	0.74
<b>FWW</b>	1.48	0.24	0.78	3.23	0.85

Analysis performed by Michigan State University Soil and Plant Nutrient Laboratory.

**Table 2.5. Effect of Compost Selection on Plant Biomass** 

	Basil		Sedge		Sedum	
	Wet Harvest	$\mathbf{Root}^{\mathrm{Y}}$	Shoot	Root	Shoot	
Yard G	46.33 d	64.46 ab	90.87 b	14.04 b	74.66 ab	
Yard T	51.00 cd	72.79 ab	114.76 ab	20.80 b	52.27 bc	
Trans W	74.33 bc	60.40 b	82.03 b	18.32 b	40.46 c	
Trans D	138.67 ab	102.77 ab	149.66 a	19.79 b	46.59 c	
<b>FWH</b>	156.67 ab	128.82 a	156.08 a	37.49 a	94.82 a	
FWW	194.00 a	124.15 ab	139.96 a	21.61 b	76.75 a	

Masses are reported as grams per experimental unit. The edible portions of basil shoots were harvested perpetually. Reported masses for basil represent the cumulative wet mass of fresh shoots. Reported masses of bristleleaf sedge and sedum represent dry masses. Values in the same column sharing a letter were found to be statistically similar using Fischer's LSD at  $\alpha$ =0.05.

 $<sup>^{4}</sup>$ ANOVA result for sedge root mass was not significant ( $F_{5,12}$ =1.95, p=.1588)

**Table 2.6. Effect of Compost Selection on Plant Growth Rates** 

<b>Compost Type</b>	Basil	$\mathbf{Sedge}^{\mathbf{Y}}$	$\mathbf{Sedum}^{\mathbf{Y}}$
	1.64 bc	1.57 b	0.23 ab
Yard G	1.45 c	2.02 ab	0.22 ab
Yard T	3.25 ab	2.24 a	0.23 ab
Trans W	1.50 c	1.95 ab	0.16 b
Trans D	3.96 a	2.30 a	0.31 a
FWH	3.54 a	1.98 ab	0.28 a
FWW	1.64 bc	1.57 b	0.23 ab

Plant dimensions were taken every 2 weeks over a 98-day period. Values are reported as the change in plant volume (cm $^3$ ·day $^{-1}$ ) on a per tray basis. Values in the same column sharing a letter were found to be statistically similar using Fischer's LSD at  $\alpha$ =0.05.

 $<sup>^{4}</sup>$ ANOVA results for Sedge (F<sub>5,12</sub>=1.61, p=.2303) and Sedum (F<sub>5,12</sub>=2.10, p=.1356) were not significant

**Table 2.7. Relevant Water Quality Standards for Interpreting Runoff Water Quality** 

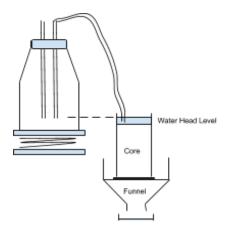
Standard	Nitrate (ppm)	Phosphorus (ppm)
EPA Criteria for Freshwater Rivers & Streams <sup>1</sup>	< 0.30	< 0.03
EPA Primary Drinking Water <sup>2</sup>	≤ 5	≤ <b>5</b>
MDEQ Groundwater Discharge <sup>3</sup>	≤ 10	n/a

<sup>&</sup>lt;sup>1</sup>U.S. Environmental Protection Agency (USEPA). 2000. Ambient water quality criteria recommendations: information supporting the development of state and tribal nutrient criteria for rivers and streams in nutrient ecoregion VII. EPA 822-B-00-018.

<sup>&</sup>lt;sup>2</sup>U.S. Environmental Protection Agency (USEPA). 2010. National Primary Drinking Water Regulations. 40 CFR §141.62.

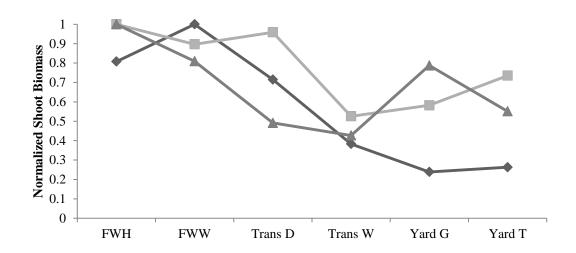
<sup>&</sup>lt;sup>3</sup>Michigan Department of Environmental Quality (MDEQ). 1998. Discharge Standards. Michigan Compiled Laws R §323.2222.

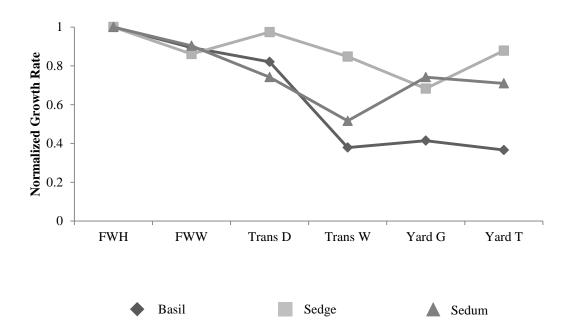
Figure 2.1. Experimental Setup for Determination of Saturated Flow



Saturated Hydraulic Conductivity was determined by establishing a constant head by adjusting the height of a reservoir above substrate samples placed inside a metal core and noting the time required to collect a fixed quantity of runoff.

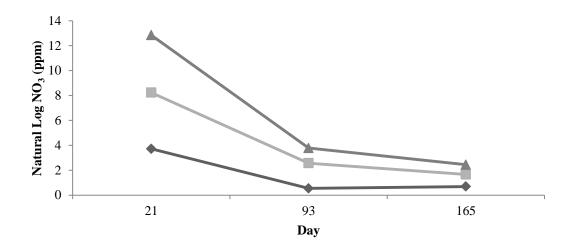
Figure 2.2. Interaction of Plant and Compost Type with regards to Shoot Biomass and Plant Growth Rate

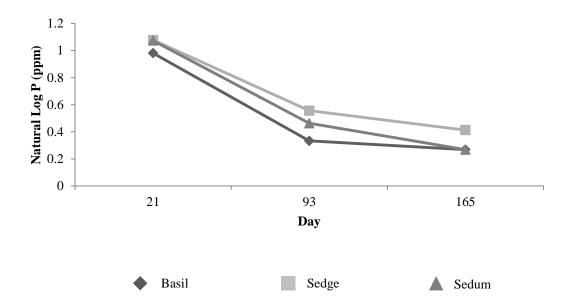




Shoot biomass and the rate of change in plant volume (growth rate) were normalized by dividing each value within a given plant type by the largest value within that plant type. Normalizing in this way scaled all values to a range between 0 and 1.

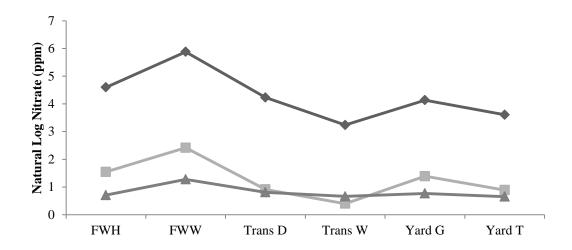
Figure 2.3 Influence of Plant Type on Runoff Water Quality

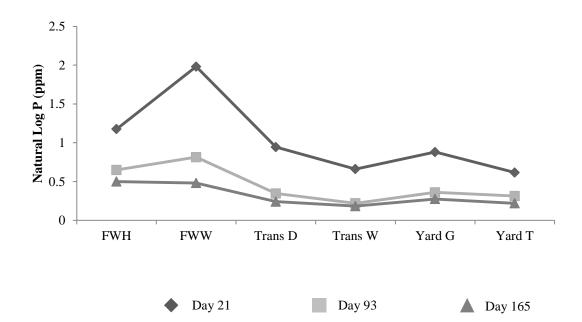




Marginal means for nitrate and phosphorus concentrations across all compost types were transformed using a natural function [ln( marginal mean+1)]. Data are presented as natural log transformations in order to account for the large difference between day 21 and day 165 and allow all measurement points to be presented using a single scale.

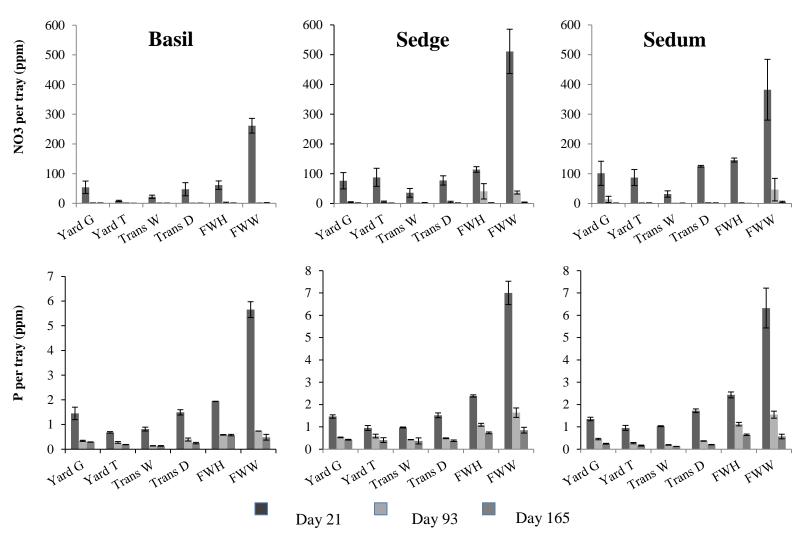
Figure 2.4. Change in Runoff Water Quality over Time





Marginal means for nitrate and phosphorus concentrations across all plant types were transformed using a natural function [ln( marginal mean+1)]. Data are presented as natural log transformations in order to account for the large difference between day 21 and day 165 and allow all measurement points to be presented using a single scale.

Figure 2.5. Nutrient Concentrations in Runoff Water from Green Roof Substrates Prepared with Different Composts



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### LITERATURE CITED

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# Chapter 3:

The Suitability of Crushed Porcelain and Foamed Glass as Alternatives to Expanded Aggregates in Green Roof Substrates in terms of Plant Growth and Thermal Regulation

### Abstract

The suitability of two materials, foamed glass and crushed porcelain, as substrates for single course extensive green roofs was investigated. Both of these materials were produced from bulk waste intended for disposal in a landfill. Substrates were created with these materials and a commercially available lightweight expanded shale aggregate blend by blending them with 20% compost by volume. The resulting substrates were analyzed to determine bulk densities, maximum water holding capacity, granulometric distribution, air filled porosity at maximum water holding capacity, total pore volume, saturated hydraulic conductivity, and cation exchange capacity. The substrates were used to fill outdoor green roof platforms to a depth of 10 cm and then planted with nine species suitable for green roof applications. Plant coverage was measured six times over two seasons in 2013 and 2014. Substrate temperature and volumetric moisture content were recorded during the 2014 growing season. Total plant coverage in both porcelain and foamed glass was equivalent to expanded shale on 5 of the 6 dates measured over the two seasons. Moisture content of both the porcelain and foamed glass was either equivalent to or greater than that of the expanded shale throughout the 2014 season. Subsurface temperatures were cooler in the porcelain and foamed glass than the expanded shale during the daytime for the majority of the observed season. Variation in daily temperatures in the porcelain was significantly lower than the expanded shale when plant coverage was below 50%.

## Introduction

Extensive green roofs are often promoted as sustainable and environmentally friendly technologies. This claim is supported by a long list of ecosystem system services that are provided by green roof installations (Oberndorfer et al., 2007; Rowe, 2011). Green roofs provide a means to restore vegetative cover that has been removed by urban construction. Ground cover plays an important role in natural ecosystems including the provision of refuge for wildlife, absorption of storm waterstormwater, mitigation of solar radiation, maintenance of local air quality, and the sequestration of atmospheric carbon in plant biomass and soil organic matter. The impact of the loss of ground cover in urban environments has been well documented through studies of urban wildlife populations (McKinney 2008; Chace and Walsh 2006), watershed management (Brabec et al. 2002; Tsihrintzis 1997), air quality (Beckett 1998), and the heat island effect (Arnfield 2003). It has been observed that green roofs are able to restore, at least in part, some of the services originally provided by the native ground cover (Getter and Rowe 2006; Oberndorfer 2007; Berndtsson 2010).

Extensive green roofs must overcome significant engineering challenges in order to function effectively over their expected service life, which has been estimated to be between 40 and 50 years (Jörg Breuning & Green Roof Service, LLC 2015). Foremost, a green roof must provide a suitable habitat for the vegetative cover. This means the substrate must be able to retain available water and nutrients, as well as provide a pore network to allow for root growth and oxygen exchange. However, a substrate must also provide sufficient drainage to avoid water logging of the often shallow (5 to 20 cm) substrate profile. Furthermore, a green roof is subject to functional considerations such as controlling the quality of runoff water and preventing clogging of the pore network and drainage system. Traditionally, the green roof industry has

utilized lightweight expanded aggregates made from heat-expanded slate, shale, and clay or volcanic rocks like pumice as a solution (Rowe et al., 2006). Expanded aggregates are non-compacting, drain rapidly, and have lower bulk densities than traditional planting substrates.

Some roofs also include a drainage layer made from dimpled plastic or polymer-based materials that are placed beneath the substrate layer. A fabric mat made from synthetic fibers is often included above a drainage layer.

Given the large and diverse body of work showing that green roofs play a positive role in the development of urban areas, it is easy to assume that they are an inherently "green" technology. However, it is important to recognize that these benefits are experienced after the installation of the roof. In order to truly understand the sustainability of green roofs, we must also consider the environmental and economic impact of their construction. There have been several attempts to perform a "lifecycle analysis" of extensive green roofs (Carter and Keeler 2008; Saiz et al. 2006; Kosareo and Ries 2007; Peri et al. 2012; Chenani et al. 2015). The most thorough evaluations were seen in the works of Peri et al. (2007) and Chenani et al. (2015). Peri et al. performed an LCA on an existing extensive green roof near Sicily, Italy with a growing medium composed of volcanic materials and expanded perlite. They found that the production of materials accounted for 64% of the crude oil consumed throughout the lifecycle of the green roof, with a significant portion of that consumption attributed to the creation of the expanded perlite and the transportation of non-local materials. Of the eight categories of environmental impact listed in their study, construction was the largest contributor to Abiotic Depletion Potential (extraction of natural resources), Acidification Potential (production of acidifying pollutants like sulfur containing gases), and Global Warming Potential (release of carbon dioxide, nitrous oxide, and methane gas). Together, these three categories represented 80.5% of

the calculated environmental impact over the course of the green roof life cycle. Chanani et al. based their study on a modelled extensive green roof located in Chicago, IL. They compared multiple substrate compositions. Their results showed that expanded clay was the major contributor of environmental impact when included in a substrate, even at low rates (10%). They recommended finding alternatives to energy and resource intensive substrates.

Getter et al. (2009) examined the carbon budget of a sedum green roof with a 6 cm deep substrate consisting of heat-expanded slate as the base component of the substrate. The carbon released as a result of constructing the green roof was greater than the potential net carbon that could be sequestered over the lifetime of the green roof. The heat expanded slate accounted for 87% of the released carbon. It was estimated that the unfavorable carbon balance would be offset as a result of reduced building energy use attributable to the green roof after nine years. In a follow up study, Whittinghill et al (2014) found that increasing substrate depth and growing plants with greater biomass could reduce the carbon payback period to less than three years, though this would increase the total embodied energy in the substrate due to its greater depth.

It is clear that the most significant way in which to reduce the environmental impact and embodied energy of green roof construction is to identify suitable replacements for the heat expanded aggregates and petroleum polymer based drainage layers currently in use. Several studies conducted in the past ten years have addressed this issue. Crushed brick from demolished buildings is commonly encountered as an alternative green roof substrate in the United Kingdom and has been the subject of several studies (Graceson et al. 2014; Bates et al. 2015; Molineaux et al. 2009; Young et al. 2014). Other alternatives that have received attention include naturally sourced materials like crushed shells and coco coir (Steinfeld and Del Porto 2008), and recycled aggregates like crushed tile (Graceson et al. 2014), bottom ash from incinerators (Graceson et al.

2014; Molineaux et al. 2009), recycled rubber (Pérez 2012; Steinfeld and Del Porto 2008), and recycled aerated concrete (Bisceglie et al., 2014). Results from these studies are difficult to compare directly due to variations in the response variables that were measured and the physical properties of the materials under investigation. However, some of these studies show that it is possible to achieve results similar to those observed in a traditionally constructed green roof using alternative materials.

Therefore, the objective of this study was to determine how the use of two potential replacements for expanded shale, crushed porcelain and foamed glass, would affect the functionality of a green roof. We monitored three parameters as indicators of functionality: plant coverage, substrate moisture, and substrate temperature. The study was conducted outdoors to observe how the substrates were effected by natural variability.

## **Materials and Methods**

Experimental Setup: The study was conducted on divided roof platforms at the Michigan State University Horticulture Teaching and Research Center (Holt, MI). Platforms were set at a 2% grade with the top edge of each platform elevated approximately 1.4 m from ground level and were oriented with the low end of the slope toward the south to maximize sun exposure. Roof platforms measured 2.4 m x 2.4 m and were divided into three 0.8 x 2.4 m sections containing a XeroFlor XF-105 drainage mat (XeroFlor America, Durham, NC). Each section was filled to a depth of 10 cm with one of three substrates in a randomized complete block experimental design. The base material for each substrate consisted of either recycled foamed glass (Growstone GS-2 Soil Aerator, Growstone LLC, Albuquerque, New Mexico), recycled crushed porcelain (Michigan State University Surplus Store and Recycling Center, East Lansing, MI), or heat-expanded shale (Haydite, Hydraulic Press Company, Brooklyn, IN). The

foamed glass and crushed porcelain were blended with 20% by volume of municipal solid waste compost (Granger, Lansing, MI) to produce these substrates. The heat-expanded shale substrate was composed of a mixture of 30% Haydite A, 30% Haydite B, and 40% 2NS sand (Hammond Farms, East Lansing, MI), which was then blended with the 20% compost as above. The shale substrate is commercially available, is often used on green roofs, and served as the control in the study (**Table 3.1**).

Substrate blends were submitted to the Pennsylvania State University Agricultural Analytical Services Laboratory to determine particle size distribution, dry weight density, maximum water holding capacity, density at maximum water-holding capacity, total porosity, air-filled porosity at maximum water-holding capacity, saturated hydraulic conductivity, pH, total soluble salts (EC), organic matter content, and cation exchange capacity (**Table 3.2, Figure 3.1**).

Within the platforms, nine plant species were cultivated to evaluate the effect of substrate type on plant coverage, growth, survival, and substrate temperature and moisture. Species included *Alllium cernuum* (nodding onion), *Allium senescens* (ornamental onion), *Sedum acre* (goldmoss stonecrop), *Sedum album* (white stonecrop), *Sedum kamtschaticum* (Russian stonecrop), *Coreopsis lanceolata* (Lancefeaf Coreopsis), *Monarda punctata* (Horsemint), *Tradescantia ohiensis* (Spiderwort), and *Koeleria macrantha* (June grass). The *Allium* and *Sedum* spp. were planted from plugs (116.3 cm³, 38/flat) supplied by Hortech, Inc. (Spring Lake, MI) and the study contained 108 plugs of each taxa. The *Coreopsis, Monarda, Tradescantia*, and *Koeleria* spp. plugs (150.8 cm³, 38/flat) were all Michigan natives and were supplied by Wildtype Nursery, Inc. (Mason, MI). Three plugs of each species were randomly planted within three rows of nine plants on 34 cm centers with 20 cm row spacing for a total of 27 plants per

section. Each plot was replicated three times. All plugs were planted on June 14, 2013. A wide range of species were selected to provide an indication of how plants with varying growth requirements responded to different substrate blends.

Long term fertility was provided by applying the lowest recommended amount, 1.8 kg per m<sup>2</sup>, of a slow release complete fertilizer (Nutricote 13-13-13 Type 180 Total with Minor Nutrients, The Scotts Company, Marysville, OH) at the time of planting. In addition to natural rainfall, supplemental irrigation was provided to the roof platforms by an automatic irrigation system through a series of overhead sprinklers during the 2013 growing season to help plant establishment. Plots were irrigated two times per week for 15 min during the growing season which amounted to 2.4 L per section per irrigation event. No supplemental irrigation was provided during the 2014 growing season so plants had to rely solely on natural rainfall.

**Data Collection**. A point frame transect was used multiple times each season to quantify plant development, coverage, and changes in plant community (Waite, 2000). The point frame consisted of perpendicular strings that formed a grid of 7.5 x 7.5 cm cells. The frame was positioned directly above each section while making measurements. A steel rod was placed vertically at each measuring point where the strings crossed and the species in contact with the rod were recorded. When no plant material was present, the grid intersection was recorded as being "bare." Absolute cover (AC) was then calculated for each species as the total number of contacts recorded divided by the number of data collection points for each section. Weed species were removed at each of the recording sessions.

Substrate moisture and temperature were monitored during the 2014 growing season. A single Type T Thermocouple and CS616 Water Content Reflectometer were placed in the center of each platform section at a depth of 5 cm. Measurements were taken on an hourly basis from

May 20 through October 1 and were continuously recorded on a CR1000 Campbell Scientific Datalogger (Campbell Scientific Inc., Logan, UT).

**Statistical Analysis:** Absolute plant coverage was analyzed both in terms of individual species and in aggregate (total coverage). Significance differences in mean values from the foamed glass and porcelain substrates were compared against the expanded shale control using single tailed Dunnett's Tests with  $\alpha = 0.10$ . The Minimum Significant Difference (MSD) is reported along with the observed difference in means.

Substrate moisture and temperature values were analyzed using a repeated measures model with a spatial power covariance structure. Degrees of freedom and standard errors were calculated using the Kenward-Roger Method. Least-squared means were calculated for each week. Comparisons of the alternative substrate weekly means against those of the expanded shale control were performed using single tailed Dunnett's Tests.

Temperature amplitudes were calculated by subtracting the lowest mean temperature from the highest mean temperature recorded for each day. Comparisons of the seasonal distributions of amplitude between the alternative substrates and the expanded shale control were made using the nonparametric Dwass, Steel, Critchlow-Fligner Method.

## Results

Substrate Physical Properties: The Society of Landscape Development and Landscape Design (FLL) is an organization in Germany that publishes guidelines for green roof construction (FLL 2008). The FLL guidelines are widely recognized and are used here to provide context in discussing the physical properties of the foamed glass and crushed porcelain substrates (**Table 3.2**). The foamed glass substrate met all of the relevant FLL guidelines, with the exception of saturated hydraulic conductivity which was 0.04 cm·s<sup>-1</sup> greater than the

recommended range. The dry and wet bulk densities of the foamed glass substrate were 0.96 and 1.12 g·cm<sup>-3</sup> less than the expanded shale, respectively. The cation exchange capacity of the foamed glass substrate was also 5 times greater (24.95 cmol·kg<sup>-1</sup>) than the expanded shale substrate. In terms of air-filled porosity, the foamed glass substrate was 49.5 % Vol greater than the expanded shale. The water holding capacity of the porcelain substrate was 7.1 % Vol below the FLL guidelines and the saturated hydraulic conductivity was 0.05 cm·s<sup>-1</sup> greater than recommended. The air-filled porosity of the expanded shale substrate was 7.1 % Vol below the FLL guideline. The expanded shale substrate had an even distribution of particle sizes approximating the range recommended by the FLL. The porcelain and foamed glass substrates had much greater percentages of their total mass as large particles than recommended by the FLL. Approximately 50% of the porcelain and 40% of the foamed glass mass occurred in particles greater than 12.5 mm (Figure 3.1).

**Plant Coverage:** Total absolute coverage was equivalent between substrates for all but one date (**Figure 3.2**). On May 2014, AC in the expanded shale substrate was 0.297 m<sup>3</sup>·m<sup>-3</sup> greater than the porcelain and 0.522 m<sup>3</sup>·m<sup>-3</sup> greater than the foamed glass (dF=6, CV=1.817, MSD=0.192).

Allium cernuum: There were no statistical differences in coverage during the first season. No living plants were visible during the first two measurements in 2014. By July 2014, coverage in the foamed glass substrate was only 0.004 m<sup>3</sup>·m<sup>-3</sup>, which was representative of only a single surviving plant. Coverage in the expanded shale was limited to a few small plants equating to 0.061 m<sup>3</sup>·m<sup>-3</sup>. The high variance on this date resulted in this difference being considered non-significant (dF=6, CV=1.817, MSD=0.085).

Allium senescens: Coverage was less than 0.050 m<sup>3</sup>·m<sup>-3</sup> for all three substrates in April 2014 and no living plants were visible in the foamed glass treatment afterwards. Coverage in the porcelain substrate was only 0.004 m<sup>3</sup>·m<sup>-3</sup> in May 2014 and 0.023 m<sup>3</sup>·m<sup>-3</sup> in July 2014. These were 0.050 m<sup>3</sup>·m<sup>-3</sup> (dF=6, CV=1.817, MSD=0.053) and 0.103 m<sup>3</sup>·m<sup>-3</sup> (dF=6, CV=1.817, MSD=0.103) less than the expanded shale, respectively. Coverage in the porcelain substrate was statistically equivalent to expanded shale at that time.

Sedum: Coverage of Sedum acre was equivalent in all substrates for both seasons with the exception of the August 2013 observation in which coverage was 0.023 m<sup>3</sup>·m<sup>-3</sup> greater in the foamed glass (dF=6. CV=1.817, MSD=0.011) and 0.017 m<sup>3</sup>·m<sup>-3</sup> greater in the porcelain (dF=6, CV=1.817, MSD=0.011) than the expanded shale. No significant differences were seen in either season for Sedum album or Sedum kamtshaticum.

Trandescantia ohiensis: Coverage was equivalent in both seasons for all measurements except for July 2014. Growth in the second season was barely detectable until July, at which point coverage in the foamed glass treatment was 0.1944 m<sup>3</sup>·m<sup>-3</sup> greater than the expanded shale (dF=6, CV=1.817, MSD=0.096). Coverage in the porcelain and expanded shale substrates was equivalent.

Coreopsis lanceolata: Coverage was equivalent in all three substrates during the first season. However, no plants survived into the second season in any of the substrates.

*Koelaria macrantha:* Coverage in the expanded shale treatment was 0.090 m<sup>3</sup>·m<sup>-3</sup> greater in August 2013 (dF=6, CV=1.817, MSD=0.028) and 0.140 m<sup>3</sup>·m<sup>-3</sup> greater in September 2013 (dF=6, CV=1.817, MSD=0.097) than porcelain. Coverage was 0.040 m<sup>3</sup>·m<sup>-3</sup> greater in the expanded shale than foamed glass in August 2013 (dF=6, CV=1.817, MSD=0.028) and 0.120 greater in September 2013 (dF=6, CV=1.817, MSD=0.097). *Koelaria macrantha* struggled in the

second season and ultimately died off in the expanded shale and porcelain treatments. Survival in the foamed glass treatment was marginal, with coverage of only 0.017 m<sup>3</sup>·m<sup>-3</sup> in July 2014.

*Monarda punctatum:* Compared to porcelain, coverage in the expanded shale substrate was 0.127 m<sup>3</sup>·m<sup>-3</sup> greater on August 2013 (dF=6, CV=1,817, MSD=0.072), 0.190 m<sup>3</sup>·m<sup>-3</sup> greater on September 2013 (dF=6, CV=1.817, MSD= 0.079), 0.123 m<sup>3</sup>·m<sup>-3</sup> greater on May 2014 (dF=6, CV=1.817, MSD= 0.063). This trend also followed for foamed glass with expanded shale coverage being 0.147 m<sup>3</sup>·m<sup>-3</sup> greater on August 2013 (dF=6, CV=1,817, MSD=0.072), 0.157 greater m<sup>3</sup>·m<sup>-3</sup> on September 2013 (dF=6, CV=1.817, MSD= 0.079), and 0.177 m<sup>3</sup>·m<sup>-3</sup> greater on May 2014 (dF=6, CV=1.817, MSD= 0.063). In July 2014, coverage in all three substrates was statistically equivalent and exceeded 0.500 m<sup>3</sup>·m<sup>-3</sup>.

Volumetric Moisture Content: Throughout the 2014 season, moisture content in the porcelain ranged from 0.05 ml·cm<sup>-3</sup> lower to 0.16 ml·cm<sup>-3</sup> higher than the expanded shale control. The median difference in moisture content was 0.02 ml·cm<sup>-3</sup>. No statistically significant differences were detected in weekly moisture content between crushed porcelain and expanded shale at any point in the season (Table 3.3; Figure 3.4). However, mean moisture content in the foamed glass substrate was between 0.03 to 0.40 ml·cm<sup>-3</sup> higher than the expanded shale substrate at every measurement point for the season. The median difference over the season was 0.15 ml·cm<sup>-3</sup>. Statistical differences in least squared means were detectable throughout the season. The majority of significant differences occurred between July 22 and August 8 (Table 3.3; Figure 3.4).

There was a three-week dry spell from July 16 to August 5. Moisture levels in all three substrates dropped to the lowest values recorded in the study (**Figure 3.3**). During that time, moisture levels in the foamed glass treatment were 0.04 to 0.05 ml·cm<sup>-3</sup> greater than those in the

expanded shale treatment. Weekly means were statistically significant during the second and third weeks of the dry spell (p-values between 0.04 and 0.06). There were no significant differences observed between the porcelain and expanded shale substrates during that period.

Substrate Temperature: Over the entire 2014 season, the porcelain substrate ranged between 2.5 °C warmer and 7.8 °C cooler than the expanded shale. The difference was most pronounced during the middle of the summer (Figure 3.5). From July 8 to August 20, the median daytime temperature difference was 1.42 °C cooler than the expanded shale. The difference was 0.25 and 0.17 °C cooler during the early (May 20 to July 7) and late (August 21 to September 30) parts of the year, respectively (Figure 3.6). Nighttime temperatures ranged from 2.4 °C warmer to 2.6 °C cooler. Median temperature differences were also smaller at night. Early in the season, the median difference in temperatures was 0.13 °C. This increased to 0.29 °C cooler in the summer and to 0.42 °C in the late part of the season (Figure 3.7). Daytime weekly means were significantly lower for 11 of the 19 observed weeks (Table 3.4). Only 3 of the 19 observed weeks had statistically significant differences in nighttime temperature (Table 3.5).

Temperature differences between the foamed glass and expanded shale substrates ranged from 7.2 °C warmer to 9.9 °C cooler, with respect to expanded shale (**Figure 3.5**). Despite the wide distribution of differences over the season, median values indicate that the foamed glass substrate remained slightly cooler during the day than the expanded shale. At the beginning of the season, the median temperature difference was 0.64 °C cooler. This increased to 1.80 °C cooler in the middle of summer and then dropped to 0.33 °C at the end of the season (**Figure 3.6**). At night, the foamed glass substrate was consistently cooler than the expanded shale with differences ranging from 0.9 °C warmer and 7.5 °C cooler. At the beginning of the season, the

median difference was 1.46 °C cooler. This increased to 1.82 °C cooler in the summer and then dropped off to 0.16 °C warmer in the late part of the season (**Figure 3.7**). Daytime weekly means for foamed glass temperature were statistically different from expanded shale for 12 of the 19 observed weeks. Temperature in the foamed glass was cooler for 11 of the 12 significant weeks. (**Table 3.4**). Differences in nighttime weekly means were significant for 8 of the 19 observed weeks Foamed glass was cooler than expanded shale on all significant weeks (**Table 3.5**).

The variation in daily temperature (amplitude) of the porcelain substrate over the season ranged from 2.8 to 18.1 °C, with a median daily change of 8.7 °C. This was significantly less than the expanded shale (Z=6.652, DSCF=9.408, p<.0001). In the foamed glass substrate, amplitude ranged from 2.6 to 32.3 °C and was lowest at the end of the season. The median seasonal amplitude was 14.5 °C. The distribution and values of temperature amplitude within the foamed glass substrate were very similar to those observed in the expanded shale substrate (Z=0.864, DSCF=1.222, p=.6632) (**Figure 3.8**).

## **Discussion**

Substrate Physical Properties: The physical properties of the porcelain substrate were generally within FLL Guidelines or at least comparable to the expanded shale control. Two discrepancies did appear in the analysis: 1) the WHC of the porcelain was 7.1% below FLL guidelines and 32.8% below that of the expanded shale substrate; 2) the SHC was well above the range specified by the FLL. While these differences could be seen as detrimental to the candidacy of porcelain as an alternative green roof material, several factors need to be taken into consideration. First, overall plant growth in the porcelain was statistically equivalent to growth in the expanded shale for five of the six measurements. Second, VMC in the porcelain was statistically equivalent to the expanded shale for 18 of the 19 weeks observed despite the large

difference in WHC. Finally, the porcelain was processed using less than ideal methods due to practical constraints, which resulted in the presence of chunky particles much larger than would be found if the material had been processed for commercial application. Were the porcelain to have been ideally processed, there would have been a much greater percentage of small particles. Increasing the mass percentage of small particles would have increased the WHC of the substrate, possibly to within FLL Guidelines. The change in particle size distribution would also increase the tortuosity of the pore network, resulting in a decrease in SHC. Given the results of our less-than-ideal substrate, crushed porcelain appears to be interchangeable with green roof substrates based on expanded aggregates.

The foamed glass substrate has several physical properties that make it an ideal candidate for green roof applications. First, foamed glass is exceptionally lightweight. The dry bulk density is nearly one quarter that of the expanded shale. This has significant implications for the transportation of foamed glass as well as roof weight limitations. Substantially greater volumes can be transported by a vehicle, which decreases the transportation costs in terms of both fiscal economics and embodied energy. At maximum water-holding capacity, foamed glass is approximately one-third the density of expanded shale. This allows greater substrate depths to be employed on roofs that are already suitable for green roof installation. Increased substrate depths have been associated with multiple benefits including increased drought and winter survivorship (Durhman and Rowe 2007; Rowe et al., 2012). Additionally the ultralight nature of foamed glass may permit the installation of green roofs on structures that would not have been able to support the weight of traditional substrates.

The air filled porosity of foamed glass at maximum water holding capacity is quite high.

Given that the water holding capacity of the foamed glass substrate is well within the range

specified by FLL Guidelines, such a high level of aeration should provide an excellent environment for root growth. Roots require oxygen for mitosis and many root health issues are associated with anaerobic conditions. However, it is unclear whether all of the air-filled pore volume is actually accessible to roots. It is possible that many pores within the foamed glass aggregate are, in fact, closed cells that are inaccessible to both infiltrating water and adventurous root systems.

Something that is clear, however, is that the root accessible portion of the foamed glass aggregate has a much greater capacity for cationic plant nutrients than the expanded shale (the CEC of foamed glass was 31.32 cmol·kg-¹ compared to 6.37 cmol·kg-¹ for expanded shale). The plots in our experiment were well fertilized with a slow release, complete fertilizer. This negated any impact that differences in nutrient holding would have on plant growth. However, it is reasonable to suggest that over multiple seasons without addition of fertilizers, plants in a foamed glass substrate would experience fewer nutrient-related stresses than in a typical green roof substrate. It would also be reasonable assume that leaching of plant nutrients, at least those that are positively charged, would be less than those observed in a typical green roof. In making these assumptions, one must also consider that CEC is measured on a per weight basis. The density of foamed glass is much less than expanded shale, which means that the difference in cation exchange sites per unit of surface area of foamed glass is likely less than one would initially conclude based solely on the reported CEC values.

**Plant Coverage:** Observation of plant growth in the two alternative substrates indicated that little practical difference in total coverage existed in either substrate compared to the expanded shale control. Of the six measurement dates, only one date revealed any statistically significant differences. The one measurement in May 2014 was preceded by a long cold spell.

The temperature data showed that the expanded shale was consistently warmer than the other two substrates. This suggests that the expanded shale microclimate accumulated more growing degree days in the early spring, accounting for the increase in early growth relative to the other substrates. There were several instances in which statistical and practical differences were observed in the coverage of individual plant species between substrates. It is not surprising that these differences occurred. There has been an extensive amount of research showing that a wide variety of factors affect plant viability in green roof substrates (Farell, et al. 2012; Molineaux 2009; Thuring, et al. 2010). In this study plant species were selected from a variety of plant types in anticipation of differences in plant responses to our substrates. The lack of significance in total coverage in combination with occasionally significant differences in individual plant coverage indicates that foamed glass and porcelain have the potential to perform adequately given proper plant selection.

An interesting observational result from this study was that very little weed colonization occurred in the porcelain substrate. Weeds were removed from the plots by hand on a regular basis by the researchers and the biomass removed from the porcelain plots was always much less than those of both the foamed glass and expanded shale plots. One possible explanation for this is that the presence of the large, chunky particles allowed the organic matter to wash down below the immediate surface and also prevented intimate contact with the substrate. This created a dry microclimate on the substrate surface that was unfavorable for seed germination. Our plants were able to grow because they were transplanted into the media and had extensive root systems that were placed within the soil profile where access to water was not restricted.

**Substrate Temperature:** The extended longevity of a green roof compared to a conventional bituminous roof is often attributed to preservation of the waterproofing membrane

through the interception of UV radiation and the reduction of membrane temperature fluctuations (Teemusk and Mander 2010). The porcelain substrate had lower temperature fluctuations than the expanded shale control from the start of the observation period in mid-May through mid-August. Thermal amplitudes in porcelain remained fairly consistent throughout the season while those in expanded shale steadily decreased. This window corresponds to the period in which vegetation was not completely covering the roof platforms. Furthermore, the greatest temperature differences between porcelain and expanded shale occurred during daylight hours when the sun was shining, whereas little difference existed at night. It is possible that the relatively higher albedo of the white, shiny porcelain accounts for the increased stability of substrate temperature during periods of low vegetation in comparison to the expanded shale.

Roof tops with white or reflective coatings have been shown to have cooling efficiencies similar to those of fully functional green roofs (Gaffin et al. 2005). A porcelain-based green roof may act as a hybrid of the two systems, allowing for effective thermal regulation during periods of low plant coverage such as cold snaps and droughts.

Embodied Energy and Sustainability: The porcelain and foamed glass substrates were both produced from materials originally intended for landfill disposal. The diversion of those materials from the waste-stream to a green roof adds at least another 50 years to their useful service life. In addition, the use of these materials in place of an expanded aggregate avoided the need to extract additional raw materials along with the environmental disturbance and contamination associated with the extraction of shale, slate, and clay. The lifecycle analyses cited in the introduction revealed that a significant percentage of the embodied energy of expanded aggregates resulted from the kilning process required to transform the raw material into its lightweight form. The porcelain was processed in an aggregate crushing plant to reduce the bulk

pieces into the small particles needed for a plant substrate. The foamed glass originated from milled glass that was later kilned. Both of these products have energy intensive steps in their production and thus do not necessarily address the issues associated with the high embodied energy of green roof substrates. It is worth noting that a portion of the energy required to kiln the foamed glass material came from the combustion of local landfill gas. This offset the impact of foamed glass production by converting methane, a potent greenhouse gas, into the less impacting form of carbon dioxide, and by making use of a form of "recycled" energy. The use of landfill gases and renewable energy sources in place of conventionally derived energy could also be applied to other green roof substrate manufacturing, including the traditional expanded aggregates. Another significant component of the energy embodied in a substrate resulted from the transportation of the material. Porcelain and glass are ubiquitous materials that can be sourced locally, thus reducing the amount of energy required for transportation for processing and delivery to the worksite.

Related Research: The only other research conducted on the use of foamed glass as a green roof substrate was performed by Klopp and Berghage in 2012. They used a blend of foamed glass and potting soil to fill containers to a depth of 10 cm. They transplanted sedum plugs into these containers and grew them in a greenhouse for seven weeks. They reported 50% to 60% coverage in after four weeks and 80%-90% coverage after seven weeks. They also investigated the potential degradation of the foamed glass material due to freeze/thaw cycles and determined the maximum WHC and bulk density at maximum WHC of the containers at different slopes. Damage was only observed due to freeze/thaw cycles when the media remained in a saturated state. WHCs and bulk densities were similar to those reported here.

Research on porcelain is also extremely limited. As far as the authors could find, no literature exists that investigates porcelain in a crushed state. The most comparable material tested was tumbled porcelain intended for use in flooring and countertop manufacture (Steinfeld and Porto 2008). This material was limited to particle sizes of approximately ¼ inch. The authors of this study reported a WHC of 3.3% and concluded that the material was limited in application to an aesthetic top dressing. We cite our results as evidence that porcelain, once processed to ensure an appropriate granulometric distribution, is not limited to aesthetic applications but is rather an excellent candidate for green roof applications.

### **Conclusions**

A major economic incentive for a building owner to construct a green roof is the reduction in cooling costs resulting from evapotranspiration. A green roof must have a full plant canopy and available water in the substrate in order to maximize the cooling effect. This study showed that extensive green roofs substrates based on crushed porcelain and foamed glass are capable of maintaining a plant canopy, retaining substrate moisture, and reducing subsurface temperature as well as a substrate based on expanded shale. Total plant coverage in both porcelain and foamed glass was equivalent to expanded shale on 5 of the 6 dates measured over the two seasons. The one significantly different event was observed at the beginning of the growing season when building cooling demands are low. Moisture content of both the porcelain and foamed glass was either equivalent to or greater than that of the expanded shale throughout the 2014 season.

Subsurface temperatures were cooler in the porcelain and foamed glass than the expanded shale during the daytime for the majority of the observed season. The temperature and moisture results suggest that the alternative substrates in this study may actually improve the cooling capacity of a green roof. The porcelain substrate also demonstrated the potential to function as a "white

roof' during periods of low plant coverage. Average substrate temperatures in the porcelain from May 20 to June 2<sup>nd</sup> 2014, a period during which approximately half of the porcelain sections were bare, were between 3.0 and 3.5 °C lower than the expanded shale. The temperature differences at this time were the largest observed during the study. Furthermore, thermal amplitude in the porcelain was significantly lower than the expanded shale at that time. The most significant advantage offered by the foamed glass is that it is 65 to 75% lighter than expanded shale. Utilization of foamed glass would allow green roof designers to choose between decreasing roof mass while maintaining a standard substrate depth or increasing substrate depth without changing overall roof mass. Both of these substrates are produced using recycled materials, decreasing the overall consumption of natural resources resulting from the construction of a green roof. However, both of these substrates require an energy intensive step during their production (crushing the porcelain and kilning the foamed glass) and thus may not significantly reduce the embodied energy associated with green roof substrates. This study did not consider the impact of the alternate substrates on other functions of green roofs such as stormwater management. Additional research with optimally processed versions of the substrates in this study will be required to validate the suitability of the materials for green roof applications.

APPENDIX

 ${\bf Table~3.1.~Description~of~Substrates}$ 

Foamed glass	Commercially available product manufactured by Growstone, LLC (Albuquerque, New Mexico) often used for hydroponic applications. Recycled glass bottles are ground into a fine powder and the powdered glass is combined with foaming agents and kilned in a gas furnace using a blend of natural gas and landfill-sourced methane as a fuel source. The gas released by the foaming agent creates a network of micropores within the glass media. The raw product is then cracked, crushed, and blended. This particular product line was tested in lieu of other Growstone products at the request of the manufacturer.
Crushed Porcelain	Bulk porcelain (sinks, toilets, tile, etc) was sorted from on- campus demolition projects at Michigan State University. The porcelain was processed using an aggregate crushing plant hired by MSU Landscape Services to reduce demolished concrete to compliance with the ASTM 21AA standard. The crushing plant was modified slightly to generate a greater percentage of smaller particles than the ASTM 21AA standard.
Expanded shale	A blend of lightweight expanded shale aggregates and 2NS sand produced by the Hydraulic Press Brick Company in Brooklyn, IN specifically for use in extensive green roofs.

Table 3.2. Substrate Physical and Chemical Properties at the Start of the Study

Characteristic	Unit	Foamed Glass	Porcelain	Expanded shale	FLL <sup>1</sup> Guidelines
Bulk Density (dry weight basis)	g·cm <sup>-3</sup>	0.31	1.45	1.27	none
Bulk Density (at Max WHC)	g·cm <sup>-3</sup>	0.60	1.56	1.72	none
Total Pore Volume	% Vol	82.2	40.6	48.5	none
Maximum WHC	% Vol	29.8	12.9	20.3	20-65
Air-Filled Porosity (at Max WHC)	% Vol	52.4	27.7	2.9	≥10
Saturated Hydraulic Conductivity	cm·s <sup>-1</sup>	>0.711	>0.723	0.170	0.1-0.67
рН		7.9	7.6	7.9	6.0-8.5
Cation Exchange Capacity	cmol·kg-1	31.32	8.91	6.37	none

Analysis performed by Pennsylvania State University Agricultural Analytical Services Laboratory, University Park, PA. WHC stands for Water Holding Capacity

<sup>&</sup>lt;sup>1</sup>Forschungsgesellschaft Landschaftsentiwicklung Landschaftsbau (FLL). 2008. Guidelines for the Planning Execution and Upkeep of Green-Roof Sites. FLL Guidelines are for single course extensive green roofs.

Table 3.3. Differences in Weekly Means for Volumetric Moisture Content over the 2014 Growing Season

	Foamed Glass		Porcelain	
Week	Δ <b>VMC</b>	p-value	Δ <b>VMC</b>	p-value
5/20-5/26	0.217	0.1866	0.029	0.9553
5/27-6/2	0.239	0.1093	0.023	0.9669
6/3-6/9	0.276	0.0875	0.060	0.8316
6/10-6/16	0.242	0.0740	0.010	0.9926
6/17-6/23	0.200	0.1313	-0.009	0.9940
6/24-6/30	0.201	0.1611	0.006	0.9974
7/1-7/7	0.191	0.1129	0.030	0.9206
7/8-7/14	0.143	0.0779	0.013	0.9689
7/15-7/21	0.055	0.1236	0.020	0.6628
7/22-7/28	0.041	0.0565	0.022	0.3072
7/29-8/4	0.044	0.0393	0.021	0.3226
8/5-8/11	0.055	0.0297	0.023	0.3754
8/12-8/18	0.077	0.0667	0.044	0.3208
8/19-8/25	0.075	0.0506	0.063	0.1009
8/26-9/1	0.151	0.0601	0.021	0.9177
9/2-9/8	0.151	0.0730	-0.002	0.9990
9/9-9/15	0.145	0.1341	-0.011	0.9818
9/16-9/22	0.132	0.1553	-0.017	0.9589
9/23-9/30	0.137	0.1461	-0.013	0.9761

Positive values indicate that the substrate of interest had greater moisture than the expanded shale control. P-values are reported for pairwise comparisons using Dunnett's Test with a defined control (Expanded shale).

Table 3.4. Differences in Weekly Means for Day Time Temperature over the 2014 Growing Season

	Foamed Glass		Porcelain		
Week	∆Temp	p-value	∆Temp	p-value	
5/20-5/26	-3.0	<.0001	-0.1	0.9639	
5/27-6/2	-3.5	<.0001	-1.5	0.0196	
6/3-6/9	-1.9	0.0200	-1.7	0.0068	
6/10-6/16	-0.5	0.5608	-0.3	0.7314	
6/17-6/23	+0.6	0.1294	0.0	0.9983	
6/24-6/30	+1.8	<.0001	+0.7	0.0945	
7/1-7/7	-0.5	0.6793	-1.8	0.0133	
7/8-7/14	-0.4	0.7468	-1.6	0.0255	
7/15-7/21	-1.6	0.0435	-1.8	0.0170	
7/22-7/28	-2.8	0.0002	-1.5	0.0419	
7/29-8/4	-2.0	0.0343	-1.4	0.1694	
8/5-8/11	-2.5	0.0067	-1.6	0.0828	
8/12-8/18	-2.8	<.0001	-2.4	<.0001	
8/19-8/25	-2.4	<.0001	-1.4	0.0163	
8/26-9/1	-1.1	0.0016	-0.7	0.0451	
9/2-9/8	-0.7	0.0120	-0.4	0.2031	
9/9-9/15	-0.4	0.3810	-0.2	0.7488	
9/16-9/22	-0.3	0.4348	-0.2	0.5985	
9/23-9/30	-0.5	0.2728	-0.3	0.5182	

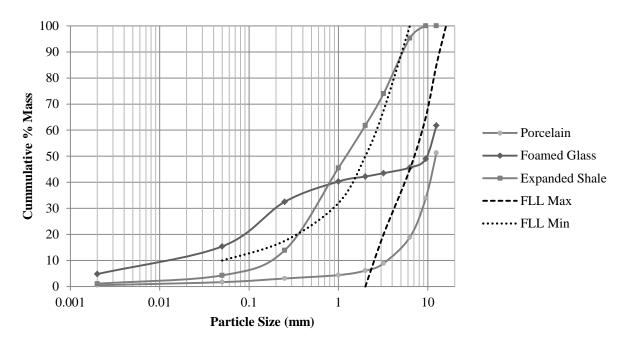
Positive values indicate that the substrate of interest was warmer than the expanded shale control. Day time was defined as the period between 6:15 am and 9:45 pm. P-values are reported for pairwise comparisons using Dunnett's Test with a defined control (Expanded shale).

Table 3.5. Differences in Weekly Means for Night Time Temperature over the 2014 Growing Season

	Foamed Glass		Porcelain		
Week	ΔTemp	p-value	ΔTemp	p-value	
5/20-5/26	-0.9	0.1775	+0.6	0.4882	
5/27-6/2	-4.0	0.0051	-0.6	0.8167	
6/3-6/9	-2.5	0.0609	-0.1	0.9855	
6/10-6/16	-1.8	0.0523	+0.2	0.9234	
6/17-6/23	-1.3	0.3362	+0.3	0.9093	
6/24-6/30	-0.4	0.8300	+0.7	0.6412	
7/1-7/7	-1.9	0.0943	-0.1	0.9973	
7/8-7/14	-1.8	0.1370	0.0	0.9998	
7/15-7/21	-3.3	0.0555	0.0	0.9993	
7/22-7/28	-2.9	<.0001	+0.4	0.6378	
7/29-8/4	-1.4	0.2846	+0.8	0.6382	
8/5-8/11	+0.1	0.1113	+0.4	0.3733	
8/12-8/18	-1.3	0.0428	+0.1	0.9433	
8/19-8/25	-0.9	0.0412	+0.7	0.1540	
8/26-9/1	-0.3	0.3209	+0.3	0.2164	
9/2-9/8	0.0	0.9918	+0.4	0.0763	
9/9-9/15	+0.3	0.2578	+0.5	0.0494	
9/16-9/22	+0.2	0.5410	+0.4	0.1077	
9/23-9/30	+0.2	0.5008	+0.4	0.0608	

Positive values indicate that the substrate of interest was warmer than the expanded shale control. Night time was defined as the period between 10 pm and 6:00 am. P-values are reported for pairwise comparisons using Dunnett's Test with a defined control (Expanded shale).

Figure 3.1. Particle Size Distribution at the Start of the Study



Substrates were screened using sieves with 0.002, 0.05, 0.25, 1.0, 2.0, 3.2, 6.3, 9.5, and 12.5 mm openings

Figure 3.2. Absolute Plant Coverage

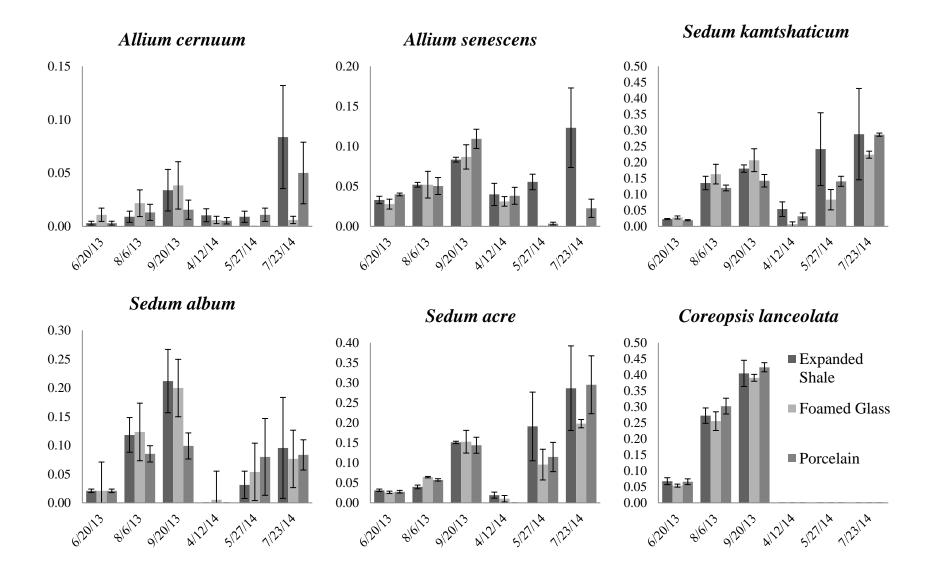
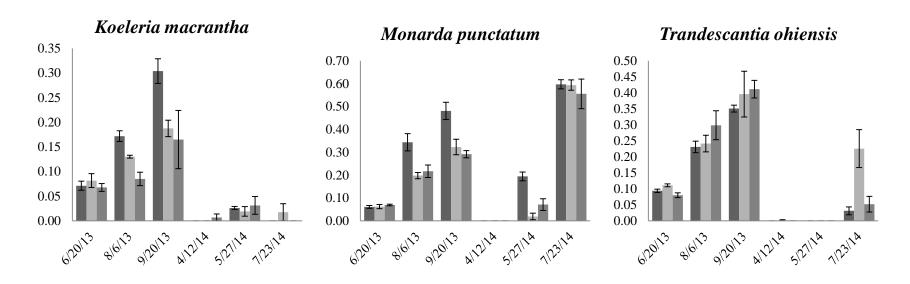
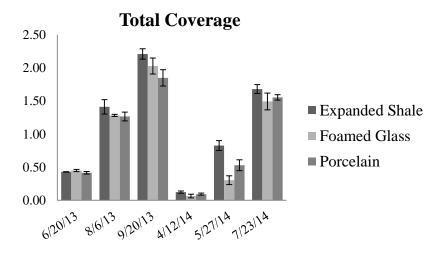


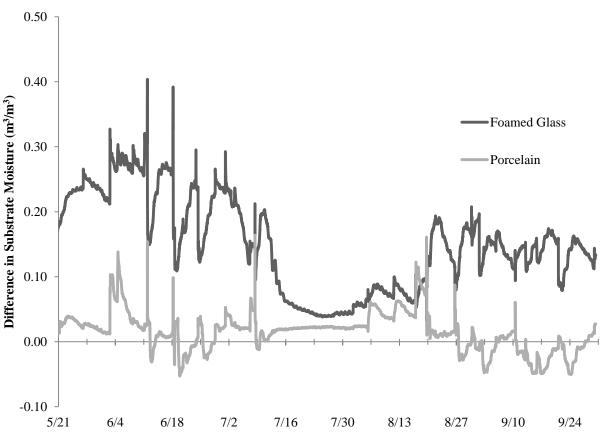
Figure 3.2 (cont'd)





Absolute coverage of individual species and all species combined. Absolute cover was calculated for each species as the total number of point frame contacts recorded divided by the number of data collection points. Combined absolute coverage can exceed 1.00 because of multiple species overlapping in the same area.

Figure 3.3 Differences in Volumetric Moisture Content over the 2014 Growing Season



Values calculated by subtracting the mean moisture content of expanded shale from the mean moisture content of the respective substrate for each observation. Values above the x-axis indicate that the substrate had greater volumetric moisture content than expanded shale.

Figure 3.4. Mean Volumetric Moisture Content over the 2014 Growing Season

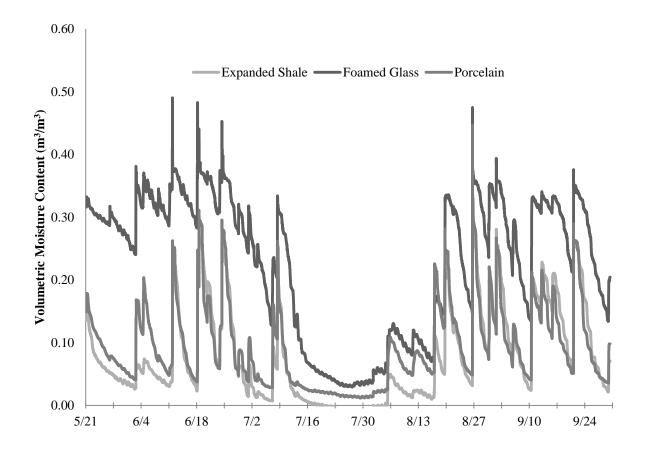
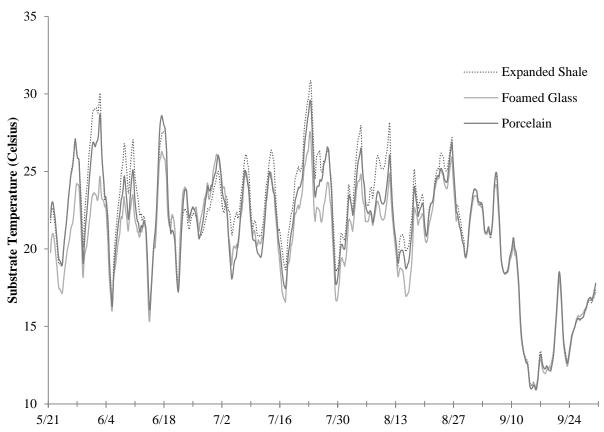
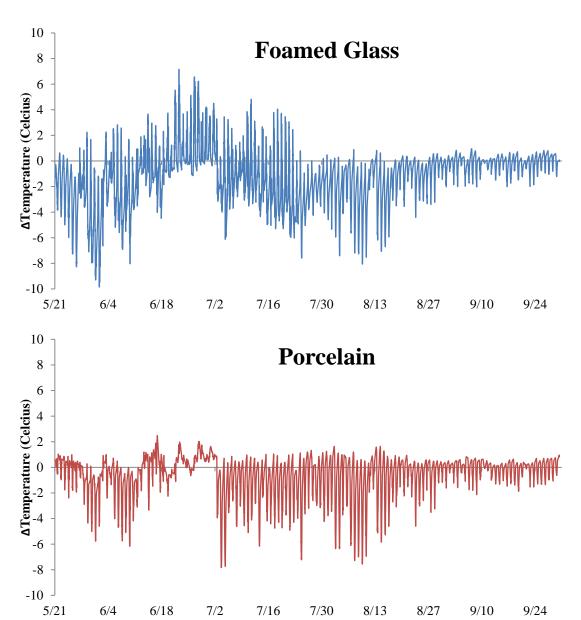


Figure 3.5. Mean Substrate Temperature over the 2014 Growing Season



A one-day moving average was applied to the temperatures recorded in each replicate. Means were then calculated for each substrate type.

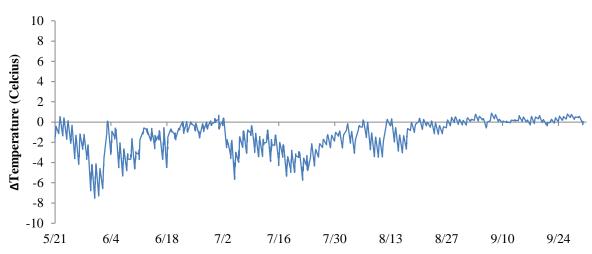
Figure 3.6. Differences in Day-Time Substrate Temperatures over the 2014 Growing Season



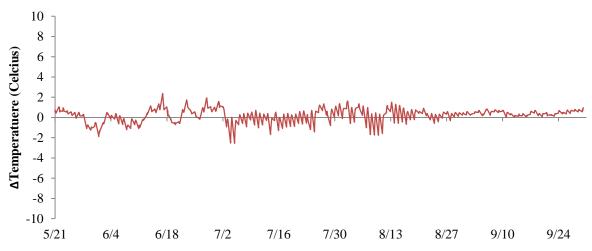
Differences in daytime temperature between the alternative and expanded shale substrates were calculated by subtracting the mean temperature value of expanded shale from the mean temperature value of the respective substrate for each observation. Values below the x-axis indicate that the substrate was cooler than expanded shale.

Figure 3.7. Differences in Night-Time Substrate Temperatures over the 2014 Growing Season



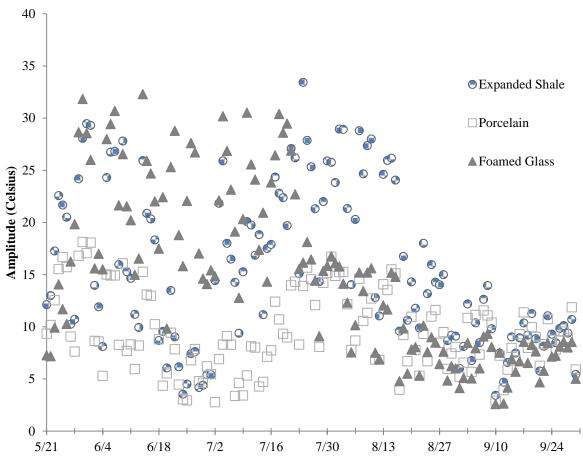


# **Porcelain**



Differences in nighttime temperature between the alternative and expanded shale substrates were calculated by subtracting the mean temperature value of expanded shale from the mean temperature value of the respective substrate for each observation. Values below the x-axis indicate that the substrate was cooler than expanded shale.

Figure 3.8. Daily Variations in Substrate Temperature over the 2014 Growing Season



Daily variations in substrate temperature were calculated for each substrate by subtracting the minimum mean temperature from the maximum mean temperature for each day.

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