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**RETHINKING THE MEANING OF POLITICAL STABILITY
AND DEMOCRATIC PARTICIPATION**

By

C. Michael Liberato

A DISSERTATION

**Submitted to
Michigan State University
in partial fulfillment of the requirements
for the degree of**

DOCTOR OF PHILOSOPHY

Department of Philosophy

1997

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ABSTRACT

RETHINKING THE MEANING OF POLITICAL STABILITY AND DEMOCRATIC PARTICIPATION

By

C. Michael Liberato

This paper is on the meaning of egalitarian relationships within democratic spaces. My central thesis is that an adequate conception of democracy for a plural and culturally diverse society like the United States, a conception that seeks to locate political power in its citizens equally, must provide conditions for all its citizens to be seen and heard by one another.

I propose that we rethink the way we understand egalitarian relationships and suggest that the notion of human visibility is more critical for designing democratic institutions than are principles of organizing equal participation. I question the assumption that stable democratic relationships are established by either the development of civic virtues or by the regulation of citizen participation in public activities.

By distinguishing between conditions of public order and conditions of public visibility, I argue that the problem of democratic stability (and of designing democratic spaces) is not simply a problem of organizing citizens in symmetrical relationships but is first and foremost a problem of public visibility and perception. Through the use of a metaphor of theatrical architecture, I suggest that, when designing democratic institutions, theorists need to pay more attention to how humans appear to one another before they concern themselves with how to regulate human appearances within those institutions.

This paper is more of an exploratory work than analytical. My argument is to defend a certain way of looking at democratic arrangements; namely, as spatial, but spatial in a special way, with the spatial conditions of visibility and human perception. To show that this approach has some pedigree, I present and compare the conceptions of democratic relationships developed by John Rawls and Hannah Arendt, how they view the problems of stability and democratic participation and how they approach the issues of human visibility and public order.

Through further use of the theatrical metaphor, I then explore what those conditions of visibility and perception might look like for democratic egalitarian spaces that are designed to be open, inclusive, and contain a diversity of people.

ACKNOWLEDGMENTS

This dissertation would not have been written were it not for the unalterable support of my life companion and closest friend, Angela.

This dissertation would not have been possible without the encouragement and critical appraisal of my director Dr. Stephen L. Esquith.

And finally, for the inspiration of my son, Antony Michael Liberato, for sharing his “empty space” for me to see, I am most grateful.

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INTRODUCTION

The first time I visited The Vietnam Veterans Memorial in Washington, DC I had a difficult time finding it. It's an unassuming place, difficult to spot from a distance, quite different from most war memorials. I approached it from Constitution Avenue, after finally getting directions. When I got to the first panel I realized how different and uncommon this space is. Its V-shaped design consists of two 250-ft walls of polished black granite, each sloping to the ground from an apex of 10 feet. On the walls are etched the names of 57,661 men and women of the United States who were killed or missing in the Vietnam war. In the words of Maya Ying Lin, it's Architect-sculptor:

The Memorial is composed not as an unchanging monument, but as a moving composition to be understood as we move into and out of it. The passage itself is gradual; the descent to the origin slow, but it is at the origin that the memorial is to be fully understood. At the intersection of these walls, on the right side, is carved the date of the first death. It is followed by the names of those who died in the *war*, in chronological order. These names continue on this wall appearing to recede into the earth at the wall's end. The names resume on the left wall as the wall emerges from the earth, continuing back to the origin where the date of the last name is carved. (From a statement by *Maya Lin*, Architect-sculptor of the Vietnam Veterans Memorial, presented as part of her competition submission.)

By the time I got to the "origin" of the Memorial I was overwhelmed and exhausted by the images of so many men and women, daughters, sons, and friends, who were killed or missing as a result of our conduct and execution of this war.

You pass the first panel. A single line of four men killed in 1968. Guys from Colorado, New York, Texas and Virginia. The second panel, three lines with fourteen names. Six lines and twenty-nine names. Eight lines of thirty nine names. As you walk from one panel to the next the list becomes thick and fast, the names a blur. Soon the panels are waist high, head high, then higher than you can reach. (Larry Heinemann, Chicago, 1996 - <http://www.pbs.org/pov/stories/vietnam/stories.html>)

What is remarkable about this public gathering place is the way it keeps before us, as a nation, these 57,661 individuals. As a memorial it says little, if anything, about the controversial issues related to war, the differences and conflicts of values and meanings that divided families and separated friends. It does not display the bravery and honor of the men and women who fought, nor the convictions of those who resisted. As a memorial it does not take sides, neither glorifying nor profaning the war. It simply shows, in the chronological order in which they disappeared, the 57,661 friends, daughters, sons, fellow citizens who were killed or lost in the war.

But it is because of the simple and unassuming presentation of these dead and lost citizens, visible as names on the wall, that any one of us, whatever else we may have thought about the war, whatever our backgrounds, values and beliefs, whether protestors or supporters of the war, whether we served in Vietnam or opposed the war, is welcomed into this space, to think about the conflict known as “Vietnam” and to begin to understand what it was, and still is, that divides and separates us from one another.

Anyone who enters this space can see each one of these 57,661 names, which on a bright day affect and even distort the reflections of ourselves on the black polished finish.

Whatever positions we may take, whatever views we may hold toward our government’s actions during this war, when we enter this memorial each of us is able to see the effects

of power that resulted in the loss of 57,661 of our own citizens. How did each of these deaths happen? Who was Capt. Thomas C. Metsker (or any one of the other 57,660) and why was he killed? Will it ever happen again to one of us? Or to one of our children not yet born?

At last they have made to the *war* dead A fitting monument. Not a boast, not a victor's trumpet, But a long black wall Telling the monotony of their dying, Not of heroism, But of honest loss, the fact of their extinction.

...

No it is not resolved, it is not concluded. We have not come to terms with all the issues And made a peace With ourselves, pulling it all together. Success proves everything; the victory So clearly demonstrates the victor's rightness, But losing, failure, leaves the mind in ribbons, What did we do wrong? Who made which decisions? Whom can we blame, whom exculpate? The nagging Anguish goes on until we bury it, Until another generation Says, "Very sad, but we are not invited."

What have we learned?

All that we know is that grief is real, And grief must be respected. The man who turns his face away, the buddy, And the girl dabbing her cheeks, That they are rightly moved By an honest statement. Stone is to be trusted. (John Brain, a poem entitled, "Memorial," 1982 -<http://www.pbs.org/pov/stories/vietnam/stories.html>).

We know, many of us from our own experiences, that this war was the source and focus of significant conflicts and divisions between us. But, without the kind of public space that Maya Lin has given us, where we, in our diversity, can come together and see the same war dead and face the same consequences of our own actions, a space that keeps visible for us the relationships and effects of power, unless we enter into such a space together, the war will continue to remain the great source of political instability that it was in the late 60's and early 70' s. What Maya Lin has designed for us is a place where we, with all of our differences, can gather and, around the common perception of 57,661 names on a polished black-granite wall, think about the war, who we are and how we

want to be related.

But Lin's design and the architecture of the Vietnam Veterans Memorial has not only provided an open public space where we as a nation can think about ways to live together with all our social, cultural, religious and philosophical differences (there is even a "Moving Wall" one-third the size of the DC Memorial that is presently touring cities within the United States). It has also provided the conditions for us to create extensions of this same kind of space, wherein people have made themselves visible by responding to what they have seen in the Memorial. On November 27, 1996 the Public Broadcasting Service aired a 90-minute Academy Award-winning film, Maya Lin: A Strong Clear Vision - A P.O.V. Special, produced, directed and written by Freda Lee Mock which chronicles ten years of Maya Lin's life and work, and highlights the power and effect of Maya Lin's designs. The film, itself a remarkable "space," exposes our continuing struggle to decide how we want to live with one another by focusing our attention on Maya Lin and her ongoing visionary work.

Lin withstood the bitter attacks and prevailed with her original design. The Memorial's dedication in 1982 was a profound, cathartic moment - not just for those who fought in Vietnam, but for the entire United States. Since its completion, Americans have flocked to the site to grieve, to contemplate the consequences of war and to heal. In one of the film's most moving segments, veterans and surviving family members search for the names of their loved ones, arranged chronologically by date of death.

"If you can't accept death, you'll never get over it," says Lin. "So what the Memorial's about is honesty. . . . You have to accept, and admit that this pain has occurred, in order for it to be healed, in order for it to be cathartic. . . . All I was saying in this piece was the cost of war is these individuals. And we have to remember them first." (Maya Lin: A Strong, Clear Vision - A P.O.V. Special, Producer/Director/Writer, Freda Lee Mock, PBS, 1996 - <http://www.pbs.org/programs/1996/November/mayalinovpr.html>)

Reflecting on Maya Lin's work - perhaps best characterized by her own words, "I want to create spaces for people to think without telling them what to think" (*Maya Lin*, <http://www.pbs.org/pov/stories/index.html>) - we can see our own struggles to accept and live with our diversity.

Immediately following that broadcast PBS Online set up an interactive web site (www.stories.org) where people can read the stories of others, and respond by adding their own stories of their struggles (since the war) to grieve, to contemplate and to heal (see, for examples, Larry Heinemann and John Brain quoted above). This is yet a further continuation of the "memorial space" where we can gather and think about who we are and who we want to be without being told what to think.

I have reflected at some length on the Vietnam Memorial and its extensions to illustrate the kind of accessible, common and unpretentious public spaces that I want to focus on throughout this study. All these spaces, the Memorial itself and the extensions it engendered (the film, the public broadcast, and the web site), are political spaces where ordinary citizens can come to recognize one another and themselves and struggle to find ways to live together. Such spaces are essential for democratic societies.

A central theme of this dissertation is that any adequate conception of democracy for a plural and culturally diverse society like the United States must first provide the conditions for its ordinary citizens to be seen and heard vividly by one another. If the power of a democratic society is to be located in its people, then its democratic institutions need to provide those conditions for citizens to meet one another and together work out ways to live with their unique identities and differences. The greatest threat to

democratic stability is not our pluralism but our inability to find places where we can acknowledge one another with all our diversity. If we can appear in public arenas only under guises that mask our social, cultural and philosophical differences, then we begin to lose faith in our political processes and institutions. When our differences remain hidden, when there are no public places where we can clearly and plainly see who we are, then we vie for power to control the conditions of our own visibility, as for example, when we attempt to control the history of U.S. involvement in Vietnam for our own partisan purposes.

As designers of democratic institutions, theorists need to pay close attention to the conditions of public human visibility and build their institutions around those conditions which provide for the most vivid appearances of human beings. As democratic theorists, it is our task to define those conditions.

Insofar as Maya Lin has designed a public space where people from disparate backgrounds can gather and, through a common experience of what is made visible, deliberate on their common condition, she has provided a democratic space. Maya Lin provided conditions for the 57,661 individuals who were killed or lost in the Vietnam war to be vividly identified and presented in a public manner. She also gave us a way to see ourselves, to see one another, and our relationships to our dead and missing. We are invited to come into the Memorial and see the dead, and the images of ourselves behind them, without prescriptive organizing principles. Then, after we have seen what is there, the names of who we were and the images of who we are, *we* can think about and decide how *we* are politically related to these 57,661 and to one another. Maya Lin does not do

that for us. That is our task as a democratic people. And that is what we do with the film, the public broadcast and the web site. We begin to make ourselves more visible to one another.

Democratic political processes and institutions that dull human appearances by their structures of organization and their criteria for ordering human relationships provide an under-recognized threat to democratic stability. The notion of designing political spaces for human visibility provides us with a critical tool with which to reform our democratic institutions. The Vietnam Veterans Memorial and its extensions are such spaces, but there are other illustrations, from union bargaining sessions to grass-root civic movements. This dissertation is about what these democratic spaces have in common and why they are important.

The organizing metaphor for this study of democratic space that I will be using is theater, rather than the architecture of war memorials. But it is the spatial dimensions of theater - its political architecture, its dependence on human performances and its capacity to establish conditions of visibility - that I want to exploit. Each chapter begins with a quotation from Peter Brook's The Empty Space and a commentary on the political boundaries within theatrical spaces. Using Brook I hope to show how similar boundaries divide and organize our democratic spaces.

Chapter I

THEATER, HUMAN VISIBILITY AND DEMOCRATIC POLITICAL SPACE.

I have had many abortive discussions with architects building new theaters - trying vainly to find words with which to communicate my own conviction that it is not a question of good buildings and bad: a beautiful place may never bring about explosion of life; while a haphazard hall may be a tremendous meeting place: this is the mystery of the theater, but in the understanding of this mystery lies the only possibility of ordering it into a science. In other forms of architecture there is a relationship between conscious, articulate design and good functioning: a well-designed hospital may be more efficacious than a higgledy-piggledy one; but as for theaters, the problem of design cannot start logically. It is not a matter of saying analytically what are the requirements, how best they can be organized - this will usually bring into existence a tame, conventional, often cold hall. The science of theater-building must come from studying what it is that brings about the most vivid relationship between people - and is this best served by asymmetry, even by disorder? (Peter Brook, The Empty Space, 65)

Peter Brook, former director of the Royal Shakespeare Company, in his reflection on theater, The Empty Space¹, suggests that if we want to design good meeting places where people gather and appear with one another in public, then we need to first focus on those conditions that bring about what is most vivid in human relationships. What makes good theater is how strong and vibrant the characters appear on stage and how lively the

¹Peter Brook, The Empty Space (Forge Village, MA: The Murray Printing Company, 1968).

audience is engaged by who and what appears there. He then raises the question whether asymmetrical, even disorderly and unorganized spaces might not better secure the most vivid performances. What he is suggesting is that the design of a good theater is not tied to how well it is ordered, how functional or how beautiful it is, but to how much the actors and their performances come to life for the audience, how vivid those appearances are. He questions an assumption of most architects, that good theater requires order and symmetry, by reminding us that the purpose of theater is the “explosion of human life.”

In designing theatrical spaces, Brook is suggesting, attention needs to be focused not so much on what happens once people appear on the stage - that is the role of the playwright, the choreographer, the director, the actors and stage hands - but on securing those conditions that best allow an active interplay between actors and spectators. The best theater design may well be a space that can accommodate asymmetrical even disorderly relationships between the human beings who enter the theater with very different ends in mind.

If we think of political theory as a science of designing political spaces and of democratic theorists as architects of democratic spaces, the challenge in democratic theory is to design stable political spaces that are open to and initiate encounters between people of different classes, ages, religions, ideologies, cultures, ethnicities and life styles. Brook’s reflections on theatrical space suggest to us that, like theater-building, the science of democracy-building, designing democratic spaces that are public meeting places full of human diversity and freedom, “must come from studying what it is that brings about the most vivid relationships between people.”

The best democratic spaces for pluralist societies like the United States are designed to sustain a great diversity of human beings appearing to one another in all their uneven and asymmetrical differences. Democracy-building is a process of designing political spaces that provide the conditions for the most vivid public appearances of all citizens.

One of the more firmly established theses in contemporary democratic theory is that when designing spaces for egalitarian relationships there must be a trade off between political stability and democratic participation; that to have a sustainable democracy that survives the vicissitudes of citizen involvement we must find a way to organize and regulate citizen participation. The problem of political stability is most often seen as a problem of order, of designing the proper requirements for adequate participation. How do we understand participation? What are its procedures, and who can participate? Participation and stability are customarily seen as opposing values that need to be balanced against each other.

I want to question the assumption that stable democratic space means a political space that is ordered and symmetrical. I want to suggest that designing stable democratic space is first and foremost a problem of providing the conditions for human appearances, of making vivid the great diversity of human beings in their public appearances to one another, and that public human relationships are most vivid when human beings appear to one another as incongruous, rough, irregular, even unequal. Like Maya Lin's memorial, democratic space must be open on more than one side.

I want to suggest that the first task of democratic theory is not designing how

equally represented citizens can participate despite their differences, but rather designing public spaces in which actual citizens appear to one another in all their diversity. To use the theater analogy, the task of democratic theory is not choosing the right script and selecting the best actors, but designing public spaces in which the characters, the audience and their relationships to one another are vivid enough to be seen, heard, and critically considered. In short, political stability is a matter of visibility. Only after people have made their public appearances, can we focus on whether and how they should relate to one another on a more equal footing. Our attention to the problem of public human appearances must precede our concern with rules and procedures for greater equality.

The significance of a theatrical metaphor for political spaces is the notion of visibility as “public human appearances.” In his discussion of the ideal theater, what he calls “holy theater,”² Brook characterizes theatrical appearances as making visible what is invisible.

I am calling it the Holy Theater for short, but it could be called The Theater of the Invisible-Made-Visible: the notion that the stage is a place where the invisible can appear has a deep hold on our thoughts. We are all aware that most of life escapes our senses: a most powerful explanation of the various arts is that they talk of patterns which we can only begin to recognize when they manifest themselves as rhythms or shapes. We observe that the behavior of people, of crowds, of history, obeys such recurrent patterns . . . The theater is the last forum where idealism is still an open question: many audiences all over the world will answer positively from their own experiences that they have seen the face of the invisible through an experience on the stage that transcends their experience in life. (*The Empty Space*, 42) . . . All religions assert that the invisible is visible all the time. But here’s the crunch. Religious teaching - including Zen - asserts that this visible-invisible cannot

²Holy theater is one of four aspects of Brook’s description of contemporary theater. The other three are “deadly theater,” theater at its worst; “rough theater,” theater that deals with the widest range of human experiences; and “immediate theater,” theater that asserts itself in the present.

be seen automatically - it can only be seen given certain conditions. The conditions can relate to certain states or a certain understanding. In any event to comprehend the visibility of the invisible is a life's work. Holy art is an aid to this, and so we arrive at a definition of holy theater. A holy theater not only presents the invisible but also offers conditions that make its perception possible. (The Empty Space, 56)

In the theater there are two places where humans make their appearances: on the stage as actors and in the audience as spectators. In the theater the invisible rhythms of the human condition are made visible to the spectators by the appearances of the actors on stage in the characters they play. In the ideal theater the actors, drawing upon their own experiences of the human condition, make visible the invisible rhythms that rule human lives. If they are successful, the audiences, responding from their own experiences, recognize that what they have just witnessed is the invisible in their own lives now made visible to them, and it has transformed their lives. Having seen what is holy (or demonic) on stage, they are able, for a moment at least, to see the holy or demonic in their own lives. Theater is successful when both the actors and the spectators share, through a common sense of the invisible-made-visible, what they both experience as the human condition. Theater fails when the actors lose touch with that common sense or when they speak in a language that is so rooted in their own solitary experiences that the characters they portray are incredible, unreal, even fraudulent. Both the actors and the spectators are essential participants for theater to be engaged.³ When the actors fail to make visible what

³“It is hard to understand the true notion of a spectator, there and not there, ignored yet needed. The actor's work is never for an audience, yet always is for one. The onlooker is a partner who must be forgotten and still constantly kept in mind ...” Peter Brook, The Empty Space, 51. The invisible cannot be made visible, except to someone. The spectator

is invisible, or when the spectators fail to perceive the invisible-made-visible, the power of the theater as an explosion of life is unrealized.

So too in ideal political spaces, there are two ways citizens make their appearances: as actor-citizens and as spectator-citizens. In the ideal political space, democratic space, citizens-as-actors, drawing upon their own experiences of both the holy and the demonic elements in the human condition, struggle to expose the invisible and oftentimes inequitable powers that relate us to one another and rule our lives. They are successful when their rhetoric matches the common sense perceptions of citizens-as-spectators and the invisible or unspoken inequalities between human beings in the ever “recurrent patterns” in the “behavior of people, of crowds, of history” are made plain. If the actor-citizens are successful, spectator-citizens may recognize these inequalities in their own lives and then begin to remedy them.

Democratic politics is successful when citizens share a common sense of the human condition with its multitude of powers, again both holy and demonic, that constantly influence human affairs. When citizens rely on personal imagery and fixate on their own experiences of power, when they use a specialized language which betrays their lack of a commonly shared sensibility, they lose touch and credibility with one another. Without a common sensibility of how power operates, political space is empty and politics fails to engage us. If spectator-citizens do not find the actor-citizens performances believable, they will not recognize the differences between one another nor the inequalities of power in their own lives. And, without that perception, the great promise of democratic

is necessarily a participant in theater.

politics, to continually remedy inequalities of power, cannot be fulfilled. Political space comes apart when citizens are unable to perceive the invisible currents of powers that relate them to one another.

Thus, both the participation of the actor-citizen and the spectator-citizen are necessary for democratic politics. In democratic spaces both are participants, active companions, in making visible those inequalities of power in human relationships. In other words, the space of democratic politics is not just on the stage or in the audience but in the space between the two. The intersubjective space between the actor-citizens and the spectators-citizens is where human appearances and the hidden inequalities that criss-cross their lives are made vivid. And it is only there, in the space between citizens, that inequalities are made visible and remedies are constructed.

As theorists designing democratic spaces, it is our task to provide those conditions that make the perception of these invisible powers by which human beings are related and ruled most vivid. "A holy theater not only presents the invisible but also offers conditions that make its perception possible." Well-designed democratic spaces make it possible to perceive the uses and effects of powers that invisibly "rule over us."

I suggested earlier that the best way for making these inequalities of power most vivid is to sustain and support the uneven and asymmetrical public appearances of human beings. Diversity does not show up so clearly when human appearances closely resemble each other. Difference and inequalities cannot be seen all that well when only a harmonious and compatible multitude is allowed to appear in public spaces. If we want democratic political spaces to contain and sustain a diversity of human beings in

relationships with one another, then we need to first secure those conditions under which such a diversity of human beings appears. Once human appearances are stabilized, that is, once people are assured of their own appearance, we can then pursue the question of normative egalitarian relationships among those who so appear to one another.

An often hidden source of democratic instability is a lack of public visibility of the differences and distinctions of democracy's diverse citizens. When people can appear in public arenas only under guises that filter out many of their social, cultural and philosophical differences, they lose faith in political processes that represent them. Citizens will mistrust social and political institutions not merely because they believe they are not being treated fairly, but also because they are unable to be heard and seen.

Public visibility of democratic citizens is not simply a result of the individuals' civic virtues, nor of their capacity to exercise political power or manipulate its effects, nor of the public procedures of democratic participation. Public visibility is conditioned as much by the quality of political spaces in which people appear as by what they do in these spaces. As designers of democratic institutions, scholars need to pay more attention to the conditions of public visibility and build institutions that provide for the most vibrant appearances of human beings.

In suggesting that democratic stability is first a problem of visibility and only then a problem of order, I am also suggesting that when we design our democratic spaces we must avoid mixing the conditions of public visibility with the condition of public order. The problems of designing political spaces for human visibility are distinct from the problems of directing public performances that occur in political spaces. The visibility and

perceptual conditions for a diversity of human appearances are different from the normative conditions for arranging roles and distributing resources among those who appear.

While the conditions for visibility in democratic spaces sustain inequalities and asymmetries in the appearances of one another, these inequalities must not be allowed to spill over into the conditions that order such spaces and, thereby, generate unequal distributions of power or unjust principles of social relationships. Likewise the conditions of public order that secure egalitarian relationships must not be allowed to dull the visibility of differences and distinctions. Democratic stability that is secured only by principles of order that sustain egalitarian relationships may, at the same time, keep hidden the very people who are (supposed) to be democratically related.

To bring out the difference between a public space conditioned by visibility and one secured by order, let us examine an actual space designed by the application of the First Amendment: *Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press; or the right of people to peaceably to assemble, and to petition the government for a redress of grievances*. This general principle has traditionally been interpreted as a principle for establishing stable democratic order rather than securing democratic visibility. The following case illustrates this.

In an attempt to prevent Frank Collin and his Neo-Nazis group from parading around their Village Hall, Skokie, Illinois, which (in 1977) contained a sizable Jewish population, secured court injunctions against Collin and passed a set of “Racial Slur”

ordinances that effectively excluded the kind of demonstration that Collin had planned. (Collin planned to “peacefully” demonstrate for some 20 to 30 minutes on the steps of the Village Town Hall in full Nazi-style military uniforms). Skokie Ordinance 994 provided that permits for public demonstrations would be issued if the village manager determined that “the conduct of the parade, public assembly, or similar activity will not portray criminality, depravity or lack of virtue in, or incite violence, hatred, abuse or hostility toward a person or group of persons by reason of reference to religious, racial, ethnic, national or regional affiliation.” Ordinance 995 made it a misdemeanor to disseminate any material (defined to include “public display of markings and clothing of symbolic significance”) “which promotes and incites hatred against persons by reason of their race, national origin, or religion.” Ordinance 996 prohibited the display of swastikas and military uniforms, by specifying that “no person shall engage in any march, walk or public demonstration as a member or on behalf of any political party while wearing a military style uniform.”⁴

Collin took the village of Skokie to court, arguing that such ordinances violated his constitutional right of free speech. After a lengthy series of court injunctions, legal maneuvers, appeals and counter appeals (using various legal doctrines, including the “fighting words” doctrine of *Chaplinsky* and the “fear of responsive violence” of *Brandenburg* and *Feiner*⁵) to the Illinois Appellate Court, the Illinois Supreme Court, and

⁴Aryeh Neier, *Defending My Enemy* (New York: E. P. Dutton, 1979) 48-49.

⁵See Steven Shiffrin, Jesse Choper, *The First Amendment: Cases-Comments-Questions* (St. Paul: West Publishing Co., 1991) 204-205. Also Aryeh Neier, *opus cit.*, 38-68.

the Seventh Circuit Court of Appeals⁶, these ordinances were eventually overturned and the injunctions against the marches lifted.

The constitutional design of our democratic society as prescribed in the First Amendment requires that a free speech space be protected and made available to all citizens. In the case of Collin and Skokie, it was decided that the Nazi marchers (in spite of the arguments of clear and present danger, incitements to riot, and substantive harm to the Jewish residents) have the constitutional right to enter the space around the Skokie Village Hall in full Nazi-style military uniforms; that such an appearance is equivalent to their political free speech. Collin must be given free access to that public space so that he can be seen by all and speak in his own words, even if his appearance incites violence or his words wound.

According to First Amendment activists, when the courts secured “Frank Collin’s right to express his ideas in order that everyone may examine those ideas and accept or reject them,” they not only secured Collin’s right to appear in public, but strengthened and protected democracy for all.⁷

But look at the actual space that Frank Collin was given by this application of his constitutional rights. While he decided not to march around the Skokie Village Hall, he

⁶Collin v. Smith, 578 F.2d 1197 (7th Cir 1978), cert. denied, 439 U.S. 916, 99 S. Ct. 291, 58 L. Ed.2d 264 (1978).

⁷David Hamlin, *The Nazi/Skokie Conflict: A Civil Liberties Battle* (Boston: Beacon Press, 1980) 175. According to Hamlin, the Illinois Director of the ACLU during the time it represented Collin in the courts, “The First Amendment to the United States *is* Democracy.” One gets a strong impression from Hamlin that whenever the courts widen and strengthen the First Amendment application it is tantamount to securing and stabilizing democracy.

did elect to exercise his right to assemble at the Federal Plaza in downtown Chicago on June 24th, 1978 and to lead a demonstration in Chicago's Marquette Park in full Nazi-military uniform on July 9th. The following account of the actual march and demonstration that took place is given by David Hamlin, then Director of the Illinois Chapter of the American Civil Liberties Union which represented Collin:

For obvious reasons of security, the Chicago police dictated Collin's every move. The Neo-Nazis were loaded into a police van and transported to the Federal Plaza in downtown Chicago. Moving quickly and carefully, the police escorted Collin and his followers through the basement of the Federal building and out onto the concrete plaza. Frank Collin and his followers marched onto the plaza through a corridor of uniformed Chicago police, emerging on the plaza itself to face a crowd of several thousand seething, furious, scream-distorted faces. Although he had a portable amplifier, Collin could not be heard at all; the ceaseless angry roar made whatever Collin said impossible to hear. The demonstration lasted no more than fifteen or twenty minutes. Frank Collin and his followers left the plaza and returned to their headquarters under heavy police guard all the way.

Hamlin then goes on to give a briefer but similar report of the demonstration in Marquette Park.

On July 9th, after Richard Troy had tried without success to get both the Seventh Circuit Court of Appeals and the Supreme Court to stop the demonstration, Frank Collin led a demonstration in Marquette Park. Again he faced a huge hostile audience and again his message was inaudible for the cries of opposition.

Both of these spaces were well organized and politically stable in the sense that people on both sides of the conflict appeared in their proper positions, relating to one another without "hurting" each other. They were well-ordered; separated by barricades and police lines that kept them distinct and symmetrically related to one another. But in what sense can we call this a democratic space where the participants are visible and

audible to one another? Both sides were speaking but neither was being heard; both sides appeared in the same space but neither was visible to the other. In what sense is this space a victory for *freedom of speech*? Hamlin claims that Frank Collin was secured the right to “express his ideas in order that everyone may examine those ideas and accept or reject them.” At the same time he tells us that Frank Collin “could not be heard at all.” Then how did everyone examine his ideas and accept or reject them?

I am not suggesting that the political spaces created by the First Amendment are all fraudulent. What I am suggesting is that when we design a free speech space that is democratic we must pay close attention not simply to conditions of order, but to those conditions that make the participants visible and audible to one another. Such conditions are not automatically present. Well-designed democratic space “not only presents the invisible, but also offers conditions that make its perception possible.” The conditions of public order are not the same as the conditions of public visibility; and the problem of designing spaces for mutual visibility is not resolved by simply designing well ordered public spaces where each is assigned her proper position and relative distance from one another. In fact, it would seem that the space set aside for Frank Collin not only failed to satisfy the conditions of visibility, but even dulled the appearances of all parties.

It is difficult to keep these two sets of problems (visibility and order) distinct and to focus on the problem of designing political spaces for mutual visibility, though it seems we have an easier time of isolating the conditions of good democratic order. But if we don’t get the perceptual conditions right (and mistakenly mix conditions of order with conditions of visibility), then some citizens’ appearances may not show up at all and some

inequalities may not be visible or, worse yet, they may appear as conditions for everyone making public appearances. The court orders or rulings giving Collin his free speech space show that a political space based only on satisfying the conditions of good order and proper arrangements may even militate against the conditions of visibility.

What I am suggesting, then, is that we rethink the meanings of democratic political stability and democratic participation. The problem of democratic political stability is not a problem of finding a conventional way of regulating conflict, but a problem of designing conditions for sustaining public appearances among diverse human beings. The problem of democratic participation is not who is getting onto the stage, speaking their mind and making demands, but a problem of perceiving the mutual differences between citizens. Ordinary citizens are essential participants in democratic spaces not merely to empower political leaders, but because without their perceptions, their common sense of differences among themselves, inequalities are not even seen, let alone ever remedied.

Democratic spaces are not stable places where egalitarian relationships are guaranteed. There are no places like that. Inequalities of power are part and parcel of any ongoing and lively human relationship. Rather, genuine stable democratic spaces are places where these inequalities are continually being exposed, and as much as possible, remedied by the conditions of order and the principles of justice which are established by those who appear in public. The promise of democracy is not to secure, once and for all, equal political power, but rather to secure a space where there are first, objective conditions necessary for mutual visibility and audibility (people can be seen and heard), second, enough common sense to perceive ever new and recurring patterns of inequality,

and third, enough public virtue to attempt remedies of visible inequalities and to respond to new inequalities that will eventually follow in the wake of the old.

The design of this study is to give greater attention to the problems of public visibility and to further the investigation of those conditions that make public perceptions of human beings and their inequalities most vivid.

In the next chapter I sketch an interpretation of the meaning of democratic political space and the conditions of human visibility. I show how the metaphor of space allows us to move the problems of democratic legitimacy beyond issues of political stability maintained by public order and democratic participation toward more critical considerations of how human beings appear to one another. I then suggest how our understanding of democratic space should include conditions of human visibility that allow inequalities of power to be exposed and remedied. I begin by examining the traditional approaches to the problem of stability and show why I think they are inadequate. After presenting an interpretation of the notions of public, political and democratic spaces, I suggest how to design democratic spaces that include conditions of visibility and conditions for a common perspective from which to perceive differences and inequalities.

In Chapters Three and Four I present the conceptions of democratic space developed by John Rawls and Hannah Arendt, how they view the problem of stability and democratic participation and how they approach the question of human visibility and public order. In Chapter Three, I discuss how John Rawls's political liberalism specifies the conditions of visibility, particularly through his use of the original position as the

common perspective from which citizens are to perceive one another. I focus my examination of Rawls on whether and how Rawlsian democratic space, with its hypothetical and constrained dialogue, provides conditions that make inequalities of power visible and vivid enough for citizens to remedy. In Chapter Four, I present Hannah Arendt's conception of the political, with its reliance on a theory of human action and speech, as an alternative to the Rawlsian conception of political space.⁸ I explore how an Arendtian approach addresses the problems of visibility and order, democratic participation and inequalities.

In Chapter Five, I return to the task of designing stable democratic spaces which secure the conditions for human visibility and the perception of vivid public relationships. I begin by critically examining how Rawls's original position and Arendt's analysis of Kantian aesthetic judgement provide models of a common perspective by which citizens, each within their own perspective and position, can together construct a political space in which they are visible to one another and in which inequalities can be spotted and transformed. I show how Rawlsian liberalism, with its design of the original position, is more concerned with order and symmetry than with visibility, and how Arendt's distinction between social and political spaces allows for a much clearer focus on the

⁸There are, of course, other alternatives to the Rawlsian conception of political discourse, most notably Habermas's discourse ethics with its emphasis on actual participation. The Arendtian alternative, as we shall see, is more extreme. Also, it would appear that Habermas, in his more recent work on constitutional democracy, Between Facts and Norms (Cambridge: Massachusetts Institute of Technology Press, 1996) while placing significant emphasis on the role of informal democratic discourse, has moved more in the direction of Rawlsian theorists of "deliberative democracy." See Frank Michelman's review, "Jurgen Habermas: *Between Facts and Norms*," The Journal of Philosophy, Vol. XCIII, N. 6 (June, 1996): 307 ff.

conditions of human visibility. I argue that Rawlsian political space, while providing for an adequate account of the conditions of democratic political order, fails to give a sufficient account of public visibility needed for an adequate conception of democratic politics.

While Arendtian political space is more attentive to conditions of visibility, I also show how her analysis of those conditions is inadequate and incomplete.

Finally I examine how Arendt's interpretation and use of Kant's analysis of aesthetic judgements as a model for political judgements promises to provide a philosophical basis for constructing a common perspective by which human beings appear most vividly to one another. I show how Arendt's analysis of aesthetic judgements (if limited to forms of aesthetic judgement that reflect the conditions under which human performances are given - such as found in the performing arts, particularly theatrical performance) can satisfy both the objective conditions of human visibility and the subjective conditions of a common perspective needed for securing mutual public appearances of human beings in democratic spaces.

Chapter II

RETHINKING THE MEANING OF DEMOCRATIC SPACES.

It is hard to understand the true notion of spectator, ignored and yet needed. The actor's work is never for an audience, yet always is for one. The onlooker is a partner who must be forgotten and still constantly kept in mind . . . (Peter Brook, The Empty Space, 51) . . . Now the moment of performance, when it comes, is reached through two passageways - the foyer and the stage door. Are these, in symbolic terms, links or are they to be seen as symbols of separation? If the stage is related to life, if the auditorium is related to life, then the openings must be free and open passageways must allow an easy transition from outside life to meeting place. But if the theater is essentially artificial, then the stage door reminds the actor that he is now entering a special place that demands costume, make up, disguise, change of identity - and the audience also dresses up, so as to come out of the everyday world along a red carpet into a place of privilege . . . The only thing that all forms of theater have in common is the need for an audience. This is more than a truism: in the theater the audience completes the steps of creation. In the other arts, it is possible for the artist to use as his principle the idea that he works for himself. However great his sense of social responsibility, he will say that his best guide is his own instinct - and if he is satisfied when standing alone with his completed work, the chances are that other people will be satisfied too. In the theater this is modified by the fact that the last lonely look at the completed object is not possible - until an audience is present the object is not complete. (Peter Brook, The Empty Space, 126-127)

Here Brook focuses his attention on the role of spectator and the importance of an audience for performances in the theater. What he is concerned with is the relationship that exists between the actors on stage and the spectators in the audience when a performance is happening.

There are two ways to get to a theatrical performance: through the stage doors,

the path taken by actors, and through the foyer, the path taken by the audience. Brook asks whether these two passageways are best understood as two different paths to two different places in the theater (one to the stage, the other to the seats) or as two paths leading to the same place, the theater where the performance is to happen.

If our understanding of theatrical performances is limited to what is happening on the stage, then theater is “essentially artificial,” where the relationship between actors and audience is based on costumes and disguises. In such “artificial theater” even the spectators, because they are there simply to observe a performed spectacle, must “dress up,” wear disguises, in order to be admitted into the “place of privilege.”

But if theater is related to life, if it is a place for meeting others and making visible what is invisible, then the two passageways must be seen as leading to the same place. For Brook, theatrical performances, if they are to bring about an explosion of life, do not occur on the stage but in the theater. More specifically, they occur in the space that lies in between the actors and the audience.

Indeed, according to Brook, there is no performance without the audience. The audience is common to all forms of theater not because actors need to have something in front of which they perform, but because the audience is essential for performance. A theatrical performance that occurs in a theater (and not merely on the stage) is not simply a product of playwrights, directors, actors and stage hands. “The audience completes the steps of creation” of the performance, the completed work, the work by which the invisible is made visible. In theater, and this is the significant difference between performing arts and other arts, the activities of both the actors and the audience are

essential for the creation and completion of a performance.

What Brook suggests to us as we begin to design democratic political spaces is an understanding of political space that engages the ordinary citizen as an active participant. If politics is related to life, if political space is to be a meeting place where the invisible powers that rule our lives are made visible, then democratic political space is not simply a place in which to observe and applaud spectacles that have been well rehearsed, finely written and expertly directed, but is instead a place that exists somewhere in between its citizens.

In designing democratic spaces that are not “essentially artificial” and require disguises and costumes (a “change of identity”), but are places where everyone can be seen and heard in all their differences, we need to understand this space that lies in between people and that brings about an explosion of life. How do we understand political space between people and how does such space provide the conditions needed for the perception of inequalities of power and for remedying those inequalities? I approach this question of the meaning of democratic political spaces through the notion of political stability.

A. RETHINKING THE PROBLEM OF DEMOCRATIC STABILITY.

The traditional problem of democratic stability is said to arise because on the one hand political power is supposed to be based on the deliberative consent of individual citizens, and on the other hand, those citizens are often so disparate in their own political values as to be continually in conflict with one another when exercising that consent. If

citizens are so divided, how can they ensure enough political unity by means of their collective deliberation to sustain the democratic polity?

Historically the stability of many political regimes was often based on religious, ethnic or moral unity within the society at large. Even with the doctrine of individualism, appeals for political unity amid diversity would be made on the basis of these shared religious or moral values, ideas and principles. But in contemporary democracies like the United States, where such shared values and beliefs are no longer uniformly held, if ever they were, political stability seems much more difficult, if not impossible, to ensure. Today the diversity of conceptions and beliefs of what is valuable and honorable often puts individuals at odds with one another not only in their personal pursuits of their own good but in their public deliberations about public goods as well. Solutions to this traditional problem of stability have tended to concentrate on regulating public conflict and restricting which issues can be placed on the official (state) political agenda.

1. Political Education and Political Virtues.

One approach to regulating conflict focuses on identifying the right psychological and moral characteristics among individuals which would secure their mutual cooperation as citizens. Here we are concerned with the development of effective social institutions, child-rearing practices or socialization processes which foster the proper psychological dispositions and moral feelings among individuals. This approach, however, requires some prior determination of political unity, goals and purposes in reference to which the proper dispositions of citizens can be identified and measured. About what do we expect citizens

to cooperate? The particular virtues of cooperation will depend to a large extent upon the nature of the cooperative venture. A further challenge for this approach is to find the right balance between psychological and moral unity and recognition of diversity in order to maintain stability without the intrusive use of state power in personal and family life.

2. Theories of Deliberation and The Regulation of Public Discourse.

The more conventional approach of liberal theory has been to regulate the conflict among citizens by appealing to certain principles of public reason - neutrality, impartiality and reasonableness - by which irreconcilable differences are either precluded from entering the political domain or regulated once they arrive there. The challenge for such an approach is to establish a morally neutral basis for such principles and secure a consensus among the conflicting parties regarding their use. Most often these principles are said to favor more liberal positions. Other difficulties of this approach have to do with specifying the relative strength of the preclusionary principles.

Those who favor strong preclusionary principles generally presume that stable participatory democracy requires that (1) political and social conflicts must be limited and controlled in their intensity, (2) the rate of political change must be restrained, (3) economic security must be maintained, and (4) a consensus on basic political values preserved, particularly where a pluralist social organization exists.⁹ With the demands of stability understood in this way, theorists have tended to develop concrete forms of

⁹These are suggested by a reading of Carole Pateman's analysis of "traditional democratic theories" particularly her comments on B. R. Berelson. See Carole Pateman, Participation and Democratic Theory (Cambridge: Cambridge University Press, 1970) 5-8.

political deliberation that fall short of the democratic ideal - citizen participation tends to be “normalized” into predictable, routine and more conventional forms of involvement.

On the other hand, those who favor greater citizen participation argue for weaker preclusionary principles and greater regulatory principles.¹⁰ These theorists tend to design the idea of active citizenship around the notion of democratic deliberation and conceive of the demands of stability much more loosely.¹¹

To determine the degree to which participation of citizens in political deliberation is feasible and defensible is to ask what sort of political stability is required and what sort of deliberation undermines or sustains such stability. In developing a credible conception of deliberative democracy one of the difficulties is to balance the demands of justice with the ideal of democratic legitimacy. The challenge theorists face is to formulate realistic public deliberative procedures that meet both the demands of more inclusive participation and the demands of stability, to come up with deliberative procedures that are open and at the same time supportive of just social structures. Can we find a way to uphold the democratic ideal without sacrificing political stability?

For most deliberative theorists the problem of stability goes hand in hand with the problem of participation; participation and stability are balanced in an inverse proportion

¹⁰For a discussion of the balance between preclusionary principles and regulatory principles see Amy Gutmann & Dennis Thompson, “Moral Conflict and Political Consensus,” *Ethics* 101.1 (October, 1990): 64-88.

¹¹Habermas’s communicative rationality, for example, is open to and tolerant of a wide range of beliefs, values, and practices. He prefers to secure stability not with “stipulations” on the content of discourse, but with discursive procedural criteria for resolving disputes and constructing principles of just relationships.

to each other. What kind of political stability do we need to sustain democratic deliberative ideals of citizens as free and equal participants? And, what kind of democratic deliberation (who, how and where) is required (permissible, possible) to maintain a stable, just democracy? The first question assumes some notion of deliberation among free and equal citizens. The second assumes some notion of stability (often tied to a conception of public justice).

3. Constructing Political Spaces for Human Public Appearances.

A broader and more complex approach to the problem of stability is to situate democratic participation within properly constructed stable political spaces. This approach maintains that political stability can be more democratically assured not by constraining political discourse nor by simply forming the right kind of political virtues or proper motivation designed to keep the peace, but by constructing stable domains within which people make their public appearances and relate to one another democratically. The idea here is that stability is seen first as a quality of democratic spaces which contain competing as well as complementing human appearances and regulate human relationships, and subordinately as a consequence of political virtues of tolerance or civility or of deliberative processes by which conflicts are resolved.¹²

¹²I am not suggesting that this third approach negates those mentioned above, only that it is more comprehensive in that it also addresses the underlying basis for these other approaches. Indeed, this broader approach, if it is to be successful, must still eventually account for principles of regulating public discourse as well as civic virtues required for good citizenship. One might even argue that if this broader approach fails, the narrower liberal attempts at stability - defending the principle of tolerance and defining virtues of citizenship - will eventually collapse as well.

The advantage of this approach is to address the demands of political stability independently of resolving the predicaments that public deliberative processes might pose for democratic participation. What do I mean by that?

Most theorists and critics of deliberative democracy build their solutions to the problem of stability on certain kinds of allowable public discourse, claiming that public deliberation and political judgements need to be filtered through a prescribed rational moral process which will provide an assurance of justice and efficiency without prejudging the content of the discourse. But political stability cannot be assured simply by procedures of public deliberation. We can deliberate all we want and still remain hopelessly at odds with one another. Deliberation, even good deliberation among virtuous citizens, cannot produce the kind of stability wherein political conflict is no longer part of political relationships. If it did, it would not be political deliberation. It certainly would not be democratic deliberation.

To try to found political stability solely on the basis of public deliberation is to force citizen participation into certain restrictive forms of public appearance that in the long run nullify the promise of democracy. To address the demands of stability by establishing legitimate forms of public deliberation invariably limits who appears in public and how they appear. This, in turn, leads to a kind of stability wherein there remains a constant battle, albeit a hidden battle, between those who are in and those who are out.

Finally, and most important, if people can appear in public spaces only with certain deliberative capacities - an ability to set goals, make plans, discern means to ends, and make rational judgements - then one of the premiere values of democratic participation,

namely, its potential for recognizing inequalities and developing new and innovative arrangements of more egalitarian human relationships, is seriously circumscribed.

In this third approach the problems of stability and participation are addressed not by theories of deliberation which struggle to balance stability and participation by means of deliberative procedures, but by constructing democratic spaces within which the public appearances of citizens are vivid enough to be perceived and which provide conditions for the perception of inequalities of power that are part of most, if not all, human relationships. This third approach requires, therefore, that we disentangle the problem of participation from the problem of deliberation, that we reconceptualize the meaning of participation and that we reconfigure the problem of stability.

Democratic participation is not a question of how well people deliberate, but who appears in public and how vividly they appear to one another there. And, these public appearances are independent of, even prior to, any specialized deliberative skills people may need in a prescribed process of public deliberation. Thus, the first problem for democratic participation is not how to design deliberative procedures, but how to design political spaces in which people who appear can perceive the hidden and often unequal powers that rule their own lives.

Political stability is a quality of political spaces, spaces in which people appear publicly and vividly to one another, rather than a result of more or less constrained public deliberation. The problem of stability will be resolved by designing political spaces which “bring about the most vivid relationships between people.” To appreciate this third approach two notions are axiomatic: the idea of political space and the idea of designing

political spaces for human appearances. In the next section I will specify what I mean by the metaphor of political space and particularly democratic political space. Following that, I will illustrate what I mean by designing democratic spaces for vivid human appearances.

B. THE IDEA OF DEMOCRATIC SPACE AND HUMAN VISIBILITY.

1. From Political Stability to “Political Space.”

I have argued above that political stability cannot be understood simply as a consequence of certain skills or characteristics of citizens (e.g., the political virtues of reasonableness or civility) or as a consequence of certain rules and procedures governing democratic deliberation but is rather based on another aspect of how citizens appear to one another. I want to now demonstrate how the idea of political space focuses our attention more clearly on the conditions of this aspect of human appearances.

The first point to make is that the idea of political space is already implicit in the notion of political stability, that political stability is itself a spatial metaphor. Ordinarily when we talk about the stability of something, we mean to indicate that it is “able to remain in the same relative place or position in spite of disturbing influences.”¹³ Something is stable if it is steadfast and has the ability to withstand disrupting forces in such a way

¹³See “**Stability**,” *Oxford English Dictionary*, vol. 16 (Oxford: Clarendon Press, 1989) 429 ff. “1. In physical senses. a. Power of remaining erect; freedom from liability to fall or be overthrown. b. Fixity of position in space; freedom from liability to changes of place. c. Ability to remain in the same relative place or position in spite of disturbing influences; capacity for resistance to displacement; the condition of being in stable equilibrium, tendency to recover the original position after displacement. Also of a body in motion: freedom from oscillation, steadiness. d. Fixedness, not fluidity. e. Of a system of bodies: Permanence of arrangement; power of resisting change of structure. ... 2. Of an immaterial thing: Immunity from destruction or essential change; enduring quality.”

that it will maintain its place and/or position - that is, it will retain its relationships with other things around it and the internal relations of its own parts. Stability is an ability to remain “standing” in place, or an ability to retain “equilibrium,” a balance between its “parts.”

When we talk about something as physically stable, we are not simply talking about the thing itself, its inherent or intrinsic qualities, or its component parts. To say that something is stable is not simply to say that it has a certain size and weight, or is composed of certain elements. Rather, to say that something is stable is to talk about its relationship to other things in its spatial environment - for example, its weight is large enough to keep it from falling over - or to talk about the internal relationships of its parts to one another - for example, the bond between its parts is strong enough to resist being broken under certain influences. A thing’s stable position is defined by its fixed relation to other physical objects and their positions.

As physical stability is related to the “fixity of position in space,” the political stability of a society is the fixity of public human relationships in its political space. Political stability is the ability of the arrangements of political objects, citizens in their relationships with one another, to retain some degree of constancy or fixity; to have a “power of resisting change of structure,” to remain in their present political relationships. To say, for example, liberal democracy is unstable in the United States is to claim that the political relationships that already exist between citizens are not able to withstand certain internal or external forces.

By using the metaphor, political space, we focus attention not so much on the

inherent qualities of political things, say the qualities of citizenship, but more so on their political interrelationships, on their relative locations, places, and positions - the distances between one another, and how these distances are maintained. Thus, to understand what citizenship fully means, it is not enough to identify and explain the qualities or capacities of citizens - their rationality, capacity of judgement, agency, virtues, etc. - but also how citizens relate to one another. In talking about citizens as they appear within political spaces, we are talking about how it is that citizens are gathered together, related to and separated from one another, as well as their relative “movements” toward and from one another. The problem of stability in political theory involves, first and foremost, preserving the spaces between citizens, by which they are related to one another. These spaces between citizens are essential for their appearances to one another and are what I mean to get at through the notion of political space.

Thus, discussions of political stability require an understanding of how people enter into “politically spatial” relationships with one another, how they appear to one another as citizens, and an understanding of the conditions that allow them to appear the way they do, their own visibility and their “sense-ability” by which they perceive others. As with physical spaces, we can identify a variety of political spaces. Not all are the same. Indeed some political spaces may be oppressive, some may be enabling, some may make certain people invisible and other people “larger than life.” These various spaces are distinguished one from the other by their different conditions under which people appear and are perceived.

2. Public, Political and Democratic Spaces.

To explore the value of the notion of political space in focusing attention on the conditions of human appearances, I want to distinguish between public spaces, political spaces and democratic spaces.

Consider an example of a public space where people are gathered, united and separated around the public good of education, specifically the education of their children, the public school. When we go into a public school, we see and hear specific things that distinguish it from other public spaces, as well as from other public schools. What appears to all who enter the space of a public school are the specifics of an educational organization or structure - its faculty, students, curriculum, teaching methodologies, disciplinary rules, administration and board policies, textbooks, budgets and tax revenues, the buildings, size of rooms, equipment and supplies, as well as the activity of teaching and the relationships between teachers and students.

While all these things do not appear as the same to all who look upon them, they nevertheless do appear to all who enter this space. For example, some may see the science equipment as inadequate, others as indulgent. But they all see the science equipment. Some may judge student-teacher relationships as enabling, other as stifling, but they all see the relationships.

Furthermore, when we enter such a space, we also become public objects ourselves - objects to be seen and heard by others in that same space. Upon our entry, we are seen and heard now as parents perhaps, or as concerned citizens. Some of us may even enter this space in two or more guises. A teacher who has a student attending the school

might appear in this space as teacher, as parent, and as citizen.

a. Public Spaces.

A space is considered a public space when what appears within its boundaries, along with its defining objects, are a multitude of human beings who enter and become “objects” as they make their appearances. The public school is indeed an educational space where the meanings and conditions of the social activity of education determine how human beings within its boundaries are related to one another. But it is a public space because it stipulates how human beings appear as human beings within its boundary. In public schools human beings appear as teachers, parents, students, administrators, staff and citizens.

The shopping mall, as a marketplace for the exchange of commodities, is also a public space, though it is distinct from the public school in two ways: first, it orders and organizes human beings who appear within its boundaries differently, and second, it “stipulates” that humans appear therein differently - now as clerks, manufacturers, consumers, managers and stockholders, etc.¹⁴ Both the school and the mall are public spaces because a multitude of human beings exist within their boundaries and make their

¹⁴While the mall has places (sub spaces) within it other than retail stores, such as theaters, restaurants, children’s play areas and so-called leisure places for sitting and talking, even theatrical stages for “civic” events, we generally recognize that these places are subservient to the retail exchanges. I am not distinguishing these spaces from the retail shops of the mall, but instead consider the mall as a “single-minded” space. See Michael Walzer, “Pleasures and Costs of Urbanity,” *Dissent* (Fall, 1986): 470-475. The point I want to make here is simply that even a retail space, by stipulating how human beings appear to one another and ordering those appearances, is a public space.

appearances, in the one case as teachers or students, in the other case as consumers or clerks.

What originally distinguishes one public space from another are the different ways human beings appear within their respective boundaries. And, these appearances mediate the relationships of people in those spaces. Public schools are different from shopping malls principally because the sorts of humans who appear in schools as educational spaces are students, teachers, administrators, parents, board members, citizens, state bureaucrats. And it is the guises under which humans appear in these spaces that determine the relationships between people who appear therein. The sorts of humans who appear in the shops of a mall (sales clerks, owners, managers, investors, customers, and consumers) condition the kinds of relationships that are possible between people appearing therein.

This is what I meant when I said earlier that the first condition for mediating and ordering public human relationships is the way human beings appear to one another. Public spaces differ from one another not simply by the way they organize and mediate the relationships of persons who appear within their boundaries, but originally by the conditions they provide for the very appearances of persons. The key to adequately distinguishing and eventually designing different kinds of public spaces has to do with understanding how human beings can and do appear differently in different spaces.

What distinguishes public spaces from what we often refer to as private spaces, for example, what distinguishes public schools from private schools, is not so much whether

public monies or resources are involved, but the guises under which people appear.¹⁵ In a private parochial school besides appearing as a parent or teacher or student, one also appears as a member of a religious organization, whereas in a public school such guises are supposedly excluded. On the other hand, people do not appear as taxpayers in private schools as they do in public schools. Still, public and private schools have much in common. Many of the objects and guises found in private schools are similar to those found in public schools (teachers, students, parents, citizens) and there is a sense in which private schools are public spaces. It is because people do appear, even in private spaces, as citizens with constitutional and civil rights that such spaces are never completely private. Indeed, it is on this basis that we justify, though not without a certain amount of controversy, public laws and regulations of private and parochial schools.

b. Political Spaces.

Not all public spaces are political spaces. What makes a public space political is that in political spaces human beings appear to one another by means of their own voices and deeds. The “objects” that exist within political spaces are human beings who speak and act toward one another. To say that in political spaces people appear as citizens is to say that they appear to one another as speakers and actors. Thus, the appearances of human beings to one another in political spaces are determined by the conditions of human

¹⁵Private and public schools also differ in what kinds of objects appear within their different boundaries. For example, in parochial schools there are such things as religious symbols, rituals, events and spaces, not found in public schools. In addition, the guises of teachers and administrators while similar are also different in that in parochial schools they have an added element of religious authority and power.

speech and action. Political spaces are, thus, distinct from other sorts of public spaces because people appearing therein are gathered together and separated not by material things or exchange commodities, but by their own voices and their own actions. In this sense political relationships are mediated directly, by the appearances of people with their own voices and deeds.

Perhaps this is what we mean by democratic political power - the ability to make one's own appearance in public, to be seen and heard by others for what one says and does. But, if so, then political power, appearing in public by one's own words and deeds, is not solely a function of the political character of individual citizens, but more so, a function of the conditions under which human beings speak and act toward one another. And these conditions are not merely set by the capabilities of citizens to speak with their own mouths and act with their own bodies, but also by the perceptual conditions (a sort of political "acoustics") in which spoken words are heard, and done deeds are seen. One may use her own mouth to speak "words" which are not her own but which are the only words available to her within the acceptable, conventional language because they are the only ones that are heard and seen. If that is the case, the visibility and audibility conditions of such spaces allow only certain voices to be heard and only certain deeds to be seen.

Some political spaces might be dominated by certain preferred or privileged "words and deeds" to such an extent that these words and deeds serve as a sort of "prototype" for anyone making her own appearance. If, in order to make a public appearance, someone must speak with a certain tamed discourse and conventional tone of voice, or must use a certain dialect, then we would say that her appearance is determined

or controlled by a privileged model of how human political appearances are made. In such political spaces, the appearances of people are controlled by elites whose words and deeds determine how others must speak and act if they are to appear and compete for power.

Thus, what is significant for understanding political arrangements of power is to understand the perceptual conditions for public human appearances by means of words and deeds. We make vivid the given arrangements of political power by understanding (and designing public spaces on the basis of) how it is that anyone appears in public by means of the words they speak and the deeds they perform, rather than by asking how people are treated by one another once they appear. That is what I hope to get at by focusing on the idea of designing political spaces, rather than designing how people carry on their public discourse and reason within those spaces.

c. Democratic Spaces.

Many, perhaps most, political spaces are not democratic, egalitarian spaces. Marshall Berman, in a discussion with Michael Walzer and Michael Rustin about the shape and design of urban public gathering places such as parks and malls¹⁶, describes his vision of a modern “open-minded” public space for plural societies like the United States as follows:

¹⁶See Michael Walzer, “Pleasures and Costs of Urbanity,” Marshall Berman. “Take It to the Streets: Conflict and Community in Public Space;” and Michael Rustin, “The Fall and Rise of Public Space: A Postcapitalist Prospect,” Dissent (Fall, 1986): 470-494.

. . . It would be open, above all to encounters between people of different classes, races, ages, religions, ideologies, cultures and stances toward life. It would be planned to attract all these different populations, to enable them to look at each other in the face, to listen, maybe to talk. It would have to be exciting enough and accessible enough (by both mass transit and car) to attract them all, spacious enough to contain them all (so they wouldn't be forced to fight each other for breathing space), with plenty of exit routes (in case encounters get too strained), and adequate police (in case there's trouble) kept well in the background (so they don't themselves become a source of trouble). . . .

Our open-minded space must be especially open to politics. We will want to design spaces within the larger space for unlimited speech making and assembling. (New York's Union Square used to have this sort of sub-space.) But we will want our public space to be sufficiently differentiated that people who don't want to listen or join in will also have places to go. We will try to design acoustic enclaves, such as already exist in some places (for instance, Washington Square Park), which enable many kinds of discourse - speech, music, song - to go on simultaneously, without drowning each other out.

No doubt there would be all sorts of dissonance and conflict and trouble in this space, but that would be exactly what we'd be after. In a genuinely open space, all of a city's loose ends hang out, all of society's inner contradictions can express and unfold themselves.¹⁷

A democratic political space is one in which the conditions for human appearances are open and inviting; where the conditions provide for the perception of a diversity of appearances of people of different classes, races, religions, ages, genders, ideologies, and cultures - of people with different "languages" and "practices."

Democratic spaces are vibrant and colorful, and warm enough to attract all sorts of human beings. They are accessible to all who want to enter. They are spacious enough to contain them all with enough room for them to breathe without having to push each other out of the way. The conditions of perception within democratic spaces invite and enable

¹⁷Marshall Berman, "Take It to the Streets: Conflict and Community in Public Space," Dissent (Fall, 1986): 484.

people of such differences to see and be seen, to hear and be heard, to listen to and talk with one another directly and face to face without being “in the face” of one another.

Democratic spaces also contain enough “exits” or escapes so that when direct human encounters in words or deeds get too strained and loud, people may leave without having to resort to violence. Democratic spaces also contain sufficient sub-localities so that a variety of discourses can occur simultaneously without anyone being overpowered or muted by any other, and so that anyone may move from place to place, from discourse to discourse. There are even places for silence and calm.

Such spaces do not sort and screen who can enter and who cannot. Inconsistencies of accent and manners are not eliminated, at least not at the entrances to such spaces. This does not mean that everyone will be seen and heard by everyone else. That depends on a number of factors, including the abilities of individuals to perceive differences and diversity, the environmental conditions of the localities within the space, as well as the willingness of people to open their eyes, to watch and listen. Proper lighting and acoustics are essential. But in democratic spaces whether people can be seen and heard is not decided by their accents and their mannerisms.

One cannot do anything and everything once inside democratic spaces. There is indeed an order that needs to be maintained within these spaces as in all spaces. Orderly arrangements are essential for justice and equity in human relationships. But that order becomes an issue only after people enter a common political space and make their appearances. In democratic spaces, anyone may appear. And that’s its uniqueness.

Certainly such democratic spaces that allow anyone to enter will be filled with

dissonant voices and conflicts between those vying for local sub-spaces. But again, that's a problem of order which is addressed by an "adequate police force kept well in the background" - perhaps by the right sort of moral force inculcated in citizens and by the proper education or "orientation" of those who enter the space.

There will indeed be disruptions and tensions, disorder and asymmetry between and among democratic human appearances. But if democratic spaces are designed to accommodate a diversity of people, isn't that what we want? If democratic spaces are open spaces, then all of its citizens need to have access. We could choose to preclude the conflicts and disharmony by controlling who enters, but only at the cost of a certain human impoverishment - the impoverishment of living without a density and a breadth of diverse human relationships.

The focus of my concern is on the stability of democratic political spaces - how to design and construct public spaces in which human beings vividly appear to and with one another in all their full diversity? My use of the metaphor of political space has, therefore, the following force. In designing such spaces, we need to pay as much attention to how people make their appearances with one another as we do to the final shape of the appearances they make; as much attention to relative conditions under which people make their own appearances as citizens as to the capabilities and skills that citizens are required to possess for orderly negotiations once they enter the political space; as much attention to creating public spaces in between people as we do to creating criteria or conditions that must be satisfied in order to enter such spaces.

We need to give as much attention to the design of political spaces, as we give to

normative criteria of how people ought to act once they appear within a given political space. Democratic political space is not simply a product of “political character,” if we mean by that only the political skills (including the skills of democratic deliberation) that individual citizens have or develop. Rather it is more a consequence of the vivid relationships between people which allow them to see others and be seen by others, to hear others and be heard by others, as citizens with or without political character, and whether or not they are capable of public deliberation.

C. DESIGNING STABLE DEMOCRATIC SPACES.

While physical space is a necessary part of the human condition (all human lives are necessarily conditioned by actual space-time locations), political space is not. We ourselves create or set up the political spaces in which we appear and about which we move. In fact, it is because political spaces are constructed, and not given, that the question of stability even arises in the first place. The demands of stability make sense because we have options in designing our own political spaces.

To approach the problem of democratic political stability is to ask which construction of democratic space is the most stable. It should be clear by now that when I talk of constructing stable political spaces I am not talking about establishing and maintaining ordinary political institutions. The ordinary democratic institutions such as constitutional deliberative bodies do not, and cannot, of themselves assure democratic political space. Nor will any reformation of such institutions ensure that such spaces will be democratic. The approach taken in this study recognizes that political institutions and

organizations are democratic only insofar as they are sustained by stable appearances of citizens in other locales.

Thus, my concern is not with the stability of conventional political institutions, but rather with the stability of human perceptions (humans appearing to and perceiving other humans) - the experiences between a diversity of humans beings appearing in public. The problem of stability, as I have reconfigured it, is how to design and sustain such political spaces in which people appear by their own words and deeds, and where their vivid appearances remain relatively stable.

But we must not forget the dangers we face in designing such spaces. Since the political spatial conditions are not given to us (as they are with physical space), but are themselves human constructions, the spaces we create can too easily be the products of our own private perceptions. We need some sort of normative criterion for recognizing those conditions that make human appearances most vivid. These normative criteria, as suggested earlier, are based on common sense perceptions of human appearances. To get at what these might look like, let us consider the following example.

1. An Illustration of Constructing a Democratic Space.

To illustrate what I mean by designing a non-conventional, stable democratic space, let us consider an example of labor-management negotiations involving the Packard Electric Company and the International Union of Electrical, Radio and Machine Workers

(IUE), Local 717 in Warren, Ohio.¹⁸ In May of 1978 the management of Packard Electric and the local union established a joint Jobs Committee whose initial purpose was to “develop an ongoing union-management approach that will maintain job security and identify opportunities for hiring in the Warren operations” within the context of management’s goal to increase productivity (SN, 302). During the previous summer there were three work stoppages. Employee morale was at an all-time low. There was, by then, a high degree of absenteeism, and the company had been steadily declining in its economic performance. Management had earlier established its own task force to improve productivity and the union had demanded to be represented on this task force.

Because the formation of this committee involved a new pattern of labor and management interaction designed to go beyond addressing simple common concerns such as a joint United Way drive, a toys-for-tots program, voter registration and credit counseling, many of the initial statements made by the committee were designed to secure the support and confidence of employees. Thus, along with the initial purpose statement, it was agreed early on, by all the members of the committee, that “no employee will lose his or her job as a direct result of the project” (SN, 144). Once the employees accepted and supported this new approach to union-management dialogue (prior negotiations involved the traditional opening positions, offers, counteroffers, etc.), the committee began then to tackle the issues of increasing productivity while providing job security.

Following nine months of open dialogue and more cooperative approaches to the

¹⁸This example is taken from the case studies presented in Richard E. Walton, Joel E. Cutcher-Gershenfeld, and Robert B. McKersie, Strategic Negotiations (Boston: Harvard Business School Press, 1994).

problems facing both labor and management, the committee reached agreement on the substantive issues for which it was designed. They agreed to place new branch facilities in Warren (rather than Mississippi or Mexico), to hire 100 new employees, to appropriately reclassify jobs in these new facilities, and to implement job rotation programs and team work approaches to plant tasks.

But in addition to these substantive agreements which addressed the interests of both labor and management, there was also an unexpected intersubjective space that developed between and among the members of the committee during these negotiations and which the committee sought to maintain in operating the new plant facilities:

We believe that every business has a responsibility to its customers, its employees, and the community in which it exists, and shall strive to satisfy the needs and security of each.

We share in the belief that a successful business provides and maintains an environment for change and is built on a foundation of trust, where every person is treated with respect and offered an opportunity to participate. We are totally committed to the patience, dedication, and cooperation necessary to build this foundation.

We also believe that it can be accomplished through a functioning partnership built on the wisdom, the knowledge, and the understanding of the employees, the union and management. (Strategic Negotiations, 302, emphasis added)

This newly developed “operating philosophy” certainly had a significant role in shaping future cooperative attitudes of labor and management of Packard Electric, thereby enabling both labor and management to “get what they wanted.” But it also indicated an entirely new and enlarged public space in which the ideals that “every person is treated with respect,” that all are given an “opportunity to participate” and where the perspectives of “employees, union and management” are given equal “partnership” were at least

advocated. The substantive issues for labor and management remain tied to the operation and production of the company, but the experiences of the Jobs Committee members made possible the creation of a larger common perception, a common sense, within which future labor management relations would be worked out.

To be sure, there were competitive pressures placed on the company which strained its collective bargaining negotiations in the following years. And, no doubt, this partnership will continue to be strained. But this newly created shared space eventually lead to a remarkable 1984 company-wide “living agreement” - “this agreement will remain in full force and effect forever” - which, according to researchers, Walton, Cutcher-Gershenfeld, and McKersie, provided management with an “underlying partnership relationship with the union,” provided the union with “experience in joint dialogue with management over core strategic decisions,” and provided employees with a “Lifetime Job and Income Security Agreement for the Warren Operations.”¹⁹

In the Packard case, the construction of an enlarged “political space” followed from labor and management gathering around a common concern of maintaining a viable, secure and competitive corporation. What emerged around this concern, however, was a

¹⁹Ibid., 145-147. When we look at the specific way this “living agreement” came about, we find that it was greatly due to the position of Nick Nichols a lone member of the union bargaining committee. “Many of the newly elected officials argued that the union should withdraw from all cooperative activities, but Nichols took a unique stance. He urged continued support for cooperation and attention to improving the company’s competitive situation, but he also pressed for attention to job security.” Eventually his position prevailed - an “enlarged perspective”. He took the position he did on the basis of judging the situation of Packard Electric and its employees from the perspectives of both the employees (his “attention to job security”) and the management (his “attention to improving the company’s competitive situation”), not merely his own perspective.

“larger” common perception of who they were and how they wanted to relate with one another. When the Jobs Committee went beyond the ordinary forms of negotiation, and established its own innovative practice of interacting, rooted in the subjective experiences of all its members and enlarged by the perspectives of all participants, a common sense was formed, which allowed them to look at one another and themselves in a different way. That common sensibility, eventually expressed in the living agreement, identified how they were to appear and relate to one another.

They moved from a space defined by an economic context of business and labor practices and created a space of mutuality and partnership not only at the institutional level between union and management, but also at the individual level between management and employees. The space created by the committee and subsequently ratified by labor and management was democratic by virtue of its conditions for human visibility; it was a space where “every person is treated with respect and offered an opportunity to participate,” where all participants are committed to “the patience, dedication and cooperation necessary to build this foundation,” and where there is a “functioning partnership built on wisdom, the knowledge and the understanding of the employees, the union and management.” The appearances of people in this “functioning partnership” were not regulated by how involved someone was in the negotiations of the substantive issues, nor even by how well one spoke, but was “guaranteed” by a “living agreement,” an agreement that allowed all participants to appear; whether they were “actors” on the stage, i.e., members of the committee who met face to face, or “spectators” in the audience, i.e., the rank and file who “ratified” - gave credibility to - the work of the committee.

What is most significant about the Packard case is that the enlarged, democratic space, created by the newly constructed common sense perception of labor and management, made vivid the invisible relationships of power that ruled the lives of both employees and employers, and that had probably contributed to the decline of the company's productivity. The early recognition of the committee for the need of an initial agreement that "no employee will lose his or her job as a direct result of the project" (SN, 144) shows how vivid the inequalities of power were to the committee members. And, the ability to perceive these power relationships and their effects on the "visibility" and voices of both labor and management was the first condition that allowed the committee to develop remedies for these invisible inequalities. The remedies they then designed were (1) providing management with an "underlying partnership relationship with the union," (2) providing the union with the means for "joint dialogue with management over core strategic decision," (3) providing employees with a "lifetime Job and Income Security Agreement."

What is finally most noteworthy about the Packard case is not only the creation of a democratic space in which they were able to make visible the inequalities of power and resolve their substantive issues, but their recognition of the uniqueness of the space they created and particularly their understanding of the conditions for its existence which led to their subsequent desires or attempts to give it some degree of permanence. What they sought to make permanent and stable was not the substantive agreements nor even the remedies for inequalities of power, but the way they were to appear to one another as they gathered to forge any agreement, or design any remedy. And, while Packard's future is

not guaranteed and the actions of its owners and employees remain unpredictable, this newly formed democratic space promises to provide the best conditions for making vivid and remedying further inequalities that may threaten the viability of the company.

2. The Fragility of Democratic Spaces.

The creation of an enlarged democratic space at Packard and their experience of its positive value in remedying inequalities of power led them to try to stabilize human appearances by means of their “living agreement.” Of course such an agreement does not assure that the kind of space they were able to open up will continue in existence. Certainly, if it does remain “in force and effect forever,” it is the kind of space that cannot be enforced. Can such spaces be established in such a way as to guarantee their continuation? If so, how?

In their sociological study of various democratic movements, Free Spaces, Sara Evans and Harry Boyte make note of what seems an inherent difficulty with sustaining democratic spaces once they’ve been opened up.

Free spaces are never a pure phenomenon. In the real world, they are always complex, shifting, and dynamic -partial in their freedom and democratic participation, marked by parochialism of class, gender, race, and other biases of the groups which maintain them. There are no easy or simple ways to sustain experiences of democratic participation and values of civic virtue in the heart of broader environments that undermine them and demand, at least on the face of it, very different sorts of values. Democratic movements have had varying degrees of success in sustaining themselves, in spreading their values, symbols and ideas to larger audiences, in changing the world. (Free Spaces, 19, emphasis added)

The difficulty in maintaining such democratic political spaces seems to lie in the

human plurality within which they are created.²⁰ The “liberality” and indulgence required for such political spaces, an openness to an abundance of human relationships and to an enlargement of innumerable perspectives needed for the formation of a common sense, seems to further their very instability, their fragility and unpredictability.

If democratic political spaces are founded upon direct human relationships, such spaces will indeed be fragile. People change, new members are always added to the “ranks,” and how people relate is always undergoing modifications. The reality is that we are only partially able to stretch beyond our own imagination and to step outside the limiting conditions of our own histories to see the invisible and to enlarge our own perspectives. Inequalities, “marked by parochialism of class, gender, race and other biases,” still remain, making “democratic” spaces still undemocratic.

Because of the fragility and incompleteness of actual democratic spaces many attempts at securing political stability opt to construct political spaces not on fragile and unpredictable human relationships but on institutional structures, communal identities, or human necessities that are designed to make political spaces more equitable. In the end, however, these attempts often erode or limit the freedom of action and initiative necessary among citizens in order to construct a common sense understanding of inequalities and

²⁰For an excellent analysis of the historical condition under which democratic “free spaces” are created see Sara M. Evans and Harry C. Boyte, Free Spaces (New York: Harper & Row, 1986), particularly Chapter 1, “The People Shall Rule” and Chapter 6, “Free Spaces.” Their studies show, on the one hand, how commonplace such spaces are and can be, and on the other how fragile and short-lived they tend to be. In some cases attempts at institutionalizing “free spaces” - creating organizational structures around “movements” - have led to their destruction. Our understanding of how such spaces get created seems much clearer than why so few survive.

their remedies.

Let us look at a particular example taken from Evans and Boyte's study of the history of the labor movement that shows the difficulty involved in sustaining such democratic spaces.

By the mid-1950s, what had been a vibrant, grass-roots union movement two decades before had become largely acquiescent. In the interests of stability and particularly under the pressure of wartime production, major unions shifted to a more businesslike operation. In part such quiescence can be traced to the institutionalization of unionism under the legal protection and regulation of the state. The very legislation that promoted unionism in the 1930s, the Wagner Act and the National Labor Relations Board which it created, also conceded elements of control over the bargaining process to the state . . . One consequence is that the process of bargaining has become routinized and the focus of bargaining narrowed . . . With the NLRB, one of the great legal achievements of the American labor movement, one also sees the end of much of the artisanal tradition with its proud defense of workers' prerogatives, their right to define and control their work. The trade off was for higher wages, increased job security, and a more predictable labor system for both workers and employers. (*Free Spaces*, 144-45, emphasis added)

While the various labor movements were begun under unfair labor conditions and inadequate pay (inequalities of power), they were started as forms of democratic participation, where the common laborer participated in affecting changes and acted with one another on the basis of an equality they experienced between one another. Referring to the 1937 sit-down strike against General Motors in Flint, Michigan, Evans and Boyte reported the following:

Louis Adamic described the sit-down as a "social affair." Thousands, suddenly idle in the stilled factory, turned to one another in a new way. " 'Why, my God, man,' one Goodyear gum-miner told me in November 1936, 'during the sitdowns last spring I found out that the guy who works next to me is the same as I am, even if I was born in West Virginia and he is from Poland. His grievances are the same. Why shouldn't we stick?'" Victory in Flint was met with delirious joy. One

participant, decades later, told Studs Terkel what it meant: “When Mr. Knudsen put his name to a piece of paper and says that General Motors recognizes the UAW-CIO - until that moment, we were non-people, we didn’t even exist (laughs). That was the big one. (His eyes are moist.)” (Free Spaces, 143-44)

What happened between the 1930s and 1950s was the loss of the democratic space that originally existed between the rank and file members. In the drive for stability, the labor movement institutionalized its functional goals and activities in an attempt to guarantee its capabilities for negotiation - these spaces became “more businesslike in operation” and “conceded elements of control” to governmental institutions. In doing so it failed to adequately provide for the democratic, “artisanal” space between its members which was the original basis of the power of the movement. In institutionalizing their movement they stabilized their function but failed to maintain the conditions for human appearances between their members. The basis for the stability of democratic movements, as well as democratic institutions, lies in their success at identifying and remedying inequalities of power by maintaining human visibility conditions. But, when the labor movement structured itself in such a way that it turned its members into tools (strikers) for accomplishing its goals (increased wages and better worker conditions), it lost, perhaps destroyed, the space it had previously created for the visibility of its members and their relationships.

I’ve twice mentioned the potential dangers in constructing democratic spaces: the failure to distinguish the conditions of visibility from conditions of order, and the tendency to substitute subjective perceptual conditions of visibility for objective ones. A failure to keep the visibility and order conditions distinct resulted in the institutionalization of labor

movements (maintaining order) without, at the same time, protecting the visibility of its members, what Louis Adamic called, the “social affair.” And, the substitution of subjective perceptual conditions of visibility for objective ones resulted in the inability of labor movements to create, or at least sustain, a common sensibility which contained Adamic’s perspective. Adamic’s perspective, with moist eyes, was “we were people.” But the union’s perspective defined the worker as a striker. The union bosses’ perspective was eventually adopted and resulted in the destruction of the “artisanal space” between the workers which subsequently led to the destruction of the space in which a common sense could be created and sustained.

So we return to the question with which we began this section: can democratic spaces be established in such a way as to guarantee their continuance? As long as democratic spaces are built on fragile human relationships, there are no guarantees. When we try to stabilize democratic spaces by institutionalizing a rule for the ordering of its members, we risk destroying the very base of their power. The base of power in democratic spaces is the direct human relationships in which people are visible to one another; Adamic’s “social affair.” The best we can do to stabilize democratic spaces that retain their power is to design them in such a way that secures the visibility (and audibility) conditions under which people appear to one another.

It is doubtful that we’ll ever construct actual spaces in which inequalities of power are not present. But if we can construct political spaces where these inequalities are or can be made vivid, then the participants in such spaces have a better chance of spotting and correcting them.

3. The Conditions of Stable Democratic Spaces.

So can we design stable spaces between people in which the inequalities of power certain to be part of public relationships appear and which allow for remedies of those inequalities? We have heard Peter Brook's suggestion that when we design spaces for human appearances we need to "not only present the invisible but also offer conditions that make its perception possible." In the above illustrations we have suggested how democratic political spaces provide for the appearances of human participants in all their differences as well as the formation of a common perspective from which inequalities of human relationships of power are seen and remedied.

a. The Conditions of Visibility.

Democratic spaces between people, that is, between people who appear to and perceive each other in all their diversity and fragility, require two conditions: the diversity of individuals with their distinctive voices and unique deeds, and the unity of a common space, wherein, as distinct citizens, they speak to and hear one another.

How to balance both of these dimensions, the unity of a public space and the diversity of its actors and spectators, can be gleaned from an allegory provided in another labor-management case study taken from Strategic Negotiations:

Sometimes both parties will together make significant symbolic moves. For example, labor and management leaders in Budd's Detroit plant agreed to construct a special room for union-management meetings, but they were very careful about designing the table for the room. They rejected a rectangular table as

too adversarial and a round table as too cooperative. Finally, they settled on a curved, oblong table with one end squared for the labor and management committee co-chairs. This design symbolized their working together but retaining separate identities. (*Strategic Negotiations*, 303, emphasis added)

A proper construction of democratic political space brings all, even adversaries and outcasts, together around “the same table.” But differences and distinctions are not ignored. In fact they are laid out clearly and for all to see. A political space built only on our similarities (sameness) will either fail to be stable or be stable in the wrong way. Without “retaining separate identities” there is no working together, since there is no difference, no distinction, no space in between one another. To construct a meeting space where there is no distinction of place, no difference of perspective or position, a political space wherein differences are left outside, is to force the participants into counterfeit positions, deceptive and dishonest political identities that not only fail to make visible the invisible powers that rule our lives but continue to hide them from view.

Clearly, the first condition for democratic spaces is that all participants, whether on the stage or in the audience, are audible and visible to one another no matter how well they speak and present themselves. And this mutual perception can be assured only when our spaces are designed so that differences of positions that can be seen and heard most clearly. The “visibility” of these differences of positions can be best assured not by the actions of individuals, whether as performers or spectators, nor by the quality of the voices they use, but by designing spaces in such a way that what exists within their boundaries are human beings who appear in their own voices and deeds whatever the quality of those voices and deeds. Human visibility and audibility in democratic spaces require an

“acoustic” that makes audible the widest range of human voices, not merely a narrow band of pitches and tones, and a “visual field” in which human actions can be seen, not simply certain qualities or virtues of actions.

For example, groups of people whose political identity and power are restricted by existing public institutions, such as women, or the poor, are assured of their own appearances in democratic spaces not by being taught to speak the proper political language, and not by the prevailing powers “giving them an equal voice,” but by designing an acoustic in which they can be heard in their own voices, no matter how “well” they speak. The public appearances of the poor are best assured not by advocates, champions, or promoters “standing in for them,” but by creating open public spaces in which they can be seen in all their tattered garments and eccentric habits. Only in this way can differences between people, and particularly inequalities of relationships of power, be even heard and seen.

b. The Conditions of a Democratic Common Sense.

In addition to the objective conditions that assure the visibility of differences, we need to specify the subjective conditions of perception whereby those differences are seen and heard. Democratic spaces are created from the simultaneous positions of a diversity of citizens, positions that are partial perspectives and local, and, at the same time, enlarged enough to include or contain the perspectives of others. Democratic spaces are not only a multitude of simultaneous, side-by-side perspectives on the world, but they are interconnected, interrelated perspectives, where the perspective of one is contained in

another's in such a way as to "enlarge" it.

Stable democratic spaces are best designed not around a particular method or procedure of speaking and acting, but around a plurality of citizens who, by presenting their own positions and, thereby, their own perspectives, their own faces, their own voices, their own personae, their own public masks to one another, together determine how the world is to look and how we are to act and live in it politically.

The notion of one's "political position" contains the idea of perspective, as in "My position toward the policy of affirmative action is . . . ," but also adds two other dimensions; the notion of locality, one's position within a given political space, how one is related to others, and, as the meaning of its root word, "posit," suggests, the notion of acting or doing something, to posit or place oneself in a relationship with others. Thus, to take a political position is not only to hold a particular perspective of one's political world, but also to place oneself within that political world, to be located in relation to others.

This subjective condition that makes possible the perception of human appearances in their diversity is the formation of a common sense, an ability to perceive the world of human affairs together, commonly. It is a sense that we all can share, by enlarging our own perspective, and which provides the basis for constructing a unified political world from the diversity of positions. The common political world is not the world as we know it to be, as a spectacle seen from the perspective of an onlooker, but the world as we compose it, a composition; a combining of a plurality of interconnected positions that creates the world between us.

One of the more promising approaches to understanding the problem of political

stability as both a problem of specifying the objective condition of visibility and the subjective conditions of human perception and of enlarging one's perspective is the political constructivism of John Rawls. Rawls's vigorous recognition of pluralism and his belief in the human capacity to construct objectively just political relationships has led him to design a democratic space, "justice as fairness," in which the appearances of all human beings are built on a common point of view that all can share. In the next chapter I examine Rawls's approach with the hope of laying out more specifically both the conditions of visibility in the political space he constructs and the conditions for the formation of a common sense (Rawls's original position) for remedying inequalities of power.

Chapter III

JOHN RAWLS'S POLITICAL LIBERALISM AND DEMOCRATIC STABILITY.

Life is moving, influences are playing on actor and audience and other plays, other arts, the cinema, television, current events join in the constant rewriting of history and the amending of the daily truth. In fashion houses someone will thump a table and say 'boots are definitely in': this is an existential fact. A living theater that thinks it can stand aloof from anything so trivial as fashion will wilt. In the theater, every form once born is mortal; every form must be reconceived, and its new conception will bear the marks of all the influences that surround it. In this sense the theater is relativity. Yet a great theater is not a fashion house; perpetual elements do recur and certain fundamental issues underlie all dramatic activity. The deadly trap is to divide the eternal truths from the superficial variations; this is a subtle form of snobbery and it is fatal. (Peter Brook, The Empty Space, 16)

A. THE DEADLY TRAP

In this passage Brook cautions us that in designing spaces where human relationships are vivid enough to be seen as they are lived, we must be careful not to idealize the human realities we seek to make visible. Good theater, where the invisible is made visible, cannot overlook the contingent, even fashionable aspects of life without losing touch with the lived experiences of the spectators in the audience. All human relationships, including those between actors and audience, are between living, breathing people who appear to one another by speaking with particular accents and tones of voice and by acting with distinctive gestures and styles of behavior. These elements of "staging"

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who we are and how we appear to one another are “mortal forms” that are yet tied to the meaning of who we are and how we relate to one another. Both the invisible recurring patterns of human relationships that rule our lives (“eternal truths,”) as well as their “mortal forms” (“superficial variations”) are necessary for the invisible to appear. They cannot be divided. They are both the stuff of humans appearing to one another.

In designing democratic spaces, we cannot simply disregard the so-called superficial elements of human relationships or rise above them into an abstract world of ideal relationships free from the influence of “fashions,” traditions, customs and conventions. To do so is to lose touch with common sense and the world in which we live. The recurrent patterns of behaviors that rule our lives can be made visible and appear only in their contingent mortal forms, though these mortal forms must be reconceived if we are ever to transcend our own experiences and come to recognize the inequalities in our own lives.

The construction of a political space which “divides” the recurring appearances of humans to one another from their contingent forms of staging, and “stands aloof from anything so trivial as fashion,” is, as Brook suggests, “a form of snobbery and is fatal.” The snobbery comes in when we think we can capture the invisible elements of human relationships without taking into account those very contingent and changing forms of human appearances. We need to keep them both together, without denouncing or demeaning either.

In Chapter One I suggested that the first function of democratic space is to make vivid what is hidden in human relationships so that those inequalities that rule our lives can

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become visible and remedied. In designing democratic spaces in which flesh and blood relationships are vivid enough to be seen we must not divide the recurring patterns of human relationships from the particular patterns in which they are fashioned. Any political space which fails to keep the eternal truths of recurring patterns that “rule our lives” conjoined with the “superficial variations” of human appearances will fail to make the invisible visible. Dividing these leads us into the second danger of which I spoke in Chapter One. By separating the eternal patterns from the individual perspectives, we lose touch with a common perspective from which to judge conditions of visibility and risk substituting an elite, private perspective for an objective one.

John Rawls’s political liberalism promises to construct a democratic space, “justice as fairness,” out of the “fashionable” values inherent in contemporary western democracy, but with an awareness of what recurs in just human relationships. His form of constructivism attempts to reconceive the “settled” democratic values in the background culture of western societies into a conception of the political domain’s just and democratic organizing values and procedures.²¹ Within the political space of “justice as fairness” Rawls thinks that he has distinguished, in a way that integrates without dividing, individuals’ conceptions of the good (those “superficial variations” and differences among human appearances) and the “eternal truths” of what is just for all free and equal citizens (the public political conception of “justice as fairness”). According to Rawls, “Justice as

²¹“We start, then by looking into the public culture itself as a shared fund of implicitly recognized basic ideas and principles. We hope to formulate these ideas and principles clearly enough to be combined into a political conception of justice congenial to our most firmly held convictions.” John Rawls, Political Liberalism, (New York: Columbia University Press, 1993) 8.

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provides a publicly recognized point of view from which all citizens can examine before one another whether their political and social institutions are just. It enables them to do this by citing what are publicly recognized among them as valid and sufficient reasons singled out by that conception itself. Society’s main institutions and how they fit together can be assessed in the same way by each citizen, whatever that citizen’s social position or more particular interests. (John Rawls, Political Liberalism, 9)

For Rawls, an adequate liberal account of stability is the only way to defend human differences, “superficial variations,” (the differences that make a difference, Rawls calls, “reasonable pluralism.”) within a political unity.²² Thus, to determine how successfully Rawls’s design of democratic space provides for the vivid appearances of inequalities in human relationships, we need to consider his solution to the problem of political stability and how well he keeps the “eternal truths” of just human relationships tied to those “superficial variations” of individual lives.

B. RAWLS’S TWO PROBLEMS OF POLITICAL STABILITY.

Rawls has quite a variety of things to say about stability. In A Theory of Justice²³

²²“Justice as fairness aims at uncovering a public basis of justification on questions of political justice given the fact of reasonable pluralism. Since justification is addressed to others, it proceeds from what is, or can be, held in common; and so we begin from shared fundamental ideas implicit in the public political culture in the hope of developing from them a political conception that can gain free and reasoned agreement in judgement, this agreement being stable in virtue of its gaining the support of an overlapping consensus of reasonable comprehensive doctrines” (John Rawls, Political Liberalism, 100-101).

²³John Rawls, A Theory of Justice (Cambridge: The Belknap Press of Harvard University Press, 1971).

he talks about “the problem of stability” and about two kinds of instability (TJ, 336), one stemming from the problem of isolation and other from the problem of assurance. He also talks about the “stability of social cooperation” (TJ, 138) and “the stability of a conception of justice” (TJ, 498). He speaks of “inherent stability as a consequence of psychological laws” (TJ, 498), and relative stability of justice as fairness compared to other conceptions (TJ, 496). In Political Liberalism he tells us that the problem of stability has caused him to significantly revise certain parts of Theory - that the account of stability in Theory is a serious problem (PL, xvii). He talks about “the question of stability” yet says it involves two questions. The first question, whether people will support the well-ordered society, is answered by “setting out a moral psychology,” while the second question, whether people will support the political conception of justice, is answered by “the idea of an overlapping consensus” (PL, 141).

To focus my review of Rawls’s position on stability, I first identify and distinguish two distinct problems of political stability with which Rawls concerns himself. I will refer to these two problems as (1) the problem of political stability resolved by proper motivation and embodied in virtues of citizenship, and (2) the problem of political stability resolved by a political conception that is the subject of an overlapping consensus. Both of these problems deal with securing the kind of social cooperation that Rawls feels is needed for a stable democratic political scheme, though they address two different aspects of that cooperation.

Rawls’s concern for stability in Theory was whether the well-ordered society organized around the conception of justice as fairness would “engender in human beings

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the requisite desire to act upon it” (TJ, 455). In Theory the stability of the well-ordered society, though desirable for the feasibility of justice as fairness - whether people would be motivated to accept its scheme of justice - is not decisive for its justification or legitimacy (TJ, 455). Justice as fairness is justified on the basis of rational decisions made by persons in the original position, not on whether it is a stable political domain. This is what Rawls means by the “two stages of the exposition of justice as fairness.”²⁴ The construction and justification of the well-ordered society is done in the first stage. Stability becomes an issue only in the second stage. Whether justice as fairness is stable depends on whether people living within the well-ordered society will be appropriately motivated to accept the normative demands of its principles of justice. This problem of stability involves the appropriate motivation of citizens to abide by justice as fairness.²⁵ The problem of stability in Theory is whether the scheme created by justice as fairness will result in the proper form of social cooperation among citizens - a cooperation insured more by individual

²⁴See John Rawls, “The Domain of the Political and Overlapping Consensus,” New York Law Review, 64.2 (May 1989) 233-255, and John Rawls, Political Liberalism, 64 f., 133 f., 140 f.

²⁵Sometime Rawls will talk about the stability of social cooperation and sometimes about the “stability of a moral conception of justice.” In Theory both mean the same thing. Consider: “The stability of a conception depends upon a balance of motives: the sense of justice that it cultivates and the aims that it encourages must normally win out against propensities toward injustice” (John Rawls, Theory of Justice, 454). Thus, the notion of “stability of a conception,” for Rawls, simply means that the conception in question, were it to organize the basic structures of society would generate the appropriate motives and actions to abide by its principles. Stephen Esquith, Intimacy and Spectacle, (Ithaca: Cornell University Press, 1994) 177-180, also suggest that these two ways of talking about motivation are Rawls’s accommodations to his audiences; psychological motivation talk is addressed to the social scientists; stability of conception talk is addressed to political philosophers. When we get to Political Liberalism, however, as we will see, Rawls means something different by stability of a political conception.

motivation and less by external forces of law and punishment.

The motivational stability of a well-ordered society concerns Rawls only after the schema is set up, during the second stage, and appears as a sort of litmus test of how well the construction went. If the well-ordered society, conceptualized as “justice as fairness,” and constructed on the basis of fundamental ideas in western society, does not engender the support of members living within its domain, then, while it may be philosophically justified, it is faulty and not deserving of our support.

By the time we get to Political Liberalism, though Rawls does not give up his concern for the motivational stability of “justice as fairness,” the problem of stability takes on, as he says, a much more decisive role because of what he calls the fact of reasonable pluralism. If it turns out that people with “opposing though reasonable comprehensive doctrines” cannot affirm the principles of a well-ordered society, that is, justify the principles to themselves, then political stability will be unattainable, even if it can be shown to generate the right kind of motivational stability. Here the concern for stability is no longer simply a problem of showing how people will be appropriately motivated to go along with the principles of justice, but now it is a problem of designing the well-ordered society in such a way that its “citizens who affirm reasonable although conflicting comprehensive doctrines” will also reasonably affirm the “public conception of justice.” If its citizens do not so affirm this conception, then, as Rawls says, the well-ordered society “would not be liberal” (PL, 143).

Stability of the political conception of justice differs from motivational stability for Rawls in that the stability of the conception is “on our minds from the outset” (PL, 141); it

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arises during the construction phase of the conception of the well-ordered society. Since the requisite political conception must be able to be affirmed from a variety of conflicting yet reasonable points of view, it must be constructed with this kind of conceptual stability in mind. Thus, while the motivational stability of justice as fairness is not decisive, Rawls tells us that its conceptual stability is.²⁶

This way of speaking may sound somewhat peculiar. How is it that a conception is stable or unstable? For Rawls a conception is stable if it is appropriately “acceptable” to citizens and “supported” (PL, 143) as the conception under which people are willing to organize the society in which they live. Thus, stability of a conception is not a quality of the conception itself but a quality of how that conception is held among the members who are organized by it. It’s stable if it’s affirmed by its members. But what does it mean to say that a conception is affirmed? Rawls is concerned that the conception he offers is accepted as more than a simple *modus vivendi*. He wants the conception to be accepted because it is the “right” conception. Rawls’s concern for conceptual stability is not simply another way of talking about how citizens are motivated to accept the conception that Rawls is advocating. There is a difference between how one justifies a conception and the

²⁶There might appear to be a degree of ambiguity here in Rawls. On the one hand he continues to maintain that the justification of justice as fairness is done in the first stage of constructing the well-ordered society and is modeled by the original position, while the question of stability does not arise until the second stage. On the other hand he says that the problem of stability has guided the construction of justice as fairness as a free standing view. While the problem of stability for Rawls does not arise until the specific facts of plurality are known, still the justification of justice as fairness must be done in a such a way to secure the sort of stability required in the second stage. As he says, “Unless it is so, it is not a satisfactory political conception of justice and it must be in some way revised.” (John Rawls, *Political Liberalism*, 141)

motivation one has for accepting it.

Rawls sees the problem of conceptual stability arising out of what he calls the fact of pluralism, whereas the problem of motivational stability arises within most political conceptions under most historical conditions. Because of the contemporary conditions of pluralism, the conceptual problem of political stability addresses the need to establish a conception of the political domain that encompasses a plurality of views. On the other hand the problem of motivational stability must be addressed by any political system whether it applies within the conditions of pluralism or not.

This may explain why Rawls observes that the problem of stability has always been a concern within political philosophy and yet has played very little role in the history of moral philosophy (PL, xvii).²⁷ It is only relatively recently that moral philosophers have begun their speculations with the assumption that some competing moral theories with irreconcilable differences are equally reasonable. Rawls clearly accepts this assumption. The differences between competing moral theories will not and cannot be fully resolved among reasonable persons. And Rawls's concern with political stability now, as distinct from the more limited concern in Theory with motivational stability, is to defend the basis for political unity under the assumption of reasonable pluralism.

In Rawls's introduction to Political Liberalism, where he talks of the

²⁷Brian Barry in his review essay on "John Rawls and the Search for Stability," (Ethics 105, 4 (July, 1995) 874-915) is puzzled by Rawls's remark here. If Barry is correct and the problem of stability is for Rawls always a problem of motivation then Rawls's remark is puzzling. But I don't think the Rawlsian problem of stability can simply be "rechristened" as the problem of order. Rawls is also concerned with the plurality of appearances.

Enlightenment project, he says that his political liberalism has no ambitions to “replace those **comprehensive** views nor to give them a true foundation.” Here Rawls claims that he is **not** concerned with justifying any comprehensive - full or partial - conception of the good. Rather, he is concerned with the justification of a political conception; that is, a **justification** of the meaning of the political domain for constitutional democracy, a domain **which** he wants to found on grounds that bridge any and all comprehensive, reasonable **views of** morality. Indeed, the primary project of Political Liberalism is, according to **Rawls**, to recast Justice as Fairness more firmly as “freestanding.”

This is the job of his constructivism so essential to his political liberalism. Where **Kant** sought to found morality on grounds independent of conflicting religious views, **Rawls** seeks to mark out a political domain on grounds independent of moral (comprehensive) views. And where Kant sought to construct moral norms which all religious views could embrace, Rawls seeks to found a political domain that all “reasonable” moral or metaphysical comprehensive views can embrace.

I asked above what does it mean to accept a political conception and how does this “acceptance” differ from the question of motivation. We are now better prepared to answer that question. For Rawls, there are two ways that a political conception needs to be justified in a pluralistic society, two ways that pluralism impinges upon the question of justification. First, under the condition of reasonable pluralism, the political conception must be justified independent of any comprehensive conception. Otherwise the political domain favors one view and pluralism is threatened. Rawls presents Justice as Fairness as independent in this way by means of his constructivism and the procedure of the original

position. This is the first stage for Rawls, a complete justification (though not the only **justification**) of his political conception. This justification appeals to those who seek to **found** social unity independent of individual moral or religious values.

But, if “justice as fairness” was justified only in this way, then its stability would be in **jeopardy**, depending on whether those who seek to found social unity on moral or **religious** values affirm it. Since reasonable pluralism holds, Rawls considers it insufficient for **stability** to justify a political conception as freestanding. Besides being freestanding, **which** assures everyone that it does not favor anyone arbitrarily, it must also be part of an **overlapping** consensus, which assures everyone that it will be abided by all. That is to say, it **must** also be justifiable from the perspective of the many views which are part of the **reasonable** pluralism. This second justification, perhaps a better term is its “plural **justifiability**,” is what Rawls means in Political Liberalism by the second question of **stability**, the stability of the political conception. Without being justifiable from these many **views**, the political conception may be legitimate and just, but it is not stable.

The problem of political stability of the conception is not, according to Rawls, the problem of maintaining order or political unity. Rather it is a modern problem, following from the effects of the Enlightenment, of finding a way for practical reason to rise above **real** differences, but without abandoning those differences, and establish a basis for **political** unity.

This second problem of political stability is not a search for the proper motivation of **accepting** the principles of justice in order to put them in place. Rather, it is a search for a **reasonable** justification of those principles from within the various reasonable

comprehensive conceptions.

Rawls sees political liberalism as the only way to both accept reasonable pluralism and **at** the same time provide a ground for political unity. Given the “fact of reasonable pluralism,” political unity or full political stability will be secured only if: (1) we can **successfully** separate the justification of normative politics from full or partial **comprehensive** normative moralities (this is the work of political constructivism), (2) we can **show** that this normative political domain is justifiable from the varieties of reasonable **comprehensive** views (this give us a political stability that is secured by an overlapping **consensus**), and (3) we can show that once in place this freestanding political scheme will **produce** the right kind of citizen who will go along with its principles of justice (this gives us the proper kind of motivational stability).

For Rawls, the problem of political stability involves both motivational stability and **conceptual** stability because his project is ecumenical, namely, to found political unity **within** a diversity of reasonable pluralism. Let’s begin with the problem of motivational stability.

C. MOTIVATIONAL STABILITY AND THE VIRTUES OF CITIZENSHIP.

I said above that the problem of political stability in Theory is seen by Rawls foremost as a psychological problem, where the issue of stability is whether justice as fairness will generate the proper inclinations and sentiments among its citizens to act

according to its principles.²⁸ There he asks whether and how, within a society organized around the principles of justice as fairness, cooperation in maintaining the just society can be secured among its citizens. Whatever justification he may claim to make on behalf of the principles of justice as fairness from the original position, if the well-ordered society is not able to generate the proper dispositional support among its citizens then, according to Rawls, it is “seriously defective” and ought not warrant our support. Thus, while psychological motivational stability is not decisive for the justification of justice as fairness, it is nevertheless decisive for its feasibility.

The problem of psychological stability arises for Rawls because, while the principles of justice are justified collectively, “acting fairly is not in general each man’s best reply to the just conduct of his associates” (TJ, 497). In other words, while the principles of justice as fairness are justifiable from the standpoint of the original position, that is, they are “collectively rational,” they may not be rational from the standpoint of the individual. Given one’s own life plan and the principles of instrumental rationality, the individual’s rational choice may involve acting in a way contrary to the principles of justice as fairness.²⁹

²⁸Consider: “To ensure stability men must have a sense of justice or a concern for those who would be disadvantaged by their defection, preferably both. When these sentiments are sufficiently strong to overrule the temptations to violate the rules, just schemes are stable” (John Rawls, *Theory of Justice*, 497, emphasis added). In fact, Rawls only talks of “relative stability” in *Theory*. The idea is that “justice as fairness” is more stable, psychologically, than any other candidate for a public conception of justice. See section 76 in *Theory*.

²⁹“It may be useful to recall that the problem of stability arises because a just scheme of cooperation may not be in equilibrium, much less stable. To be sure, from the standpoint of the original position, the principles of justice are collectively rational; everyone may

In other words, the problem of psychological stability arises, according to Rawls, **because** of a gap between the exercise of collective rationality and the exercise of **individual** rationality. People will rationally choose to organize social institutions **according** to the principles of justice as fairness within the perspective of the original **position**, but may, at the same time, rationally choose to act contrary to those principles **when taking** their own individual self-interested perspective.

Thus, the problem of psychological stability, according to Rawls, has to do with **whether** the political sphere organized by justice as fairness provides for the development of **individual** moral psychologies that will assure citizens' support of its basic institutions. **The worry** that Rawls has here and, therefore, the focus of his diagnosis of psychological instability is a too feeble willingness among citizens acting from their own perspective to support the basic institutions of political liberalism, which they would choose in the original position with its appropriate veil of ignorance. What he wants is confidence that citizens, with full awareness of who they are, will, for the most part, support liberal just institutions, which they would choose as ideal citizens.

Psychological stability focuses on individuals' sentiments and dispositions and seeks to make sure that just institutions will be supported. The assumption here is, of

expect to improve his situation if all comply with these principles, at least in comparison with what his prospects would be in the absence of any agreement. General egoism represents this no-agreement point. Nevertheless, from the perspective of any one man, both first person and free rider egoism would be still better. ... Just arrangements may not be in equilibrium then because acting fairly is not in general each man's best reply to the just conduct of his associates. To insure stability men must have a sense of justice or a concern for those who would be disadvantaged by their defection, preferably both. When these sentiments are sufficiently strong to overrule the temptations to violate the rules, just schemes are stable" (John Rawls, *Theory of Justice*, 496-7, emphasis added).

course, that the institutions are just according to the principles of justice as fairness. The **question** is whether individuals, acting as individuals within the well-ordered society, will **support** these just institutions. Rawls argues that for the most part they will, and that we **need not** worry about the liberal individual undermining the well-ordered society.

Psychological instability, according to Rawls, has two sources; the normally moral and **responsible** citizen and the “special psychologies” of envy, intolerance and “self-reproach leading to self-doubt.”

The special psychologies, as sources of instability, do not appear to Rawls to pose a **major** threat to the stability of the well-ordered society. He claims that, most often, the **intolerant** individual will eventually become tolerant the longer he is tolerated (TJ, 219). **And**, the self-abasing individual will not likely, over time, be found in the well-ordered society since all citizens live according to a rational life plan and “a rational individual is **always** to act so that he need never blame himself no matter how his plans finally work out” (TJ, 422).

Rawls thinks the special psychology of envy will be a minimal source of instability in the well-ordered society for two reasons. First, justice as fairness, being a contractual conception, supports equal respect for, and the dignity of, all individuals. And, since in the well-ordered society “no one supposes that those who have a larger share are more **deserving** from a moral point of view,” the basis for envy is diminished (TJ, 536). Rawls reminds us that envy is not resentment. In the well-ordered society if there is a difference of **advantage** it can only be based on the “principle of difference” where the least **advantaged** are compensated. Secondly, the tendency in a well-ordered society is that

people “divide into so many non-comparing groups, the discrepancies between these divisions not attracting the kind of attention which unsettles the lives of those less well placed” (TJ, 537). Thus, there are few occasions where the less fortunate are likely to experience their lesser fortune.

On the other hand, normal psychologies are potentially destabilizing to the well-ordered society in two ways. First, because actual social decisions are made by individuals in isolation of one another, there is the “normal” tendency of moral agents to take advantage of public provision especially if they don't get caught. This is the “free rider” problem. Second, even if one is willing to support the basic institutions, there is the “natural” tendency to withhold support without some promise that for the most part all others are supporting it as well. This is the “assurance” problem (TJ, 267-68 and 336).

The solution to these “normal” sources of psychological instability, according to Rawls, is to set out acceptable ways within the well-ordered society that citizens will ultimately acquire the appropriate sense of justice by which they support both the basic just institutions as well as the enforcement of regulations that discourage free-riders and defectors. Rawls, of course, claims that a society ordered by justice as fairness will appropriately address these “normal” sources of psychological instability.

In Theory, the argument he uses is based upon assuming the validity of certain psychological conceptions of normal moral development as laid out by Piaget and Kohlberg. There, Rawls's talks of normal psychological development consisting of three “tendencies”: first, the individual's development of basic moral sentiments of love and trust toward parental authority figures (given favorable circumstances in “family”

institutions), what he calls the “morality of authority,” second the movement from family to **larger** “associations” in which the sentiments of love and trust are extended to others with **whom** they enter into free and relatively equal relationships, the “morality of **association**,” and third the eventual recognition that it is the basic structure of society **which** supports and conditions these “families” and “associations,” which leads to a sense of **justice** and to a commitment to the “highest order principles” reflected in the basic **structures** of a just society, what he calls, the “morality of principles.”

When Rawls talks about the need for a fuller account of these three principles of **moral** psychology what he calls for among other things is a theory of learning and a theory of **modeling** and imitation (TJ, 495). It is easy to see how the concept of model (a **standard**, pattern or exemplar to be imitated) is central to all three stages.

Clearly in the morality of authority the concept of authority as model to be **imitated** plays a central role. To be sure the parental authority figure cares for, loves, and **builds up** the self-esteem of the child. In turn, the child comes to trust and love her **parents**. This trust and love are shown as the child “strives to be like them, assuming they are indeed worthy of esteem and adhere to the precepts which they enjoin. They **exemplify**, let us suppose, superior knowledge and power, and set forth appealing **examples** of what is demanded” (TJ, 465). The morality of authority is a primitive morality **from the child’s vantage point**, not in its concept of authority as exemplar, but “because **for the most part** it consists of a collection of (moral) precepts” (TJ, 466). Its prized **virtues** are “obedience, humility and fidelity” to these authorities, and its leading vices are “**disobedience**, self-will and temerity.” Its motivation is obedience and fidelity to authority.

In the second stage of moral development the mode of presentation of moral **precepts** changes though motivation as imitating authority seems to be retained. The **presentation** of morality now becomes the common sense rules and moral standards **appropriate** to one's new found roles, but the authority for these new precepts presents **itself as** "attractive and admirable persons."³⁰ The attachment "to our fellow associates and **then** later to social arrangements generally" (which eventually secure the needed **psychological** stability), are acquired "when others of longer standing membership do their **part and** live up to the ideals of their station" (TJ, 470). With regularity of such **attachments** mutual trust and bonds of friendship eventually develop, "thereby holding **them** ever more securely to the scheme." In due course "newer members of the association **recognize** moral exemplars, that is, persons who are in various ways admired and who **exhibit** to a high degree the ideal corresponding to their position." The virtues of this **morality** of association are the "cooperative virtues" of fairness, fidelity, trust, integrity and impartiality. Its vices are "graspingness and unfairness, dishonesty and deceit, prejudice and bias" (TJ, 472).

When we finally get to the morality of principles, the bond of cooperation is based not on a "fellow feeling," that is, not on an imitation nor the approval of our associates but on doing the right thing, on the rightness of the principles themselves. In this third stage of moral development "moral attitudes are no longer connected solely with the well-being

³⁰ "The same two psychological processes are present as before (in morality of authority): other persons act with evident intention to affirm our well-being and at the same time they exhibit qualities and ways of doing things that appeal to us and arouse the desire to model ourselves after them" (Ibid., 472).

and approval of particular individuals and groups, but are shaped by a conception of right chosen irrespective of these contingencies. Our moral sentiments display an independence from the accidental circumstances of our world, the meaning of this independence being given by the description of the original position and its Kantian interpretation” (TJ, 475, emphasis added).

But while the principles themselves are chosen on the basis of their rational justification, the actual selection of those principles and our willingness to support them (psychological stability) are based on trusting the model of citizenship in the well-ordered society. The ideal citizen is one who chooses principles of justice within the constraints of the original position. Citizens in the well-ordered society are willing to imitate that model.

Model as moral authority, authority as exemplar, and imitation as motivation play a role not only in the first stage and not only in the morality of association but also the morality of principles, though there the authority figures are no longer parents, nor association members, but the ideal (moral) citizen who takes a constrained but shared perspective in choosing principles of justice.

In Political Liberalism Rawls abandons this psychological explanation in favor of a “philosophical moral psychology.” In Political Liberalism he bases his conception of moral motivation on an analysis of object-dependent, principle-dependent and conception-dependent desires rather than on a prescriptive theory of psychological development. Still, in Political Liberalism as well as in Theory, what ultimately secures motivational stability

within the well-ordered society is the appeal of an ideal of citizenship.³¹

Keeping in mind that the problem of motivational stability addresses the issue of accounting for individuals' moral motivation to act according to the principles of justice, justice as fairness engenders the right kind of motivation because of the way it "connects the desire to realize a political ideal of citizenship" with the citizens' moral capacities (PL, 85). The scheme of justice as fairness achieves political stability, according to Rawls, not only because its principles of justice serve to adjudicate conflicts between and among citizens fairly and in a way that all can have confidence, as any scheme (whether in a plural society or not) must do, but also because the conception of justice as fairness serves to "widely" educate its citizens on how they ought to regard themselves (a more proper form of motivation for contemporary liberal societies).³²

The ideal citizen chooses her principles of justice from the perspective of the original position. The ideal citizen desires to act from these freely chosen principles. And the ideal citizen recognizes that these principles "belong to and help to articulate" (PL, 84) the political conception of a just society. This ideal of citizenship is woven into the entire scheme of justice as fairness.

We said above that the question of motivational stability for Rawls is whether we

³¹In Political Liberalism, footnote 9 on page 143, Rawls indicates that his account in Theory, esp. chap. VIII. of part III, of the sort of stability secured by sufficient motivation of the appropriate kind acquired under just institutions, "suffices, for our purpose here, to convey the main idea." I do not think he rejects his Theory argument for psychological stability, though in Political Liberalism he develops it independent of the Piaget/Kohlberg theory.

³²See John Rawls, Political Liberalism, 71 f.

can reasonably expect the principles of justice as fairness to be acted upon by citizens living within the domain of a society structured by it. It should be clear by now that the concern of motivational stability for Rawls is a concern with showing that the scheme of the well-ordered society regulates the instability that comes from isolated decision-making through a minimal form of political education and formation of political virtues - what Rawls calls “the wide role of political conception as educator” (PL, 86).

The well-ordered society, with its conception of citizens as free and equals, models an ideal of citizenship and inculcates in its members the desire to imitate the cooperative political virtues of the ideal citizen. This is Rawls’s answer to the second general approach to the problem of political stability, which we identified in the introductory chapter as “political education and development of political virtues.” Rawls’s approach to political education and to the development of political virtues and habits is based on the notion of imitating the ideal citizen.

The ideal citizen is one who, when concerned with public matters, can enter into the original position whenever necessary. Thus, motivational stability is secured in the well-ordered society by citizens who habitually enter the original position whenever they find themselves at odds with one another over the basic structures of society. The original position is the model of the ideal citizen which, in the well-ordered society, we are all motivated to imitate.

If the original position is the model position that the ideal citizen takes when confronted with conflicts, then the political virtues needed for a stable society according to Rawls are based on those capabilities needed to function in the original position. Thus, for

Rawls the political virtues of citizenship are:

1. The virtue of practical reason, with the following capacities:

- a. Capacity for sense of justice.
- b. Capacity for a conception of good.
- c. Capacity for making judgements of thought and inference.
- d. A determinate conception of the good.

This virtue of practical reason is required for the stability of any political society. In addition, Rawls presents five other virtues of citizenship for democratic plural societies which he envisions are organized around justice as fairness, virtues needed by democratic citizens living under the conditions of pluralism.

2. The virtue of reasonableness:

A “readiness to propose and discuss principles and standards as fair terms of cooperation and to abide by them willingly, given the assurance that others will likewise do so” (PL, 49), principles and standards which are viewed as reasonable for everyone to accept and therefore as justifiable to them. The virtue of reasonableness is based on the desire to “cooperate with others on terms all can accept.”

3. The virtue of reasonable disagreement:

Based on a recognition and acceptance of the “burdens of judgement” as sources of difficulty in reaching agreement in a plural society, the virtue of reasonable disagreement is the willingness to limit public reason to those areas of concern that can be expected to be justified by all reasonable

citizens, and the recognition that there are disagreements which cannot be expected to be resolved and that public reason ought not to concern such areas of disagreements (See PL, 54-58).

4. The virtue of cooperation:

A readiness to be cooperative members of society and to be recognized as such (PL, 81). Rawls refers to this virtue as “the requisite capacities and abilities to be normal and cooperating members of society over a complete lifetime” (PL, 81).

5. The virtue of representation:

A readiness to conduct public discourse and deliberation in the original position as a representative citizen and a desire to be the kind of citizen who operates in the original position. Rawls talks about this in connection with “reasonable moral psychology” and the “ideal of citizenship.” There is also the corresponding duty of civility, the ability “to explain to one another on those fundamental questions how the principles and policies they advocate and vote for can be supported by the political values of public reason. This duty also involves a willingness to listen to others and a fair mindedness in deciding when accommodations to their views should be reasonably made” (PL, 217).

6. The virtue of fair-mindedness:

The willingness to abide by the procedures and the results of public discourse as modeled in the original position (See PL, 122, 139, 194).

Notice, however, that securing motivational stability in this way does not help in resolving the second and more troublesome problem of political stability. The procedure for acquiring a public point of view that Rawls advocates and the original position as a model of the exemplary public perspective that good democratic citizens should and will tend to take in the well-ordered society leaves unresolved the potential conflict that a citizen may face between her own perspective and the ideal public perspective.

Psychological stability accounts for individuals' motivations to abandon their own perspective in favor of the public view, but it does not account for how they are to accept that public view over their own reasonable view. For the citizens of the well-ordered society there may still be a split between exercising collective rationality as modeled by the original position and the exercise of one's own individual rationality. In other words, this way of securing psychological stability says nothing about reasonable pluralism. We need to turn to the second problem of stability.

The link between psychological stability and political stability based on a conceptual consensus, according to Rawls, is made in the way the well-ordered society is structured. Psychological stability depends on how that structure motivates citizens to abide by its principles, principles which citizens already accept when they take the public view. Political stability depends on how the structure is adopted in the first place by citizens who hold conflicting conceptions of value.

D. POLITICAL STABILITY AS CONCEPTUAL CONSENSUS.

In Political Liberalism Rawls claims to have shifted his focus from a “moral doctrine of justice in general” which he developed in Theory to a “strictly political conception” - a shift begun, according to him, with his article, “Kantian Constructivism in Moral Theory” in 1980. His reason for this shift, he says, is to “resolve a serious problem internal to justice as fairness,” namely, its inability to give an adequate account of the stability of a well-ordered society under the condition of reasonable pluralism.

According to Rawls, the well-ordered society of “justice as fairness” is presented in Theory as a comprehensive or at least a partially comprehensive doctrine³³, and, as such, it is unable to assure acceptance and consensual agreement from among a diversity of people who hold incompatible yet “reasonable” conceptions of moral, philosophical and religious values. According to Rawls, only by limiting the conception of a well-ordered society to the political is it possible to secure enough support from among citizens of a plural society such as the United States. The main problem for political liberalism, then, is to show how justice as fairness, as it is now recast (as a “freestanding political conception”), can gain the requisite support. Political stability is possible only if it can be shown how people living in the well-ordered society will be able to affirm, on the basis of each of their various conflicting yet reasonable doctrines, the public conception of justice as fairness.

³³“Although the distinction between a political conception of justice and a comprehensive philosophical doctrine is not discussed in Theory, once the question is raised, it is clear I think that the text regards justice as fairness and utilitarianism as comprehensive, or partially comprehensive, doctrines” (Ibid., xvi).

Because Rawls, in Political Liberalism, takes the condition of reasonable pluralism as definitive, recognizing legitimate and profound differences among citizens, what he calls “the fact of reasonable pluralism,” his task, as he understands it, is to construct a conception of the political domain that provides a common basis for continuing political dialogue, not in spite of, but within the context of profound differences among its citizens.

To understand what Rawls wants to address in his formulation of the second problem of political stability we need to keep in mind that this, so called, new problem of stability arises because of his view of reasonable pluralism. According to Rawls, reasonable pluralism forces upon us a dualism of perspectives that, in his view, cannot be bridged. These two perspectives are essentially distinct not because of the limits of philosophy or practical reason, but because of the “special nature of democratic political culture” (PL, xxi). There are a multitude of reasonable comprehensive conceptions of the public good. To say that these are all reasonable is to say that there is no final and convincing reason to choose any one comprehensive view over any other. This fact of reasonable pluralism poses a problem of how to justify a public view, a view that can unify our discourse regarding the public good.³⁴

If we cannot justify anyone’s reasonable comprehensive view over another’s, which, according to Rawls, we cannot do, then how can we justify the public view over any one’s view, which we must be able to do? If we can’t do that, we cannot have a stable

³⁴There is also, apparently, within even the requirements of political liberalism, a variety of reasonable political conceptions of the public good. Rawls favors “justice as fairness” but admits of other possibilities, though I imagine that, for Rawls, one criterion for choosing the appropriate political conception is how well it secures an overlapping consensus.

scheme.

For Rawls, the only viable basis for political stability under this condition of reasonable pluralism is an “overlapping consensus.”³⁵ If no one political conception³⁶ and no single public perspective can be elements of an overlapping consensus among reasonable views, then the Rawlsian approach to founding political unity in which differences can be worked out is indefensible.

Before examining Rawls’s notion of an overlapping consensus, let me first review the general arrangement of his political liberalism to see how and where the notion of overlapping consensus fits into the problem of political stability.

Rawls talks of two stages in establishing political stability on the basis of a conceptual consensus: The first stage, “Political Constructivism,” represents the content of

³⁵“Justice as fairness (political liberalism) aims at uncovering a public basis of justification on questions of political justice given the fact of reasonable pluralism. Since the justification is addressed to others, it proceeds from what is, or can be held in common; and so we begin from shared fundamental ideas implicit in the public political culture in the hope of developing from them a political conception that can gain free and reasoned agreement in judgement, this agreement being stable in virtue of its gaining the support of an overlapping consensus of reasonable comprehensive doctrines” (John Rawls, Political Liberalism, 100-101).

³⁶This idea of a political conception of the political domain is sometimes confusing. See Samuel Scuffler’s discussion of Rawls’s meaning of “a political conception of justice” in “The Appeal of Political Liberalism,” Ethics, 105.1 (October, 1994) 4 ff. A “political conception” is not the same as “a conception of the political.” Rawls wants to distinguish the notion of a political conception of the political from the idea of a moral or metaphysical conception of the political, what he calls, a comprehensive or partially comprehensive conception of the political, so he can argue that among various reasonable (full or partial) conceptions of the political, there can be an element that is overlapping, namely, a political conception of the political. Thus, for Rawls, there needs to be at least one political conception of the political domain that overlaps, since it is the overlapping of such a conception on which Rawls wants to establish political stability.

a conception of the political which could and would become an overlapping consensus; the second stage, is the demonstration of how this free standing conception can fit into the various reasonable comprehensive conceptions. That is, the second stage is the production of this free standing conception as an overlapping consensus.

1. Rawlsian Political Constructivism.

First: Rawls proposes a conception of the political domain, the content of which he calls “justice as fairness,” which he argues is “freestanding” and not dependent for its legitimacy on any moral doctrine.

Rawls wants to show that his proposal for organizing society around justice as fairness is not simply culled from some preconceived ideal he has, nor is it part of some longstanding moral doctrine that, while reasonable, would be unconvincing according to the “burdens of judgement” when measured against alternative proposals.³⁷ He wants to convince us that his proposal for structuring the political domain is preferable to any other candidates, and he thinks that he can do so only if he can show that his conception of the political is constructed independently of any comprehensive doctrine. This is the role of his political constructivism. Given the fact of reasonable pluralism, Rawls considers political

³⁷Rawls uses the notion of “burdens of judgement” to explain how reasonable yet unresolvable disagreements can exist between equally reasonable persons. “The idea of reasonable disagreement involves an account of the sources, or causes, of disagreements between reasonable persons so defined. These sources I refer to as the burdens of judgement. The account of these burdens must be such that it is fully compatible with, and so does not impugn, the reasonableness of those who disagree” (John Rawls, Political Liberalism, 55).

liberalism as the only possibility for getting to an overlapping consensus.³⁸

Rawls constructs this conception by a method which he calls “political constructivism” which is modeled by the “original position” from what he calls basic ideas within a constitutional democracy. These basic ideas are, (1) “society as a fair system of cooperation over time,” (2) the idea of “citizens as free and equal persons,” and (3) the idea of a “well-ordered society.”

Rawls characterizes his form of political constructivism as having four distinctive features; (1) a procedure of construction, the original position, which will produce (construct) the content of a political (not metaphysical or comprehensive moral) conception of justice, the order of principles of political justice; (2) a conception of practical reason, including the principles of practical reason and judgement, which provides the relevant norms for devising the proper procedure designed to produce the principles of justice; (3) a political conception of persons as having minimal moral powers and being free and equal citizens, and of society as a fair system of social cooperation over time; and (4) a conception of objectivity as reasonableness (v. truth) which provides the normative and public criterion for sound political judgements.³⁹

³⁸“The full significance of a constructivist political conception lies in its connection with the fact of reasonable pluralism and the need for a democratic society to secure the possibility of an overlapping consensus on its fundamental political values. The reason such a conception may be the focus of an overlapping consensus of comprehensive doctrines is that it develops the principles of justice from public and shared ideas of society as a fair system of cooperation and of citizens as free and equal by using the principle of their common practical reason” (Ibid., 90). The “development of principles” is what Rawls means by the political construction of them.

³⁹See John Rawls, Political Liberalism, 93 ff.

The procedure of construction is modeled by the original position and the veil of ignorance. Rawls tells us that this procedure is not itself constructed but simply “laid out.” It is “conjectured” that the original position imposes reasonable conditions “on the parties, who as rational representatives are to select public principles of justice for the basic structures of society” (PL, 103). Rawls claims that this procedure, as he has laid it out, correctly captures the conditions under which principles of justice ought to be determined in plural democratic cultures. The basic idea of the original position is that it specifies the conditions of “reciprocal advantage” (PL, 22) or “fair terms of social cooperation” under which citizens in plural democratic cultures cooperate in establishing the political domain of democratic plural society. “In particular these conditions must situate free and equal persons fairly and must not allow some persons greater bargaining advantages than others. Further such things as threats of force and coercion, deception and fraud must be excluded” (PL, 23).

Rawls thinks that this reciprocal advantage is best captured as a “point of view” in which citizens are ignorant of their own personal contingent circumstances and are “symmetrically situated.” The notion of “the veil of ignorance” is meant to effect this symmetry. The parties in the original position (this is the way Rawls talks about citizens as they are stripped down in the original position, as distinct from citizens of the well-ordered society who have full awareness of their own perspective) are not aware of their particular social position, nor the particular doctrines which they may hold in “ordinary life,” nor about their race, ethnicity, gender, etc.

The basic characteristics of parties in the original position behind this veil of

ignorance are that they are free and equal citizens with moral and reasoning powers who are situated in a society as a fair system of cooperation. This is what Rawls means when he says that parties in the original position represent citizens in the well-ordered society. On the other hand, the original position with its veil, though not a particular point of view, does represent a specific point of view. Though it is an abstraction, it is not, according to Rawls, a view from nowhere. It is the public point of view in a well-ordered society. And, as a public point of view, it is “objective.”

Here Rawls’s conception of objectivity as reasonable rather than truthful is critical to his political constructivism if it is ever to produce a conception of the political that does not rely on any particular comprehensive doctrine. The distinguishing mark of the idea of objectivity for political constructivism is how it specifies what counts as a correct judgement made from its point of view - the public point of view. The distinction between reasonable and rational here also becomes most critical. “(T)he reasonable point of view is public in ways the rational is not” (PL, 114). Political constructivism sees correct political judgements as reasonable, that is, “supported by the preponderance of reasons specified by the principles of right and justice issuing from a procedure (the original position) that correctly formulates the principles of practical reason in union with the appropriate conceptions of society and person” (PL, 111).

The point of these four elements of Rawlsian constructivism is to show that a political conception of justice can be established in a free standing way, without founding it on any particular comprehensive doctrine but solely on the basis of practical reason and the basic conceptions of person and society that Rawls says are part of the background

culture.

2. “Justice As Fairness” as The Overlapping Consensus.

The second stage, according to Rawls, in securing the needed political stability for the well-ordered society is to show how the content of the conception of justice as fairness can be an object of a consensus among citizens who hold differing but reasonable doctrines.⁴⁰ Once Rawls establishes in the first stage the well-ordered society as freestanding, the question of stability is resolved by then showing that the conception of justice as fairness can secure an “overlapping consensus.”

Rawls claims that his conception of political society can be endorsed by any and all “reasonable doctrines,” each from their own point of view. This hypothetical mutual endorsement constitutes, according to Rawls an overlapping consensus among such reasonable doctrines. Rawls argues that since justice as fairness is freestanding, it can be endorsed by any reasonable more comprehensive doctrines even within the confines of those doctrines. An overlapping consensus would exist when people affirm, and justify on the basis of their own views, a common political conception for organizing their public institutions. It is overlapping because the reasons they have for supporting it may be different from one another. Indeed, political stability is best assured when their reasons for supporting the political conception are rooted in their own comprehensive views, and not

⁴⁰“Political constructivism also holds that if a conception of justice is correctly founded on correctly stated principles and conceptions of practical reason, then that conception of justice is reasonable for a constitutional regime. Further, if that conception can be the focus of an overlapping consensus of reasonable doctrines, then for political purposes, this suffices to establish a public basis of justification” (John Rawls, Political Liberalism, 126).

simply freestanding.

But this consensus need not be an actual agreement regarding the common political conception. “Only a political conception of justice that all citizens might be reasonably expected to endorse can serve as a basis of public reason and justification” (PL, 137). Remember that for Rawls no one of the reasonable comprehensive views can provide the appropriate stability. Thus, Rawls wants to claim that if there is to be any basis for public reason and justification it must be a “conceptual political stability,” that is, a hypothetical stability based on a concept that all citizens who are reasonable could or might endorse. Putting aside the question of whether all reasonable citizens will ever actually endorse a certain single (political) conception of political society from within their own doctrines - whether an overlapping consensus is feasible - how does a conceptual consensus provide political stability, according to Rawls? In other words, assuming all reasonable citizens can, in their own way, justify the same political conception of society, how does this assure us that the well-ordered society will be stable? Why is such a conceptual consensus necessary for political stability and social unity?

Rawls claims “that an agreement on a political conception of justice is to no effect without a companion agreement on guidelines of public enquiry and rules for assessing evidence”(PL, 139). Thus, the overlapping consensus on the conception of the political includes the specification of guidelines and criteria for public reasoning and discourse. Citizens with their duty of civility are willing to limit their basic political conflicts to these, not because of some prior agreement to limit the agenda (for example, political compromise), but because of their own assent to the conception of the political domain

which they share with others in an “overlapping consensus.” Their own affirmation of this conception and the recognition that others affirm it as well, according to Rawls, leads to acceptance of the limits of public reason and therefore to an overriding stability among reasonable citizens.

The idea of an overlapping consensus, thus, has two roles in Rawlsian political liberalism. It serves as a basis for social unity in contemporary plural societies, and it provides the content of public reason on the basis of which conflicts can be resolved. For Rawls, legitimate political unity within contemporary political culture exists only under three conditions. The fact of reasonable pluralism means that there are legitimate and unresolvable differences of conceptions of the good. The requirements of political stability within a reasonable pluralism mean that no one or subgroup of these comprehensive conceptions can be arbitrarily favored over another. And third, political unity requires a normative basis for resolving conflicts of political judgements. An overlapping consensus on the conception of the political domain will (1) respect the fact of reasonable pluralism by leaving those conceptions intact, (2) secure the necessary stability by (a) not favoring any one or group of reasonable views and (b) providing the necessary common basis for public reason and deliberation regarding different and conflicting views of the public good. Once the overlapping consensus is established, public reason can be conducted on its basis and conflicts resolved in a reasonable manner.

For Rawls the overlapping consensus is “justice as fairness” only now “justified” from a plurality of perspectives. The legitimacy or justification of justice as fairness is established using political constructivism with its original position. But given the fact of

reasonable pluralism, justice as fairness cannot be left simply as freestanding. It must also be able to be “justified” in a second way, on the basis of each of the reasonable doctrines. When this is done, justice as fairness becomes the content of an overlapping consensus. In this way pluralism is defended, even encouraged, and stability secured.

E. THE MEANING OF RAWLSIAN POLITICAL STABILITY.

Rawls considers the contemporary condition of pluralism as definitive for his political liberalism. Recognizing legitimate and profound differences among citizens, differences which cannot be reasonably adjudicated (what he calls “the fact of reasonable pluralism”), he faces head on the problem of constructing a conception of the political domain which can provide a common basis for continuing political dialogue without separating out such difference. His concern in finding a common basis of unity is to protect and secure those differences, but to find an acceptable way to adjudicate them when they become destructive and destabilizing.

Rawls’s solution to the problem of stability under the condition of pluralism is his notion of an “overlapping consensus.” Political stability requires the proper motivational virtues among citizens of any polity. But, according to Rawls, polities which exist under the condition of pluralism also require another kind of stability. Rawls wants to argue that full political stability will be secured in a plural society only if a single conception of the political domain can be shared by all reasonable doctrines, that is, when and if a legitimate, freestanding conception of the political domain can likewise be the object of an overlapping consensus. Thus, the Rawlsian solution to the problem of stability fixes on

three elements; (1) the content of his conception of “justice as fairness,” (2) his construction of this conception as freestanding, and (3) a conceptual consensus, among a plurality of reasonable perspectives, that “justice as fairness” is a reasonable political conception for organizing western democratic societies.

To evaluate Rawls’s solution to the problem of stability is to ask, (1) whether “justice as fairness” is an adequate conception of stable democratic political space, particularly as we have characterized such spaces in Chapter One, and (2) how the construction of this conception according to the model of the original position secures plural acceptance among a diverse and reasonable democratic citizenry.

We began this examination of Rawls’s solution to the problem of stability by asking whether his conception of political space makes human relationships vivid enough to spot the inequalities between them and provides conditions for the remedy of those inequalities. From Brook, we took heed of a warning that the visibility of human relationships requires us to not divide the demands for justice and equality in human relationships from the variations that exist between human beings as they actually appear to one another. We noted, then, the promise of the Rawlsian approach to construct a democratic space out of the recurring differences among human beings. Has he done it in a way that avoids the deadly trap of which Brook warns us; the deadly trap of dividing the “eternal truths” of equal human relationships from the trivial variations among humans within those relationships?

Rawls’s political liberalism began with the assumption that there is no adequate and acceptable way to adjudicate fundamental moral conflicts. He believes that the only

way to secure political stability is to rise above them to a level where an overlapping consensus can be constructed from the “fundamental ideas of a democratic society.” He concludes that the only way for people with irreconcilable differences to live with one another peaceably and with justice is to enter a space defined and ruled by an “over arching agreement” of its participants.⁴¹

His approach to the problem of stability begins with a recognition of the legitimacy of irreconcilable disagreements, (the “fact of reasonable pluralism”), proceeds by veiling those differences and setting up conditions for a dialogue that rises above them (the original position and its veil of ignorance), and ends up by constructing a political space in which there is an assured overlapping consensus on a conception of the political. Because he wants to secure this stability by means of an overlapping consensus, he seems compelled to find a way to arch over irreconcilable differences. The solution to the problem of stability for Rawls is to establish a space that is secured by a conceptual agreement on the basic and fundamental structures of public life.

But how vivid are human relationships, and particularly the inequalities that rule our lives, in spaces where differences that seem to matter most, those that are irreconcilable, are veiled and then arched over? By constructing a common political space on such an overlapping consensus of the kind that Rawls envisions, might we not be

⁴¹See once again, “Justice as fairness aims at uncovering a public basis of justification on questions of political justice given the fact of reasonable pluralism. Since the justification is addressed to others, it proceeds from what is, or can be held in common; so we begin from shared fundamental ideas implicit in the public political culture in the hope of developing from them a political conception that can gain free and reasoned agreement in judgement, this agreement being stable in virtue of its gaining the support of an overlapping consensus of reasonable comprehensive doctrines” (Ibid., 100-101).

ignoring the differences that matter most? By recognizing and then “veiling” differences are we not running the risk of exacerbating them to such an extent that credibility of the public point of view is itself questionable?

The original position secures legitimacy in the sense of a harmonious agreement between individuals - all individuals arriving at the same rational decision regarding the principles of justice. Unanimous agreement of this first kind, legitimacy of the political conception as freestanding, is assured by the original position since it is a “mutually disinterested rationality” (TJ, 142-3) and “everyone is represented equally” by anyone who enters it. “The content of justice must be discovered by reason: that is by solving the agreement problem posed by the original position” (PL, 273/274).

But Rawls wants his conception of the political to also be a product of another unanimous agreement, an overlapping consensus among all reasonable citizens the basis of their own “superficial variation” of a point of view. Rawls seems to think that a precondition for an agreement of the second kind, an overlapping consensus which secures the kind of stability he is concerned with, is an agreement of the first kind, a freestanding justification of the political conception. Thus, Rawls addresses the problem of stability by defining a procedure - the original position - which, as an impartial point of view, also models the construction of the consensus that will provide the stability in political discourse he wants. “When citizens share a reasonable political conception of justice, they share common ground on which public discussion of fundamental questions can proceed” (PL, 115).

Rawls presents the original position as an objective point of view, a “common

sense” that enables those with irreconcilable conflicts to recognize the difference between their own point of view and a point of view that “establishes a public framework,” the public point of view. As reasonable citizens we will always choose, according to Rawls, the public point of view over our own when there are certain questions of political differences; the standpoint of the original position must always be preferred over our own in the political domain. But the question is, is the public point of view, established by Rawls’s method - a method that “veils” and overarches differences even though it is constructed from “shared fundamental ideas implicit in the public political culture” - credible to those individual, “superficial” points of view that are irreconcilable and arched over?

Before answering this question, in the next chapter I want to consider an alternative conception of political space developed by Hannah Arendt. Unlike Rawls, Arendt regards the problem of stability as a problem of perception. Also, unlike Rawls, she tries to establish the objectivity of human relationships not on a single point of view, but on a plurality of positions that are tied together by a common sense of the “eternal truths” of human freedom. The juxtaposition of these two conceptions will allow us to accent the kind of democratic space which we envisioned in Chapters One and Two.

Chapter IV

HANNAH ARENDT'S CONCEPTION OF POLITICAL SPACE.

The Rough Theater is close to the people: it is usually distinguished by the absence of what is called style. Style needs leisure: putting over something in rough conditions is like a revolution, for anything that comes to hand can be turned into a weapon. The Rough Theater doesn't pick and choose: if the audience is restive, then it is obviously more important to holler at the trouble makers - or improvise a gag - than to try to preserve the style of the scene. In the luxury of high class theater, everything can be all of a piece: in a rough theater a bucket will be banged for a battle, flour used to show faces white with fear. The arsenal is limitless: The popular theater, freed of unity of style, actually speaks a very sophisticated and stylish language: a popular audience usually has no difficulty in accepting inconsistencies of accent and dress, or in darting between mime and dialogue, realism and suggestion. They follow the line of story, unaware in fact that somewhere there is a set of standards which are being broken. (Peter Brook, The Empty Space, 66-67)

A. DEMOCRATIC SPACES: INVENTIVE AND IMPROVISATIONAL.

In this passage Brook distinguishes “rough” theater, or popular theater, theater of the people, from “high class” theater. High class theater, guided by a single style (everything is all of one piece) that requires the luxury of leisure, is detached from the demands and urgency of its audiences. Singleness of style here means a unity of performance or theatrical method that requires consistency and harmony. Everything is “all of a piece.” Even the audiences of high class theater are selected according to their conformity to this “style” often by means of high-priced entry tickets and minimally by the

social conventions of theater-goer's dress and demeanor.

Rough theater, on the other hand, is close to the people. It doesn't pick and choose its audiences, but takes the play "to the villages" where people live. In rough theater the audience is much more directly involved in determining what appears on stage or how it is presented. If they balk at what appears, (in rough theater that can easily happen), the actors and stage hands react rather than stay with the pre-written, authored script.

Rough, popular theater is revolutionary, inventive and improvisational: revolutionary because the audience has a "voice", inventive because the "arsenal" of the performers is limitless, improvisational because, freed from the consistency of style, it is able to comprise a multitude of inconsistencies and "superficial variations." In fact life is full of inconsistencies, and the audiences of rough theater have little difficulty in accepting them. Indeed the audiences of rough theater are quite sophisticated in their abilities to synthesize these inconsistencies; to "dart between mime and dialogue," between parody and parley, between the farcical and the reasonable; and "between realism and suggestion," between what is vulgar and what is holy, between the invisible and its superficial variations, between tragedy and comedy.

If holy theater is concerned with making the hidden impulses of human beings visible, rough theater reminds us that these eternal truths are not found in heaven, but on earth, in ordinary human activity. The invisible powers that rule our lives and define our relationships are hidden, not because they are other worldly, but because they are found only in the mundane, everyday performances of human beings, in their common words and deeds. Good theater deals with those hidden realities that are close to the people, that lie

in the performances between human beings.

Rough theater looks for what is hidden in the different styles of dress and accents of people, and for this reason relies on the inconsistencies of the accents, dress and demeanor of its audiences. The restive voices of the audience, these “performances of the spectators,” are indispensable even to the performances of the actors on stage. Rough theater is the “theater of noise,” (ES, 68) where the spectators are even more responsible than the actors for what appears on the stage and in the play.

Rough theater is not bound to established standards of staging, standards of accent and dress. Indeed, the audiences of rough theater may not even be aware of these standards let alone that the standards are being broken. In rough theater, the luxury of leisure which is necessary for such standards to be even seen and applied is uncommon.

Democratic spaces are like rough theater. They do not pick and choose who enters on the basis of accents and dress, but take the “play of politics” to where the people live. The spectators in democratic spaces have a voice in what appears and how it appears, and the actor-citizens pay attention and make appropriate responses. Indeed in democratic spaces spectator-citizens are noisy, often restive and reluctant to accept the established style simply because it is “established.”

Life is full of incongruities and inconsistencies and if the performances on the “political stage” fail to reveal these, particularly because of its unity of style, then the spectator-citizens take responsibility and balk. They too must be seen and heard, if the invisible inequalities that rule their lives are to be made visible and remedied.

Freed from demands of a unanimity or consensus of style, democratic spaces are

revolutionary, inventive and improvisational. In democratic spaces human relationships, and the way invisible inequalities rule our lives (the story line of the play), those eternal, recurring truths of human relationships, depend upon the “audience” and how the actual inequalities lie in between the lives of citizens.

These inequalities are most vivid in democratic spaces where inconsistencies of accent and dress are not arched over by a unanimity of form, shape and appearance, but are openly displayed by darting between them. The inconsistencies of life lie between the “mime and dialogue” of human relationships; between relationships of parody (of imitation, reproduction, modeling, authority, mastery and control) and relationships of parley (of exchange, transactions, conventions and calculations), between relationships built on the farcical (impulsive, spontaneous and unpredictable, eccentric, whimsical, erratic, even insignificant and trivial) and relationships built on the reasonable (sensible, economical, legitimate, coherent and consistent, dependable and steady). They lie between “realism and suggestion,” between vulgar relationships (relationships that are indecent, malicious, repulsive, frightful and obscene) and sacred relationships (relationships that are noble, virtuous, inspiring, handsome and unselfish), between the tangible flesh of human beings and their imperceptible spirits, between tragedy and comedy.

In Chapter Two I argued that political stability is a function not of deliberative skills or virtues of citizens, but of intersubjective relationships by which citizens appear to one another as citizens. There I suggested that political stability is a quality of the spaces that exist “in between people” rather than the consequence of a space in which citizens are organized to appear symmetrically. The problem of designing democratic spaces is not one

of designing how a multitude of citizens can appear equally to an observer, but of designing how a multitude can appear in all their diversity to one another. Stability, we said, is a problem of visibility, not a problem of order and symmetry.

I suggested that in designing democratic spaces we need to first pay attention to those conditions that make human relationships most vivid. I proposed then that the problem of democratic participation is not resolved by designing spaces that assure only equal, uniform, “stylized” appearances among diverse people, but rather by designing spaces where the perception of diversity is assured.

One of the problems, perhaps the major problem, with using a metaphor of theatrical space to illustrate the kind of democratic space we advocate is that the relationship between actors and spectators appears to be one of active participants and passive observers. As a metaphor for democratic space, this suggests that the only participants in democratic politics are the actor-citizens and that a stage is the only place where people can make their appearances in public.

But, in Chapter Two I also talked about how the participation of both actor-citizens and spectator-citizens is essential if democratic space (which lies in between actors and spectators) is to make visible inequalities in human relationships and provide conditions for their remedies. I talked about the credibility that the spectators, those to whom these invisibilities appear, must give to the performers, those who make visible these inequalities. This is still somewhat of a passive understanding of citizenship where the actor-citizens seem do all the “work” of politics and the spectator-citizens either confirm their work or not. With the notion of rough theater, Brook suggests to us a way

of making clearer the kind of relationships needed between the spectator-citizen and the actor-citizen.

Thus, I want to expand my earlier interpretation of the metaphorical meaning of theatrical space. In Chapter One I indicated that the two places where citizens make their political appearances are, as actors on stage and as spectators in the audience. Now I want to suggest that there are two places where every citizen appears, as actor-citizen and as spectator-citizen. Each citizen has two parts to play in democratic spaces, as actors by which they perform and make visible the invisible inequalities that rule their lives, and as spectators by which they identify, confirm and begin to remedy these inequalities. Democratic participation is not simply found in the role of citizen as actor, but also in the role of citizen as spectator.

In the last chapter we have seen that for Rawls, political stability is maintained in two ways: first, by proper motivation of citizens to support the well-ordered society not out of fear nor a *modus vivendi*, but out of a desire for justice (this desire for justice outweighs the pursuit of one's own good, even when irreconcilable conflicts occur); second, by an overlapping consensus on a conception of justice that fits into (is part of) citizens' reasonable, even though mutually irreconcilable, conceptions of the good.

Rawlsian stability for plural democratic societies is ultimately maintained by a "social contract," a single agreement regarding a conception of political space. The problem of stability that concerned Rawls was how to secure this unanimity. For Rawls, the only credible basis for arriving at the kind of unanimity required for the kind of stability he seeks is "a publicly recognized point of view from which all citizens can

examine before one another whether their political and social institutions are just” (PL, 9), a point of view modeled by the original position.

We ended our review of Rawls asking whether, in his attempt to keep the eternal, invisible truths of equal justice for all tied to the earthly experiences of human diversity, his ideal public perspective is so far above the plurality of individuals and its language so special that the political space it generates can adequately make vivid the invisible differences and inequalities that are found in the way humans appear to one another.

In this chapter I want to present a conception of political space developed by Hannah Arendt which offers an alternative to the Rawlsian approach of preserving plurality while making vivid the “eternal truths” of human appearances.

Arendt may seem an unlikely resource in our search for stable democratic political spaces, especially with her theory of action and her conception of freedom as unbounded, unpredictable and irreversible action. Political stability based on human action would seem to be highly precarious. But it is precisely the fragility of human action and Arendt’s attempts to found political space on it which makes her approach so fascinating. Arendt’s understanding of the political as the only place wherein free human action can be made permanent by means of human judgement and memory and her criticism of traditional political theory for its failure to found a permanent basis for free and creative human action, together with her commitment to sustaining human plurality within political space, suggests the promise of accommodating differences in a way that does not require the most profound differences to be left “behind a veil.”

Freed from the demands of consistency and conformity that a unity of style, a

uniformity of agreement for a political conception requires, Arendtian political space promises to be closer to the people, and to provide a space in which a greater diversity of citizens have a more directive, participatory voice. Arendtian political space promises to make room for both the performances of the actors on stage and the performances of the spectators in the audience.

Our question for Arendt is whether her dramaturgical conception of political space and the stability of political action adequately preserves human plurality or whether it requires a gradation of democratic participation (and, thus, a gradation of political worth), between “actors” and “spectators.” If Arendt’s conception of democratic space is akin to rough theater, then perhaps she does offer a viable alternative to Rawls that is worthy of exploring in greater depth. But if her emphasis on the actor-citizen results in her excluding the participation of spectator-citizens, then the sort of democratic space and political action she designs is indeed elitist. The question is how Arendt conceives of political appearance and performance.

We begin with her conception of the political domain, particularly as she distinguishes it from the social sphere of human activity. Remember that for Rawls the basic structure of society which includes economic, political and social institutions is the proper subject of a political conception. For Arendt, the content of the political domain is not so clear.

B. ARENDT'S CRITIQUE OF TRADITIONAL POLITICAL PHILOSOPHY.

Before we consider Arendt's design of political space, however, I want to briefly lay out her critique of traditional political thought, particularly its failure to make what she considers critical distinctions. By drawing out these distinctions, as she makes them, we will be able to provide a clearer context in which she develops her somewhat unorthodox views.

Arendt's conception of political space is inspired by three closely related notions which place her in critical opposition to traditional political philosophy; first that political activity will be properly conceptualized when it is seen as meaningful and valuable for its own sake, and not merely instrumental; second, that political activity is a human activity occurring between human beings, not something performed by or for individuals, nor something done to another; third that normative criteria for political activity cannot be "imported" from outside the political realm (from metaphysical or moral theories), but must be developed from within.

A brief discussion of these three notions will provide a context for understanding Arendt's conception of political space, its problems of stability and her solutions. In this discussion I will show how Arendt distinguishes political activity from instrumental, philosophic and moral and how she attempts to reappraise the significance of political activity for post modern societies.

1. Instrumental and Performative Values of Political Activity.

In The Human Condition Arendt's revaluing of political activity begins with a critique of the traditional understanding of human activity in the world, what she calls the "vitae activa," "human life insofar as it is actively engaged in doing something."⁴² In the history of western thought from Plato onward the vitae activa has for the most part been valued as a stepchild to the more ennobled "vitae contemplativa" of the philosopher and mystic. According to Arendt, the effect of this historical relationship has been a devaluation of doing things in the world, one that has yet to be corrected.⁴³ Thus, before political activity can be seen in the most vivid light, the concept of human activity and its relationship to contemplation must first be examined.

Arendt sees genuine political life as all but lost in the modern world. She blames this on the traditional philosophical conception of the political domain. Indeed, her critique of the history of political thought from Socrates to Hegel is a scathing condemnation of the conception of the polis as, in its positive construction, an instrument for the support of the philosophic life, a life of contemplation and striving for eternity, the so-called "higher

⁴²Hannah Arendt, The Human Condition (Chicago: The University of Chicago Press, 1958) 22.

⁴³She claims that the works of Marx and Nietzsche, Camus, Sartre and Heidegger, have all failed to adequately value human action. Even Jaspers, whom she credits as the only philosopher to protest against solitude and who fought to keep reason between men rather than let it retreat to within man, "neither entirely within nor necessarily above," a conception of reason which Arendt considers essential to the re-valuation of human action, has nevertheless failed to adequately expose the basis of the active life. See her lecture to the American Political Science Association, 1954, "Concern With Politics in Recent European Philosophical Thought" in Hannah Arendt, Essays in Understanding: 1930-1954 (New York: Harcourt Brace and Company, 1993) 428-447.

things” of life. The polis has become not much more than a means to enable the philosopher to engage in his noble activity and is valued for its service to those ends.

Traditional political philosophy, therefore tends to derive the political side of human life from necessity which compels the human animal to live together with others, rather than from the human capacity to act, and it tends to conclude with a theory about the conditions that would best suit the needs of the unfortunate human condition of plurality and best enable the philosopher, at least, to live undisturbed by it. (Hannah Arendt, “Concern with Politics in Recent European Philosophical Thought,” Essays in Understanding, 428)

For Arendt, the problem with this conception is that it makes the political a mere instrumental device, albeit necessary for human living, but human living of a certain kind, namely, philosophical, religious, otherworldly living.

There are two issues involved in this part of her critique: first, the traditional placement of the political at the specific service of the contemplative life; and second, the more sweeping instrumental conception of the political as a means to any end. Arendt sees both of these as mistakes woven together into the fallacious traditional conception of the political.

The history of philosophy has shown us that there is no undisputed ideal conception of human reality which can provide meaning and value to all that we do, or ought to do as human beings. Thus, for Arendt the meanings and values of what we do are to be located in their own performances. Political activity, insofar as it is meaningful and valuable, does not exist simply to provide stability for human flourishing, whether that “flourishing” is seen as philosophical contemplation or a set of individualized goods that satisfy needs or capacities required for “full” human living. Rather, for Arendt, the

political exists for the sake of its own activities as a space for proper authentic political action. And when we act in such spaces, we do so freely and without any compelling necessity to attain some end outside the act itself.

The point to be made here is that, for Arendt, any instrumentalist conception of politics, whether it is in service to the more lowly purpose of securing “wealth or property” or the more esteemed goal of “divine contemplation and truth seeking,” or anything in between these, is mistaken. A conception of political activity as, say, in service to the “truly and fully human,” the ideal human nature, is discredited, according to Arendt, not simply because there is no widely acceptable conception of the ideal of human nature, which there isn’t, but because the fundamental meaning of political activity is not instrumentalist. While contemporary political philosophy has purged the political of its traditional otherworldly perspective (the philosopher’s contemplative gaze upon the eternal) it continues to conceptualize the political as instrumental, only now in service to philosophic solitude or rational thought; “the philosopher no longer turns from the world of deceptive perishability to another world of eternal truth, but turns away from both and withdraws into himself” (HC, 293). The tendency in western political philosophy still today is to conceptualize the political as a thin instrumental structure of society which provides the least amount of order or stability necessary for more meaningful and substantive human activities related to attaining one’s own personal goals and realizing one’s own life plan.

With the beginning of modernity, the hierarchial order between the *vitae activa* and the *vitae contemplativa* has begun to reverse itself. But this reversal is deficient according

to Arendt.⁴⁴ Contemporary philosophy continues to give an inadequate accounting of the *vitae activa*. To properly reverse the hierarchical order is not to turn it upside down.

Arendt is not asking that the philosopher or mystic be placed in service to politics. Neither the *vitae activa* nor the *vitae contemplativa* should be placed in service to the other, but each must find its own meaning and value. If it can be shown that the pursuit of politics carries its own worth, then the hierarchical grip of Instrumentalism on human activity will be broken. To do that, however, will require a re-conceptualization of politics going back to pre-Socratic thought.⁴⁵

The challenge, then, according to Arendt is not merely to propose a new and better way to value politics, but to propose a new and different way of conceptualizing what the political is all about. Given her confidence in the intrinsic value of political activity, the first question she addresses is whether there are some things that we do which are done for their own sake or whether all meaningful human activities are undertaken for some purpose other than their own doing.

If all human action is instrumental in this broad sense of instrumentality, what is to

⁴⁴“The reversal of the modern age consisted then not in raising doing to the rank of contemplating as the highest state of which human beings are capable...” Rather, “the fundamental experience behind the reversal of contemplation and action was precisely that man’s thirst for knowledge could be assuaged only after he had put his trust into the ingenuity of his hands. ... In order to be certain, one had to **make sure**, and in order to know one had to do.” (Arendt, The Human Condition, 290). Belief in the uniformity and singularity of truth remains steadfast within contemporary philosophical search, only now truth is gotten at differently, namely, by first being “made.” This is the legacy of Descartes.

⁴⁵“The ancient and Christian solution had been to consider this whole realm as essentially instrumental, as existing for the sake of something else” (Arendt, Essays in Understanding, 430).

prevent the ultimate triumph of utilitarianism?⁴⁶ If it is not, then what sorts of things are done for their own sake? Arendt's reconception of political activity as intrinsically valuable is her response to liberating human activity from the vise of Instrumentalism.

2. Rationality and The Condition of Plurality.

For Arendt the "decisive problem" in political theory is to conceive of political activity in such a way that human plurality is the singular human condition under which political activity is carried out.⁴⁷

Certain conceptions of plurality, however, may entice us into a solitude that does not allow for political activity as Arendt conceives it. Arendt's notion of human plurality represents not simply the varieties and differences of individual choices, beliefs and values, differences of life plans and conceptions of the good, but rather all the variations and differences between human beings. The fact of pluralism is not the fact of plurality. If we mean by plurality simply a variety of philosophical or religious life views or conceptions, we have not captured the central condition of political action - "the fact that men not Man, live on the earth and inhabit the world" (HC, 7).

Plurality for Arendt is not a multiplicity of a singular form; nor a duplication of the same idealized human form, only with "inner" variations. Nor is plurality mere

⁴⁶"The issue at stake is, of course, not instrumentality, the use of means to achieve an end, as such, but rather the generalization of the fabrication experience in which usefulness and utility are established as the ultimate standards for life and the world of men" (Arendt, The Human Condition, 157).

⁴⁷"... plurality is specifically the condition - not only the conditio sine qua non, but the conditio per quam - of all political life" (Ibid., 7).

individuality of members of a species. Nor is it an abstraction somewhere between individuality and universality, whereby we might group people according to some identity or community. Rather, plurality, according to Arendt, is the fact that each human being is both distinct from and yet equal to every other human being.

If men were not equal, they could neither understand each other and those who came before them nor plan for the future and foresee the needs of those who will come after them. If men were not distinct, each human being distinguished from any other who is, was or will be, they would need neither speech nor action to make themselves understood. Signs and sounds to communicate immediate, identical needs and wants would be enough. (Arendt, The Human Condition, 175-76)

There is nothing repeated nor repeatable within the plurality of human beings. As members of a species, yes. As rational beings, yes. But not as variations of a plurality.

Arendt considers the condition of plurality to be decisive for political activity. Because each human being is distinct and equal, political activity must be something that is done between human beings, and not merely by human beings, and in the space between them. Political activity not only requires the presence of others, as most human activities do, but requires the simultaneous present activity of others as well. Thus political activity is not something one does by oneself nor with oneself. It is something done not only in public and within a public, but with a public as well, as in, say, theater or any “performing” art. Political activity is not simply being engaged in doing a public good, in securing a public end or goal. Political activity is rather doing those things that can only be done with others.

This condition of plurality under which political action is possible is, according to

Arendt, not a historical phenomenon that depends upon conditions of toleration or cultural diversity, but is a fact wherever “men, not Man live on earth and inhabit the world.” The condition of plurality was as applicable in ancient Rome as it was during the French revolution, and as it is today. In this way human plurality is not the same as “pluralism.”

Arendt’s second critique of traditional political philosophy exposes its failure to conceptualize human plurality in such a way that what goes on “between men” is taken much more seriously, and given much more attention than what goes on within “man.” And, if political activity is indeed activity between human beings, then this inability to capture the meaning of plurality is decisive.

According to Arendt, the reason for this failure is that modern conceptions of political activity are based on a model of philosophical thinking, a model of “ideal dialogue,” where one “deliberates” with oneself in solitude according to the procedures of rational deliberation, rather than a model of “action,” a model of human “activity that goes on directly between men without the intermediary of things or matter, (and which) corresponds to the human condition of plurality” (HC, 7). Traditional conceptions of politics, based upon an idealization of the human being in the singular, have placed the capacity for political action not “between men,” where it needs to be given the fact of plurality, but within men, where political acts are nothing other than a duplicity - a continual repetition of the selfsame procedure of rational deliberation. Such conceptions, while they may be adequate for philosophy, are insufficient for the political.⁴⁸

⁴⁸“It lies in the nature of philosophy to deal with man in the singular, whereas politics could not even be conceived of if men did not exist in the plural. Or to put it another way: the experiences of the philosopher - insofar as he is a philosopher - are with solitude, while

The flaw within these traditional conceptions of the political, according to Arendt, is that the first political act, the foundation or construction of political space itself, is done by “Man” in his singularity rather than “men” in their plurality. The thinker-philosopher carries on an imaginary dialogue with an imaginary citizen-representative to deliberate about the principles under which society is to be structured. These “dialogues” are begun and brought to completion in a solitary perspective that ultimately eliminates the condition of plurality.

According to Arendt, the foundational political act is not a contract, nor a promise to abide by a contract, but a mutual act in words and deeds, whether a contract is ever made or not. Nor is the work of constructing political spaces under the condition of plurality something that belongs to a privileged few who are expert in styles of rationality, executing laws, applying rules or managing others, but is the proper activity of every distinct human being.

3. Normative Morality and Political Stability.

Finally, Arendt’s conception of political activity as performative action under the condition of plurality forces a reconceptualization of the traditional relationships between morality and politics. If the value of political action is in its performance and not in the accomplishment of certain ends, then motivation and intent as well as aims and consequences cannot provide criteria for their moral worth. And, if political action is

for man - insofar as he is political - solitude is an essential but nevertheless marginal experience” (Arendt, Essays in Understanding, 443).

incapable of being done by isolated individuals, but instead requires the present-activity of others, if the condition of plurality requires us to conceive of political action as what goes on in the space between human beings, then moral responsibility, praise, culpability and correct political action cannot be characteristics or qualities of a single actor or her own individual doings. Moral right, praise, blame and responsibility, as well as justice, virtue and good apply in the political realm in an altogether different way than these have been understood in traditional political theory.

The traditional conception of the moral foundation of politics is based upon an instrumental understanding of politics that Arendt rejects. For Arendt, political “action can only be judged by the criterion of greatness . . .” and greatness, “or the specific meaning of each deed, can lie only in the performance itself and neither in its motivation nor its achievement” (HC, 205-06).⁴⁹ To apply normative standards that lie outside the performative value of an action and in their consequence or intended results, as traditional political theory would have it, is to force political activity into a means-end category.

But more than this, the normative standards of traditional political theory rest upon a faulty conception of political activity, namely as human activities performed by individuals rather than between them under the conditions of human plurality.

Traditional conceptions of morality establish a “rule,” a moral law, which norms the behavior of individuals. This moral law, founded upon the principle of universalizability - a moral rule is said to be right and binding for an individual if it is

⁴⁹See also The Human Condition, section 21, “Instrumentality and *homo faber*,” 153-159. There Arendt discusses the identification of means-end category with the moral theory of utilitarianism.

binding for all individuals given the same conditions of acting - submits all political actions to one procedure of valuation. An act is right or good if it falls under the law, if it is universalizable. Traditional moral theory locates the meaning and value of human actions not in their performance, but in a procedural formula or form, in their universalizability - in whether a human action signifies a singleness of form, capable of being performed by everyone.

But, according to Arendt, this use of the principle of universalizability in establishing norms of all human activity does violence to the condition of plurality. In political actions there are no "normal" standards, no norms. Since all humans are distinct and equal, no political action is repeatable. To "norm" human actions performed between human beings is to make such actions indistinct and essentially the same regardless of the actors. To norm human actions is to value the human action not in its performance by a human actor, but in its being an act completed and isolated, disconnected from the human relationships in which it is performed, as if frozen in motion.

In politics we can never know beforehand what is the right thing to do, not because our knowledge is limited and temporally conditioned, but because political action is a process, not something achieved or accomplished. It is always ongoing, even after the actor stops acting. And, because political activity involves a plurality of actors who, by their co-acting, in a sense take on the action as their own, it is not an act belonging to a single actor. Political actions as performances within a web of human relationships are, thus, quite fragile and unstable - once begun they are no longer under the control of their initiators and can be readily altered by other actors.

Normative morality attempts to escape the fragility and instability of such human action, by turning it into “normal behavior,” typical things done by human beings. In our fear of the power and strength of free human action, its boundlessness and its irreversibility, both of which follow from the condition of plurality, we sedate it with a morality of norms, and end up turning human freedom into self-sovereignty. Normative morality instrumentalizes human action by extorting its meaning not from its performance, but from either the mind of its actor (motivation) or from its accomplished consequences (purpose). The ordinary standards of morality both mis-value and devalue human actions performed between human beings under the condition of plurality.

But in criticizing the traditional normative basis of politics, Arendt is not suggesting there is no way to “measure” the value of political action. We do need to address our fears regarding the frailty and instability of human action, particularly if we want to provide a stable political space in which free human action can occur. There are guiding principles for genuine political human activity, human activity that preserves the freedom and equality of human beings rather than destroys it. But these guiding principles are themselves fragile and vulnerable since they too are conditioned by plurality. For Arendt the final measures of genuine political action are “forgiveness,” “promise,” and “trust.” And, since these principles rise from within political action itself, they “establish a diametrically different moral code than the philosophical standards of moral law and rule” (HC, 237).

Arendt’s attempt to reconceptualize the political domain seems also driven by a desire to construct a political space that is both egalitarian and available to all, as she says,

“within the range of every human being” (HC, 5).

If all human activity, and particularly political activity, is conceived as instrumental in the broad sense identified above, then political activity can never be assuredly within the range of ordinary human capabilities. Instrumental acts require and demand specialized skills of deliberation, such as the capacity and willingness to form rational goals and plans and the ability to calculate means-end relationships, that may not be within the range and capacity of everyone. Nor is it clear that skills at forming life plans and attaining one's goals should be required for political participation.

According to Arendt, the challenge we face in creating democratic political spaces wherein citizens are equally engaged in political activity cannot be met by an analysis of human activity in isolation from its performance and its actors. Only by starting with what goes on in the space between human beings, and building public political realms from that foundation, can a plural democracy be established. Otherwise, political activity will be conditioned by some predetermined set of rules rather than the contingent existence of a given plurality of human beings.

Finally, within Arendt's approach, the stable foundation of democratic political space lies in the kinds of political activity of its citizens rather than some idealized or even actual moral agreement. In a polity constituted by the actions of a plurality rather than by a set of justified principles or institutions, what stabilizes the political space in which the fragility and unpredictability of human actions occur is the performance of words and deeds that are remembered.

Keeping in mind these three critical points by which Arendt distinguishes herself

from traditional political thinking, let us now turn to her conception of political space and how it differs from private and other public spaces.

C. THE ARENDTIAN CONCEPTION OF POLITICAL SPACE.

1. The Public and Private Realms of Human Activity.

In defining the boundaries of political space Arendt first draws a distinct line between the public and private realms of human living. To appear in public, to have a publicity, is to be seen and heard by others; the greatest publicity being heard and seen by everyone. What defines publicity is not simply appearance, but simultaneous appearance in a multiplicity of perspectives. There is no public space without the presence of a multitude of others who see and hear⁵⁰ - without “the simultaneous presence of innumerable perspectives and aspects in which the common world presents itself” (HC, 57).

What is in the public realm, what is real, is what appears to others. On the other hand, what is experienced by me alone, privately, is in a sense unreal. The private is without publicity, though not without significance. To be sure, what I alone experience may be intensely felt. But the degree to which I am unable to bring what it is that I am experiencing into public awareness, to that degree the objectivity of my experiences is questionable. Only what appears publicly, what is seen and heard by others as well as me,

⁵⁰This “multitude of perspectives” is not quite the same thing as the condition of plurality. What is required here for publicity is a multitude of perspectives within which something common appears, an intersubjective grounding of reality as appearance. This intersubjectivity, the presence of a multitude of others who hear and see the same thing, is more akin to the condition of worldliness. The condition of plurality, on the other hand, has to do with the nature of these plural subjects.

is real. What cannot be manifested, made visible or audible to others, is non-public or “private.”⁵¹

Arendt is not denying the existence nor the significance of private experiences. Her use of the notion of reality is in distinction from personal or subjective. She gives two illustrations of private experiences to show what she means by the publicity condition of appearance to others, the intensity of pain, and the intimacy of love. In the first illustration, a private experience of great bodily pain is incapable of being brought into the public realm. It is incommunicable, “unable to be transformed into a shape fit for public appearance.” Her second illustration is an example of a private experience which, were it to be transformed for public appearance would be “killed or rather extinguished.” Intimate love cannot tolerate being seen and heard by others. It cannot be under a public gaze without itself being perverted and eventually altered into something it is not. Even when love is spoken of or displayed, as in, for example, the novel, it can only be so when the lovers are being spied upon. They must remain unaware that they are being seen or heard. Otherwise, what they are doing is not making love, but performing for the reader. Both of

⁵¹The condition of appearing to a multiplicity of others does not mean that the private things we do, do not attest to the presence of others. If we mean by private, something having to do only with one’s self, and without “testifying” to the presence of any other human being, i.e., in total exclusion of others, then according to Arendt, no human activity is private. “No human life, not even the life of the hermit in nature’s wilderness, is possible without a world which directly or indirectly testifies to the presence of other human beings” (Arendt, The Human Condition, 22). All human activities, whether private or public, are conditioned by the presence of others, and are done in an environment of being together with other humans. But, when an activity “appears” in a multitude of perspectives, it is transformed so to speak, into a public world. The characteristic of simply being together, a characteristic of all species of beings, is not germane to the distinction between private and public that Arendt makes. It is only in the coupling of appearance with the presence of others that a public space is constituted.

these types of experiences are so subjective and so withdrawn from the world of others that they can only survive in the private realms.

But being seen and heard by others is not itself sufficient to bring about a public space. The “simultaneous perspectives” must be perspectives on something, on the same thing that all see and hear. Otherwise, the public is nothing more than a set of unrelated perspectives. What appears in public, what is seen and heard by a multitude, what is common between human beings, is what “gathers” the multitude together.

For Arendt there are two general sorts of things that are common between human beings; the world - not nature, but the human-made world of things and objects, such as houses, streets, towns - and political acts, human action and speech, which goes on directly between human beings without any intermediation. We will look at the distinction between these two sorts of things more closely when we get to the difference between political space and non-political public space. At this point we are focusing on the public and private distinction. And here the important point is that the public realm consists of what lies in the space between human beings and by which they are directly gathered, related and separated from one another.

This field of things in between us, the common world of objects and human deeds, whereby we are gathered, related and separated, is what appears in the innumerable perspectives of the multitude of people. Only the world and people acting in it are public. When we are in the world we are there only as we appear under the gaze of simultaneous perspectives.

Our private selves, being unfit to be under the gaze of others’ perspectives are not

in the world. To be private is not to appear. “Whatever he (private man) does remains without significance and consequence to others, and what matters to him is without interest to others . . . as though he did not exist” (HC, 58). In private realms there is nothing common, no in between human beings. Each private household or family is neither seen nor heard. To be private is to be unrelated, unconnected. It is to be unreal.

But to say that whatever is common is public is not to say that the private has no relationship to the public. Though the private lies outside or beyond that which is common, it nevertheless borders the public realm. Indeed, for Arendt, the private is a precondition for the public. The private realm, while lacking reality by not appearing in the multitude of perspectives, still is that “hidden place” from which one enters the public realm. Indeed, though the public realm is much more momentous for Arendt, the private realm is nevertheless indispensable for public spaces in two ways: (1) it is only in the private realm that the human being has any location from which to enter the public realm, and (2) it is within the interior of private spaces that the basic conditions of biological life are met, a precondition for the work of world-making as well as for political action.

Human beings bound by the conditions of biology are driven to satisfy demands for sustenance (the needs of food, air, etc.), the demands for care and safety (the needs of health and protection), and the demands for continuation as a species (the drives of reproduction and nurturing of offspring). All of these private activities happen out of necessity. We do what is necessary to live.

In the private sphere, inequality abounds. The needs, demands and necessities of biological life vary significantly from individual to individual. Likewise the capabilities of

individuals and households in securing these needs vary significantly.

For Arendt, the private sphere is marked by the continual activity of consumption. We labor to meet the necessities of life, all of which are never ending as long as life is ongoing. The biological processes of consumption and reproduction, by which each human being sustains its life and the life of the species, are not and never can be shared between one another. They are private, not because they are done outside the presence of others, which they are not, but because they are activities related to the maintenance of each life and not to what is common between human beings.⁵²

It is as if public life is what goes on in the spaces between a set of private sub-realms. The public realm is formed when we “leave” our private realms and appear not only to others but in between others. Generally, according to Arendt, private human activities are those things done **by** human beings which correspond to the condition of biological, physiological life itself; whereas public activities are those things done **between** human beings, and correspond to either the conditions of worldliness (the activities of world making, of making worldly objects by which we are related to and separated from one another) or the conditions of plurality (the activities of human action and speech by which we relate to and separate ourselves from one another directly and without mediation).

⁵²“Nothing, in fact, is less common and less communicable, and therefore more securely shielded against the visibility and audibility of the public realm than what goes on within the confines of the body, its pleasures and its pains, its laboring and consuming” (Arendt, The Human Condition, 112).

2. Arendt's Distinction Between Social and Political Spaces.

To recognize that human beings do not or cannot live outside the company of others is to recognize the social condition of human living. But, in this regard human beings are no different from any other species. This “fact of sociality,” the recognition of shared concerns for private interests, is hardly enough to account for a public space let alone the political realm. This notion of “shared concerns for private interests” is not a concern for something that lies between one another, but for the private interests that each human being has in regard to sustaining her own life. That each of us has such interests is the basis for society but not, according to Arendt, the basis for the political realm.

Modern mass society began when shared concerns for private interests and necessities of life, individual and household private activities, took on a public significance. The social movement, the public concern for private interests, resulted in, what Arendt perceived, as a radical transformation of both the public and private realms. The placement of shared private concerns into the public arena changed both the public and the private spheres: the public realm became concerned, now almost exclusively, with the administration of such private matters; the private realm became, now almost totally, under the gaze of the public.

When the public is organized solely around the concerns of private interests, the conditions of plurality, distinctness of identity together with equality, are no longer present. Society is not concerned with individual unique and creative human activity but standardized behavior, a pattern of human activity that can be statistically described and defined. Human behavior as distinct from human activity, is nothing other than a

conception of human activity formed from a composite of a multitude of people, each of which acts out of identical private interests. This common behavior is the “leveling factor,” the demand that all members of a society act as if they belong to one large household with a single-minded interest in meeting the demands of life’s necessities, that is required if private interests are ever to be addressed by the public.

The problem here, for Arendt, is not that there is a social sphere⁵³, but that the social sphere is taken to be identical with the political sphere. If the concern of the private is the only thing that assumes or ought to assume public significance and value, then there is no sacred space where, liberated of life’s necessities, we can meet one another as free and equal individuals. The possibility of political action is gone. When society “displaces” genuine political space, “the fact of mutual dependence for the sake of life and nothing else assumes public significance” (HC, 46; emphasis added). If the relationships between human beings are nothing other than exchanges based on private interests of sustaining life, then freedom and individuality, distinction and originality are transformed into less than what they are. Then, freedom becomes nothing other than self-regulation or self-domination according to a norm. Individuality becomes nothing other than the capacity to satisfy one’s own human needs. Distinction becomes nothing other than separation and solitude. And originality becomes nothing other than a particular member in a social group.

What is at issue here for Arendt is the conceptualization of political space by

⁵³There is no doubt that Arendt writes disparagingly about the social sphere. However, as I read her, her critique of the social is based more on its “con-fusion” with the political than on its existence or historical emergence.

theorists. According to Arendt, if we accept social space - where the necessities of life take on public significance, and where the human activity of consumption predominates - as even a subdivision of the political, there will be, indeed there needs be, an eventual erosion of genuine political activity. Because of the demands of the processes of consumption, public concerns will be dominated by self interests and the manipulation of resources to satisfy ever growing consumer requirements. The problem with modern political theory, according to Arendt, is its failure to perceive the deep gulf between the political realm and the social realm (HC, 33). And this failure has led modern societies to lose touch with what is “common in between human beings.” As a result of the “socialization of mankind,” the “emancipation of labor,” which is accomplished when private life-sustaining labor-activities take on public significance, rather than emancipating us, has forced all human activity, including political activity, “under the yoke of necessity.” “(E)ventually no object of the world will be safe from consumption and annihilation through consumption” (HC, 133).

The rather uncomfortable truth of the matter is that the triumph the modern world has achieved over necessity is due to the emancipation of labor, that is, to the fact that the *animal laborans* was permitted to occupy the public realm; and yet as long as the *animal laborans* remains in possession of it, there can be no true public realm, but only private activities displayed in the open. (Arendt, The Human Condition, 134)

If our conception of the political realm fails to adequately differentiate the political from the social, then our political theory will be unable to make room for egalitarian actions of free human beings. The public political sphere is to be restricted from entering the private sphere not because private property ought to reign supreme, but because, the

public realm will cease to be public without the private realm as the unique location of human birth, life, and death in the world. The private is to be properly restricted from entering the political not because private activity is insignificant, but because the political realm is the only sacred place where we are freed of life's necessities and where we can meet one another as free, unique individuals.

To equate "political" with "social," or to conceive of political action as the public activity of organizing the basic structures of society is to eventually define the relationships between human beings as nothing other than an exchange of private interests and to ultimately limit the life of human beings to nothing more than survival activity.

3. The World of Objects and Political Space.

Earlier I identified Arendt's conception of the public realm as the "space of appearance." For Arendt, there are two general sorts of public spaces: those spaces which are the result of the "work of human hands," the public realm of the world and "man made products," and those spaces which arise directly out of human beings acting together, the sharing of words and deeds, the public realm of the polis (HC, 195). And though these are distinct public spaces, the possibility for either requires a "space of appearance," which comes into being only when humans gather together in action and speech. In that regard the entire public realm, the artificially constructed world of objects as well as the web⁵⁴ of

⁵⁴Here Arendt reserves the term "world" to the human artifice, the human made world of objects and things, into which we are born and from which we die, as distinct from 1) nature, the earth and its environs as given to human kind, and 2) the web of human affairs and relationships, which are the things that go on directly between human beings, "human action and speech." It is in the web of human affairs where she locates political activity.

human affairs, “ultimately resides on action and speech”(HC, 200). To understand what it is that Arendt conceptualizes as political action and the role that speech and action play in the political realm, we first examine the public space of world making and how it is distinguished from the political.

While the activity of “labor” secures the biological necessities of human life and is characterized by consumption, the activity of “work” is characterized by production and the making of things. By “work activity” we construct and maintain the world in which we live, and move. We construct an “artificial” world of things, mostly use objects⁵⁵, which provides durability, stability, and permanency for human life, a world into which one is born, in which one lives, and from which one dies.⁵⁶

The world, as distinct from nature, is a result of the human activity of “work,” actions performed upon things taken from nature. Even the so called “natural things” taken from nature are themselves products of human hands, being objectified raw materials out of which are made durable objects, objects which outlast the making process.

This world is “meant to outlast and transcend” each individual human life. Indeed, such a world is necessary for even the conceptualization of an individual human life, as well as for the fact of individual lives. A human life is designated as what lies between her

⁵⁵Arendt also includes art objects as things contained in the “world”, which have even a greater permanency than most use objects. See Arendt, The Human Condition, 167-173.

⁵⁶“Without taking things out of nature’s hands and consuming them, and without defending himself against the natural processes of growth and decay, the *animal laborans* could never survive. But without being at home in the midst of things whose durability makes them fit for use and for erecting a world whose very permanence stands in direct contrast to life, their life would never be human” (Ibid., 135). Also see 97.

birth into the world and her death out of the world. This worldliness, and its character of permanence, provides the conditions for human freedom and individuality.⁵⁷ Without a world which lasts longer than any one life, there would be no way to identify that life. Something more permanent than individual members of the human species is a precondition for locating the beginning and the end of a human life. It is this permanence and durability of the world of objects, what Arendt calls, worldliness, that conditions the activity of human work.

While the world, as the product of work activity, lies between human beings, the activity of work or fabrication itself involves the subjective process of reification - a process whereby a given material, already a product of human hands when it is "taken from nature," is formed according to a preceding (given) model, the idea or plan of the "worker." Work, by which we build our world, is an activity done by individuals in isolation. That is to say, the activity itself, is done in the presence of others, but not between others. This isolation is a requisite for the reification needed in the work process. Only individuals perform work. And so long as the worker is engaged in work, he acts in isolation. "Only when he stops working and his product is finished can he abandon his isolation" (HC, 162).

Though isolated activity, work, nevertheless, properly belongs to the public realm, because it is related to the construction and maintenance of the common world in the

⁵⁷"From this viewpoint, the things of the world have the function of stabilizing human life, and their objectivity lies in the fact that - in contradiction to the Heraclitean saying that the same man can never enter the same stream - men, their ever-changing nature notwithstanding, can retrieve their sameness, that is their identity, by being related to the same chair and the same table" (Ibid., 137, emphasis added).

space of appearance that is in between humans.⁵⁸ This common world lies both between human beings and nature and between human beings themselves who construct it. It is only because of this common world that nature, as well as other humans have any objectivity. “Without a world between men and nature, there is eternal movement but no objectivity” (HC, 137). What makes it possible for us to be seen and heard by others, is the world by means of which we are gathered together, related and separated. Objectivity requires some form of mediation between subject and object. The world is that mediation.

Because the work activity of building the world, that is, “working for others” (as distinct from the activity of labor by which we “labor for ourselves”), is done in isolation from others, the relationships that exist between the worker and others in this public space, the space designated as the world, are defined by the “marketplace.”⁵⁹ What goes on between humans in this public space is mediated by the products of work that comprise the world of use objects.⁶⁰ What is under the gaze of others in this public space is the

⁵⁸“Unlike the *animal laborans*, whose social life is worldless, and herdlike and who therefore is incapable of building or inhabiting a public, worldly realm, *homo faber* is fully capable of having a public realm of his own, even though it may not be a political realm, properly speaking. His public realm is the exchange market, where he can show the products of his hand and receive the esteem which is due him” (Ibid., 160).

⁵⁹As we will see, while this is clearly a public realm that lies between human beings, it is not properly the political realm. The political is what goes on between humans without mediating objects and things, but directly. “Unlike the *animal laborans*, whose social life is worldless, and herdlike and who therefore is incapable of building or inhabiting a public, worldly realm, *homo faber* is fully capable of having a public realm of his own, even though it may not be a political realm, properly speaking. His public realm is the exchange market, where he can show the products of his hand and receive the esteem which is due him” (Ibid., 160).

⁶⁰Arendt here gives an analysis of the notions of “exchange objects,” “use objects,” their difference and relationship to one another and their relation to “value,” an analysis

world of use objects, which includes both the products of fabrication and the “workers,” human beings as fabricators.

According to Arendt, the most significant feature of the activity of work as the process of world making is its “instrumentality.” That is to say, the making of permanent and durable things in and for the world, in distinction from labor activity, involves human purposeful efforts and end products. This activity is not done for its own sake, but for the product which it is designed to produce. There is a definite end to the work activity itself as well as a concrete end product that endures after the work process itself is ended.

No so with labor activity. The labor process has no end but is ongoing, continually repeated. And it is not done for the sake of producing a product for consumption, but is done as a means of consumption. Labor activity is part of the activity of consumption. And consumption for continual biological living is something that ends only at the death of the consumer.⁶¹

This notion of instrumentality is essential in understanding the world of objects and

which we need not present for our purposes. However, since I gloss the distinction between use objects and exchange objects, consider the following: “In this process from isolated craftsmanship to manufacturing for the exchange market, the finished end product changes its quality somewhat but not altogether. Durability, which alone determines if a thing can exist as a thing and endure in the world as a distinct entity, remains the supreme criteria, although it no longer makes a thing fit for us but rather fit to ‘be stored up beforehand’ for future exchange” (Ibid., 163).

⁶¹“ . . . unlike **working**, whose end has come when the object is finished, ready to be added to the common world of things, **laboring** always moves in the same circle, which is prescribed by the biological process of the living organism and the end of its “toil and trouble” comes only with the death of this organism. . . . labor and consumption are but two stages of the ever recurring cycle of biological life. The cycle needs to be sustained through consumption, and the activity which provides the means of consumption is laboring” (Ibid., 98-99).

things, of understanding the public realm as common world. Everything which falls under the influence of instrumentality is valued as a means to an end. Everything! “During the work process, everything is judged in terms of suitability and usefulness for the desired end, and for nothing else” (HC, 153). The end justifies the means. And, while the products of work-activity are always ends of the work activity, they are never ends in themselves. As soon as an object is constructed for the world, it becomes a means for human use in the world. The principle of utility or usefulness is the sole criterion by which work activity as well as its products are determined to be meaningful. According to the principle of utility all ends become means-to-an-end. Indeed in the world of useful objects everything becomes a means, even the producer of the objects, the human maker.

Within this sort of public space, there is, and can be no “end in itself,” other than what one might arbitrarily assign.⁶² The notion of an “end in itself” within the context of a world of use objects makes no sense. Even if “man the user” is said to be “the” end in itself, such a conception still values the human being instrumentally. To say all other things exist for the sake of “man the user” is to say that “man exists as user.” In other words, human activities are meaningful only when something is used. Thus, even if “man as user” is the “designated” end in itself, still, within the common world of use objects, the only values we can get are values that are thoroughly instrumental. To conceive of anything as an “end in itself” is to conceive of it in the context of fabrication (and fabrication’s

⁶²“Within the category of means and end, and among the experiences of instrumentality which rules over the whole world of use objects and utility, there is no way to end the chain of means and end and prevent all ends from eventually being used again as means, except to declare that one thing or another is “an end in itself” (Ibid., 154).

principle of instrumentality). In the world of objects, meaning is use, either as means or end.

For Arendt, the other mistake of modern political philosophy (the first mistake was to take the social for the public) is that it takes the political realm as coextensive with the public realm of world making.

The problem with inscribing the entire public world within the activity of work is that meaning can never be derived from mere instrumentality. In the world of work everything is a means for something else. The problem with conceptualizing the political world as coextensive with the fabricated world, is that *homo faber*, the maker of the world, as instrumentizer par excellence - the one whose meaning is user, and who uses everything - then rules (as user) over all the world, including the world of human affairs.

This feature of a thoroughgoing instrumentality which characterizes the world of things, points out the incapacity of *homo faber* to do anything for its own sake.

Instrumentality as means-end reasoning is incapable of providing a conceptual framework whereby we can understand anything as valuable in itself. Notwithstanding the Kantian attempt at making “man the measure of all things,” an end in itself, as an end in itself the human is still conceptualized within the means-end world of meaning, namely, as the user par excellence. For *homo faber* all meaning is use, and to conceive of the human being as an end in itself, is to conceive of the human within the world of instrumentality.

Arendt’s critique here points to the modern inability of making a distinction between meaning as that “for the sake of” which something is what it is, and purpose (or use) as that which exists “in order to” accomplish or attain something else. Because of a

failure to make this distinction, we too often inappropriately substitute utility (purpose, end) for meaning.⁶³ The problem with doing so, is that meaning is never stable, but always subject to the fabrication process, that is, to a process of eventually degrading all things, even human beings, into means. You can't stop that sort of instrumental valuing by fiat.

The problem, for Arendt, is not with the notion of instrumentality but arises when instrumental rationality of *homo faber* is allowed to dominate the world of human affairs, defining what and how it is that people enter into the public political world.

Conceptualizing the political as coextensive with or even containing the public world of work-activity leads to a confusion between two publics, the non-political (work activity) and the political. The public that dominates “politics” today is the marketplace, where the relationships that exist between humans are defined by the exchange of products they produce. This is a non-political public that has displaced the political public world of human relationships.

For Arendt then, if the political sphere is to be “saved” from the instrumental rationality of *homo faber*, it is clear that the public realm cannot be coextensive with the world of things that lie between us. For these are things we make with our hands, and their value is solely as use objects. According to Arendt, to conceptualize the political realm as the public world of use objects and makers of objects that lie between human beings is to

⁶³Purpose or end, “once it is attained ceases to be an end and loses its capacity to guide and justify the choice of means, to organize and produce them. . . . Meaning, on the contrary, must be permanent and lose nothing of its character, whether it is achieved or, rather, found by man or fails man and is missed by him. *Homo faber*, insofar as he is nothing but a fabricator and thinks in no terms but those of means and ends which arise directly out of his work activity, is just as incapable of understanding meaning as the *animal laborans* is incapable of understanding instrumentality” (Ibid., 155).

identify the political with what goes on in the exchange market. Rather, she locates the political realm in another part of the public sphere.

4. The Political Space of Human Appearances.

With the distinction between public and social, and between labor's life-sustaining activities and work's world-making, we are now in a position to characterize Arendt's conception of the political as a public space involving "all affairs that go on between men directly, without the intermediary, stabilizing, and solidifying influence of things" (HC, 182). The political realm is the "realm of human affairs where we exist primarily as acting and speaking beings" (HC 181), and where the human activities of action and speech constitute this second public space of the world of human affairs. In contrast to the activity of work, the activity of speech and action involves not founding a world of objects but founding spaces wherein people appear. They appear to one another with their "action and speech."

The first thing to be said about Arendtian "action and speech" is that it alone is conditioned by human plurality; that is, "that men not man inhabit the world," each of which is distinct from, and yet equal to the other.

This condition of plurality must not be confused with the condition of sociality, with the fact that "men cannot live outside the company of men." Sociality, "the natural, merely social companionship of the human species" (HC, 24) is a natural association of members of the same species forced by the necessities of life and the activity of world-making. We live in social communities in order to survive more effectively, more

adequately.

Plurality on the other hand is characterized by an equality and distinctness that members of the human species share. It is because of the unique distinctness of each human being that speech and action are required to “reveal” one’s distinctness to others. And, because people are equal with one another, action and speech are also necessary to understand one another, to “see and hear” who others are.

This condition of plurality is unique to the human species precisely in the human capacity for speech and action. It is unique to the human species not because no other species has variations and distinctions between and among its members, but because only in the human species can members distinguish themselves.⁶⁴ The operative word here is “themselves.” Indeed, other species express and communicate among themselves their needs, affections or hostilities. But for that “signs and sounds to communicate immediate identical needs would be enough” (HC, 176). This is not the same as “communicating” **themselves**. For that “action and speech” are needed. “Through them (action and speech), men distinguish themselves instead of being merely distinct . . .” (HC, 176). The condition of human plurality then is not the simple fact of difference among human beings,

⁶⁴Arendt is not so exact in her use of terms to explain this aspect of plurality. She uses interchangeably, at least in *The Human Condition*, “communicate,” “express,” “reveal.” It seems to me that each of these carry a slightly different connotation that affects the meaning we might give to her concepts of “action and speech.” I want to be as careful as I can here so that we do not slip into our own preconceptions of action and speech. My use of the term “to distinguish oneself” is meant to keep the focus on what we do in action and speech rather than how we do it.

but the fact that each human being can herself distinguish herself from all others.⁶⁵ It is in this “distinguishing herself” that each is unique and yet equal to one another.

Action and speech create the sort of space between actors and speakers wherein the participants appear to one another explicitly and without any mediation other than by word and deed, “where men exist not merely like other living or inanimate things but make their appearance explicitly” (HC, 199), where they distinguish themselves. This political public space, created solely by human actions and words, is where we make our own appearances as human beings. By appearing to others with nothing more than our own words and deeds, we appear not as use objects but as human beings.

This second sort of public space, what Arendt calls a “web of human relationships,” wherein we distinguish ourselves through action and speech is, as it were, a transparency laid over the objective world of things. It’s not another world alongside or within the world of objects and things, though it is another kind of public space.

Overlaying the public space in which objects and things are intermediary between its human occupants is a web constructed by deeds done and words spoken between humans. These deeds and words, though quite real, inasmuch as by them human beings are directly

⁶⁵Arendt’s conception of “freedom” is founded on this aspect of action as beginning something entirely new. But freedom is not the same as self sufficiency and self mastery. Indeed, the conception of the autonomous rugged individualist, isolated from and independent of others is, according to Arendt “contradictory to the very condition of plurality” (Arendt, *The Human Condition*, 233-235). The process character of action means that actions have no end, and thus their consequences are not only unpredictable but irreversible. To replace freedom with sovereignty is to control the consequences of action. And this requires the domination not only of one’s self but of all others.

gathered together, related and separated, nevertheless have no “tangibility” of their own.⁶⁶

According to Arendt, “action and speech” as that human activity by which we distinguish ourselves, and through which we make our appearance in the web of human relationships has two major features; first, it gives rise to the beginning and disclosure of the public identity of an individual; and second, it constitutes the very space of any public appearance, and particularly democratic political space for the appearances of one another as free and equal citizens. Human action and speech under the condition of plurality, as understood by Arendt, both begin the reality or appearance of who we are and constitute the space for a web of human relationships⁶⁷ in which we relate with and separate from one another as free and equal human beings.

Given the sort of web that is generated by the processes of human action and speech, the world of human affairs that Arendt envisions provides a conception of politics wherein citizens voice their own public identities in such a way as to retain their differences while mutually appearing within a common public space.

To finish our reconstruction of her conception of political space, we need to examine how action and speech give rise to the beginning and maintenance of political space itself. We will then consider how Arendt addresses the problem of instability of action and speech as a basis for political space, and her “remedies” for these instabilities.

⁶⁶ “. . . action and speech, and the least tangible and the most ephemeral of man made ‘products,’ the deeds and stories which are their outcome . . .” (Arendt, The Human Condition, 198).

⁶⁷ Actually by means of action and speech we establish both the space for the appearance of objects in the world, as well as the space for the appearance of human beings to one another.

To grasp what Arendt means by “action and speech” and the sort of public space they engender we need to think of human deeds and words not as tools or instruments of communication or power, but as, if you will, “human appearings.” For Arendt, the notion of “action and speech” is human activity not conceived as a set of spoken words or performed acts, but as a way of being with other human beings - the way, the only way, we distinguish ourselves among others.

If by the term “act” we mean simply “anything done, being done or to be done; a performance or deed performed,” then we conceive of it as a human accomplishment, a product, a projection of one’s plans, goals, or purposes. Conceived in this way the meaning of human activity is bound up in its utility. That is, human activity is measured in value by its success or failure to bring about its intended purpose.

But, according to Arendt, not all human activities can be valued instrumentally. If we enlarge our conception of human activity to include not merely “doing something” for a purpose or to accomplish some intended consequence, but also “being someone,” we get to what Arendt calls the “men-ing of men,” “humans being human.” It is this sort of human activity that Arendt wants to capture with her notion of “action and speech.”

While Arendt recognizes various meanings of “to act,” including “to begin,” “to lead,” “to rule” and “to pass through,” “to achieve,” and “to finish,” the meaning on which she wants to focus is that of initiative or beginning (the etymological root of all the other meanings). To act in this sense is not simply “to do” as in achievement, but to “initiate, to begin, to set something in motion,” and includes the idea of suffering or going

through an activity, (what we often refer to as “being done to”).⁶⁸

Words and deeds are not merely what we accomplish when we set out to do something, nor merely tools to accomplish certain things, but more significantly they are how we make our appearance to one another, how we are who we are. Human action, for Arendt, as distinct from work activity, is not simply human activity that is instrumental, having a purpose or being a means to an end, but also human activity that is “being human.” Speech is not merely words spoken in order to “express our thoughts,” but is also the way we are human.

We “act and speak” to one another not merely for the sake of surviving, nor to simply communicate our needs, demands, affections, etc., as is the case when we use language or conduct activity within the social realm.⁶⁹ Rather, we act and speak to also make ourselves seen and heard, to appear to one another, to “distinguish” ourselves, to exist in the public world of appearances. “By word and deed we insert ourselves into the human world . . . in which we confirm and take upon ourselves the naked fact of our original physical appearance” (HC, 176).

We are born into the solid world of objects and things on a certain date, in a specific city, at a given address, in a particular building, with the help of a midwife through very private acts of laboring. At this birth, we are of course seen and heard. Our body shape and sound of voice appear in innumerable perspectives. We begin to exist in the

⁶⁸See Arendt, The Human Condition, 189-190.

⁶⁹“Signs and sounds to communicate immediate, identical needs and wants would be enough” (Ibid., 176). This is all that is needed in “species sociality”, but hardly enough for individuality and autonomy.

human-made public world of objects and things. But, this appearance, “the naked fact of our original physical appearance,” is forced upon us; it is not **our** doing. Being born, we did not act, but were the offspring of others’ actions.

For Arendt, to originally act, to act freely, is to begin being who we are. By our own action we begin ourselves. We begin to live now not merely in the public world of objects and things, but in the human world of other actors. Action, as Arendt describes it, is the actualization of the human condition of natality in which we begin to distinguish ourselves. By speech we identify ourselves as the actor of our deeds, disclosing who we are in our deeds. Speech is the process of actualizing the human condition of plurality in which we “live as distinct and unique beings among equals” (HC, 178).

To act and speak in this sense is to become a “who we are.” Whenever and wherever there is action and speech there is a someone who acts and speaks. Conversely, who someone is, is disclosed only in her words and deeds. Words and deeds, in their full meaning, cannot be conceptualized without someone who performs them.

Who we are is not someone we produce by our actions nor speak about with our words, as if we somehow are already who we are, and then go about expressing our whoness. No one knows simply of himself who he is. Rather who we are can only be revealed or disclosed through our own action and speech. It’s not like we act out from a script who we are, or speak about who we are, as if we have some pre-conceptualized awareness of who we are. In fact, to “disclose” ourselves in this way is not to distinguish ourselves at all. If I act out who I am and speak about who I am, what I am doing is **representing myself** in the image that I put forth. This, according to Arendt’s appraisal, is an

“unauthentic who,” a “made over who,” a product of *homo faber*.

It is rather that we begin to act and speak, and in so doing we disclose ourselves, even to ourselves. Indeed, oftentimes, we are the last to know who we are. Only through our speaking and acting together with other actors and speakers do we begin to discover who we are, and even then we may never really know.

There is no definition of ourselves, no description of who we are prior to our acting out and speaking. And because there is no “completed” definition and description, as long as we have the capacity to act and speak, we continue to distinguish ourselves. The capacity to speak and act means always potentially beginning again and disclosing anew who we are.

According to Arendt, action requires speech for it to be genuine action. Without speech action would not only fail to reveal who acts, but would also cease to be action in any sense of initiating a who. Action without speech would be nothing other than an achievement, an act without an actor. It would be nothing more than the accomplishment of some end or goal. Action without speech would be merely a means to an end. It would have only instrumental value. When, and only when action is accompanied by speech, by a revelation of the actor, does it have any meaning, any intrinsic value. It may have utility but it cannot have meaning without speech.

What Arendt means by “action accompanied with speech” is action that begins to distinguish who we are. And such action does not consist of purposeful acts done in order to accomplish something else, but done for its own sake, for the sake of the actor appearing, for the sake of the reality of the actor.

Speech as it accompanies human action can take on a role and value that, according to Arendt, is found in no other use of language. Speech as the disclosure of who we are in what we do, have done and intend to do, is distinguishing speech. By it and along with action, we begin being who we are, distinguishing ourselves. To be sure speech is often used to communicate something to someone, and its usefulness is without question. But such useful “talk,” for example, conveying an idea, expressing a want, causing a reaction, plays a subordinate role to distinguishing ourselves.

Earlier I said that we do not act and speak to one another solely for the sake of surviving, nor simply to communicate our needs, demands, and affections, but to make ourselves seen and heard, to appear to one another, to “distinguish” ourselves. That statement needs clarification.

Action and speech go on between men, as they are directed toward them, and they retain their agent-revealing capacity even if their content is exclusively “objective,” concerned with the matters of the world of things in which men move, which physically lies between them and out of which arise their specific, objective, worldly interests. These interests constitute, in the world's most literal significance, something which *inter-est*, which lies between people and therefore can relate and bind them together. Most action and speech is concerned with this in between, which varies with each group of people, so that most words and deeds are *about* some worldly objective reality in addition to being a disclosure of acting and speaking agent. (Arendt, The Human Condition, 182)

Indeed we do act and speak to one another for the purpose of communicating needs or to seek public agreement regarding our common self-interests. The specific content of speech and purpose of our action, “what” we do and say is often about matters that concern either our own self-interests or the world that lies between us. But what is revealed in “action and speech” is not this content, but a human reality. That is to say, implicit in

every action and speech, whatever its content, is the disclosure of a who in speech and the beginning of distinguishing oneself in action. Arendt is not suggesting that “action and speech” is a separate kind of human activity individually distinct from other purposeful human acts and communicative words. Rather, “action and speech” is the aspect of these words and deeds in their creative, inventive, spontaneous revelatory significance, whereby we begin to distinguish who we are. This fuller meaning of human action and speech is, as it were, “overlaid” upon their more “worldly” value and use.⁷⁰

Action and speech, word and deed, by which we freely insert ourselves into the world of human affairs, are inseparable. They are coeval, of the same rank, and coequal, of the same kind.⁷¹ Either one without the other cripples our ability to begin our public selves and to reveal who we are, to distinguish ourselves and to appear to others not as mere laborers or workers, or achievers in the public world, but as free actors in the web of human affairs.

The beginning and disclosure of oneself through action and speech are done only in an already existing web of human relationships.⁷² When one inserts oneself into the web of

⁷⁰The inability to grasp this dimension of human action and speech, Arendt attributes to the basic error of modern materialism in politics, “... to overlook the inevitability with which men disclose themselves as subjects, as distinct and unique persons, even when they wholly concentrate upon reaching an altogether worldly, material object. To dispense with this disclosure, if indeed it could ever be done, would mean to transform men into something they are not; to deny, on the other hand, that this disclosure is real and has consequences of its own is simply unrealistic” (Arendt, The Human Condition, 183).

⁷¹See Arendt, The Human Condition, 25-27.

⁷²“The disclosure of the ‘who’ through speech, and the setting of a new beginning through action, always fall into an already existing web where their immediate consequences can be felt” (Ibid., 184).

human affairs, one begins the process of her own public appearance. As I mentioned earlier, the insertion of oneself into the world of human affairs is never a predefined or self-asserting act, though it is a distinguishing act. Everyone starts her public life through her own action and speech, but no one invents or makes her own biography. A real life story has no author, only an agent, who starts it off, a subject who suffers its consequences, and a “hero” who stands out for her distinction (HC, 184-185).

As we saw earlier, for Arendt, the disclosure of who we are by our own words and deeds is impossible without an already existing web of human relationships. Action and speech can never be done in isolation.⁷³ At the same time words and deeds themselves create the public spaces for human relationships where what goes on between human beings is the direct appearance of one another.

If you will, action and speech have two functions for Arendt: they are revelatory (the way an individual human being enters the public arena and distinguishes herself) and they are creative. The simultaneous action and speech of a plurality of people acting and speaking together create the very space in which individuals appear to and with one another. This second major feature of deeds and words, how they give rise to the existence of political space, is the one we are most concerned with, in our representation of Arendt.

⁷³See Arendt, The Human Condition, 180 and 188. This is not true for the activities of “labor” and “work.”

D. ARENDTIAN POLITICAL SPACE AND HOW IT IS CONSTRUCTED.

A primary condition for any public human relatedness is the existence of a space for public appearance. Such a space of appearance comes into being, according to Arendt, as a consequence of a multitude of simultaneous actions and speeches. This public space of appearance is itself the “work of action and speech.” That is to say that words and deeds together in the web of human relationships provide the public space for action and speech as disclosure. It is this space of appearances where intersubjective speech and inter-action occur that Arendt means to signify as the political realm.⁷⁴

Arendtian political space is, thus, brought into existence when and where people act together in word and deed. Political space “properly speaking is not the city-state in its physical location, it is the organization of the people as it (the city-state) arises out of acting and speaking together, and its true space lies between people living together for this purpose, no matter where they happen to be” (HC, 198). Arendtian political space is “the space of appearance in the widest sense of the word, namely the space where I appear to others as others appear to me, where men exist not merely like other living or inanimate things, but make their appearance explicitly” (HC, 199).

It should be clear by now that the political space with which Arendt is concerned is not an institutional or organizational structure of public space within which human action and speech occur, but rather the human interaction that precedes (is an actual, concrete

⁷⁴“ . . . the political realm rises directly out of acting together, the ‘sharing of words and deeds.’ Thus action not only has the most intimate relationships to the public part of the world common to us all, but is the one activity which constitutes it” (Arendt, The Human Condition, 198, emphasis added).

condition for) the establishment of such institutions or governments, and even makes the establishment of any government (rule) possible. Arendtian political space “predates and precedes all formal constitutions of the public realm and the various forms of government, that is, the forms in which the public realm can be organized” (HC, 199). “It is as though the wall of the *polis* and the boundaries of the law were drawn around an already existing public space which, however, without such stabilizing protection could not endure, could not survive the moment of action and speech itself” (HC, 198- emphasis added).

In fact Arendtian political space cannot properly be characterized as either a democracy, an aristocracy, or an oligarchy, if we mean by these institutional structures that organize human relationships. The political space Arendt is talking about underlies such institutional arrangements, and is found in the meaning of human togetherness as a material, as well as conceptual, precondition for any formal arrangement or agreement.

Arendtian political space is not established by political structures or institutions or even a conceptual consensus. Rather, such institutions and consensuses are established on the basis of political space, by what Arendt calls, “human togetherness,” people “being with others.” This notion of togetherness as “being with others” is contrasted, by Arendt, with both “being for others” and “being against others.” To be with others is to act and speak with them, to do and talk collectively, commonly, not separately one to another, and then another, and another. To talk with another is not to talk to another nor to be talked to, but rather, to stand alongside another and speak jointly. To talk with another is more like a duet than a dialogue.

“Being with others” is not being for others. To act and speak for another, is to act

and speak in their place, to represent them, to do for them what they are not doing or cannot do themselves. To speak for another is to be their voice, to advocate for them, to represent them where they cannot or do not present themselves. Likewise “being with others” is not being against others. To act against another is to resist the action of another, to block the activity of another, to stop another’s action. To speak against another is to censure another, to disapprove of another, to discredit and dishonor another.

Both of these relationships - being for others and being against others - are destructive of human togetherness, according to Arendt. Both demand a diminution of the other as distinct and as an equal. Both are destructive of human plurality - remember, “plurality has a twofold character of equality and distinction” (HC, 175). Being for others, accepts the non-presence of others, the nonappearance of others; by “being for others” we act and speak not along the side of, but in the place of others. Being against others, affects the non-presence of others, it prevents, or attempts to prevent, the appearances of others⁷⁵. In both cases, plurality, the condition of both action and speech, the basic condition of all political space, is ruined.⁷⁶

This space of human appearances, where people are together with others, this

⁷⁵See Arendt, The Human Condition, 180. Arendt refers to both the saint, as one who I “for” others, and the criminal, as one who is “against” others, as lonely figures, politically marginal figures who “remain outside the pale of human intercourse.” They are both observers only, unable to participate in political action and dialogue.

⁷⁶Arendt also identifies a “perverted form” of “acting together” which she associates with the “pull and pressure and tricks of cliques” (Arendt, The Human Condition, 203). Cliques are perverted forms of acting together because they accept only a limited or restricted plurality, if that is possible. Clique members are only “with” other members and are “against” all others outside their clique.

primary political sphere, though highly fragile, since it is established by a multitude of human interactions and dialogues, is actualized and continues in existence only while human words and deeds are ongoing.⁷⁷ Thus, it does not always exist where people are gathered, nor is its existence, once begun, guaranteed.

The power needed to bring Arendtian political space into existence and sustain it is the power of a multitude of simultaneous human appearances mediated between one another directly by words and deeds.⁷⁸ Power, in this context, is the actualized capacity for shared action and speech, when people, a plurality of actors and speakers, are acting and speaking together. Power is actualized “where word and deed have not parted company”; where words continue to disclose the human realities of their speakers, and deeds continue to create new human relations and realities, “where words are not empty and deeds are not brutal, where words are not used to veil intentions, and deeds are not used to violate and destroy . . .” (HC, 200). The sort of public, political space created by action and speech is where human beings are self-disclosing and new relationships are being created, and where the relationships created are between self-distinguishing equal human beings.

Arendtian political space is not a world of human relatedness that is defined by intermediary “man made” objects (whether these man made objects are physical,

⁷⁷“Its (the space of appearance) peculiarity is that, unlike the spaces which are the work of our hands, it does not survive the actuality of the movement which brought it into being but disappears not only with the dispersal of men... but with the disappearance or arrest of the activities themselves” (Arendt, The Human Condition, 199).

⁷⁸“What keeps people together after the fleeting moment of action has passed (what we call ‘organization’) and what, at the same time, they keep alive through remaining together is power” (Ibid., 201).

institutional or even consensual agreements). The category of means-end instrumentality does not and cannot apply here. Institutional political spaces are spaces where human relationships are defined or determined in ways that are independent of the particular human actors, though perhaps not completely independent of their founders or organizers. It is as if the spaces of institutional realities are empty of distinguishable human actors but rather contain objects, ideas, laws, contracts, or procedures which forge humans into predetermined relationships.

Only words and deeds which carry their own meanings, meanings found only in their performances, are enacted within genuinely free political spaces. But this very fact is what makes such spaces so fragile and unstable.

E. THE INSTABILITY OF ARENDTIAN POLITICAL SPACE.

The beginning and disclosing of oneself in the public space of human appearances is risky. We don't know how the story of our lives will end. We don't know, not because we are unable to have a god's eye view, but because our stories (our lives) are neither written nor authored. They are only real, and thus can only be "read," as we live them. It is not until our death that the story of who we are can ever be told. And, it is only when once there is no more possibility of action and speech that our "who" can be completely seen and heard. But even then, never by us.

Beginning and distinguishing oneself in public is also extremely risky because of the nature of the web of human relationships. "Since action acts upon beings who are capable of their own actions, reaction, apart from being a response, is always a new action

that strikes out on its own and affects others. Thus action and reaction among men never move in a closed circle and can never be reliably confined to two partners” (HC, 190).

Because action and speech are always in an already existing web of relationships, they are boundless, unpredictable and irreversible.

They are boundless in the sense that their consequences ripple throughout the web, and are interdependent upon the action and speech of others as well.⁷⁹ They are unpredictable in the sense that who we disclose in the web is never known ahead of our deeds and words, which oftentimes are reactions to the words and deeds of others.

Human words and deeds are also irreversible. They have a beginning, but no end. They are inserted into the web of human affairs, but once begun, they “take on their own life.” They continue in such a way that they can never be recalled, never “controlled.” To be sure their consequences depend upon the many acts within the web. But unlike objects made by human hands, these words and deeds can neither be destroyed, nor called back, nor even revised. Their consequences, whether intended or unintended, manifest such a perseverance that it appears as if they are out of the control of the actors themselves.

For this reason, the profound instability of genuine political space, the insertion of oneself into the public realm of human affairs by word and deed, requires great courage. Courage is demanded not only because we do not know who we are when we begin to act, but also because we begin who we are without any controlling, self-determining

⁷⁹“ . . . The smallest act in the most limited circumstances bears the seed of the same boundlessness, because one deed, and sometimes one word, suffices to change every constellation” (Ibid., 190).

influence on who we will become within the already existing web of human relationships,⁸⁰ since the web of human relationships consists of a multitude of humans who also initiate and disclose their selves.

Because action and speech are human processes that endure as long as human beings are together, their consequences cannot be foretold, at least not in any complete way. For that reason, human words and deeds are decidedly marked with an uncertainty that should make anyone “fearful” of founding a polity on such a basis. How can we be sure that anything we do now will survive or “work out” the way we intend? If the human action-process of “founding” even political spaces is ever ongoing, whatever it is that we are founding will never be completed. And because it is never completed, it may turn out to be something wholly other than when it began.

With action and speech as the basis for public affairs, political space is plagued with unpredictability (the consequences of human words and deeds cannot be known beforehand), irreversibility (they cannot be undone), and anonymity (the identities of actors and speakers are always in process, never stable; as long as the actor is alive and acting, we don't know who she is). These are predicaments because, though they are the source of freedom of action (human greatness and creativity) and the creation of political space, they also indicate the profound instability of public life - an instability that has traditionally been addressed by substituting making for action and by the application of

⁸⁰“ . . . men never have been and never will be able to undo or even to control reliably any of the processes they start through action” (Ibid., 232-33).

moral standards which lie outside the realm of action and speech.⁸¹

Because of this fragility of action and speech, the traditional way of conceptualizing political space, Arendt calls it the “Greek solution,” is to “substitute making for acting” - to replace the frailty of action with the activity of legislating and executing, with the activity of world making. But such a remedy, according to Arendt, destroys the possibility of free and equal human relationships based on action and speech. Rather than address the predicaments of action and speech, this “Greek” solution turns them into activities that only *homo faber* can do, means-end acts of utility.

The anonymity, unpredictability and irreversibility of human action can indeed be eliminated, if we conceive of all valuable human activity as merely purposeful, intentional, or productive. Then, the meaning and value of human activity lies not in its performances but in the successful accomplishments of its actors and authors. But, this solution eliminates the predicaments of action and speech by eliminating action and speech, by

⁸¹Here Arendt also identifies a dilemma within her political theory. By exercising our capacity for human freedom, we become enmeshed in enduring consequences within the web of human relationships which we do not fully intend and are unable to predict and control. The freedom exercised in action and speech throws us, hook, line, and sinker, into the web of human affairs, from which we cannot extract ourselves. Because we can't recall what we do and say there, what we do and say have enduring consequences which we cannot control. We are as much victims suffering the consequences of our own actions as we are actors beginning and distinguishing ourselves. Thus, there appears a standoff between our freedom and our self-sovereignty, between the freedom to distinguish who we are, and the self-mastery to control who we become. But the dilemma only appears because we link our freedom with our sovereignty. According to Arendt, there is no discrepancy between, and nothing inconsistent about, being free to begin something new and being unable to control and predict the consequences of what we've begun, particularly when what we've begun is who we are. Freedom does not exclude reliance, dependency or trust (all of which indicate a non-sovereignty), nor does reliance exclude freedom.

substituting making for acting.

So too the traditional conception of the moral foundation of political relationships has turned on the same mistake of linking the meaning and value of human action and speech to utility and the activity of *homo faber*. If the normative values of political acts are imported from outside the performances of the acts themselves, that is, if their normative values are determined by their consequences, or intentionality, or motives, then political action is nothing more than instrumental activity. In such a case further political action is no longer possible, since its meaning as distinguishing action (as both a “new beginning and actualization of which each man is capable” (HC, 204) and a “disclosure” of self in appearing to others) is destroyed. It is then no longer a meaningful act, but merely a purposeful act (meaning and purpose are not identical). If the morality of action is construed on the basis of either “will” (motive) or “good” (consequence), then the normative basis of political action is not in its performance, but lies outside political activity and must be imported in a way that will be controversial, if not seemingly arbitrary.

But more important, for our purposes, the difficulty with the traditional moral foundation of political stability is its desolation of human plurality.

Remember that Arendtian political action is not in any way instrumental activity, having a purpose or being a means to an end.⁸² And Arendtian speech is not understood as

⁸²Not all activities are pursued for the sake of an end, as Aristotle also recognized. Arendt here, appeals to Aristotle’s notion of “*energeia*” to identify those actions “whose full meaning are in the performance itself,” e.g., “seeing, and flute playing.” See Arendt, The Human Condition, 206, her footnote number 35.

what is spoken in such a way as to “express an already thought.” Thus, everything that is necessary (the activity of labor) and useful (the activity of work) is excluded from being counted as specifically political action and speech. The public space of human action, what I am calling Arendtian political space, (1) lies directly between humans and is unmediated by anything other than their words and deeds, and (2) is “entirely dependent upon the constant presence of others” to one another by their mutual words and deeds (HC, 23). Thus, the worth and meaning of Arendtian human action and speech are “contained” within their own performance.

Further, political deeds are not replicative nor duplicable acts, but unique and singular acts of a human being’s distinguishing herself. Human action is free precisely because it is always and ever a new beginning. And because we act and speak in a community of equals, each with a similar capacity to act, action and speech are thoroughly conditioned and actualized by human plurality. Thus, to “import” normative criteria of action and speech from outside of their own unique revelatory performative meaning, to import the criteria of political action from their consequences or their motivations⁸³, is to ignore their meaning, and to preclude the plurality of human action in public space. Morality used in this way to condition political action results in the “degradation of action and speech,” leaving us with nothing more than instrumental and behavioral acts (consistent and conventional acts) of *homo faber*.

⁸³“Motives and aims, no matter how pure or grandiose, are never unique; like psychological qualities they are typical, characteristic of different types of persons. Greatness, therefore, or the specific meaning of each deed, can lie only in the performance itself and neither in its motivation nor in its achievement” (Ibid., 206).

Some of the more persuasive arguments against plural democracy have to do with the instability and vacillation of human deeds and words by which we relate to and with one another. The demands for stability and for some degree of permanence has traditionally called for a mitigation of egalitarian democracy. In order to provide the needed stability, moral standards based upon the “shared intuitions” of a majority in a political society, along with some institutional constraints on modifying those standards, have been the predominate approach of political theorists. Theories differ on the precision of these standards and the structures of the institutions, but most contemporary political theories accept the needs for a “constrained” democracy.

However, for the most part, this traditional moral foundation of politics has left us with a repudiation of words and deeds as constitutive of political space, and has substituted instead the “concept of rule.” The sovereignty of moral law has replaced freedom of the actors and their actions. This in turn, as Arendt argues, has resulted in the obscuring or masking of human plurality. That cost is too great. The fear of the irreversibility of actions’ processes and of the unpredictability of new realities and relationships, which are the very assets of action and speech, has led us historically to replace human plurality and freedom of action with a monarchical rule of instrumental morality. According to Arendt, if we are to “save” genuine political spaces necessary for democratic recognition of human plurality then we need to find normative standards within politics itself.

The substitution of “rule” for freedom of action results in a violation of human plurality. And, since plurality is the “sine qua non condition” of the public realm, this

encroachment of human plurality by traditional morality results in the destruction of the public political realm, as Arendt envisions it.

While the consequence of this substitution of making for acting and “norming” for freedom, is the instrumentalization of politics, the degradation of political activity into the means-end category, Arendt proposes alternative solutions to the predicaments of action and speech as a basis for political space without repudiating action and speech. We now turn to those remedies.

F. ARENDT’S REMEDIES FOR POLITICAL INSTABILITY.

Arendtian action and speech are human activities that require an actual togetherness and simultaneous appearances of others. Political space is thus brought into existence when and where people act together in word and deed. This Arendtian political space has a double function: to multiply the opportunities for everyone to distinguish themselves, and to provide a common space in which freedom of action and speech can be performed.

The problems of stability for Arendtian political space are the predicaments in which we find ourselves when we act and speak. For Arendt, the solutions to the problems of stability are found in the second function of human action and speech, not in their revelatory aspect but in their constructive significance. To bring this out more clearly we need to revisit Arendt’s notion of power and examine how acting and speaking together gives rise to political space itself.

What keeps people together after the fleeting moment of action has passed (what today we call “organization”) and what, at the same time, they keep alive through

remaining together is power (HC, 201, emphasis added). Power preserves the public realm and the space of appearance . . . And without power, the space of appearance brought forth through action and speech in public will fade away as rapidly as the living deed and the living word. (Arendt, The Human Condition, 204)

According to Arendt, political power by which people gather and organize themselves is the human capacity to act and speak together. But how can action and speech, which occur within a spaces of human appearances, be the power that sustains the very space in which it occurs? The answer lies in the meaning and value of acting and speaking together. Action and speech are how one distinguishes herself - how one begins the revelation of her public identity. Remember that the meaning and value of action and speech are located within their performances not in their purposes or intentions. “(T)he innermost meaning of the acted deed and the spoken word is independent of victory and defeat and must remain untouched by any eventual outcome, by their consequences for better or worse” (HC, 205). Words and deeds in the already existing web of human relationships do not so much “bring about” one’s public identity, in the way one might build a house according to a predetermined plan, but rather they are the actual appearances of oneself. Words and actions in an already existing web of human relationships **are** one’s identity, not the elements from which one constructs one’s identity. Human identity is never completed as long as speech and deeds are still possible.

So too, when words and deeds are spoken and acted together with a multitude of speakers and actors, the simultaneous appearances of one another do not effect or cause the space of public appearance but rather is the space of appearance. This capacity to act and speak together is the beginning of the space of appearance, rather than something

publicly performed or done to accomplish the goal of constructing a space of appearance. And, as long as acting and speaking together continue, the space of appearance continues in existence.

Thus, the real threat to the stability of Arendtian political space, is not the instability of action and speech, but cessation of speech and action, its completion, its ending. The real threat to the stability of human relationships is when words and deeds are finished and we have nothing more to say or do with one another. In a sense, the greatest threat to Arendtian stability is final agreement or consensus. What is required for stability of Arendtian political space are not answers to questions, nor the institutionalizing of preferred or idealized relationships, nor a completed agreement or contract on how we ought to live. Rather what is needed to stabilize Arendtian political space is to keep the conversation going, since it is in the conversation not in its consequences nor in its motivation, and not in public institutions nor in public consensuses, that human relationships of equality and isonomy are assured. For Arendtian stability we want to make sure that we keep talking and acting with one another.

What is it that threatens the continuation of doing and speaking together? What is it that brings conversation and acting together to an end? Is it the fact that our words and deeds are unpredictable, that they are irreversible, and that they are unauthored? Since they are unpredictable, how can I trust what you say and do, or be trusted in what I say and do? Since they are irreversible, what I or anyone else says and does, even unwittingly, can never be undone, nor can their damaging consequences be reversed. And since they are unauthored, who is it that is responsible for what is said and done?

The difficulty that Arendt recognizes in founding a political space on human words and deeds is to identify “standards” that will enable us to continue speaking and acting in spite of the destructive possibilities of the very space needed for creative human words and deeds.

Though the unpredictability, irreversibility and anonymity of human action “frighten” us, we must not forget that they are the very assets and distinguishing marks of free human action and speech. Human action is free precisely because it is always and ever potentially a new beginning. And because we act and speak in a community of equals with a similar capacity to act, action and speech, are conditioned and actualized by human plurality. But the price for such freedom and plurality is a profound instability. The question is then how do we respond to these sources of instability that are also, at the same time, the sources of freedom without destroying speech and continued action?

1. Unpredictability and the Remedy of Promise.

By human action we are always beginning ourselves anew. This makes us unreliable in our appearances of who we are and in our relationships. We are not able to guarantee who we will be tomorrow. And, because our actions are always done within a web of other actors, who interact with us, we are unable to predict how we will act in that web. Having no guarantee what the world of human affairs will be like tomorrow, we are unable to rely on a not yet future.

This double unpredictability of our own actions and our own interactions, as we saw, makes for an unstable world of human affairs. But according to Arendt, the

“predicament” of such an unstable web of relationships in which action and speech take place is redeemable while still retaining action and speech as the basis of human relationships - redeemable by what she calls, the human ability to make and keep promises. “Without being bound to the fulfillment of promises, we would never be able to keep our identities; we would be condemned to wander helplessly and without direction” (HC, 237). The capability of making and keeping promises by words and deeds, leaves intact the powerful unpredictability of human action while inserting “isolated islands” of stability and certainty in the web of human affairs. Promises are our attempts to provide a predictability to who we are and with whom we are related, but without giving up our own power to act and speak with freedom. Promises, as a basis for giving stability to our words and deeds, are still human words and deeds. They are not guarantees, if we mean by that absolute certainty. There is no certainty in the world of human affairs. Promises are not contracts; they carry no “rule of law.” For promises to provide any stability they need to be continually renewed, continually spoken and acted out again and again.

If we want a guaranteed certainty in human political relationships, we would need to organize political spaces by something other than human words and deeds. The alternative to promise as a remedy to the unpredictability of human action is to reject human action as a basis for political relationship and to replace such freedom of action and speech with the “sovereignty” of dominating and controlling institutions, the domination of human words and deeds by “rule.”

This capability of making and keeping promises preserves the condition of human plurality - of acting and speaking with others. Promises are not words spoken to oneself or

deeds done in isolation. When made to oneself promises make no sense and have no connection to the real world of human affairs. Only promises which involve words and deeds between human beings can hold us accountable for what we do and say, and what we will do and say. Thus with promises, made only within the web of human relationships, the plurality of action with all of its unpredictability, is given some relief, some “island of assurance” within a sea of uncertainty.

2. Irreversibility and Its Remedy: The Power to Forgive.

The second source of instability within political space created by human speech and action is the irreversibility of any process begun by action. To protect ourselves from the irreversible consequences of our own actions, particularly when their consequences are possibly destructive and violent, we might again be tempted to design political space to include only the activity of making and ruling rather than the freedom of human action and speech. Just institutions can well protect us from the damaging effects of free human action. But again the cost of such protections and limitations is the denial of plurality and freedom. According to Arendt there is another way out. The human ability to forgive redeems us from the irreversibility of our actions.

To forgive is to act unconditionally, without regard for the consequences of actions and to act in a way that is not conditioned by the original act. To forgive is to release the actor from the consequences of her original action. To forgive is, thus, to free the original actor from her already and still irreversible acts. Human action cannot be undone. But its consequences can be altered, even stopped by the power of another human

action. Forgiveness as a human act, does not undo the original act, but puts an end to its reverberating consequences. To forgive is to interfere with its consequences.

Without forgiveness we would be forever tied to the consequences of our own action, which may, indeed often do, continue indefinitely. The act of forgiveness is itself a new beginning. By releasing the actor from the consequences of her actions, she is free to start anew. To forgive, say, an act of injustice is to act in a way that denies the consequence of the act of injustice. It is to stop the consequence, to prevent the injustice from continuing.

An alternative to forgiveness of action is to re-act. To act in reaction to the consequences of an action is to perpetuate the irreversible damage of action. Such reaction is what we mean by vengeance, punishment, restitution. To react to an original act, is to keep the consequence of that original act - with all its violence and human suffering - within the web of human affairs. And, to hold the original actor to the consequences of her act by requiring restitution or compensation is to require a restoration of the original action, of what is now no longer.

Like promising, forgiving also preserves the conditions of human plurality and human freedom. Forgiveness is not something that one can do for oneself. It requires a plurality. Only others can forgive an actor for what she has said and done. Only others can stop the consequences of an actor's actions. And, only the forgiveness of others can free the actor from her own past actions which have consequences that unwittingly tie its doer to the evil it generates. As with promise, forgiveness is also dependent upon the fact of plurality. Forgiveness of someone can only be given by another.

Forgiveness is not toleration. We tolerate something that we take to be unalterable. By tolerating it, we make a commitment to live with it and accept its consequences. On the other hand when we forgive someone for what she has done, we cut the link between the actor and the consequence of her act. By forgiving we free the actor from the consequence of her act. It's not that the act no longer has a consequence, but we disconnect that consequence from the actor, thereby, freeing her to once again act freely.

3. Anonymity and the Remedy of Trust.

Finally, if we are to make human action and speech the basis of the political realm, we must address the predicament caused by the condition of anonymity. The traditional moral problem related to "unauthored" action and speech, is one of designating who is responsible for words and deeds. How is it that one can be made responsible for what one does, particularly given that what one does is unpredictable and irreversible? Again the traditional solution is to deny the meaning and value of human action (as constitutive of human identity) and to import a morality from outside the domain of free human action. Thus, the category of means-end allows us to trace the consequences of actions to their actors by understanding all human action as proceeding from a source and toward an end or purpose. All actions are done to accomplish something. The success or failure of accomplishing what one sets out to do is what is meant by responsibility of the actor. With anonymity, the trace cannot be maintained, since who we are today may not be who we are tomorrow.

For Arendt, the remedy for this source of instability is not to construct frozen or

solidified identities but rather the human capacity to trust.⁸⁴ To trust is to have confidence not in the identity of who people are but in an ability to rely upon the actions and words of others; to have confidence that words and deeds have not lost their power, “that men in so far as they live and move and act in this world, can experience meaningfulness only because they can talk with and make sense to each other and to themselves” (HC, 4).

The remedy for anonymity is the ability to trust that words and deeds have not “parted company,” that “words are not empty and deeds not brutal; to trust that words are not used to veil intentions but to disclose realities, and deeds are not used to violate and destroy but to establish relations and create new realities” (HC, 200); to trust that speech has not degraded to “a means of persuasion, rather than the specifically human way of answering, talking back and measuring up to whatever happened or was done” (HC, 26), and that action has not degraded into forcing people into achieving certain accomplishments, rather than performing specifically human deeds of “beginning new and spontaneous processes which without men would never come into existence” (HC, 231).

Again, as with promise and forgiveness, trust preserves the condition of plurality. It can only be exercised within a plurality of human relationships. Trust is not something given to ourselves, despite the often heard advice to “trust yourself.” To trust is to be in a relationship with others, a relationship characterized by respect for distinctness among

⁸⁴“(W)ithout trusting in action and speech as a mode of being together, neither the reality of one’s self, of one’s own identity, nor the reality of the surrounding world can be established beyond doubt. The human sense of reality demands that men actualize the sheer passive givenness of their being, not in order to change it but in order to make articulate and call into full existence what otherwise they would have to suffer anyhow” (Ibid., 208).

equals.

To trust is to act within the web of human affairs on the basis of some future; a future undetermined yet becoming. But this future is never guaranteed. Thus, trust is the correlate of promise. Trust is a remedy for anonymity not by giving an identity to the anonymous, but by placing the responsibility for action upon all the actors who share in the reality of political space.

G. THE MEANING OF ARENDTIAN POLITICAL STABILITY.

In the introduction to this chapter I suggested that Arendt's conception of political space based on the fragility of human speech and the freedom of action promises to accommodate differences without exacerbating them in a way that keeps human relationships most vivid. Arendt does not require that citizens take a single perspective for public discourse, as does Rawls. Her conception of freedom as action based on principle rather than purpose or motive means that "the public perspective" cannot be "normed," but must consist of a multitude of perspectives. Indeed, this multitude is what make public space possible.

Arendt, like Rawls, recognizes the need for political stability to assure the continuation of political space in which people can act and speak freely. But, for her, stability is not sought in order to unify human interactions, but to keep them distinct. Stability is needed not to secure justice, if by justice we mean a single "norming" style and form of action, but to secure freedom and human distinctness.

But without a normative perspective how do we "stabilize" the space needed for

human freedom? How can we assure the continuation of a plurality of free individual human beings within the same public space, without a Rawlsian type of self-limitation on democratic participation that a consensus would seem to guarantee?

In Chapter One I talked about how the demands of stability are traditionally interpreted as demands for equal justice requiring a limitation on democratic participation. In traditional political thought the question of stability arises as a problem of justice or order; that democratic participation must be constrained by a symmetry that secures equality (of treatment and opportunity). Arendt is also concerned with equality, but not by establishing a symmetry of relationships. She is concerned with designing political spaces which are “within the range of every human being” (HC, 5), but which allow for the greatest human freedom. This may best be served by asymmetry, even disorder.

Stability for Arendt is pursued not in order to secure equality in human action (such equality would destroy the inventive power of human action) but to secure equal freedom, equal power for all to act freely. Thus instead of securing equality of thought, by defining a single perspective from which a conception of political space is designed, what Arendt requires for stability is the continuation of speech and action unconditioned by unity of purpose and motive.

But, in order to endorse the freedom of “action and speech” as a basis upon which to build political space that is both conditioned by the fact of plurality and promotes egalitarian relationships, we need to accept and accommodate their “predicaments,” unpredictability, irreversibility and anonymity.

The Arendtian “prescriptions” or remedies (promise, forgiveness and trust) for

addressing these problems are clearly not presented as normative precepts by which we judge the moral worth of political activity. Morality, in that classical sense is not appropriate to the Arendtian conception of politics as we have laid it out. If traditional normative morality were to apply, we would have to be able to know beforehand the consequences of actions, and be able to ascribe intentions, motives, etc., to a “fixed” moral actor. To do that is to conceptualize political action instrumentally, and to deny its freedom or what Arendt calls, human “natality.”

Rather, promise, forgiveness and trust are themselves political actions par excellence, which establish a political space for human beings freely acting together as equals. These actions of promise, forgiveness and trust are essential if we are to preserve the possibility for democratic political spaces in which equals act freely with one another.

But “the will to live together in the mode of acting and speaking” (promise), and the remittance of the consequences of free political action (forgiveness), and trust that words and deeds have not parted company, still do not guarantee future human relatedness among equals. Nor do they provide a stability to political spaces greater than what human action and speech is capable of providing. We are foolish to think otherwise. The frustrations of words and deeds are inherent in the very nature of free human action and speech.

If we want a greater stability and security than action and speech can provide, then the only alternative to these remedies is to substitute “making” for “action.” The only way to eliminate the unpredictability and irreversibility of action is to not act, but to freeze action into rules, laws, contracts, to behave according to norms. In other words, the

alternative is to make all human action instrumental activity, where norms of moral behavior are appropriate.

According to Arendt, morality, as a normative code whose meaning is derived from outside the performance of human deeds, does violence to the activity required for genuine political space between human beings. Since the original meaning of human action and speech is such that they cannot be solidified and made objective⁸⁵, genuine political space is an extremely fragile and frail public space in which the actions of anyone may have a boundless, unpredictable and irreversible effect on the entire web - even destructive of some of its members and their relationships. A moral code designed to limit democratic participation will eventually destroy human freedom by destroying the very conditions for uniquely human action and speech.

The prescriptions of promise, forgiveness and trust, themselves performances of human words and deeds, are, according to Arendt, the only way to stabilize genuine, free and egalitarian, political action. Where Rawls finds “the most reasonable basis of social unity available to us” in a “reasonable overlapping consensus” (PL, 134), Arendt finds it in the simultaneous performances of promise, forgiveness and trust. Like Rawls, Arendt regards the problem of stability as a problem of perception. Though, unlike Rawls, she hopes to establish the objectivity of human relationships not on a single point of view that leads to conceptual agreement, but on a plurality of positions that leads to a common sense, on a plurality of perspectives (on the common “eternal truths” of justice and

⁸⁵At one point Arendt calls this web a “subjective in between” human beings. See Arendt, The Human Condition, 183.

freedom) that lie in between human beings.

At the beginning of this chapter I mentioned a problem with conceptualizing democratic participation as public appearances through human performances. There I suggested that public (theatrical) performances are not limited to the role of the actors, but include also the spectators. In our examination of Arendtian political space, Arendt presents us with a theory of public action (human performance) in which a multitude of actors who present themselves and make their appearances by their words and deed are simultaneously a multitude of spectators who are presented with appearances of others. In Arendt's conception of political space, there are no actors who are not at the same time spectators. We act and speak only in a web of human relationships, in which what we say and do, affect and is affected by others in that web. All participants are both actors and spectators. There can be no human appearances without there being both actors and spectators. And, no one can make a public appearance without being both an actor, making her appearance to others, and a spectator appearing with others. For without being both, there is no public space in which people appear.

Still, it seems that the political arena is like the stage; that what (who) appears in public appears on the stage. If the performing arts (dance, theater, music) model political space, as Arendt would seem to suggest, in what sense do the spectators appear? And if they don't, then how does Arendt's analysis of political action as performance preserve plurality as she claims? Not everyone can get on stage at the same time. Only a few "perform" at a time, while the rest observe. How can she claim that these spaces of limited performances are democratic?

Perhaps political space is not “the stage” but rather the entire theater? If so, then as I suggested in Chapter Two, we need to clarify the distinction between the performances of “actors” and the performances of “spectators,” and how each contributes to the entire performance of the play. While Arendtian political action suggests ways in which we are both actors and spectators with regard to public human appearances, how are citizens as actors and citizens as spectators both performers? Is the performance of the actor-citizen given more prominence than the performance of spectator-citizen? What role does the spectator play in Arendtian political spaces?

Chapter V

WHY THE POLITICAL? THE RELATIONSHIP BETWEEN VISIBILITY AND ORDER.

These three theaters, Cunningham, Grotowski, and Beckett . . . are theaters for an *elite* . . . Grotowski plays for thirty spectators - as a deliberate choice. He is convinced that the problems facing himself and the actor are so great that to consider a larger audience could only lead to a dilution of work. He said to me: 'My search is based on the director and the actor. You base yours on the director, actor, audience. I accept that this is possible, but for me it is too indirect.' Is he right? Are these the only possible theaters to touch 'reality'? They are certainly true to themselves, they certainly face the basic question, 'Why theater at all?' and each one has found its answer . . . These theaters explore life, yet what counts as life is restricted. 'Real' life precludes certain 'unreal' features. If we read today Artaud's descriptions of his imaginary productions, they reflect his own tastes and the current romantic imagery of his time . . .

A director dealing with elements that exist outside of himself can cheat himself into thinking his work more objective than it is. By his choice of exercises, even by the way he encourages an actor to find his own freedom, a director cannot help projecting his own state of mind onto the stage. The supreme jujitsu would be for the director to stimulate such an outpouring of the actor's inner richness that it completely transforms the subjective nature of his original impulse. But usually the director or the choreographer's pattern shows through and it is here that the desired objective experience can turn into the expression of some individual director's private imagery. We can try to capture the invisible but we must not lose touch with common-sense - if our language is too special we will lose part of the spectator's belief. (Peter Brook, The Empty Space, 60-61)

A. VISIBILITY AND SYMMETRY IN PUBLIC SPACES.

Here Brook criticizes theaters reserved for elites and defends his own search for hidden patterns of power that lie in the interplay between actors and audience. We saw in

our discussion of rough theater that, for Brook, the audience keeps the entire theater in touch with the reality of a common sense and that the voices of the spectators are essential if theater is to make the invisible visible. Still, such an involvement of the actors with the spectators is “indirect” and requires courage, inventiveness and improvisation.

In any theater, by the way a director “encourages an actor to find his own freedom,” his own experience of humanity, he (the director) cannot help but project his own subjective experiences onto what is made visible on stage. Indeed, to do otherwise would be inhuman, unworldly, an abstraction from “our mortal form.”

Nevertheless, the director ought not to “cheat himself into thinking his work more objective than it is.” There is a danger here, that what are offered as objective experiences of the eternal, recurring patterns of human relationships are no more than the choreographed patterns of the director’s own private life experiences. The danger is that a given production of the invisible made visible may reflect only the director’s “own tastes and the current romantic imagery of his time.”

To prevent theaters from going this far, and directors from cheating themselves, Brook suggests that directors keep in “touch with common-sense.” To capture the invisible in a credible way (and this is where, for Brook, the audience comes in), the language of theater must remain tied to the common experiences of the spectators. He suggests that the best method for the director, the “supreme jujitsu,” is to inspire the actor to transform the director’s own original state of mind into more objective patterns.

When the director mistakes his own private imagery for the desired objective experience, theater becomes elitist. The problem with elite theaters is that their emphasis

on order and symmetry, “rigorous discipline” and “absolute precision,” invariably leads to a myopic concern with the problems between director and actors that eventually results in a limitation placed on the participation of audiences - since “larger audiences could only lead to a dilution of work.” While theaters designed for elites may explore life, they are compelled to restrict what counts as life. Their search for symmetry requires a conception, a preconception, of the real that precludes certain features of reality as “unreal,” particularly what is inconsistent and not “all of a piece.” In their concern for “direct” control of the problems facing actors and directors, and their emphasis on symmetry and order, elite theaters lose sight of the real purpose of theater, to make visible the invisible eternal patterns in human relationships.

According to Brook, the purpose of theater as elitists would have it, the purpose of elite theater, is the search for symmetry and order even before meeting the conditions for full visibility. For Brook, however, the reason for good theater is “to capture the invisible” patterns of eternal rhythms that control our relationships, rather than to procure symmetrical orderings of human relationships. For Brook, the answer to “why theater at all?” is not to strike good symmetry but to make visible what is invisible.

Brook’s critique of elite theater also sounds a warning for democratic theorists. When we design political spaces we cannot help but project our own state of mind onto the patterns or procedures for democratic participation and political action of citizens. To do otherwise is inhuman, unworldly, an abstraction from “our mortal form.” Nevertheless, we must be careful not to cheat ourselves into thinking that our work is more objective than it is.

As with theatrical directors, the real danger facing democratic theorists is when we lose touch with common-sense and begin to speak a “language that is too special”; an ideal language that, instead of making visible the hidden inequalities that rule lives, substitutes the theorist’s private imagery for the objective experience of human relatedness. When that happens, our designs are elitist and the credibility of the “play” between human appearances of actors and spectators is lost.

As theorists we need to be clear on why democratic spaces. What is the purpose of the spaces we are designing? Is it to make visible the invisible relationships of inequality that rule our lives, or to provide a symmetry among human beings in their relationships with one another?

If symmetry, then are we searching for a universal pattern that fits any and all who enter such spaces, a rule by which we preclude certain “unreal” features? Where do we find the patterns for such symmetry? Is it in our own subjective experiences or are we “dealing with elements that exist outside” ourselves? If objective, how is this pattern made visible?

If visibility, then we need to establish a common sensibility from which we can all perceive what is hidden in our own relationships and appearances. Brook again suggests to us that the best method for designing political spaces for the visibility of human relationships is not to choreograph patterns of just (symmetrical) relationships, but to stimulate the “inner richness” of citizens as actors and citizens as spectators (all participants) to make their own appearances and make visible our inequalities.

In Chapter One I spoke of the danger of confusing the problem of visibility with

the problem of order and symmetry. As theorists designing democratic spaces, we need to first specify the conditions of visibility before we talk of order and symmetry. If we confuse these two, or too quickly offer normative conditions for arranging human relationships before we are clear about the objective conditions for human visibility, then the spaces we end up designing are less democratic and based more upon our own “private imagery.” To secure objective conditions for human appearances that do not exclude those inequalities we need to base our design of political space on a “common-sense,” a multiple perspective that is common yet not an abstraction, common but not specialized.

In designing democratic spaces I suggested, then, that the conditions of visibility be satisfied before we answer the question of order and symmetry. I suggested that the conditions for human visibility require, first, an acoustic in which all voices are audible, and a “visual field” in which all human actions are visible; and second, a common sense, a common perspective, that is constructed from a plurality of local human positions (voices and deeds) all of which are related to one another as different perspectives on the common world.

In this chapter I want to explore further how Rawls and Arendt establish the conditions of human visibility, and how each answers the question “why the political?” What I will show is that Rawls’s construction of justice as fairness as an overlapping consensus implies a conception of political space that may prove too restrictive; that Rawls’s design of political space modeled by the original position establishes symmetry in relationships before it fully lays out the conditions of visibility. I will show how Arendt’s

design of political space as human performances, on the other hand, gives first consideration to the conditions of visibility, particularly in her construction of a common sense.

We begin our review of Rawls's and Arendt's reasons for the political with an explication of their different conceptions of human freedom.

B. WHY THE POLITICAL? RAWLS AND ARENDT ON HUMAN FREEDOM.

In Chapter Four we saw how Arendt's search for stability is in many ways quite similar to Rawls's: the endurance of the human world, a public domain of a plurality of human beings who are often at odds with one another, and a foundational basis of free political actions among a democratic citizenry. Yet she differs with Rawls in where she finds that stability.

The unity of mankind and its solidarity cannot consist in a universal agreement upon one religion, or one philosophy, or one form of government, but in the faith that the manifold points to a oneness which diversity conceals and reveals at the same time.⁸⁶

Where Rawls finds stability in a conceptual consensus on a "political conception" that organizes human relationships and produces institutions and structures of those relationships, Arendt finds stability in the free activity of its citizens acting together, the same source from which instability arises. Arendt's concern for political stability is rooted

⁸⁶Hannah Arendt, "Jaspers, Citizen of the World," Men in Dark Times (New York: Harcourt, Brace & World, 1968) 90.

in her search for a permanent space for free human action⁸⁷, whereas Rawls's concern is rooted in a search for a set of just arrangements that are chosen through a normative procedure of public reason modeled by the original position. Arendt's conception of political space differs considerably from Rawls's, and this difference concerns their different conceptions of political freedom, or free human action.

1. Rawlsian Freedom.

For Rawls, persons are free when they attain "full autonomy." And, they are fully autonomous when they publicly affirm principles of justice, which they arrive at by the free exercise of judgement, and when they apply those principles in their public life. This means that citizens not only comply with the principles of justice, but recognize and act on the basis of those principles.

Thus, people are free (fully autonomous), according to Rawls, when they possess certain capacities or powers to act on principles of justice (the capacity for a sense of justice, the capacity for a conception of the good, and an ability to reason⁸⁸), and when they recognize and affirm a political conception of justice by which they willingly organize their lives and by which they act as fully cooperating members of society. "(C)itizens think

⁸⁷"Without a politically guaranteed public realm, freedom lacks the worldly space to makes its appearance" Hannah Arendt, "What is Freedom," Between Past & Future (New York: The Viking Press, 1954) 149.

⁸⁸"The basic idea is that in virtue of their two moral powers (a capacity for a sense of justice and for a conception of the good) and the powers of reason (of judgement, thought, and inferences connected with these powers), persons are free. Their having these powers to the requisite minimum degree to be fully cooperating members of society makes persons equal" (John Rawls, Political Liberalism, 19).

of themselves as free in three respects: first, as having the moral power to form, to revise, and to rationally pursue a conception of the good; second, as being self-authenticating sources of valid claims; and third, as capable of taking responsibility for their ends. Being free in these respects enables citizens to be both rationally and fully autonomous."⁸⁹

For Rawls, individual freedom is tied up with a person's capability of rational deliberation and decision making, including the abilities to form a conception, to authenticate assertions or declarations, and to take responsibility for one's own goal or purpose. This third element, being "capable of taking responsibility for their ends," is part of rational deliberation because of "the guiding principle that a rational individual is always to act so that he need never blame himself no matter how his plans finally work out" (TJ, 422). Thus, to be free, for Rawls, is to be in "control" of what one believes (her conceptions) and what one decides (authenticating one's own beliefs or claims), and to be above reproach whatever the consequences of one's acts.⁹⁰

People cannot be free if they are not capable of rational deliberation, of decision making according to a procedure that determines "a rational plan of life in the light of which they schedule their more important endeavors and allocate their various resources (including those of mind and body, time and energy) so as to pursue their conceptions of the good over a complete life, if not in the most rational, then at least in a sensible (or satisfactory) way" (PL, 177). Citizens are free when their lives are organized around a

⁸⁹John Rawls, Political Liberalism, 72, emphasis added.

⁹⁰"Acting with deliberative rationality can only insure that our conduct is above reproach, and that we are responsible to ourselves as one person over time" (John Rawls, A Theory of Justice, 422-423).

“plan of life” that they have chosen, when their actions are consistent and in conformity with their chosen life plan.

According to Rawls, the conception of freedom as full autonomy is modeled in the original position.⁹¹ To say that the original position models freedom or full autonomy is, according to Rawls, to say that freedom is determined by “how the parties are situated with respect to one another and by the limits on information to which their deliberations are subject” (PL, 77). Rawls’s pursuit of stability (beyond the issue of motivational stability) is to provide some way (the original position) that citizens can reasonably choose principles of justice around which they agree to organize their public lives. The political conception is stable when, given the “fact of reasonable pluralism,” it is the “focus of an overlapping consensus of reasonable doctrines” (PL, 78). Thus, for Rawls, freedom, full autonomy, is realized “only if the full explanation and justification of justice as fairness is publicly available” (PL, 78, emphasis added). It is publicly available by means of the original position through which citizens come to select and act on public principles of justice. Rawlsian political stability secures political freedom as autonomy. The autonomous individual citizen, organizing her own life according to a freely chosen reasonable life plan, selects a political conception without coercion and undue influence even of her own advantage (in the original position behind the veil of ignorance) and acts

⁹¹“We have seen that citizens’ rational autonomy is modeled in the original position by the way the parties deliberate as their representatives. By contrast, citizens’ full autonomy is modeled by the structural aspects of the original position, that is by how the parties are situated with respect to one another and by the limits on information to which their deliberations are subject. To see how this modeling is done, consider the idea of full autonomy” (John Rawls, Political Liberalism, 77).

on a conception that is also the focus of an overlapping consensus.

2. Arendtian Freedom.

Arendt's conception of freedom is quite a bit different, which accounts for her concern with a different kind of stability. The freedom with which Arendt is concerned is not a freedom to deliberate, to choose a political conception without coercion, but a freedom to act, to do things, to perform in a certain way, particularly, to begin something totally new and unpredictable. "(T)hat is, the freedom to call something into being which did not exist before, which was not given, not even as an object of cognition or imagination, and which strictly speaking, could not be known" (BPF, 151). We are free, not merely when we can make choices without coercion, not merely when we can discern what is the best way to attain our good or determine our life plan and then act (be self-motivated) to achieve it, but when we begin something totally new and "spontaneous."

The Arendtian understanding of human freedom cannot be made clear without her theory of human action and her conception of political activity. Indeed her theory of action and conception of political space is an extended defense of the sort of freedom she advocates. To understand how her conception of freedom differs from Rawls's, we need to use her notions of instrumentality (human actions designed to achieve a goal or purpose) and sovereignty ("the ideal of uncompromising self-sufficiency and mastership," HC, 234).

For Arendt free human action has a character of non-instrumentality, being done for the sake of its own performance as opposed to being done to achieve a goal or for a

purpose. An action is free when its meaning or significance is not found in its purpose or goal, or its motive, but in its performance. For Arendt, only performative acts are candidates for being free acts. We are all familiar with examples of performative acts such as promises, congratulations and apologies. In political spaces, the primary performative acts are those by which we appear to one another and begin to reveal who we are.

Arendtian freedom also has a character of non-sovereignty, of bringing something into existence without controlling the process of it coming into being; “of being able to begin something new and of not being able to control or even foretell its consequences” (HC, 235). A free human act, according to Arendt, while performed by an actor is not “caused” or determined by her.⁹²

Action, to be free, must be free from motive on one side, from its intended goal as a predictable effect on the other. This is not to say that motives and aims are not important factors in every single act, but they are the determining factors, and action is free to the extent that it is able to transcend them. (Hannah Arendt, Between Past and Future, 151)

To be free, for Arendt, it is not enough simply to choose one’s own goals and life plans and be self-motivated. The kind of freedom that concerns Arendt is not simply a freedom from the coercive forces of life, from dependency and necessity, to think and believe what one wants and to choose on the basis of what one believes (self motivated), but a freedom

⁹²See also, Arendt, Between Past and Future, 164 ff. “Within the conceptual framework of traditional philosophy, it is indeed very difficult to understand how freedom and non-sovereignty can exist together, or put it another way, how freedom could have been given to man under the condition of non-sovereignty. ... Under human conditions, which are determined by the fact that not man but men live on earth, freedom and sovereignty are so little identical that they cannot even exist simultaneously... If men wish to be free, it is precisely their sovereignty they must renounce.”

to perform deeds on the basis of their own significance - a freedom to act, not so much without motive and without goal, without intention and without purpose, but in such a way that transcends these aspects of action. To act not “in order to,” nor “for the sake of” but to act purely and simply, to bring about that which we do (to act) without even the “coercion” of purpose and motive.⁹³

In some sense one’s purpose as goal and one’s motive as cause of what one does coerces one to act. That is, these two (purpose and motive) determine one’s action, decide it, explain it. How often do we claim to be able to predict what one does or will do from knowing one’s purpose and motive; that is, given one’s life plan and the “virtues” of consistency and conformity, we often attempt to “deduce” one’s actions from her intentions and motives.

Arendtian freedom, on the other hand, is unpredictable, indeterminate, uncontrolled, uncoerced action. It is creative, inventive, improvisational, and revolutionary.

Action insofar as it is free is neither under the guidance of the intellect nor under the dictate of the will - although it needs both for the execution of any particular goal - but springs from something altogether different (following Montesquieu’s famous analysis of government) I shall call a principle. (Hannah Arendt, Between Past and Future, 152)

⁹³Consider an example of making an apology. I say to someone “I am sorry.” Arendt wants to say that to the extent that my saying “I am sorry” is governed only by motive and purpose, it is not free. If, for instance, I say that I am sorry merely in order to get back into the good graces of my wife or for the sake of pleasing her, then saying “I am sorry” (the performance of an apology) is not freely being sorry. Only when my saying “I am sorry” is not done merely “for the sake of” nor “in order to,” but simply to be sorry does it qualify, according to Arendt, as a free act.

According to Arendt, to be under the “guidance of the intellect and dictate of the will,”⁹⁴ is to have actions determined by their goals and motives. If all human action can be fully and adequately characterized by purpose and motive, as is implicit in the deliberative actions characteristic of Rawlsian political space, then freedom is properly understood as self-determination, choosing one’s own goals and being self motivated. But Arendt challenges the adequacy of this conception of freedom. Any human action that is determined, even self-determined, is not free.

Is the significance of every human activity, each of which may have a purpose as well as a motive, completely apprehended by its purpose as goal and motive as cause? Are there not human actions whose meanings (and values) are beyond attaining some goal and which cannot be adequately explained by the motives of its actors? In fact, examples of such “unpredictable” actions are often found in the human narratives behind original, creative and ingenious inventions, discoveries and innovations.

Here we come to what is probably the most opaque aspect of Arendtian freedom. It seems strange to talk about the freedom of action without talking about human purpose and motive. But Arendt is not suggesting that purpose and motive have no role in human action, only that these are the determining factors of action, not the factors of their

⁹⁴Arendt will often speak about human psychology in classical terms of faculties, the faculty of the intellect, the will, natality, etc. Nevertheless, the distinction that Arendt makes between purpose as goal, motive as cause, and principle as meaning (which I discuss in the next few pages) can be made without committing ourselves to the sort of “faculty psychology” that she seems to accept. The question is whether the notions of purpose and motive are sufficient to give an adequate account of free human action, or whether the notion of “principle” is needed. I think Arendt is correct to argue that motive and purpose is not sufficient to capture the entire meaning of some human actions. Whether her notion of principle does the job, I’m not sure.

freedom, and that the freedom of a human act is not accounted for by what determines it. Freedom, for Arendt, is not, as Rawls would have it, tied to the capability of rational deliberation, but to the capability of spontaneity, improvisation, invention, creativity, of bringing something out of nothing.

For Arendt, free human action is something done whose power or source is the unique human ability to bring into being what was not. This is what she tries to get at by her notion of “natality.” From the perspective of the agency of the actor who performs free acts, Arendt tries to capture the source of freedom by talking about the human capability of beginning something totally new, from nothing; what she calls “natality.” “(T)he faculty of freedom itself (is) the sheer capacity to begin, which animates and inspires all human activities and is the hidden source of production of all great and beautiful things” (BPF, 169, emphasis added). From the perspective of the free act performed, Arendt tries to capture this same character of freedom by talking about the “inspiring principle” of an act (as distinct from its purpose and motive).

Let us look at Arendt’s characterization of an “inspiring principle”:

In distinction from its goal, the principle of an action can be repeated time and again, it is inexhaustible, and in distinction from its motive, the validity of a principle is universal, it is not bound to any particular person or to any particular group. However, the manifestation of principles come about only through action, they are manifest in the world as long as the action lasts, but no longer. Such principles are honor, glory, love of equality . . . , but also fear or distrust or hatred. Freedom or its opposite appears in the world whenever such principles are actualized; the appearance of freedom, like the manifestation of principles, coincides with the performing act. Men *are* free - as distinguished from their possessing the gift for freedom - as long as they act, neither before nor after; for to *be* free and to act are the same. (Hannah Arendt, Between Past and Future, 152-53)

The inspiring principle of an act is not its goal, nor its motive, but its character.

“Principles” which inspire an act, are what give an act its “spirit” or quality; its mood, tone, temperament, passion. The principle of an act is something that is “repeatable” in the way a goal is not; its goal when achieved is finished, accompli, but the principle of an act can inspire other acts as well. Principles are universal in the way that motives are not.

Motives are specific to individual actors and particular circumstances, but the same principles can inspire many actors in a variety of circumstances. The principle of an action is not something put into an act by the agent, as is motive and goal, but is something that begins with the performance of an act and ends with its cessation.

Consider an example of a courageous act of rushing in a burning building to save its trapped occupants. Courage, as a principle of the act, begins when the heroine rushes in a blazing apartment to save its residents and ends when she either comes out or dies in the process. Courage, as an inspiring principle, is different from the purpose of rushing into the fire (its purpose is to save the residents) nor is it the same as one’s motive for rushing in (one’s motive might even be to become a popular heroine and receive accolades).

Whatever the purpose or motive, and even if the act was unsuccessful in achieving its purpose, it was still courageous. As an inspiring principle, courage is repeatable in a way that the goal of an act is not, and universal in a way that the motive is not. Once the goal is attained, it is no longer a goal. And the motive that caused one actor to perform an act of courage may not be the same motive that causes another actor to perform an act of courage. Many acts, by many other actors can be inspired by the same character or “virtue” of courage.

Since freedom for Arendt is an attribute of action, the opposite of freedom is not dependency or reliance or influence and persuasion, but determinism, conformity, convention, regulation, control, and consistency. The determination of human action even by one's goal and motive is, for Arendt, too much of an assault on the contingency of the human condition, a contingency which is the basis of Arendtian human freedom. Yet, if freedom of this Arendtian kind is to continue to exist within the world, there needs to be a space wherein humans can act without determination, regulation, even calculating deliberation of their own making. It is the stability of this kind of space for free human action that Arendt pursues in her design of political space.

According to the Arendtian model, democratic spaces are more than simply associations of people founded upon a contract, if we mean by "contract" a finalized, completed, or finished agreement, even a shared political conception that organizes relationships. Indeed the political acts of any society are incomplete, ever continuing, in process. In fact, this ongoing, process character of building democracy is precisely what gives rise to the problem of its political stability.

3. Freedom and Democratic Spaces.

Democracy is an ongoing activity between and among human beings, something never finally completed, but always coming about. A democratic space that is inclusive is based on a manifold of perspectives pointing to a common space for a diversity of appearances and human relationships. Arendt's concern for stability is not so much with regard to political institutions (contracts, finalized agreements) which organize human

activities, but more so with the ongoing visibility of human activities themselves. She is not interested in motivating citizens to accept the publicly agreed upon arrangements that limit one's freedom (remember that for Rawls, political power is always coercive, see PL, 68) but rather concerned with people acting together as free and equal citizens. Arendt wants to believe that there is a political space, perhaps yet to be secured, that is not coercive; where public agreements need not limit one's freedom but, in fact, secure it. Thus, her concern for stability is to design a public realm in which all persons can appear and act freely, without coercion even of their own making.

For Arendt, the burden of securing political stability does not rest on the motivations of people, nor on the conceptions they share with one another, but on public actions that preserve spaces in which free, uncoerced human actions remain possible. For Arendt, the primary virtues of democratic citizenship are "the act of public appearance," making one's appearance by her own words and deeds, and "the act of perceiving human appearances," enlarging one's perspective through a common sensibility. Stability of political space in which human beings relate to one another in this way, is possible, according to Arendt, only when all citizens become participators, active performers in public affairs, making their voices heard and listening to the voices of others.

While Rawls's concern for stability is focused on the justice of basic structures (arrangements) of society, "society's main political, social and economic institutions, and how they fit together into one unified system of social cooperation from one generation to the next" (PL, 11), Arendt's concern for stability is focused on the visibility of relationships between humans that alone sustain democratic political institutions.

The problem of stability for Arendt is not to establish a conceptual unity (public recognition according to some conceptual standard) but to provide for enduring public appearances of human realities⁹⁵, particularly the reality of human freedom. Arendtian freedom is not something given in our biological or social, or even intellectual existence. It is not a capability or capacity that we have individually, but something we do, in public, as a public. It is not something we possess, but something we perform. As such, it is of human origin, dependent on ongoing human actions. And, because it is so contingent, we need to pay close attention to “preserving” its continued possibility.

There are many threats to this kind of human freedom. The loss of genius, the disability to create new human relationships from “nothing” (from something “not given, not even as an object of cognition or imagination”), that is, the inability to “perform miracles⁹⁶,” to realize (act out) the creative power we have, is the greatest threat to our freedom. The pursuit of stability, according to Arendt, is to find ways to preserve this not un-common human capacity for extraordinary genius (a capacity that Arendt believes is available to all) within our human plurality.

⁹⁵Human realities are things which exist only in the human world and exist because of human activity. These include 1) goods for consumption, which have no permanence; 2) use objects, whose permanence is their ordinary durability; 3) art works, whose durability is potentially immortal; and 4) human actions, human events, words, deeds, relationships (the most fragile and fleeting of all four), whose permanence require memory woven into stories.

⁹⁶“Every act, seen from the perspective not of the agent but of the process in whose framework it occurs and whose automatism it interrupts, is a “miracle” - that is something which could not be expected. If it is true that action and beginning are essentially the same, it follows that a capacity for performing miracles must likewise be within the range of human faculties. This sounds stranger than it actually is” (Hannah Arendt, “What is Freedom,” Between Past and Future, 169, emphasis added).

In the next section I will reexamine Rawls's Political Liberalism and show how his conception of political space secures primarily those conditions needed for (economic) instrumental freedom and how Rawlsian political spaces are concerned predominately with instrumental and distributive problems of order and symmetry. In this sense I suggest that Rawls's liberalism is too limiting, too restrictive of citizen participation; that its language is too specialized to identify the creative power of human genius that is common to us all and, therefore, necessary for human visibility.

I will then reexamine Arendt's distinction between social and political and show how her conception of political space is more attentive to the problems of visibility and perception, and, thus, more inclusive of the diversity of citizens.

C. RAWLSIAN POLITICAL SPACE: SEARCHING FOR SYMMETRY AND ORDER.

In Chapter Three we saw that Rawls's solution to the problem of political stability requires a defense of a double agreement: first, an agreement on the conception of the political domain constructed from the perspective of a single specialized rationality (the original position with its higher level impartial standpoint) and based upon selected shared ideas held in common; second, an agreement on this conception from each individual perspective within a plurality of reasonable and irreconcilable comprehensive views.

Both agreements are defended by Rawls on the assumption of a prior agreement, which he does not defend but simply lays out: the model of a reasonable citizen as one who leaves behind her own position in favor of the original position whenever public deliberation is involved. The political space defined by justice as fairness is from the outset

constructed from a single perspective of an “expert citizen,” the representative citizen in the original position, who is able to “fairly” and dispassionately assess the nature and distribution of social goods needed for constitutional democracies.

This Rawlsian construction of political space leaves little room for different appearances of ordinary citizens; too little room for the appearances of citizens in their own position, with their own perspective from which to agree on the basic structures of society. This is because Rawls is concerned with constructing a space that first and foremost fairly distributes social goods. The first stage in his constructivism is to define political space as that which organizes human relationships according to legitimate principles of justice, not as the place where free and equal human beings can appear to one another. (Actually, for Rawls, citizens are free and equal only to the extent that they organize their relationships according to the principles of justice.)

In both stages of the Rawlsian construction, the model of the original position plays a pivotal role. In the first stage, the production of the political conception of justice as fairness, it provides the rationality needed to organize the basic structures of society. In the second stage, where Rawls thinks he finally secures stability by showing unanimity on the political conception, the original position is the model of practical reason by which the ideal citizen always takes a reasonable position whenever public conflicts occur. The principle of reasonableness secures the commitment that one will always take the impartial view over her own view, once she has justified justice as fairness on the basis of her own comprehensive doctrine. But again, Rawls’s focus is not on stabilizing the free and equal appearances of citizens, but on securing a common objective basis for adjudicating

conflicts.

Within Rawlsian political spaces, all citizens must be able to enter the original position and judge how political space is to be organized. In order to do this they must have clearly developed life plans, keen competencies for deliberative rationality, all the virtues of reasonable liberal citizens, and above all must be able to leave behind their own positions in favor of a position which ignores certain significant differences among citizens. Ordinary citizens who want to speak and be heard, to act and be seen in their own voices and with their own deeds, that is, from their own positions and not from the one-and-only original position, have a constricted place in the well-structured society. They can begin to partially appear at the constitutional stage, more so at the legislative stage and even more so at the judicial stage, as the veil becomes lifted more and more. But they are fully visible only after all these conceptual, constitutional, legislative and judicial institutions are established.

In Chapter Two I argued that stable democratic spaces between human beings require width, encompassing a great variety of perspectives in which citizens are visible to one another. Yet, in the “founding moments” of Rawlsian political spaces there is little room for the ordinary citizens to speak and be heard in their own voices. For the sake of a Rawlsian stability, which translates into a unanimity of position, diversity at this very point of creating political space (the founding moment) is renounced. In the name of “reasonable pluralism,” a unison (unanimity) is favored at the expense of human plurality. Rawlsian citizens all sit at the table but without retaining their separate identities. One is no different from the other when they enter the original position.

In this way the conceptual design of Rawlsian political space violates the basic principles we have identified for constructing democratic spaces, the construction of a common space in which human beings appear with one another, a construction based on a design that brings together a plurality of positions. It is not enough simply to construct a common political space in which there appears a diversity of human beings, if that diversity can only appear symmetrically related to one another. If the construction of political spaces - even of spaces in which a plurality appears - is made from a single position⁹⁷, then their stability is suspect. It is suspect because, at these very founding moments, exclusions are made, pre-arrangements are slipped in under the guise of rationality, impartiality and neutrality. Thus, the so-called plurality (visibility of diversity) of the constructed space becomes questionable.⁹⁸

To support this appraisal, let us examine, in greater detail, two features of Rawlsian political liberalism: his conception of the political domain, “justice as fairness,” and his procedure of political constructivism.

⁹⁷Remember the danger facing a democratic theorist who fails to include the perspectives of the “audience” in his search for the invisible - he loses touch with the common sense and can easily “cheat himself into thinking his work more objective than it is.” The way to protect ourselves from this form of elitism is to provide for human visibility before establishing symmetry. This means that we design our political spaces not from a single perspective, but from the multitude of perspectives of the human plurality.

⁹⁸One might argue that Rawls does not claim to address the issue of human visibility, and that this criticism is unfair. But, as I have been arguing all along, the issue of visibility is not something that can be tacked on at the end of a political theory. It must be addressed from the very beginning. Thus, to say that Rawls does not concern himself with the problem of visibility is, in my analysis, a weakness to the Rawlsian approach, not merely an oversight or something he neglected to consider.

1. “Justice as Fairness.” An Economic Model.

First, Rawls’s particular conception of the political domain organizes political relationships according to an economic model.⁹⁹ Rawls’s central concern is with the basic structures of society and their capability to fairly dispense the social goods to which citizens are entitled. What governs the basic structures of society and keeps them well-ordered, according to Rawls, is the idea of fairness, fairness in equality of opportunity, with the one exception provided by the difference principle. But these principles adequately define the political domain only if we view the political as an institutional regulation of democratic relationships according to economic principles of entitlement, production and distribution. Justice as fairness specifies those regulative principles that Rawls considers implicit in the culture of western societies. Anyone ought to be allowed to enter the “market” of social-political goods, and since the exchange field is to be relatively level, except where an advantage is “better” for all, the political domain, when necessary, regulates this field.

Because of this market proceduralism underlying justice as fairness, the political domain, as Rawls lays it out, requires some degree of expertise needed to operate within the various markets of social goods. This need for expertise provides the rational basis for a cadre of elite bureaucrats. Given the Rawlsian conception of political space it makes

⁹⁹This interpretation of justice as fairness is one that takes seriously the criticism that the economic model of the free market guides Rawls’s political proceduralism. See Stephen Esquith, Intimacy & Spectacle, 198-203, and Stephen Esquith & Richard Peterson, “Original Position As Social Practice”, Political Theory 16.2 (May, 1988): 309-314.

good sense to define the value of political activity as essentially instrumental. The political domain, according to “justice as fairness” is about instrumental relationships, about the “fair” distribution of social goods, and the maintenance of stable institutions distributing those goods. If political arrangements are concerned primarily with the distribution of goods, then knowledge, tactics, strategies, efficiency, in short, instrumental rationality, is probably the best way to secure a just and fair distribution. And, if so, bureaucrats probably ought to be the central political actors, since most citizens lack the proper training and skill, and would, no doubt, parcel the goods unevenly.

Though “justice as fairness” regulates the basic structures of society economically, it is inadequate to characterize democratic political space as a place for direct, unmediated relationships between and among free citizens. Rather, “justice as fairness” is more accurately presented as an “economic conception of the political domain” in which the principles of democracy are brought to bear, as best they can, on the distribution of social goods. Justice as fairness is not a democratic conception of political space in which people equally appear and relate to one another as free citizens. For Rawls the focus is not on securing equal appearances between a plurality of citizens, but on distributing goods equitably, whether they be material goods, needed for biological life, or social goods needed for the realization of one’s goals.

No matter how much we “reform” our political institutions by revision of principles of rationality and distribution, as Rawls would have us do, we cannot ignore considerations of the inequalities between citizens who support those institutions. Our political institutions will be reformed and made more democratic only when all citizens

equally appear in the public spheres of human endeavors. What secures stability within democratic institutions is the experience of stable appearance and relationships between and among our citizens, even those that are asymmetrical. Justice as fairness does not address this political space, the direct face-to-face space between and among citizens in which they appear to one another as citizens. Rather, it seeks to reform only the mediating structures between citizens. To conceptualize the political merely in this way, as a space for mediating relationships, is to view citizens as not much more than recipients of entitlements, and to characterize political relationships as essentially instrumental.

The problem with limiting the political to instrumental relationships is that instrumental rationality will eventually and inevitably undermine the design of stable egalitarian spaces between human beings, since it requires that all citizens are arranged under the category of means-end.

Rawlsian political space is too confining for diverse human relationships. The languages and voices allowed of Rawlsian citizens as they create the very space in which they operate are apparently only four; as constitutional delegate, as legislator, as administrator, and as judicial officer.¹⁰⁰ And because there is very little, if any available space for citizens in their ordinary voices, that is, for the untrained non-expert voices, particularly in the founding moments of Rawlsian spaces, the stability of the political domain is in jeopardy.

The expertise required for Rawlsian citizens operating in the well-ordered society,

¹⁰⁰This reading of Rawls I take from Stephen Esquith, Intimacy & Spectacle (opus cit.), particularly 198-200.

might be justified within a more defined and limited scope of economic justice and political economy. From the perspective of the ordinary democratic citizen, experts are needed to assure the production and fair distribution of social goods - these are difficult tasks that require a great deal of time and knowledge. And because bureaucratic government regulates these needed social goods, justice as fairness may reflect the best regulative principles of the economy consonant with democratic principles.

In supporting the argument of others that “justice as fairness” is an economic model of the political, I am also suggesting that we take more seriously and follow through with Rawls’s own claim of the distinctness between “political liberalism” and “justice as fairness” - that we understand this distinction as a distinction between the political domain and procedural economy, between the citizen as free and equal and the citizen as client and consumer. As I suggested above, perhaps “justice as fairness” is best interpreted not as a conception of the political domain, but as an attempt by Rawls to give a more democratic model of political economy. Might we view justice as fairness as an attempt to regulate capitalistic economic structures within a constitutional democracy according to the basic intuitions of democratic societies, rather than as a conception of the political domain of a free and equal citizenry? If we take seriously the distinction Rawls makes between “political liberalism” and “justice as fairness,” where he recognizes “justice as fairness” as one among other possible conceptions of the political domain which political liberalism could embrace, we see that he has loosened the hold that justice as fairness has on his political liberalism, and seems open to expanding the political space needed for broader participation. If so, then Rawlsians will need eventually to determine

how many of the components of justice as fairness (the various conceptions and ideas that Rawls has developed in the original Theory and since then) are essential to political liberalism, and how many of them are integrally tied to justice as fairness.¹⁰¹ With the publication of Political Liberalism we now have two levels of abstraction on which to examine Rawls's views; the more general view of political liberalism and the more specific and normative view of justice as fairness. What are the differences and similarities of meanings of the original position, of an overlapping consensus, of public reason, and of a political conception of persons at each level?

2. An "Overlapping Consensus:" Symmetry With Limited Visibility.

We saw how Rawls's political liberalism begins with the assumption that there is no adequate and legitimate way to adjudicate fundamental conflicts in the public domain and ends by arguing that the only way to secure political stability is to bypass these conflicts, to leave them behind in the pluralism of the background culture and rise above them into a consensus that overlaps all reasonable views.

Rawls concluded that the only way for people with irreconcilable differences to

¹⁰¹This interpretation of Rawls's Political Liberalism, that the tie of justice as fairness to political liberalism is loosening and a rudimentary analysis of what this means for Rawlsian liberalism, can be found in recent philosophical literature. I refer to Samuel Scheffler, "The Appeal of Political Liberalism," Ethics 105.1 (October, 1994) 4-22 and Leif Wenar, "Political Liberalism: An Internal Critique," Ethics 106.1 (October, 1995) 32-62. Both of these articles suggest that "Justice as Fairness" may not meet the criteria of "Political Liberalism" without further adjustments. Brian Barry, on the other hand, thinks that Rawls is mistaken to suggest that "Justice as Fairness" and "Political Liberalism" are separable. See Brian Barry, "John Rawls and the Search for Stability," Ethics 105.4 (July, 1995) 874-915.

live with one another peaceably is to find a way to ignore those differences by creating a space where all are in basic agreement. By constructing the political as a space requiring an “overlapping consensus” among all its participants, Rawls has effectively eliminated “reasonable pluralism” from the political domain at the outset - not from the background culture but from his design of political spaces. According to Rawls, only an overlapping consensus can secure political stability of the basic structures of society.

Thus, Rawls begins his approach to the problem of stability with the recognition of the legitimacy of irreconcilable differences - what he calls the fact of reasonable pluralism - and ends not by addressing those disagreements, but by keeping them at bay, blocking them from entering the political domain, and constructing a political space in which there is an assured agreement, the overlapping consensus. It is in order to assure that second stage agreement that Rawls’s construction is engineered under the constraints of the original position. Because he wants to secure stability by means of an agreed upon conception, he is bound to eliminate the irreconcilable differences of pluralism at the very point of constructing the political space in which people move. Rawlsian political constructivism means constructing a political space in which there is unanimous agreement and no basic fundamental differences. For Rawls, only “when people share a reasonable political conception of justice, they share common ground on which public discussion of fundamental questions can proceed” (PL. 115).

Rawls constructs the content of his overlapping consensus as a unanimous agreement resulting from everyone entering the original position. The original position is so laid out that any individual entering it will arrive at the same conception, the same

decision. Unanimous agreement is assured by the original position since it is a “mutually disinterested rationality.” And everyone is “represented equally” by anyone who enters. Rawls is convinced that the only way to be assured of this agreement, and therefore, to ultimately secure his kind of political stability, is to have the outcome “determined by reason analytically: that is the original position is to be characterized with sufficient exactness so that it is possible to work out from the nature of the parties and the situations they confront which conception of justice is favored by the balance of reasons. The content of justice must be discovered by reason: that is by solving the agreement problem posed by the original position” (PL, 273/274).

The problem with securing unanimity in this way is that an agreement is reached without ever hearing one another, without hearing any other with whom we would have such agreement. While the original position secures unanimity in the sense of a harmonious agreement between individuals - all individuals arriving at the same decision - there is no communication between and among such individuals regarding the supposed agreement. It is, in this regard, a strange sort of “agreement.” In the original position, as a model of political discourse, we all agree without ever saying a word to one another and without having heard one another.¹⁰²

¹⁰²A possible response to this criticism needs to be considered here, namely that, for Rawls, there is a dialogue going on in the original position, not between individuals, but within the representative person. In that “inner” dialogue a variety of voices are expressed and yet agreement is still reached. While such an interpretation does allow for some “other” voices, such voices are expressed only if they have been initially heard and carried into the original position. But what assurance do we have of that? Can we say that any one individual who enters the original position has heard and given adequate voice to all those voices around her? And, how do we determine whether one who enters the original position has brought with her all the “relevant” voices?

While Rawls claims that the fact of reasonable pluralism is what guides his political liberalism, it has guided it only as something to be avoided. Rawls “addresses” the problem of stability by defining a procedure - the original position - which, as an impartial point of view in the second stage of his construction, eliminates, at the outset, at the moment when political space is founded, any significant differences within reasonable pluralism that might cause irreconcilable conflicts. His model of construction for the content of a consensus provides for a stability in political discourse, but in the wrong way - at the price of overly narrowing the political domain. By modeling his first stage construction on the original position he has started out with a “prior consensus,” and by eliminating any effect of the fact of plurality at this first stage Rawls has preempted expressions of real and significant differences that might show up at the second stage.¹⁰³

As a consequence, then, Rawlsian political space also fails to offer any mechanisms for dealing with actual irreconcilable conflicts.¹⁰⁴ The only possible candidate for this is the reasonable citizen, which is modeled after the representative citizen in the original position. The original position is the impartial position that the ideal citizen would choose

¹⁰³This seems to me the essential underlying point of the criticisms that Habermas makes against Rawlsian constructivism. See Jurgen Habermas, “Reconciliation through the Public Use of Reason: Remarks on John Rawls’s Political Liberalism,” Journal of Philosophy XCII, 3 (March, 1995) 109-131. What I find to be the most compelling criticism of Rawls is how he can meaningfully talk about securing rational agreement (binding mutuality) by means of isolated acts of rationality. I think Habermas is right to suggest that Rawls’ proceduralism puts political deliberation beyond the reach of democratic dialogue.

¹⁰⁴Note that because Rawls sees the political domain as “resting” on or arising out of the larger cultural-social domain of a society, to exclude irreconcilable conflict from the political does not resolve the conflicts; they may still ferment in the larger “culture of the social.”

when faced with irreconcilable conflicts between their doctrines. But again, this does not address conflicts as much as ignore them, since such conflicts cannot and do not arise within its competence.

As a model for Rawlsian constructivism, the original position is “mono-cratic.” Rawls presents the original position as a device for representation, which assures one of an objective point of view. The original position enables those with irreconcilable conflicts to recognize the difference between their own point of view and a point of view that “establishes a public framework” - a public point of view. The original position represents this public point of view. A reasonable citizen will then choose the public point of view over her own when there are certain questions of political differences - constitutional essentials and matters of basic justice. Yet, with such a single “public point of view” as the original position, one’s own view never gets expressed in the public arena, at least in essential matters. According to Rawlsian constructivism, the standpoint of the original position must always be preferred over our own; it must “rule over” our own whenever irreconcilable differences matter. Thus, it is mono-cratic in that it is a single, preestablished public point of view which “rules” the public conversation.

Rawls wants to reform the way we negotiate differences, particularly irreconcilable conflicts. But the Rawlsian reform preempts the formation of different non-economic and non-instrumental relationships. The Rawlsian rules for public deliberation begin with the presumption that all human relationships involve competing positions (humans competing for limited resources) and assume that before entering the original position, one has as her goal the appropriation and consumption of resources. Clearly these kinds of human

relationships are either consumptive or instrumental. But where and how are free and egalitarian relationships, non-competitive, non-economic and non-instrumental relationships represented in the Rawlsian reforms? Does politics have nothing to say about this kind of relationship?

The problem lies within Rawls's model of construction, where his overlapping consensus is spawned from the original position, not with his notion of construction. What is needed is an alternative model; one that will preserve the conditions of visibility, and thus, plurality (one that consists of a plurality of positions rather than find a way around them); a model of construction based on a different form of intersubjective communication; a model for constructing a political space where both distinctness and equality are valued at its founding moment. We need a model of construction that will enable us to construct, from a plurality of positions, a common sensibility in which the appearances of a diversity of human beings are secured.

We will consider one possibility of an alternative model in a later section, when we examine Arendt's analysis of Kantian aesthetic judgement. However, first I want to consider whether Arendt's conception of political space can better represent the kind of democratic relationships, particularly with its conditions of equal visibility, for which we are searching,

D. ARENDTIAN SPACE OR RAWLSIAN SPACE?

Arendt's conception of political space and how it differs from Rawls's is most vivid where she makes her distinction between the social and political spheres of human

activities. In developing these distinctions as Arendt suggests them, we will be able to identify and distinguish the different normative principles regulating each sphere; that the regulative principles of political economy - the social spheres - are not the same for the political sphere.¹⁰⁵ The Arendtian distinction between the economic with its instrumental, means-end rationality, and the political with the ongoing activity of keeping public spaces of human appearances in existence, enables us to reconceptualize the relationship between the normative criteria of justice that regulate the production and distribution of social goods, and the distinctly different criteria of human relationships between free and equal citizens by means of which free human action is stabilized. Political democracy is not economic democracy; its range is not equivalent to the concerns of a democratic political economy. Arendt's conceptions of the political and social provide a way to work out this

¹⁰⁵This Arendtian distinction seems to parallel Rawls's distinction between "constitutional essentials" and "questions of basic justice" (John Rawls, Political Liberalism, 227-230). According to Rawls, both of these "express political values," though a different set of principles regulates each. Yet, there is an ambiguity here that Rawls seems to recognize but leaves unattended. On the one hand, he says: "Similarly, though a social minimum providing for the basic needs of all citizens is also an essential, what I have called the "difference principle" is more demanding and is not." (Ibid., 228-9) On the other hand he says: "Here I remark that if a political conception of justice covers the constitutional essentials and matters of basic justice -for the present this is all we aim for - it is already of enormous importance even if it has little to say about many economic and social issues that legislative bodies must regularly consider. To resolve these more particular and detailed issues it is often more reasonable to go beyond the political conception and the values its principles express, and to invoke nonpolitical values that such a view does not include. But so long as there is firm agreement on the constitutional essentials and established political procedures are reasonably regarded as fair, willing political and social cooperation between free and equal citizens can normally be maintained" (Ibid., 230, emphasis added). It is unclear how, for example, "a social minimum providing for the basic needs of all citizens" (the Arendtian "necessities"?) is a constitutional essential and, therefore, clearly political (even though "the difference principle is more demanding and is not") and yet why his political conception "has little to say about many economic and social issues."

distinction more clearly, and to expand political liberalism's conception of the political beyond the economic models of distributive justice - including "justice as fairness."

For Arendt, the democratic political realm, as distinct from the social, is that space where people appear and interact with one another not in the context of necessity nor means-ends to satisfy human needs, but as free of such needs and as equals in speech and deeds. The political is not for achievement of human ends or goals, nor for the satisfaction of human needs, but for distinguishing selves in word and deed.

Arendt's conception of the political domain, as distinct from the human condition of sociality, suggests a space that both unifies and separates, since it lies "in-between" its members and not "above" or "outside." It is not a space that we enter by leaving something of ourselves behind (our partiality is "enlarged" not bracketed out), but a space we enter when we act and speak in concert, under the condition of plurality.

The political is conditioned by distinction and equality.¹⁰⁶ A precondition of equality is that the necessities of life are sufficiently satisfied. The liberations from poverty and the satisfaction of economic and social needs - these are the concerns of "political economy" - are pre-political matters for Arendt. Still, that does not mean that they are of no political interest or consequence. While the political for Arendt does not exist in order to have these necessities met, if they are not met, human equality, and therefore the political, is not possible. Freedom and poverty are incompatible.¹⁰⁷

¹⁰⁶The condition of plurality has a twofold character of equality and distinction (see Hannah Arendt, The Human Condition, 175 ff.).

¹⁰⁷"Poverty is more than deprivation, it is a state of constant want and acute misery whose ignominy consists in its dehumanizing force; poverty is abject because. . ." Hannah

Such “economic” matters are dictated by the demands of necessity and are to be decided by experts - the “representatives” we elect are the experts we “democratically” appoint to deal with such matters. For Arendt this is the role of administration, not of the political. Such tasks of administration are not, or at least ought not to be, subject to the vicissitudes of mass society, but are determined by the conditions of rationality. What needs to be done in the economic domain is prescribed by the conditions of necessity over which morality and the principles of justice “rule.”¹⁰⁸

But this is not the domain of the political, a space for free and equal citizens. If the experts of administration and just distribution are allowed to rule over citizens as free and equals (not merely citizens as clients or consumers) then the political does become a place controlled by an elite (OR, 240).¹⁰⁹

Rather, the realm of the political is human freedom. The political predicament that poverty causes and why we ought to be politically concerned with it is not for the

Arendt, On Revolution (New York: The Viking Press, 1963) 54.

¹⁰⁸“Since the revolution had opened the gates of the political realm to the poor, this realm had indeed become ‘social.’ It was overwhelmed by the cares and worries which actually belonged in the sphere of the household and which, even if they were permitted to enter the public realm, could not be solved by political means, since they were matters of administration, to be put into the hands of experts, rather than issues which could be settled by the twofold process of decision and persuasion” (Ibid., 86, emphasis added).

¹⁰⁹“All rulership (as distinct from free and equal political space) has its original and most legitimate source in man’s wish to emancipate himself from life’s necessity, and men achieved such liberation by means of violence, by forcing others to bear the burdens of life for them.... Nothing, we might say today, could be more obsolete than to attempt to liberate man from poverty by political means; nothing could be more futile and more dangerous.... The result was that necessity invaded the political realm, the only realm where men can be truly free” (Ibid., 110).

satisfaction of wants, but “darkness,” “public obscurity,” the inability of those whose necessities are not met to enter into the public arena. The political is the space for distinction, for individuation, for freedom, not self-preservation. The social realm, on the other hand, is the space for addressing the needs of human preservation. Thus, the different spheres each have their own criteria and principles of action and interaction.¹¹⁰

Arendt’s concern for stability of the political domain leads her to want to “liberate” politics from economics, not in order to purify the political for an elite, nor to protect economic power from being influenced by the political realm. Arendtian political space, as distinct from the economic, is based on a recognition that economics is a consequence of human necessity made into a public concern and not a consequence of free political action, any more than politics is a consequence of economics.¹¹¹ “Politically such a position is a surrender of freedom to necessity” (OR, 59).

When the economic sphere intrudes into the political domain there is a confusion of the political with its pre-political conditions. The foundation of freedom - which occurs only within genuine political space - is liberation from tyranny not liberation from want and necessity. It is the “foundation of a body politic which guarantees the space where

¹¹⁰“No revolution has ever solved the “social question” and liberated men from the predicament of want... And although the whole record of past revolutions demonstrates beyond doubt that every attempt to solve the social question with political means leads into terror. . .” (Ibid., 108).

¹¹¹See Hannah Arendt, *On Revolution*, 57-58. This is not to say that the economic realm is not under the “power” of the political, nor that economic issues have no political significance. But it is to say that economic matters are of political concern because economics is a pre-condition of the political. Economic questions *per se* are not political questions.

freedom can appear” (OR, 121) even though it must be preceded by a liberation from poverty.¹¹² The possibility of freedom is preceded by liberation from necessity, but the foundation of freedom is the stability of free political spaces.

The most stable political domain is an “isonomy,” not a “democracy” - not any rule, even of the majority, but no-rule, an equality of freedom! This kind of equality is not a given condition of human living, but can only be created by human action. Nor is this kind of equality achieved by a just distribution of social goods according to some standard, though it does require a liberation from poverty. We are not born (physically, or socially) equal, nor can we be made equal regarding social necessities or capabilities. Rather, we “become” equal politically, as we act and speak freely, unmediated and without merely instrumental value in the public spaces between one another.

1. Is Arendtian Political Space Anti-democratic?

At this point it is perhaps appropriate to consider a long standing objection to Arendt’s conception of the political, particularly her distinction between “political” and “social,” which is best articulated by Sheldon Wolin in 1983. Wolin charges Arendt with an anti-democratic strain that is elitist and contradictory of whatever egalitarian sentiments she might have. Consider the following passage:

¹¹²“ . . . freedom was understood to be the free man’s status, which enabled him to move. . . to go out into the world and meet other people in word and deed. This freedom was clearly preceded by liberation... But the status of freedom did not follow automatically upon the act of liberation. Freedom needed, in addition to mere liberation, the company of other men who were in the same state and it needed a common public space to meet them...” (Hannah Arendt, “What is Freedom,” Between Past and Future, 148, emphasis added).

(I)t is not difficult to show that many of the major categories that compose and distinguish her political outlook were either critical of or incompatible with democratic ideas. This I believe to be the case with the distinction on which her political ideals were grounded, the distinction between “the political” and “the social.” Her critical attitude toward democracy rested on a correct intuition that the impulse of democracy has been to override that distinction. For historically, democracy has been the means by which many have sought access to political power in the hope that it could be used to redress their economic and social lot. The “natural” state of society contains important distinctions of wealth, birth, and education that are typically extended into political power. Thus social power is translated into political power which is then used to increase social power. Democracy is the attempt of the many to reverse the natural cycle of power, to translate social weakness into political power in order to alleviate the consequences of what is not so much their condition as their lot-tery.

Democracy would also obliterate these Arendtian distinctions because it wants to extend the broad egalitarianism of ordinary lives into public life. It is at odds with the emphasis on authority, ambition, glory, and superiority that figured so importantly in Hannah Arendt’s conception of authentic political action. It was not accidental that she excluded sentiments of fellow-feeling - compassion, pity, love - from the political realm, or more important, that she was silent about “friendship” (so central to her ancient Greeks) and “fellowship” (so basic to Hebraic and Christian conceptions of community). These democratic sentiments and virtues do not accord with the agonistic conceptions of action she extolled. Democratic action is, perforce, collective; its mode is cooperation; and its presupposition is not a small audience of heroes but shared experience.¹¹³

The general force of Wolin’s critique centers around the idea that equality and distinction are incompatible; egalitarian democracy is at odds with the idea of an individual actor “distinguishing” herself and being “distinguished” in the public arena. Thus, Wolin considers Arendt an elitist who reserves the political sphere for the actor and what happens on stage, leaving the ordinary citizen to be a mere observer of the spectacles.

In replying directly to Wolin’s criticism I am interested in determining whether

¹¹³Sheldon Wolin, “Hannah Arendt: Democracy and the Political,” *Salmagundi* 60 (1983): 3

Arendt must be read the way Wolin reads her, or whether her conception of political space can and does contain the conditions for democratic relationships.

First, regarding her use of the metaphor of “the performing arts,” let me suggest that the actors on stage are not meant to indicate politicians or governmental officials, but citizens, all citizens, as they speak and act and appear to one another. Likewise the spectators are citizens as well, only now citizens as they listen, hear, and see others. In Arendt’s conception of the political as a space for human performance, her use of “performing art” is only as a metaphor not as a definition.¹¹⁴ The significance of this metaphor for Arendt is in the nature of a performing act in which both actor and spectator are essential, not in the notion of a stage and its separation from the audience. My own use of the metaphor of theatrical space, and the insights of Peter Brook should by now have made this point clear. In the political arena both citizen as actor and citizen as spectator are participants in public human performances. The political “stage” is not the restricted field on which the actors move around, but the space between the actors and the spectators, between citizens as actors and citizens as spectators.

Furthermore, it seems clear that when Arendt uses the metaphor of theatrical, performing arts, she is not suggesting it as a standard for evaluating the historical

¹¹⁴“Since all acting contains an element of virtuosity, and because virtuosity is the excellence we ascribe to the performing arts, politics has often been defined as an art. This, of course, is not a definition but a metaphor, and the metaphor becomes completely false if one falls into the common error of regarding the state or government as a work of art, as a kind of collective masterpiece. In the sense of the creative arts, which bring forth something tangible and reify human thought to such an extent that the produced thing possesses an existence of its own, politics is the exact opposite of an art - which incidentally does not mean that it is a science” (Hannah Arendt, “What is Freedom,” Between Past and Future, 153).

structures of the state or of a government but as a representation of the space in between ordinary human beings which supports or establishes those structures. Likewise, her critique of democracy is not a criticism of the ideal of egalitarian relationships between human beings, but of the concrete forms of so called democratic governments (consider her critique of The Origins of Totalitarianism) which have elicited the support of a so-called democratic people under the guise of (economical) “freedom.”

Thus, when, as Wolin says, Arendt was critical of the “impulse of democracy to override” the distinction between political and social, I am suggesting that she was not criticizing the ideal of democracy but its concrete western forms.¹¹⁵ Indeed, Wolin’s observation, that historically western democratic governments have provided the many with the hope of redressing their economic and social lot, makes the same point that Arendt makes, namely, the fact that the political has become the battle ground for the distribution of wealth and for publicly addressing the economic conditions of necessity. Arendt is critical of the mixture of the political and the social, because, according to her analysis, there are two different sets of rules and regulations regarding these spheres. To mix them is to confuse the different kinds of hidden rhythms (eternal truths) that rule our

¹¹⁵“That representative government has in fact become oligarchic government is true enough, though not in the classical sense of rule by the few in the interest of the few; what we today call democracy is a form of government where the few rule, at least supposedly, in the interest of the many. This government is democratic in that popular welfare and private happiness are its chief goals; but it can be called oligarchic in the sense that public happiness and public freedom have again become the privilege of the few. The defenders of this system, which actually is the system of the welfare state, if they are liberal and of democratic convictions, must deny the very existence of public happiness and public freedom; they must insist that politics is a burden and that its end is itself not political” (Hannah Arendt, On Revolution, 273, emphasis added).

lives.

Wolin's criticism of Arendt as anti-democratic is based on a difference of understanding how the political and the social are connected. Because she wants to keep distinct the social and the political is no reason to think that Arendt is uninterested in public attempts to address issues of economic necessity. Indeed, within her own analysis, there is reason to think that she is, or ought to be attentive to this, since she claims that basic necessities of the human condition must be met in order that people can enter the political sphere. (Still courage is required since human necessities are never completely met.) And these attempts at social justice must be regulated according to some type of "rule" which, again according to her analysis, is incompatible with egalitarian relationships and with Arendtian freedom. Even Rawls recognized that "political power is always coercive" (PL, 68).

For Wolin, "social power is translated into political power which is then used to increase social power. Democracy is the attempt of the many to reverse the natural cycle of power, to translate social weakness into political power in order to alleviate the consequences of what is not so much their condition as their lot-tery." But is this way of "reversing the natural cycle of power" democratic action? Are egalitarian relationships secured by using political power to increase the social power of the "have-nots?" Such uses of political power are coercive and uneven. They may effect a leveling of wealth and "natural resources," but that does not make them democratic. Arendt is suggesting, as Rawls does elsewhere, that democratic relationships of equality and freedom can best be secured by preventing "the important distinctions of wealth, birth, and education" from

being extended into the political arena, rather than by using the political arena for a battle ground for power between those of different social distinctions.

Wolin is mistaken to think that democracy “wants to extend the broad egalitarianism of ordinary lives into public life.” There is no such “broad egalitarianism of ordinary life,” let alone one which can be extended into public life. Democracy is a process of bringing about egalitarian relationship between human beings. Such relationships are not given to us at our births, but are constructed, or, to stay within Arendtian terms, created by the free actions of human beings acting together.

Democracy is a set of human relationships that can only come about by human activity. Egalitarian relationships are possible because each of us, every human being, by being born into the world has the power to distinguish herself, to become who she wants to be. And, because we each have this power of distinction, the power of natality, the capacity for heroic action, as long as a public space where such actions are possible can be maintained, egalitarian relationships among a free people are more than a mere fantasy.

“Distinction and equality” are not contradictory, since the basis of equality, of political equality, is the extraordinary yet common capacity for human distinction. The metaphor of performing arts for the political is not elitist if we recognize that we are all both actors and spectators by virtue of our capacity to act and to speak. The political arena is not the stage, but the entire theater, the entire space that lies between human beings¹¹⁶, a space that is often, too often, emptied, or rather crowded by consumptive and

¹¹⁶Here the metaphor of theatrical space begins to break down, since theaters are limited in size and capacity. Indeed, at times we have used the phrase “political spaces” to indicate that there is a plurality of democratic, egalitarian spaces. Clearly no one individual

instrumental relationships.

A particular passage from Arendt's On Revolution seems to stand out as indicative of so called Arendtian anti-democratic sentiments.

Freedom in a positive sense is possible only among equals, and equality itself is by no means a universally valid principle but again, applicable only with limitations and even within spatial limits. (Hannah Arendt, On Revolution, 279)

To claim that freedom is possible only among equals is not to say that freedom is unavailable to everyone. Indeed her criticism of representative democracy, which Arendt disparagingly considers "administration" and not political, is precisely that in this form of government public freedom has become the privilege of the few. Her point is not that freedom is only available to the few, but that freedom can only exist where there is equality; and equality is available only to those whose necessities are satisfied enough for them to be able to leave the sphere of consumptive activity and who have enough courage to enter the most fragile sphere of words and deeds. What is unfortunate is that only a few make, or are able to make, this move.

If we want freedom for all citizens, then we must make it possible for each to leave the spheres of consumptive and instrumental activities. Can equality among all ever be attained? Yes but not social equality, and certainly not equality with regard to what is

can appear to every other person, no one theater is large enough to get everyone in. The metaphor breaks down because theatrical spaces are enclosed, contained by walls, and roofs. Political spaces are not, though they are still perhaps spatially limited. The problem that eventually needs to be addressed when using a spatial metaphor is to identify what inscribes or contains political spaces. Perhaps it is ordinary language, the ability to use and to understand the words, gestures and rhetoric of a common language.

needed for sustaining human life and meeting our physical needs. We differ too much at these levels. Equality can be achieved only with great difficulty and only in the political arena as Arendt designs it. Equality is not something to be found, but something to be created. It is created only when citizens, with great courage (which, Arendt suggests, is “perhaps not as rare as we are inclined to think,” OR, 280) leave behind the personal demands for the necessities (and niceties) of life and enter a humanly created space where no one has any more power than anyone else, where there is no “rule” of one over another, and where anyone can distinguish herself with her own words and deeds. Arendt is not suggesting that free political action is limited to a small audience of heroes. What she is suggesting is that we all must become heroes if we are to be free. And, we will not be able to be free unless there is a public space into which we can enter and distinguish ourselves with one another.

The phenomenon I am concerned with here is usually called the “elite,” and my quarrel with this term is not that I doubt that the political way of life has never been and will never be the way of life of the many, even though political business, by definition, concerns more than the many, namely strictly speaking, the sum total of all citizens . . . My quarrel with the “elite” is that the term implies an oligarchic form of government, the domination of the many by the rule of the few. From this one can only conclude - as indeed our whole tradition of political thought has concluded - that the essence of politics is rulership and that the dominant political passion is the passion to rule or to govern. This, I propose, is profoundly untrue. (Hannah Arendt, On Revolution, 280)

Here Arendt is clearly not saying the political way of life is only for the few, but that, historically, only the few have entered its spaces. For Arendt, equality is the distinguishing mark of political spaces where free words and deeds occur. The problem with political elites is not that they are the few, but that they dominate, rule over, the

many. If the social (where inequality does exist) and the political (where equality should exist) are mixed, then the few will always and eventually “reign,” since “rulership,” with its rational order and symmetry, is the most efficient and just distribution of resources.

Another criticism of Arendt, similar though perhaps not so caustic, by Jurgen Habermas is that her conception of the political and its distinction from the social is naive and unrealistic. According to Habermas, Arendt envisioned:

... a state which is relieved of the administrative processing of social problems; a politics which is cleansed of socio-economic issues; an institutionalization of public liberty which is independent of the organization of public wealth; a radical democracy which inhibits its liberating efficacy just at the boundaries where political oppression ceases and social repression begins - this path is unimaginable for any modern society.¹¹⁷

If Arendt advocated a separation between the social and the political as a disconnect and isolation of one from the other, then surely such a path is unimaginable for any modern society. But Arendt does not, at least need not, discredit the importance of “administration,” and of addressing the human condition of consumptive needs. Indeed, even in her own terms, these needs must be addressed if the political is ever to exist. The political is not independent, in that sense, of the organization of public wealth. But the space for those human activities in which wealth is distributed is not the political space in which citizens speak and act freely with one another. By making the distinction between the social and the political Arendt is not suggesting that we can ignore the social, but rather that we give proper attention to the political as the space of human freedom. Again,

¹¹⁷Jurgen Habermas, “Hannah Arendt’s Communications Concept of Power,” Social Research 44.1 (Spring, 1977): 15

Arendt's distinction between the social and the political is not offered as a standard by which to gauge modern politics, implying that the modern state should not concern itself with problems of poverty, neglect and degradation. Rather, the value of her distinction is with her analysis of the different functions of the social and political spheres and particularly with preserving the function of the political as spaces for human freedom (spaces which she thinks are in serious threat of no longer being even imaginable).

Arendt criticizes western democratic governments that claim to provide for people's economic necessities but fail to provide the spaces needed for the exercise of freedom. The failure of western forms of democracy is that all too little power is given to creating and sustaining spaces for human freedom. We think that by providing for the necessities of human life we are providing the condition for freedom. But satisfying our consumptive demands is only a precondition of freedom. In most modern democratic societies we have only begun the process of democratization. Much more needs to be done if we are to continue becoming free and equal democratic peoples.

Wolin is right to recognize that Arendt excluded "sentiments of fellow feeling" from the political domain. But she excluded those sentiments that she understood as appropriate only to consumptive or instrumental relationships. The sentiments of compassion and pity are not appropriate in relationships between equals (these are sentiments of saints toward sinners) and thus do not belong in political spaces. They are, on the other hand, appropriate sentiments among bureaucrats whose job it is to distribute public resources needed to satisfy human necessities. We expect our bureaucrats to have compassion and pity on those who are in need. Among equals, who publicly appear to and

with one another, the appropriate and indeed crucial “sentiments” or perspectives are those built on trust, promise and forgiveness; critical postures that political participants are asked to take not because of inequalities between them but because of the power and instability of their free human actions. Let us now reexamine those “sentiments” and their role in democratic spaces.

2. Remedies for Democratic Instability: Forgiveness and Promise or Consensus?

In Chapter Four, we have seen how Arendt’s conception of the political rejects the traditional solution to the instability of political space - of replacing the frailty of free human action with the activity of legislating and executing a normative consensus agreement. We cannot solve the instability of political action by taking a “higher road,” turning the political into a single perspective from which the sources of instability (free human speech and action) are overarched or overlooked.

We saw earlier that the reason for the Rawlsian approach to stability is the “fact of reasonable pluralism” (that there are irreconcilable yet reasonable conflicts) and the fear that such conflicts (conflicts that result from the irreversibility and unpredictability of human actions and relationships, both of which are also the source of great democratic power) will lead to violence. To prevent such violence, the traditional, and Rawlsian solution has been to institutionalize human relationships by replacing human plurality and freedom of action with a monarchical rule of, in Rawlsian space, an overlapping consensus. The “rule” by overlapping consensus displaces the diversity and differences of the individual actors and their actions. And this displacement, in turn, as Arendt argues,

results in the invisibility of human plurality.

Rawlsian stability is maintained at the expense of human plurality, and that expense is too great a price to pay. Arendt's solution is not to replace free human action and speech as the basis of political action, but to address the problem of stability by designing the nature of political participation to include the heroic and inspiring acts of forgiveness and promise.

The greatest threat to the stability of democratic political space occurs when human freedom in human relationships is no longer even imaginable. The threat to the stability of egalitarian human relationships is when speech and action are nothing more than instrumental, where human relationships are visible only as instruments for human consumption or production. The greatest threat to free human relationships is when all political action is seen as merely orderly arrangements in which speech and action are solidified and terminated into completed, final agreements with "the rule of law." The Rawlsian overlapping consensus is such an agreement by which human relationships are measured, defined and determined. The Arendtian alternative of promise, rather than institutionalizing agreements that determine present and future actions, makes visible an actor who links her ongoing free human activity to a future by what is itself a free uncoerced action, the act of promising.

Promises, as distinct from institutionalized contracts, "leave the unpredictability of human affairs and the unreliability of men as they are, using them merely as the medium, as it were, into which certain islands of predictability are thrown and in which certain guideposts of reliability are erected. The moment promises lose their character of

uncertainty, that is, when the faculty is misused to cover the whole ground of the future and to map out a path secured in all directions, they lose their binding power and the whole enterprise becomes self-defeating” (HC, 244). By means of promises, citizens as actors agree to act in the future, not by giving up their freedom (and, thus, their unreliability) by agreeing to continue to enter into human relationships of speaking and acting with one another.

Arendt is also concerned with finding ways to stop the violence, but not by overarching the conflict, nor by diminishing human freedom by means of ordering symmetrical relationships. Rather, her remedy is to insert another free action within the very conflict itself. Forgiveness does not stop the conflict (and, thus, preempt the potential violence), but does put an end to the consequences of the conflict - the hurt, the pain, the violence is stopped. With forgiveness, sought and given, human conflicts, which are an inevitable part of any free and egalitarian relationship, do not erupt into violence. Forgiveness is that human action by which the violent consequences of human actions are prevented (though not always and not completely) from proceeding as effects of those actions.

These Arendtian solutions for political stability recognize that some conflicts are beyond human control; there are some conflicts that are part and parcel of human freedom and plurality. Difference and plurality are not something to be blocked at a certain point, even when they become irreconcilable. Human conflict is part of the human condition. The problem with which we need to concern ourselves is not that human beings are in conflict, but when human conflict turns violent and causes suffering and human destruction. And, it

matters not whether this suffering is deliberate or accidental, whether it comes from someone responsible or irresponsible. In either case human suffering is real, and once it begins, it cannot be undone. It is irreparable. The best we can hope for, without destroying the very source of free and equal human relationships (plurality with its elements of distinctness and equality), is to stop the violent consequence of conflict either from beginning or from continuing. We do that by our power to forgive.

Rawls's solution of an overlapping consensus is to eliminate conflict, which he does by also eliminating differences, irreconcilable differences. But are irreconcilable differences the sources of instability? The limitation of Rawls's solution is that an overlapping consensus does not show us how to live with irreconcilable differences, but requires that they give way to a conceptual, hypothetical agreement made by a procedural rule or regulation. They remain, only now are invisible. They remain, still as sources of instability, but now arched over, ignored. The problem with Rawls's solution is not that it fails to eliminate the differences (that would be destructive of plurality), but that it hides them, keeps them invisible.

Arendt's solution of forgiveness and promise is to keep the differences visible, but end the reverberating, destructive and violent consequences that the use of human power too often causes.

It should be clear by now that the Arendtian remedy for political instability and the Rawlsian solution are not at all parallel. If we use the distinction between social and political that Arendt develops, we might say that Rawls is concerned with social freedom and equality while Arendt is concerned with political freedom and equality. Their different

conceptions of human freedom, (and different answers to the question “why the political?”) lead Rawls to search for political stability in symmetry and order, and Arendt to look for it in conditions of visibility.

I have been arguing all along that the conditions of visibility need to be laid out before we even look at the problems of order; how people appear to one another precedes how we organize their relationships. I have criticized Rawls for being too quick with the question of democratic order, the symmetry of egalitarian relationships, before adequately laying out the conditions of visibility. In my search for the conditions of visibility and perception, I have also criticized Rawls’s use of a single perspective by which he secures the conditions of visibility, arguing that the original position makes too many differences invisible.

It remains for us now to consider how Arendt’s conception of the political domain and its distinctness from the social allows for a common perspective in which the conditions of visibility are provided.

E. ARENDTIAN POLITICAL CONSTRUCTIVISM.

In Chapter Two, in our consideration of the Packard case as an illustration of the construction of democratic space, I suggested how the conditions of visibility for the Packard employees and managers were secured by their creation of a common perception, a “common sense” of who they were and how they wanted to relate with one another. I suggested that this common sense was constructed by means of intersubjective communication between a plurality of individual participants, each from their own

“enlarged perspective.” I further suggested that one’s enlarged perspective was the result not of leaving behind her own perspective, but of taking, while still within one’s own perspective, a multitude of different positions.

The problem we now must address, particularly since we have rejected Rawls’s version of constructing a “common sense” with its single perspective (the original position as the public point of view), is whether we can develop an alternative model in which a plurality of human beings appear (preserving the conditions of plural visibility) and in which no one need leave behind her own perspective.

In this section I want to suggest how we might find an alternative to the Rawlsian construction in Arendt’s original, though incomplete analysis of the political implications of Kant’s analysis of “judgements of taste”

1. The Model of Arendtian Constructivism: Aesthetic Judgements of Taste

We saw how Rawls uses the original position as a device to set up a single public point of view through which all conflicts within the boundary of reasonable pluralism must pass. The original position with its veil of ignorance allows us to construct an idealized public point of view from which fundamental conflicts of values are in effect eliminated.

An Arendtian constructivism, on the other hand, based on the fact of human plurality, tries to preserve the diversity of viewpoints and, by means of intersubjective communication, construct a public position that consists of a multitude of perspectives. To use Rawlsian language, it seeks to incorporate reasonable pluralism within the public point of view rather than find a way around it.

By turning to Arendt's reflections on Kantian aesthetic judgements I hope to suggest a form of constructivism based not on shared ideas, conceptions and beliefs, but on a shared capacity for making political judgements that take other viewpoints or positions into account. What Arendt seeks to construct is not a political conception that can become an overlapping consensus, but a "sensus communis" - a common sense of the shared world. And she seeks to construct it not from or by means of a single original position, but by a plurality of "enlarged positions."

The public point of view, for Arendt, is not some "higher standpoint" that requires us to rise out of our own "skins," but is constructed by taking the plurality of other viewpoints into account¹¹⁸ by means of what she calls, "critical thinking."¹¹⁹

According to Arendt, we always begin with our own limited perspectives on the world, a world we share with one another. And by critical thinking we enlarge our own perspective by which we still subjectively view the world though now not merely from our initial limited perspective but from a perspective constructed by "going through" and "visiting" a plurality of positions.

"Enlarged thought" is the result of first "abstracting from the limitations which

¹¹⁸"You see that *impartiality* is obtained by taking the viewpoints of others into account: impartiality is not the result of some higher standpoint that would then actually settle the dispute by being altogether above the melee" Hannah Arendt, Lectures on Kant's Political Philosophy ed. Ronald Beiner (Chicago: The University of Chicago Press, 1982) 42.

¹¹⁹"Critical thinking is possible only where the standpoint of all others are open to inspection. ... while still a solitary business, does not cut itself off from 'all others.'" By the faculty of imagination we enlarge our own thoughts, enlarge our minds; "by the force of imagination critical thinking makes the others present, and thus moves in a space that is potentially public, open to all sides..." (Ibid., 43, emphasis added).

contingently attach to our judgement,” of disregarding its “subjective private conditions . . . , by which so many are limited,” that is, disregarding what we usually call self-interest, which, according to Kant, is not enlightened or capable of enlightenment but is in fact limiting. The greater the reach - the larger the realm in which the enlightened individual is able to move from standpoint to standpoint - the more “general” will be his thinking. This generality, however, is not the generality of the concept - for example the concept “house,” under which one can then subsume various kinds of individual buildings. It is, on the contrary, closely connected with particulars, with the particular conditions of the standpoint one has to go through in order to arrive at one’s own “general standpoint.” This general standpoint we spoke of earlier as impartiality; it is a viewpoint from which to look upon, to watch, to form judgements . . . (Hannah Arendt, Lectures on Kant’s Political Philosophy, 43-44)

For Arendt, political judgements are more like aesthetic judgements, “judgements of taste” than “cognitive judgements.” The difference between “judgements of taste” and “cognitive judgements, is that tastes are idiosyncratic, so that judgements of taste are subjective in the sense that they are made on the basis of one’s own point of view, while cognitive judgements are objective, based on the singular universalized perspective of the conceived object.

Just as sensations of taste are transformed into judgements of taste via the human capacity of “imagination,” one’s own private perspective is transformed into political judgements via the capacity of a “sensus communis.” The idea is that we justify our political judgements, our “subjective” judgements, and, therefore, make recommendations to others regarding our own point of view based on a “sensus communis.” In this way, by appealing to the “sensus communis,” our political value judgements are able to become “objective.” They are justified from our public point of view, a point of view that is uniquely our own, and, at the same time, public. They are justified from our enlarged point of view.

With this notion of “*sensus communis*,” Arendt seeks to build a political unity necessary for continued dialogue not by means of a single viewpoint, as the original position, but by means of a plurality of enlarged viewpoints, that are able to contain a multitude of perspectives. The Arendtian procedure for political constructivism is to “enlarge” our own viewpoint, if you will “growing it” into a public point of view.

How do we do that?

This *sensus communis* is what judgement appeals to in everyone, and it is this possible appeal that gives judgements their special validity. The it-pleases-or-displeases-me, which as a feeling seems so utterly private and non-communicative, is actually rooted in this community sense and is therefore open to communication once it has been transformed by reflection, which takes all others and their feelings into account. The validity of these judgements never has the validity of cognitive or scientific propositions . . . Similarly, one can never compel anyone to agree with one’s judgements . . . one can only “woo” or “court” the agreement of everyone else. And it is this persuasive activity that actually appeals to the “community sense.” (Hannah Arendt, *Lectures on Kant’s Political Philosophy*, 72)

By contrast with Rawlsian political constructivism modeled in the original position with its veil of ignorance, Arendtian constructivism begins with idiosyncratic tastes, feelings, tones of voices, intensities that can only be expressed from the individual’s perspective, as the material out of which we form political judgements on how to remedy the inequalities in democratic political space.¹²⁰ Rawls’s original position organizes political space from the

¹²⁰In a reply to Voegelin’s criticism of her *Origins of Totalitarianism*, Arendt reveals how her “method” of analysis differs from the “objective” viewpoints of science: “I therefore cannot agree with Professor Voegelin that the ‘morally abhorrent and the emotionally existing will overshadow the essential,’ because I believe them to form an integral part of it. This has nothing to do with sentimentality or moralizing.... To describe the concentration camp *sine ira* is not to be ‘objective’ but to condone them. ... If I write in the same ‘objective’ manner about the Elizabethan age and the twentieth century, it may well be that my dealing with both periods is inadequate because I have renounced the human faculty to respond to either. Thus the question of style is bound up with the

top, from above the plurality of appearances, while Arendt organizes it from within.

Common sense is amiable to difference and conflict, since it begins with and is built upon differences.

In Arendt's approach, political space that secures visibility is constructed from "a certain subjectivity, by the simple fact that each person occupies a place of his own from which he looks upon and judges the world" and decides how the world will "look and sound, what men will see and hear in it" (Hannah Arendt, "The Crisis of Culture," Between Past and Future, 222).

But, being subjective does not mean that such judgements are incommunicable or merely private perspectives.

Communicability obviously depends on the enlarged mentality; one can communicate only if one is able to think from the other person's standpoint; otherwise one will never meet him, never speak in such a way that he understands. By communicating one's feelings, one's pleasures and disinterested delights - one's tastes - one tells one's choices and one chooses one's company. (Hannah Arendt, Lectures on Kant's Political Philosophy, 74)

Political judgements, according to Arendt are derived "from the fact that the world itself is an objective datum, something common to all inhabitants." When judgements are communicated and when they are made together with others, they "decide how this world, independent of its utility and our vital interests in it, is to look and sound, what men will see and what they will hear in it" (BPF, CC, 222). Thus, political judgements establish

problem of understanding, which has plagued the historical sciences almost from their beginnings. ... I am convinced that understanding is closely related to that faculty of imagination which Kant called **Einbildungskraft** and which has nothing in common with fictional ability" (Hannah Arendt, Essays in Human Understanding, p. 403-4, emphasis added).

impartiality not from an Archimedean point but from a common sense; from an ability to see things from our own enlarged perspective that incorporates the perspectives of all others who are present.

And since political judgements, like aesthetic judgements, are perspectival they do not “compel” but are rather persuasive;

(T)he judging person - as Kant says quite beautifully - can only ‘woo the consent of everyone else’ in the hope of coming to an agreement with him eventually. This ‘wooing’ or persuading corresponds closely to what the Greeks called *peithein*, the convincing and persuading speech which they regarded as the typically political form of people talking to one another . . . the judicious exchange of opinion about the sphere of public life and the common world, . . . (Hannah Arendt, “Crisis of Culture,” Between Past and Future, 222-3)

Persuasive speech among a plurality of perspectives is integral to Arendtian constructivism.

For Arendt the principle of political unity is not a unanimity reached by everyone leaving behind their own perspective and individually taking the same perspective, but is a “common” experience of the world, a *sensus communis*, each from her own perspective. Political unity is secured by two political acts: first, of a plurality of actors who create the public spectacles, the political spaces, in which we move, and second, of a plurality of spectators who “complete the steps of creating” (see ES, 127 - also above, Chapter II, introductory quotation) political spaces by their enlarged judgements of what appears in the political domain.¹²¹ This is different from Rawls, who wants to base stability on a

¹²¹There is, in Arendt, a certain ambiguity between the roles of actors and spectators in constituting public spaces. On the one hand she says, “(t)he public realm is constituted by the critics and the spectators, not by the actors or the makers” (Hannah Arendt, Lectures on Kant’s Political Philosophy, 63), and on the other hand, “(I)n order to judge a spectacle you must first have the spectacle” (Ibid., 61). I have been suggesting that, with

conceptual unanimity attained only by leaving behind who we are, particularly in all our differences and conflicts of judgements. Thus, the Arendtian model has this advantage over Rawls's: political judgements, like certain aesthetic judgements, being subjective and local, yet communicable, do not "compel" but persuade.

Rawls is worried about an irrepressible conflict among a plurality of beliefs and conceptions of the good, and seeks to establish a political space that will enable public institutions to survive in spite of such sources of instability. Thus, Rawls seeks to organize political dialogue before it gets started; to find a prior basis for a voluntary consensus that will limit public reason within the context of irreconcilable conflicts. In this way Rawls is compelled to preclude certain features as 'unreal' and to limit the participation of the audience. Arendt, on the other hand, organizes political dialogue around a common activity, a way of talking, where public reason is not merely purposeful, to reach consensus on how to organize political institutions, but is designed to keep the web of human relationships intact, to keep the conversation going, even when there is unpredictable and irreversible damage.

Stability for Arendt lies in preserving the founding moments of constructing political spaces - not as a memory past, but as an ongoing possibility still alive. Stability for Arendt, lies in "keeping the revolutionary spirit alive." Stability involves both the founding of freedom, "a body politic which guarantees the space where freedom can appear" (OR, 121), and the preservation of that space where freedom can appear "to

Brook's understanding of theater as an experience of the invisible and of the relationship between actor and audience in theatrical performances, we can expand Arendt's insightful but unfinished and somewhat deficient analysis of political judgement.

assure the survival of the revolutionary spirit - out of which the act of founding sprung” (OR, 122), and thus to “assure the citizen’s right of access to the public realm” (OR, 123).

2. How Political Judgements Are Like Aesthetic Judgements: Visual Arts v Performing Arts.

In proposing aesthetic judgement as a model for political constructivism Arendt must be especially careful not to overstate her case. There is a particular difficulty with using aesthetic judgements to get at the kind of intersubjective space for human visibility that our notion of democratic politics requires, especially since many aesthetic experiences are based on individualistic, subjective perceptions of “works of art.” The experience of something as beautiful or holy is often a consequence of specialized “training” (whether formal or informal) by which we are enabled to perceive those elements in an object that make it beautiful or holy. Understood this way, it is difficult to see how a “common sense” would play any role in aesthetic judgements. Indeed, the suggestion that aesthetic judgements model the construction of political spaces seems to imply an elite source of political power rather than a common basis. Only certain “expert” spectators, critics who have acquired an expert knowledge in political affairs, would seem capable of making sound “aesthetic” political judgements.

To address this predicament we need to be clear about the kind of aesthetic judgement we propose for our model. Not all aesthetic experiences and judgements are alike, and I’m not convinced that the notion of “taste” gets at the needed distinction. The distinction I want to make here is between aesthetic judgements appropriate to the so-

called performing arts, particularly theatrical arts, and aesthetic judgements appropriate to other non-performing arts. To argue, as I have from the beginning chapter, that the question of human visibility is prior to the question of order, might lead us to look to the visual arts for the meaning of aesthetic judgement. But this is a mistake. It is critical that we keep in mind how we understand human visibility in political democratic spaces. As I've proposed in Chapter Two, political visibility is not a matter of presentation or representation of an accomplished or completed self, but a matter of ongoing performance. We are visible to one another by acting and speaking, not by our shape, color, talents or other characteristics that we "possess" or that can be ascribed to us. Thus, to suggest aesthetic judgement as a model for political construction is to have in mind from the beginning aesthetic judgements appropriate to public "theatrical" performances that involve speech and action.

To make clear the distinction between theatrical aesthetic judgements and other aesthetic judgements, I again turn to Peter Brook. First, recall that in Chapter One we identified two places where human beings make their appearances: on stage and in the audience. We were careful then to emphasize the participation of both actors and audiences in the creation of a public space where human visibility can flourish. In Chapter Two we focused on the relationship between the actors on stage and the audience when a performance is happening, particularly how theatrical performances occur not on the stage but in the theater, between actors and the audience. There we took notice of Brook's proposition that there is no true performance, no "aesthetic work" of a theatrical performance, without the audience "completing the steps of creation." A "theatrical" work

of art, a work of creation by which the human condition is made visible, a “theatrical” performance, does not, cannot, exist unless an audience “completes” it. As he says, “in theater . . . until an audience is present the object is not complete” (ES, 127, also above Chapter Two, introductory quotation).

Thus, a significant difference between aesthetic judgements within the contexts of theatrical performances and aesthetic judgements of other works of art, according to Brook, is that, in theater no aesthetic object exists without the audience’s judgements, without the spectator audience “bringing it to completion.” In other forms of aesthetic experiences and judgements we are presented with an object. Then, depending upon our own capabilities or instincts (our “expertise”), we “see” what is there and make our judgements. We do this “when standing alone with the completed object.” Not so with a theatrical performance that is more than “essentially artificial.”

Not all aesthetic judgements are alike. Some, perhaps most, follow upon a created, completed work, but others, like the judgements of an audience in theatrical performances, complete the creation of the work. It is this second kind of aesthetic judgement that I believe Arendtian constructivism needs as a model when talking about the construction of a common sense perspective and enlarging our own subjective point of view into a public perspective.

At the end of his reflection on theatrical space, where he raises a question about “what remains, when a performance is over?”¹²² Brook’s concluding insights into the

¹²²Peter Brook, The Empty Space, 136-141. Brooks’s question here is not unlike our question of democratic stability. If democratic spaces are built on the fragile appearances of one another, is there anything of our relationships in which the inequalities of power

nature of theatrical performance and the participatory role of the spectator audience again suggests to us a way to clarify our understanding of the participatory role of spectator-citizens and of the nature of political democratic judgement. There Brook proposes that good theater consists of three essential elements: (1) repetition or rehearsal, (2) representation or the action of the actors on stage during a performance, and (3) assistance or the “action” of the audience as it “inter-acts” with the event occurring on stage to bring it to completion.

Repetition or rehearsal is the process of preparation of the actors for a performance. Through constant and demanding repetition the actor gains a degree of expertise regarding the actions he is to perform on stage. Without rehearsals, the action that an actor is supposed to perform is not possible. A performance without rehearsals is incredible.

The second element, representation, is an actual performance of what has been rehearsed. A representation is not simply another repetition or rehearsal, but a process by which the actor “takes yesterday’s action and makes it live again in every one of its aspects” (ES, 139). A representation is a re-presenting of the human condition, a renewal of life, a making visible the invisible powers that rule our lives. But what makes a

have been made visible and remedied that lasts, or are we, as the Evans and Boyte studies suggest, bound to recreate free spaces, over and over again. Brook’s response to his own questions seems appropriate for us as well: “When emotion and argument are harnessed to a wish from the audience to see more clearly into itself - then something in the mind burns. The event scorches on to the memory an outline, a taste, a trace, a smell - a picture. It is the play’s central image that remains, its silhouette, and if the elements are rightly blended this silhouette will be its meaning, this shape will be the essence of what it has to say. . . . Then a purpose will have been served. A few hours could amend my thinking for life” (Peter Brook, The Empty Space, 136).

representation or a theatrical performance different from simply another rehearsal?

The study of what exactly this means opens a rich field. It compels us to see what living action means, what constitutes a real gesture in the immediate present, what forms the fakes assume, what is partially alive and what is completely artificial - until slowly we begin to define the actual factors that make the act of representation so difficult. And the more we study this the more we see that for a repetition to evolve into a representation, something further is called for. The making present will not happen by itself, help is needed. (Peter Brook, The Empty Space, 139)

What is needed, according to Brook, is an assistance provided by the spectator audience.

Assistance - I watch a play: *j' assiste a une piece*. To assist - the word is simple: it is the key. An actor prepares, he enters into a process that can turn lifeless, at any point. He sets out to capture something, to make it incarnate. In rehearsal, the vital element of assistance comes from the director, who is there to aid by watching. When the actor goes in front of an audience, he finds that the magic transformation does not work by magic. The spectators may just stare at the spectacle, expecting the actor to do all the work and before a passive gaze he may find that all he can do is a repetition of rehearsals . . . Occasionally, on what he calls a 'good night', he encounters an audience that by chance brings an active interest and life to its watching role - this audience assists. With this assistance, the assistance of eyes and focus and desires and enjoyment and concentration, repetition turns into representation. Then the word representation no longer separates actor and audience, show and public: it envelopes them what is present for one is present for the other. The audience too has undergone a change. It has come from a life outside the theater that is essentially repetitive to a special arena in which each moment is lived more clearly and more tensely. The audience assists the actor, and at the same time for the audience itself assistance comes back from the stage.

Repetition, representation, assistance. These three words sum up the three elements, each of which is needed for the event to come to life. (Peter Brook, The Empty Space, 139-40)

According to Brook, what makes an experience of theater an experience of life, of what is real and human (rough theater), is not the action on the stage but both the action of the actors and the inter-action of the audiences. The aesthetic object, the invisible made

visible, the visibility of unequal powers that rule our lives, is brought into existence by the representations of the actor-citizens but only with the assistance of the spectator-citizens. The judgements of the spectators, made during the performances, are as essential to the creation of the performance as are the rehearsed representations of the actors.

If we read Arendt's proposal of aesthetic judgements of taste as a model for political judgements without the above distinction between aesthetic judgements, then I think she is open to criticisms of elitism and antidemocratic tendencies. But, I do not think we need to read her that way. The problem is not so much with the dramaturgical model as it is with the way we understand theatrical performances. If we think that the action of the actors on stage is the creative act of the performance, then our dramaturgical model does exclude spectator citizens from the performance of political acts. But, if we recognize, as Brook points out, how the performance is a result of both actors and spectators, then we have a way of talking about the active participation of spectator citizens in the creation of democratic political spaces.

3. Public Discourse in Arendtian Political Space.

One of the more significant elements of political liberalism is how its design of the political domain along with its method of construction defines the conditions under which the public reasons together. That seems correct. But, since I have rejected the conception of the political domain as "justice as fairness" in favor of an Arendtian conception, the conditions for public reason need now to be reexamined.

For Rawls public reason is constrained by "justice as fairness" as it is established

by the original position. “In justice as fairness, and I think in many other liberal views, the guidance of inquiry of public reason, as well as its principle of legitimacy, have the same basis as the substantive principles of justice. This means in justice as fairness that the parties in the original position, in adopting principles of justice for the basic structure, must also adopt guidelines and criteria of public reasons for applying those norms” (PL, 225, emphasis added).

Since we are suggesting an alternative constructivist model, how are we to specify the conditions and limitations of public discourse? As Rawls reminds us, the completion of one’s political conception requires a conception of public reason. “(A)n agreement on a political conception of justice is to no effect without a companion agreement on guidelines of public inquiry and rules for assessing evidence. The values of public reason not only include the appropriate use of the fundamental concepts of judgement, inference and evidence, but also the virtues of reasonableness and fair-mindedness . . . ” (PL, 139).

We have seen above that Rawls’s and Arendt’s conceptions of judgement and reasonableness are quite different and thus play different roles in their conceptions of public reason. If we understand political space as more than an instrumental arrangement of human beings, but instead as providing the conditions for mutual public appearances of human beings, then the kind of political “reasoning” carried out in public discourse is not the making of substantive decisions nor achieving consensus but is rather political judgement understood the following way:

- (1) The contents of public reason are, like aesthetic judgements of “taste,” always open to discussion and subject to dispute. Conflicts of judgement are resolved not

on the basis of their truthfulness, as Rawls correctly recognizes, nor on the basis of their reasonableness, as Rawls advocates, but according to their capacity to influence and affect the public “performance.” Such political judgements, as distinct from moral judgements, do not “compel” but rather require a “persuading.” “(I)t is not knowledge or truth which is at stake, but rather judgement and decision, the judicious exchange of opinion about the sphere of public life and the common world, and the decision what manner of action is to be taken in it, as well as how it is to look henceforth, what kind of things are to appear in it” (BPF, “CC,” 223). What is at stake in political public reason (political judgements, as with theatrical aesthetic judgements) is not the reasonableness of our viewpoint or our belief system, but rather what kind of world do we want to live in, and how do we want to live (appear) in it.¹²³ As Brook put it, “Here the question comes back to the spectator. Does he want any change in his circumstances? Does he want anything different in himself, his life, his society? If he doesn’t, then he doesn’t need theater . . .” (ES, 137). If he doesn’t then he doesn’t need political spaces. On the other hand, if he does, then he needs to stay and, along with others who stay, bring to completion the performances of public deeds. His presence (as both actor and spectator) is critical to the outcome.

¹²³“The activity of taste decides how this world, independent of its utility and our vital interests in it, is to look and sound, what men will see and what they will hear in it. Taste judges the world in its appearance and its worldliness. . . For judgements of taste, the world is the primary thing, not man, neither man’s life nor his self” (Hannah Arendt, “Crisis in Culture,” Between Past and Future, 222).

(2) “Taste decides not only how the world is to look, but also who belongs in it” (CC, 223). Because political judgements, like aesthetic judgements of taste, also disclose the person(s) making the judgment(s), “wherever people judge the things of the world that are common to them, there is more implied in their judgements than these things” (CC, 223), public reason involves, of necessity, the words and deeds of its actors and its spectators. This disclosure of “who one is” in political judgements as well as aesthetic judgements of taste, humanizes the political realm, by enabling us to “arbitrate and mediate between the purely political and the purely fabricating activities, which are opposed to each other in many ways” (CC, 225). If the political domain is the sphere of founding and maintaining human freedom, it is by political judgements that we resist the compelling force of expertise (and elite powers that rule our lives) and the necessities of consumption (also powers that rule our lives).

(3) Thus, public reason is the capacity to judge not only from one’s own point of view, but the capacity to judge from the different perspective of all those present in the shared public space. This, which Arendt calls an enlarged mentality, is what enables us to orient ourselves in a common public that lies between us, that is constructed by us, between us. “Judging is one, if not the most, important activity in which this sharing-the-world-with-others comes to pass” (CC, 221), in which stability of the public, political arena is maintained.

One of the more serious criticisms against a dramaturgical model of politics comes at this point, in discussions of the content of political discourse. Joseph Schwartz, in his critique of Arendt for example,¹²⁴ argues that politics as theater shelters the political from the onslaughts of self-interest and sets it apart from real life. Because dramaturgical models such as Arendt's, "remove matters of social conflicts from the realm of public deliberations" they "strip politics of any concern with interests or "life processes" and, thus, "denude politics of any content other than individual performance" (Schwartz, 196-197). Political action in dramaturgical models is seen as an art of performance separate and distinct from the activity of labor and necessity and, thus, presumably separated from ordinary life. The problem with Arendt's model, according to Schwartz, as with Wolin and Habermas, is her separation of the social and the political.

Arendt . . . fails to comprehend that her hostility to the role of interests in politics precludes her from engaging in a radical critique of existing social interests . . . Thus, Arendt relegates all attempts at social reform to the realm of expertise and administration. The only activity that appears to rescue Arendtian politics from a peculiar metadiscourse of great speeches on the nature of politics are the processes of creating and defending regimes. But Arendtian politics deals only with the form of regimes, not with the content of their policies ("outputs"). (Joseph Schwartz, The Permanence of the Political, 197)

By exorcizing the social question from politics, she loses all interest in the possibility of democratizing social organization. Political and managerial talents may well be somewhat distinct, but this does not preclude democratic participation in discerning both enterprise goals and managerial talent. Any committed democrat believes that organizations that have binding power over the actions of their members should be governed by those members . . . Arendt, however, believes that social organizations must be governed by rules of efficiency which inherently conflict with principles of participation . . . (Joseph Schwartz, The Permanence of the Political, 212)

¹²⁴Joseph M. Schwartz, The Permanence of the Political (Princeton: Princeton University Press, 1995), Chapter Six, "Hannah Arendt's Politics of Action," 189-216.

I have already talked about the meaning of performance in democratic politics. I have argued, with the help of Peter Brook, that performance is not limited to the activity of the actors on stage but requires the interaction of both actors and audiences. Thus politics in a theatrical model need not be seen as limited to an elite set of actors who have the luxury of acquiring an expertise by rehearsals but includes an equally necessary, although different kind of, participation of an audience. Politics, (including the meanings and the values in political discourse), requires the active participation (a re-active discourse) of the ordinary citizen. There is no democratic performance without this involvement of the audience. In this way, I think the criticism of elitism can be countered. What occurs in the “rough theater” of democratic politics are not individual performances on a stage, but a play between actors and audience in which the invisible powers that actually rule lives become visible. To be sure, there is an element of leisure required for any theatrical performance. But no more than the leisure required for any deliberation or any other process of perceiving what is hidden.

The other aspect of Schwartz’s criticism relates to the distinction between the political and the social in an Arendtian model of politics. To be sure, Arendt draws a sharp and clear distinction between the political activity of performance and the activities of work and labor. But, as I have indicated in my response to Wolin and Habermas, to make a sharp distinction between the three is not to disconnect them from one another. We have already seen in Chapter Four how, according to Arendt, the necessary activities of labor must be addressed in order for anyone to enter the political realm. A certain degree of

freedom from life's necessities is required for the political to exist (this is where the requirement of leisure comes from). Thus, while the human needs of shelter and food and health are not themselves political issues for Arendt, they, nevertheless, have great political significance. They are the necessary preconditions of political space and, as preconditions, they certainly would be part of the content of political discourse. The "structure of production and social provisions" are political issues, though not inherently so, because they are related to the preconditions of political participation. Because certain structures of production and distribution may prevent political participation, we must address, within political discourse, the normative questions they pose.

But, in addressing these social problems there are two ways to approach them, both of which are necessary. The first, and most important for Arendt, is regarding their political implication, namely their impact on the kind of political relationships we want between ourselves. Thus, for example, if we want our political spaces open to all, then we will address the normative criteria of production and distribution of social goods differently than if we want to limit political spaces to certain "kinds" of citizens. Issues of meaning and value are addressed at this level. Secondly, once the political space is defined, and questions of meaning and value are decided politically, the strategic and tactical issues involving social questions (issues of production and distribution) are best resolved by expertise and strategies of effective administration.

It seems to me that the predicament with clarifying the content of political discourse in Arendtian political space does not arise because of the distinction between the social and the political, but is a result of a lack of clarity regarding how the two are

separated and related. We all recognize that there is a distinction between the social and the political, and we all recognize that they are not unrelated. Arendt, does not so much “fail to see that the way we structure both production and social provision are inherently political issues” (Schwartz, 211), as that she is more concerned with the conditions of egalitarian political discourse than with the preconditions for entering such discourse. The failure of Arendt to adequately address the political significance of the social preconditions of democratic spaces, does not indict her dramaturgical model as an elitist understanding of political action, but shows her preoccupation with the conditions of political visibility.

If Arendt is guilty of “exorcizing the social question from politics” it is not to support a position that they have nothing to do with each other or that politics occurs only in the confines of a protected and leisurely theatrical space, but to show that the human relationships of equality are never, and can never be found in the social sphere but exist only in a democratically created political space, a space open to everyone and without power relations of sovereignty. In fact, her claim is that if we are ever to structure social relationships (which are always unequal) in a way that supports democratic egalitarian relationships, then we must first establish a space where there can be truly egalitarian relationships and come to understand and study the necessary conditions for their formation and continuance. The first political act is the perception of one another and the stability of human visibility. Social questions are questions of order and symmetry that are best resolved once the conditions of human visibility and perception are provided for.

F. DEMOCRATIC POLITICAL SPACES REVISITED.

Political space is not something “given,” but is conditioned by the fact of a plurality of human beings. That means that the genuinely political domain does not remain in existence by means of deliberative structures, even by means of a conceptual consensus, but only by the shared activity between a human plurality. The basis for democracy is established first and foremost on the public visibility and appearances of its citizens not on some idealized discourse, nor in a normative deliberative consensus.

The difference between political deliberation that leads to agreement and political activity or judgement that leads to visibility is meant to focus on the difference between the symmetry or order of human relationships and the visibility of what is invisible in human relationships. The first political act is to become visible. Only then do questions of order and symmetry make sense.

The meaning and value of these first political acts lie not in their motivation nor in their achievement, but in their performance. Such political acts of visibility are performative acts of human beings within a plurality. Political stability is assured only when the performances continue, only when political spaces allow and support an ongoing “play” by which we appear to and perceive one another.

Democratic political spaces do not continue to exist independent of those who occupy them. They change, for the better and for the worse, as we embrace others or separate from one another. What characterizes democratic spaces is the diversity of its members and the kind of mutual embrace; an embrace that enlarges our own perspective to recognize and accept others’ perspectives as like unto our own. The more common the

experiences of such embraces, the more likely such spaces will be created. And, the larger this embrace, that is, the wider its extent, the more power it has to sustain itself, and the more stable it will be.

I began this dissertation with an argument designed to show that if we want to include a great diversity of people in the political spaces we create for democratic plural societies, then we first need to concentrate on meeting the conditions of human visibility (how human beings are visible to one another) before we begin to address issues of order (how they are organized and relate to one another); that the problem of political stability is first a problem of human perception and appearance and only then a problem of balance and proportion; and that problems of inequalities of power within democratic societies have the best chance of being remedied when we are able to secure the visibility and appearances of human beings who live within their domain.

I suggested then that we need to rethink the meaning of democratic space, and democratic participation; that the traditional conception of the political as organizing human relationships needs to give way first to a conception of a space in which people appear and are perceived by one another; that the problems of democratic participation are best understood not as issues of deliberation and public reason, but as issues of how humans appear in public and of how they perceive one another.

From an examination of various illustrations of public spaces, I suggested that democratic spaces are best understood not as spaces designed to symmetrically order and balance human beings, but as spaces designed to provide both the objective conditions of human visibility (an acoustic and field of vision in which all citizens can be heard and seen)

and the subjective conditions of perceiving human beings (the formation of a common sense by which each citizen can see and hear one another). In my examination of the theories of John Rawls and Hannah Arendt, I looked for a conception of democratic space that addresses these conditions of visibility and perception.

In my review of Rawlsian political liberalism, I found that Rawls's concern with order and symmetry limits the visibility of human relationships within his design of political space. I found also that Rawls's "original position," was an inadequate model for the subjective conditions under which citizens are likely to perceive human diversity; the "original position" is too limiting and excludes differences that make a difference.

I found Hannah Arendt's conception of the political better able to provide the conditions of visibility and perception. Arendt's distinction between the political and the social was helpful in keeping the problems of visibility and perception distinct from the problems of order and symmetry. Her conception of the political as the space for human performances by words and deeds (citizens as actors) provides us with a way to understanding the objective conditions of human visibility, as humans making their own appearances by their own words and deeds (human freedom). Her conception of a "sensus communis" and its model of aesthetic judgements provides for the perception of human diversity in ways that do not exclude perspectives, but enlarges them. "Sensus communis" is a public perspective built up from one's own perspective through a process of taking in a multitude of perspectives.

In advocating an Arendtian conception of political space over a Rawlsian conception, much more needs yet to be addressed. In Arendtian political space how do we

approach the problem of symmetry and order? While the conditions of visibility and perception seem clear enough, it would also seem that Arendt is inattentive to the problems of order and symmetry, and indeed may even preclude their adequate consideration. In her defense of freedom against tyranny has she inadvertently leaned toward anarchy?

The relationship between the conditions of visibility and the conditions of order and symmetry seems to parallel the distinction between the political and the social that Arendt makes. The Arendtian distinction between the social and the political does allow us to keep clear and distinct the problem of order from the problem of visibility. But can the social and the political be so separated, as she seems to want? How can the social and the political be kept from mixing in the real world, so that equality and freedom can find a place to flourish? What barriers would need to be erected? By separating them as Arendt has, is the political unattainable, beyond the reach of contemporary societies? How can we keep the distinction to which Arendt draws our attention without isolating and detaching both from each other?

Arendt's conception of the political also places great demands upon citizens who would create genuine political spaces. One might argue that the courage she requires of citizens, their willingness to let go of their individual concern for satisfying their own consumptive needs and to leave behind their concern for the things of the world in favor of direct and extremely fragile human relationships, is simply too much to ask. While Arendt holds up an ideal to which, she claims, all can aspire, are these demands unrealistic and beyond the reach of most? In this sense has she put democratic egalitarian space beyond

the reach of ordinary people, as Wolin suggested? Could it be that Habermas's criticism of her naivete may be valid not because she sharply separated social concerns from political one, but because she might very well have made the political unattainable.

In Arendtian political space the only power we have in direct human relationships is the performative power of our own words and deeds. For Arendt, this means that we leave behind any rule of power we might have over others. Indeed to enter a space in which we relate with one another solely based on our words and performances (our appearances) and without any rule or regulatory control, requires great trust and courage. Is there any basis on which we might be motivated to enter into such relationships? Can citizens trust that they will not be destroyed, or at least misused? What is the basis for such trust and courage?

But courage, while necessary for creating democratic spaces, is not what gives us the political spaces we seek. Only human words and deeds can do that. And, because of the kind of power that words and deeds have, they are also unpredictable, unbounded and uncontrollable. Thus, the second and third demands that an Arendtian design puts on citizens in creating political spaces are the political virtues of promise and forgiveness. It seems strange to talk of forgiveness as a political virtue, especially at a time when what seems to matter most in the political arena is either the acquisition of power over others or the protection of self from the power of others. How do promise, trust and forgiveness fit into such a sphere?

One of the more interesting and challenging aspects of Arendt's political theory is the way she approaches the issue of the normative basis of political action and

participation. For Arendt, politics is grounded, though neither morally nor religiously nor metaphysically so. We have already seen why traditional moral standards will not work in Arendtian political spaces. She wants to establish the normative basis of political action within the political activity itself. But how does she do that? What are the normative standards of sound political action? Arendt's conception of political action as performative rather than instrumental leads her to look for the normative basis of politics in what she calls the "aesthetic judgements of taste." If the normative standards of political acts, political performances, are similar in type to the normative standards of artistic performances, clearly much more needs to be done in developing these standards.

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