THEORETICAL STUDY OF PRODUCT - GENERATED ATMOSPHERE PACKAGES FOR FRUIT

> Thesis for the Degree of M. S. MICHIGAN STATE UNIVERSITY THOMAS JAMES BUSSELL 1976



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This is to certify that the

thesis entitled  $\mathbb{Z}$ thesis entitled<br>Theore fie of Study of Product-Generated Hmosphere Paskages for Fruit

presented by

Thomas James Bussell

has been accepted towards fulfillment of the requirements for

M.S. degree in Packaging

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### ABSTRACT

### THEORETICAL STUDY OF PRODUCT-GENERATED ATMOSPHERE PACKAGES FOR FRUIT

BY

Thomas James Bussell

This thesis investigates the possibility of replacing controlled atmosphere storage of fruits with a product-generated atmosphere package. The investigation is comprised of an economic analysis and a computer model. The McIntosh apple is the product. Internal package conditions are generated for three films and temperatures between 3.5°C and 7°C.

Results of the economic analysis and computer model support the feasibility of product-generated storage costs for product-generated storage packages are less than either cold storage or controlled atmosphere storage. Apples stored in low density polyethylene had significantly lower respiration rates than cold storage apples.

### THEORETICAL STUDY OF PRODUCT-GENERATED

### ATMOSPHERE PACKAGES FOR FRUIT

BY

Thomas James Bussell

### A THESIS

Submitted to Michigan State University in partial fulfillment of the requirements **for the degree of** 

MASTER OF SCIENCE

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### ACKNOWLEDGMENTS

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### CHAPTER I

### INTRODUCTION

## CHAPTER I<br>INTRODUCTION<br>Section 1: Background Section 1: Background

Packaging fruits and vegetables in transparent plastic bags has become pOpular commercially. These packages provide efficient distribution and attractive display at point of sale.<sup>1</sup>

This package is utilizing the three basic functions of a package--that is, the package: (1) communicates to the consumer, (2) provides utility, and (3) provides protection and containment of the product.

Extending storage life, which is part of the protection function, is economically important. The major storage life benefit derived from the familiar polyethylene fruit bag is that it hinders water loss and subsequent shriveling of the fruit. functions of a packa<br>municates to the con<br>(3) provides protect<br>Extending st<br>protection function,<br>major storage life b<br>polyethylene fruit b<br>and subsequent shriv<br>Greater gain<br>are today achieved b<br>prior to the packagi<br>ethylen

Greater gains in extending storage life of fruits are today achieved by modifying the storage atmosphere prior to the packaging and distribution of the poly ethylene bag. An atmosphere with low concentration of Grea<br>
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prior to the<br>
ethylene bag<br>
are today ac<br>
prior to the<br>
ethylene bag<br>
ackages by<br>
of These Con<br>
Bacteriology

 $^{\text{\textsf{1}}}$ R. G. Tomkins, "The Conditions Produced in Film Packages by Fresh Fruits and Vegetables and the Effect of These Conditions on Storage Life," Jrnl. Applied Bacteriology (1962), 25(8):290.

oxygen and a high concentration of carbon dioxide retards the fruits' ripening process. This increases the storage life. 2<br>
concentration of ca<br>
ng process. This i<br>
Section 2: Purpose

### Section 2: Purpose

The objective is to study if it is theoretically possible to achieve and maintain favorable storage conditions within a fruit package and in that way eliminate the necessity of controlled atmosphere storage. If this is possible, the package will be analyzed from an economic standpoint. 2<br>
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ning process. This inc<br>
<u>Section 2:</u> Purpose<br>
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Section 3: Limita The objec<br>possible to achie<br>conditions within<br>nate the necessit<br>this is possible,<br>economic standpoi<br>Fruit and Variety ns within a fruit package and in<br>necessity of controlled atmosph<br>possible, the package will be an<br>standpoint.<br><u>Section 3: Limitations</u><br>d Variety<br>The McIntosh apple (<u>Malus pumilu</u>

### Section 3: Limitations

### Fruit and Variety

The McIntosh apple (Malus pumilu, Mill.) is the particular fruit and variety that this theoretical study focuses on. The McIntosh was chosen on the basis of its commercial importance in Michigan's fruit industry and that it is commonly stored under modified atmospheres. economic standpo<br>
Fruit and Variet<br>
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study focuses on<br>
of its commercia<br>
and that it is c<br>
Storage Function

### Storage Function

The movement of apples from harvest to consumer sales encompasses numerous handlings and environments. The scope of this thesis is limited to the storage function (Figure 1). The emphases of this paper are not concerned with the apples' distribution system, but are concerned with package design and an economic analysis of storage methods.



Figure 1.--Distribution System from Point of Harvest. Figure l.-Distribution System from Point of Harvest.

## Storage Life Storage Life

Storage life is dependent on numerous factors. Some of the factors are: metabolism, moisture loss, physiological disorders, chemical treatments, maturity at harvest dates and rate of cooling.<sup>2</sup> Of these factors, controlling the apple's metabolism is the most critical.

The metabolism, or respiration rate, of the apples is affected by the fruits' environment. It is the control of respiration (and, therefore, storage life) that is of concern to this problem.

 $\boldsymbol{4}$ 

<sup>&</sup>lt;sup>2</sup>A. VanDoren, "Physiological Studies with McIntosh Apples in Modified Atmosphere Cold Storage," Proc. Am. Soc. Hort. Sci. (1937), 37:453.

### CHAPTER II

### DEFINITIONS

Listed below are terms that are frequently used in this paper. Several terms and definitions may only be correct within the context of this paper. Most of the terms are particular to the apple or its storage. CHAP<br>DEFIN<br>Listed below are te<br>paper. Several ter<br>within the context<br>e particular to the<br>Aerobic respiration DEF<br>
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Activation energy Listed below are term<br>paper. Several terms<br>within the context of<br>e particular to the a<br>Aerobic respiration:<br>ate supply of oxygen.<br>ration and is referre<br>Activation energy: A<br>, that quantifies the<br>eability.<br>Anaerobic respira Listed below are terms t<br>paper. Several terms an<br>within the context of th<br>e particular to the appl<br>Aerobic respiration: Re<br>ate supply of oxygen. T<br>ration and is referred t<br>Activation energy: A co<br>, that quantifies the ef<br>e

Aerobic respiration: Respiration that occurs in an adequate supply of oxygen. This is the typical form of respiration and is referred to as simply "respiration."

Activation energy: A coefficient, particular to the film, that quantifies the effect of temperature on the permeability.

Anaerobic respiration: Fermentation--respiration in the absence of oxygen with by-products of ethyl alcohol, carbon dioxide and acetaldehyde. Anaerobic r<br>bsence of o<br>carbon diox<br>Carbon diox<br>ove excess<br>re rooms.<br>Climacteric

Carbon dioxide scrubbers: Chemicals or processes that remove excess carbon dioxide from controlled atmosphere rooms.

Climacteric: Ripening process, evidenced by increasing respiration rate. The high respiration rate supplies energy for the conversion of starch to hexose,

the production of ethylene and the distinction of cell wall material.<sup>3</sup> uction of et<br>erial.<sup>3</sup><br>Cold storage

Cold Storage: Synonymous with "regular storage"- warehouse storage units that maintain a low temperature in an air atmosphere. The temperature is usually near 0°C. Extinction point:<br>
Extinction point:<br>
Extinction point:<br>
Extinction point:<br>
Extinction point:<br>
Extinction point: erial.<sup>3</sup><br>Cold storage: Synon<br>e storage units that<br>r atmosphere. The t<br>Controlled atmospher<br>units which control<br>concentration and te<br>Cultivar: Variety.<br>Extinction point: M<br>in aerobic respirati<br>Intercellular spaces

Controlled atmosphere (CA) storage: Airtight storage units which control oxygen concentration, carbon dioxide concentration and temperature. **Controll**<br>units wh<br>concentr<br>Cultivar Controlled atmosphere<br>units which control of<br>concentration and tem<br>Cultivar: Variety.<br>Extinction point: Mi<br>in aerobic respiration<br>Intercellular spaces:<br>he apple pulp. The of<br>immediate supply of<br>Ontogeny: The life contogeny

Cultivar: Variety.

Extinction point: Minimum oxygen level needed to sustain aerobic respiration.

Intercellular spaces: Void spaces between cells within the apple pulp. The oxygen in these spaces provide the immediate supply of oxygen for metabolism. in aerob<br>Intercel<br>he apple<br>immedia<br>Ontogeny concentration a<br>
<u>Cultivar</u>: Vari<br>
Extinction poin<br>
in aerobic resp<br>
Intercellular s<br>
he apple pulp.<br>
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Ontogeny: The<br>
Packing density Intercellul<br>within the apple pu<br>vide the immediate<br><u>Ontogeny:</u><br>Packing den<br>package volume.<br>Permeabilit<br>specific gas that p<br>material that is on<br>Product-gen<br>flexible film packa

Ontogeny: The life cycle of a single organism.

Packing density: Ratio of product volume to package volume.

Permeability constant: A measure of grams of Specific gas that pass through one square centimeter of material that is one millimeter thick, in one hour.

Product-generated atmosphere package: A sealed, flexible film package that contains a living, respiring

 $3_F$ . Kidd and C. West, "Recent Advances in the Work on Refrigerated Gas--Storage of Fruit," Jrnl. of Pomology (Hort. Sci.) (1936), 14:306.

organism (the product), where the respiratory process of the organism produces (generates) concentrations of oxygen and carbon dioxide within the package (atmosphere) that are in different quantities than is found in air. (the product), w<br>rganism produces<br>nd carbon dioxide<br>in different qua<br><u>Permeability rate</u>

Permeability rate: A measure of grams of specific gas that pass through one square centimeter of material one millimeter thick, in one hour, at a temperature other than 0°C. nd carbon d<br>in differe<br>Permeabilit<br>pass throu<br>imeter thic<br>an 0°C.<br>Respiration Permeability rat<br>
: pass through on<br>
imeter thick, in<br>
an 0°C.<br>
Respiration: Th<br>
to carbon dioxid<br>
6 0<sub>2</sub> + C<sub>6</sub>H<sub>12</sub>0<sub>6</sub><br>
Respiration rate reass through one sq<br>
imeter thick, in one<br>
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Respiration: The up<br>
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6 0<sub>2</sub> + C<sub>6</sub>H<sub>12</sub>0<sub>6</sub> -----<br>
Respiration rate: M<br>
ioxide output. Typi<br>
ch as: CO<sub>2</sub> grams/ki<br>
Respiratory quotient

Respiration: The uptake of oxygen to convert sugar into carbon dioxide, water and energy. The reaction is:

6 0<sub>2</sub> + C<sub>6</sub>H<sub>12</sub>O<sub>6</sub> - 6H<sub>2</sub>O + 6CO<sub>2</sub> + 673 Kcal

Respiration rate: Measure of oxygen uptake or carbon dioxide output. Typically, carbon dioxide is used, such as:  $CO<sub>2</sub>$  grams/kilogram apple/hour.  $\begin{array}{r} \text{6 O}_2 + C_6\text{H}_{12}\text{O} \\ \text{Respiration r} \\ \text{carbon dioxide output} \\ \text{used, such as: } \text{CO}_2 \text{ g} \\ \text{Respiratory q} \\ \text{divide produced divi} \\ \text{ously consumed.} \\ \text{Sensecence:} \\ \text{process.} \\ \text{Storage life:} \\ \text{10 percentage wasted} \\ \end{array}$ 

Respiratory quotient (R.Q.): Volume of carbon dioxide produced divided by volume of oxygen simultaneously consumed.<sup>4</sup> Mioxide outp<br>
uch as: CO<sub>2</sub><br>
Respiratory<br>
produced dionsumed.<sup>4</sup><br>
Senescence: ch as: CO<sub>2</sub><br>Respiratory<br>produced div<br>nsumed.<sup>4</sup><br>Senescence:<br>Storage life

Senescence: Post-maturity stage, an aging process.

Storage life: Period of time from harvest to 10 percentage wastage.of the fruit.

4W. 0. James, Plant Respiration (1953). p. 82.

 $\overline{7}$ 

8<br>Temperature coefficient (Q Temperature coefficient  $(Q_{10})$ : This is the rate of respiration at a given temperature, divided by the respiration rate at 10°C lower. Temperature coeff<br>ration at a given<br>ion rate at 10°C<br>Transmission rate

Transmission rate: A measure of grams of a specific gas that pass through a package per period of time.

### CHAPTER III

### PRODUCT DESCRIPTION

This chapter is divided into three sections. The first section discusses the general ontogeny of apples. It focuses on apples from time of harvest to ultimate degradation. Harvest corresponds to the beginning of storage life. The first section discusses the<br>apples. It focuses on apples fr<br>ultimate degradation. Harvest c<br>ning of storage life.<br>The second area discusse<br>anaerobiosis is an important con<br>apples are to be stored in modif<br>The final sec

The second area discusses anaerobiosis. Anaerobiosis is an important concept to understand if apples are to be stored in modified atmospheres.

The final section describes specific characteristics of the McIntosh apple relevant to this analysis. The effect of oxygen, carbon dioxide and temperature on the respiration rate are given special attention. discusses the genera<br>
s on apples from tim<br>
on. Harvest corresp<br>
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tored in modified at<br>
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Anaerobiosis is an i<br>
apples are to be sto<br>
The final se<br>
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The effect of oxygen<br>
the respiration rate<br>
<u>Se</u><br>
An apple is<br>
which is constantly<br>
structural changes a

### Section 1: Ontogeny

An apple is a living, respiring biological system which is constantly undergoing physical, chemical and structural changes as it develops, ripens and dies.<sup>5</sup>

<sup>5&</sup>lt;br>A. Jabbari, N. N. Mohserin and W. S. Adams, "Analog Computer Model for Predicting Chemical and Physical Properties of Selected Food Materials," Transactions, American Soc. Agri. Eng. (1971), 14(2):319.

Respiration rate is an ideal parameter for determining the physiological age of the apple. The respiration rate has a definite trend through the ontogeny of the apple (Figure 2). Respiration rate is an<br>the physiological age o<br>has a definite trend th<br>(Figure 2).<br>Pre-climacteric Minimum

### Pre-climacteric Minimum

The harvest date is at the pre-climacteric minimum (point A in fig. 2). At this stage of ontogeny, the apple is fully developed and the respiration rate is at a minimum. Harvesting at or just prior to the preclimacteric minimum will provide the greatest storage potential.<sup>6</sup> minimum (po<br>the apple i<br>at a minimu<br>climacteric<br>potential.<sup>6</sup><br>Climacteric

The next stage of ontogeny is the climacteric, which is the ripening process. At this point, the apple is converting its energy supply of starch into sugar, oxygen, carbon dioxide, ethylene and heat. This will cause a change in color of the skin and a softening and sweetening of the flesh.<sup>7</sup> climacteric minimum<br>potential.<sup>6</sup><br>Climacteric<br>The next sta<br>which is the ripenin<br>is converting its en<br>oxygen, carbon dioxi<br>cause a change in co<br>sweetening of the fl<br>The climacte<br>Once the climacteric

The climacteric is critical to storage-life. Once the climacteric starts, the ultimate storage-life is

 $^6$ D. R. Dilley, "Prediction and Verification of Proper Harvest Date for Storage Apples," Mich. St. Hort. Soc. (1965), 95:48.

 $T$ F. Kidd and C. West, "Recent Advances in the Work on Refrigerated Gas--Storage of Fruit," p. 306.



- 
- Figure 2.--Ontogeny of Apples as Represented by **Chicagony CF Apple**<br>Respiration Rate.
- Source: Fidler, J.C.; Wilkenson, B.G.: Edney, K.L.: and Sharples, R.O. (1973), The Biology of Ltd., London. Page 4.

limited. If the climacteric can be delayed or suppressed, the storage-life will be increased.  $8$  A low temperature environment will delay the climacteric. A modified atmosphere can suppress the climacteric to the extent that the respiration rate is not affected.<sup>9</sup>

At one time, the onset of the climacteric was believed to be initiated by the presence of ethylene. It appeared that the increase in ethylene production by the apple triggered the chemical reactions associated with the climacteric. This has been proven false based on two findings: (1) ethylene production follows the respiratory peak of McIntosh apples by about four days at  $20^{\circ}$ C,  $^{10}$  and (2) initial studies were done in air at 20°C, where low temperatures and a modified atmosphere negate any ethylene effect on the climacteric. $^{\rm 11}$ atmosphere can suppres<br>that the respiration i<br>At one time, the<br>believed to be initiat<br>It appeared that the :<br>the apple triggered the<br>with the climacteric.<br>on two findings: (1)<br>respiratory peak of Monte 20°C, where low temp , the onset of the climacteric viated by the presence of ethyle<br>
e increase in ethylene production<br>
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This has been proven false 1<br>
1) ethylene production follows<br>
McIntosh apples by about tion rate is not affected.<sup>9</sup><br>ime, the onset of the climacteric was<br>nitiated by the presence of ethylene.<br>the increase in ethylene production<br>red the chemical reactions associated<br>eric. This has been proven false bas<br>(1) e

<sup>8</sup>F. Gangerth, "Hypobaric Storage of Vegetables," Acta Horticulturae (1974), 1(6):23.

<sup>9</sup>F. Kidd and C. West, "Recent Advances in the Work on Refrigerated Gas--Storage of Fruit," p. 308; and J. C. Fidler, "Studies of the Physiological-Active Volatile Organic Compounds Produced by Fruit II. The Rate of Production of Carbon Dioxide and of Volatile Organic Compounds by King Edward VII Apples in Gas Storage, and the Effect of Removal of Volatiles from the Atmosphere of the Store on the Incidence of Superficial-Scald," Jrnl. Hort. Sci. (1950), 25(2):104. eratures and a m<br>effect on the c:<br>-<br>-<br>, "Hypobaric St<br>1974), 1(6):23.<br>LC. West, "Rece<br>Gas--Storage of the Physi<br>pounds Produced<br>f Carbon Dioxid<br>King Edward VI<br>ect of Removal<br>e Store on the<br>Jrnl. Hort. Sci

 $10<sub>R</sub>$ . M. Smock, "The Influence of One Lot of Apple Fruit on Another," Proc. of the Am. Soc. Hort. Sci. (1942), 40:187.

 $11_J$ . C. Fidler, B. G. Wilkenson, K. L. Edney and R. O. Sharples, The Biology of Apple and Pear Storage (1973), p. 8.

### <u>Senescence</u> Senescence

The final stage of the apple's life is called "senescence." The apple pulp or flesh becomes mealy and loses its flavor. At this point, storage-life and market value are very limited. The respiration rate during senescence is characterized by a downward drift. 13<br>1 stage of the apple's 1<br>the apple pulp or flesh b<br>. At this point, storag<br>imited. The respiration<br>aracterized by a downwar<br>Section 2: Anaerobiosis

### Section 2: Anaerobiosis

Preventing anaerobic respiration is critical to apple quality. The by-products of anaerobic respiration are carbon dioxide, ethyl alcohol and acetaldehyde. Ethyl alcohol and acetaldehyde will remain in the apple, which results in an "off" flavor. Quality of apples will be severely affected after approximately one week in anaerobic conditions.<sup>12</sup>

Oxygen is necessary for the normal respiratory process. Decreasing the available supply of oxygen will have a retarding effect on the rate of respiration. There is, however, a limit to the amount that oxygen can be reduced and still maintain respiration. This lower limit (concentration) for oxygen is referred to as the extinction point. Extinction point is dependent on which results in an<br>will be severely af<br>in anaerobic condit<br>Oxygen is n<br>process. Decreasin<br>have a retarding ef<br>There is, however,<br>can be reduced and<br>lower limit (concen<br>the extinction poin<br>temperature and app temperature and apple variety. For the McIntosh apple,

 $12$ J. C. Fidler and C. J. North, "The Effect of Periods of Anaerobiosis on the Storage of Apples," J. Hort. Sci. (1971), 45:220; and R. G. Tompkins, "The Conditions Produced in Film Packages by Fresh Fruits and Vegetables and the Effect of These Conditions on Storage Life," p. 304.

the extinction point is 2% oxygen at  $3.5^{\circ}$ C,  $^{13}$  and  $3.5^{\circ}$ oxygen at  $20^{\circ}$ c.<sup>14</sup>

When the oxygen supply falls below the extinction point, respiration is replaced by anaerobic respiration as the oxygen concentration approaches zero (Figure 3).<sup>15</sup> Anaerobiosis results in total depletion of oxygen supply within the fruit which will disrupt and accelerate the metabolic processes. $^{16}\;$  An increase in carbon dioxide evolution is associated with anaerobic fermentation. as the oxygen concen<br>
Anaerobiosis results<br>
within the fruit whi<br>
metabolic processes.<br>
evolution is associa<br>
Section 3:<br>
The design o<br>
affected by the char<br>
product traits that<br>
characteristics, (2)<br>
(3) effect of oxygen

### Section 3: Apple Cultivar--McIntosh

The design of a product-generated package is affected by the characteristics of the product.<sup>17</sup> The product traits that must be determined are: (1) physical characteristics, (2) optimum storage conditions, (3) effect of oxygen on respiration rate, (4) effect of The des<br>
affected by the<br>
product traits<br>
characteristics<br>
(3) effect of o<br>
13<sub>A</sub>, Va<br>
McIntosh Apples<br>
p. 454.<br>
<sup>14</sup>V, Ju<br>
Respiration of<br>
Food Technology expoduct.<sup>17</sup> The<br>Internal Control of<br>Additions,<br>The Control of<br>tudies with<br>Did Storage,"<br>Son Control of<br>Angle Methods,"<br>Plant Metabolism

13<sub>A.</sub> Van Doren, "Physiological Studies with McIntosh Apples in Modified Atmosphere Cold Storage," p. 454. (3) effect of o<br>  $\frac{13}{A}$ . Va<br>
McIntosh Apples<br>
p. 454.<br>  $\frac{14}{V}$ . Ju<br>
Respiration of<br>
Food Technology<br>  $\frac{15}{H}$ . E.<br>
(1972), p. 90.<br>  $\frac{16}{J}$ . C.<br>
Periods of Anae<br>
Jrnl. Hort. Sci

14<sub>V</sub>. Jurin and M. Karel, "Studies on Control of Respiration of McIntosh Apples by Packaging Methods," Food Technology (1963), 17(6):106.

 $15$ H. E. Street and W. Cockburn, Plant Metabolism (1972), p. 90.

16J. C. Fidler and C. J. North, "The Effect of Periods of Anaerobiosis on the Storage of Apples," Jrnl. Hort. Sci. (1971), 46:213.

17<sub>R. G.</sub> Tomkins, "The Conditions Produced in Film Packages by Fresh Fruits and Vegetables and the Effect of These Conditions on Storage Life," J. Applied Bacteriology (1962), 25(8):305.



Figure 3.--Effect of the Partial Pressure of Oxygen on Subsequent Oxygen Uptake and Carbon Dioxide Output.

Source: James, W.O. (1953). Plant Respiration. Oxford Claredon Press, England. Page 90.

carbon dioxide on respiration rate, and (5) effect of temperature on respiration rate. carbon dioxide on respir<br>temperature on respirati<br>Physical Characteristics

### Physical Characteristics

Following is a brief description of the physical characteristics of the McIntosh that are relevant to this study: Following is<br>
characteristics of th<br>
this study:<br>
1. Density i<br>
2. 30% - 35%<br>
spaces.<sup>19</sup><br>
3. Approxima<br>
is carbon<br>
4. Approxima<br>
is oxygen<br>
dioxide i<br>
depletion<br>
5. 85% of th<br>
to be wat<br>
6. 0.5 is th<br>
bags.<sup>23</sup> 16<br>
respiration rate, and (5) effect of<br>
piration rate.<br>
istics<br>
is a brief description of the physical<br>
ithe McIntosh that are relevant to<br>
y is .814.<sup>18</sup><br>
35% of volume is intercellular<br>
.<sup>19</sup><br>
imately 4% of intercellula

- 1. Density is  $.814.$ <sup>18</sup>
- 2. 30% 35% of volume is intercellular spaces.19
- 3. Approximately 4% of intercellular space nppronimately 10 c
- 4. Approximately 17% of intercellular space is oxygen. The accumulation of carbon dioxide is almost balanced by the depletion of oxygen.<sup>21</sup>
- 5. 85% of the weight of the apple is assumed to be water.<sup>2</sup>
- 6. 0.5 is the packing density for plastic bags.23

 $^{18}$ B. A. Stout, D. H. Dewey, and R. F. Mrozek, "Mechanical Orientation of Apples and Related Fruit Characteristics," Agr. Exp. Stn. Mich. St. Univ. Research Bulletin (1971), No. 32, p. I3. .  $30\text{*}$  -  $35\text{*}$  of volum<br>spaces.<sup>19</sup><br>. Approximately 4% o<br>is carbon dioxide.<br>. Approximately 17%<br>is oxygen. The ac<br>dioxide is almost<br>depletion of oxyge<br>. 85% of the weight<br>to be water.<sup>22</sup><br>. 0.5 is the packing<br>bags.

19<sub>S. P.</sub> Burg and E. A. Burg, "Gas Exchange in Fruits," Phypiologia Plantarum (1965), 18:876.

<sup>20</sup>Ibid., p. 879.

 $^{21}$ Ibid., p. 878.

22J. C. Fidler, "Studies of the Physiological Active Volatile Organic Compounds Produced by Fruit II," p. 89.

 $23_R$ . G. Tomkins, "The Biological Effects of the Conditions Produced in Sealed Plastic Containers by Prepackaged Fresh Fruit and Vegetables," Bull. Int. Inst.  $\begin{array}{r} \texttt{suitetin} & (19/1 \\ \texttt{19}_\text{S. P} \\ \texttt{Fruits, " Physi} \\ \texttt{20}_{\text{Ibid}} \\ \texttt{21}_{\text{Ibid}} \\ \texttt{22}_{\text{J. C}} \\ \texttt{Active Volatil} \\ \texttt{P. 89}. \\ \texttt{23}_{\text{R. G}} \\ \texttt{Conditions Pro} \\ \texttt{packaged Fresh} \\ \texttt{Refrig, Annexe} \end{array}$ "Mechanical Orientation of Apples and Related Fruit<br>Characteristics," Agr. Exp. Stn. Mich. St. Univ. Rese.<br>
Bulletin (1971), No. 32, p. 13.<br>
19<sub>S.</sub> P. Burg and E. A. Burg, "Gas Exchange in<br>
Fruits," Physiologia Plantarum (

# Optimum Storage Conditions Optimum Storage Conditions

The optimum storage conditions for McIntosh are a temperature of 3.5°C and an atmosphere of 5 percent carbon dioxide and 3 percent oxygen. This atmosphere can be stated as 5:3. These ideal storage conditions are quite typical among common Michigan apple cultivars (Table 1). $^{24}$ 17<br>
Optimum Storage Conditions<br>
The optimum storage conditions for McIntosh are<br>
a temperature of 3.5°C and an atmosphere of 5 percent<br>
carbon dioxide and 3 percent oxygen. This atmosphere<br>
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a temperature of 3.5°C and an atmosphere of 5 percent<br>
carbon dioxide and 3 percent oxygen. This atmosphere<br>
can be stated as 5:3. These ideal storage condit 17<br>
Conditions<br>
mum storage conditions for McIntosh are<br>
3.5°C and an atmosphere of 5 percent<br>
nd 3 percent oxygen. This atmosphere<br>
5:3. These ideal storage conditions<br>
1 among common Michigan apple cultivars<br>
e Condition

			17	
Optimum Storage Conditions				
				The optimum storage conditions for McIntosh are
				a temperature of 3.5°C and an atmosphere of 5 percent
				carbon dioxide and 3 percent oxygen. This atmosphere
				can be stated as 5:3. These ideal storage conditions
(Table 1). $^{24}$				are quite typical among common Michigan apple cultivars
Cultivars.				TABLE 1.--Storage Conditions for Some Michigan Apple
	Conditions			Rates of Respiration in 1/1,000 kg day
Cultivar	Т°	$°CO_{2}$	80 <sub>2</sub>	$\text{co}_2$
Golden Delicious 3.5		5 <sup>5</sup>	3	20
Delicious	$\mathbf 0$	$\overline{\phantom{0}}$	$\overline{3}$	18
Jonathan	$3.5$ 7		$\overline{13}$	33
McIntosh	3.5	$\overline{\phantom{0}}$ 5	$\overline{\mathbf{3}}$	35
Oxygen Effect				
				The effects of both oxygen and carbon dioxide partial pressures on the respiration rate of McIntosh
apples have been measured by Jurin and Karel. <sup>25</sup>				This

TABLE 1.--Storage Conditions for Some Michigan Apple Cultivars.

### Oxygen Effect

24J. C. Fidler and G. Mann, Refrigerated Storage of Apples and Pears--A Practical Guide (1972), p. 34.

25<sub>V</sub>. Jurin and M. Karel, "Studies on Control of Respiration of McIntosh Apples by Packaging Methods," p. 107.

study was conducted at a constant 20°C. The effects of the gases are illustrated in Figures 4 and 5.

Jurin and Karel found that the oxygen effect on the rate of respiration was practically linear between the extinction point concentration of .035 and .21. In this span of oxygen concentrations, the respiration was suppressed from 10 cc  $O_2/Kg \cdot hr$  to 6 cc  $O_2/Kg \cdot hr$  at the extinction point. The respiration rate fell sharply when the oxygen supply was below the extinction point.

### Carbon Dioxide Effect

Increasing the partial pressure of carbon dioxide had a retarding effect on respiration rate (Figure 5). The retarding effect was minor at the lower concentrations.

The effect of decreasing the respiration rate by changing the partial pressures of oxygen and carbon dioxide are additive.<sup>26</sup> When the atmosphere is oxygen deficient and carbon dioxide rich, the gases will have a combined retarding effect on the respiration rate. Increasing the<br>dioxide had a retardin<br>(Figure 5). The retar<br>lower concentrations.<br>The effect of<br>changing the partial p<br>dioxide are additive.<sup>2</sup><br>deficient and carbon d<br>a combined retarding e<br>It is not comp<br>trations of oxyge

It is not completely understood how the concentrations of oxygen and carbon dioxide affect metabolism. The probable dictating factor is the quantity of the two deficient and c<br>a combined reta<br>It is n<br>trations of oxy<br>The probable di<br>26<sub>J.C.</sub><br>Conditions of S<br>Jrnl. Hort. Sci

 $^{26}$ J. C. Fidler and C. J. North, "The Effect of Conditions of Storage on the Respiration of Apples," Jrnl. Hort. Sci. (1967), 42:203.





Apples by Packaging Methods." Food Technology 17(6):107.



gases that is dissolved in the apple sap.<sup>27</sup> The amount of soluble gas in the sap is dependent on physiological age of the apple, temperature and the atmosphere in the intercellular spaces. This atmosphere is dependent on the apple's external atmosphere and current respiration rate.<sup>28</sup> When the external atmosphere is altered, the respiration rate may not reach equilibrium for several days.

Research in measuring and controlling the solubility of oxygen and carbon dioxide in apple sap has been limited. Most horticulturalists have remained satisfied with quantifying the effects of external conditions on the apple's respiration. This theoretical study is based on their experimental findings. Research in m<br>solubility of oxygen<br>been limited. Most h<br>satisfied with quanti<br>conditions on the app<br>study is based on the<br>Jurin and Kar<br>oxygen and carbon dio<br>(R.Q.). It was found<br>remained at that rela

Jurin and Karel also studied the effects of oxygen and carbon dioxide on the respiratory quotient  $(R.Q.)$ . It was found that at 20 $°C$ , the R.Q. was 1.0 and remained at that relationship until the oxygen supply

<sup>27</sup>J. C. Fidler and C. J. North, "The Effect of Conditions of Storage on the Respiration of Apples V. The Relationship Between Temperature, Rate of Respiration and Composition of Internal Atmosphere of the Fruit," Jrnl. Hort. Sci. (1971), 46:233. 2<br>Condition<br>Relations<br>Compositi<br>Hort. Sci

 $28$ E. G. Hall, F. E. Huelin, F. M. V. Hackneys, and J. M. Bain, "Gas Exchange in Granny Smith Apples," VIII Congrés International Botanigue (1954), p. 405.

fell below the extinction point (Figure 6).<sup>29</sup> Carbon dioxide did not effect the R.Q. (respiratory quotient) at any concentration. fell below the ext<br>dioxide did not ef<br>any concentration.<br>Temperature Effect

### Temperature Effect

Temperature has a great impact on respiration rate. A change of several degrees can have a significant effect on metabolism.3o

There is a direct relationship between respiration rate and temperature. This relationship is commonly expressed in terms of  $Q_{10}$  coefficient. The  $Q_{10}$  for specific varieties, such as McIntosh, could not be obtained. Instead, the average  $Q_{10}$  for apples based on information from Recommended Conditions for Cold Storage of Perishable Produce (Table 2)<sup>31</sup> will be substituted for the unknown  $Q_{10}$  for McIntosh. There is a dir<br>tion rate and temperat<br>expressed in terms of<br>specific varieties, su<br>obtained. Instead, th<br>on information from Re<br>Storage of Perishable<br>substituted for the un<br>Table 3 has th<br>in three ten-degree ra<br>is 2.77, th

Table 3 has the calculated  $Q_{10}$  for temperature in three ten-degree ranges. The  $\Omega_{10}$  used for McIntosh is 2.77, the average  $Q_{10}$  of the  $Q_{10}'$ 's in Table 3. A  $Q_{10}$  of 2.77 means that the respiration rate at 15°C is 2.77 times greater than the respiration rate at 5°C Table 3 ha<br>
in three ten-degre<br>
is 2.77, the avera<br>
Q<sub>10</sub> of 2.77 means<br>
2.77 times greater<br>
29<sub>V.</sub> Jurin<br>
Respiration of McI<br>
P. 107.<br>
30<sub>F.</sub> Kidd<br>
II. Optimum Tempe<br>
Pomology (Hort. Sc<br>
31<br>
Recommen<br>
Perishable Produce

<sup>29</sup>V. Jurin and M. Karel, "Studies on Control of 'Respiration of McIntosh Apples by Packaging Methods," p. 107.

<sup>30&</sup>lt;sub>F</sub>. Kidd and C. West, "The Gas Storage of Fruit II. Optimum Temperatures and Atmospheres," Jrnl. of Pomology (Hort. Sci.), (1930), 13:74.

<sup>31</sup>Recommended Conditions for Cold Storage of "Perishable Produce, InternationaI Institute of Refrigera-  $\frac{1}{100}$  (1967), p. 47.



6.--Effect of Oxygen on Respiration Quotient. Figure 6.-Effect of Oxygen on Respiration Quotient. Figure

Jurin, V. and Karel, M. (1963). "Studies on Control of Respiration of McIntosh<br>Apples by Packaging Methods." Food Technology. 17(6):107. of McIntosh Source: Jurin, V. and Karel, M. (1963). "Studies on Control of Respiration Apples by Packaging Methods." Food Technology. 17(6):107. Source:

(10° less). The  $Q_{10}$  corresponds well with the data in the Agriculture Handbook,  $32$  which has an average  $\Omega_{10}$  of 2.85 in the same temperature range (Table 3). <sup>24</sup><br>
(10° less). The  $Q_{10}$  corresponds well with the data in<br>
the Agriculture Handbook,<sup>32</sup> which has an average  $Q_{10}$  of<br>
2.85 in the same temperature range (Table 3).<br>
TABLE 2.--Respiration Rates for Apples at Seve 24<br>  $Q_{10}$  corresponds well with the data in<br>
andbook,  $32$  which has an average  $Q_{10}$  of<br>
temperature range (Table 3).<br>
tion Rates for Apples at Several<br>
tures.<br>
Temperature

		24			
(10° less). The $Q_{10}$ corresponds well with the data in					
the Agriculture Handbook, $32$ which has an average $Q_{10}$ of 2.85 in the same temperature range (Table 3).					
TABLE 2.--Respiration Rates for Apples at Several					
Temperatures.			Temperature		
		$0^{\circ}$ C 5 $^{\circ}$ C	$10^{\circ}$ C $273^{\circ}$ K 278°K 283°C	15°C 20°C 288°K	$293^\circ K$
Early Ripening	800- 1420		$1280 - 3400 - 4400 - 4800 -$ 2600 5000	7600	10000
Late Ripening	$440-$ 880		$1120 - 1680 - 2280 -$ 1720 2560	4800	$3600 -$ 6000
Source: Agriculture Handbook 66, U.S. Department of	Agriculture, Oct. 1968, pg. 8.				
Heat of Respiration in BTU./ton/day.					
TABLE 3.--Q <sub>10</sub> of Apples for Different Temperature Ranges.					
			$Q_{10}$ $Q_{10}$ $Q_{10}$ 0°-10° 5°-15° 10°-20°		Ave. $Q_{10}$
Early Ripening	3.78	3.09		1.76	2.77
Late Ripening	3.21	2.49		2.26	

TABLE 2.--Respiration Rates for Apples at Several Temperatures.

**Contract Contract** 

TABLE 3.-- $Q_{10}$  of Apples for Different Temperature Ranges.

Source: Agriculture Handbook 66, U.S. Department of Agriculture, Oct. 1968, pg. 8.										
Heat of Respiration in BTU./ton/day.										
TABLE 3.--Q <sub>10</sub> of Apples for Different Temperature Ranges.										
			$Q_{10}$ $Q_{10}$ $Q_{10}$ 0°-10° 5°-15° 10°-20°	Ave. $^{Q}$ 10						
Early Ripening 3.78 3.09			1.76	2.77						
Late Ripening 3.21 2.49 2.26										
Agriculture (October, 1968), p. 8.			32 Agriculture Handbook 66, U.S. Department of							

<sup>32&</sup>lt;br>Agriculture Handbook 66, U.S. Department of (October, 1968), p. 8.

### CHAPTER IV

### STORAGE

The duration of market life is primarily dependent upon physiological changes already accrued during the storage period.<sup>33</sup> Different methods of storage suppress the apple's physiological changes in varying degrees. The duration of maximum storage connotates the effectiveness of different storage methods. ferent metho<br>cal changes<br>m storage co<br>age methods.<br>ng discussio<br>will be desc<br>Cold Storage

In the following discussion, the two main methods of commercial storage will be described and compared.

### Cold Storage

The temperature is held slightly above the temperature that would initiate low temperature breakdown, a physiological disorder.  $34$  The temperature is typically between -2°C and 1°C. The duration of maxi<br>
ness of different st<br>
In the follo<br>
of commercial storag<br>
The temperat<br>
The temperature that wou<br>
a physiological diso<br>
between -2°C and l°C<br>
The cold sto<br>
This temperature coi

The cold storage temperature for McIntosh is  $0^{\circ}$ C. This temperature coincides with the lowest respiration

<sup>33</sup>G. D. Blanpied and D. H. Dewey, "Quality and Condition Changes in McIntosh Apples Stored in Controlled Atmospheres," Quarterly Bulletin of Mich. Agr. Exp. Stn.  $(1960)$ ,  $42(4):778$ .

<sup>34</sup>J. C. Fidler and C. J. North, "The Effect of Conditions of Storage on the Respiration of Apples," p. 204.

rate attainable without midifying the atmospheric conditions. The maximum cold storage life for McIntosh is two to four months.35

Cooling is rapid in cold storage. The temperature of the fruit is cooled from 20°C to 3°—4°C within five days. It is essential to establish storage conditions within a week. 36

Cold storage aids in suppressing respiration, aging due to ripening, water loss and spoilage due to bacteria, fungi and yeast.<sup>37</sup> If the temperature is 2° or 3° above the optimum temperature, there is an increased danger of increased decay and unnecessary ripening.<sup>38</sup> days. It is essentia<br>within a week.<sup>36</sup><br>Cold storage<br>aging due to ripening<br>bacteria, fungi and y<br>or 3° above the optim<br>danger of increased d<br><u>Controlled</u><br>The atmospher<br>carbon dioxide of CA<br>of the organism. CA<br>extend storag is essential to establi<br>
eek.<sup>36</sup><br>
ld storage aids in supp<br>
to ripening, water loss<br>
fungi and yeast.<sup>37</sup> If<br>
re the optimum temperatu<br>
increased decay and unn<br>
<u>Controlled Atmosphere</u><br>
e atmospheric concentra<br>
xide of CA

### Controlled Atmosphere (CA) Storage

The atmospheric concentration of oxygen and carbon dioxide of CA storage will hinder the respiration of the organism. CA is used extensively for apples to extend storage life and marketability.<sup>39</sup>

35Agriculture Handbook 66, p. 23.

36J. C. Fidler, et al., The Biology of Apple and Pear Storage, p. 33.

37Agriculture Handbook 66, p. 2.

 $38$ Ibid., p. 2.

39<br>P. Veiraju and M. Karel, "Control of Atmosphere Inside a Fruit Container," Modern Pkg. (1967), 40(2):168: <sup>35</sup>Agriculture Handbook 66, p. 23.<br>
<sup>36</sup>J. C. Fidler, et al., <u>The Biology of Apple a</u><br>
Pear Storage, p. 33.<br>
<sup>37</sup>Agriculture Handbook 66, p. 2.<br>
<sup>38</sup>Ibid., p. 2.<br>
<sup>39</sup>P. Veiraju and M. Karel, "Control of Atmosph<br>
Inside Apples in Modified Atmosphere Cold Storage," p. 453.
Controlled atmosphere storage facilities consist of airtight store rooms that control oxygen concentration and carbon dioxide, as well as temperature. Apples are pre-cooled before being sealed in the storage rooms. The ideal atmospheric conditions are either achieved by artificial means or by letting the apples' respiratory process generate ideal conditions. The effect of these partial pressure changes is to decrease the respiration rate. Product-generated and artificially-generated atmospheres give identical storage results.<sup>40</sup> It mav require two to three weeks to attain CA conditions.<sup>41</sup> The desired conditions are maintained by venting with cooled air and using carbon dioxide scrubbers.

The oxygen concentration is kept slightly above the extinction point to reduce the possibility of incurring anaerobic conditions. The temperature maintained in CA storage is generally several degrees higher than found in cold storage. The change in gas concentration elevates the temperature at which low temperature breakdown starts to appear. $^{4\,2}$ rate. Product-gener<br>atmospheres give ide<br>require two to three<br>The desired conditio<br>cooled air and using<br>The oxygen c<br>the extinction point<br>incurring anaerobic<br>tained in CA storage<br>than found in cold s<br>tion elevates the te<br>b s give identical storag<br>
o to three weeks to att<br>
d conditions are mainta<br>
and using carbon dioxi<br>
e oxygen concentration<br>
tion point to reduce th<br>
anaerobic conditions.<br>
CA storage is generally<br>
in cold storage. The<br>
tes

<sup>40&</sup>lt;sub>Fidler, et al., The Biology of Apple and Pear</sub> Storage, p. 33.

 $^{\textbf{41}}$ Agriculture Handbook 66, p. 17.

<sup>42&</sup>lt;sub>G.</sub> D. Blanpied and D. H. Dewey, "Quality and Condition Changes in McIntosh Apples Stored in Controlled Atmospheres," p. 774.

CA offers several advantages over cold storage. They are:

- 1. Carbon dioxide retards not only respiration, but also the germination and growth of fungi.43
- 2. Brown core, storage scald and mealy breakdown is retarded.<sup>44</sup>
- 3. Firmness is better maintained.
- 4. Ripening is significantly slowed down.<sup>45</sup>
- 5. Shelf-life after removal from storage is greatly lengthened.
- 6. Storage life is extended to 6-8 months.46
- 7. Climacteric is suppressed.

The retention of flesh firmness during CA storage

is apparent from the results shown in Figure 7. The storage temperature was 3.5°C. Cold storage is associated with the greatest loss of flesh firmness, while all of the various modified atmospheres indicate some degree of maintaining firmness. The atmosphere 5:2 preserved the most firmness. 3. Firmness<br>4. Ripening<br>5. Shelf-lif<br>greatly 1<br>6. Storage 1<br>7. Climacter<br>The retention<br>is apparent from the<br>storage temperature w<br>with the greatest los<br>the various modified<br>maintaining firmness.<br>most firmness.

46 Agriculture Handbook 66, p. 23.

<sup>43&</sup>lt;sub>F.</sub> Kidd and C. West, "The Gas Storage of Fruit II. Optimum Temperatures and Atmospheres," p. 77.

 $44$ G. D. Blanpied and D. H. Dewey, "Quality and Condition Changes in McIntosh Apples Stores in Controlled Atmospheres," p. 778.

<sup>45&</sup>lt;sub>F.</sub> Kidd and C. West, "Recent Advances in the Work on Refrigerated Gas—Storage of Fruit," p. 303.





Source: Van Doren, A. (1937) "Physiological Studies with McIntosh Apples in Modified Atmosphere Cold

The atmosphere of 5:2 is sometimes used in lieu of 5:3 for McIntosh. However, this is not the general commercial practice. The risks of anaerobiosis offset the possible gains gotten at 5:2 rather than at 5:3.

Extending the market life after removal from storage (Figure 8) is almost as important commercially as extending the storage period. Extending the storage period only to have the quality to maintain for several weeks would hardly justify the added costs of CA storage. Fortunately, this is not the case with CA stored McIntosh apples. Modifying the atmosphere consistently preserves apple quality<sup>47</sup> and marketing life in comparison to cold storage. Fortunately, this is n<br>McIntosh apples. Modi<br>preserves apple qualit<br>son to cold storage.

<sup>47</sup>T. Murata and T. Minamide, "Studies on Organic Acid Metabolism and Ethylene Production During Controlled Atmosphere Storage of Apples (Mallus pumila Miller, cv. Rolls)," Plant and Cell Physiology (1970), ll(3):857





Source: Van Doren, A. (1937). "Physiological Studies van Boren, nr (1997). Thighchoghear Beaules<br>with McIntosh Apples in Modified Atmosphere Cold Storage." Proc. Am. Soc. Hort. Sci. 37:456.

### CHAPTER V

# THEORY FOR PRODUCT-GENERATED

### ATMOSPHERE PACKAGE

The hypothesis of this thesis is that it is possible to design a flexible package system that will provide longer storage life for fruits and vegetables (specifically, apples) than is possible with cold storage. If an atmosphere within a package is oxygen deficient and/or carbon dioxide rich, the metabolism will be suppressed. Metabolism is inversely related to storage life.

The intensity of metabolism is evidenced by the respiration rate. The rate of respiration is altered by changing temperature and partial pressure in the apples' environment. Metabolism can be depressed in a number of ways: decreasing oxygen concentration, increasing carbon dioxide concentration, decreasing temperature, or any combination of the three.<sup>48</sup> deficient and/or carb<br>will be suppressed.<br>storage life.<br>The intensity<br>respiration rate. Th<br>changing temperature<br>environment. Metabol<br>ways: decreasing oxy<br>dioxide concentration<br>combination of the th<br>This chapter<br>first secti

This chapter is divided into five sections. The first section will present the variables that effect

<sup>48&</sup>lt;sub>F.</sub> Kidd and C. West, "The Gas Storage of Fruit II. Optimum Temperatures and Atmospheres," p. 67.

equilibrium concentrations. The next three sections quantify three of the variables: oxygen effect, carbon dioxide effect and temperature effect. The other variables are quantified in the Appendix. The final section depicts these variables in two theoretical equations. A computer simulation based on the two equations is presented in the Appendix.

Equilibrium conditions may not be achieved. Respiration has a minimum rate that corresponds with the extinction point in oxygen. If the net transmission rate for oxygen is less than the respiration rate when the partial pressure of oxygen is at the extinction point, the partial pressure will continue to decrease. Reducing the oxygen partial pressure below the extinction point will cause anaerobic respiration. Fermentation increases the respiration rate (Figure 9). This will cause a greater imbalance in the system because the oxygen supply is further depleted. Equilibrium will not be attained. rate for oxygen is less<br>the partial pressure of<br>the partial pressure wi<br>the oxygen partial pres<br>will cause anaerobic re<br>the respiration rate (F<br>greater imbalance in th<br>supply is further deple<br>attained.<br>Section 1:<br>The equil

### Section 1: Equilibrium Variables

The equilibrium is approached by two actions, a declining respiration rate and an increasing net transmission rate (Figure 10).<sup>49</sup> The barrier qualities and a

<sup>49&</sup>lt;sub>R. G.</sub> Tompkins, "Film Packaging of Fresh Fruit and Vegetables--the Influence of Permeability," The Inst. 'of Packaging Conference Guide, 1962, p. 66.



Figure 9.--Unba1anced System.



Figure lO.--Equilibrium Conditions.

respiring organism will provide a dynamic environment system that will continue to evolve until equilibrium conditions are reached. Equilibrium conditions are reached when the respiration rate is equal to the package's transmission rate of oxygen and carbon dioxide.<sup>50</sup>

The transmission rate and respiration rate are dependent upon a number of variables. The variables affecting transmission rate are:

- l. permeability constant
- 2. film thickness
- 3. temperature
- 4. package surface area
- 5. activation energy for the film
- 6. concentration of oxygen
- 7. concentration of carbon dioxide
- 8. head space in the package.

The variables affecting respiration rate are: 5. activat<br>
6. concent<br>
7. concent<br>
8. head sp<br>
The variables affec<br>
1. apple v<br>
2. concent<br>
3. concent<br>
4. tempera<br>
5. respira<br>
6. total a

- 1. apple variety
- 2. concentration of oxygen
- 3. concentration of carbon dioxide
- 4. temperature
- 5. respiratory quotient
- 6. total apple weight.

 $50$  Ibid.

The interaction of these variables will determine the eventual atmosphere within a product-generated atmosphere package.<sup>51</sup> 37<br>
of these variables will<br>
here within a product-gen<br>
Section 2: Oxygen Effect

# Section 2: Oxygen Effect

Respiration rate is a function based on the effect of oxygen, carbon dioxide and temperature and can be written as  $RR(P_{O_2},P_{CO_2},T)$ . The effect of oxygen is based on Figure 4. This figures is simplified into a straight line (Figure 11). The oxygen effect can be written as:

$$
6 + (22.2 \cdot partial pressure of 02)
$$
 (1)

based on line points (6.0, 0.03) and (10.0, 0.21).

### Section 3: Carbon Dioxide Effect

The depressing effect of carbon dioxide is based on Figure 5. The results are represented by two connecting straight lines (Figure 12). The carbon dioxide effect for line AB can therefore be written as: based on line points<br>
<u>Section 3</u><br>
The depressi<br>
on Figure 5. The re<br>
ing straight lines (<br>
effect for line AB c<br>
1.0 - 2.<br>
based on points (1.0

1.0 - 2.25  $\cdot$  CO<sub>2</sub> partial pressure (2)

based on points (1.0, 0.0) and (0.82, 0.08).

<sup>51&</sup>lt;sub>R.</sub> G. Tomkins, "The Conditions Produced in Film Packages by Fresh Fruits and Vegetables and the Effect of these Conditions on Storage Life," p. 293.



Figure ll.--Oxygen Effect on Respiration Rate of McIntosh.



Figure 12.--Carbon Dioxide Effect on ReSpiration Rate of McIntosh.

The second line represents the effect of carbon dioxide of a partial pressure at or above 0.08. The equation for line BC based on points (0.82, 0.08) and (0.40, 0.13) is:

.82 - 6.6  $\cdot$  (CO<sub>2</sub> partial pressure) - 0.08 (3)

The values generated from equations (2) and (3) are in the form of percentages. The product of equations (2) or (3) with equation (1) reflect the additive effect of carbon dioxide and oxygen on the respiration rate. 40<br>
econd line represents the effer<br>
partial pressure at or above 0<br>
line BC based on points  $(0.82,$ <br>
is:<br>
82 - 6.6 ·  $(CO_2$  partial pressure<br>
alues generated from equations<br>
rm of percentages. The produc<br>
or (3) with eq

# Section 4: Temperature Effect

The temperature effect is based on a  $Q_{10}$  of 2.77. The following statement is made to quantify the temperature effect on respiration rate:

$$
e^{X} [-8181 \cdot (\frac{1}{T} - \frac{1}{293})]
$$
 (4)

if 
$$
\frac{kR_{293\degree K}}{RR_{283\degree K}} = 2.77
$$
 (5)

 $\mathbf{r}$ 

**DD** 

then 
$$
\frac{KR \times 283 \text{°K}}{RR_{293 \text{°K}}} = 0.36
$$
 (6)

$$
0.36 = e^{X} \left[ \frac{\Delta H}{R} \cdot \left( \frac{1}{283} - \frac{1}{293} \right) \right]
$$
 (7)

$$
\frac{\Delta H}{R} = \frac{1 \cdot 0.36}{\frac{1}{283} - \frac{1}{293}}
$$
(8)  

$$
\frac{\Delta H}{R} = -8470
$$

This is the temperature effect between 10°C and 20°C. The temperature effect between 0°C and 10°C is:

$$
.36 = e^{X} \left[\frac{\Delta H}{R} \cdot \left(\frac{1}{273} - \frac{1}{283}\right)\right]
$$
 (9)  
 $\frac{\Delta H}{R} = -7892$ 

The average of the two  $\frac{\Delta H}{\Delta}$  is -8181.

### Section 5: Theoretical Equations

The definitions of symbols used in this discussion are:

A surface area of package in square centimeters. dp<sub>CO2</sub> = derivative of partial pressure of carbon  $^{\rm o}$ 2 dt derivative of time dioxide. derivative of partial pressure of oxygen.  $P_{CO_2}^e$  = external partial pressure of carbon dioxide.  $p_{CO_2}$ <sup>i</sup> = internal partial pressure of carbon dioxide.

$$
\tilde{P}_{CO_2}(T) = \text{permeability constant for carbon dioxide at temperature T°K, where "K" is degrees absolute.}
$$
\n
$$
P_O = \text{atmospheric pressure.}
$$
\n
$$
P_O^e = \text{external partial pressure of oxygen.}
$$
\n
$$
\tilde{P}_{O_2}(T) = \text{permeability constant for oxygen at T°K.}
$$
\n
$$
R = \text{gas constant}
$$
\n
$$
RR(p_{O_2}, p_{CO_2}, T) = \text{respiration rate in grams of carbon dioxide per kilogram product per hour as a function of the partial pressure of oxygen and carbon dioxide and the temperature.}
$$

- $S_{CO_2}(T)$  = solubility of carbon dioxide in apple sap in grams carbon dioxide per kilogram apple sap as a function of temperature.
	- solubility of oxygen in apple sap in  $S_{O_2(T)}$ grams oxygen per kilogram apple sap as a function of temperature.
		- $T_{\Omega}$  = standard temperature, O°K.
		- $W_n$  = weight of apples in kilograms.
			- $x = film$  thickness in centimeters.
			- $V =$  combined void volume of gas in cubic centimeters of package head Space and intercellular spaces in the apples.
		- 32 molecular weight of oxygen.
		- 44 molecular weight of carbon dioxide.

The initial supply of oxygen and carbon dioxide within the package can be represented as:

$$
\text{(initial o}_2 \text{ supply)} \quad S_{O_2(T)} \cdot W_A + \frac{32 \cdot V}{R \cdot T} \tag{10}
$$

$$
\text{(initial co}_2 \text{ supply)} \quad S_{\text{CO}_2(T)} \cdot W_A + \frac{44 \cdot V}{R \cdot T} \tag{11}
$$

The supply includes gas that is in apple sap, intercellular spaces and package headspace or void.

The transmission rate of oxygen is represented as:

$$
\tilde{P}_{O_2(T)} \cdot \frac{32 \cdot P_0}{R \cdot T_0} \cdot \frac{A}{x} \cdot (p_{O_2}^e - p_{O_2}^i)
$$
 (12)

Carbon dioxide transmission rate is represented as:

$$
\tilde{P}_{CO_2}(T) \cdot \frac{44 \cdot P_0}{R \cdot T_0} \cdot \frac{A}{x} \cdot (p_{CO_2}^e - p_{CO_2}^i)
$$
 (13)

Combining the respiration function (equations (1) and (2)), initial gas supplies (equations (10) and (11)), and the representations for transmission rates (equations (12) and (13)) can be simplified into two theoretical equations. The equation for oxygen is:

$$
[S_{O_2(T)} \cdot W_A + \frac{32 \cdot V}{R \cdot T}] \cdot \frac{dp_{O_2}}{dt} = [\tilde{P}_{O_2(T)} \cdot \frac{32 \cdot P}{R \cdot T_0} \cdot \frac{A}{x} \cdot (p_{O_2}^e - p_{O_2}^i)] -
$$
  

$$
[RR(p_{O_2} \cdot p_{O_2}^i)^T \cdot W_A]
$$
 (14)

The second equation, which represents carbon dioxide evolution within the package, is:

$$
[S_{\text{CO}_2(T)} \cdot W_A + \frac{44 \cdot V}{R \cdot T}] \cdot \frac{dp_{\text{CO}_2}}{dt} = [\tilde{P}_{\text{CO}_2(T)} \cdot \frac{44 \cdot P_0}{R \cdot T_0} \cdot \frac{A}{x} \cdot (p_{\text{CO}_2} - p_{\text{CO}_2})] +
$$
  

$$
[RR(p_{\text{O}_2}, p_{\text{CO}_2}, T) \cdot W_A]
$$
 (15)

Equations (14) and (15) provide the basis for the computer model. The computer model is presented and described in the appendix.

### CHAPTER VI

### SIMULATION RESULTS

In this chapter the results from the simulation of the three films are presented. This is in Section 1. The following two sections discuss the effect of film thickness and pallet-sized product-generated atmosphere packages, respectively. CHAPTER VI<br>SIMULATION RESULT<br>pter the results<br>are presented. Th<br>ections discuss t<br>t-sized product-g<br>ely.<br>Section 1: Films

### Section 1: Films

The respiration rate and package atmosphere are affected by the film used. Respiration rate at equilibrium is directly related to the permeability constants.

Figures 13, 14 and 15 denote the downward trend of respiration at the different temperatures over a thirty day period for the three films. The two horizontal lines in each figure represent the respiration rates of cold storage and CA storage. The cold storage respiration rate corresponds with .0025 grams  $CO_2/Kg$  x hr. The CA respiration rate is the lower of the two lines and represents a rate of .0020 grams  $CO_2/Kg$  x hr.



Figure 13.--Results of One Mil Cellulose Acetate Simulations.



Figure 14.--Results of One Mil Low Density Polyethylene Simulations.



Figure 15.--Results of One Mil Polybutadiene Simulations.

These two respiration rate values were calculated from the FUNCTION RESP of the model in the appendix. The environmental values of cold storage and CA storage were substituted into the model.

The cold and CA respiration rates are important in the analysis of the data. These two respiration rates are reference points in analyzing the effectiveness of the packages. The package would be effective in extending storage life if the respiration rate is below the respiration rate of cold storage. The respiration rate is an index of storage potential.

Cellulose acetate (Figure 13) is not an effective package. It is not effective because the final respiration rate at the lowest temperature, 3.5°C, is .0032. This rate is greater than the cold storage respiration rate (.0025). The storage life provided by this package would be less than the storage life attained through cold storage conditions.

The low density polyethylene (Figure 14) provided a package option that will extend storage life. In an ambient temperature of 3.5°C, an effective respiration is achieved. The respiration rate is .0022. Within seven days, the respiration was below .0025. The respiration rate was still falling after thirty days, indicating that equilibrium conditions had not been reached.

The oxygen concentration at the end of the simulation was .0491. This partial pressure was almost .02 above the extinction point. In order to state with confidence that this package would extend storage life, an additional simulation was made. The duration of the simulation is 210 days, or 7 months.

The results of this simulation are presented in Figure 16. The equilibrium conditions are: 4.716% oxygen, 3.289% carbon dioxide, and a respiration rate of .00220 grams carbon dioxide/Kg x hr. It can now be stated that the low density polyethylene package at 3.5°C is effective.

The barrier properties of polybutadiene are too restrictive (Figure 15). Anaerobic conditions are established at all temperatures. Less than 3% oxygen was reached within 11 to 15 days.

Table 4 is a summary of the simulations. The values represent the conditions at the 30th day of the simulation, unless anaerobic conditions develop. In event of anaerobiosis, the values are taken from the day that anaerobic fermentation initiated.

### Section 2: Thickness

Changing the thickness will alter the equilibrium of the package. Increasing the thickness is similar to using a less permeable material.





		52		
TABLE 4.--Summary of Program Simulation.				
	Temp.	Final	Final	Final
Film	$\mathbf{C}$	pp0 <sub>2</sub>	ppCO <sub>2</sub>	<b>RR</b>
Cellulose Acetate	3.5	.1906	.0035	.003249
	5.0 6.0	.1882 .1865	.0038 .0040	.003790 .004196
	7.0	.1848	.0043	.004641
Low Density P.E.	3.5 5.0 6.0	.0491 .0417 .0369	.0331 .0347 .0358	.002207 .002528 .002766
	7.0	.0322	.0369	.003023
Polybutadiene	3.5 5.0	$.0281*$ $.0244*$	.0874 .0928	.001738 .001928
	6.0 7.0	$.0278*$ $.0259*$	.0964 .0998	.002094 .002240
$\star$ Anaerobic Condition.				
		The thickness of the low density polyethylene in		
Section 1 was increased from one mil to two mils.				
results of the 2 mil simulation was anaerobic conditions				The
at all temperatures (Figure 17). Anaerobic conditions				
were reached in 10-15 days. The outcome for 2 mils is				
similar to the results of the least permeable material				
in Section 1, ploybutadiene.				

TABLE 4.--Summary of Program Simulation.

## Section 3: Pallet-sized Product generated'Atmopphere Package

The commercial impact of product-generated atmosphere packages is significant. The model is based on a five-pound retail package. The impact would be



Figure l7.--Two Mil Low Density Polyethylene.

 $\gamma_{\rm{in}}$ 

maximized if the concept of product-generated atmosphere packages could be applied to storage containers. Most of Michigan apple growers store apples in pallet bins instead of the retail package.<sup>52</sup>

The apples are placed in pallet bins at time of harvest. The base of the bin is a standard pallet size (40 inches x 48 inches) and is 32 inches in height. The weight capacity is 1100 - 1200 pounds.

Once at the warehouse, the bin and apples are drenched with a fungicide and water solution. The bins are then moved to storage and rapidly cooled. The apples are then retail-packaged and shipped, according to sales demand.

This system is advantageous to immediate retail packaging on three counts. This first advantage is that an employment level can be regulated. Immediate retail packaging means that all the packaging efforts are concentrated during the harvest season. apples are then retaintified and the sales demand.<br>This system is<br>packaging on three conthat an employment length retail packaging mean<br>are concentrated during the labor for<br>basis. Immediately puthe fluctuations in extint

The labor force already fluctuates on a seasonal basis. Immediately packaging the apples would amplify the fluctuations in employment. Harmonizing packaging with sales demand would have stabilizing effects on the growers' workforce.

<sup>52</sup>Unpublished apple storage information, March 1976, Richard Patterson, School of Packaging, Michigan State University, East Lansing, Michigan.

A second advantage is that a bottleneck in the packaging operation can be avoided. In order to package the fruit as it is harvested, the operation would need an enormous capacity. The investment to accommodate a high capacity, short-duration packaging operation may be prohibitive for most growers. By the grower packaging as the demands require, a more moderate size operation can fulfill the packaging needs.

The final advantage is that better quality fruit will reach the retail market. It is inevitable that handling operations, such as sorting and packaging, bruise some of the fruit. Bruises are not visible immediately and lead to deterioration. If the fruit is packaged and then stored for several months, the bruises incurred from the packaging operation will initiate deterioration. By the time the fruit reaches the market, the bruised apples from the packaging operation will be inferior in quality.

Storing in pallet bins minimizes the handling prior to storage. This in turn will eliminate much of the rotting. Whatever damaged fruit there is at the time of packaging can be sorted out during the pre-packaging operation. This type of operation would enable greater numbers of high-quality fruit to reach the retail market.

A simulation was conducted with the pallet bin as the product-generated atmosphere package. The pallet bin would be more practical than a five-pound package in current storage operations.

Only low density polyethylene film was in the simulations. The change in package size to 40" x 48" x 32" necessitates changes in the package's parameters. These changes are:

- 1. Weight is based on 1200 pounds. This is 545,454 grams.
- 2. Package void space is  $685.542 \text{ cm}^3$ .
- 3. Film area is  $61,111$   $cm^2$ .
- 4. Film thickness is 1.5 mil.<sup>53</sup>

Low density polyethylene was not successful in producing a beneficial atmosphere (Figure 18). The extinction point for oxygen was reached within 7 to 10 days, depending on the temperature.

The carbon dioxide accumulations are in excess of 12 percent at all four temperatures. This accounts for the extremely low respiration rates in the simulations. Such high partial pressures are not used in conjunction with low oxygen partial pressures. The physiological disorder, carbon dioxide injury, is a 3. Film area i<br>4. Film thickn<br>Low density pol<br>producing a beneficial<br>extinction point for ox<br>days, depending on the<br>The carbon diox<br>of 12 percent at all fo<br>for the extremely low r<br>tions. Such high parti<br>conjunction with lo problem at the higher carbon dioxide concentrations.

<sup>53&</sup>lt;sub>D</sub>. H. Dewey, H. J. Raphael and J. W. Goff, "Polyethylene Covers for Apples Stored in Bushel Crates on Pallets," Quarterly Bulletin, Mich. Agri. Exp. Stn.,  $(1959)$ ,  $42(1)\overline{.197}$ .





In summary of this chapter, it is possible to produce a beneficial atmosphere within a package. Each package variable has a significant effect on the equilibrium and must be consolidated into the package system.

 $\cdot$ 

### CHAPTER VII

### ECONOMICS

In order to analyze the various storage costs of cold storage, CA storage and product-generated atmospheres storage packages on a monthly basis, it is necessary to determine the maximum storage life of each type of storage. Based on Figure 19, the cold storage of McIntosh is depleted after approximately four months and the last CA storage apples are predicted to be sold after about seven months. The monthly storage costs are based on four months and seven months, respectively, for cold storage and CA storage. The results in Chapter VI indicated that the Optimum product-generated atmosphere package was one mil low-density polyethylene at 3.5°C. Based on the theoretical respiration rate (Figure 14) the maximum storage life from this package is assumed to be six months.

Implementation of the product-generated atmosphere package would affect five cost areas of storage: building and equipment, labor, management, storage supplies/repairs, and energy.



Combined. Figure l9.--Michigan 1976 McIntosh Sales Cold, CA and

Packaging material costs are not effected. The current retail package and the product-generated atmosphere package both utilize one mil low density polyethylene. Therefore, packaging material costs have not been included in this cost analysis.

The average size cold storage warehouse in Michigan accommodates 72,000 bushels of apples and the average capacity for CA storage warehouses is 80,000 bushels.<sup>54</sup> The cost analysis is based on the average size cold and CA storage warehouses.

,All cost figures are based on unpublished work by Brown and Pierson.<sup>55</sup> The costs in this work are expressed in a per storage season basis. To reduce the costs to costs per month, the cold storage seasonal costs were divided by four and the CA storage costs were divided by seven. The description of the various cost areas is based on work done by Mathia $56$  unless otherwise Michigan accommodates<br>average capacity for<br>bushels.<sup>54</sup> The cost<br>size cold and CA stor<br>All cost figu<br>by Brown and Pierson.<br>expressed in a per st<br>costs to costs per mo<br>costs were divided by<br>divided by seven. Th<br>areas is bas referenced.

<sup>54</sup>Unpublished apple packing cost information, December, 1975. N Brown, County Building, Grand Haven, Michigan and T. Pierson, Dept. of Agri. Econ., MSU, East Lansing, Michigan, pp. 7-10.

<sup>55&</sup>lt;sub>Ibid.</sub>, pp. 9-10.

<sup>56&</sup>lt;sub>G</sub>. A. Mathia, "Cost of Storing North Carolina Apples," Economics Information Report No. 5, N.C. State University (1967), pp. 8-19.

# 62<br>
Section 1: Building and Equipment Section 1: Building and Equipment

Building and equipment costs command the total investment costs. The difference in investment costs between cold and CA storage is significant. Buildings and equipment have an expected life of 25 vears.<sup>57</sup>

The storage process for cold storage is a simple procedure that requires only the monitoring and control of temperature. Building and equipment costs are \$13.00 per square foot. Interest and depreciation amounts to \$.l36/bushe1/year. Interest and depreciation accrue throughout the year, even though the buildings may be empty much of the time. The income from the storage season must be used to meet interest and depreciation expenses. Therefore, the yearly interest and depreciation expense must be offset by profits from the storage. season. This expense will amount to \$.0340/bushel/month.

CA storage and equipment is more sophisticated than that found in cold storage. It is necessary that the structure has airtight storage rooms and equipment to measure and maintain the gas components, as well as the storage temperature. The building and equipment costs are based on a cost of \$21.00 per square foot. Interest and depreciation amounts to \$.29l/bushel/year (storage season must be used t<br>expenses. Therefore,<br>expense must be offse<br>season. This expense<br>CA storage an<br>than that found in co<br>structure has airtigh<br>measure and maintain<br>storage temperature.<br>are based on a cost o<br>and depreciati season). This will be a monthly expense of \$.0416.

57Ibid., p. 9.
Product-generated storage packages would utilize cold storage facilities. Building and equipment costs are the same for storage packages as for cold storage. The cost for the storage season is \$.136/bushe1/6 months. or \$.0227/bushel/month.

### Section 2: Labor

Labor costs are essentially the same in cold storage and CA storage. Both storage methods require placing fruit into storage, 58 removing fruit from storage and daily monitoring storage conditions and appraising fruit quality.

The average wage is essentially the same in either storage method. The average hourly wage for labor in cold storage is \$3.35/hr and for CA it is \$3.39/hr. Labor time per bushel is nine seconds for cold storage (4-mongh storage period) and eighteen seconds for CA storage (7—month storage period). and daily monitoring s<br>fruit quality.<br>The average wa<br>either storage method.<br>labor in cold storage<br>\$3.39/hr. Labor time<br>cold storage (4-mongh<br>seconds for CA storage<br>Labor costs fo<br>months, or \$.0025/bush<br>cost of CA storage i

Labor costs for cold storage is \$.01/bushel/4 months, or \$.0025/bushel/month. The seasonal labor cost of CA storage is \$.018/bushe1/7 months. The monthly cost is the same as cold storage, \$.0025/bushe1/month.

<sup>58</sup>J. C. Thompson, "Apple Storage Costs in New York State," Agricultural Experimental Station, Res. 87  $(1962)$ , p. 23.

This monthly labor cost, \$.0025, is used for product-generated atmosphere packages. The seasonal cost would be \$.015/bushe1/6 months. 64<br>hly labor cost, \$.0025<br>atmosphere packages.<br>15/bushel/6 months.<br>Section 3: Management

### Section 3: Management

The hourly costs for management for both storage methods is \$6.69/hr. The time spent per bushel in cold storage (4 months) was three seconds or \$.006/bushel/4 months, or \$.0015/bushel/month. Management costs for CA storage (seven months) was somewhat higher. Management was costed at 8 seconds per bushel. This is \$.016/ bushel/7 months, or \$.0023/bushel/month.

It is not clear what the difference between \$.0015 and 5.0023 is attributed to. It is assumed here that the management costs for the product-generated storage package will be the higher of the two, or \$.0023/ bushel/month. This would be a seasonal cost of \$.138/ bushel/6 months.

### Section 4: Storage Supplies and Repairs

Other than supplying refrigerant, few supplies and repairs are needed for cold storage. However, CA storage requires the purchase of carbon dioxide scrubbers, such as caustic soda, which is a significant expense. Additional expenses, although minor, would be caulking compound and charcoal.

Repairs are also more costly for CA storage than cold storage. Before each season, the storage rooms are inspected, renovated and repaired to maintain airtightness. During the storage period there is a continual effort to prevent gas leaks and maintain the equipment used in monitoring the storage atmosphere.<sup>59</sup>

Therefore, the costs in this category are \$.055/ bushel/7 months of CA and \$.Oll/bushel/4 months for cold storage. The month costs per bushel for CA and cold storage are \$.0079 and \$.0028, respectively.

Product-generated atmosphere packages would utilize the same facilities as cold storage. Monthly costs for storage supplies and repairs should not be affected (\$.0028/bushel/month). Since the storage season lasts six months or 50 percent longer, seasonal supply and repair costs will reflect the extra use. The seasonal cold storage cost for supplies and repairs will be increased 50 percent to represent these costs for product-generated atmosphere packages. The seasonal cost per bushel is \$.0165. costs for storage su<br>
affected (\$.0028/bus<br>
season lasts six mon<br>
supply and repair co<br>
The seasonal cold st<br>
will be increased 50<br>
for product-generate<br>
cost per bushel is \$<br>
Energy is th<br>
Energy used to opera

### Section 5: Energy

Energy is the main component of operating costs. Energy used to operate refrigeration and CA equipment

<sup>59&</sup>lt;sub>Ibid.</sub>, pp. 28-29.

is the primary energy expense. Energy requirements for lights and miscellaneous items are insignificant.

On a month to month basis, energy expenses are erratic. The first month of storage has the greatest energy requirement because of the need to rapidly cool the apples. The following months also fluctuate because of changes in seasonal temperatures. In this analysis, the energy costs are a per-month average for the storage season.

The energy expenses for cold storage is \$.06/ bushel/4 months, or \$.015/bushel/month, and, for CA storage, is \$.13/bushel/month, or \$.0186. CA storage maintains a temperature of 3.5°C for McIntosh as Opposed to +.5°C in cold storage. The energy required for refrigeration during the first four months of CA storage would be less than the energy needed for four months of cold storage. However, this lower energyrequirement is offset by the power needed to refrigerate during the succeeding months, which will be warmer, and the operation of carbon dioxide scrubbers and other CA equipment.

The optimum temperature of the atmosphere for a product-generated storage package is 3.5°C. To achieve this temperature inside the package, a lower storage temperature will be needed. It has been shown that at the same storage temperature, crates with polyethylene

liners have an internal temperature that is 1°C greater than unlined crates. These were unsealed liners.<sup>60</sup> When air flow is restricted, a lower temperature is necessary to maintain the temperature of the air around the apple.

If a sealed package were used, it is conceivable that the storage temperature needed to establish a 3.5°C internal temperature may be close to the cold storage temperature of 0-1°C.

When comparing the energy expense of productgenerated storage packages to CA storage, the added refrigeration cost for the storage packages is partially offset by several factors. Refrigeration for the product-generated storage packages does not include the seventh month, May, which is the warmest storage month. Also, the storage packages would not utilize a carbon dioxide scrubber or most of the other CA equipment, all of which require energy to operate. refrigeration cost fo<br>offset by several fac<br>product-generated sto<br>seventh month, May, w<br>Also, the storage pac<br>dioxide scrubber or m<br>of which require ener<br>From this dis<br>energy requirements f<br>packages are approxim<br>The season

From this discussion, it is assumed that the energy requirements for CA and product-generated storage packages are approximately the same, \$.0186/bushel/month. The seasonal energy costs would be \$.1116/bushel/6 months.

 $^{60}$ D. H. Dewey, H. J. Raphael, and J. W. Goff, "Polyethylene Covers for Apples Stored in Bushel Crates on Pallets," p. 206.

The storage costs for the three methods are summarized below. Costs are presented on a monthly and a seasonal basis.

Table 5 summarizes costs of storage methods on a monthly basis. Product-generated storage packages have the smallest monthly cost per bushel, even though management and energy costs are greater than cold storage. CA costs are almost  $2\frac{1}{2}$  cents greater than productgenerated atmosphere packages on a monthly basis. 68<br>
Section 6: Summary<br>
The storage costs for the three methods are<br>
summarized below. Costs are presented on a monthly and<br>
a seasonal basis.<br>
Table 5 summarizes costs of storage methods on<br>
a monthly basis. Product-gener 68<br>
Section 6: Summary<br>
The storage costs for the three methods are<br>
summarized below. Costs are presented on a monthly and<br>
a seasonal basis.<br>
Table 5 summarizes costs of storage methods on<br>
a monthly basis. Product-gener



TABLE 5.--Storage Economics on a Monthly Basis.

Table 6 summarizes the seasonal costs of the storage methods. The total costs show that productgenerated storage packages will provide a storage period that is two months longer than cold storage for a cost of \$.07/bushel. The additional month provided by CA storage would almost cost an extra \$.22/bushe1. 69<br>
that is two months longer than cold storage for a cost<br>
of \$.07/bushel. The additional month provided by CA<br>
storage would almost cost an extra \$.22/bushel.<br>
TABLE 6.--Storage Economics on a Seasonal Basis.



TABLE 6.--Storage Economics on a Seasonal Basis.

### CHAPTER VIII

### CONCLUSION

The product-generated atmosphere is a unique concept from a packaging perspective. Presently, packaging is used to protect or maintain the internal CONCLUSION<br>The product-generated atmosph<br>concept from a packaging perspective.<br>packaging is used to protect or <u>maint</u><br>conditions of the package. Producing conditions of the package. Producing conditions that extend storage life would be a new function for the package.

Product-generated atmosphere package is not a proven method in the storage of fruits and vegetables. The results of this study do indicate that productgenerated atmosphere package is a feasible storage method. It is feasible not only in terms of storage, but also in terms of economics. Further work is recommended to establish product-generated atmosphere packages as a viable storage method.

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APPENDIX

### APPENDIX

# APPENDIX<br>Section 1: Assumptions Section 1: Assumptions

The assumptions made in the computer simulation

are listed below:

- 1. Apple metabolism adjusts instantaneously to any change in the atmosphere.
- 2. Solubility of oxygen and carbon dioxide in apple sap at a given temperature is equivalent to water solubility constants.
- $3.$ . Temperature effect on metabolism is additive to the oxygen-carbon dioxide effect.
- 4. Temperature does not affect the R.Q.
- No physiological disorders in the package.  $5.$
- Internal package humidity and apple 6. transpiration are not factors.

### Section 2: Values for Program Parameters 82<br>
<u>Section 2:</u><br>
Values for Program Parameters 82<br>
Section 2<br>
for Program

This section quantifies the parameters of equations (1) and (2), with the exception of "RR( $p_{O_2}$ , $p_{CO_2}$ , T)." The computer program symbols are in parentheses. 82<br>
Section 2:<br>
Frogram Parameters<br>
untifies the parameters of equations<br>
sception of "RR( $p_{O_2}, p_{CO_2}, T$ )." The<br>
sare in parentheses.<br>
e used in the simulation. They were<br>
lative permeability rates.<br>
om<sup>3</sup> x standard tem

Three films were used in the simulation. They were chosen on a basis of relative permeability rates.

1. Cellulose Acetate

Three films were used in the simulation. They were  
\nisen on a basis of relative permeability rates.  
\nCellulose Acetate  
\na. 
$$
\tilde{P}_{O_2}
$$
 (PERO2Z)=2.44x10<sup>-4</sup>  $\frac{cm^3 x$  standard temp. & press x cm thickness  
\ncm<sup>2</sup> x hour x atmosphere  
\nb. activation energy for oxygen (ERO2) = 4200 kcal/mole  
\nc.  $\tilde{P}$  (PERO2Z) = 1.47 x 10<sup>-3</sup>  $\frac{cm^3 x$  STP x cm

b. activation energy for oxygen (EPO2) =  $4200$  kcal/mole

c. 
$$
\tilde{P}_{CO_2}
$$
 (PERCO2Z) = 1.47 x 10<sup>-3</sup>  $\frac{cm^3 x STP x cm}{cm^2 x hour x atm}$ 

c. activation energy for carbon dioxide (EPCOZ) = 5200 kcal/n'ole

2. Low density polyethylene

- a. (PERO2Z) = 1.75 x  ${10}^{-5}$
- b.  $(EPO2) = 10000$
- c. (PERCO2Z) = 8.8 x  $10^{-5}$
- d. (EPCO2) =  $9000$

3. Polybutadiene

a. (PERO2Z) = 8.57 x  $10^{-6}$ 

- $b.$  (EPO2) = 5000
- c. (PERCO2Z) =  $2.97 \times 10^{-5}$
- $d.$  (EPCO2) = 4300

 $W_n$  (WA) = 2273 grams

Retail package sizes for apples are typically 3, 4 and 5 pound packages.  $61$  A five pound package was chosen for this simulation.

$$
V(V) = 3606 \text{ cm}^3
$$

The value for the headspace volume of package system is the sum of the headspace void in the package and the intercellular spaces in the apples. The package is assumed to be of cylindrical shape with a diameter of 6 inches (15.24 cm) and a height of 12 inches  $(30.48 \text{ cm})$ . The density of McIntosh is .814  $\text{qm/cm}^3$ and the apple has about 30 percent intercellular space.62 ror this simulation<br>  $V(V) = 3606 \text{ cm}^3$ <br>
The value for the<br>
is the sum of the<br>
the intercellular<br>
is assumed to be of<br>
of 6 inches (15.24<br>
(30.48 cm). The d<br>
and the apple has a<br>
space.  $62$ <br>
The commercial rat<br>
volume is

The commercial ratio of product volume to package volume is 0.5 for apples.  $63$  The ratio for this package is 2792/5560 or 0.5.

 $^{61}$ M. A. Hanna and N. N. Mohsenin, "Pack Handling of Apples," J. of Agri. Eng. Research (1972), 17(2):164. 62<sub>A.</sub> V. Troyan; L. I. Mel'nichuk and S. S. Kedesh, "Determining the Intercellular Volume of Succulent Fruit," Pishshevaga Tekhnologiya (1972), 3:183. 63<br>R. G. Tomkins, p. 237.

### $R(RGAS) = 82.06$

This is a gas constant.

 $t(DELT) = 4$ 

Time increment is <sup>4</sup> hours. The duration of the simulation (TMAX) is 720 hours or 30 days. CA storage may require 2-3 weeks to attain desired storage conditions (39). It is believed that equilibrium or anaerobic conditions would be reached within 30 days.

$$
p_{\alpha} = 1
$$

It is assumed that pressure is constant at one atmosphere (standard pressure). The value is then "1" and " $p_{\alpha}$ " is not a variable in this simulation.

 $T_{\text{o}}$ (TA) = 273°K

It is assumed that gasses in the internal and external atmosphere are at standard tempersture, which is 0°C or 273°K.

 $A(AREA) = 1824.1 cm<sup>2</sup>$ 

Surface area of cylinder.

```
x(XM) = 1.0 mil
```
Thickness Of film in mils. One mil is the common thickness of film used in retail apple packages.

 $(XC) = 2.54 \times 10^{-3}$  cm/mil

Conversion factor to convert mils to centimeters.

e  $P_{O_2}(\text{PO2E}) = .21$ Partial pressure of oxygen in air is 0.21. e  $P_{CO_2}^{\text{e}}$  (PCO2E) Partial pressure of carbon dioxide in air is 0.0003  $p_{0_2}^1$  (PO2) = .2007 Average internal partial pressure of oxygen for package. (P02) is based on the volume and partial pressure of the headspace and the intercellular spaces in the apple. The partial pressure of oxygen in intercellular spaces is 0.17. i  $P_{CO_2}$  (PCO2) = 0.0095 Average partial pressure of carbon dioxide inside the package. The partial pressure of carbon dioxide in intercellular spaces is 0.04.  $T(TEMP(NQQ)$  and  $TC$ ) = 3.5°, 5°, 6° and 7°C The program model is designed to simulate the productgenerated atmosphere package at four specific temperatures. The lowest temperature, 3.5°C was chosen on the basis that this is the storage temperature of CA stored McIntosh apples. Storage life is inversely proportional to temperature.  $P_{CO}$ <sup>1</sup> (PCO2) = 0.0095<br>Average partial pr<br>the package. The<br>in intercellular s<br>T(TEMP(NQQ) and TC) =<br>The program model<br>generated atmosphe<br>temperatures. The<br>chosen on the basi<br>temperature of CA<br>Storage life is in<br>Small c Small changes in temperature have a significant negative

 $64$ Ibid., p. 241.

effect on storage potential. Increasing the storage temperature above 7°C may cancel out any benefit derived from the package.

$$
T_A (TA) = TC + 273^{\circ}
$$
  
TC + 273<sup>°</sup> converts degrees Celsius to degrees Absolute.

$$
S_{O_2}^{(T)}
$$
 (SO2) = SOLO2 (TC)  $\frac{gm O_2}{Kg + hr}$ 

SOLOZ(TC) is a function within the program simulation. This function has solubility factors for several temperatures between 0°C and 20°C. This covers the possible range of storage temperatures. SOLOZ(TC) interpolates the solubility for a given temperature based on data stored in the function.

There was no data available on oxygen solubility in apple sap. It was necessary to make an assumption. It was assumed that apple sap has the same solubility constants as water. Example that apple sap h<br>as assumed that apple sap h<br>tants as water.<br>(SCO2) = SOLCO2(TC)  $\frac{gm CO_2}{cm O_2}$ 

$$
C_{CO_2(T)} (SCO2) = SOLCO2 (TC) \frac{gm CO_2}{Kg \cdot hr}
$$

SOLC02(TC) is also a function within the program simulation. It interpolates the solubility of carbon dioxide in water (apple sap).

 $\tilde{P}_{\sim}$  (m) (PERO2) = PERO2Z  $x e^{X} x$  [-EPO2/1.987 x (1/TA - 1/273)] 2 The permeability rate varies according to temperature. (PEROZ) reflects the permeability rate at specific temperatures (TA).

$$
\tilde{P}_{CO_2}(T)
$$
 (PERCO2) = PERCO2Z x e<sup>x</sup> x [-EPOC2/1.987 x]  
(1/TA - 1/273)]

PERCOZ reflects the permeability rate a specific temperature (TA).

### Section 3: Program Prototype

The model consists of a main program and three functions.

APPLER, the main program (Figure 20) is concerned with the simulation of the diffusion of oxygen and carbon dioxide through the package. The internal concentration of these gases continue to change until the package system is at a steady state.

A check is made for the extinction point of oxygen. A partial pressure below this point indicates anaerobiosis and a steady-state condition that will not be reached. The system terminates at this point.

The functions SOL02 and SOLCOZ (Figure 21) determine the volume Of oxygen and carbon dioxide dissolved in the apple sap (water).

The function RESP (Figure 22) computes the respiration rate as influenced by environmental conditions.

The program consists of four 720 hour (30 day) simulations. Temperature is the only variable changed in the four simulations. The time increment is 4 hours. Conditions are printed out every six increments or every 24 hours.



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PAGE

Figure 20-1.--Program Appler.

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Figure 20-2.--Program Appler.

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Figure 21.--Functions SOLCO2 and SOLO2.

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Figure 22.--Function RESP.

## Section 4: Data from Simulations 93<br>Section 4: Data from Simulations 93<br>
n 4: Data from Simu<br>
and Proof of Program and Proof of Program

This section presents the data from the simulation. Values are printed out for the following:

- 1. Material name
- $2.$ Bag area
- $3.$ Headspace volume
- $4.$ Weight of apples
- $5.$ External partial pressure of oxygen
- $6.$ External partial pressure of carbon dioxide
- $7.$ Oxygen permeability
- $8.$ Carbon dioxide permeability
- $9.$ Mils thickness
- 10. Temperature, degrees Celcius
- 11. Temperature, degrees absolute
- 12. Solubility constant of oxygen
- l3. Solubility constant of carbon dioxide
- 14. Time, in days
- 15. Internal oxygen concentration
- 16. Internal carbon dioxide concentration
- 17. Respiration Rate.

Figure 23, 24 and 25 show the results of the simulations for cellulose acetate, low density polyethylene and polybutadiene, respectively. Figure 26 verifies the program by reducing the time increment from four hours to two hours. This simulation is for low density polyethyleneat 7°C.

- -
- 
- 
- 
- **BAG MATERIAL IS SELLULOSE ASETATE<br>1. BAG AREA IS 1824.1 SQUARE CENTTMETERS<br>2. VOLUME IS 3606.0 CUBIC CENTTMETERS<br>3. MEIGHT OF APPLES IN GPAMS IS 2273.0<br>4. THE ENTERNAL PARTIAL OF GXYGEN IS .220<br>5. CARBON DIOXIDE EXTERNAL**

 $SOL-CO2$ 

**201-02** 

T-ABSOLUTE

T-CELSIUS

MILS

CO2 PERMEADILITY

**US DERMEATILITY** 

.4918



Figure 23-1.--Results of the Simulation for Cellulose Acetate.



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Figure 23-2.--Results of the Simulation for Cellulose Acetate.

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 $\hat{\boldsymbol{\beta}}$ 



Figure 23-3.--Results of the Simulation for Cellulose Acetate.

 $\ddot{\phantom{a}}$ 



Figure 23-4.--Results of the Simulation for Cellulose Acetate.

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**940 MATERIAL IS LOW DENSITY POLIETHILLENE** 

1: BAG AREA IS 1824:1 SQUARE CENTIMETERS

25 VOLUME 19 3686 CURIC CENTIMETERS

3. HEIGHT OF APPLES IN GRANS IS 2273.0

4, THE EXTERNAL PANTIAL OF UXYGEN IS ,210

5. CARBON DIOXIDE EXTERNAL PARTIAL PRESSUBE IS 18003

**BOT-4COS** 

**SOLT02** 

T-ABSO<sub>v</sub>UTE

**1202181826** 

**MILS** 

CO2 PERMEABILITY

02 PERMEARILITY



Figure 24-1.--Results of the Simulation for Low Density Polyethylene.

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Figure 24-2.--Results of the Simulation for Low Density Polyethylene.



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 $\overline{\phantom{a}}$ 

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Figure 24-3.--Results of the Simulation for Low Density Polyethylene.



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Figure 24-4.--Results of the Simulation for Low Density Polyethylene.

 $\ddot{\phantom{a}}$ 

 $\ddot{\phantom{a}}$ 

- 
- 1. dAG AREA IS 1824.1 SQUARE CENTIMETERS<br>2. VOLUME IS 1824.1 SQUARE CENTIMETERS<br>3. WEIGHT OF APPLES IN GRAMS IS 2273.6<br>4. THE EXTEMAL PARTIAL OF OXVGEN IS .218<br>5. CARBON DIQXIDE EVERNAL.

 $\bar{\bar{z}}$ 

- 
- 
- CARBON DIOXIDE EXTERML PARTIAL PRESSURE IS .0003



 $\bar{z}$ 

Figure 25-1.--Results of the Simulation for Polybutadiene.

ANAEROBIC CONDITIONS EXIST . . . TERMINATE.

**201-205** 

**SOL-02** 

T-ABSOLUTE

**I-CELSIJS** 

HILS

CO2 PERMEABILITY

O2 PERMEABILITY



ANAEROBIS CONDITIONS EXIST . . . TERMINATE.

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 $\bar{\mathcal{A}}$ 

ANAEROUIC CONDITIONS EXIST . . TERMINATE.

Esterio<br>Parterio  $\ddot{\cdot}$ 



Figure 25-3.--Results of the Simulation for Polybutadiene.

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Figure 26.--Results of the Simulation for Low Density<br>Polyethylene at 7°C.

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