

FAST SOLVER FOR LARGE SCALE EDDY CURRENT NON-DESTRUCTIVE  
EVALUATION PROBLEMS

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## ABSTRACT

### FAST SOLVER FOR LARGE SCALE EDDY CURRENT NON-DESTRUCTIVE EVALUATION PROBLEMS

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Eddy current testing plays a very important role in non-destructive evaluations of conducting test samples. Based on Faraday's law, an alternating magnetic field source generates induced currents, called eddy currents, in an electrically conducting test specimen. The eddy currents generate induced magnetic fields that oppose the direction of the inducing magnetic field in accordance with Lenz's law. In the presence of discontinuities in material property or defects in the test specimen, the induced eddy current paths are perturbed and the associated magnetic fields can be detected by coils or magnetic field sensors, such as Hall elements or magneto-resistance sensors. Due to the complexity of the test specimen and the inspection environments, the availability of theoretical simulation models is extremely valuable for studying the basic field/defect interactions in order to obtain a fuller understanding of non-destructive testing phenomena.

Theoretical models of the forward problem are also useful for training and validation of automated defect detection systems. Theoretical models generate defect signatures that are expensive to replicate experimentally. In general, modelling methods can be classified into two categories: analytical and numerical. Although analytical approaches offer closed form solution, it is generally not possible to obtain largely due to the complex sample and defect geometries, especially in three-dimensional space. Numerical modelling has become popular with advances in computer technology and computational methods. However, due to the huge time consumption in the case of large scale problems, accelerations/fast solvers are

needed to enhance numerical models.

This dissertation describes a numerical simulation model for eddy current problems using finite element analysis. Validation of the accuracy of this model is demonstrated via comparison with experimental measurements of steam generator tube wall defects. These simulations generating two-dimension raster scan data typically takes one to two days on a dedicated eight-core PC. A novel direct integral solver for eddy current problems and GPU-based implementation is also investigated in this research to reduce the computational time.

# TABLE OF CONTENTS

<b>LIST OF TABLES . . . . .</b>	<b>vii</b>
<b>LIST OF FIGURES . . . . .</b>	<b>viii</b>
<b>KEY TO SYMBOLS AND ABBREVIATIONS . . . . .</b>	<b>xi</b>
<b>Chapter 1 Introduction . . . . .</b>	<b>1</b>
1.1 Methods of Electromagnetic Non-destructive Evaluation . . . . .	1
1.1.1 Magnetic Flux Leakage Testing . . . . .	1
1.1.2 Eddy Current Testing . . . . .	2
1.1.3 Microwave Non-Destructive Testing . . . . .	3
1.2 Principles of Eddy Current Testing . . . . .	3
1.3 Motivation and Organization . . . . .	6
 <b>Part I Finite Element Method in Eddy Current Non-Destructive Evaluation</b>	 <b>8</b>
<b>Chapter 2 Finite Element Method for Eddy Current Simulation . . . . .</b>	<b>9</b>
2.1 Introduction . . . . .	9
2.2 Problem Statement . . . . .	10
2.3 Formulations for Eddy Current Problems . . . . .	12
2.3.1 Formulations in Eddy Current Free Regions . . . . .	12
2.3.1.1 $\psi$ Formulation . . . . .	13
2.3.1.2 $\vec{A}$ Formulation . . . . .	13
2.3.1.3 $\vec{A}_r$ Formulation . . . . .	14
2.3.2 Formulations in Eddy Current Regions . . . . .	14
2.3.2.1 $\vec{T}, \psi$ Formulation . . . . .	15
2.3.2.2 $\vec{A}^*$ Formulation . . . . .	16
2.3.2.3 $\vec{A}, V$ Formulation . . . . .	16
2.3.2.4 $\vec{A}_r, V$ Formulation . . . . .	17
2.3.3 Coupling of Formulations . . . . .	18
2.3.3.1 Direct Coupling . . . . .	18
2.3.3.2 Coupling $\vec{A}$ to $\psi$ . . . . .	18
2.3.3.3 Coupling $\vec{A}_r$ to $\psi$ . . . . .	18
2.3.3.4 Coupling $\vec{A}$ to $\vec{T}, \psi$ . . . . .	19
2.4 Governing Equations . . . . .	20
2.4.1 $\vec{A}_r, V - \vec{A}_r$ Formulation . . . . .	20
2.4.2 System Equations . . . . .	21
2.5 Modelling of Eddy Current Probes with Ferrite Core . . . . .	23

2.6	Parallelization . . . . .	24
<b>Chapter 3</b>	<b>Application to Steam Generator Tube Inspection . . . . .</b>	<b>25</b>
3.1	Introduction . . . . .	25
3.2	Eddy Current Probes Simulation and Experimental Validations . . . . .	26
3.2.1	Rotating Probe Coil – Pancake Coil Probe . . . . .	27
3.2.2	Rotating Probe Coil – Plus Point Probe . . . . .	28
3.2.3	Array Coils Probe - Array Probes . . . . .	30
3.2.4	Quantitative Comparison between Experimental and Simulation Signals . . . . .	33
3.2.5	Conclusion . . . . .	34
3.3	Simulation Software Features . . . . .	34
3.4	New Probe Design and Simulation . . . . .	35
3.4.1	The Rotating Field Eddy Current Probe Operational Principles and Design . . . . .	35
3.4.2	Simulation Results . . . . .	38
3.4.3	Conclusion . . . . .	40
3.5	Conclusion . . . . .	41
<b>Part II</b>	<b>Direct Integral Solver and Fast Algorithms . . . . .</b>	<b>42</b>
<b>Chapter 4</b>	<b>Moment Method . . . . .</b>	<b>43</b>
4.1	Introduction . . . . .	43
4.1.1	Volume Integral Equation Method . . . . .	43
4.1.1.1	Bounded Eddy Current Problems . . . . .	43
4.1.1.2	Unbounded Eddy Current Problems . . . . .	45
4.1.2	Surface Integral Equation Method . . . . .	53
4.2	Surface Integral Equations . . . . .	54
4.2.1	Electric Field Integral Equation . . . . .	55
4.2.2	Magnetic Field Integral Equation . . . . .	57
4.3	High-order Basis Function . . . . .	58
4.3.1	RWG Basis Function . . . . .	58
4.3.2	High-order RWG Basis Function . . . . .	59
4.4	Moment Method Procedure . . . . .	60
4.5	Singularity of Green's Function . . . . .	61
4.6	Low Frequency Breakdown of SIE . . . . .	63
4.7	Validation . . . . .	65
4.8	Conclusion . . . . .	70
<b>Chapter 5</b>	<b>Fast Algorithms for Integral Equation . . . . .</b>	<b>71</b>
5.1	Matrix Compression Algorithms . . . . .	71
5.1.1	Low-Rank Matrix . . . . .	71
5.1.2	Adaptive Cross-Approximation . . . . .	72
5.1.3	Randomized Algorithm . . . . .	74
5.1.3.1	Interpolative Decomposition . . . . .	74

5.1.3.2	Converting Interpolative Decomposition into Singular Value Decomposition . . . . .	75
5.2	Adaptive Integral Method . . . . .	76
5.2.1	FFT-based Method . . . . .	76
5.2.2	Adaptive Integral Method . . . . .	77
5.3	Fast Multipole Algorithm . . . . .	79
5.3.1	Middle Frequency Regime . . . . .	80
5.3.2	Low Frequency Regime . . . . .	81
5.3.3	Multilevel Implementation . . . . .	84
5.4	Conclusion . . . . .	85
<b>Chapter 6</b>	<b>Direct Integral Solver . . . . .</b>	<b>86</b>
6.1	Matrix Representation for Fast Algorithms . . . . .	86
6.1.1	Single level Matrix Representation . . . . .	86
6.1.2	Multilevel Matrix Representation . . . . .	87
6.2	Direct Integral Solver . . . . .	88
6.3	Analysis of Computation Complexity . . . . .	89
6.3.1	Computational Scalability . . . . .	90
6.3.2	Matrix Compression . . . . .	91
6.4	Conclusion . . . . .	91
<b>Chapter 7</b>	<b>Summary and Future Work . . . . .</b>	<b>93</b>
7.1	Summary . . . . .	93
7.2	Future Work . . . . .	94
7.2.1	Finite Element Method . . . . .	94
7.2.1.1	Refactoring the existing code . . . . .	94
7.2.1.2	Optimization . . . . .	95
7.2.2	Direct Integral Solver . . . . .	95
7.2.2.1	GPU Implementation of the Matrix Compression Method . . . . .	95
7.2.2.2	Optimization of Moment Method Galerkin Testing . . . . .	96
7.2.2.3	Multi-body Problems . . . . .	96
<b>APPENDIX</b>	<b>. . . . .</b>	<b>100</b>
<b>BIBLIOGRAPHY</b>	<b>. . . . .</b>	<b>106</b>

# LIST OF TABLES

Table 3.1	Modelling geometry for pancake coil probe . . . . .	27
Table 3.2	Modelling geometry for plus point probe . . . . .	28
Table 3.3	Modelling geometry for array probes . . . . .	30
Table 3.4	Quantitative comparison of rotating probe coil . . . . .	33
Table 3.5	Quantitative comparison of bobbin probe . . . . .	34
Table 3.6	Quantitative comparison of X-probe . . . . .	34
Table 4.1	Parameters of test experiment No. 1 . . . . .	66
Table 4.2	Parameters of test experiment No. 2 . . . . .	67
Table 6.1	Computation time of matrix $A$ . . . . .	90
Table 6.2	Matrix compression: memory usage and compression time . . . . .	91

## LIST OF FIGURES

Figure 1.1	Magnetic flux leakage testing . . . . .	2
Figure 1.2	Principles of eddy current testing . . . . .	5
Figure 2.1	An arbitrary geometry with closed surface . . . . .	11
Figure 2.2	Modelling method in case of ferrite core . . . . .	24
Figure 3.1	Illusion of steam generator . . . . .	26
Figure 3.2	Impedance of pancake coil probe on a raster scan around the defect	27
Figure 3.3	Illustration of plus point probe . . . . .	28
Figure 3.4	Impedance of plus point probe on a raster scan around the defect (2-D plot) . . . . .	29
Figure 3.5	Impedance of plus point probe on a raster scan around the defect (3-D plot) . . . . .	29
Figure 3.6	Illustration of array probes . . . . .	30
Figure 3.7	Real part of axial channel impedance of array probes . . . . .	31
Figure 3.8	Imaginary part of axial channel impedance of array probes . . . . .	31
Figure 3.9	Real part of circumferential channel impedance of array probes . . . .	32
Figure 3.10	Imaginary part of circumferential channel impedance of array probes	32
Figure 3.11	Principle of rotating field . . . . .	36
Figure 3.12	Rotating field eddy current probe structure . . . . .	38
Figure 3.13	Signal of rotating field eddy current probe with bobbin pickup coil .	39

Figure 3.14	Signal of rotating field eddy current probe with GMR array sensors	39
Figure 3.15	Signals of parametric studies . . . . .	40
Figure 4.1	A conducting body excited by a line conductor carrying current . . .	44
Figure 4.2	Unbounded geometry examples . . . . .	47
Figure 4.3	An arbitrary geometry with closed surface . . . . .	53
Figure 4.4	Illusion of RWG basis function . . . . .	59
Figure 4.5	Vector plot of first-order RWG basis function . . . . .	59
Figure 4.6	Diagram for the measurement of $\Delta Z$ due to a surface breaking slot .	65
Figure 4.7	Variation of coil impedance change with scanning location above an aluminium alloy plate . . . . .	66
Figure 4.8	Geometry of a rotating probe coil inside an inconel steam generator tube with an axial through-wall notch . . . . .	67
Figure 4.9	Variation of coil impedance change with scanning location inside an inconel steam generator tube . . . . .	68
Figure 5.1	Projection of triangular RWG basis onto rectangular grids . . . . .	78
Figure 5.2	Direction from $\vec{r}_n$ to $\vec{r}_m$ broken into three segments: $\vec{d}_1$ , $\vec{D}$ , $\vec{d}_2$ . . .	79
Figure 5.3	Illusions of groups . . . . .	84
Figure 7.1	A two-body surface integral equation problem . . . . .	96
Figure 1	Block diagram of simple operation of steam generator tube simulator software . . . . .	101
Figure 2	Screen-shot showing various commands available in the simulation software . . . . .	102
Figure 3	Analysis screen for the rotating probe coil . . . . .	103

Figure 4	Display of the 1-D coil analysis screen (bobbin probe) . . . . .	104
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## KEY TO SYMBOLS AND ABBREVIATIONS

EM	electromagnetic/electromagnetics
NDE	non-destructive evaluation
NDT	non-destructive testing
PDEs	partial differential equations
MFL	magnetic flux leakage
EC	eddy current
ECT	eddy current testing
PoD	possibility of detection
FE	finite element
FEM	finite element method
SG	steam generator
RPC	rotating probe coil
RoFEC	rotating field eddy current
GMR	giant magneto-resistance
MoM	moment method/method of moments
VIE	volume integral equation
SIE	surface integral equation
BEM	boundary element method
EFIE	electric field integral equation
MFIE	magnetic field integral equation
RWG	Rao-Wilton-Glisson
SVD	singular-value decomposition
CA	cross-approximation
ACA	adaptive cross-approximation

ID	interpolative decomposition
DFT	discrete Fourier transform
FFT	fast Fourier transform
AIM	adaptive integral method
FMA	fast multipole algorithm
MLFMA	multilevel fast multipole algorithm
RRQR	rank-revealing QR

# Chapter 1

## Introduction

Electromagnetic (EM) methods of non-destructive evaluation (NDE), also called non-destructive testing (NDT), comprise a full spectrum of techniques ranging from magnetic flux leakage (DC, static fields) to eddy current (quasi-static frequencies) and microwave (high frequency). In each of these techniques, the underlying physics is fundamentally different as the fields are described by different classes of partial differential equations (PDEs). In the static regime, we deal with elliptic PDEs, whereas in eddy current problems the underlying fields are described by parabolic PDEs and in microwave NDE, we have hyperbolic PDEs. Consequently, this affects the different aspects of NDE including the modelling of forward problem and solution to corresponding inverse problems.

### 1.1 Methods of Electromagnetic Non-destructive Evaluation

#### 1.1.1 Magnetic Flux Leakage Testing

Magnetic flux leakage (MFL) is a magnetic method of NDT that is used to detect corrosion and pitting in steel structures, such as pipelines and storage tanks. The basic principle is to magnetize the steel part using a permanent or electromagnet. At areas where there is corrosion or missing metal, the magnetic field “leaks” from the steel. In an MFL tool, a

magnetic field detector is used to detect the leakage field.

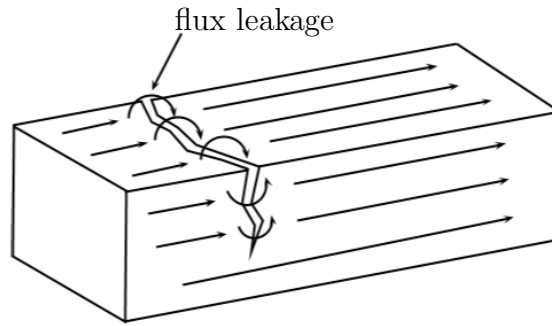


Figure 1.1: *Magnetic flux leakage testing.*

As an MFL tool navigates the pipeline a magnetic circuit is created between the pipe wall and the tool. Brushes typically act as a transmitter of magnetic flux from the tool into the pipe wall. High field MFL tools saturate the pipe wall so that the reluctance is very high. Strategically placed tri-axial Hall effect sensor heads can accurately measure the three-dimensional magnetic flux density vector.

### 1.1.2 Eddy Current Testing

Eddy current (EC) NDE uses EM induction to detect flaws in conductive materials. An alternating current source generates changing magnetic field which interacts with a conducting specimen to induce eddy currents (ECs) in it. The induced ECs and the associated magnetic fields opposes the direction of the inducing current and field. This affects the net flux linking the coil and hence the impedance of the probe coil. Variations in the phase and magnitude of these ECs can be monitored by measuring the terminal impedance of the probe coil. Variations in the electrical conductivity or magnetic permeability of the test object, or the presence of any flaws, will cause a change in ECs and a corresponding change in the phase and amplitude of the measured impedance.

EC testing (ECT) can detect very small cracks on or near the surface of the material, the

surfaces need minimal preparation, and physically complex geometries can be investigated. It is also useful for making electrical conductivity and coating thickness measurements. The testing devices are portable, provide immediate feedback, and are non-contact methods, allowing rapid inspection of the part.

### **1.1.3 Microwave Non-Destructive Testing**

The term microwaves refer to alternating EM waves frequencies in the range from 300 MHz to 100 GHz. Since the penetration of microwaves in good conducting materials is very small, microwave NDT techniques are mainly used for non-metallic materials. The spatial resolution of these techniques depends on the wavelength of the EM wave. In the microwave band of 3-100 GHz, wavelength varies from 100 mm to 3 mm. These techniques have advantages over other NDE methods (such as radiography, ultrasonics and EC) and offer good penetration in non-metallic materials, good resolution and non-contact nature feature of the microwave sensor (antenna).

This dissertation focuses on EC methods of NDT at quasi-static frequencies, where the penetration depth in conducting parts is of the order of millimeters or a few centimeters.

## **1.2 Principles of Eddy Current Testing**

The basic principle underlying ECT can be illustrated with a simple arrangement shown in Figure 1.2a. When a coil carrying an alternating current is brought in close proximity to an electrically conducting test specimen, the alternating magnetic field causes induced currents in the conducting test specimen in accordance with Faraday's law of EM induction.

The induced currents are called ECs since they follow closed circulatory patterns that are similar to eddies found in water bodies. The alternating ECs, in turn, establishes a field whose direction is opposite to that of the original or primary field. Consequently, the net flux linkages associated with the coil decrease. Since the inductance of a coil is defined as the net flux linkages per ampere, the effective inductance of the coil decreases relative to its value if it were to be suspended in air. The presence of ECs in the test specimen also results in a resistive power loss. The effect of this power loss manifests in the form of a small increase in the effective resistance of the coil. An exaggerated view of the changes in the terminal characteristics of the coil is shown in Figure 1.2b, where the variation in resistance and inductance is plotted in the impedance plane. When a flaw or inhomogeneity, whose conductivity and/or permeability differ from that of the host specimen, is encountered, the current distribution is altered. Consequently, the impedance of the coil is different relative to the value observed for flawless regions, as shown in Figure 1.2b. Systems that are capable of monitoring the changes in impedance of probe coil can, therefore, be used to detect flaws in a specimen.

ECs exhibit a unique phenomenon known as the “skin effect” which causes the current density at a particular depth to decrease with an increase in the frequency of excitation. This result can be derived very simply from the solution of a plane wave propagating into a conducting half plane. Skin depth ( $\delta$ ), also called standard depth of penetration, is defined as the depth at which EC density has decreased to reciprocal of Euler-Mascheroni constant of the surface value. The skin depth can be computed as follows:

$$\delta = \frac{1}{\sqrt{\pi f \mu \sigma}} \quad (1.1)$$

where  $f$  is the excitation frequency of the circuit,  $\mu$  is the magnetic permeability of the target material, and  $\sigma$  is the electrical conductivity of the target material. The skin depth is often used as a guideline to select the excitation frequency for testing a given specimen. The variations in coil impedance caused by discontinuities in the test specimen are often very small in comparison with the quiescent value of the coil impedance. The detection and measurement of the small changes are often made possible with the use of bridge circuits [1,2].

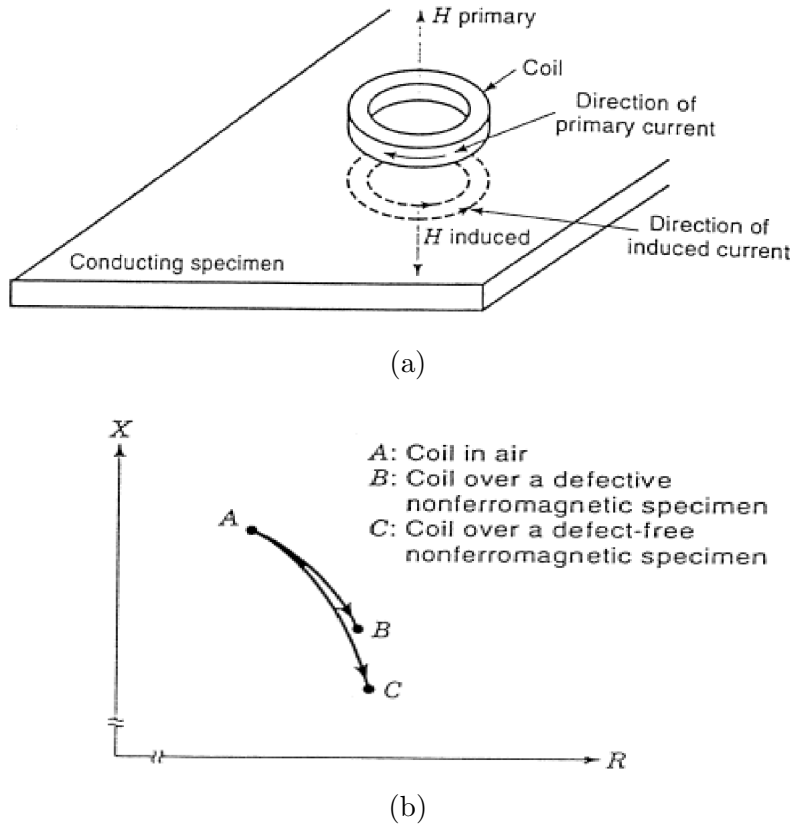


Figure 1.2: *Principles of eddy current testing* [1,2]: (a) eddy current generation and flow in a conducting specimen; (b) impedance-plane trajectory of a coil over a conducting test specimen.

Factors that influence the EC field, and therefore coil impedance are:

- separation between the coil and specimen surface, called lift-off ;

- electrical conductivity of the specimen;
- magnetic permeability of the specimen;
- frequency of the AC;
- design of the EC probe coil;
- specimen geometry factors;
- discontinuities, such as cracks, corrosion, pitting.

The central problem in NDT is flaw detection and characterization. Successful detection and characterization of flaws can benefit from a clear understanding of field/flaw interaction and effects of various probe and operational parameters. Correlations between operational parameters and signal features help in signal processing design procedures to compensate for these effects and eliminate undesired responses. Correlations between defect parameters and signal features can help in designing more accurate defect detection and characterization algorithms.

## 1.3 Motivation and Organization

Theoretical models of the forward problem are necessary for studying the basic field/flaw interactions in order to obtain a full understanding of NDT phenomena. Theoretical models generate defect signatures that are expensive to replicate experimentally. The model provides solutions to the forward problem under controlled variation of experimental parameters. Such studies are important to use the technology effectively and maximize the possibility of detection (PoD) of critical flaws.

Modelling methods can be classified into two categories: analytical and numerical. Although analytical approaches offer closed form solutions, it is generally not possible to obtain an analytical solution in the case of complex sample and defect geometries, especially in three-dimensional (3-D) space. Numerical modelling has become popular with advances in computer technology and computation methods. However, due to the huge time consumption for large scale problems, accelerations/fast solvers are needed for obtaining practical solutions using numerical models. This is the motivation for the work carried out in this dissertation. This dissertation is organized into two parts: part I. finite element methods in EC NDE, part II. direct integral solver and fast algorithms. In the first part, the finite element method is introduced, and the application to steam generator inspection and new EC probe design are presented. In the second part, several fast algorithms for microwave problems are introduced, and the fast algorithms and a novel direct integral solver for large scale EM NDE problems are investigated.

# **Part I**

## **Finite Element Method in Eddy Current Non-Destructive Evaluation**

# Chapter 2

## Finite Element Method for Eddy Current Simulation

### 2.1 Introduction

Among various numerical modelling methods, the finite element method (FEM) is one of the most commonly used techniques. The reasons for this are:

- FEM has well-established and relatively simple formulations;
- results in sparse and banded matrices that can be efficiently stored and solved.

The fundamental principle underlying finite element (FE) analysis is the replacement of a continuous domain by a number of sub-domains (elements) in which the unknown function is represented by simple interpolation functions with unknown coefficients. A set of algebraic equations are obtained by applying the Ritz or Galerkin method [3]. Finally, solution of the boundary value problem is achieved by solving the system of equations.

Since it was first proposed in the 1940s, the FEM has been developed and applied extensively to structural analysis and other engineering fields. The FEM was popularized in the area of EM NDT by Lord etc. [4–7]. Lord and Palanisamy [8, 9] developed a 2-D axisymmetric model to study EC phenomena in steam generator (SG) tube inspection for the nuclear power industry. Over the past five decades, many researchers have developed FE models, such as the

one reported in [10], for the 3-D simulation of EC problems. There are commercial software packages, such as Multiphysics<sup>®</sup> produced by COMSOL, available for 3-D FE simulation of general EC problems.

## 2.2 Problem Statement

In frequency domain, the Faraday's law and Ampère's law are given by

$$\nabla \times \vec{E} = -j\omega\vec{B} \quad (2.1)$$

$$\nabla \times \vec{H} = \vec{J}^{nc} + \vec{J} + j\omega\vec{D} \quad (2.2)$$

with the constitutive relations

$$\vec{B} = \mu\vec{H} \quad (2.3)$$

$$\vec{D} = \epsilon\vec{E} \quad (2.4)$$

$$\vec{J} = \sigma\vec{E} \quad (2.5)$$

and the continuity equation is given by

$$\nabla \cdot \vec{J} + j\omega\rho = 0 \quad (2.6)$$

where

$\vec{E}$  – electric field intensity

$\vec{D}$  – electric flux density

$\vec{H}$  – magnetic field intensity

$\vec{B}$  – magnetic flux density

$\vec{J}^{inc}$  – source current density

$\vec{J}$  – conduction current density

$\rho$  – electric charge density

$\epsilon$  – permittivity

$\mu$  – permeability

$\sigma$  – conductivity

In general, the EC problem can be represented in terms of a conducting domain  $\Omega_c$  and surrounding non-conducting domain  $\Omega_n$ , as shown in Figure 2.1.

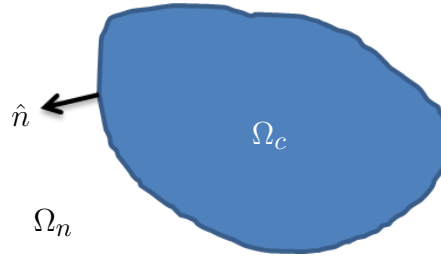


Figure 2.1: *An arbitrary geometry with closed surface.*

For EC problems, by ignoring the displacement current, the quasi-static regime equations are represented as:

in  $\Omega_n$ ,

$$\nabla \times \vec{H} = \vec{J}^{inc} \quad (2.7)$$

in  $\Omega_c$ ,

$$\nabla \times \vec{H} = \sigma \vec{E} \quad (2.8)$$

$$\nabla \times \vec{E} = -j\omega\mu\vec{H} \quad (2.9)$$

$$\nabla \cdot \vec{J} = 0 \quad (2.10)$$

From equation (2.7), we can represent the magnetic field due to the source current as,

$$\nabla \times \vec{H}_s = \vec{J}^{inc} \quad (2.11)$$

$$\vec{H}_s(\vec{r}) = \iiint \vec{J}^{inc}(\vec{r}') \times \nabla \frac{1}{|\vec{r} - \vec{r}'|} dV' \quad (2.12)$$

## 2.3 Formulations for Eddy Current Problems

There are several choices of formulations in terms of scalar and vector potentials for EC problems [11]. The purpose of this section is to review the commonly used formulations of EC problems. Appropriate formulation is selected based on the material property of the test specimen, the quantity of interest, the limitations of computational resources and so on. These formulations in both EC free regions and EC regions are introduced as following.

### 2.3.1 Formulations in Eddy Current Free Regions

In the EC free region, the quasi-static magnetic field can be represented in two ways: 1. a magnetic scalar potential  $\psi$ ; 2. a magnetic vector potential  $\vec{A}$ .

### 2.3.1.1 $\psi$ Formulation

From equations (2.7) and (2.11), we have

$$\vec{H} = \vec{H}_s - \nabla\psi \quad (2.13)$$

and using the magnetic Gauss' law ( $\nabla \cdot \vec{B} = 0$ ), we obtain

$$\nabla \cdot (\mu \nabla \psi) = \nabla \cdot (\mu \vec{H}_s) \quad (2.14)$$

with the boundary conditions

$$\psi = 0 \quad \text{or} \quad \hat{n} \cdot (\mu \nabla \psi) = \hat{n} \cdot (\mu \vec{H}_s) \quad (2.15)$$

### 2.3.1.2 $\vec{A}$ Formulation

Considering the magnetic Gauss' law, we can represent magnetic flux density as

$$\vec{B} = \nabla \times \vec{A} \quad (2.16)$$

and using equations (2.3) and (2.7), we obtain

$$\nabla \times \left( \frac{1}{\mu} \nabla \times \vec{A} \right) = \nabla \times \vec{H}_s \quad (2.17)$$

with the boundary conditions

$$\hat{n} \times \vec{A} = 0 \quad \text{or} \quad \hat{n} \times \left( \frac{1}{\mu} \nabla \times \vec{A} \right) = \hat{n} \times \vec{H}_s \quad (2.18)$$

### 2.3.1.3 $\vec{A}_r$ Formulation

To represent the source current on the right-hand side only, we introduce the reduced magnetic vector potential  $\vec{A}_r$ . Considering the magnetic Gauss' law, we can represent magnetic flux density as

$$\vec{B} = \mu_0 \vec{H}_s + \nabla \times \vec{A}_r \quad (2.19)$$

and using equations (2.3) and (2.7), we obtain

$$\nabla \times \left( \frac{1}{\mu} \nabla \times \vec{A}_r \right) = \nabla \times \vec{H}_s - \nabla \times \left( \frac{\mu_0}{\mu} \vec{H}_s \right) \quad (2.20)$$

with the boundary conditions

$$\hat{n} \times \vec{A}_r = 0 \quad \text{or} \quad \hat{n} \times \left( \frac{1}{\mu} \nabla \times \vec{A}_r \right) = 0 \quad (2.21)$$

## 2.3.2 Formulations in Eddy Current Regions

In the EC region, the EM field can be represented by two vector potentials: 1. a current vector potential  $\vec{T}$ ; 2. a magnetic vector potential  $\vec{A}$ . A magnetic scalar potential  $\psi$  is necessary to be employed with the the current vector potential representation; however, an electric scalar potential  $V$  can be, but not have to be, introduced with the magnetic vector potential representation.

### 2.3.2.1 $\vec{T}, \psi$ Formulation

From equation (2.8), we represent magnetic field as

$$\vec{H} = \vec{H}_s + \vec{T} - \nabla\psi \quad (2.22)$$

thus, electric field and the induced ECs are

$$\vec{E} = \frac{1}{\sigma} \nabla \times \vec{H}_s + \frac{1}{\sigma} \nabla \times \vec{T} \quad (2.23)$$

$$\vec{J} = \nabla \times \vec{T} \quad (2.24)$$

and using the magnetic Gauss' law, equations (2.3), (2.5) and (2.8), we obtain

$$\nabla \times \left( \frac{1}{\sigma} \nabla \times \vec{T} \right) + j\omega\mu\vec{T} - j\omega\mu\nabla\psi = -\nabla \times \left( \frac{1}{\sigma} \nabla \times \vec{H}_s \right) - j\omega\mu\vec{H}_s \quad (2.25)$$

$$\nabla \cdot (\mu\vec{T} - \mu\nabla\psi) = -\nabla \cdot (\mu\vec{H}_s) \quad (2.26)$$

with the boundary conditions

$$\psi = \psi_0 = \text{constant} \quad \text{or} \quad \hat{n} \cdot (\mu\vec{T} - \mu\nabla\psi) = -\hat{n} \cdot (\mu\vec{H}_s) \quad (2.27)$$

$$\hat{n} \times \vec{T} = 0 \quad \text{or} \quad \hat{n} \times \left( \frac{1}{\sigma} \nabla \times \vec{T} \right) = -\hat{n} \times \left( \frac{1}{\sigma} \nabla \times \vec{H}_s \right) \quad (2.28)$$

### 2.3.2.2 $\vec{A}^*$ Formulation

Considering the magnetic Gauss' law and equation (2.9), we can represent magnetic flux density and the electric field as

$$\vec{B} = \nabla \times \vec{A}^* \quad (2.29)$$

$$\vec{E} = -j\omega\vec{A}^* \quad (2.30)$$

and using equations (2.3), (2.5) and (2.8), we obtain

$$\nabla \times \left( \frac{1}{\mu} \nabla \times \vec{A}^* \right) + j\omega\sigma\vec{A}^* = 0 \quad (2.31)$$

with the boundary conditions

$$\hat{n} \times \vec{A}^* = 0 \quad \text{or} \quad \hat{n} \times \left( \frac{1}{\mu} \nabla \times \vec{A}^* \right) = \hat{n} \times \vec{H}_s \quad (2.32)$$

### 2.3.2.3 $\vec{A}, V$ Formulation

Similarly to  $\vec{A}^*$  formulation, considering the magnetic Gauss' law and equation (2.9), we can represent magnetic flux density and the electric field as

$$\vec{B} = \nabla \times \vec{A} \quad (2.33)$$

$$\vec{E} = -j\omega\vec{A} - \nabla V \quad (2.34)$$

and using equations (2.3), (2.5) and (2.8), we obtain

$$\nabla \times \left( \frac{1}{\mu} \nabla \times \vec{A} \right) + j\omega\sigma\vec{A} + \sigma\nabla V = 0 \quad (2.35)$$

with the boundary conditions

$$\hat{n} \times \vec{A} = 0 \quad \text{or} \quad \hat{n} \times \left( \frac{1}{\mu} \nabla \times \vec{A} \right) = \hat{n} \times \vec{H}_s \quad (2.36)$$

$$V = V_0 = \text{constant} \quad \text{or} \quad \hat{n} \cdot (j\omega\sigma\vec{A} + \sigma\nabla V) = 0 \quad (2.37)$$

#### 2.3.2.4 $\vec{A}_r, V$ Formulation

Similarly to  $\vec{A}, V$  formulation, considering the magnetic Gauss' law and equation (2.9), we can represent magnetic flux density and the electric field as

$$\vec{B} = \mu_0 \vec{H}_s + \nabla \times \vec{A}_r \quad (2.38)$$

$$\vec{E} = -j\omega\vec{A}_s - j\omega\vec{A}_r - \nabla V \quad (2.39)$$

and using equations (2.3), (2.5) and (2.8), with  $\vec{A}_s$  shown in equation (2.54), we obtain

$$\nabla \times \left( \frac{1}{\mu} \nabla \times \vec{A}_r \right) + j\omega\sigma\vec{A}_r + \sigma\nabla V = -j\omega\sigma\vec{A}_s - \nabla \times \left( \frac{\mu_0}{\mu} \vec{H}_s \right) \quad (2.40)$$

with the boundary conditions

$$\hat{n} \times \vec{A}_r = -\hat{n} \times \vec{A}_s \quad \text{or} \quad \hat{n} \times \left( \frac{1}{\mu} \nabla \times \vec{A}_r \right) = -\hat{n} \times \left( \frac{\mu_0}{\mu} \vec{H}_s \right) \quad (2.41)$$

$$V = V_0 = \text{constant} \quad \text{or} \quad \hat{n} \cdot (j\omega\sigma\vec{A}_r + \sigma\nabla V) = -\hat{n} \cdot (j\omega\sigma\vec{A}_s) \quad (2.42)$$

## 2.3.3 Coupling of Formulations

### 2.3.3.1 Direct Coupling

The coupling of corresponding quantities at the interface between non-conductive domain and conductive domain is very important to enforce the underling physics. For certain formulations as discussed in sections 2.3.1 and 2.3.2, we can simply set each quantity equal to each other directly at the interfaces, such as  $\vec{A}^*-\vec{A}$ ;  $\vec{A}, V-\vec{A}$ ;  $\vec{A}_r, V-\vec{A}_r$  and  $\vec{T}, \psi-\psi$ .

### 2.3.3.2 Coupling $\vec{A}$ to $\psi$

If we split the non-conductive domain  $\Omega_n$  into two sub-domains  $\Omega_A, \Omega_\psi$  and represent each in terms of  $\vec{A}$  and  $\psi$  respectively, for  $\Omega_A, \Omega_\psi \in \Omega_n$ , on the interface  $\Gamma_{A\psi}$  we have

$$\hat{n} \times \left( \frac{1}{\mu} \nabla \times \vec{A} \right) = \hat{n} \times \vec{H}_s - \hat{n} \times \nabla \psi \quad (2.43)$$

$$\hat{n} \cdot \left( \frac{1}{\mu} \nabla \times \vec{A} \right) = \hat{n} \cdot \vec{H}_s - \hat{n} \cdot \nabla \psi \quad (2.44)$$

### 2.3.3.3 Coupling $\vec{A}_r$ to $\psi$

As in section 2.3.3.2, if we split the non-conductive domain  $\Omega_n$  into to sub-domains  $\Omega_{A_r}, \Omega_\psi$  and represent each in terms of  $\vec{A}_r$  and  $\psi$  respectively, for  $\Omega_{A_r}, \Omega_\psi \in \Omega_n$ , on the interface  $\Gamma_{A_r\psi}$  we have

$$\hat{n} \times \left( \frac{1}{\mu} \nabla \times \vec{A}_r \right) = -\hat{n} \times \nabla \psi \quad (2.45)$$

$$\hat{n} \cdot \left( \frac{1}{\mu} \nabla \times \vec{A}_r \right) = -\hat{n} \cdot \nabla \psi \quad (2.46)$$

### 2.3.3.4 Coupling $\vec{A}$ to $\vec{T}, \psi$

If we split the conductive domain  $\Omega_c$  into two sub-domains  $\Omega_A, \Omega_T$  and represent  $\Omega_A$  in terms of  $\vec{A}$  and  $\Omega_T$  in terms of  $(\vec{T}, \psi)$  respectively, for  $\Omega_A, \Omega_T \in \Omega_c$ , on the interface  $\Gamma_{AT}$  we have

$$\hat{n} \times \left( \frac{1}{\mu} \nabla \times \vec{A} \right) = \hat{n} \times \vec{H}_s + \hat{n} \times \vec{T} - \hat{n} \times \nabla \psi \quad (2.47)$$

$$\hat{n} \cdot \left( \frac{1}{\mu} \nabla \times \vec{A} \right) = \hat{n} \cdot \vec{H}_s + \hat{n} \cdot \vec{T} - \hat{n} \cdot \nabla \psi \quad (2.48)$$

As discussed above, due to the challenges of coupling different quantities on the interfaces, the most commonly used formulations is  $\vec{A}, V-\vec{A}$  (or  $\vec{A}_r, V-\vec{A}_r$ ) and  $\vec{T}, \psi-\psi$  formulation. The major advantage of  $\vec{T}, \psi-\psi$  formulation over  $\vec{A}, V-\vec{A}$  (or  $\vec{A}_r, V-\vec{A}_r$ ) formulation is that a scalar quantity  $\psi$  is used in the non-conducting domain. Thus, the number of the unknowns in  $\vec{T}, \psi-\psi$  formulation is normally less than that of  $\vec{A}, V-\vec{A}$  (or  $\vec{A}_r, V-\vec{A}_r$ ) formulation. From equation (2.24), the  $\vec{T}, \psi-\psi$  formulation is straight forward for calculating the induced ECs in conducting domain, however, from equation (2.33), the  $\vec{A}, V-\vec{A}$  formulation is more efficient for calculating the magnetic flux density in both conducting and non-conducting domain. Therefore, if the quantity of interest is induced ECs, the  $\vec{T}, \psi-\psi$  formulation should be used, and if the quantity of interest is magnetic flux density, the  $\vec{A}, V-\vec{A}$  formulation is a better choice.

## 2.4 Governing Equations

### 2.4.1 $\vec{A}_r, V - \vec{A}_r$ Formulation

Among those formulations as discussed in section 2.3, the  $\vec{A}, V - \vec{A}$  formulation has been used widely in EC problems [12–15]:

$$\nabla \times \nu \nabla \times \vec{A} + j\omega\sigma\vec{A} + \sigma\nabla V = \vec{J}_s \quad (2.49)$$

$$\nabla \cdot (j\omega\sigma\vec{A} + \sigma\nabla V) = 0 \quad (2.50)$$

where  $\nu$  is the reluctivity,  $\sigma$  the conductivity,  $\omega$  the angular frequency, and  $\vec{J}_s$  the source current density.  $\vec{A}$  is the magnetic vector potential in the whole solution domain whereas the electric scalar potential  $V$  is used only in conductors. The formulation requires generating mesh for excitation coil together with the test sample, thus, the re-meshing will be needed with this formulation when the excitation coil has relative motion to the sample. Re-meshing should be avoided because: it is difficult and cumbersome; and it results in large computation error which may be larger than the signal.

The  $\vec{A}_r, V - \vec{A}_r$  formulation has been proposed to solve the above problem [11, 16–19]. In this formulation, the magnetic field intensity  $\vec{H}$  is decomposed into  $\vec{H}_s$  and  $\vec{H}_r$  where  $\vec{H}_s$  is the field intensity in air due to excitation current and  $\vec{H}_r$  is the field intensity due to induced and/or magnetization currents. Correspondingly, the magnetic vector potential  $\vec{A}$  is decomposed into  $\vec{A}_s$  and  $\vec{A}_r$ , where  $\vec{A}_s$  is the vector potential in air due to excitation current and  $\vec{A}_r$  is the vector potential due to induced and/or magnetization currents, as shown in

following equations:

$$\nabla \times \nu \nabla \times \vec{A}_r + j\omega\sigma\vec{A}_r + \sigma\nabla V = \nabla \times \vec{H}_s - \nu_r \nabla \times \vec{H}_s - j\omega\sigma\vec{A}_s \quad (2.51)$$

$$\nabla \cdot (j\omega\sigma\vec{A}_r + \sigma\nabla V) = -\nabla \cdot j\omega\sigma\vec{A}_s \quad (2.52)$$

where,  $\nu_r$  is the reciprocal of relative permeability. From Biot-Savart's law, we have,

$$\vec{H}_s = \nu_0 \nabla \times \vec{A}_s \quad (2.53)$$

$$\vec{A}_s = \frac{\mu_0}{4\pi} \iiint_{\Omega_s} \frac{\vec{J}_s(\vec{r}')}{|\vec{r} - \vec{r}'|} d\Omega' \quad (2.54)$$

The excitation is described by  $\vec{H}_s$  and  $\vec{A}_s$  only, which avoids mesh generation for the coil. This has many advantages [11, 16] including, eliminating the need for re-meshing different coil positions in EC NDE simulation. As a consequence, the resultant system matrix remains unchanged at different coil positions.

## 2.4.2 System Equations

By choosing proper shape functions for the quantities  $\vec{A}_r$  and  $V$ , we obtain

$$V(x, y, z) = \sum_i N_i(x, y, z) V_i \quad (2.55)$$

$$\vec{A}_r(x, y, z) = \sum_i \vec{N}_i(x, y, z) A_{r,i} \quad (2.56)$$

Applying Galerkin method on equations (2.51) and (2.52), we have

$$\begin{aligned} \sum_i \iiint_{\Omega} \vec{N}_i \cdot \left( \nabla \times \nu \nabla \times \vec{A}_r + j\omega\sigma \vec{A}_r + \sigma \nabla V \right) d\Omega \\ = \sum_i \iiint_{\Omega} \vec{N}_i \cdot \left[ (1 - \nu_r) \nabla \times \vec{H}_s - j\omega\sigma \vec{A}_s \right] d\Omega \end{aligned} \quad (2.57)$$

$$\sum_i \iiint_{\Omega} N_i \left[ \nabla \cdot (j\omega\sigma \vec{A}_r + \sigma \nabla V) \right] d\Omega = \sum_i \iiint_{\Omega} N_i \left( -\nabla \cdot j\omega\sigma \vec{A}_s \right) d\Omega \quad (2.58)$$

As a result, for the  $i$ -th equation,

$$\begin{aligned} \iiint_{\Omega} \left[ \nu (\nabla \times \vec{N}_i) \cdot (\nabla \times \vec{A}_r) + j\omega\sigma \vec{N}_i \cdot \vec{A}_r + \sigma \vec{N}_i \cdot \nabla V \right] d\Omega - \\ \iint_{\partial\Omega} \left[ \nu (\hat{n} \times \vec{N}_i) \cdot (\nabla \times \vec{A}_r) \right] d\Gamma \\ = \iiint_{\Omega} \vec{N}_i \cdot \left[ (1 - \nu_r) \nabla \times \vec{H}_s - j\omega\sigma \vec{A}_s \right] d\Omega \end{aligned} \quad (2.59)$$

$$\begin{aligned} \iiint_{\Omega} \left[ j\omega\sigma \nabla N_i \cdot \vec{A}_r + \sigma \nabla N_i \cdot \nabla V \right] d\Omega - \\ \iint_{\partial\Omega} \left[ j\omega\sigma \hat{n} N_i \cdot \vec{A}_r + \sigma \hat{n} N_i \cdot \nabla V \right] d\Gamma \\ = \iiint_{\Omega} N_i \left( \nabla \cdot j\omega\sigma \vec{A}_s \right) d\Omega \end{aligned} \quad (2.60)$$

Thus, we get a linear system equations as following:

$$[G]_{n \times n} [x]_n = [b]_n \quad (2.61)$$

$$\begin{bmatrix} G^A & G^{AV} \\ G^{VA} & G^V \end{bmatrix} \begin{bmatrix} x^A \\ x^V \end{bmatrix} = \begin{bmatrix} b^A \\ b^V \end{bmatrix} \quad (2.62)$$

where,

$$G_{ij}^A = \iiint_{\Omega} \left[ \nu (\nabla \times \vec{N}_i) \cdot (\nabla \times \vec{N}_j) + j\omega\sigma \vec{N}_i \cdot \vec{N}_j \right] d\Omega - \iint_{\partial\Omega} \nu (\hat{n} \times \vec{N}_i) \cdot (\nabla \times \vec{N}_j) d\Gamma \quad (2.63)$$

$$G_{ij}^{AV} = \iiint_{\Omega} \sigma \vec{N}_i \cdot \nabla N_j d\Omega \quad (2.64)$$

$$G_{ij}^{VA} = \iiint_{\Omega} j\omega\sigma \nabla N_i \cdot \vec{N}_j d\Omega - \iint_{\partial\Omega} j\omega\sigma \hat{n} N_i \cdot \vec{N}_j d\Gamma \quad (2.65)$$

$$G_{ij}^V = \iiint_{\Omega} \sigma \nabla N_i \cdot \nabla N_j d\Omega - \iint_{\partial\Omega} \sigma \hat{n} N_i \cdot \nabla N_j d\Gamma \quad (2.66)$$

$$b_i^A = \iiint_{\Omega} \vec{N}_i \cdot \left[ (1 - \nu_r) \nabla \times \vec{H}_s - j\omega\sigma \vec{A}_s \right] d\Omega \quad (2.67)$$

$$b_i^V = \iiint_{\Omega} N_i \left( \nabla \cdot j\omega\sigma \vec{A}_s \right) d\Omega \quad (2.68)$$

## 2.5 Modelling of Eddy Current Probes with Ferrite Core

When a probe has a ferrite core, then the situation is more challenging. If only the coil winding is separated from the FE mesh, then the ferrite core needs to be meshed with the test sample. In this case, re-meshing is necessary for each coil/core position and the method discussed in section 2.4 cannot be directly applied. A new modelling method/scheme was developed to solve this problem [20]. The meshes of the test sample and the ferrite core are generated separately in this method. The coil is however not meshed. Decomposing the magnetic field into three parts:  $\vec{H} = \vec{H}_{coil} + \vec{H}_{core} + \vec{H}_{sample}$ , where  $\vec{H}_{coil}$ ,  $\vec{H}_{core}$ , and  $\vec{H}_{sample}$  are magnetic field intensities due to coil, core, and test sample, respectively, as shown in Figure 2.2, this problem can be solved by following procedure:

- in the core domain, use  $\vec{H}_{coil}$  as excitation and compute  $\vec{H}_{core}$ ; in sample domain, use it as excitation to compute  $\vec{H}_{sample}$ ;
- if the change of coil impedance calculated from the total field ( $\vec{H}_{coil} + \vec{H}_{core} + \vec{H}_{sample}$ ) converges a certain value, exit the iteration;
- otherwise, in core domain, use  $(\vec{H}_{coil} + \vec{H}_{sample})$  as excitation to compute  $\vec{H}_{core}$ , and repeat the above step.

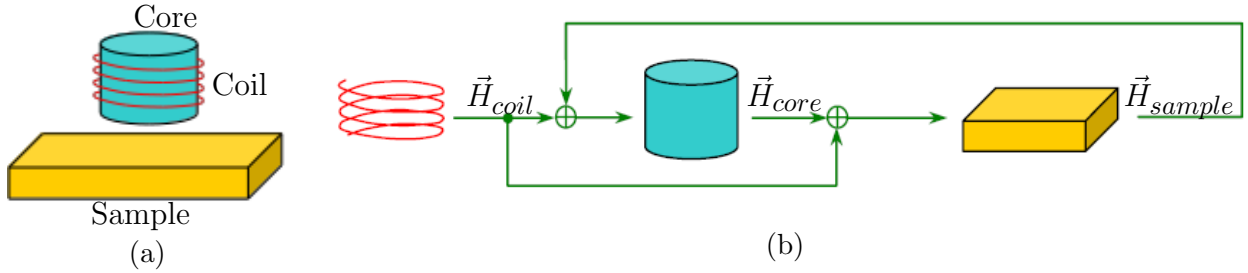


Figure 2.2: *Modelling method in case of ferrite core* [20]: (a) configuration; (b) illustration of the solving procedures.

## 2.6 Parallelization

Typical ECT problems involve multi-position scan. The total number of scanning positions varies from a few tens (for a typical 1-D scan) to hundreds (for a typical 2-D scan) or even thousands for a high resolution scan. By applying the  $\vec{A}_r, V - \vec{A}_r$  formulation and the technique described in section 2.5, calculations in each scanning position is independent of other scanning positions. Using this property, the calculation of multiple positions can be conducted in parallel.

# Chapter 3

## Application to Steam Generator Tube Inspection

### 3.1 Introduction

Heat exchanger tubes are used in a variety of industries, including power stations, petrochemical plants, oil refineries, and air conditioning and refrigeration units for transferring heat to the fluid circulating outside the tube. An SG is a typical heat exchanger used in nuclear power plants, as shown in Figure 3.1 [21]. Steam generators (SGs) transfer heat from the primary loop to the secondary loop feed water circulating on the outside of the tubes to produce steam that drives the turbines.

It is critical that the radioactive primary coolant does not leak into the secondary side. The SG tubes are continuously exposed to harsh environmental conditions including high temperatures, pressures, and material interactions resulting in various types of degradation mechanisms such as mechanical wear between tube and tube support plates, outer diameter stress corrosion cracking, pitting, volumetric changes, primary water stress corrosion cracking, and inter-granular attack. These flaws can result in tube thinning and/or development of multiple crack-like flaws, thereby increasing the risk of contaminating the steam on the secondary side. Consequently the SG tubes in nuclear power plants are required to be inspected periodically for degradation. EC inspection has proved to be a fast and

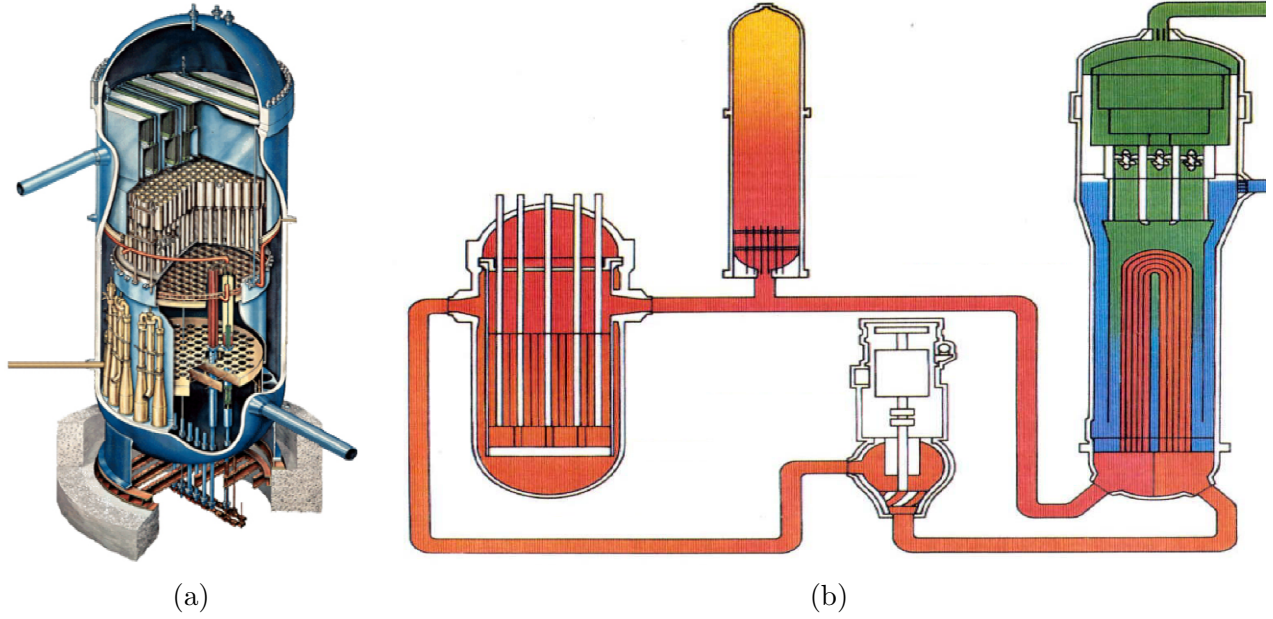


Figure 3.1: *Illusion of steam generator* [21]: (a) steam generator; (b) steam generator in nuclear power plant.

effective way to detect and size most degradation mechanisms that occur in SGs.

## 3.2 Eddy Current Probes Simulation and Experimental Validations

Theoretical models simulating EC inspection are very valuable in evaluating performance of probes in critical situations and also understanding the effect of different variabilities on the probe signal. However, a theoretical model is useful only if it is validated by comparing with experimental measurements. The three dimensional FE model was used to simulate EC SG tube inspection using a number of commercially available probes. The simulation geometry was chosen to represent the calibration standard samples. The calibrated signals were compared with experimental signals. Typical results from three types of probes, namely, the pancake coil probe, plus point probe and array probes are presented as following.

### 3.2.1 Rotating Probe Coil – Pancake Coil Probe

An unshielded 0.115 inch diameter pancake coil probe with ferrite core was modelled and inspection of a tube with axial notch using the probe was simulated [22]. The geometrical parameters for the tube and notch are summarized in Table 3.1.

Geometry	Tube with Defect
Tube outer diameter	0.877"
Tube inner diameter	0.775"
Defect type	Axial notch
Defect depth	63% Outer diameter (OD)
Defect length	0.501"
Defect width	0.006"

Table 3.1: Modelling geometry for pancake coil probe

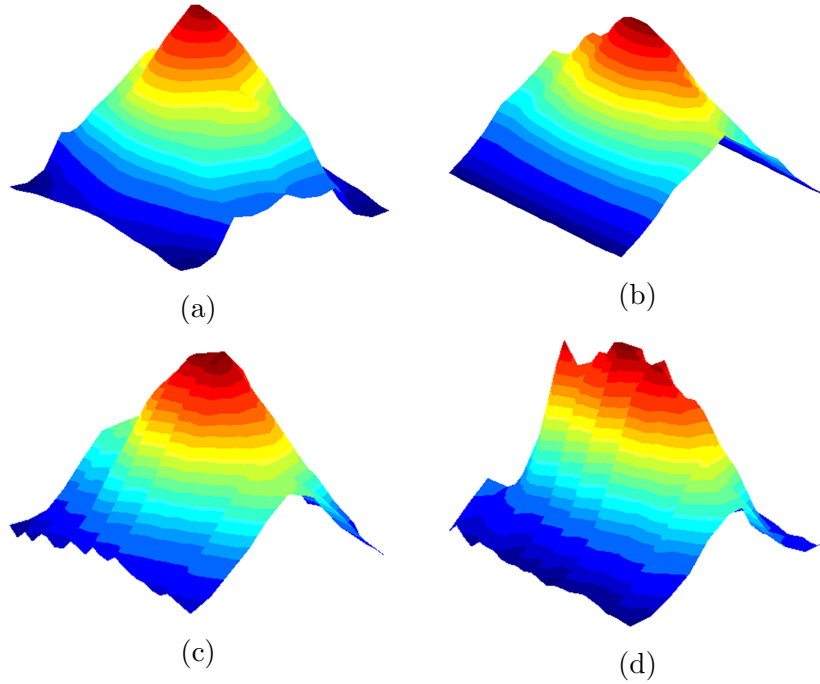


Figure 3.2: *Impedance of pancake coil probe on a raster scan around the defect:* (a) real part of simulation signal; (b) imaginary part of simulation signal; (c) real part of experimental signal; (d) imaginary part of experimental signal.

The simulations were carried out at 100 kHz frequency and the normalized signal from a

two dimensional scan was compared with experimental measurements. Comparison of the model predicted signals and experimental signals (real and imaginary parts of probe coil impedance) are shown in Figure 3.2.

### 3.2.2 Rotating Probe Coil – Plus Point Probe

Inspection of a tube with axial notch using a plus-point probe with ferrite core was simulated. An illustration of plus-point probe is shown in Figure 3.3, and the geometrical parameters of the tube and notch are summarized in Table 3.2.

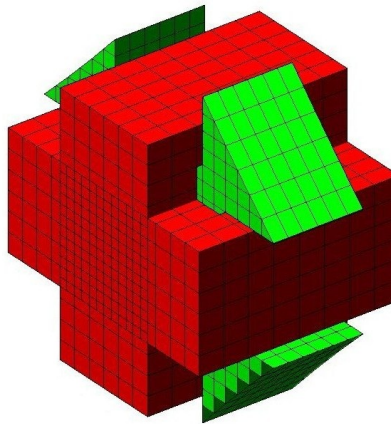


Figure 3.3: *Illustration of plus point probe.*

<b>Geometry</b>	<b>Tube with Defect</b>
Tube outer diameter	0.877"
Tube inner diameter	0.775"
<b>Defect type</b>	<b>Axial notch</b>
Defect depth	100% Through wall (OD)
Defect length	0.500"
Defect width	0.005"

Table 3.2: Modelling geometry for plus point probe

The simulations were carried out at 300 kHz frequency and signals from a two dimensional

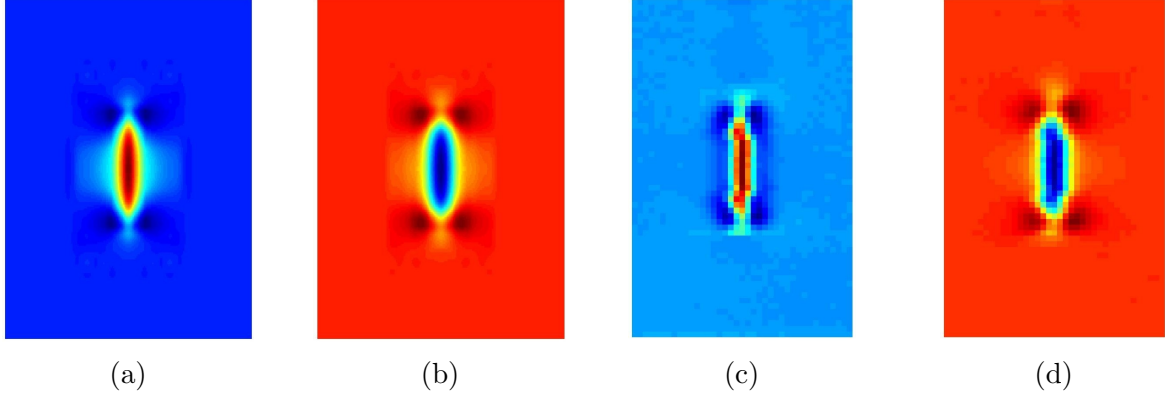


Figure 3.4: *Impedance of plus point probe on a raster scan around the defect (2-D plot):* (a) real part of simulation signal; (b) imaginary part of simulation signal; (c) real part of experimental signal; (d) imaginary part of experimental signal.

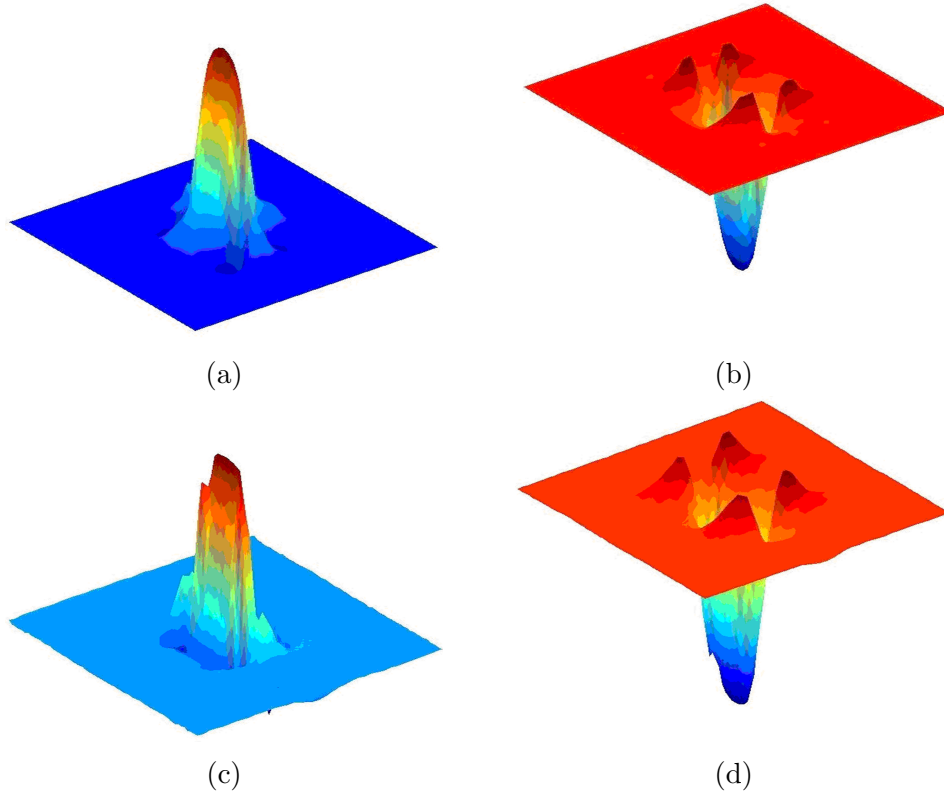


Figure 3.5: *Impedance of plus point probe on a raster scan around the defect (3-D plot):* (a) real part of simulation signal; (b) imaginary part of simulation signal; (c) real part of experimental signal; (d) imaginary part of experimental signal.

scan around the defect were calculated. Comparison of the model predicted signals and experimental signals (real and imaginary parts of probe coil impedance) are shown in

Figure 3.4 (2-D plot) and Figure 3.5 (3-D plot).

### 3.2.3 Array Coils Probe - Array Probes

Inspection of a tube with axial notch using array probes, as shown in Figure 3.6, was modelled. The geometrical parameters for the tube and defect are as shown in Table 3.3.

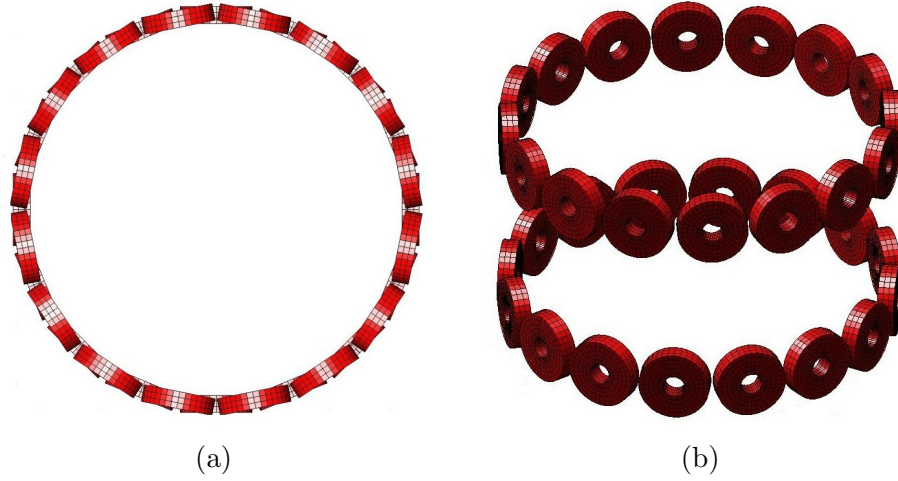


Figure 3.6: *Illustration of array probes: (a) top view; (b) plan view.*

Geometry	Tube with Defect
Tube outer diameter	0.750"
Tube inner diameter	0.665"
Defect type	Through wall hole
Defect depth	100% Through wall (OD)
Defect diameter	0.052"

Table 3.3: Modelling geometry for array probes

The signals from a scan around the defect were modelled at 250 kHz frequency. Comparison of the model predicted signals (real and imaginary parts of probe coil impedance) with experiment are shown in Figures 3.7 and 3.8 (axial channel) and Figures 3.9 and 3.10 (circumferential channel).

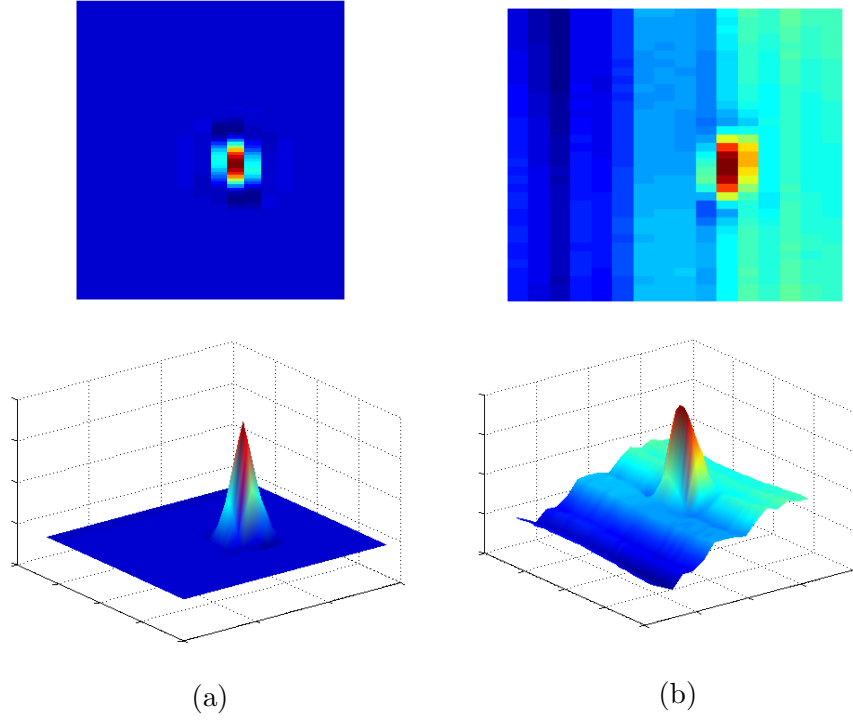


Figure 3.7: *Real part of channel impedance of array probes: (a) simulation; (b) experimental.*

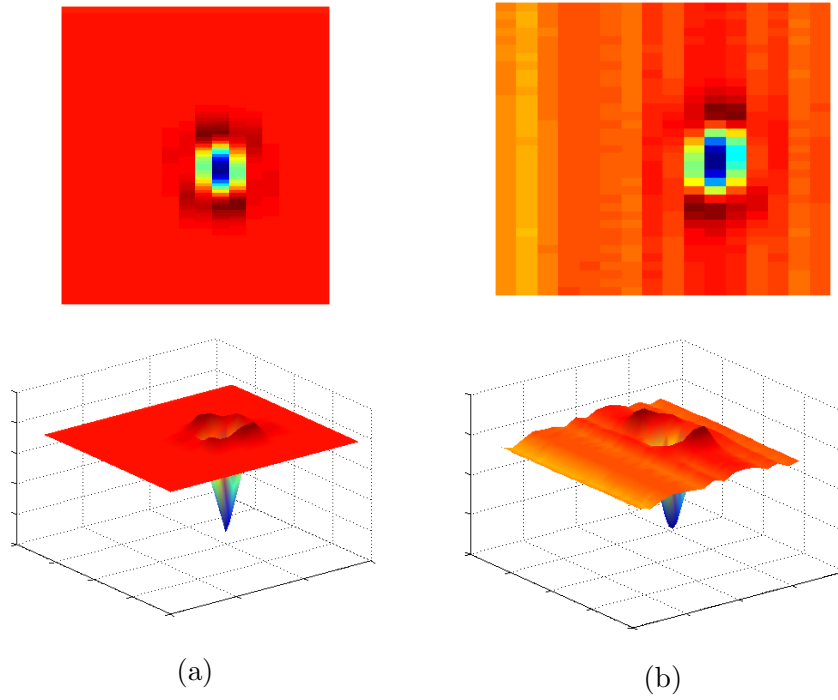


Figure 3.8: *Imaginary part of axial channel impedance of array probes: (a) simulation; (b) experimental.*

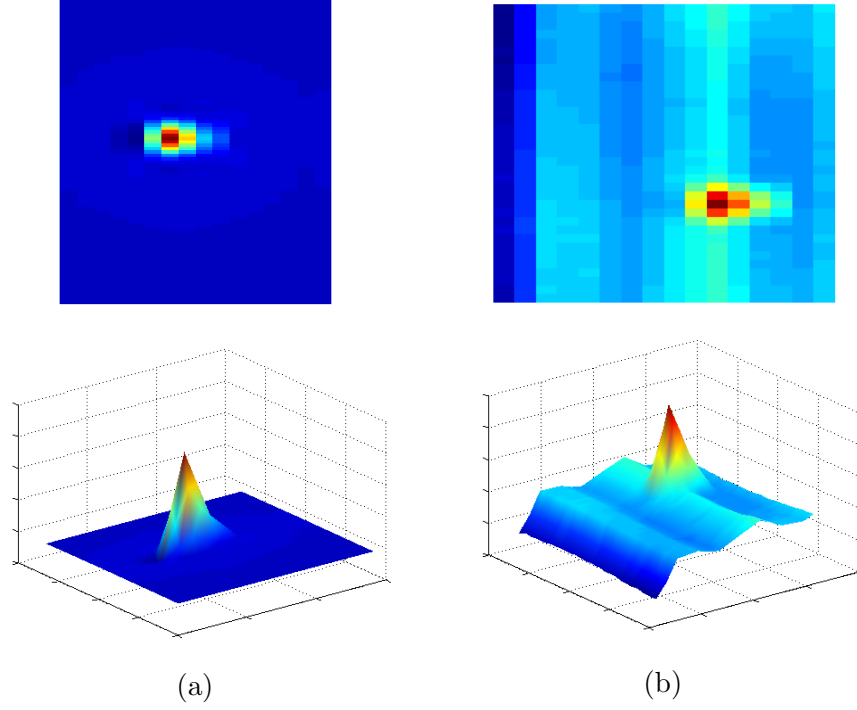


Figure 3.9: *Real part of circumferential channel impedance of array probes:* (a) simulation; (b) experimental.

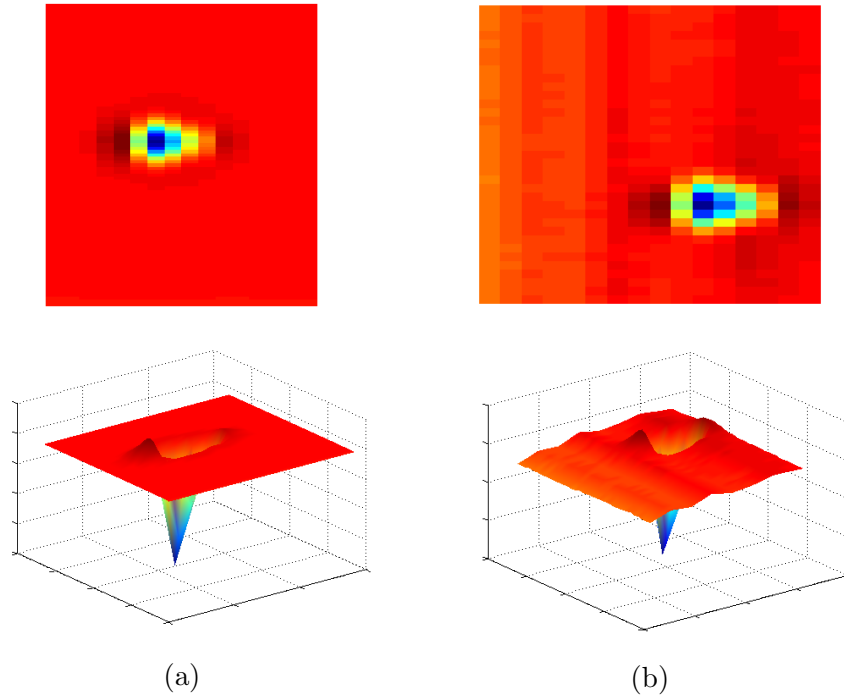


Figure 3.10: *Imaginary part of circumferential channel impedance of array probes:* (a) simulation; (b) experimental.

### 3.2.4 Quantitative Comparison between Experimental and Simulation Signals

For different probes, we define the quantitative metric as following:

- rotating probe coil (RPC) and X-probe:

for each channel,

$$maximum\ error = \frac{MAX|exp - sim|}{MAX|exp|} \quad (3.1)$$

- bobbin probes:

$$magnitude\ error = \frac{MAX|magnitude_{exp} - magnitude_{sim}|}{MAX|magnitude_{exp}|} \quad (3.2)$$

$$phase\ error = \frac{MAX|phase_{exp} - phase_{sim}|}{MAX|phase_{exp}|} \quad (3.3)$$

The quantitative comparison of RPC between experimental (exp) and simulation (sim) signals for a flaw at 300 kHz are shown in Table 3.4.

Flaw dimension	Probe type	Maximum error(%)	
		Horizontal	Vertical
Axial notch, 100% TW, Length 0.38", Width 0.005"	+Point	6.31	3.13
	Pancake	3.96	10.50
Axial notch, 57% OD, Length 0.38", Width 0.005"	+Point	17.22	16.97
	Pancake	6.07	9.61
Tube dimension: ID 0.647", OD 0.745"			

Table 3.4: Quantitative comparison of rotating probe coil.

The quantitative comparison of a .610" bobbin probe between experimental (exp) and simulation (sim) signals for a flaw at 270 kHz are shown in Table 3.5.

Flaw dimension	Magnitude error(%)	Phase error(%)
100% TW hole	12.63	11.67
60% TW FBH	6.05	0.58
Tube dimension: ID 0.668", OD 0.75"		

Table 3.5: Quantitative comparison of bobbin probe.

The quantitative comparison of X-probe between experimental (exp) and simulation (sim) signals for a flaw at 250 kHz are shown in Table 3.6.

Flaw dimension	Channel	Maximum error(%)
100% TW hole	Axial Vertical	5.47
	Circumferential Vertical	13.90
Tube dimension: ID 0.664", OD 0.75"		

Table 3.6: Quantitative comparison of X-probe.

### 3.2.5 Conclusion

This section describes the development of a FE model for simulating EC inspection of SG tubes using a variety of EC probes, flaw and tube geometries. Validation results show that the model accuracy is within the bounds specified by industry and hence can be used to generate signals from a variety of degradation mechanisms. In fact, it is important to note that error reported is largely due to experimental measurement noise. The model can be used to study effect of different operational parameters on the probe signal which in turn can be used to develop PoD models.

## 3.3 Simulation Software Features

In addition to the FE solver, a software package with a graphical user interface that allows easy use of the model for generating signals from SG inspection has been developed [23]. The

user can select a probe, defect size and defect location using a pull down menu. The basic features of the graphic user interface of this software package are described in section 7.2.2.3.

### **3.4 New Probe Design and Simulation**

SG tube inspection using EC techniques has evolved over the years from a simple bobbin coil, to RPC and array probes, in an attempt to improve the speed and reliability of inspection. As the probe design has evolved, the new sensors have provided more data, resulting in higher detection accuracy, resolution, sensitivity, speed and robustness. The bobbin probe serves high performance speed for full tube inspection but is insensitive to circumferentially oriented crack, and the RPC probe offers the best spatial resolution but involves complex mechanical control and slow inspection speed whereas the array probe is a compromise between speed and resolution but need complicated hardware implementation.

This section presents a design for a rotating field eddy current (RoFEC) probe that offers the sensitivity of an RPC probe without need for mechanical rotation of the probe assembly and speed of the bobbin probe. The feasibility of the design is demonstrated using simulation model. [24]

#### **3.4.1 The Rotating Field Eddy Current Probe Operational Principles and Design**

The rotating field principle is a fundamental property of all electrical machines and consists of three identical coils located on axes physically 120 degrees apart and supplied from a balanced three-phase supply (i.e. with difference between the phases of exactly 120 degrees). In the volume close to the multiphase probe the instantaneous magnetic flux densities, resulting

from each of the coils is illustrated in Figure 3.11. Let  $i_a(t)$ ,  $i_b(t)$  and  $i_c(t)$  represent the

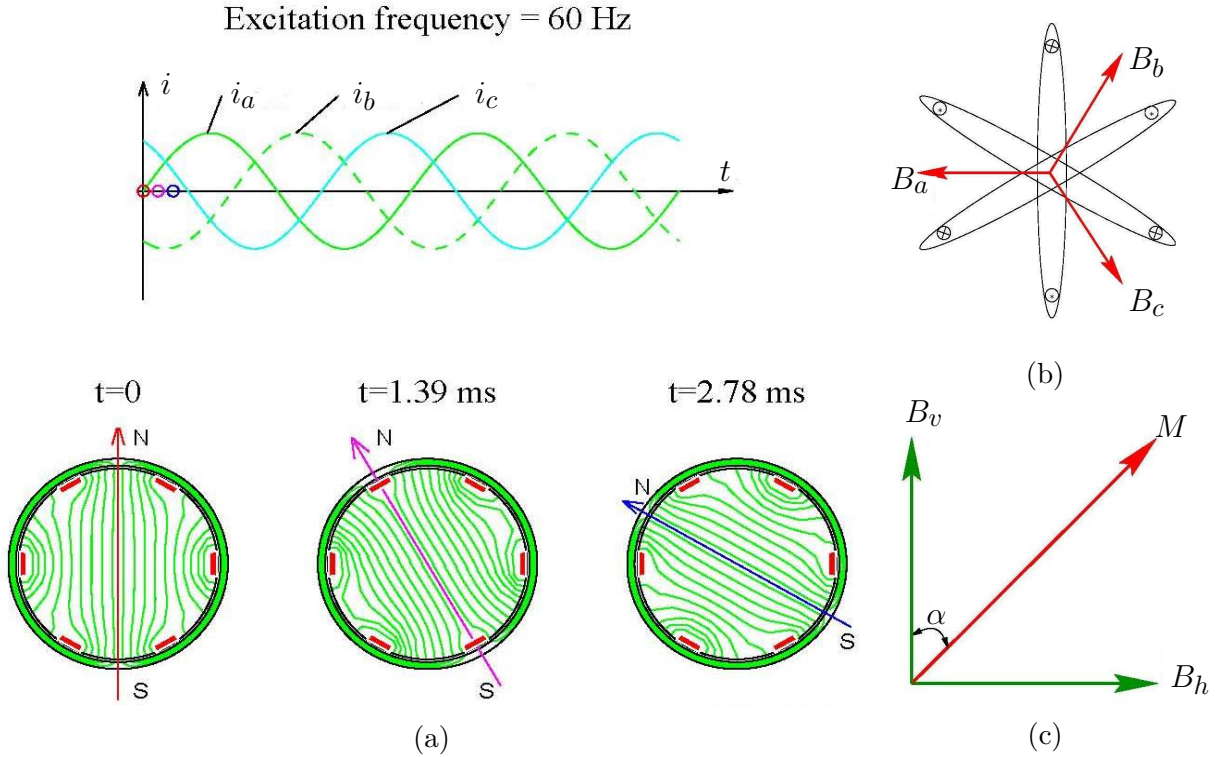


Figure 3.11: *Principle of rotating field*: (a) three-phase currents; (b) flux due to currents in three-phase winding; (c) horizontal and vertical components of the resultant magnetic flux.

excitation currents in the three windings of the probe as expressed by equation (3.4).

$$\begin{aligned}
 i_a(t) &= I \sin(\omega t) \\
 i_b(t) &= I \sin\left(\omega t + \frac{2\pi}{3}\right) \\
 i_c(t) &= I \sin\left(\omega t + \frac{4\pi}{3}\right)
 \end{aligned} \tag{3.4}$$

where,  $I$  = maximum value of the current due to one phase alone.

The magnetic flux density associated with the three coils can be represented by equation (3.5), and are perpendicular to the plane of each coil. On a 2-D cross-sectional plane of the tube and probe coils, the horizontal and vertical components of the resultant

magnetic flux density can be expressed by equation (3.5). As a result, the total magnetic flux density has a constant amplitude and its phase will change in the same manner as the excitation frequency as expressed in equation (3.6).

$$\begin{aligned}
B_a(t) &= \phi \sin(\omega t) \\
B_b(t) &= \phi \sin(\omega t + \frac{2\pi}{3}) \\
B_c(t) &= \phi \sin(\omega t + \frac{4\pi}{3})
\end{aligned} \tag{3.5}$$

where,  $\phi$  = maximum value of the magnetic flux due to one phase alone.

$$\begin{aligned}
B_h &= B_a - (B_b + B_c) \cos(60 \text{ deg}) = \frac{3}{2}\phi \sin(\omega t) \\
B_v &= B_b \cos(30 \text{ deg}) - B_c \cos(30 \text{ deg}) = \frac{3}{2}\phi \cos(\omega t) \\
M^2 &= B_h^2 + B_v^2 = (\frac{3}{2}\phi)^2
\end{aligned} \tag{3.6}$$

A 2-pole probe operating at 60 Hz generates a magnetic field that scans the pipe circumference at 3600 rpm. Higher excitation frequencies result in proportionately higher circumferential scan rates. The field generated by the probe is largely radial that result in induced currents that flow circularly around the radial axis rotating around the tube at synchronous speed effectively producing induced ECs that are multi-directional; in fact the induced currents are identical to that produced by rotating pancake coils. The probe will consequently be sensitive to cracks of all orientations in the tube wall. The response signal due to the field/flaw interaction can be picked up using a simple bobbin coil for detecting a discontinuity in tube wall as shown in Figure 3.12.

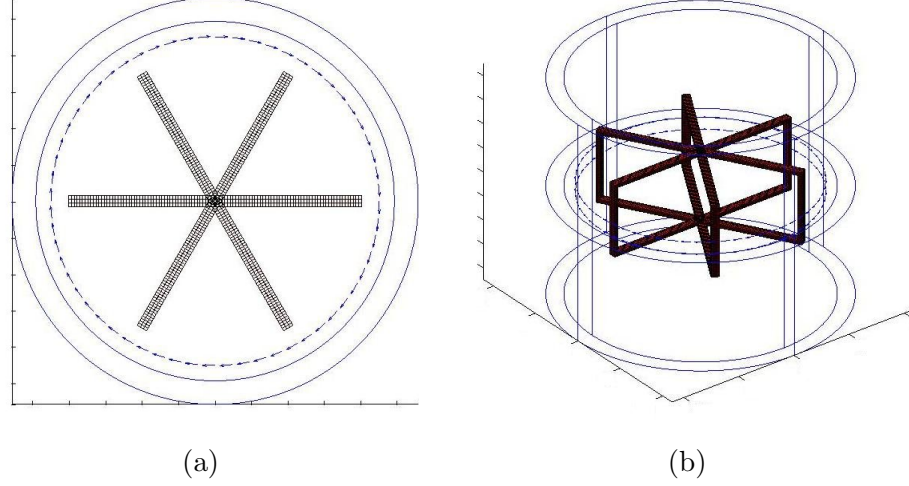


Figure 3.12: *Rotating field eddy current probe structure: (a) top view; (b) plan view.*

### 3.4.2 Simulation Results

A typical free span region of SG tube geometry was modelled. The outer and inner diameters of the tube are 22.3 mm and 19.7 mm respectively. A defect of width 3.5 mm, length 4 mm and depth of 60% tube wall thickness was introduced on the inside of the tube wall as shown in Figure 3.13a. The probe was excited by using a 320 kHz source and the voltage induced by the rotating fields in a bobbin coil is calculated as the probe moves axially within the tube as shown in Figure 3.13b. To validate the performance of the RoFEC probe, we also present the results of a normal differential bobbin coils excited at 320 kHz as shown in Figure 3.13c.

The probe was excited by using a 320 kHz source and the z-component of the magnetic flux density induced by the rotating fields in an array of giant magneto-resistance (GMR) sensors is also calculated as shown in Figure 3.14.

Parametric studies were conducted for axial notches of different depth and locations. Simulation results for ID and OD defects of various are presented in Figure 3.15a. The correlation between defect geometry and the signal phase and amplitude is evident from

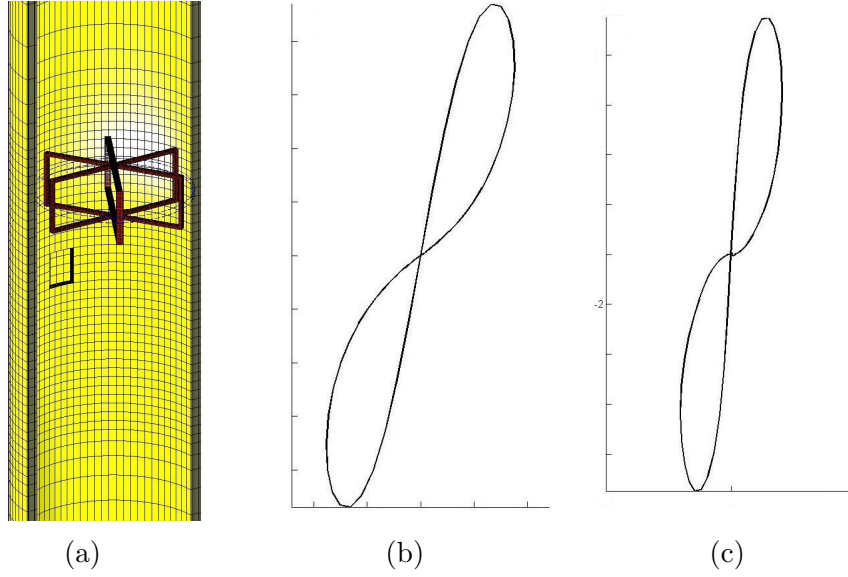


Figure 3.13: *Signal of rotating field eddy current probe with bobbin pickup coil: (a) geometry; (b) rotating field eddy current probe with absolute pickup coil; (c) differential bobbin coil.*

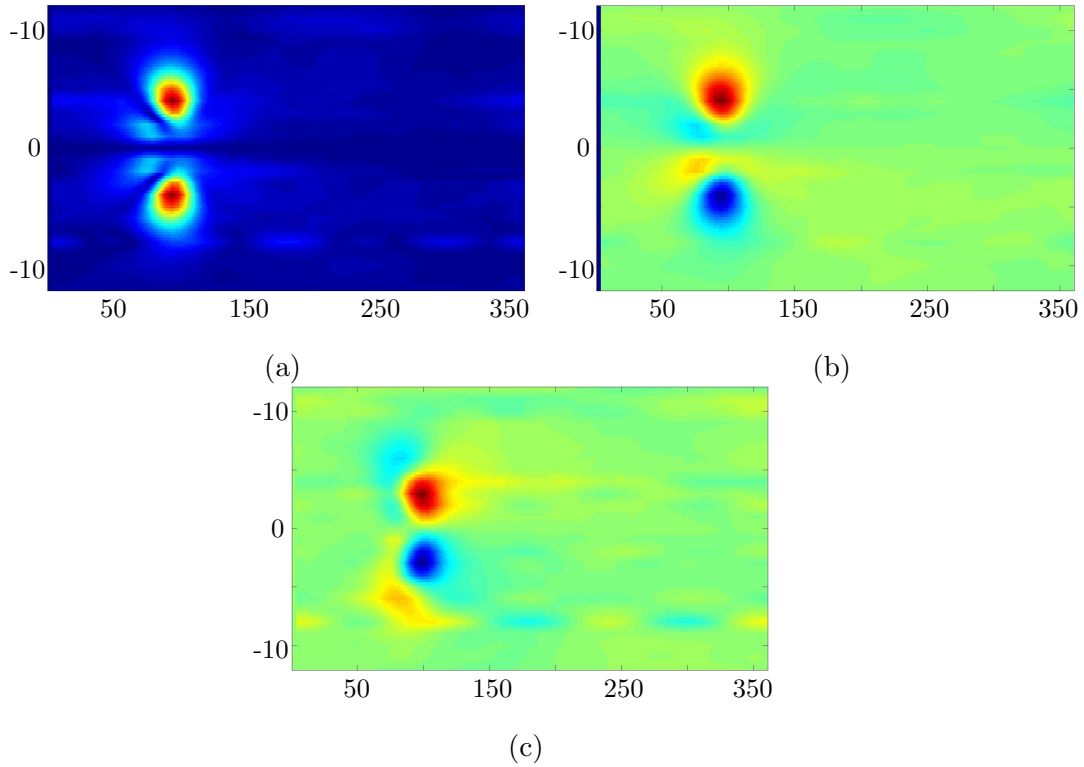


Figure 3.14: *Signal of rotating field eddy current probe with GMR array sensors: (a) magnitude; (b) horizontal channel; (c) vertical channel.*

these simulated signals. In order to test the robustness of performance of the probe, defect signals from centred and off-centred probes were calculated. These results for a 60% ID notch are presented in Figure 3.15b. It is seen that the phases of the three signals are the same. Parametric variations of the signals with respect to increasing frequencies were also conducted. The signals from a 60% ID defect at frequencies of 100, 320 and 600 kHz shown in Figure 3.15c.

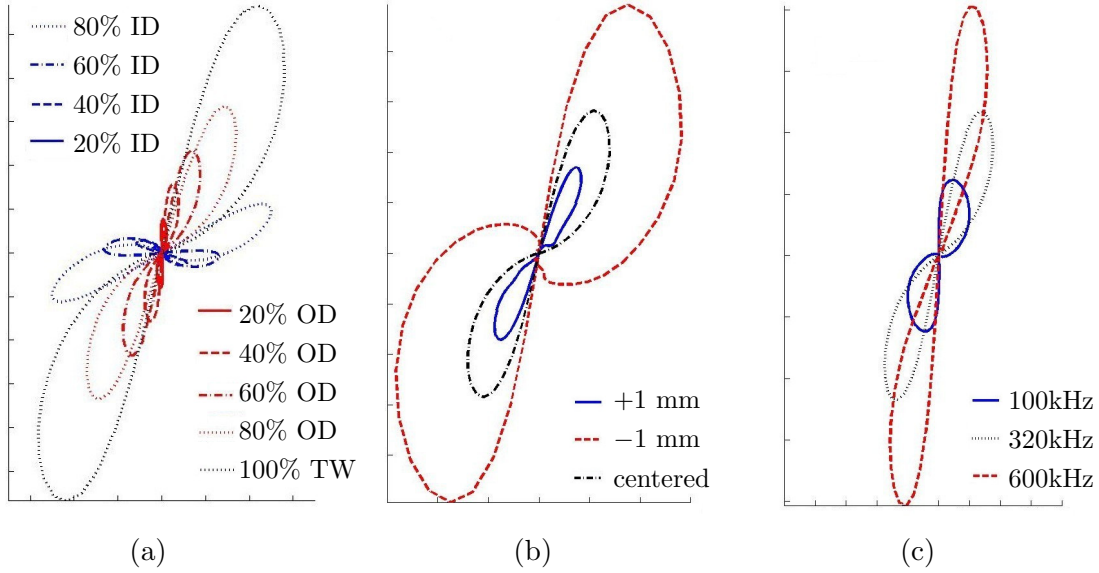


Figure 3.15: *Signals of parametric studies: (a) 20%, 40%, 60%, 80%, 100%, I.D. and O.D. defects; (b) 60% I.D. defect with +1, 0, -1 mm offsets; (c) 60% I.D. defect at 100, 320, 600 kHz operating frequencies.*

### 3.4.3 Conclusion

This section presents a use of the computational model in the design of a novel EC probe based on rotating magnetic fields for the inspection of SG tubes in nuclear power plants. The simulation results show the advantages of the RoFEC probe, which are high performance speed and high resolution for full tube inspection. The probe design is based on basic principles of electrical machines and uses a simple excitation system. The performance is

relatively robust with respect to probe wobble about the axis.

## **3.5 Conclusion**

The FE modelling provides an inexpensive and fast method to simulate realistic field conditions and defect geometries without having to produce flawed tube specimens. The modelling signals can assist in signal interpretation, serve as training tools, generate training signals, assist in PoD calculations with applying noise models, qualify probe technique, demonstrate probe performance demonstration, aid in probe design. The experimentally validated method is widely in use in the SG inspection industry by utility engineers, inspection vendors, probe developers and NDE instructors.

## Part II

# Direct Integral Solver and Fast Algorithms

# Chapter 4

## Moment Method

### 4.1 Introduction

Integral equation method, used extensively for solving PDEs, is often referred to as method of moments (MoM) in EM.

The integral equation method is a numerical computational method of solving linear PDEs which have been formulated as integral equations (i.e. in boundary integral form). It has applications in many areas of engineering and science including fluid mechanics, acoustics, EM and fracture mechanics.

#### 4.1.1 Volume Integral Equation Method

Generally, there are two categories of volume integral equation (VIE) methods for EC problems: 1. free space Green's function based VIE method for bounded geometries; 2. numerical Green's function based VIE method for unbounded geometries.

##### 4.1.1.1 Bounded Eddy Current Problems

For bounded geometries, due to the need for discretizing the whole 3-D geometry, the computation cost is prohibitive. A typical problem is a conducting body excited by a line source [25,26] as shown in Figure 4.1. Similar to the reduced potential formulation for FEM, the vector potential  $\vec{A}$  can be split into three parts:  $\vec{A}_s$  due to excitation current,  $\vec{A}_e$  due

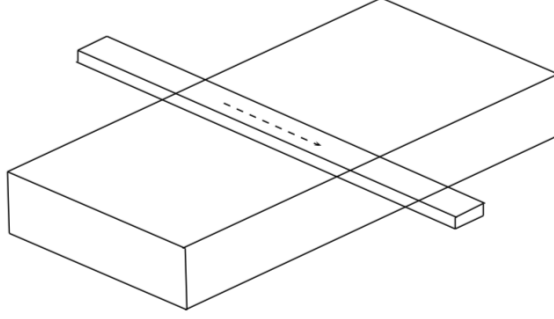


Figure 4.1: *A conducting body excited by a line conductor carrying current* [25, 26].

to induced currents and  $\vec{A}_m$  due to induced magnetization. From Ampère's and Faraday's laws of time varying quasi-static EM fields, we have [27, 28]:

$$\vec{A}(\vec{r}) = \vec{A}_s(\vec{r}) + \vec{A}_e(\vec{r}) + \vec{A}_m(\vec{r}) \quad (4.1)$$

$$\vec{A}_s(\vec{r}) = \frac{\mu_0}{4\pi} \iiint_{\Omega_s} \frac{\vec{J}_s(\vec{r}')}{|\vec{r} - \vec{r}'|} d\Omega' \quad (4.2)$$

$$\vec{A}_e(\vec{r}) = \frac{-\mu_0\sigma}{4\pi} \iiint_{\Omega_e} \frac{j\omega\vec{A}(\vec{r}') + \nabla' V(\vec{r}')}{|\vec{r} - \vec{r}'|} d\Omega' \quad (4.3)$$

$$\vec{A}_m(\vec{r}) = \frac{\mu_0}{4\pi} \iiint_{\Omega_m} \vec{M}(\vec{r}') \times \nabla' \frac{1}{|\vec{r} - \vec{r}'|} d\Omega' \quad (4.4)$$

where,  $\vec{V}$  is electric scalar potential. Applying the Coulomb gauge ( $\nabla \cdot \vec{A} = 0$ ), we obtain:

$$\frac{-\mu_0\sigma}{4\pi} \iiint_{\Omega_e} [j\omega\vec{A}(\vec{r}') + \nabla' V(\vec{r}')] \cdot \nabla \frac{1}{|\vec{r} - \vec{r}'|} d\Omega' = 0 \quad (4.5)$$

Combining equation (4.1) and equation (4.5) and using Galerkin method, we form a complete system as following:

$$\begin{bmatrix} A & 0 & 0 & D \\ 0 & B & 0 & E \\ 0 & 0 & C & F \\ G & H & I & J \end{bmatrix} \begin{bmatrix} A_x \\ A_y \\ A_z \\ V \end{bmatrix} = \begin{bmatrix} A_{s,x} \\ A_{s,y} \\ A_{s,z} \\ 0 \end{bmatrix} \quad (4.6)$$

From equations (4.2) to (4.4), it is obvious that volume of the excitation current region  $\Omega_s$ , the induced current region  $\Omega_e$  and the induced magnetization region  $\Omega_m$  are required to be finite. If the geometry of interest is unbounded, in other words the volume of the geometry of interest is infinite, we will not be able to discretize such geometry. In this case, this approach is not applicable.

Similar to the fast solvers in microwave problems, several fast schemes for bounded VIE problems based on adaptive integral method, fast multi-pole method etc. have also been reported [29–41].

#### 4.1.1.2 Unbounded Eddy Current Problems

For unbounded geometries, it is not possible to discretize the infinite volume, therefore, new Green's functions satisfying the boundary conditions of different cases, such as, half space conductor [42–45], layered slab conductor [46–53], wedge conductor [54, 55] and layered rod/bolt-hole conductor [56–59] need to be derived. This is one of the disadvantages of this approach.

We can represent Faraday's law and Ampère's law in the form as:

$$\nabla \times \vec{E} = -j\omega\mu_{or}\vec{H} - j\omega(\mu - \mu_{or})\vec{H} = -j\omega\mu_{or}\vec{H} - \vec{M}_\delta \quad (4.7)$$

$$\nabla \times \vec{H} = j\omega\epsilon_{or}\vec{E} + [j\omega(\epsilon - \epsilon_{or}) + (\sigma - \sigma_{or})]\vec{E} + \vec{J}_s = j\omega\epsilon_{or}\vec{E} + \vec{J}_\delta + \vec{J}_s \quad (4.8)$$

where the subscript *or* is short for *original*.

Then, assuming we have the suitable dyadic Green's functions  $\vec{\vec{G}}$  that satisfies the problem boundary conditions, we can obtain the fields due to the source current  $\vec{J}_s$  and equivalent electric current  $\vec{J}_\delta$  and magnetic current  $\vec{M}_\delta$  in the following form:

$$\vec{E}^{inc} = -j\omega\mu_{or} \iiint_{\Omega_s} \vec{J}_s \cdot \vec{\vec{G}} d\Omega' \quad (4.9)$$

$$\vec{H}^{inc} = \iiint_{\Omega_s} (\nabla \times \vec{J}_s) \cdot \vec{\vec{G}} d\Omega' \quad (4.10)$$

$$\vec{E}_1(\vec{J}_\delta) = -j\omega\mu_{or} \iiint_{\Omega_\delta} \vec{J}_\delta \cdot \vec{\vec{G}} d\Omega' \quad (4.11)$$

$$\vec{H}_1(\vec{J}_\delta) = \iiint_{\Omega_\delta} (\nabla \times \vec{J}_\delta) \cdot \vec{\vec{G}} d\Omega' \quad (4.12)$$

$$\vec{E}_2(\vec{M}_\delta) = \iiint_{\Omega_\delta} (\nabla \times \vec{M}_\delta) \cdot \vec{\vec{G}} d\Omega' \quad (4.13)$$

$$\vec{H}_2(\vec{M}_\delta) = -j\omega\mu_{or} \iiint_{\Omega_\delta} \vec{M}_\delta \cdot \vec{\vec{G}} d\Omega' \quad (4.14)$$

Finally combining the equations (4.9) to (4.14) in the form of:

$$\vec{E} = \vec{E}^{inc} + \vec{E}_1(\vec{J}_\delta) + \vec{E}_2(\vec{M}_\delta) \quad (4.15)$$

$$\vec{H} = \vec{H}^{inc} + \vec{H}_1(\vec{J}_\delta) + \vec{H}_2(\vec{M}_\delta) \quad (4.16)$$

and using Galerkin method, we obtain a complete system of equations.

The Green's functions for slab conductor [47] and borehole [57] EC problems will be shown as example in the following sections.

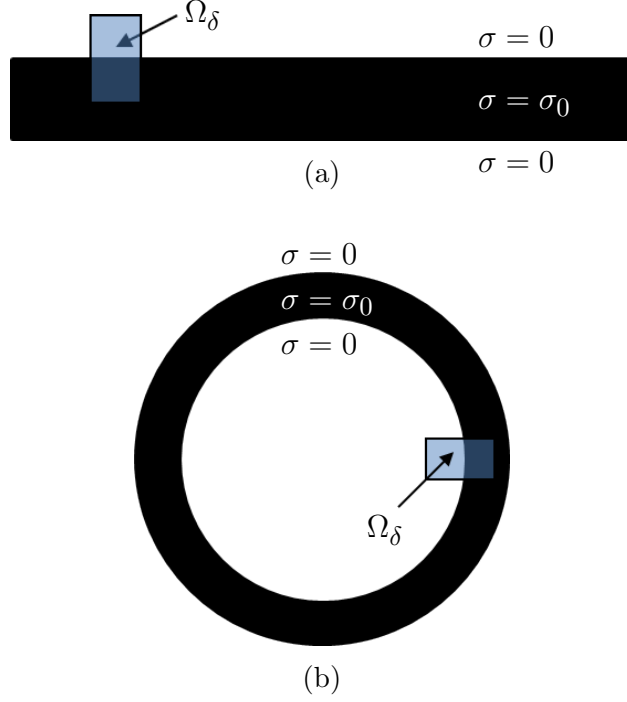


Figure 4.2: *Unbounded geometry examples*: (a) slab conductor; (b) borehole geometry.

### Dyadic Green's functions for slab conductor

For slab conductor ( $-c < z < 0$ ) geometry as shown in Figure 4.2a, the relation between electric dyadic Green's function and magnetic vector potential dyadic Green's function is:

$$j\omega \vec{\vec{G}}_E(\vec{r}; \vec{r}') = \frac{1}{\mu_0 \sigma_0} \nabla \times \nabla \times \vec{\vec{G}}_A(\vec{r}; \vec{r}') \quad (4.17)$$

The magnetic vector potential dyadic Green's function fitting the slab conductor geometry is:

$$\begin{aligned}\vec{\vec{G}}_A(\vec{r}; \vec{r}') &= \vec{\vec{I}} \phi(\vec{r}; \vec{r}') + \vec{\vec{I}}' \phi(\vec{r}; \vec{r}_1) + \vec{\vec{I}}' \phi(\vec{r}; \vec{r}_2) + \vec{\vec{I}}' \phi(\vec{r}; \vec{r}_3) + \vec{\vec{I}}' \phi(\vec{r}; \vec{r}_4) \\ &+ \nabla \times \hat{z} \nabla \times \hat{z} V_1(\vec{r}; \vec{r}') + \nabla \times \hat{z} \nabla \times \hat{z} V_2(\vec{r}; \vec{r}') + \vec{\vec{I}} W_1(\vec{r}; \vec{r}') + \vec{\vec{I}}' W_2(\vec{r}; \vec{r}')\end{aligned}\quad (4.18)$$

where,  $\vec{r}_1 = \vec{r}' - 2z'\hat{z}$ ,  $\vec{r}_2 = \vec{r}' - 2(z' + c)\hat{z}$ ,  $\vec{r}_3 = \vec{r}' - 2c\hat{z}$ ,  $\vec{r}_4 = \vec{r}' + 2c\hat{z}$ ,  $\vec{\vec{I}} = \hat{x}\hat{x} + \hat{y}\hat{y} + \hat{z}\hat{z}$ ,  $\vec{\vec{I}}' = \hat{x}\hat{x} + \hat{y}\hat{y} - \hat{z}\hat{z}$ , and

$$\phi(\vec{r}; \vec{r}') = \left( \vec{\vec{I}} - \frac{\nabla \nabla'}{k_0^2} \right) \frac{e^{-jk|\vec{r} - \vec{r}'|}}{4\pi|\vec{r} - \vec{r}'|} \quad (4.19)$$

The remaining terms are given by:

$$V_1(\vec{r}; \vec{r}') = \frac{1}{4\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{\Gamma^{(e)} - \Gamma^{(m)}}{2\kappa^2\gamma} \left[ e^{\gamma(z-z'-2c)} + e^{-\gamma(z-z'+2c)} \right] e^{iu(x-x') + iv(y-y')} dudv \quad (4.20)$$

$$V_2(\vec{r}; \vec{r}') = \frac{1}{4\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \frac{\Lambda^{(e)} - \Lambda^{(m)}}{2\kappa^2\gamma} \left[ e^{\gamma(z+z')} + e^{-\gamma(z+z'+2c)} \right] e^{iu(x-x') + iv(y-y')} dudv \quad (4.21)$$

$$W_1(\vec{r}; \vec{r}') = \frac{1}{2\pi} \int_{-\infty}^{\infty} \frac{\Gamma^{(m)}}{2\gamma} \left[ e^{\gamma(z-z'-2c)} + e^{-\gamma(z-z'+2c)} \right] J_0(\kappa\rho) \kappa d\kappa \quad (4.22)$$

$$W_2(\vec{r}; \vec{r}') = \frac{-1}{2\pi} \int_{-\infty}^{\infty} \frac{\Lambda^{(m)}}{2\gamma} \left[ e^{\gamma(z-z'-2c)} + e^{-\gamma(z+z'+2c)} \right] J_0(\kappa\rho) \kappa d\kappa \quad (4.23)$$

where,

$$\Gamma^{(e)}(\kappa) = \frac{(\gamma - \kappa)^2}{(\gamma + \kappa)^2 + (\gamma - \kappa)^2 e^{-2\gamma c}} - 1 \quad (4.24)$$

$$\Gamma^{(m)}(\kappa) = \frac{1}{1 - e^{-2\gamma c}} - 1 \quad (4.25)$$

$$\Lambda^{(e)}(\kappa) = \frac{\gamma^2 - \kappa^2}{(\gamma + \kappa)^2 - (\gamma - \kappa)^2 e^{-2\gamma c}} + 1 \quad (4.26)$$

$$\Lambda^{(m)}(\kappa) = \frac{1}{e^{-2\gamma c} - 1} + 1 \quad (4.27)$$

and  $\kappa^2 = u^2 + v^2$ ,  $\gamma^2 = \kappa^2 - k^2$ ,  $\rho^2 = (x - x')^2 + (y - y')^2$ .

### Dyadic Green's functions for borehole geometry

For the borehole geometry as shown in Figure 4.2b, by using the transverse electric potential  $W_a$  and the transverse magnetic potential  $W_b$ , for piecewise homogeneous regions of a structure in cylindrical coordinate system, the magnetic vector potential can be derived as:

$$\vec{A} = \nabla \times \vec{W} = \nabla \times (\hat{z}W_a + \hat{z} \times \nabla W_b) \quad (4.28)$$

Therefore, the electric field can be represented as:

$$\vec{E} = \nabla \times (\hat{z}W_a) + \nabla \times \nabla \times (\hat{z}W_b) - \frac{1}{\sigma_0} \vec{P} \quad (4.29)$$

where  $\sigma_0$  is the host conductivity,  $\vec{P} = (\sigma - \sigma_0)\vec{E}$  is an electric dipole density which can be consider as the equivalent source of the flaw field, and  $\sigma$  is the flaw conductivity. By

substituting equation (4.29) into the electric field equation:

$$\nabla \times \nabla \times \vec{E} - k^2 \vec{E} = i\omega\mu\vec{P} \quad (4.30)$$

where,  $k^2 = i\omega\mu\sigma_0$  we can show that the potentials satisfy:

$$(\nabla^2 + k^2) \begin{bmatrix} W_a \\ W_b \end{bmatrix} = \begin{bmatrix} \hat{z} \cdot \nabla' \times \vec{P} \\ \frac{1}{k^2} \hat{z} \cdot \nabla' \times \nabla' \times \vec{P} \end{bmatrix} \quad (4.31)$$

A set of scalar Green's functions can be used as a solution of equation (4.31), which satisfies:

$$(\nabla^2 + k^2) \nabla_t^2 \begin{bmatrix} G_{aa} & G_{ab} \\ G_{ba} & G_{bb} \end{bmatrix} = - \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \delta(\vec{r} - \vec{r}') \quad (4.32)$$

where,  $\nabla_t^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$ ,  $G_{ij} = -\nabla_t^2 U_{ij}$ ,  $i, j = a, b$ .

By representing the electric field by using the dyadic Green's function, we obtain,

$$\vec{E}(\vec{r}) = \vec{E}^{inc}(\vec{r}) + i\omega\mu_0 \iiint_V \vec{P}(\vec{r}') \cdot \vec{\vec{G}}(\vec{r}; \vec{r}') dV' \quad (4.33)$$

where the dyadic Green's function is:

$$\begin{aligned} \vec{\vec{G}} = & (\nabla \times \hat{z}) \nabla' \times (\hat{z} U_{aa}) + \frac{1}{k^2} (\nabla \times \hat{z}) \nabla' \times \nabla' \times (\hat{z} U_{ab}) \\ & + (\nabla \times \nabla \times \hat{z}) \nabla' \times (\hat{z} U_{ba}) + \frac{1}{k^2} (\nabla \times \nabla \times \hat{z}) \nabla' \times \nabla' \times (\hat{z} U_{bb}) \end{aligned} \quad (4.34)$$

Represent the scalar kernels in Fourier transform as:

$$G(\vec{r}; \vec{r}') = \frac{1}{4\pi^2} \sum_{m=-\infty}^{\infty} e^{im(\phi-\phi')} \int_{m=-\infty}^{\infty} \tilde{G}_m(\rho; \rho', \kappa) e^{i\kappa(z-z')} d\kappa \quad (4.35)$$

where,  $\tilde{G}_m(\rho; \rho', \kappa) = -\gamma^2 \tilde{U}_m(\rho; \rho', \kappa)$ ,  $\gamma^2 = \kappa^2 + k^2$ . The Fourier transformed kernels for an equivalent source in the borehole conductive region can be represented as the summation of an unbounded domain kernel and a term expressing outward propagation from the interface

$$\tilde{G}_{ij}(\rho; \rho', \kappa) = \tilde{G}^{(0)}(\rho; \rho', \kappa) + \tilde{G}_{ij}^{(\Gamma)}(\rho; \rho', \kappa) \quad (4.36)$$

The unbounded domain term is

$$\tilde{G}^{(0)}(\rho; \rho', \kappa) = I_m(\gamma\rho_{<})K_m(\gamma\rho_{>}) \quad (4.37)$$

where,  $I_m$  and  $K_m$  are associated Bessel functions. The field propagating from the interface is represented by

$$\tilde{U}_{m,ij}^{(\Gamma)}(\rho; \rho', \kappa) = \Gamma_{m,ij} I_m(\gamma\rho) K_m(\gamma\rho') \quad (4.38)$$

where,  $\Gamma_{m,ij}$  are the boundary reflection coefficients as following:

$$\Gamma_{m,aa} = \frac{I_m(\gamma b)}{K_m(\gamma b)} \cdot \frac{-m^2 \mu_r \kappa^2 + M(\gamma b)[\mu_r \kappa^2 \Lambda(\gamma b) - \gamma^2 \Lambda(|\kappa|b)]}{-m^2 \mu_r \kappa^2 + M(\gamma b)[\mu_r \kappa^2 M(\gamma b) - \gamma^2 \Lambda(|\kappa|b)]} \quad (4.39)$$

$$\Gamma_{m,ab} = \frac{1}{[K_m(\gamma b)]^2} \cdot \frac{-m^2 \mu_r \kappa^2 k^2}{-m^2 \mu_r \kappa^2 + M(\gamma b)[\mu_r \kappa^2 M(\gamma b) - \gamma^2 \Lambda(|\kappa|b)]} \quad (4.40)$$

$$\Gamma_{m,ba} = \frac{1}{[K_m(\gamma b)]^2} \cdot \frac{-m^2 \mu_r \kappa}{-m^2 \mu_r \kappa^2 + M(\gamma b)[\mu_r \kappa^2 M(\gamma b) - \gamma^2 \Lambda(|\kappa|b)]} \quad (4.41)$$

$$\Gamma_{m,bb} = \frac{I_m(\gamma b)}{K_m(\gamma b)} \cdot \frac{-m^2 \mu_r \kappa^2 + \Lambda(\gamma b)[\mu_r \kappa^2 M(\gamma b) - \gamma^2 \Lambda(|\kappa|b)]}{-m^2 \mu_r \kappa^2 + M(\gamma b)[\mu_r \kappa^2 M(\gamma b) - \gamma^2 \Lambda(|\kappa|b)]} \quad (4.42)$$

where,  $\Lambda(x) = \frac{x I'_m(x)}{I_m(x)}$ ,  $M(x) = \frac{x K'_m(x)}{K_m(x)}$ . By using a reciprocity theorem [60], with the dipole density calculated, the impedance change of the excitation coil due to the flaw can be determined from:

$$I^2 \Delta Z = - \iiint_V \vec{P}(\vec{r}) \vec{E}^{inc}(\vec{r}) dV \quad (4.43)$$

From the two examples discussed above, we can clearly see that the Green's functions need to be derived for each geometrical case. Furthermore, as shown in the equations (4.20) to (4.23) for slab geometry and equation (4.35) for borehole geometry, the Green's functions require numerical evaluation of infinite integrals, which is always very challenging. For more irregular geometries, it's even difficult to find the Green's functions in either analytical or numerical form. In such cases, this approach is not applicable.

### 4.1.2 Surface Integral Equation Method

The surface integral equation (SIE) method, also called boundary element method (BEM), has been used in EC problems [61–78].

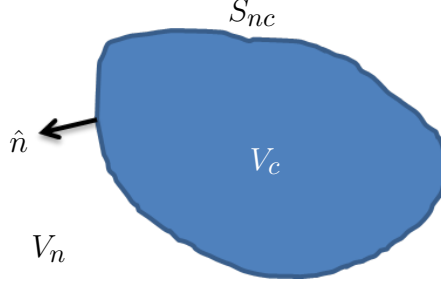


Figure 4.3: *An arbitrary geometry with closed surface.*

A typical BEM problem in a bounded arbitrary geometry is shown in Figure 4.3, where,  $\hat{n}$  is the outer normal direction,  $V_n$  is free space,  $V_c$  is the domain carrying EC and  $S_{nc}$  is the boundary between  $V_n$  and  $V_c$ .

Deriving from Faraday's law and Ampère's law, the Helmholtz equations for electric field and magnetic field are:

$$\nabla \times \nabla \times \vec{E} - k^2 \vec{E} = -j\omega\mu \vec{J}^{inc} \quad (4.44)$$

$$\nabla \times \nabla \times \vec{H} - k^2 \vec{H} = \nabla \times \vec{J}^{inc} \quad (4.45)$$

where the square of the wave number in EC region and free space are  $k^2 = k_c^2 = \omega^2\mu\epsilon - j\omega\mu\sigma \approx -j\omega\mu\sigma$  and  $k^2 = k_n^2 = \omega^2\mu_0\epsilon_0$ , respectively. Applying the Huygens' equivalent

principle on Helmholtz equations [79], we obtain:

$$\vec{E} = - \oint\!\!\!\oint_{S_{nc}} [(\hat{n}' \times \vec{E}) \cdot (\nabla \times \vec{\vec{G}}) - j\omega\mu(\hat{n}' \times \vec{H}) \cdot \vec{\vec{G}}] dS' \quad (4.46)$$

$$\vec{H} = - \oint\!\!\!\oint_{S_{nc}} [(\hat{n}' \times \vec{H}) \cdot (\nabla \times \vec{\vec{G}}) - (-j\omega\epsilon + \sigma)(\hat{n}' \times \vec{E}) \cdot \vec{\vec{G}}] dS' \quad (4.47)$$

$$\vec{E} = \vec{E}^{inc} - \oint\!\!\!\oint_{S_{nc}} [(\hat{n}' \times \vec{E}) \cdot (\nabla \times \vec{\vec{G}}) - j\omega\mu_0(\hat{n}' \times \vec{H}) \cdot \vec{\vec{G}}] dS' \quad (4.48)$$

$$\vec{H} = - \oint\!\!\!\oint_{S_{nc}} [(\hat{n}' \times \vec{H}) \cdot (\nabla \times \vec{\vec{G}}) + j\omega\epsilon_0(\hat{n}' \times \vec{E}) \cdot \vec{\vec{G}}] dS' \quad (4.49)$$

where, equations (4.46) and (4.48) are called electric field integral equation (EFIE) for EC region and free space respectively, equations (4.47) and (4.49) are called magnetic field integral equation (MFIE) for EC region and free space respectively, with Green's function  $\vec{\vec{G}} = \left( \vec{I} - \frac{\nabla\nabla'}{k^2} \right) \frac{e^{-jkR}}{4\pi R}$  in EC region and Green's function  $\vec{\vec{G}} = \vec{I} \frac{e^{-jkR}}{4\pi R}$  in free space.

The incident electric and magnetic fields are represented as:

$$\vec{E}^{inc} = -j\omega\mu_0 \iiint_{V^{inc}} \vec{J}^{inc} \cdot \vec{\vec{G}} dV' \quad (4.50)$$

$$\vec{H}^{inc} = \iiint_{V^{inc}} (\nabla \times \vec{J}^{inc}) \cdot \vec{\vec{G}} dV' \quad (4.51)$$

The detailed discussion about adapting EFIE and MFIE to fit the EC problem and corresponding numerical issues are discussed in the following sections.

## 4.2 Surface Integral Equations

As generally discussed in section 4.1.2, the SIE method, has been developed and used in EC problems. In this section, we outline the governing SIEs used to formulate EC problems. The derivation of such integral equations starting with Maxwell's equations will not be presented

here. One can reference any textbook on advanced EM theory [79–86].

### 4.2.1 Electric Field Integral Equation

From Faraday’s law and Ampère’s law, the Helmholtz equation for electric field is:

$$\nabla \times \nabla \times \vec{E} - k^2 \vec{E} = -j\omega\mu \vec{J}^{inc} \quad (4.52)$$

with  $k^2 = \omega^2 \tilde{\epsilon} \mu$ , where  $\omega$  is angular frequency,  $\mu$  is the permeability and  $\tilde{\epsilon}$  is the equivalent permittivity with  $\tilde{\epsilon} = \epsilon$  in non-conductive region and  $\tilde{\epsilon} = \epsilon - \frac{\sigma}{j\omega}$  in conductive region.

By introducing the three-dimensional Green’s function  $G$  that satisfies the Helmholtz equation,

$$\nabla^2 G(\vec{r}; \vec{r}') + k^2 G(\vec{r}; \vec{r}') = -\delta(\vec{r} - \vec{r}') \quad (4.53)$$

and the Sommerfeld radiation condition, we have a well-known solution:

$$G(\vec{r}; \vec{r}') = \frac{e^{-jk|\vec{r}-\vec{r}'|}}{4\pi|\vec{r}-\vec{r}'|} \quad (4.54)$$

Next, by applying the scalar-vector Green’s theorem [87],

$$\begin{aligned} \iiint_V [b \nabla \times \nabla \times \vec{a} + \vec{a} \nabla^2 b + (\nabla \cdot \vec{a}) \nabla b] dV \\ = \iint_S [(\hat{n} \cdot \vec{a}) \nabla b + (\hat{n} \times \vec{a}) \times \nabla b + (\hat{n} \times \nabla \times \vec{a}) b] dS \end{aligned} \quad (4.55)$$

letting  $\vec{a} = \vec{E}$  and  $b = G$ , we obtain,

$$\begin{aligned} \vec{E}^{inc}(\vec{r}) - \iint_S \left\{ [\hat{n} \cdot \vec{E}(\vec{r}')] \nabla G(\vec{r}; \vec{r}') + [\hat{n} \times \vec{E}(\vec{r}')] \times \nabla G(\vec{r}; \vec{r}') + j\omega\mu[\hat{n} \times \vec{H}(\vec{r}')] G(\vec{r}; \vec{r}') \right\} dS \\ = \begin{cases} \vec{E}(\vec{r}) & \vec{r} \in V \\ 0 & \vec{r} \notin V \end{cases} \end{aligned} \quad (4.56)$$

where,

$$\vec{E}^{inc}(\vec{r}) = -j\omega\mu_0 \iiint_{V^{inc}} \left\{ \vec{J}^{inc}(\vec{r}') G(\vec{r}; \vec{r}') + \frac{1}{k^2} [\nabla' \cdot \vec{J}^{inc}(\vec{r}')] \nabla G(\vec{r}; \vec{r}') \right\} dV' \quad (4.57)$$

To write equation (4.56) in a compact form, we define two operators

$$\mathcal{L}(\vec{X}(\vec{r})) = -jk \iint_S \left\{ \vec{X}(\vec{r}') G(\vec{r}; \vec{r}') + \frac{1}{k^2} [\nabla' \cdot \vec{X}(\vec{r}')] \nabla G(\vec{r}; \vec{r}') \right\} dS' \quad (4.58)$$

$$\mathcal{K}(\vec{X}(\vec{r})) = \iint_S [\vec{X}(\vec{r}') \times \nabla G(\vec{r}; \vec{r}')] dS' \quad (4.59)$$

and the equivalent surface currents,  $\vec{J}_s = \hat{n} \times \vec{H}$  and  $\vec{M}_s = \vec{E} \times \hat{n}$ , we can represent equation (4.56) as

$$\vec{E}^{inc} - Z\mathcal{L}(\vec{J}_s) + \mathcal{K}(\vec{M}_s) = \begin{cases} \vec{E}(\vec{r}) & \vec{r} \in V \\ 0 & \vec{r} \notin V \end{cases} \quad (4.60)$$

where  $Z$  is the equivalent surface impedance defined as  $Z = \sqrt{\frac{\mu}{\epsilon}}$ . Equation (4.60) is called surface EFIE.

### 4.2.2 Magnetic Field Integral Equation

From Faraday's law and Ampère's law, the Helmholtz equation for magnetic field is:

$$\nabla \times \nabla \times \vec{H} - k^2 \vec{H} = \nabla \times \vec{J}^{inc} \quad (4.61)$$

with  $k^2$  defined as same as in equation (4.52). Using the Green's function defined in equation (4.54) and applying the scalar-vector Green's theorem defined in equation (4.55) and letting  $\vec{a} = \vec{H}$  and  $b = G$ , we obtain,

$$\begin{aligned} \vec{H}^{inc}(\vec{r}) - \iint_S \left\{ [\hat{n} \cdot \vec{H}(\vec{r}')] \nabla G(\vec{r}; \vec{r}') + [\hat{n} \times \vec{H}(\vec{r}')] \times \nabla G(\vec{r}; \vec{r}') - j\omega\epsilon [\hat{n} \times \vec{E}(\vec{r}')] G(\vec{r}; \vec{r}') \right\} dS \\ = \begin{cases} \vec{H}(\vec{r}) & \vec{r} \in V \\ 0 & \vec{r} \notin V \end{cases} \end{aligned} \quad (4.62)$$

where,

$$\vec{H}^{inc}(\vec{r}) = - \iiint_{V^{inc}} \left[ \vec{J}^{inc}(\vec{r}') \times \nabla G(\vec{r}; \vec{r}') \right] dV' \quad (4.63)$$

Using the same operators defined in equations (4.58) and (4.59), and the equivalent surface currents,  $\vec{J}_s = \hat{n} \times \vec{H}$  and  $\vec{M}_s = \vec{E} \times \hat{n}$ , we can represent equation (4.62) as

$$\vec{H}^{inc} - \frac{1}{Z} \mathcal{L}(\vec{M}_s) - \mathcal{K}(\vec{J}_s) = \begin{cases} \vec{H}(\vec{r}) & \vec{r} \in V \\ 0 & \vec{r} \notin V \end{cases} \quad (4.64)$$

with the equivalent surface impedance  $Z = \sqrt{\frac{\mu}{\epsilon}}$ . Equation (4.64) is called surface MFIE.

## 4.3 High-order Basis Function

In general, there are two categories of basis function for integral equation method. The first category is defined over the entire solution domain like the plane wave basis set etc. The second category is defined over piecewise sub-domain of the solution domain. For most EM problems, it's very difficult to find a set of basis functions which can completely approximate the EM quantities over the entire domain. Hence the second category of piecewise approximation basis functions are used.

### 4.3.1 RWG Basis Function

As in FEM [3], the locally defined sub-domain basis function has been used very commonly. In particular, the triangular rooftop function, also known as Rao-Wilton-Glisson (RWG) basis function [88], is one of the most popular basis functions that is used extensively in EM problems.

The RWG basis function is defined over two joined triangles at their common edge  $l$  as

$$\vec{\Lambda}(\vec{r}) = \begin{cases} \frac{l}{2A^+} \vec{\rho}^+ & \vec{r} \in T^+ \\ \frac{l}{2A^-} \vec{\rho}^- & \vec{r} \in T^- \end{cases} \quad (4.65)$$

where  $T^\pm$  are the joined triangles,  $A^\pm$  denote the areas of those triangles,  $l$  is the length of the common edge, and  $\vec{\rho}^\pm$  denote the vectors defined as shown in Figure 4.4a. The vector plot of RWG basis function is shown in Figure 4.4b.

The most important feature of this basis function is that the normal component to the edge is a constant and zero at other edges; therefore, it is called zeroth-order RWG basis function.

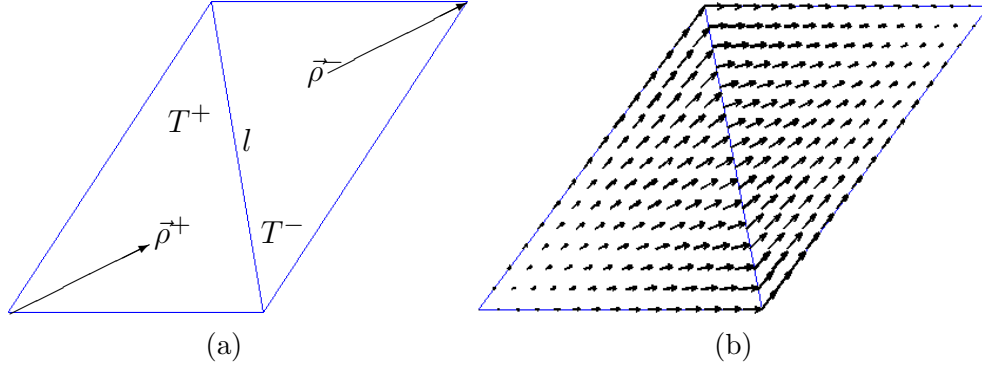


Figure 4.4: *Illusion of RWG basis function*: (a) two joined triangles; (b) vector plot of the zeroth-order RWG function.

### 4.3.2 High-order RWG Basis Function

As in FE analysis [3], the MoM using the RWG and the corresponding higher-order basis functions needs a well-connected mesh. Recently, an interesting set of higher-order RWG basis functions has been developed for both FEM and MoM. These basis functions are defined over each patch using the Lagrange interpolation polynomials [89]. The Lagrange interpolation points are chosen to be the same as the nodes of the well-developed Gaussian quadrature points in triangle. As a result, evaluation of the integrals in the MoM is greatly simplified. Numerical results exhibited higher-order convergence. As an example, the vector plots of first-order RWG basis functions are shown in Figure 4.5.

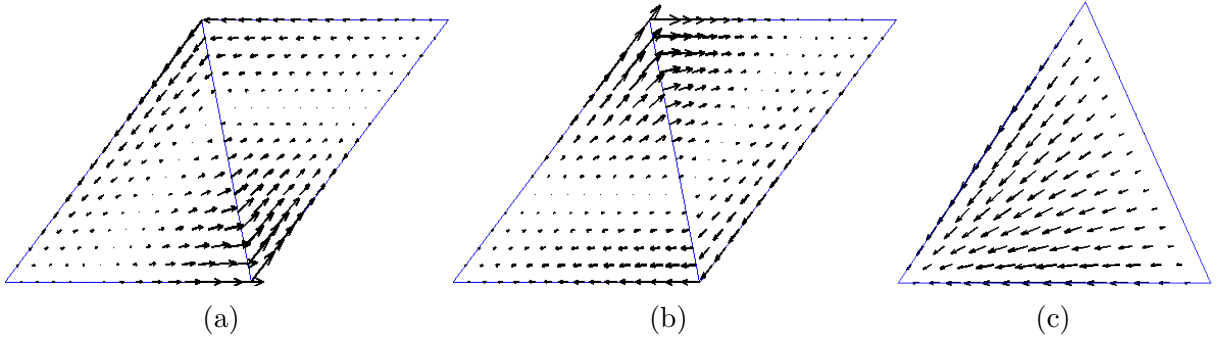


Figure 4.5: *Vector plot of first-order RWG basis function*: (a) basis function associated with the common edge; (b) the other basis function associated with the common edge; (c) basis function associated with the triangle.

## 4.4 Moment Method Procedure

To solve the surface integral equations (4.60) and (4.64), we firstly discretize the entire surface  $S$  into small triangular patches. Then we apply basis functions to expand the surface current density as:

$$\vec{J}_s = \sum_{n=1}^N I_n \vec{\Lambda}_n(\vec{r}) \quad (4.66)$$

$$\vec{M}_s = \sum_{n=1}^N K_n \vec{\Lambda}_n(\vec{r}) \quad (4.67)$$

where  $N$  is the number of unknowns.

Since the MoM procedures for both EFIE and MFIE are similar, the MFIE is taken as an example here. For a homogeneous body, using the same basis functions as the testing functions, and applying the Galerkin method to the MFIE as defined in equation (4.60) on both sides of the surface, we obtain the matrix equations:

$$\sum_{n=1}^N A_{mn} I_n + \sum_{n=1}^N B_{mn} K_n = f_m \quad (4.68)$$

$$\sum_{n=1}^N C_{mn} I_n + \sum_{n=1}^N D_{mn} K_n = g_m \quad (4.69)$$

in which,  $m = 1, 2, \dots, N$ , and

$$A_{mn} = Z_0 \oint \oint_S \vec{\Lambda}_m \cdot \left[ \vec{\Lambda}_n + \hat{n} \times \mathcal{K}(\vec{\Lambda}_n) \right] dS \quad (4.70)$$

$$B_{mn} = \oint \oint_S \vec{\Lambda}_m \cdot \left[ \hat{n} \times \mathcal{L}(\vec{\Lambda}_n) \right] dS \quad (4.71)$$

$$C_{mn} = Z \oint \oint_S \vec{\Lambda}_m \cdot \left[ \vec{\Lambda}_n + \hat{n} \times \mathcal{K}_i(\vec{\Lambda}_n) \right] dS \quad (4.72)$$

$$D_{mn} = \oint \oint_S \vec{\Lambda}_m \cdot \left[ \hat{n} \times \mathcal{L}_i(\vec{\Lambda}_n) \right] dS \quad (4.73)$$

$$f_m = \oint \oint_S \vec{\Lambda}_m \cdot \left( \hat{n} \times \vec{H}^{inc} \right) dS \quad (4.74)$$

$$g_m = 0 \quad (4.75)$$

Thus, we can form a complete linear system for  $I_n$  and  $K_n$  that should be solved.

## 4.5 Singularity of Green's Function

Both operators defined in equations (4.58) and (4.59) include Green's function which is defined in equation (4.54):

$$G = \frac{e^{-jk|\vec{r}-\vec{r}'|}}{4\pi|\vec{r}-\vec{r}'|} = \frac{e^{-jkR}}{4\pi R} \quad (4.54 \text{ revisited})$$

In order to evaluate the matrices defined in equations (4.70) to (4.73) numerically, the diagonal terms, when  $m = n$ , need to be evaluated at the singularity points when  $R = 0$ . Moreover, due to the behaviour of the Green's function, when  $R \rightarrow 0$ , the numerical quadrature methods such as Gaussian quadrature etc. will suffer from low accuracy in these evaluations [90–104].

One popular method to solve this problem is Duffy's method [103]. Basically, Duffy's method

consists of choosing two sets of non-overlapping Gauss-Legendre quadrature points in the triangle to avoid numerical division by zero. However, as mentioned above, the accuracy is always very bad as  $R \rightarrow 0$ . Other methods involving transformation of the integrals to cylinder coordinates [99] have not shown significant improvement either.

Another method has been presented in [104] to fully solve this problem on a plane triangle.

Expanding the Green's function in Maclaurin series,

$$G = \frac{e^{-jkR}}{4\pi R} = \frac{1}{4\pi} \left[ \frac{1}{R} + (-jk) + \frac{(-jk)^2}{2}R + \frac{(-jk)^3}{6}R^2 + \dots \right] \quad (4.76)$$

we can clearly see that the first term  $\frac{1}{R}$  is the reason which causes the accuracy issue. Additionally, the first  $\frac{1}{R}$  and third term  $\frac{(-jk)^2}{2}R$  lead to additional accuracy problems when evaluating the gradient of Green's function. Thus, we need to remove the singular points and evaluate the integral by reconstructing the Green's function as

$$G = \left( G - \frac{1}{4\pi R} + \frac{k^2}{8\pi}R \right) + \frac{1}{4\pi R} - \frac{k^2}{8\pi}R \quad (4.77)$$

As a consequence,

$$\nabla G = \nabla \left( G - \frac{1}{4\pi R} + \frac{k^2}{8\pi}R \right) + \frac{1}{4\pi} \nabla \frac{1}{R} - \frac{k^2}{8\pi} \nabla R \quad (4.78)$$

The first term in equations (4.77) and (4.78) is very smooth and can be evaluated accurately by using Gauss-Legendre quadrature. The second and third terms in those equations can be analytically evaluated [104]. This approach has shown considerable promise.

## 4.6 Low Frequency Breakdown of SIE

Firstly, we introduce the regimes of EM physics:

- low frequency,  $kd \ll 1$ ;
- middle frequency,  $kd \sim O(1)$ ;
- high Frequency,  $kd \gg 1$ .

where,  $k = \frac{2\pi}{\lambda}$  is wave-number and  $d$  is typical size of the domain of interest.

The EFIE suffers from a low-frequency breakdown [105–112] for perfect electrical conductors (PEC) problems, and both EFIE and MFIE suffer from low-frequency breakdown for penetrable body problems. This problem, however, is not specific to integral equations. It is also germane to differential equation solutions of EM. In other words, a numerical EM solver, formulated for mid-frequency, may not work well for low frequency.

We can understand the low-frequency breakdown problem by looking at the Maxwell's equations when  $\omega \rightarrow 0$  [105, 112]. At zero frequency, they become

$$\nabla \times \vec{E} = 0 \tag{4.79}$$

$$\nabla \times \vec{H} = \vec{J} \tag{4.80}$$

$$\nabla \cdot \vec{D} = \nabla \cdot \epsilon \vec{E} = \rho = \lim_{\omega \rightarrow 0} \frac{\nabla \cdot \vec{J}}{j\omega} \tag{4.81}$$

$$\nabla \cdot \vec{B} = \nabla \cdot \mu \vec{H} \tag{4.82}$$

From equation (4.80), the current density  $\vec{J}$  that produces the magnetic field must be divergence free. However, the current density  $\vec{J}$  that produces a surface charge density  $\rho$  in equation (4.81) cannot be divergence free, but in order to keep the right-hand side of

equation (4.81) bounded, the  $\vec{J} \sim O(\omega)$ , when  $\omega \rightarrow 0$ .

To solve this problem, we can decompose the current density into a solenoidal (divergence free) part and a irrotational (curl free) part. Consequently, the respective basis functions need to be decomposed into those parts, according to loop-star and loop-tree decomposition [34–39, 105, 112]. However, this kind of decomposition is not robust and may not be resolved properly for certain discretization.

As a solution [67–70, 73, 74], especially for EC problems, we have, inside the conducting region,

$$\vec{E}_i = \oint\!\!\!\oint_S [\vec{M}_s \times \nabla G_i + j\omega\mu_i \vec{J}_s G_i] dS \quad (4.83)$$

$$\vec{H}_i = \oint\!\!\!\oint_S [\sigma \vec{M}_s G_i - \vec{J}_s \times \nabla G_i + \frac{\nabla \cdot \vec{M}_s}{j\omega\mu_i} \nabla G_i] dS \quad (4.84)$$

and in free space,

$$\vec{H}_o = \vec{H}^{inc} + \oint\!\!\!\oint_S [\vec{J}_s \times \nabla G_o - \frac{\nabla \cdot \vec{M}_s}{j\omega\mu_o} \nabla G_o] dS \quad (4.85)$$

Therefore, we obtain,

$$\vec{M}_s = -\hat{n} \times \oint\!\!\!\oint_S [\vec{M}_s \times \nabla G_i + j\omega\mu_i \vec{J}_s G_i] dS \quad (4.86)$$

$$\frac{\nabla \cdot \vec{M}_s}{j\omega\mu_i} = \hat{n} \cdot \oint\!\!\!\oint_S [\sigma \vec{M}_s G_i - \vec{J}_s \times \nabla G_i + \frac{\nabla \cdot \vec{M}_s}{j\omega\mu_i} \nabla G_i] dS \quad (4.87)$$

$$\vec{J}_s - \hat{n} \times \vec{H}^{inc} = \hat{n} \times \oint\!\!\!\oint_S [\vec{J}_s \times \nabla G_o - \frac{\nabla \cdot \vec{M}_s}{j\omega\mu_o} \nabla G_o] dS \quad (4.88)$$

With proper choice of basis functions, we can easily discretize any kind of geometry and form a linear system of equations that should be solved.

## 4.7 Validation

In this section, the validation of EC problems by applying the formulations discussed in section 4.6 has been presented and discussed.

The following two problems have been considered: *i*) an air core pancake coil is scanned, along the direction of the length of a rectangular notch in an aluminium alloy plate; *ii*) an air core RPC is scanned, along the axial direction inside an inconel 600 SG tube with a longitudinal through-wall notch.

In the first case, the coil lift-off is fixed and the changes in coil impedance,  $\Delta Z$ , is measured as a function of coil-center position. The parameters for this test experiment are listed in Table 4.1. This is a benchmark problem reported in [113–117]. The comparison between the experiment and simulation signals is shown in Figure 4.7.

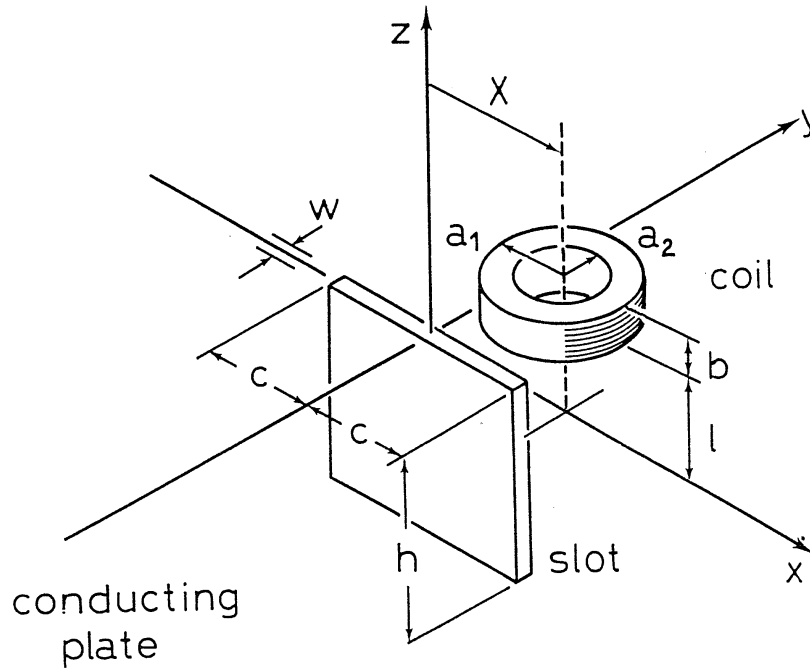


Figure 4.6: *Diagram for the measurement of  $\Delta Z$  due to a surface breaking slot [115].*

Coil	
Inner radius (a2)	$9.34 \pm 0.05$ mm
Outer radius (a1)	$18.4 \pm 0.05$ mm
Length (b)	$9.00 \pm 0.20$ mm
Number of turns (N)	408
Lift-off (l)	$2.03 \pm 0.05$ mm
Test specimen	
Conductivity (s)	$3.06 \pm 0.02 \times 10^7$ S/m
Thickness	$12.22 \pm 0.02$ mm
Defect	
Length (2c)	$12.60 \pm 0.02$ mm
Depth (h)	$5.00 \pm 0.05$ mm
Width (w)	$0.28 \pm 0.01$ mm
Other parameters	
Frequency	7000 Hz
Skin depth at 7000 Hz	1.09 mm
Isolated coil inductance	$3.96 \pm 0.10$ mH

Table 4.1: Parameters of test experiment No. 1.

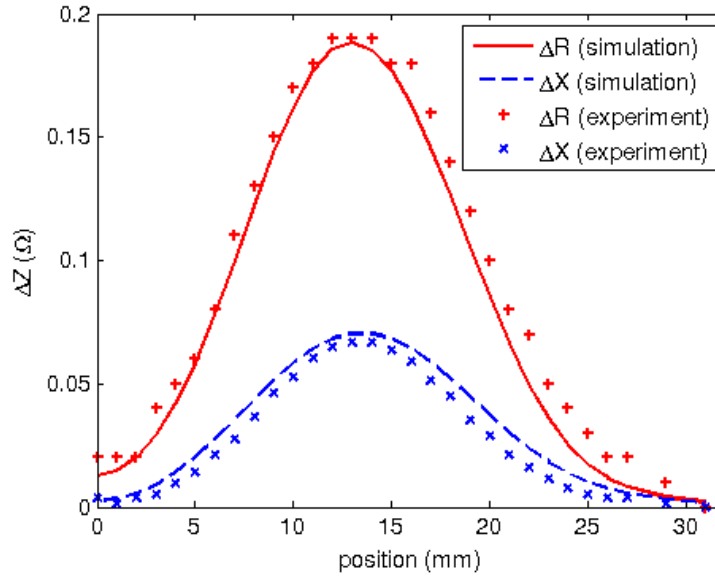


Figure 4.7: Variation of coil impedance change with scanning location above an aluminium alloy plate.

In the second case, the coil lift-off is fixed and the changes in coil impedance,  $\Delta Z$ , is measured as a function of coil-center position. The parameters for this test experiment are listed in Table 4.2. This is a World Federation of NDE Centers (WFNDEC) 2012 EC benchmark

problem [118]. The comparison between the experiment and simulation signals is shown in Figure 4.9.

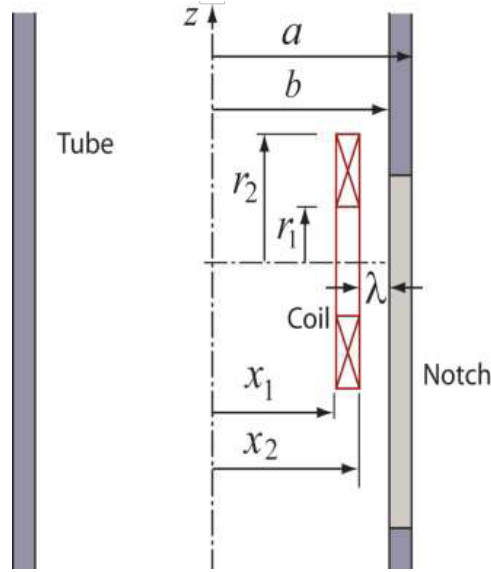
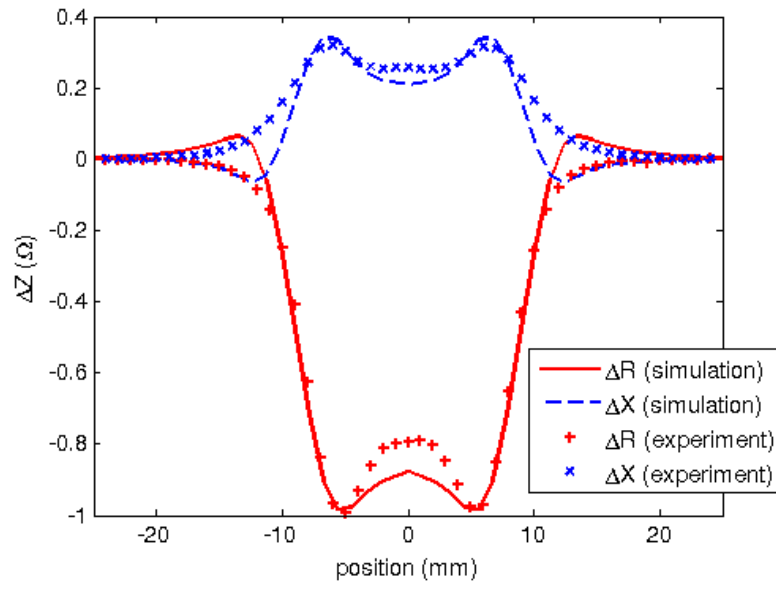


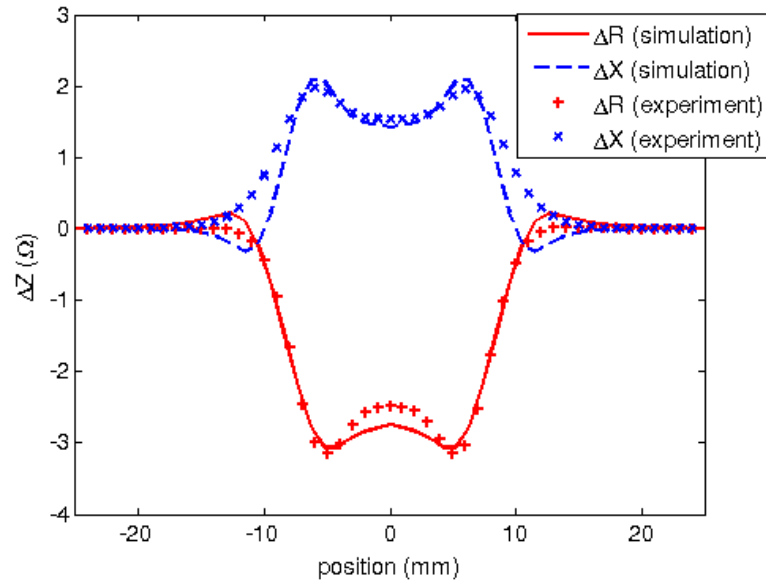
Figure 4.8: *Geometry of a rotating probe coil inside an inconel steam generator tube with an axial through-wall notch* [118].

Coil	
Inner radius (r2)	$1.529 \pm 0.004$ mm
Outer radius (r1)	$3.918 \pm 0.003$ mm
Height (x2-x1)	$1.044 \pm 0.005$ mm
Number of turns (N)	305
Lift-off ( $\lambda$ )	1.235 mm
Tube	
Inner diameter (a)	$16.64 \pm 0.025$ mm
Outer diameter (b)	$18.99 \pm 0.025$ mm
Material	Inconel 600
Defect	
Length	12.20 mm
Depth	through-wall
Width	85 $\mu$ m
Other parameters	
Frequency	25, 50, 100 kHz
Isolated DC coil inductance	465 $\mu$ H
Isolated coil resistance	19.00 $\Omega$

Table 4.2: Parameters of test experiment No. 2.



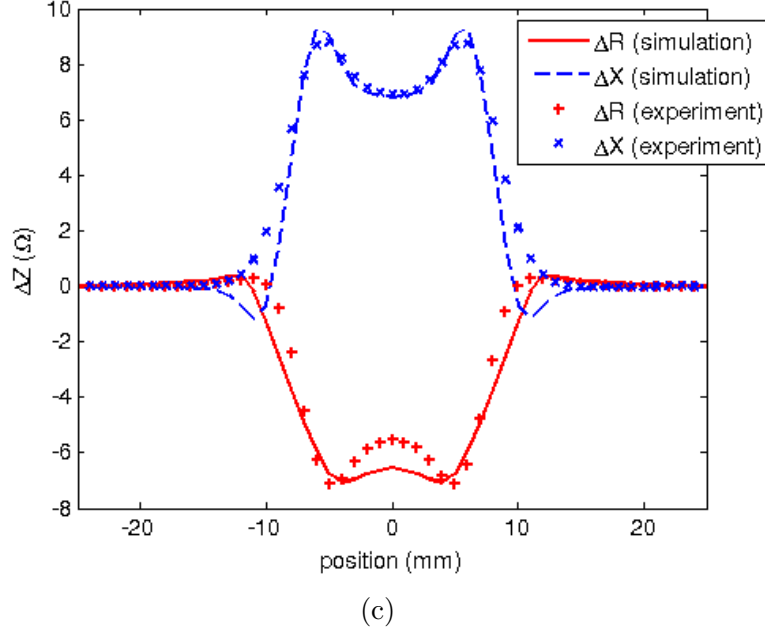
(a)



(b)

Figure 4.9: Variation of coil impedance change with scanning location inside an inconel steam generator tube at: (a) 25 kHz; (b) 50 kHz; (c) 100 kHz.

Figure 4.9 (Cont'd)



As shown in Figures 4.7 and 4.9, the simulation signals are qualitatively matched with the experiment signals. The mismatch between the simulation and experimental signals is mainly caused by two reasons: mesh quality and Galerkin testing. For mesh quality, if a curvilinear surface has been discretized by using planner triangular patches as we present in the case two of a tubing geometry, inaccuracy of simulation signals is higher. For instance, simulation signals in the first case of slab geometry compares more closely with experiment signals than that in the second case, since the mesh of a plate geometry is more regular than that of a tube geometry. For Galerkin testing, proper choice of the testing procedure, as discussed in section 7.2.2.2, could considerably improve the accuracy of modelling geometries with thin notches. This is an issue discussed in future work.

## 4.8 Conclusion

Using the higher-order RWG basis function, we use equations (4.86) to (4.88) to solve the EC problem using the MoM as discussed in section 4.4. Due to the localized nature of the EC fields, the near-field EM interaction plays a major role. Applying the singularity removal techniques discussed in section 4.5, we have computed the EC field distributions with adequate accuracy.

# Chapter 5

## Fast Algorithms for Integral Equation

### 5.1 Matrix Compression Algorithms

#### 5.1.1 Low-Rank Matrix

Consider a group of basis functions and a group of testing functions, whose interactions are represented as a sub-block in MoM matrices,  $A_{mn}$ ,  $B_{mn}$ ,  $C_{mn}$  and  $D_{mn}$ , formulated in section 4.4. When the two groups, the group of basis functions and the group of testing functions, are near to each other, the EM interactions between any pair of testing and basis functions will be sensitive to each other; on the contrary, when they are far-apart, the EM interactions between any pair of testing and basis functions will be similar. In other words, the corresponding sub-block of the matrices, which represents the far-apart groups, can be re-described with fewer parameters. This kind of matrix is considered to be a low-rank matrix, and the sub-blocks which represents near field interactions are full-rank matrices. For instance, if an  $M$ -by- $M$  sub-block  $[a]$  is low-rank, it can be represented as:

$$[a]_{M \times M} = [u]_{M \times R} [v]_{R \times M} + [e]_{M \times M} \quad (5.1)$$

where,  $[e]$  is the error matrix and  $R$  is called rank of matrix  $[a]$ .

To take advantage of the low-rank of the sub-blocks, the well-established singular-value

decomposition (SVD) method [119] can be used to derive a compressed form of the MoM matrices. However, SVD method is computationally expensive. There are several methods that can be applied to compress a low-rank matrix. One of the most popular methods, adaptive cross-approximation (ACA), and another recently developed method, namely, randomized algorithm, is introduced in the following sections.

### 5.1.2 Adaptive Cross-Approximation

Similar to the LU decomposition method with full pivoting, in cross-approximation (CA) method, matrices  $[u]$  and  $[v]$  are constructed by minimizing the error matrix with given tolerance. This method, however, needs a full knowledge of the matrix  $[a]$ , and therefore it is computationally expensive like the SVD method.

To minimize the computational requirements in CA method, the ACA method completes the same task using the following procedure:

- first iteration
  - randomly pick a row number  $I_1 \in [1, M]$ ,
  - set  $v(1, :) = a(I_1, :)$ ,
  - find column number  $J_1$  of the largest element in  $v(1, :)$ ,
  - set  $u(:, 1) = \frac{a(:, J_1)}{v(1, J_1)}$ ;
- second iteration
  - find a row number  $I_2$  of the largest element in  $u(:, 1)$ ,
  - set  $v(2, :) = a(I_2, :) - u(I_2, 1)v(1, :)$ ,
  - find column number  $J_2$  of the largest element in  $v(2, :)$ ,

$$- \text{ set } u(:, 2) = \frac{a(:, J_2) - u(:, 1)v(1, J_2)}{v(2, J_2)};$$

•  $k$ -th iteration

$$- \text{ find a row number } I_k \text{ of the largest element in } u(:, k-1),$$

$$- \text{ set } v(k, :) = a(I_k, :) - \sum_{i=1}^{k-1} u(I_k, i)v(i, :),$$

$$- \text{ find column number } J_k \text{ of the largest element in } v(k, :),$$

$$- \text{ set } u(:, k) = \frac{a(:, J_k) - \sum_{j=1}^{k-1} u(:, j)v(j, J_k)}{v(k, J_k)}.$$

To terminate the iterations, we need to compute the Frobenius norm of the error matrix and the original matrix at the  $k$ -th step. We can approximate both norms as:

$$\|e\| \approx \|e^{(k)}\| \approx \|u(:, k)\| \cdot \|v(k, :)\| \quad (5.2)$$

$$\begin{aligned} \|z\|^2 \approx \|z^{(k)}\|^2 &= \|z^{(k-1)}\|^2 + \|u(:, k)\|^2 + \|v(k, :)\|^2 \\ &\quad + 2 \sum_{j=1}^{k-1} |u^T(:, j)u(k, :)| \cdot |v(j, :)v^T(:, k)| \end{aligned} \quad (5.3)$$

and stop the iteration once  $\|e\| < \varepsilon\|z\|$ .

We can see that the ACA method requires partial knowledge of the matrix  $[a]$  to find its approximated compressed form. The entire process requires  $O(R^2M)$  operations to obtain the compressed matrix with a memory requirement of  $O(RM)$ , and once the approximation is generated,  $O(RM)$  operations will be needed to calculate each matrix-vector multiplication [120, 121].

### 5.1.3 Randomized Algorithm

Recently, a fast randomized algorithm for the approximation of low-rank matrices has been presented [122–124]. There are two variations of this algorithm: first, compress a matrix by the randomized algorithm called interpolative decomposition (ID); second, use the ID to obtain a much more efficient SVD method. By using this algorithm, we can construct a rank  $k$  approximation  $[Z]$  from  $[A]$  at a cost proportional to  $O(m^2 \log(k) + 2l^2 m)$ .

#### 5.1.3.1 Interpolative Decomposition

Find an  $m$ -by- $k$  complex matrix  $[B]$  with  $k$  columns appropriately chosen from  $[A]$ , and construct a  $k$ -by- $m$  matrix  $[P]$ , such that

$$\|P\| \leq \sqrt{4k(m-k)+1} \quad (5.4)$$

and

$$\|BP - A\| \leq m\sqrt{k}\sigma_{k+1} \quad (5.5)$$

We pick an integer  $l$  close to  $k$  with  $k < l < m$ , and

- using a random number generator, form a  $l$ -by- $m$  matrix  $[R]$  whose entries are independent and identically distributed (i.i.d.) Gaussian random variables of zero mean and unit variance, and compute the  $l$ -by- $m$  product matrix:  $Y = RA$ ;
- using the algorithm of [122], form a complex  $l$ -by- $k$  matrix  $[Z]$  whose columns constitute a subset of the columns of  $[Y]$ , and a complex  $k$ -by- $n$  matrix  $[P]$ , such that some subset

of the columns of  $[P]$  makes up the  $k$ -by- $k$  identity matrix, and

$$||ZP - Y|| \leq \sqrt{4k(m - k) + 1}\eta_{k+1} \quad (5.6)$$

where  $\eta_{k+1}$  is the  $(k + 1)$ -th greatest singular value of  $[Y]$ ;

- considering the fact that the columns of  $[Z]$  constitute a subset of the columns of  $[Y]$ , for any  $j = 1, 2, \dots, k$ , an integer  $i_j$  is existing such that the  $j$ -th column of  $[Z]$  is the  $i_j$ -th column of  $[Y]$ . Form a real  $m$ -by- $k$  matrix  $[B]$  from  $[A]$  that for any  $j = 1, 2, \dots, k$ , the  $j$ -th column of  $[B]$  is the  $i_j$ -th column of  $[A]$ .

### 5.1.3.2 Converting Interpolative Decomposition into Singular Value Decomposition

Now we construct an SVD of matrix  $[A]$ , such that

$$||U\Sigma V^* - A|| \leq m\sqrt{k}\sigma_{k+1} \quad (5.7)$$

where  $[U]$  and  $[V]$  are two column-wise orthonormal complex  $m$ -by- $k$  matrices,  $[\Sigma]$  is a non-negative real diagonal  $k$ -by- $k$  matrix.

By using the ID, we can compute an approximated SVD of  $[A]$  as following:

- construct a lower triangular complex  $k$ -by- $k$  matrix  $[L]$ , and a complex  $m$ -by- $k$  matrix  $[Q]$  with orthonormal columns, and make those two matrices satisfy that  $P = LQ^*$ ;
- compute the  $m$ -by- $k$  product matrix  $C = BL$ ;
- construct an SVD of  $[C]$ , which is  $C = U\Sigma W^*$ , where  $[U]$  is a column-wise orthonormal

complex  $m$ -by- $k$  matrix,  $[\Sigma]$  is a real diagonal  $k$ -by- $k$  matrix with non-negative entries, and  $[W]$  is a column-wise orthonormal complex  $k$ -by- $k$  matrix;

- compute the  $m$ -by- $k$  product matrix  $V = QW$ .

For the purpose of compressing the matrices, it will be sufficient to use ID only, and the computational efficiency complexity of ID is  $O(m^2 \log(k) + lkm)$ .

## 5.2 Adaptive Integral Method

### 5.2.1 FFT-based Method

This method is based on the well-known fast Fourier transform (FFT), where we calculate a discrete convolution in  $O(N \log N)$  steps instead of a direct calculation in  $O(N^2)$  steps. For certain geometries, it is possible to perform the calculation in equations (4.68) and (4.69) using discrete convolutions. As a consequence, when we are using iterative methods, like the stationary methods or Krylov subspace methods etc., to solve the linear system, we can easily accelerate each matrix-vector multiplication by reducing  $O(N^2)$  double-floating computations to  $O(N \log N)$  double-floating computations [125–141].

Once the geometry is meshed into uniform grids, the element values of MoM matrices,  $A_{mn}$ ,  $B_{mn}$ ,  $C_{mn}$  and  $D_{mn}$ , formulated in section 4.4 will only depend on the difference between  $m$  and  $n$ . Such type of matrices is called Toeplitz matrix [142] and the matrix-vector multiplication with this matrix can be calculated very efficiently using FFT. For example, the multiplication  $A_{mn}I_n$  can be written in the form of a cyclic convolution:

$$\sum_{n=1}^N A_{mn}I_n = A_{1n} \otimes I_n \quad (5.8)$$

This convolution can be computed by using the discrete Fourier transform (DFT):

$$[A]\{I\} = \mathcal{F}^{-1}\{\mathcal{F}\{A_n^p\} \odot \mathcal{F}\{I_n^p\}\} \quad (5.9)$$

where  $\mathcal{F}^{-1}$  and  $\mathcal{F}$  denote inverse DFT and DFT respectively. Operator  $\odot$  is the Hadamard product,  $\{A_n^p\}$  and  $\{I_n^p\}$  are expanded vectors defined as:

$$A_n^p = \begin{cases} A_{1,n} & n = 1, 2, \dots, N \\ A_{1,2N-n} & n = N+1, N+2, \dots, 2N-1 \end{cases} \quad (5.10)$$

$$I_n^p = \begin{cases} I_n & n = 1, 2, \dots, N \\ 0 & n = N+1, N+2, \dots, 2N-1 \end{cases} \quad (5.11)$$

The DFTs can be easily calculated using FFT, and the requirements of memory and the computation are proportional to  $O(N)$  and  $O(N \log N)$  respectively. As discussed in [134] for conducting plate and [141] for dielectric objects, this method shows great efficiency in both aspects of computation time and memory requirement.

### 5.2.2 Adaptive Integral Method

For most problems, the solution domain is not easy to be meshed into uniform grids. To remove this difficulty, the adaptive integral method (AIM) has been developed [29, 30, 143–151]. The fundamental idea of AIM is firstly to project the sub-domain basis functions onto uniform grids and then apply the FFT to the matrix-vector multiplication as described in above section.

As shown in Figure 5.1, the projection of basis function  $\psi_m(x, y, z)$  can be calculated by the

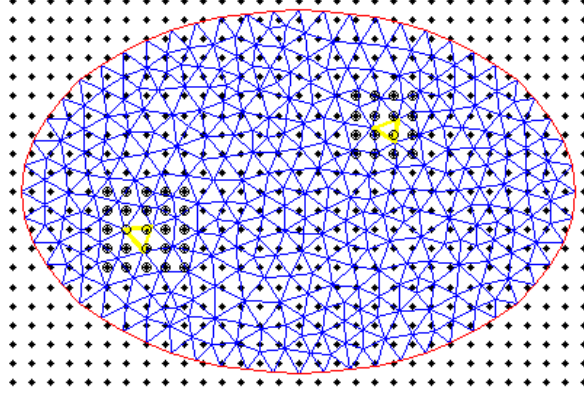


Figure 5.1: of triangular RWG basis onto rectangular grids.

multipole moment approximation:

$$\sum_{p=1}^{(M+1)^2} (x_p - x_0)^u (y_p - y_0)^v (z_p - z_0)^w T_{mp} = \iiint_V \psi(x, y, z) (x - x_0)^u (y - y_0)^v (z - z_0)^w dV \quad (5.12)$$

where,  $0 \leq u, v, w \leq (M+1)^2$ ,  $\vec{r}_0 = (x_0, y_0, z_0)$  is the reference point, and  $M$  is the projection order. Examples are shown in Figure 5.1 with  $M = 3$  and  $M = 4$ . With this definition in equation (5.12), we can form a set of equations to solve for  $T_{mp}$ . Considering  $A_{mn}$  as example again, we can approximate it as:

$$A_{mn} \approx A_{mn}^{far} = \sum_{p=1}^{(M+1)^2} \sum_{q=1}^{(M+1)^2} T_{mp} G(\vec{r}_p; \vec{r}_q) T_{nq} \quad (5.13)$$

This projection only offers good accuracy when the basis and testing functions are far away from each other. To correct the near fields relations, we define:

$$A_{mn}^{near} = A_{mn} - A_{mn}^{far} \quad (5.14)$$

where  $A_{mn}^{near}$  will be a sparse matrix when its small elements are neglected. As result, we can calculate the matrix-vector multiplication as following:

$$[A]\{I\} = [A^{near}]\{I\} + [T]\mathcal{F}^{-1} \left\{ \mathcal{F}\{G^p\} \odot \mathcal{F} \left\{ \left[ [T]^T \{I\} \right]^p \right\} \right\} \quad (5.15)$$

The number of computations of each matrix-vector multiplication is proportional to  $O(N \log N)$  [144].

### 5.3 Fast Multipole Algorithm

In addition to the matrix compression techniques and AIM, another algorithm to speed up the matrix-vector multiplication computation is fast multipole algorithm (FMA), which is one of the most popular methods used in solving various EM problems [125, 152–173].

To use the FMA, we need to divide all the basis functions into groups, as shown in Figure 5.2, where  $\vec{r}_p$  is the center of group  $G_p$ ,  $\vec{r}_q$  is the center of group  $G_q$ , the distance between  $\vec{r}_m$  belonging to  $G_p$  and  $\vec{r}_n$  belonging to  $G_q$  is broken in to three segments:  $\vec{d}_1 = \vec{r}_q - \vec{r}_n$ ,  $\vec{D} = \vec{r}_p - \vec{r}_q$ ,  $\vec{d}_2 = \vec{r}_m - \vec{r}_p$ .

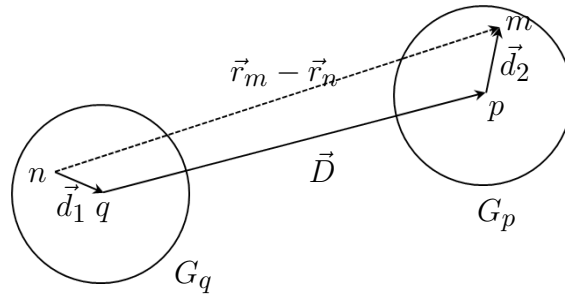


Figure 5.2: Direction from  $\vec{r}_n$  to  $\vec{r}_m$  broken into three segments:  $\vec{d}_1$ ,  $\vec{D}$ ,  $\vec{d}_2$ .

All groups are divided to two types with respective to each group: neighbouring groups and far-field groups.

Meanwhile, we revisit the regimes of EM physics:

- low frequency,  $kd \ll 1$ ;
- middle frequency,  $kd \sim O(1)$ ;
- high Frequency,  $kd \gg 1$ .

### 5.3.1 Middle Frequency Regime

By using the addition theorem [125], the three-dimensional free space Green's function can be derived as:

$$\frac{e^{-jk|\vec{d}+\vec{D}|}}{4\pi|\vec{d}+\vec{D}|} = -\frac{jk}{4\pi} \sum_{l=0}^{\infty} (-1)^l (2l+1) j_l(kd) h_l^{(2)}(kD) P_l(\hat{d} \cdot \hat{D}) \quad (5.16)$$

where  $d < D$ ,  $j_l(x)$  and  $h_l^{(2)}(x)$  are spherical Bessel function and the second kind spherical Hankel function respectively, and  $P_l(x)$  is Legendre polynomial. From the elementary identity [27]:

$$j_l(kd) P_l(\hat{d} \cdot \hat{D}) = \frac{j^l}{4\pi} \oint e^{-j\vec{k} \cdot \vec{d}} P_l(\hat{k} \cdot \hat{D}) d^2 \hat{k} \quad (5.17)$$

we can represent the Green's function as:

$$\begin{aligned} G(\vec{r}_m; \vec{r}_n) &= \frac{e^{-jk|\vec{r}_m - \vec{r}_n|}}{4\pi|\vec{r}_m - \vec{r}_n|} = \frac{e^{-jk|\vec{d}_1 + \vec{d}_2 + \vec{D}|}}{4\pi|\vec{d}_1 + \vec{d}_2 + \vec{D}|} \\ &= -\frac{jk}{(4\pi)^2} \oint \oint e^{-j\vec{k} \cdot (\vec{d}_1 + \vec{d}_2)} \sum_{l=0}^{\infty} (-1)^l (2l+1) h_l^{(2)}(kD) P_l(\hat{k} \cdot \hat{D}) d^2 \hat{k} \end{aligned} \quad (5.18)$$

Thus,

$$G(\vec{r}_m; \vec{r}_n) \approx \frac{1}{jk} \oint\!\!\!\oint e^{-j\vec{k} \cdot (\vec{d}_1 + \vec{d}_2)} \alpha(\vec{k}, \vec{D}) d^2 \hat{k} \quad (5.19)$$

where,

$$\alpha(\vec{k}, \vec{D}) = \left( \frac{k}{4\pi} \right)^2 \sum_{l=0}^L (-1)^l (2l+1) h_l^{(2)}(kD) P_l(\hat{k} \cdot \hat{D}) \quad (5.20)$$

As a consequence, we can simplify the matrix-vector multiplication as:

$$\sum_{n=1}^N A_{mn} I_n \approx \sum_{m \in B_p} \sum_{n \in G_q} A_{mn} I_n + \oint\!\!\!\oint \vec{V}_{mp}(\vec{k}, \vec{d}_1) \cdot \sum_{m \notin B_p} \alpha_{pq}(\vec{k}, \vec{D}) \sum_{n \in G_q} \vec{V}_{qn}(\vec{k}, \vec{d}_2) d^2 \hat{k} \quad (5.21)$$

where  $B_p$  denotes the neighbouring groups of  $G_p$  including  $G_p$  itself.

The FMA and its multilevel implementation, called multilevel FMA (MLFMA), offer  $O(N^{1.5})$  and  $O(N \log N)$  computational complexity respectively [174], and are shown to achieve acceleration in mid-frequency EM scattering problems [152–156].

Additionally, the truncation number  $L$  of the series in equation (5.20) has been well studied by analysing the truncation error [157–159], and a good choice of  $L$  is given by  $L = kD + 6(kD)^{\frac{1}{3}}$ .

### 5.3.2 Low Frequency Regime

As discussed above, in the low-frequency regime including EC problems,  $kd \ll 1$ , the choice of  $L$  is small which cause instability in the evaluation of the equation (5.19). As a consequence, the representation shown in equation (5.18) is not suitable for low-frequency regime [160–

163].

Using the addition theory for spherical functions,

$$\begin{aligned}
j_l(kr)Y_{lm}(\theta, \phi) = & \sum_{l'=0}^{\infty} \sum_{m'=-l}^l j_{l'}(kr')Y_{l'm'}(\theta', \phi') \sum_{l''=0}^{\infty} 4\pi(-i)^{l'+l''-l} j_{l''}(kr'')Y_{l''m-m'}(\theta'', \phi'') \\
& \cdot (-1)^m \sqrt{\frac{(2l+1)(2l'+1)(2l''+1)}{4\pi}} \begin{pmatrix} l & l' & l'' \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l & l' & l'' \\ -m & m' & m-m' \end{pmatrix} \quad (5.22)
\end{aligned}$$

$$\begin{aligned}
h_l^{(2)}(kr)Y_{lm}(\theta, \phi) = & \sum_{l'=0}^{\infty} \sum_{m'=-l}^l h_{l'}^{(2)}(kr')Y_{l'm'}(\theta', \phi') \sum_{l''=0}^{\infty} 4\pi(-i)^{l'+l''-l} j_{l''}(kr'')Y_{l''m-m'}(\theta'', \phi'') \\
& \cdot (-1)^m \sqrt{\frac{(2l+1)(2l'+1)(2l''+1)}{4\pi}} \begin{pmatrix} l & l' & l'' \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l & l' & l'' \\ -m & m' & m-m' \end{pmatrix}
\end{aligned}$$

$$\text{for } r'' < r' \quad (5.23)$$

we can derive the Green's function as,

$$\begin{aligned}
G(\vec{r}_m; \vec{r}_n) &= \frac{e^{-jk|\vec{r}_m - \vec{r}_n|}}{4\pi|\vec{r}_m - \vec{r}_n|} = \frac{e^{-jk|\vec{d}_1 + \vec{d}_2 + \vec{D}|}}{4\pi|\vec{d}_1 + \vec{d}_2 + \vec{D}|} \\
&= -\frac{jk}{4\pi} \sum_{l=0}^{\infty} \sum_{m=-l}^l (-1)^l (2l+1) h_l^{(2)}(kD) \tilde{Y}_{lm}(\theta_D, \phi_D) \\
&\quad \cdot \sum_{l_1=0}^{\infty} \sum_{m_1=-l_1}^{l_1} (-1)^{m_1} (2l_1+1) j_{l_1}(kd_1) \tilde{Y}_{l_1 m_1}^*(\theta_{d_1}, \phi_{d_1}) \\
&\quad \cdot \sum_{l_2=|l-l_1|}^{l+l_1} i^{l_1+l_2-l} (2l_2+1) j_{l_2}(kd_2) \tilde{Y}_{l_2 m-m_1}^*(\theta_{d_2}, \phi_{d_2}) \\
&\quad \cdot \begin{pmatrix} l & l' & l'' \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l & l' & l'' \\ -m & m' & m-m' \end{pmatrix} \tag{5.24}
\end{aligned}$$

where, the spherical harmonics

$$Y_{lm}(\theta, \phi) = \sqrt{\frac{2l+1}{4\pi}} \tilde{Y}_{lm}(\theta, \phi) \tag{5.25}$$

$$\tilde{Y}_{lm}(\theta, \phi) = \sqrt{\frac{(l-m)!}{(l+m)!}} P_l^m(\cos\theta) e^{-im\phi} \tag{5.26}$$

$P_l^m(x)$  is given by associated Legendre polynomials and  $\begin{pmatrix} l & l' & l'' \\ m & m' & m'' \end{pmatrix}$  is the Wigner 3-j symbol [175].

With the expansion in equation (5.24), as in equation (5.21), we can represent the matrix-vector multiplication as [160–167],

$$\sum_{n=1}^N A_{mn} I_n \approx \sum_{m \in B_p} \sum_{n \in G_q} A_{mn} I_n + \vec{V}_{mp}(k, \vec{d}_1) \cdot \sum_{m \notin B_p} \alpha_{pq}(k, \vec{D}) \sum_{n \in G_q} \vec{V}_{qn}(k, \vec{d}_2) \tag{5.27}$$

with,

$$\alpha_{pq}(k, \vec{D}) = -\frac{jk}{4\pi} \sum_{l=0}^p \sum_{m=-l}^l (-1)^l (2l+1) h_l^{(2)}(kD) \tilde{Y}_{lm}(\theta_D, \phi_D) \quad (5.28)$$

### 5.3.3 Multilevel Implementation

To further enhance the advantages of using FMA, when the groups are really far away from each other, we can aggregate a few far-field groups together into a larger group and transfer the far-field EM interactions from the centres of the smaller groups to the center of the larger group. As a result, we can represent the EM interactions using the large group instead of the small groups to other far-away groups. For this purpose, a tree structure will be needed as shown in Figure 5.3.

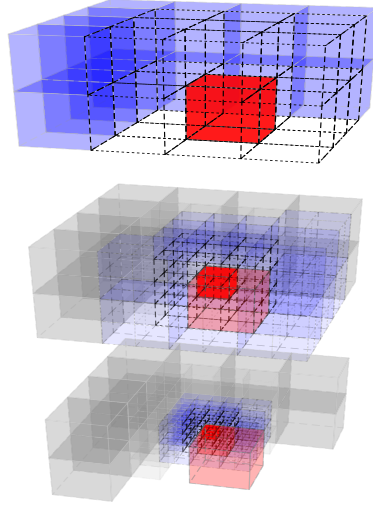


Figure 5.3: *Illustrations of groups – far-field groups for 3-level.*

In the mid-frequency regime, the number of expansion terms  $L$  in equation (5.20) will vary between different levels because of the varying distance  $\vec{D}$  in the relation  $L = kD + 6(kD)^{\frac{1}{3}}$ . To solve this problem, the interpolation and antinterpolation between levels have been discussed

[168–173]. For low frequency regime, we can maintain the number of expansion terms as derived in equation (5.28) for different levels [160–163], thus we only need to regroup the testing and basis functions.

## 5.4 Conclusion

Using the feature of FFT, AIM can be a powerful technique. However, for a 3D SIE problem, AIM still needs a 3D uniform grid to take the advantage of FFT, which is a major drawback of AIM. By taking the advantage of the low-rank matrix, several matrix compression methods have been developed. As discussed in this chapter, both ACA and ID method are easy to be computed in parallel. Meanwhile, the MLFMA can directly calculate the compressed form of the MoM matrices and this method is also easy to be parallelized. To take advantage of the compressed form of the MoM matrices using either matrix compression methods or MLFMA, we can further construct a direct integral solver. Matrix representation of MLFMA and construction of direct integral is discussed in the following chapter.

# Chapter 6

## Direct Integral Solver

### 6.1 Matrix Representation for Fast Algorithms

#### 6.1.1 Single level Matrix Representation

We can split the MoM matrices, for example  $[A]$ , into two parts, near-field interactions  $[D]$ , which is very sparse, and far-field interactions  $[A^{far}]$ . By using FMA as discussed in section 5.3,  $[A^{far}]$  is a low-rank matrix which can be represented in compressed form as following,

$$A \approx D + A^{far} = D + LSR \quad (6.1)$$

where,  $[L]$  is the multipole expansion of grouped sources,  $[R]$  is the multipole expansion of grouped observations, and  $[S]$  is the kernel expansion as shown in equation (5.20) for mid-frequency and equation (5.28) for low-frequency.

The accelerated matrix-vector multiplication can be clearly seen:

$$Ax = Dx + LSRx \quad (6.2)$$

For the linear system,  $Ax = b$ , furthermore, we can represent the compressed matrices in a more compact matrix form,

$$\begin{bmatrix} D & L & \\ R & & -I \\ & -I & S \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} b \\ 0 \\ 0 \end{bmatrix} \quad (6.3)$$

with  $z = Rx$  and  $y = Sz$ . With this form, we can use the sparsity of the matrix and precondition them very efficiently for further saving computational resources.

### 6.1.2 Multilevel Matrix Representation

By using the same ideas as discussed above, for MLFMA, we can represent the MoM matrix  $[A]$  as:

$$A \approx D^{(1)} + L^{(1)}[D^{(2)} + L^{(2)}(\dots D^{(\lambda)} + L^{(\lambda)}SR^{(\lambda)}\dots)R^{(2)}]R^{(1)} \quad (6.4)$$

where,  $[L^{(1)}]$  is the multipole expansion of grouped sources,  $[R^{(1)}]$  is the multipole expansion of grouped observations,  $[D^{(\lambda)}]$  is the near-field interactions of  $\lambda$ -th level, and  $[S]$  is the kernel expansion of the sparsest level. In the mid-frequency regime, with  $\lambda > 1$ ,  $[L^{(\lambda)}]$  and  $[R^{(\lambda)}]$  are the interpolation and antinterpolation matrices between  $(\lambda - 1)$ -th level and  $\lambda$ -th level respectively, whereas in the low frequency regime,  $[L^{(\lambda)}]$  and  $[R^{(\lambda)}]$  are the regrouping permutation matrices between  $(\lambda - 1)$ -th level and  $\lambda$ -th level.

Again, we can represent the compressed matrices in a more compact matrix form as following:

$$\begin{bmatrix}
 D^{(1)} & L^{(1)} & & & & \\
 R^{(1)} & & -I & & & \\
 & -I & D^{(2)} & L^{(2)} & & \\
 & & R^{(2)} & \ddots & \ddots & \\
 & & & \ddots & D^{(\lambda)} & L^{(\lambda)} \\
 & & & & R^{(\lambda)} & -I \\
 & & & & -I & S
 \end{bmatrix}
 \begin{bmatrix}
 x \\
 y^{(1)} \\
 z^{(1)} \\
 \vdots \\
 z^{(\lambda-1)} \\
 y^{(\lambda)} \\
 z^{(\lambda)}
 \end{bmatrix}
 =
 \begin{bmatrix}
 b \\
 0 \\
 0 \\
 \vdots \\
 0 \\
 0 \\
 0
 \end{bmatrix} \quad (6.5)$$

with  $z^{(1)} = R^{(1)}x$  and  $y^{(\lambda)} = S^{(\lambda)}z^{(\lambda)}$ . As a result, the number of non-zero entries of the matrix on the left-hand side of equation (6.5) is proportional to  $O(N \log N)$ , whereas  $[A]$  is an  $N$ -by- $N$  full matrix.

## 6.2 Direct Integral Solver

Once we represent the MoM matrices in the form of equation (6.1), we can directly calculate the inversion of  $[A]$  as following [176],

$$\begin{aligned}
 A^{-1} \approx & D^{-1} - D^{-1}L(RD^{-1}L)^{-1}RD^{-1} \\
 & + D^{-1}L(RD^{-1}L)^{-1}[(RD^{-1}L)^{-1} + S]^{-1}(RD^{-1}L)^{-1}RD^{-1}
 \end{aligned} \quad (6.6)$$

For the multi-level representation in equation (6.4), we can obtain [176],

$$A^{-1} \approx \mathcal{D}^{(1)} + \mathcal{L}^{(1)}[\mathcal{D}^{(2)} + \mathcal{L}^{(2)}(\dots \mathcal{D}^{(\lambda)} + \mathcal{L}^{(\lambda)}S\mathcal{R}^{(\lambda)} \dots)\mathcal{R}^{(2)}]\mathcal{R}^{(1)} \quad (6.7)$$

where,

$$\mathcal{D}^{(\lambda)} = [D^{(\lambda)}]^{-1} - [D^{(\lambda)}]^{-1} L^{(\lambda)} (R^{(\lambda)} [D^{(\lambda)}]^{-1} L^{(\lambda)})^{-1} R^{(\lambda)} [D^{(\lambda)}]^{-1} \quad (6.8)$$

$$\mathcal{L}^{(\lambda)} = [D^{(\lambda)}]^{-1} L^{(\lambda)} (R^{(\lambda)} [D^{(\lambda)}]^{-1} L^{(\lambda)})^{-1} \quad (6.9)$$

$$\mathcal{R}^{(\lambda)} = (R^{(\lambda)} [D^{(\lambda)}]^{-1} L^{(\lambda)})^{-1} R^{(\lambda)} [D^{(\lambda)}]^{-1} \quad (6.10)$$

$$\mathcal{S} = [(R^{(\lambda)} [D^{(\lambda)}]^{-1} L^{(\lambda)})^{-1} + S]^{-1} \quad (6.11)$$

If the matrix  $[D]$  is not in the form of block diagonal, the computation of  $(RD^{-1}L)^{-1}$  will be significantly expensive. Thus, using the matrix form as in equations (6.3) and (6.5) will be a suitable choice. Otherwise, as studied in [176–180], the direct method is ideal for the case of varying right-hand side.

### 6.3 Analysis of Computation Complexity

Typical EC problems always involve hundreds of simulations at each scan position of the probe on test specimen as mentioned in section 2.5. In effect this implies that we have hundreds of right-hand sides of the system of equations. Therefore, there is a significant advantage in deriving the direct inversion of matrix  $A$  as shown in equation (6.7). Consequently, it is better to choose matrix compression methods over MLFMA for this case. By using the matrix compression algorithms, the following procedure is needed:

1. form MoM matrix  $A$  from the test specimen;
2. calculate the multiple right-hand sides  $B = [b_1, b_2, \dots, b_{N_{scan}}]$ ;
3. compress the MoM matrix and use equation (6.7) to form its direct inversion  $A^{-1}$ ;

4. obtain the solution by calculating  $X = [x_1, x_2, \dots, x_{N_{scan}}] = A^{-1}B$ .

### 6.3.1 Computational Scalability

From section 4.4, we can clearly see that the first two steps is highly scalable, since the calculation of each entry in MoM matrix  $A$  and right-hand sides matrix  $B$  are independent of each other. Similarly, the last step is also highly scalable, because the calculation of each entry in matrix  $X$  is obtained from matrix–matrix multiplication, which is also independent of each other. Further in the GPU implementation, by using the feature of independent computation of each entry, we can easily program the computation of each entry in matrices  $A$ ,  $B$  and  $X$  as kernel functions by CUDA. The computation time for the first step, namely forming matrix  $A$ , is shown in Table 6.1.

Unknowns	CPU (1 core)	CPU (10 cores)	CPU (20 cores)	GPU (2496 cores)
2920	122s	12s	7s	<1s
6890	782s	82s	41s	~3s
15500	3956s	405s	207s	~9s

Table 6.1: Computation time of matrix  $A$ .

In this test, the CPU that is considered is Intel<sup>®</sup> Xeon<sup>®</sup> Processor E5-2670 v2 (25M Cache, 2.50 GHz), and the GPU that is considered is nVIDIA Tesla K20 (5GB, 706MHz).

From the timing results, we can see that the speed-up ratio is proportional to the number cores under same core clock frequency. Although the core clock frequency of the GPU is lower than that of CPU, due to larger amount of cores on GPU, we still obtain significant speed-up.

### 6.3.2 Matrix Compression

As discussed in section 6.1.2, theoretically, the memory usage of the compressed form of matrix  $A$  is proportional to  $O(N \log N)$ . However, both the practical memory usage and the compression time are proportional to  $O(N^{1.8})$  as shown in Table 6.2.

Unknowns	Compression levels	Memory Usage		Compression Time	
		Original	Compressed	RRQR	ID
2920	2	130.103 MiB	127.078 MiB	6.69745s	6.65206s
6890	3	724.367 MiB	163.395 MiB	27.5766s	29.6826s
15500	4	3.58 GiB	1.34269 GiB	393.642s	410.042s
26030	5	10.0964 GiB	3.53157 GiB	1699.68s	1665.99s
59590	5	52.0293 GiB	19.0287 GiB	15064.6s	14911.4s

Table 6.2: Matrix compression: memory usage and compression time.

In this test, the CPU that is considered is Intel<sup>®</sup> Xeon<sup>®</sup> Processor E5-2690 (20M Cache, 2.90 GHz).

In theory, the ID method as described in section 5.1.3.1 is much faster than the rank-revealing QR (RRQR) decomposition. Several studies indicate that ID method is on an average 10~11 times faster than RRQR [123, 181, 182]. However, the efficiency of ID method is based on the apriori knowledge of the actual rank of the target matrix. Once a trial rank is smaller than the actual rank of the target matrix, re-applying ID method with a larger trial rank is necessary, which will significantly draw down the efficiency of ID method. Consequently, the performance of ID method is about the same as RRQR decomposition as shown in Table 6.2.

## 6.4 Conclusion

Either matrix compression algorithms or MLFMA can be applied to form the direct integral solver for large-scale EC problems. Although the MLFMA is more memory efficient than

matrix compression algorithms, it is impractical to resolve a direct inversion of the MoM matrix, and the evaluations in MLFMA is also expensive. On the other hand, the matrix compression algorithms is less memory efficient. However, it is sufficient to form a direct inversion of the MoM matrix, which is a considerable advantage when we have multiple right-hand sides such as that encountered in a scanning probe test situation.

# Chapter 7

## Summary and Future Work

### 7.1 Summary

The contributions of this thesis consists of two parts. In the first part, a FEM based computational model has been developed for simulating EC inspection of SG tubes using a variety of EC probes. Validation results demonstrate that the model predicts the experimental signals from a variety of degradation mechanisms very accurately.

This FEM model has also been applied to assist a novel design of EC probe based on rotating magnetic fields for the inspection of SG tubes in nuclear power plants. Based on this new design, we could achieve high performance speed and high resolution measurement for full tube inspection.

In the second part, the MoM with higher-order basis function has been presented to solve the EC problem. Because the near-field EM interaction plays a major role, singularity removal techniques has been applied to compute the EC field distributions with adequate accuracy. To accelerate the MoM, fast algorithms, namely FFT-based algorithm, AIM, ACA method, ID method and MLFMA have been reviewed, and the ID method and MLFMA has been studied. Due to low-frequency break down for EC problems, an alternative low-frequency MLFMA has been presented. Furthermore, a newly proposed direct integral solver has been introduced to solve both low-frequency and mid-frequency problems. The direct integral solver has been developed using both MLFMA and RRQR/ID matrix compression methods

in this study.

## **7.2 Future Work**

### **7.2.1 Finite Element Method**

As shown in part I, we have a well-written FORTRAN implementation of the FEM solver. It produces the correct results and is widely used in various applications in the NDE Lab. However, the current solver has several major drawbacks:

1. it supports only certain types of elements;
2. it is single threaded;
3. it is not possible to launch it on distributed machines;
4. it is difficult to accelerate the code on the modern hardware.

In order to make the code more efficient, many improvements can be made.

#### **7.2.1.1 Refactoring the existing code**

Implementation of this FEM solver on GPU will require utilization of some external optimized libraries. In theory, it is possible to link the FORTRAN codes with C, but for our applications it is complicated due to implementation aspects. Hence it will be advantageous to translate the code to C programming language.

The coding standards and techniques in C/C-style C++/C++ languages are different from the ones used in FORTRAN. It is necessary to switch to the modular architecture to make the code more clear and decrease the optimization time. In addition, it can also provide a basis for further improvement using vector elements and higher-order scheme.

### **7.2.1.2 Optimization**

In general, the FE solver takes two steps: form the stiffness matrix and then solve the sparse system of equations.

To accelerate the computation of the stiffness matrix, colouring scheme should be considered. The basic idea of the colouring scheme is to separate the elements into several groups. Within each group, make sure no element connect to any other elements in this group. Thus, the calculation of all the elements in a same group are independent of each other, and such independent calculations can be easily conducted in parallel. In theory, this scheme is highly parallel and especially good for the GPU implementation.

The acceleration of solving the sparse system of equations could be initially achieved by implementing the latest fast sparse matrix solver, SuiteSparse, in the existing FE solver. Based on this sparse matrix solver, further optimizations can be considered.

## **7.2.2 Direct Integral Solver**

As discussed in part II, direct integral solver shows significant advantages in several aspects. However, as a new algorithm, there are several issues that will need to be further studied.

### **7.2.2.1 GPU Implementation of the Matrix Compression Method**

As shown in section 6.3, there are four major steps of direct integral solver. GPU implementation of the first two steps and the last step is discussed in section 6.3. The GPU implementation of third step, matrix compression algorithm, will need to be further studied.

### 7.2.2.2 Optimization of Moment Method Galerkin Testing

As discussed in [183], the accuracy of the SIE may be affected by MoM Galerkin testing. Proper choice of the quadrature points could considerably improve the accuracy of modelling certain geometries with sharp edges. Accurate evaluation of the SIE will need to be further studied, especially for EC problems.

### 7.2.2.3 Multi-body Problems

If we have more than one object of interest, we need to construct a system of equations to solve these problems. A two-body problem as shown in Figure 7.1 will be discussed as an example in the following.

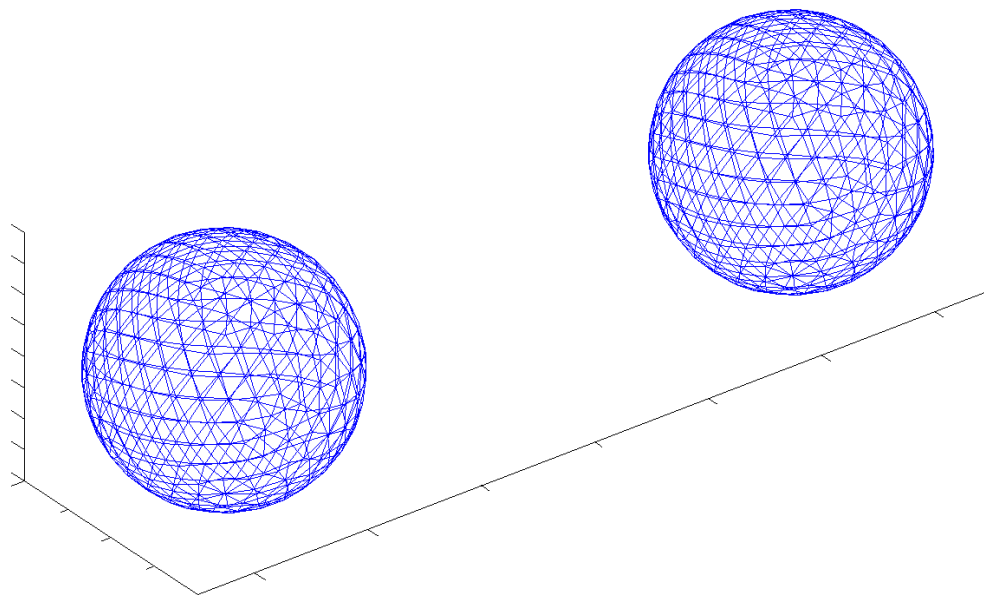


Figure 7.1: A two-body surface integral equation problem.

Similar to section 4.4, apply basis functions to expand the surface current density as:

$$\vec{J}_s^{(1)} = \sum_{n=1}^N I_n^{(1)} \vec{\Lambda}_n^{(1)}(\vec{r}) \quad (7.1)$$

$$\vec{M}_s^{(1)} = \sum_{n=1}^N K_n^{(1)} \vec{\Lambda}_n^{(1)}(\vec{r}) \quad (7.2)$$

$$\vec{J}_s^{(2)} = \sum_{n=1}^N I_n^{(2)} \vec{\Lambda}_n^{(2)}(\vec{r}) \quad (7.3)$$

$$\vec{M}_s^{(2)} = \sum_{n=1}^N K_n^{(2)} \vec{\Lambda}_n^{(2)}(\vec{r}) \quad (7.4)$$

for the first object,

$$A_{mn}^{(1)} = Z_0 \oint_S \vec{\Lambda}_m^{(1)} \cdot \left[ \vec{\Lambda}_n^{(1)} + \hat{n} \times \mathcal{K}(\vec{\Lambda}_n^{(1)}) \right] dS \quad (7.5)$$

$$B_{mn}^{(1)} = \oint_S \vec{\Lambda}_m^{(1)} \cdot \left[ \hat{n} \times \mathcal{L}(\vec{\Lambda}_n^{(1)}) \right] dS \quad (7.6)$$

$$C_{mn}^{(1)} = Z \oint_S \vec{\Lambda}_m^{(1)} \cdot \left[ \vec{\Lambda}_n^{(1)} + \hat{n} \times \mathcal{K}_i(\vec{\Lambda}_n^{(1)}) \right] dS \quad (7.7)$$

$$D_{mn}^{(1)} = \oint_S \vec{\Lambda}_m^{(1)} \cdot \left[ \hat{n} \times \mathcal{L}_i(\vec{\Lambda}_n^{(1)}) \right] dS \quad (7.8)$$

$$f_m^{(1)} = \oint_S \vec{\Lambda}_m^{(1)} \cdot \left( \hat{n} \times \vec{H}^{inc} \right) dS \quad (7.9)$$

$$g_m^{(1)} = 0 \quad (7.10)$$

for the second object,

$$A_{mn}^{(2)} = Z_0 \oint_S \vec{\Lambda}_m^{(2)} \cdot \left[ \vec{\Lambda}_n^{(2)} + \hat{n} \times \mathcal{K}(\vec{\Lambda}_n^{(2)}) \right] dS \quad (7.11)$$

$$B_{mn}^{(2)} = \oint_S \vec{\Lambda}_m^{(2)} \cdot \left[ \hat{n} \times \mathcal{L}(\vec{\Lambda}_n^{(2)}) \right] dS \quad (7.12)$$

$$C_{mn}^{(2)} = Z \oint_S \vec{\Lambda}_m^{(2)} \cdot \left[ \vec{\Lambda}_n^{(2)} + \hat{n} \times \mathcal{K}_i(\vec{\Lambda}_n^{(2)}) \right] dS \quad (7.13)$$

$$D_{mn}^{(2)} = \oint_S \vec{\Lambda}_m^{(2)} \cdot \left[ \hat{n} \times \mathcal{L}_i(\vec{\Lambda}_n^{(2)}) \right] dS \quad (7.14)$$

$$f_m^{(2)} = \oint_S \vec{\Lambda}_m^{(2)} \cdot \left( \hat{n} \times \vec{H}^{inc} \right) dS \quad (7.15)$$

$$g_m^{(2)} = 0 \quad (7.16)$$

between two objects,

$$A_{mn}^{(12)} = Z_0 \oint_S \vec{\Lambda}_m^{(1)} \cdot \left[ \vec{\Lambda}_n^{(2)} + \hat{n} \times \mathcal{K}(\vec{\Lambda}_n^{(2)}) \right] dS \quad (7.17)$$

$$B_{mn}^{(12)} = \oint_S \vec{\Lambda}_m^{(1)} \cdot \left[ \hat{n} \times \mathcal{L}(\vec{\Lambda}_n^{(2)}) \right] dS \quad (7.18)$$

$$A_{mn}^{(21)} = Z_0 \oint_S \vec{\Lambda}_m^{(2)} \cdot \left[ \vec{\Lambda}_n^{(1)} + \hat{n} \times \mathcal{K}(\vec{\Lambda}_n^{(1)}) \right] dS \quad (7.19)$$

$$B_{mn}^{(21)} = \oint_S \vec{\Lambda}_m^{(2)} \cdot \left[ \hat{n} \times \mathcal{L}(\vec{\Lambda}_n^{(1)}) \right] dS \quad (7.20)$$

Thus, we can form,

$$\begin{bmatrix} A^{(1)} & B^{(1)} & A^{(12)} & B^{(12)} \\ C^{(1)} & D^{(1)} & 0 & 0 \\ A^{(21)} & B^{(21)} & A^{(2)} & B^{(2)} \\ 0 & 0 & C^{(2)} & D^{(2)} \end{bmatrix} \begin{bmatrix} I^{(1)} \\ K^{(1)} \\ I^{(2)} \\ K^{(2)} \end{bmatrix} = \begin{bmatrix} f^{(1)} \\ 0 \\ f^{(2)} \\ 0 \end{bmatrix} \quad (7.21)$$

The application of the direct integral algorithm to this problem should be conducted. Furthermore, if those objects are moving relatively to each other, such as a scanning coil with a ferrite core in EC problems as described in section 2.5, the procedure of applying the direct integral algorithm and corresponding GPU implementation will need to be studied.

# APPENDIX

# Graphic User Interface (GUI)

A procedural overview of the use of the software to predict SG inspection signals is summarized in the following steps:

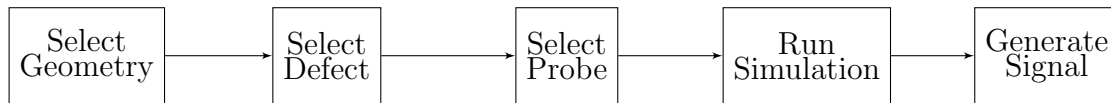


Figure 1: *Block diagram of simple operation of steam generator tube simulator software.*

The different steps are provided as commands in the tool bar as shown in Figure 2. User can select from two predefined tube geometries: free span and support plate (as shown in Figure 2). A rectangular defect of specific length, depth and width can be introduced in the tube wall on the ID or OD along axial or circumferential direction. Probe coil and material properties in different parts of the domain can also be selected from a pull-down menu options on the commands tool bar as seen in Figure 2<sup>1</sup>.

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<sup>1</sup>From Materials Evaluation, Vol. 69, No. 12. Reprinted with permission of the American Society for Nondestructive Testing, Inc.

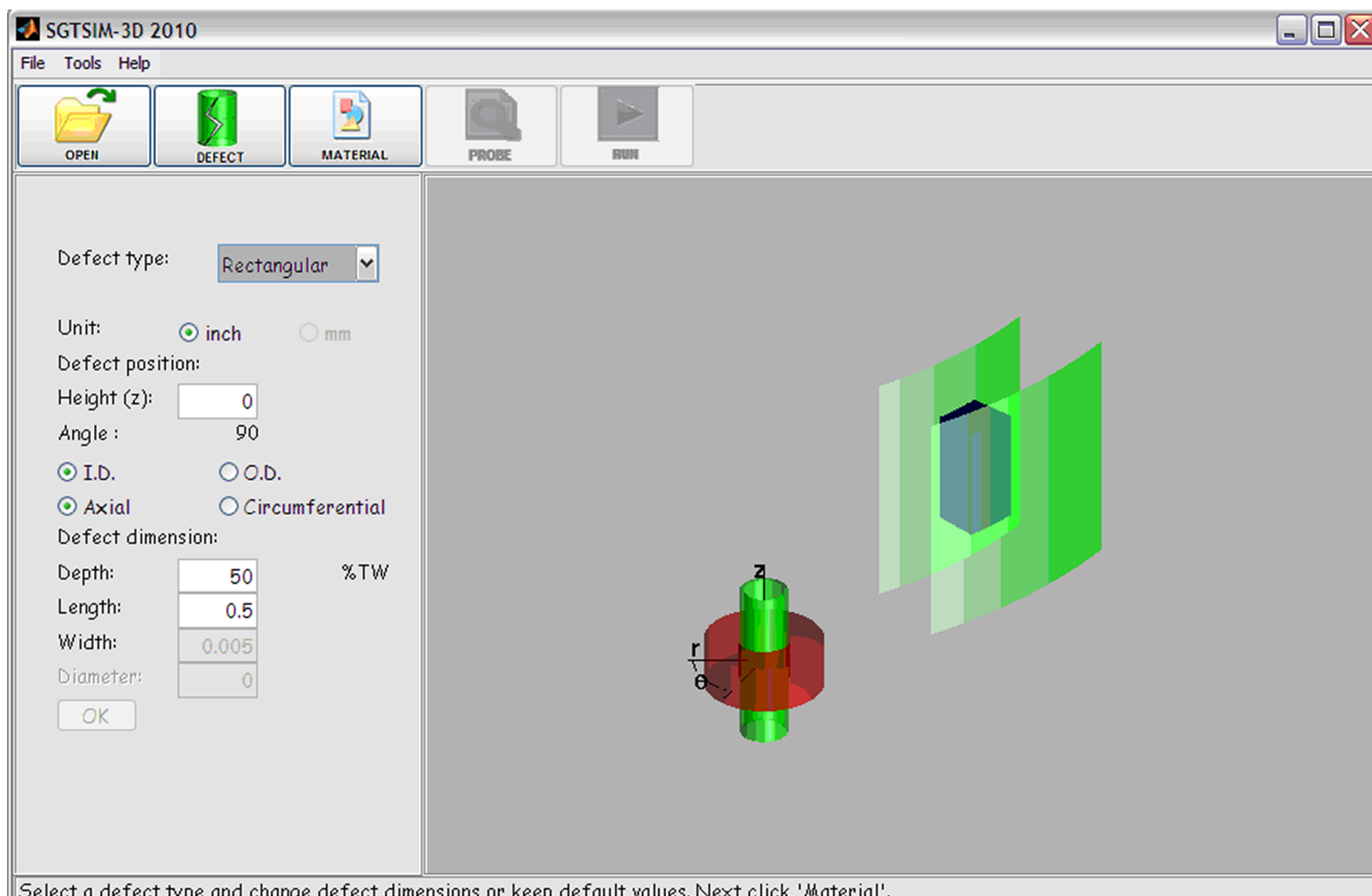


Figure 2: Screen-shot showing various commands available in the simulation software.

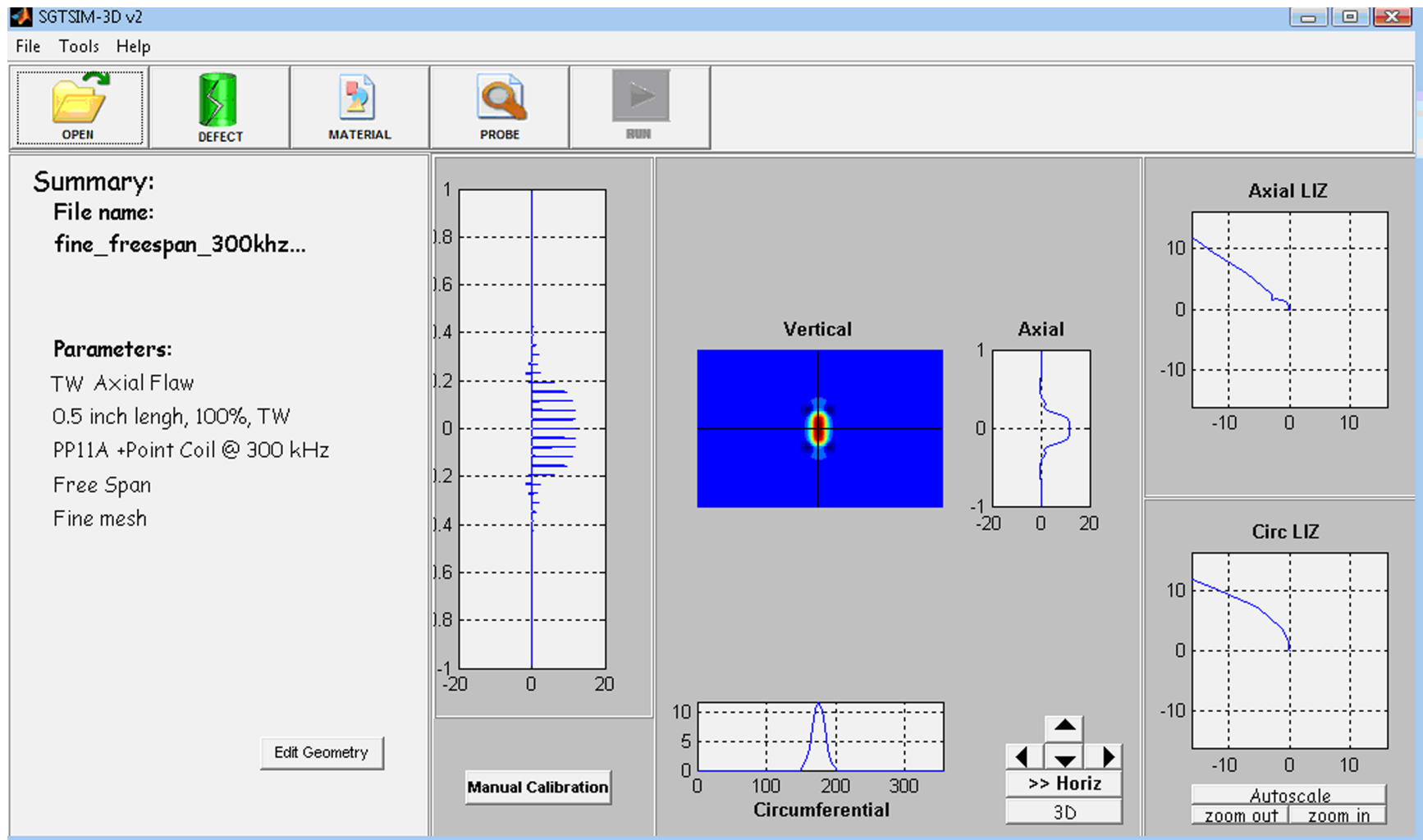


Figure 3: Analysis screen for the rotating probe coil.

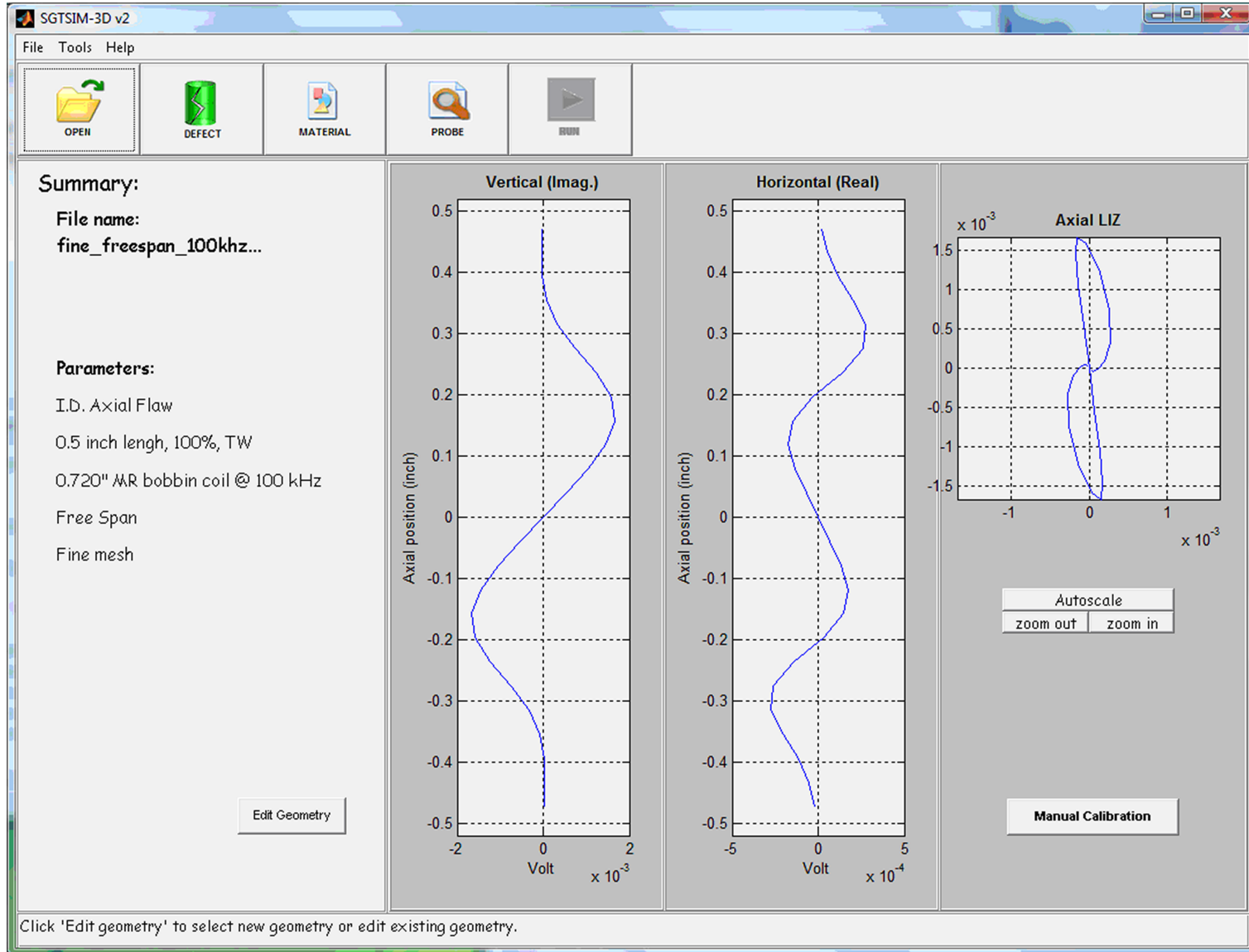


Figure 4: Display of the 1-D coil analysis screen (bobbin probe).

When the user selects “Run”, the graphic user interface passes geometrical information to the mesh generator. The latter generates a 3-D FE mesh of the tube (and support plate), core and coil, forms probe scanning grid and saves mesh and grid data. The graphic user interface passes material property values to the solver. After the simulation is done, the calculated induced voltages at each probe position are stored, calibrated and displayed. Screen-shots of the displays for bobbin and rotating coil probes are shown in Figures 3 and 4<sup>2</sup>.

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