SHAPE OPTIMIZATION USING FINITE ELEMENT ANALYSIS IN EDDY CURRENT TESTING AND ELECTRO-THERMAL COUPLED PROBLEMS

By

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A DISSERTATION

Submitted to Michigan State University in partial fulfillment of the requirements for the degree of

Electrical Engineering—Doctor of Philosophy

2015

ABSTRACT

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The inversion of electro-heat problems is important in areas such as electrical machine design, the metallurgical processes of mixing, and hyperthermia treatment in oncology. One of the important computations involves synthesizing the electromagnetic arrangement of coils to accomplish a desired heat distribution to achieve mixing and reduce machine heat, or to burn cancerous tissue. Two finite element problems need to be solved, first for the magnetic fields and the joule heat that the associated eddy currents generate, and then, based on these heat sources, the second finite element problem for heat distribution. This two part problem needs to be iterated on to obtain the desired thermal distribution by optimizing the shape of the current source. This represents a heavy computational load associated with long wait-times before results are ready. The graphics processing unit (GPU) has recently been demonstrated to enhance the efficiency of finite element field computations and to cut down solution times. In our work, given the heavy computational load from the two-part problem and the attendant optimization, we use the GPU to perform the electro-heat optimization by the genetic algorithm launched on several parallel threads to achieve computational efficiencies.

To avoid the complexities of a two-physics problem, we first develop the shaping algorithms on a single physics problem for nondestructive evaluation. This shape optimizing concept is developed for defect characterization in a steel plate. When a steel plate in a ground vehicle is found to be defective, it is usually taken out of service for repair without determining if the defect warrants withdrawal. We investigate and establish a procedure so that a decision to withdraw a vehicle may be justifiable and ensures the safety of the vehicle and its passengers.

To test our algorithms and seek novel use, they were employed in a semester's course on finite elements and optimization with true device design. Flip-teaching was introduced to tackle the challenges of time. The traditional order of a) delivering theory b) programming ancillary tools (mesh generators, solvers) is flipped to do real design.

After the algorithms were understood from use in NDE and the flip-teaching experience, we successfully developed them for the two-physics system with reduced computational time with the speedup (CPU Time to GPU time ratio) of 28 and increased accuracy established through the problem of shaping a current carrying conductor so as to yield a constant temperature along a line. Finally we applied the electro-thermal software to hyperthermia treatment planning by a numerical model of a human thigh with a tumor treated by current carrying conductors to be shaped to produce the desired temperature at the tumor. The bio-heat equations under steady state condition are solved and the heat removal due to blood perfusion is also taken into account to determine the shape yielding the desired temperature profile. An efficient methodology for multi-physics systems has been developed with applications in flip-teaching, NDE and hyperthermia.

This dissertation is lovingly dedicated to my mother, Sakunthaladevi Uthayakumar and my American "mom" Priscilla Smith. Their support, encouragement, and constant love have sustained me throughout my life.

ACKNOWLEDGEMENTS

It has been three and a half years since joining the PhD program at Michigan State University. As this journey comes to an end, I would like to express my gratitude to several people without whom successful completion of my degree would not have been possible.

First and foremost, I thank my God for giving me the good health and guidance that were necessary to complete this program.

I would like to express my sincere gratitude to my advisor Prof. S. Ratnajeevan. H. Hoole for the continuous intellectual and financial support of my PhD studies and related research, for his patience, motivation and immense knowledge. His guidance and prompt response to my questions helped me in all the time of the research and writing of this thesis. I could not have imagined having a better advisor and mentor for my PhD program.

Besides my advisor, I would like to thank the rest of my PhD committee members: Prof. Lalita Udpa, Prof William. F. Punch, Prof. Prem J. Chahal and Dr. Paramasothy Jayakumar, not only for their insightful comments and encouragement, but also for the hard questions which were the incentives for me to widen my research and look at it from various perspectives.

Next, I would like to thank the US Army's Tank Automotive Research and Development Center (TARDEC) for funding our research under Contract Number W911NF-11-D-0001 and W56HZV-07-2-001. I am thankful to the MSU Graduate School and College of Engineering for awarding summer graduate excellence fellowship awards twice and offering graduate teaching assistantship for 3 semesters. This support was instrumental in facilitating the completing my degree.

I also would like to thank the members in my research group, S. Sivasuthan, T. Mathialakan and Mohammad R. Al Rawashdeh for sharing their research knowledge and the friendships they provided me.

Last but not least, I would like to thank my family – my mother, my wife, my sister and my brothers – and my friends for sustaining me emotionally and my Church for supporting me spiritually throughout writing this thesis and my life in general.

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1 INTRODUCTION

1.1 Motivation

1.1.1 The Electro-Thermal Coupled Problem

In electromagnetic field problems, optimization methods have usually been successfully developed and efficiently applied on a single processor. Most of these methods only deal with the single-physics problem. However, real problems are more complex and often coupled. Coupled field problems often are where one field system with the shapes to be optimized influences another field system in which the objects of design are defined. A good example is electro-heating [1]. The electrical system providing the joule heating has to be shaped so as to produce a particular heat distribution in the thermal system. An example application in industry is metal forming, where molten metal is heated through heavy currents to produce the forces that make the molten metal subject to the extrusion or turning forces we want [2], [3]. A second example is hyperthermia treatment for oncology where exterior electrodes attempt to burn interior cancerous tissue [4]. The inversion of these two electro-heat problems involves synthesizing the electromagnetic arrangement of coils so as to accomplish a desired heat distribution. To this end two finite element problems need to be solved, first for the magnetic fields and the joule heat that the associated eddy currents generate and then, based on these heat sources, the second problem for heat distribution. This two part problem needs to be iterated on to obtain the desired thermal distribution by optimization. This problem represents a heavy computational load associated with long wait-times before results are ready. The graphics processing unit (GPU) has recently been demonstrated to enhance the efficiency of finite element field computations and cut down solution times [5]. In this work, given the heavy computational load from the two-part problem and optimization, we use the GPU to perform the electro-heat optimization by the naturally parallel Genetic Algorithm (GA) [6] to achieve computational efficiencies far better than those reported for a finite element problem on a single processor. The methodology established has direct applications in electrical machine design, metallurgical mixing processes, and the treatment of cancer by hyperthermia. In these three practical applications, we need to compute the coil arrangement that would accomplish a desired heat distribution to achieve mixing, reduce machine heat or to burn cancerous tissue. Particularly the above-mentioned application will alleviate human suffering through use in hyperthermia treatment planning.

1.1.2 Reconstructing and Classifying Damage in a Steel Plate

Our main object is to optimize shape in two-physics problems. To avoid the complexities and verify the design optimization algorithm, our algorithm is experimented first on a single-physics problem to identify and characterize the internal defects in a steel plate using Non-Destructive Evaluation (NDE) Methods. When a steel plate in an army ground vehicle is found to be defective, it is usually taken out of service for repairing without determining if the defect warrants withdrawal or not, whereas the defect might be minor and withdrawal wasteful. On the other hand the defect might be invisible, yet warranting replacement. The methodology at present examines the response of the hull under test to an excitatory signal from an eddy current probe [7]. Knowing the response when there is no defect, if the response is different because of the defect, the test object is presently flagged as defective and the vehicle is sent for repairs without assessing if the defect is serious enough for removal of the vehicle from service. In our work, we characterize defects by parametrically describing them and then

optimizing the parameters to match computed fields to measurements. Therefore knowing the shape of the defect, any decision to withdraw may be thought-out, justifiable and ensuring of the safety of the vehicle and its passengers. We also backup our computational work with laboratory experiments.

1.2 Inverse Problems for Design

The direct problem with which the finite element method started has a device governed by a particular differential equation, the Poisson equation in our case

$$-\epsilon \nabla^2 \phi = \rho \tag{1-1}$$

as was solved by the finite element method by Zienkiewicz and Cheung in their classic paper [8]. Once we have φ – which may be electric potential, pressure, magnetic vector potential etc. depending on the system – we may compute performance descriptions like inductance, force, etc. as shown in Figure 1-1.That is, from the system description, we compute performance. This is analysis.

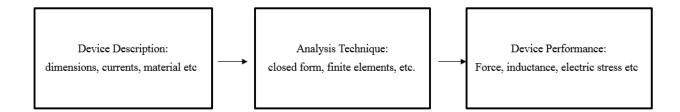


Figure 1-1 Typical Forward Problems: Analysis

The inverse problem – the more practically realistic problem, which is synthesis – goes from the right hand side of Figure 1-1 to its left. That is, wanting a performance, computing the system description from it. Thus the computational design assignment may be this: compute the size and other descriptions of a motor that can produce so much torque. In industry this was done by the cycle of design-make-test-redesign. This required an expert to redesign and took long. In time by the 1970s instead of making and testing, it was analyzed, solving the direct problem by the finite element method.

It was left to engineers dealing with stress analysis and fluids to couple optimization with the finite element method [9]–[11], and the second half of the 1970s and 1980s would be the time for true synthesis – solving for geometric shape and material values from design criteria. The earliest persons to automate this cycle in magnetics were Marrocco and Pironneau in 1978 [10].

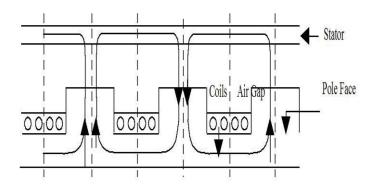


Figure 1-2 Pole-Faces to be shaped

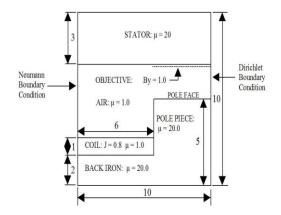
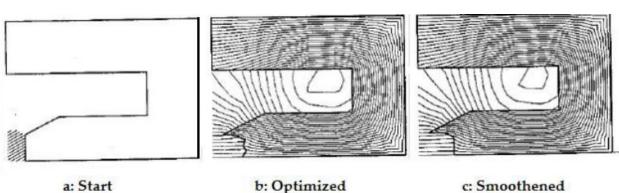


Figure 1-3 Minimal Problem of Figure 1-2 using Symmetry

They attempted to optimize the shape of the magnetic pole of a recording head so that the fringing effect at the edges of a pole could be countered so as to realize the object of constant flux density B in a recording head. Figure 1-2 gives a similar problem with a repeating alternating pole system from electric machinery where a constant flux density is required on top of each pole to facilitate alternating waveform generation. The minimal boundary value problem for analysis is shown in Figure 1-3.

Marrocco and Pironneau [10] located their work in the latter's 1976 doctoral thesis at Université Pierre-et-Marie-Curie (also known as UPMC and Paris VI), optimizing structural and fluid systems. That is, their work may be seen as parallel to the 1976 work of Arora and Hang [11] who established finite element optimization in a journal. They approached this problem by defining an object function F consisting of the square of the difference between the computed and desired flux densities. Thus the problem is one of optimizing – i.e., minimizing – F which is a function of parameters p_1, p_2, \cdots defining the geometry and which are computed in device synthesis so as to minimize F:



$$F = F(\{p\}) = \frac{1}{2} \sum_{i=1}^{m} \left[\boldsymbol{B}_{Computed}^{i} - \boldsymbol{B}_{Desired}^{i} \right]^{2}$$
(1-2)

Figure 1-4 Jagged Pole Face of Right Half of Recording Head

Their results are shown in Figure 1-4. The nonsmooth jagged contour in Figure 1-4-b that they realized is practically not a manufactural shape. This they addressed by manually smoothening the pole face as in Figure 1-4-c. However, Marrocco and Pironneau, aware of the problem of jagged contours, have further addressed it to permit smoothening by allowing nodes to move only along prescribed paths. Although the comprehensive 1984 book by Pironneau on optimization [12] deals with the theory of imposing constraints and applies them to many systems, the results presented from magnetics are the same as presented by Marrocco and Pironneau much earlier without constraints. Pironneau, a widely experienced pioneer scientist in optimization and finite element analysis, particularly in fluids, was not focused on magnetics. He with Marrocco solved this problem to demonstrate the broad applicability of their methods of finite element optimization and then moved on.

1.3 Shape Optimization of Two-Physics Systems: Gradient and Zeroth Order Methods

In the optimization of a single physics problem as in magnetostatics [9], [12]–[16] or eddy current analysis [17] where the design variables are defined in the vector $\{p\}$, we construct one finite element mesh, solve for the magnetic vector potential A, and then change $\{p\}$. The method by which we change $\{p\}$ depends on the method of optimization we employ [9]. In coupled problems like the electro-heat problem under discussion, two different meshes are often required [18]. For example, at a copper-air boundary in magnetics, both regions are nonmagnetic and therefore have the same permeability, the permeability of free space μ_0 . However, for the thermal problem, they need to be modeled as two different regions because air has little thermal conductivity whereas copper is highly conductive.

Moreover, the optimization process too imposes huge difficulties depending on the method employed. In the simpler zeroth order methods, only the value of F, given {p}, needs to be computed. This takes simply a finite element solution for a mesh constructed for the present value of {p}. In the more powerful first order methods, however, defining symbols, the gradient vecotor

$$\nabla F = \frac{\partial F}{\partial \{p\}} = \left\{ \frac{\partial F}{\partial p_i} \right\} = \begin{bmatrix} \frac{\partial F}{\partial p_1} \\ \vdots \\ \frac{\partial F}{\partial p_n} \end{bmatrix}$$
(1-3)

needs to be computed [9]. This may be by finite differences – that is, to get the derivative of F with respect to p_i , we need to evaluate $F(p_i)$, then adjust p_i by a very small amount δp_i , redo the finite element solution (which means a new mesh have to be generated for the changed geometry), and then evaluate F, which would give us

$$\delta F(p_i + \delta p_i) = F(p_i + \delta p_i) - F(p_i)$$
(1-4)

thereby leading to the derivate by finite difference, $\delta F / \delta p_i$. This is computationally expensive because of having to be done for all m parameters p_i , which means the first finite element solution at {p} and evaluation of F({p}) has to be followed by m finite element solutions with only a particular p_i adjusted by δp_i and then the evaluation of m values of δF . That is, m+1 finite element meshes and solutions are required at great cost. Furthermore, even after all that work, it is known that the derivative by this finite difference process has poor accuracy [19]. The less approximate way to obtain accurate derivatives is from the derivative information inherent to the finite element solution through the finite element trial function; that is, although the solution for the magnetic vector potential **A** is explicitly in terms of the values of **A** at the finite element nodes, we are really solving for A(x, y) as given by the trial function which is expressed through the nodal values of A [20]. That is, in solving the finite element Dirichlet matrix equation

$$[P]{A} = {Q} \tag{1-5}$$

although we explicitly solve for the nodal values {A}, it is really for the trial function A(x,y) that we are solving. Both the Dirichlet matrix [P] and right hand side {Q}in (1-5) are expressed as known functions of the vertex coordinates of the finite elements of the mesh, permeability, and current density. After solving for {A} in (1-5), differentiating the equation with respect to p_i we obtain [12–14, 17, 19]

$$[P]\frac{d\{A\}}{dp_i} = \frac{d\{Q\}}{dp_i} - \frac{d[P]}{dp_i}\{A\}$$
(1-6)

where {A} has already been solved for, and $d\{Q\}/dp_i$ and $d[P]/dp_i$ are computable. There is further computational efficiency to be reaped [19] by using the Cholesky factorization method of splitting [P] into its lower triangular and upper triangular Cholesky factors [L] and [U]

$$[L][U] = [P] \tag{1-7}$$

which for symmetric [P] as in both magnetic and thermal field problems by finite elements, reduces to

$$[L][L]^t = [P] (1-8)$$

so that solving (1-4), in its new form

$$[L][U]{A} = \{Q\}$$
(1-9)

reduces to first solving

$$[L]\{z\} = \{Q\} \tag{1-10}$$

for $\{z\}$ where

$$[U]{A} = \{z\} \tag{1-11}$$

and then, having found {z}, solving (1-11) for {A}. The computational efficiency lies in the fact that the main work in Cholesky's scheme for matrix equation solution is in finding [L] by solving (1-8). Thereafter the forward elimination in solving (1-10) and back substitution in solving (1-11) are trivial [15]. That is, once [L] and [U] = $[L]^t$ are in hand, solving (1-6) with the same coefficient matrix [P] as (1-5) for the m gradients $\frac{d\{A\}}{dp_i}$ is trivial since only forward elimination and back substitution are required.

Be that as it may, while solving (1-6) is trivial, forming (1-6) is not because computing $\partial \{Q\}/\partial p_i$ and $\partial [P]/\partial p_i$ to form (1-6) is, in terms of programming, an arduous task that is not easily amenable to building up as general purpose software. As a particular p_i changes, some vertices of a few triangles will move [15], [20] and the analyst needs to keep track of whether one, two or all three of the vertices of a triangle move by δp_i . Very complex coding is required that is problem-specific rather than general purpose. In a coupled problem, computing the derivatives of the finite element equations for temperature with respect to parameters in the magnetic problem is prohibitively complex although there are ways around it [21]. Programming the problem specific computations of $\partial \{Q\}/\partial p_i$ and $\partial [P]/\partial p_i$ is ill-advised because of the complexities.

Therefore zeroth order optimization methods, which are more slowly convergent than gradient methods, are the best route to go for optimizing coupled electro-heat problems. Simkin and Trowbridge [22] aver that simulated annealing and the evolution strategy (a variant of the genetic algorithm [23]) take many more function evolutions. Although they are computationally intensive, they are far easier to implement, especially as general purpose

software. Indeed commercial codes that need to be general purpose use zeroth order methods not necessarily because they are superior to gradient methods, but because once a finite element analysis program is developed by a company, giving it optimization capabilities only takes coupling it with an optimization package to which object function evaluations can be fed – whereas feeding both the object function and its gradient (as would be required when gradient methods are in use) would take extensive code development. In the context of single physics problems, Haupt [24] advises that the genetic algorithm is best for many discrete parameters and the gradient methods for where there are but a few continuous parameters. We rationalize this position on the grounds that gradient computation though difficult is more manageable when there are fewer parameters to optimize with respect to. Indeed, we have gone up to 30 continuous parameters using gradient methods without problems.

But we are now dealing with multi-physics electro-heat problems to which these considerations based on single physics systems do not apply.

1.4 Method of Optimization – The Genetic Algorithm

1.4.1 Decision to use the GA

Having settled on a zeroth order method of optimization that does not need gradient information about the object function, we take cognizance that most zeroth order methods are statistical so that several-fold more object function computations need to be made. The Random Search method is too random in its searching and we need something more systematic in its searching of the domain space so as to not lead to excessive computation times in relation to gradient methods. The practicable alternatives are simulated annealing and the genetic algorithm, both good methods widely used in industry and a part of commercial software [25]. Going by the literature Preis, Magele and Biro [26], staunch advocates of the zeroth order evolution strategy, merely say it is competitive with its higher order deterministic counterparts (which we take to mean the same in time at best), but claim that its "robustness and generality" are superior. This we agree with because search methods will never see mesh-induced artificial local minima as a problem [15]. The weight of evidence seemed to favor the genetic algorithm over simulated annealing but not firmly so. So we did a quick study, the results of which, shown in Figure 1-5, support the genetic algorithm. This study was done on the coupled electro-heat problem described in greater detail in chapter 3. We note that in results from other disciplines besides finite element optimization, Manikas and Cain [27] also say that "the genetic algorithm was shown to produce solutions equal to or better than simulated annealing" in their work. A search of recent literature also confirms this finding [28]. We therefore decided to work exclusively with the genetic algorithm.

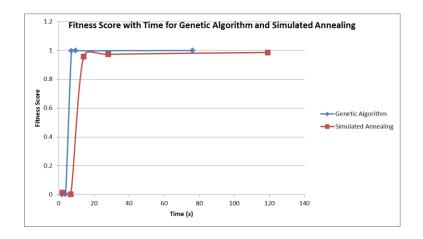


Figure 1-5 Genetic Algorithm Speed Compared with Simulated Annealing

1.4.2 The Genetic Algorithm

1.4.2.1 Introduction

The Genetic Algorithm (GA) is a zeroth order search optimization tool, which works differently when compared to other search optimization methods. Because of its broad applicability, ease of use, computational inexpensiveness and the global perspective it receives [6], GA has been increasingly applied to various search and optimization problems. The genetic algorithm concept was first introduced by John Holland of University of Michigan, Ann Arbor. From that time he and his students contributed much to develop this field. Most of the initial works can be found in several conference proceedings and journals [29 - 30]. However there are several text books that now exist for GA [28–34] as it matured. In this section, the basic GA working principle is described.

1.4.2.2 Basic Concepts – Biological Background

Man made many things gaining inspiration from nature such as the crane (the machine) from the crane (the bird), the submarine from fishes, the aircraft from birds, the micro-processor from the brain processor, and artificial neural networks from biological neural networks. Likewise GA was developed by inspiration from the reproduction process seen in nature. The name, GA borrows its working principle from natural genetics. GA's search and optimization procedures are motivated by principles of natural genetics and natural selection. It is interesting to see terminologies in GA which originated from a biological background. Every organism consists of cells. A cell consists of a set of chromosomes. Chromosomes consist of genes which are the blocks for DNA. The complete set of chromosomes is called a genome. GAs borrow the same terminology too. Moreover when two organisms mate they share their genes. The resultant offspring will have both parents' genes. A child may be more father-like or mother-like. In GA this process is called crossover. The evolutionary process can be expedited by improving the variety of the genes. This means the resultant offspring's DNA may change in bit error. Likewise in GA there might be bit error in the offspring. This is called mutation. There is important inspiration from nature in the survival of the fittest concept. Powerful, wealthy, good looking, educated people get more opportunities than the others to bear offspring. But this is random. Approximately 10% of couples do not have children biologically or they do not want to have them. In GA the chromosomes with better fitness score have more opportunity to create the next generation.

1.4.2.3 Working Principle

GAs begin with a set of solutions (represented by chromosomes) called the population. Solutions from a population are taken and used to form a new population. This is motivated by the possibility that the new population will be better than the old. Solutions are selected according to their fitness to form new solutions; the more suitable they are the more the chances they have to reproduce. The GA's performance is largely influenced by two operators called crossover and mutation. These operators are used to create a new population.

Let us consider the can problem which is used by Deb [36] to explain the GA working principle. Consider a cylindrical can which has diameter d and height h. This can needs to have a volume of at least 300 ml. The object of the design is to minimize the cost of the can material. For this we first write the object function F corresponding to the area of tin required.

Minimize
$$F(d, h) = c \left(\frac{\pi d^2}{2} + \pi dh\right)$$
 (1-12)

Subject to
$$g_1(d, h) = \frac{\pi d^2 h}{4} \ge 300$$
 (1-13)

Variable bounds are

$$d_{min} \le d \le d_{max} \tag{1-14}$$

$$h_{min} \le h \le h_{max} \tag{1-15}$$

Here *c* is the cost of the material per square cm, and the upper and lower boundaries of *d* and *h* are $[d_{min}, d_{max}]$, $[h_{min}, h_{max}]$.

1.4.2.4 Representing a Solution

Binary encoding is the most common way to represent information contained in a chromosome. In GAs, it was first used because of its relative simplicity. Let us say that a five bit code is used to represent each design parameter. Then design parameters d and h will be represented by a string of length 10. The following string represents a can of d = 8 cm and h = 10 cm (Figure 1-6 [36]). The cost of the can is marked as 23 currency units.

$$\begin{array}{c}
\hline (d, h) = (8, 10) \text{ cm} \\
\hline (23) \underbrace{\sqrt{h}}_{d} & (\text{Chromosome}) = 0 \ 1 \ 0 \ 0 \ 0 \ 1 \ 0 \ 1 \ 0 \\
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Figure 1-6 A typical chromosomal representation

The lower and upper limits are considered to be zero and 31 respectively. Choosing the lower and upper limits like this allows GAs to consider only integer values in the range (0, 31). But, GAs are not restricted to using only integer values in the above range, in fact GAs can be assigned to use any other integer or non-integer by changing the string length and the upper and lower limits by the following mapping function:

$$x_{i} = x_{i}^{min} + \frac{x_{i}^{max} - x_{i}^{min}}{2^{l_{i-1}}} DV(s_{i})$$
(1-16)

where l_i is the string length used to code the *i*-th parameter and $DV(s_i)$ is the decoded value of the string s_i . The above mapping function in (1-16) allows us to take any arbitrary level of precision to be achieved by using a long enough string and also to take positive and negative values.

1.4.2.5 Assigning a fitness score

Once a solution is created by genetic operators, it is necessary to evaluate it, particularly the object function and constraint function. For example, the object function F of the above can will be

$$F = 0.0654 \left(\frac{\pi^{(8)^2}}{2} + \pi^{(8)}(10) \right) = 23$$
(1-17)

assuming c = 0.0654 unit. Since the object is minimizing *F*, the solution with lower fitness score *f* will be the better solution. The fitness score *f* is defined in terms of the object function *F*. In most cases the fitness score is made equal to the object function. In some cases fitness score *f* might be defined as

$$f = \frac{1}{1+F} \tag{1-18}$$

Here *F* is to be minimized and the fitness score *f* has to be maximized for the genetic algorithm. The working principle of a GA is shown by a flowchart in Figure 1-7. In Figure 1-7, first we randomly generate hundreds of solutions (*d* and *h*) as vectors {*p*} (each called a chromosome) and this set is termed the initial population. With parallels to evolution, a new generation is to be created based on the best of this population. Then the fitness score for each {*p*} is calculated and checked as to whether there is a score at 1 or close enough for our purposes. This computation involves computing the object function *F* according to (1-12) and evaluating with the constraint function $g_1(1-13)$. If the termination criterion is not satisfied, the

population of solutions is changed by three operators called selection, crossover and mutation, and a new population is created.

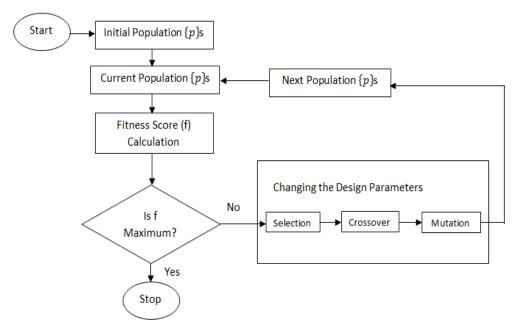


Figure 1-7 Flowchart of the working principle of a GA

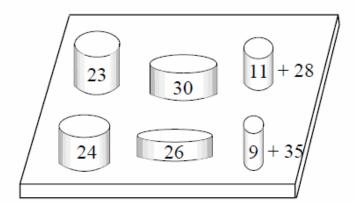


Figure 1-8 A random population of six cans

Figure 1-8 [36] shows the random population of six cans. The fitness score of each can is marked on the relevant can. The two cans which have fitness score of 11 and 9, though they have a good fitness score, do not satisfy the constraint function g_1 (1-13). This means they do

not have 300 ml volume. Therefore these cans are penalized by adding the extra artificial cost, so as a result their fitness score will bad.

1.4.2.6 Selection

Chromosomes are selected from the population to be parents to crossover. The problem is how to select these chromosomes. According to Darwin's evolution theory, the best ones should survive and create new offspring. There are many methods on how to select the best chromosomes; for example roulette wheel selection, Boltzman selection, tournament selection, rank selection, steady state selection, elitism and some others [37]. In the following we illustrate the tournament selection and elitism selection.

As the name suggests, tournaments are played between two solutions and the better solution is chosen and placed in a new population set. If done systematically, each solution can be made to participate in exactly two tournaments. The best solution in a tournament will win both times; therefore there will be two copies of the best solution in the new population. In this way, the worst solution will lose both times; therefore it will be eliminated from the new population. It has been shown that tournament selection has better convergence and computational time than the other selection methods [37].

Figure 1-9 [36] shows the six different tournaments played between population members. When cans with a cost of 23 units and 30 units compete in a random tournament, 23 units is chosen. It is interesting to note that the better solutions have made themselves to have more than one copy and the worst solutions have been eliminated from the population. In elitism selection, the new generation is based on elitism which first copies the best half of the chromosomes to the new population without any changes (Figure 1-10). Elitism can very rapidly increase the performance of GA because it prevents losing the best found solutions. The remaining half of the population will be replaced by offspring of the best half after crossover, and mutation as shown in Figure 1-10.

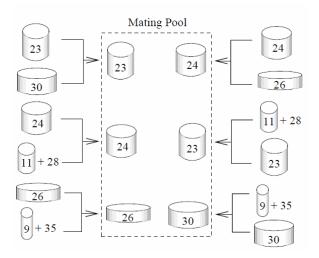


Figure 1-9 Tournaments played between six population members

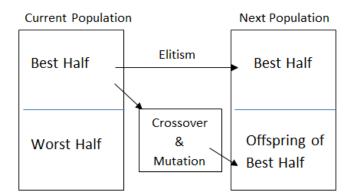


Figure 1-10 Changing design parameters in GA

1.4.2.7 Crossover

Crossover is a genetic operator that combines two chromosomes to produce a new chromosome. The idea behind crossover is that the new chromosome may be better than the

parents if it takes the best characteristics from each of the parents. Like selection, there exist a number of crossover and mutation operators in the GA literature [38]. In single point crossover, we randomly select one crossover point and then copy everything before this point from the first parent and then everything after the crossover point from the second parent. Let us illustrate the crossover operator by two solutions from the new population created by the selection operator.

Figure 1-11 An illustration of the single-point crossover operator

The cans and their strings are shown in Figure 1-11. The crossover point is taken at the third string at random and these contents after that point are swapped between the two strings. The created children solutions are likely to be good strings. However, every crossover may not create better solutions. But, if bad solutions are created, they will be eliminated in the next iteration and will have a short life.

1.4.2.8 Mutation

After a crossover is performed, mutation takes place. Mutation is an important part of the genetic search: it helps to prevent the population from stagnating at local optima. The mutation operator simply inverts the value of a randomly chosen gene of a chromosome. Figure 1-12 shows how a string which has gone through selection and crossover operators has been mutated to another string. 4th bit of this string is mutated by a small mutation probability hoping that a slight change in the string would keep the diversity of the population.

Figure 1-12 An illustration of the mutation operation

There are indeed many variants in operators of the genetic algorithm [39], but here we are interested in using any robust GA approach that works.

1.4.2.9 Real-coded GAs

We have discussed GAs where a solution is represented by a binary string. However, this is not the case always and variables taking real values can be used directly without conversion to binary form. In real-coded GAs, selection operators work the same as with binary-coded GA. But, using efficient crossover and mutation operators is different [37, 38]. The real-coded GAs eliminate the difficulties of arbitrary precision in decision variables and the Hamming cliff problem [6] associated with binary string representation of real numbers. A drawback of encoding variables as binary strings is the presence of Hamming cliffs: large Hamming distances between the codes of adjacent integers. For instance, 01111 and 10000 are integer representations of 15 and 16, respectively, and have a Hamming distance of 5 because 5 bits are different. For the genetic algorithm to change the representation from 15 to 16, it must alter all bits simultaneously. Such Hamming cliffs present a problem for the algorithm, as both mutation and crossover cannot overcome them easily.

There are three properties of binary single-point crossover operators which have been observed and the SBX operator for real-coded GAs has been developed [40]. These properties are i) both child solutions lie on either inside (contraction crossover) or outside (expanding crossover) of the region bound by the parents' solutions, ii) the distance from one child from a parent is exactly the same as the distance from the other child to the other parent, and iii) the overall probabilities of contracting and expanding crossovers are the same. In order to implement this crossover operator for any two parent solutions p_1 and p_2 , the spread factor β has been defined as the ratio of the spread of created child solutions c_1 and c_2

$$\beta = \left| \frac{c_1 - c_2}{p_1 - p_2} \right| \tag{1-19}$$

If $\beta \le 1$, it will use the contracting crossover and if $\beta > 1$, it will use the expanding crossover. It is possible to calculate the probability of creating a pair of child solutions having a certain β . That probability distribution has been approximated by a polynomial probability distribution as follows:

$$P(\beta) = \begin{cases} 0.5(n+1)\beta^{n}, & \text{if } \beta \leq 1\\ 0.5(n+1)\frac{1}{\beta^{n+2}}, & \text{otherwise} \end{cases}$$
(1-20)

Figure 1-13 Probability distributions used in SBX crossover with different index n

In (1-20), n is any non-negative integer. If n is large, there is a higher probability for creating solutions near the parents. If n is small, it allows us to create distant solutions from the parents.

The following procedure is used to create child solutions c_1 and c_2 from the parent solutions p_1 and p_2 using the probability distribution (1-20) [40].

- Create a random number *u* between 0 and 1.
- Find a β' for which the cumulative probability

$$\int_0^{\beta'} p(\beta) \, d\beta = u \tag{1-21}$$

• Knowing the value of β' , the child solutions are calculated as

$$c_{1} = 0.5[(p_{1} + p_{2}) - \beta'|p_{2} - p_{1}|]$$

$$c_{2} = 0.5[(p_{1} + p_{2}) + \beta'|p_{2} - p_{1}|]$$
(1-22)

This is how SBX crossover for the real-coded GA works. The main difference between binarycoded GA and real-coded GA is that, for real-coded GA, an explicit probability distribution is used. Kalyanmoy Deb has liberally made his well-commented generic code available on the web [42] which we adapted and applied for the first time to finite element coupled problem optimization [43].

1.5 Computer Aided Design

1.5.1 In pursuit of Accuracy

In today's context, numerical models constitute the core of computer-aided design. Classical methods have been referred to as exact methods whereas numerical methods are called approximate methods. Classical methods are limited by the mathematics by which the solutions are expressed [44].

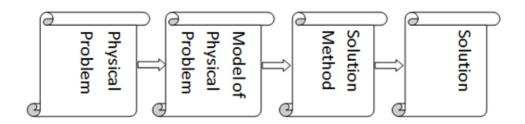


Figure 1-14 The Solution Process

As shown in Figure 1-14 [44], for every problem, first a model is chosen and the problem is solved based on that model instead of the actual problem. When the solution is not reasonable, the model will be reexamined with a view to improving it. For example in the mechanics of projectiles, the model may be an equation based on Newton's laws. It works most of the time. But when it comes to space travel, the solutions may not match measurements and will be modified to incorporate Einstein's laws to improve the model with better accuracy. Likewise in electromagnetics, in classical electrodynamics modeling, say of a transmission line, the assumption is made about symmetry in the direction of the line so that the problem is two dimensional. Thereafter it might be further assumed that the transmission line is circular. These assumptions permit the reduction of the problem to a simple one-dimensional differential equation of the form,

$$-\frac{d\varphi}{dr} = \frac{l}{2\pi\epsilon} \frac{1}{r}$$
(1-23)

where I is the current in the line. The solution of the model is as exact only as much as the model is exact;

$$\varphi = -\frac{l}{2\pi\epsilon} \ln \frac{r}{r_o} + \varphi_0 \tag{1-24}$$

where $r = r_0$ is the reference point for $\varphi = \varphi_0$. To get a more accurate solution, the model needs to be improved. For example the model of the earth above which this infinitely long line

runs might be modelled by considering the images of the line. This makes the problem two dimensional. With superposition, this too can be handled by our model and the corresponding solution method. Should this also prove inadequate for purposes of the required accuracy, we go further and allow for nearby transmission towers and their equipment like insulator strings, and the sag of the wire from tower to tower. At this point the model breaks down as the problem is no longer two dimensional and truly three dimensional. Although many three dimensional problems have classical solutions, they rely upon some form of neatness such as spherical shapes, homogeneous systems and so on. This complex transmission line has no known classical solution. At this point a numerical solution is a must. Numerical solutions by definition are approximate insofar as derivatives and integrals assume linear variation over small discrete distances. An example of the approximation is for the derivative and integral [44]:

$$\frac{d\varphi}{dx} = \lim_{x_2 \to x_1} \frac{\varphi_2 - \varphi_1}{x_2 - x_1} \approx \frac{\varphi_2 - \varphi_1}{x_2 - x_1}$$
(1-25)

$$\int_{x_1}^{x_2} \varphi dx \approx \left(\frac{\varphi_1 + \varphi_2}{2}\right) (x_2 - x_1)$$
 (1-26)

Although a linear change of φ is assumed over the interval (x_1, x_2) this so called approximation is increasingly more accurate for smaller such intervals. That is, while classical solutions are only as accurate as the models on which they are based, the numerical approximations for derivatives and integrals can be made as accurate as we want by our resorting to finer subdivisions of the relevant intervals over the domain.

This means the accuracy of numerical methods depends on the number of subdivisions. This involves data preparation (pre-processing), solving the problems, facing an excessive amount

of data corresponding to the numerous points of discretization, make meaning of numbers and post processing.

1.5.2 Methods of Approximate Solution

Numerical methods can be divided into two classes based on whether solving integral equations or differential equations [44]. Each method has advantages and disadvantages based on problem type.

The Boundary Element Method (BEM) [45] is a popular integral method. For example in electrostatics, the Coulomb equation becomes the basis of the boundary element method.

$$\varphi = \iiint \frac{1}{4\pi\epsilon} \frac{\rho}{r} dR \tag{1-27}$$

Here (1-27) is discretized and the potential φ is expressed in terms of the charge distribution ρ . But, on material boundaries there is a surface charge density σ according to the derivatives in the normal direction n at the interface separating regions 1 and 2:

$$\epsilon_1 \frac{\partial \varphi_1}{\partial n_1} - \epsilon_2 \frac{\partial \varphi_2}{\partial n_2} = \sigma \tag{1-28}$$

Equation (1-28) states that difference in normal flux density across the interface is the surface charge density. Therefore the integral Poisson equation relates φ at each point to the known charge distribution ρ and φ 's normal derivative at all material interfaces. The charge distribution too may be unknown as the charges rearrange themselves because of Coulomb forces. Thus every discrete point has an unknown related to the unknowns at all other discrete points. The resulting matrix equation is fully populated and not necessarily symmetric. Thus although, unlike in differential methods relating every point only to others in its neighborhood

thereby measuring rates of change, every point in the domain has a relationship with all other points in the domain of solution when solving integral equations. However, here with integral methods, we have unknowns only where there are conductors and material interfaces – that is we have fewer unknowns. Yet the method is not too popular especially because of the non-symmetric nature of the matrix associated with the solution equation, besides the fully populated nature of the matrix equation [44].

The best known differential methods are the finite difference and finite element methods. The finite difference method is best suited to homogeneous problems and therefore is very popular in solving the wave equation solving which usually involves modeling in free homogeneous space. It is not so popular in the many problems where inhomogeneous materials are present. Here this thesis will focus on the finite element method because of its wide applications and we use the finite element method to solve the coupled problem.

1.5.3 The Finite Element Method

The finite element method is one of the most powerful numerical methods available for solving partial differential equations which apply over complex shapes. Very often, in engineering science, it is difficult to solve a partial differential equation, which applies over a complicated shape. Unlike integral methods and the finite difference method, it always yields symmetric sparse matrices which allow the use of the most powerful and elegant matrix solvers available for use. It allows us to use a fine mesh in parts where fine detail has to be incorporated or high accuracy is required, while a sparse mesh may be used in other parts. Inhomogeneities are not a problem and are handled by ensuring that an element is always entirely in a homogeneous region.

To describe the finite element method it is good to note that it has certain ingredients and to spell them out:

Ingredient 1

A trial or test function on the domain of solution (the x-y plane in our 2-dimensional demonstration) consisting of many interpolation functions α_i with i =1 ... n. The test function would be a weighted sum of all the α_i s and the α_s are functions that we prudently choose so as to best model the solution. This means having some knowledge of the solution. In this form the method was known as Ritz's method and was not quite successful since we do not usually know the form of the solution. So our postulates based on our choice of α_s is that the solution is of the form

$$\varphi = \sum_{i=1}^{n} c_i \alpha_i \tag{1-29}$$

Our task then would be to determine the numbers c_i so as to yield the best fit of the actual solution that can be made by the trial functions chosen.

Ingredient 2

A mesh of finite elements of the domain involves a tessellation of the area of solution. Commonly the domain of solution is subdivided into triangles or quadrilaterals. In this lies the brilliance of the method. Once we divide the domain into small regions, in place of the complicated functions, our α s, we may go for simple functions such as linear or quadratic polynomials. In simple terms, to approximate a squiggly function y(x) on a large domain, we may need to add several α s of different forms; but if we divide the domain into small bits called finite elements, a straight line on each bit or element would suffice. When the elements are smaller, our model will be more accurate. Thus on a triangular linear finite element, we have

$$\varphi = a + bx + cy \tag{1-30}$$

where the functions 1, x and y take the place of the three α s we need for the small triangular domain. As we divide the domain into smaller and smaller triangles, the modeling of the actual shape of the unknown would become more and more accurate. This linear model is an approximation of the potential because φ may not vary linearly and may have a complex relationship with x and y. However, x and y are linear first order functions. This is the key to determining a, b and c in a triangle.

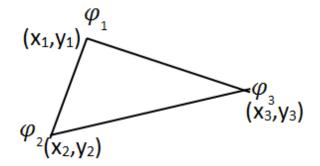


Figure 1-15 A Triangle with Three Nodes

Usually from one triangle to its neighbor we would need continuity conditions so that the trial functions match on the edge common to two adjacent elements. For this a, b and c of one triangle need to have a relationship with the a, b and c of any adjacent triangle. This relationship being complicated, instead of a, b and c we deal with the three vertex potentials φ_i , i =1, 2 & 3. So at each vertex of a triangle (x_i , y_i), where values are known because we are solving the Poisson

equation in a specific domain divided into a specific mesh of known vertices connected into a triangular mesh, applying the linear approximation (Figure 1-15):

 $\varphi_1 = a + b x_1 + cy_1$ $\varphi_2 = a + b x_2 + cy_2$ $\varphi_3 = a + b x_3 + cy_3$

Or

$$\begin{bmatrix} \varphi_1 \\ \varphi_2 \\ \varphi_3 \end{bmatrix} = \begin{bmatrix} 1 & x_1 & y_1 \\ 1 & x_2 & y_2 \\ 1 & x_3 & y_3 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \end{bmatrix}$$
(1-31)

Solving by Cramer's rule

$$a = \frac{\begin{vmatrix} \varphi_1 & x_1 & y_1 \\ \varphi_2 & x_2 & y_2 \\ \varphi_3 & x_3 & y_3 \end{vmatrix}}{\begin{vmatrix} 1 & x_1 & y_1 \\ 1 & x_2 & y_2 \\ 1 & x_3 & y_3 \end{vmatrix}}$$
(1-32)

$$b = \frac{\begin{vmatrix} 1 & \varphi_1 & y_1 \\ 1 & \varphi_2 & y_2 \\ 1 & \varphi_3 & y_3 \end{vmatrix}}{\begin{vmatrix} 1 & x_1 & y_1 \\ 1 & x_2 & y_2 \\ 1 & x_3 & y_3 \end{vmatrix}} = \frac{1}{\Delta} \left\{ \varphi_1 \left(y_2 - y_3 \right) + \varphi_2 \left(y_3 - y_1 \right) + \varphi_3 \left(y_1 - y_2 \right) \right\} = \\ = \sum_{i=1}^3 \varphi_i \frac{(y_{i1} - y_{i2})}{\Delta} = \sum_{i=1}^3 b_i \varphi_i$$
(1-33)
$$c = \frac{\begin{vmatrix} 1 & \varphi_1 & y_1 \\ 1 & \varphi_2 & y_2 \\ \frac{1}{2} & \varphi_3 & y_3 \end{vmatrix}}{\begin{vmatrix} 1 & y_1 \\ 1 & x_2 & y_2 \\ 1 & x_3 & y_3 \end{vmatrix}} = \frac{1}{\Delta} \left\{ \varphi_1 \left(x_3 - x_2 \right) + \varphi_2 \left(x_1 - x_3 \right) + \varphi_3 \left(x_2 - x_1 \right) \right\}$$

$$= \sum_{i=1}^{3} \varphi_i \, \frac{(x_{i2} - x_{i1})}{\Delta} = \sum_{i=1}^{3} c_i \varphi_i \tag{1-34}$$

where Δ is twice the area of the triangle provided the nodes 1, 2 and 3 go counter-clockwise (and the negative of that if the nodes go clockwise); the numbers *i*, *i*1 and *i*2 are the numbers 1, 2, and 3 in cyclic order (that is, the integers *i*, *i*1 and *i*2 respectively are 1,2,3 if *i* is 1, or 2,

3, 1 if *i* is 2 or 3, 1, 2 if *i* is 3; or put another way $i1 = i \mod 3 + 1$ and $i1 = i2 \mod 3 + 1$ where the mod 3 or modulo 3 function gives the remainder when divided by 3); and

$$b_i = \frac{y_{i1} - y_{i2}}{\Delta} \tag{1-35}$$

and

$$c_i = \frac{x_{i2} - x_{i1}}{\Delta} \tag{1-36}$$

Ingredient 3

A functional (a function of a function, in this case of φ) $\mathcal{L}(\varphi)$, minimizing which is the same as satisfying the differential equation [44]. There is an alternative approach called the Galerkin formulation relying on the theory of function spaces going into minimizing the residual when our trial function is put into the differential equation being solved but we will not get into that here. A limitation of the approach we describe, the variational calculus approach, is that to take it we must have a functional corresponding to the solution of the differential equation which is sought. Suffice it to say that the Poisson equation does have a functional corresponding to it:

$$\mathcal{L}(\varphi) = \iiint \left[\frac{1}{2}\epsilon(\nabla\varphi)^2 - \rho\varphi\right] dR$$
(1-37)

We can justify this intuitively. Since the electric field strength $\mathbf{E} = -\nabla \varphi$ and the flux density $\mathbf{D} = \epsilon \mathbf{E} = -\epsilon \nabla \varphi$, we see that the first term is the stored energy density $\frac{1}{2} \mathbf{D} \cdot \mathbf{E}$ times elemental volume dR. Since by definition the potential φ is the work done in bringing a unit charge to where it is, the second term is the work done in bringing the charge ρdR , the charge density times elemental volume, to where it is. The essence of the functional is that it says that the difference between the two co-energies ought to be a minimum when the Poisson equation is satisfied. But let us see this more precisely in mathematical terms.

Let the potential φ take a small excursion to $\varphi + \kappa \eta(x, y, z)$, where κ is a constant and $\eta(x, y, z)$ is a small arbitrary function. The modified functional then is

$$\mathcal{L}(\varphi + \kappa\eta) = \mathcal{L} + \delta \mathcal{L} = \iiint \left[\frac{1}{2} \epsilon (\nabla \varphi + \kappa \nabla \eta)^2 - \rho(\varphi + \kappa\eta) \right] dR$$
$$= \iiint \left[\frac{1}{2} \epsilon (\nabla \varphi \cdot \nabla \varphi + 2\kappa \nabla \varphi \cdot \nabla \eta + \kappa^2 \nabla \eta \cdot \nabla \eta) - \rho(\varphi + \kappa\eta) \right] dR$$
(1-38)

Subtracting (1-37) from (1-38), the change is

$$\delta \mathcal{L} = \iiint [\epsilon(\kappa \nabla \varphi \cdot \nabla \eta) - \rho \kappa \eta] \, dR + O^2(\kappa \eta) \tag{1-39}$$

where for small changes, the terms of order 2 (designated O^2) in $\kappa\eta$ may be dropped for being negligibly small but are retained to make a point we will encounter soon [44]. We note that $O^2(\kappa\eta)$ is from $(\nabla\eta)^2$ which is strictly positive. To proceed we need to use a vector identity expressing the divergence of a vector \vec{V} scaled by a scalar s:

$$\nabla \cdot \left(s\vec{V} \right) = \nabla s \cdot \vec{V} + s\nabla \cdot \vec{V} \tag{1-40}$$

together with the divergence theorem:

$$\iiint \nabla \cdot \vec{V} \, dR = \iint \vec{V} \cdot \vec{dS} \tag{1-41}$$

where the surface S bounds the domain R. Integrating the vector identity just encountered and applying the divergence theorem to it

$$\iiint \nabla \cdot s \vec{V} \, dR = \iiint \left(\nabla s \cdot \vec{V} + s \nabla \cdot \vec{V} \right) dR$$

or

$$\iint s\vec{V}\cdot\vec{dS} = \iiint \left(\nabla s\cdot\vec{V} + s\nabla\cdot\vec{V}\right)dR \tag{1-42}$$

Now set $s = \eta$ and $\vec{V} = \nabla \varphi$

$$\iint \eta \nabla \varphi \cdot \vec{dS} = \iiint (\nabla \eta \cdot \nabla \varphi + \eta \nabla^2 \varphi) \, dR \tag{1-43}$$

We note further that the elemental surface vector points in the normal direction so that $\vec{dS} = dS\vec{u}_n$ where \vec{u}_n is a unit vector in the normal direction. Further since $\nabla \varphi = \vec{u}_x \frac{\partial \varphi}{\partial x} + \vec{u}_y \frac{\partial \varphi}{\partial y} + \vec{u}_y \frac{\partial \varphi}{\partial y}$

 $\vec{u}_z \frac{\partial \varphi}{\partial z}$ and therefore $\vec{u}_x \cdot \nabla \varphi = \frac{\partial \varphi}{\partial x}$, and since the direction x is arbitrary according to how we orient the axes, we may write $\vec{u}_n \cdot \nabla \varphi = \frac{\partial \varphi}{\partial n}$. So the above equation upon rearranging becomes

$$\iiint (\nabla \eta \cdot \nabla \varphi) dR = \iint \eta \frac{\partial \varphi}{\partial n} dS - \iiint (\eta \nabla^2 \varphi) dR \qquad (1-44)$$

Using this in the expression for the change in the Lagrangian functional

$$\delta \mathcal{L} = \left\{ \iint \epsilon \kappa \eta \frac{\partial \varphi}{\partial n} dS - \iiint (\eta \nabla^2 \varphi) dR \right\} \iiint [-\rho \kappa \eta] dR + O^2(\kappa \eta)$$
(1-45)

Now the boundary S has either Dirichlet or Neumann conditions on each point of it. Where the Dirichlet condition applies $\eta = 0$ since the potential is specified and cannot vary. On those parts of S where the Neumann condition is specified, $\frac{\partial \varphi}{\partial n} = 0$. Therefore the surface integral vanishes because the integrand is zero at every point on S, thereby leading to

$$\delta \mathcal{L} = \{ \iiint \kappa \eta (-\epsilon \nabla^2 \varphi - \rho) \, dR \} + O^2(\kappa \eta) \tag{1-46}$$

Now we are in a position to say

a) If the functional is at an extremum, whether a minimum or a maximum, the left hand side is zero since changes are flat at the point of extremum. Further the second order terms $O^2(\kappa\eta)$ may be neglected so that

$$\iiint \kappa \eta (-\epsilon \nabla^2 \varphi - \rho) \, dR = 0 \tag{1-47}$$

But since the change in potential $\kappa\eta$ is arbitrary, this is possible only if the other factor of the integrand

$$-\epsilon\nabla^2\phi - \rho = 0$$

or

$$-\epsilon \nabla^2 \varphi = \rho \tag{1-48}$$

meaning that the Poisson equation is satisfied at the extremum.

b) We need one more consideration to show that the extremum is a minimum. At that extremum since the residual of the Poisson equation $-\epsilon \nabla^2 \varphi - \rho = 0$, we must have

$$\delta \mathcal{L} = O^2(\kappa \eta) \tag{1-49}$$

which is a strictly positive quantity as we have noted so that any changes in φ about the extremum of \mathcal{L} will make \mathcal{L} rise, meaning that extremum has to be a minimum.

1.5.4 Two Dimensional, Linear, Triangular Finite Elements

We used two dimensional triangular finite elements in this thesis; therefore this thesis will present this model through an example. Figure 1-16 [44] shows a two dimensional system governed by the Poisson equation $-\sigma_t \nabla^2 T = q$ with zero Neumann conditions along the upper and lower boundaries and Dirichlet conditions on the left and right boundaries, where σ_t is the thermal conductivity and q is the heat distribution. Demonstrating through this example, this heat flow problem (Figure 1-16) has $\sigma_t = 4$ and q = 1 in the shaded region and $\sigma_t = 1$ and q = 0 elsewhere. Although this is a problem governing the flow of heat, the equations are similar to those developed for an electrostatic system with $\sigma_t = \varepsilon$ and $q = \rho$. Let us see how T will be solved everywhere using hand calculations.

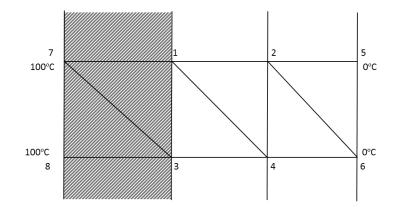


Figure 1-16 Heat Flow Problem

By linear approximations or trial functions, we have

$$T = a + bx + cy \tag{1-50}$$

The first order approximation T = a + bx + cy on a triangle leads to

$$b = \sum_{i=1}^{3} b_i T_i; \quad c = \sum_{i=1}^{3} c_i T_i$$
(1-51)

Now

$$\nabla T = \vec{u}_x \frac{\partial T}{\partial x} + \vec{u}_y \frac{\partial T}{\partial y} = \vec{u}_x b + \vec{u}_y c$$
(1-52)

The functional

$$\mathcal{L}(T) = \iint \left(\left[\frac{1}{2} \sigma_t (\nabla T)^2 - qT \right] \right) dR = \sum_{Elements} \iint \left[\frac{1}{2} \sigma_t (b^2 + c^2) - qT \right] dR$$
$$= \sum_{Elements} \left\{ \frac{1}{2} \sigma_t (b^2 + c^2) A^r - q_0 \frac{T_1 + T_2 + T_3}{3} A^r \right\}$$
(1-53)

where $\sigma(b^2 + c^2)$ is a constant that may be pulled out of the integral sign so that the integral of dR is simply the triangle area A^r . Likewise, by assuming that q is a constant at q₀ in a triangle, that too comes out of the integral sign so that the integral of the linearly varying T is the average of T at the three vertices times a measure of the integration area which is A^r ; this is a variant of Simpson's rule in 1-dimension for 2-dimensions. Thus we have, substituting for b and c, while noting that

$$b = \sum_{i=1}^{3} b_i T_i = \underline{T}^t \underline{b} \tag{1-54}$$

$$c = \sum_{i=1}^{3} c_i T_i = \underline{T}^t \underline{c} \tag{1-55}$$

With notation for \underline{T} , \underline{b} and \underline{c} defined by correspondence for terms, and noting that a scalar b is its own transpose, we have

$$b^{2} = b.b = b.b^{t} = (\underline{T}^{t}\underline{b})(\underline{b}^{t}\underline{T}) = \underline{T}^{t}\underline{b}\underline{b}^{t}\underline{T} = \underline{T}^{t}\begin{bmatrix}b_{1}^{2} & b_{1}b_{2} & b_{1}b_{3}\\b_{2}b_{1} & b_{2}^{2} & b_{2}b_{3}\\b_{3}b_{1} & b_{3}b_{2} & b_{3}^{2}\end{bmatrix}\underline{T}$$
(1-56)

where the brackets have been dispensed with in the absence of there being multiplicative compatibility. Similarly

$$c^{2} = c.c = c.cb^{t} = (\underline{T}^{t}\underline{c})(\underline{cb}^{t}\underline{T}) = \underline{T}^{t}c\underline{c}^{t}\underline{T} = \underline{T}^{t} \begin{bmatrix} c_{1}^{2} & c_{1}c_{2} & c_{1}c_{3} \\ c_{2}c_{1} & c_{2}^{2} & c_{2}c_{3} \\ c_{3}c_{1} & c_{3}c_{2} & c_{3}^{2} \end{bmatrix} \underline{T}$$
(1-57)

Therefore

$$\mathcal{L}(T) = \sum_{Elements} \left\{ \frac{1}{2} \sigma_t (b^2 + c^2) A^r - q_0 \frac{T_1 + T_2 + T_3}{3} A^r \right\}$$
$$= \sum_{Elements} \left\{ \frac{1}{2} \underline{T}^t \sigma_t A^r \begin{bmatrix} b_1^2 + c_1^2 & b_1 b_2 + c_1 c_2 & b_1 b_3 + c_1 c_3 \\ b_2 b_1 + c_2 c_1 & b_2^2 + c_2^2 & b_2 b_3 + c_2 c_3 \\ b_3 b_1 + c_3 c_1 & b_3 b_2 + c_3 c_2 & b_3^2 + c_3^2 \end{bmatrix} \underline{T} - \underline{T}^t \left\{ \frac{q_0 A^r}{3} \\ \frac{q_0 A^r}{3} \\ \frac{q_0 A^r}{3} \\ \frac{q_0 A^r}{3} \\ \end{bmatrix} \right\}$$
$$= \sum_{Elements} \left\{ \frac{1}{2} \underline{T}^t [P] \underline{T} - \underline{T}^t \underline{Q} \right\}$$
(1-58)

where, in corresponding notation, the local matrices

$$[P] = \sigma_t A^r \begin{bmatrix} b_1^2 + c_1^2 & b_1 b_2 + c_1 c_2 & b_1 b_3 + c_1 c_3 \\ b_2 b_1 + c_2 c_1 & b_2^2 + c_2^2 & b_2 b_3 + c_2 c_3 \\ b_3 b_1 + c_3 c_1 & b_3 b_2 + c_3 c_2 & b_3^2 + c_3^2 \end{bmatrix}$$
(1-59)

and

$$\underline{Q} = \begin{cases} \frac{q_0 A^r}{3} \\ \frac{q_0 A^r}{3} \\ \frac{q_0 A^r}{3} \end{cases}$$
(1-60)

Now let us consider the first triangle of the mesh as shown in Figure 1-17. Where we start numbering the nodes (whether 8-3-7 or 3-7-8 or 7-8-3) does not matter but that the order should be counter-clockwise is important.

Ele.	PMatrix			QMatrix		Ele.	PMatrix				QMatrix	
1						2						
Verts	8	3	7				Verts	1	7	3		
8	4	-2	-2		1/6		1	4	-2	-2		1/6
3	-2	2	0		1/6		7	-2	2	0		1/6
7	-2	0	2		1/6		3	-2	0	2		1/6
			IJ		<u> </u>		L					
Ele.	PMatrix				QMatrix	Ì	Ele.	PMatrix				QMatrix
3							4					
Verts	3	4	1				Verts	2	1	4		
3	1	-0.5	5		0		2	1	-0.5	5		0
4	-0.5	0.5	0		0		1	-0.5	0.5	0		0
1	-0.5	0	0.5		0		4	-0.5	0	0.5		0
				<u> </u>	<u> </u>		L		I	I	<u>I</u>	
Ele.	PMatrix				QMatrix	Ì	Ele.	PMatrix				QMatrix
5							6					
Verts	4	6	2				Verts	5	2	6		
4	1	-0.5	5		0		5	1	-0.5	5		0
6	-0.5	0.5	0		0		2	-0.5	0.5	0		0
2	-0.5	0	0.5		0		6	-0.5	0	0.5		0

Table 1-1 The Local Matrices of the Six Elements of Figure 1-16

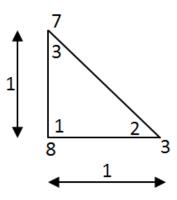


Figure 1-17 Triangle with internal generic numbers

For this triangle

$$\Delta = \begin{vmatrix} 1 & x_1 & y_1 \\ 1 & x_2 & y_2 \\ 1 & x_3 & y_3 \end{vmatrix} = \begin{vmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \end{vmatrix} = 1$$
(1-61)

Therefore area $A = \frac{1}{2}$. We could have said Area = $\frac{1}{2}$ x base x height = $\frac{1}{2}$ but this is not general enough an approach for triangles whose sides are not parallel to the Cartesian axes. We have

$$\underline{b} = \begin{cases} \frac{y_2 - y_3}{\Delta} \\ \frac{y_3 - y_1}{\Delta} \\ \frac{y_1 - y_2}{\Delta} \end{cases} = \begin{cases} \frac{1 - 0}{1} \\ \frac{1 - 0}{1} \\ \frac{0 - 0}{1} \end{cases} = \begin{cases} -1 \\ 1 \\ 0 \end{cases}$$
(1-62)
$$\underline{c} = \begin{cases} \frac{x_3 - x_2}{\Delta} \\ \frac{x_1 - x_3}{\Delta} \\ \frac{x_2 - x_1}{\Delta} \end{cases} = \begin{cases} \frac{0 - 1}{1} \\ \frac{0 - 0}{1} \\ \frac{1 - 0}{1} \end{cases} = \begin{cases} -1 \\ 0 \\ 1 \end{cases}$$
(1-63)

Observe that only relative coordinates are important since we are dealing with differences in coordinate values which fact makes the actual values of the coordinates irrelevant. Now

$$[P] = \sigma A^{r} \begin{bmatrix} b_{1}^{2} + c_{1}^{2} & b_{1}b_{2} + c_{1}c_{2} & b_{1}b_{3} + c_{1}c_{3} \\ b_{2}b_{1} + c_{2}c_{1} & b_{2}^{2} + c_{2}^{2} & b_{2}b_{3} + c_{2}c_{3} \\ b_{3}b_{1} + c_{3}c_{1} & b_{3}b_{2} + c_{3}c_{2} & b_{3}^{2} + c_{3}^{2} \end{bmatrix} = 4x0.5 \begin{bmatrix} 1+1 & -1+0 & 0-1 \\ -1+0 & 1+0 & 0+0 \\ 0-1 & 0+0 & 0+1 \end{bmatrix}$$

$$= \begin{bmatrix} 4 & -2 & -2 \\ -2 & 2 & 0 \\ -2 & 0 & 2 \end{bmatrix}$$
(1-64)

$$\underline{Q} = \begin{cases} \frac{1x0.5}{3} \\ \frac{1x0.5}{3} \\ \frac{1x0.5}{3} \\ \frac{1x0.5}{3} \end{cases} = \begin{cases} \frac{1}{6} \\ \frac{1}{$$

Table 1-2 The Six Local Matrices Added into the 4x4 Matrix

The 4x4 Matrix	$[P^g]$	$\underline{Q^g}$ of size 4x1		
0 4 0.5 0.5 0 0	0 0 0 -0.5 0 0	0 - 2 - 0 0.5 0 0	0 0 0 0 0 0	200+1/6 0 0 0 0 0
0 0 0 -0.5 0 0	0 0 0 1 0.5 0.5	0 0 0 0 0 0	0 0 0 - 0.5 -	0 0 0 0 0 0
0 -2 -0.5 0 0 0	0 0 0 0 0 0 0 0	2 2 1 0 0 0	0 0 -0.5 0 -0.5 0	1/6 200+1/6 0 0 0 0
0 0 0 0 0 0	0 0 0 - 0.5 - - 0 0.5 -	0 0 - 0 0.5 0 0 0	0 1 0 0 1 0	0 0 0 0 0 0

The local matrices of the six elements of are shown in Figure 1-16. The 4 rows and columns of the matrix [P] are filled up as shown in Table 1-2. For clarity, each position of the global matrix $[P^g]$ is divided into 6, each sub-location corresponding to the contribution from one of the six elements. Similarly the column vector \underline{Q} shows its contributions from the 6 elements as it is built up.

The numbers 200 in the right hand side column vector \underline{Q} come from the two additional columns of [P] corresponding to the known T values at 100. The numbers zero when they need to be added are not reflected. Thus we have from Table 1-2,

$$\begin{bmatrix} 5 & -0.5 & -2.5 & 0\\ -0.5 & 2 & 0 & -1\\ -2.5 & 0 & 5 & -0.5\\ 0 & -1 & -0.5 & 2 \end{bmatrix} \begin{bmatrix} T_1\\ T_2\\ T_3\\ T_4 \end{bmatrix} = \begin{bmatrix} \frac{1201}{6}\\ 0\\ \frac{201}{3}\\ 0 \end{bmatrix}$$
(1-66)

Solving

$$\underline{T}^t = [88.99\ 44.50\ 89.01\ 44.50] \tag{1-67}$$

So finally the solution gives the temperature at nodes 1, 2, 3 and 4.

2 EDDY CURRENT BASED DEFECT DETECTION AND CHARACTERIZATION

2.1 Single Physics Problem

Our intention is to study and establish the most efficient, GPU based methodologies for shape optimization in two-physics systems. To avoid the complexities of a two-physics problem and learn the issues, we begin our study by examining shaping algorithms on a single physics problem for nondestructive evaluation with the view to extending the principles to two-physics systems. Eddy Current Testing (ECT) is a widely accepted, cheap and portable method for the detection of cracks and other defects in conductive materials [46]. In NDE problems, the test measurements have to be matched with the computed results whereas in inverse design problems, the desired performance results have to be matched with computed performance results (Inverse design problems have been discussed in 1.2). Therefore when an object function is defined for NDE problems, $B_{Desired}^{i}$ has to be replaced by $B_{measured}^{i}$ in (1-2). Otherwise, NDE problems and design problems are mathematically similar [7]. This then is the foundation for studying NDE shape optimization and then extending it to two-physics shape optimization. In particular we will study defect characterization where we will optimize the shape of a postulated defect to make the measured and computed fields match.

It has been established that low frequency testing can distinguish "all the different levels" of corrosion when a sample is exposed to a very corrosive environment for 3 months [47] while other researchers have established that eddy current testing can identify corrosion to depths down to 15 mm [48], [49]. For submarines and aircraft, engineers employ eddy current probes for testing thin (up to 1 inch) conducting systems [50], and ultrasound for thicker submarine

hulls [51]. For deeper penetration, pulsed eddy currents [52], [53] or remote field eddy currents are used [54]. ECT Technology is therefore perfect for the purpose at hand.

2.2 Introduction to Eddy Current Testing

2.2.1 Eddy Current

Eddy currents are found in any conducting material which is subject to time varying magnetic fields. If there is a defect present inside the conducting material, the flow of eddy current will be interrupted. Due to this interruption and changes in magnetic field, one can get information about the defect inside the material. A thorough analysis about eddy currents and their behavior can be found in [55].

2.2.2 Basis of eddy current testing

When an AC current flows in a coil which is in close proximity to a conducting surface, the magnetic field from the coil will induce eddy currents in that surface (Figure 2-1.a [56]). The eddy currents will affect the loading on the coil and thus its impedance.

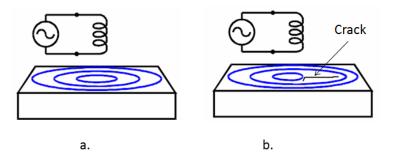


Figure 2-1 Eddy current flow on a surface with (a) and without (b) crack

Assume there is a crack on the surface of the material (Figure 2-1.b). This will interrupt or at least reduce the eddy current flow. Therefore it will decrease the loading of the coil and increasing the effective coil impedance. Further, the voltage induced in an exterior sensing coil will be changed. This is the basis of eddy current testing; by monitoring the voltage across the coil in such an arrangement we can detect the defects inside the material.

There are some factors which will affect the eddy current response in a probe. The conductivity of material has a direct effect on the eddy current flow. If the conductivity is high, the flow of the eddy current also will be high. The material permeability (μ) also has a direct effect on eddy current flow. This may be described as the ease with which a material can be magnetized. For non-ferrous metals such as copper, brass, and aluminum, μ is the same as that of air. For ferrous metals the value of μ will be several hundred times that of air, and this has a very significant influence on eddy current flow. Eddy current flow is greatly affected by the frequency we choose for the exciting system. The effect of frequency on eddy current flow is discussed in section 2.3.4. The proximity of the probe to the material also has to be considered. The closer a probe coil is to the surface, the greater will be the effect on that coil. Other than these factors, the geometry of the defect plays an important role in eddy current testing. This will be discussed in the following section.

2.3 Detection of Defect

2.3.1 Field Changes

Our goal in this chapter is characterizing the shape of the defect using eddy current. But, before we move into defect characterization, a study on defect detection has to be done. Defects can be in many different orientations. Some of these defect orientations make it hard for the defect to be detected, and therefore such defects will be impossible to characterize by our inverse algorithm. Therefore in this section we analyze the defect orientations and its relation to detectability. So this study will be useful for the defect characterization later in this chapter.

Even though ECT is a good and effective method to detect a defect, the reality is that, thinking qualitatively about the three situations in Figure 2-2, the depth for detection depends on defect orientation. In the first situation, when a defect is parallel to the surface, the defect will be detectable through the interruption of the eddies. In the second situation, it is perpendicular to the surface but not reaching the surface; we would expect the skin-effect to allow the currents to flow along the surface. So it will be hard to detect the defect in this second case. Whereas in the third situation if the defect is perpendicular to the surface without a gap for the eddy current to flow along the surface, the defect would interrupt the flow of eddy currents which would find it difficult to go deep because of the skin effect. Therefore this will be easily detectable. On the other hand if the defect is thin and long, a 3D model will show no current interruption.



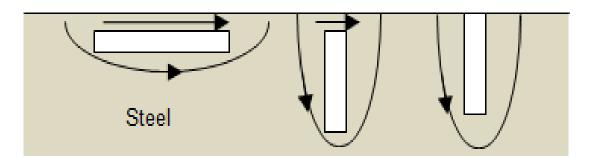
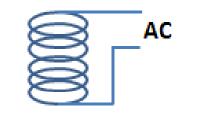


Figure 2-2 Penetration of Eddy Currents in the Presence of Defects

This interruption, if it occurs, would make the presence of defects easily detectable. Different types of defect models with the ECT coil are shown in Figure 2-3 [57]. Defects a, b, c, d and e in Figure 2-3 show the difference in angle, depth and length. The defects a, b, and c are at different angles, whereas c and e are at different depths. At the same time, though defects d and e are at same depth, they vary in length. Moreover, if the axis of the external exciting ECT coil is parallel to the surface, our considerations need to be entirely different. This study therefore through a series of finite element analyses of parameterized geometries of a single defect, seeks to identify the limits of eddy current testing in NDE. This study is confined to steel plates for army ground vehicle armor [57]. A qualitative relationship between the depth of a detectable defect, the frequency of excitation and the geometric parameters is sought to help engineers choose whether or not to use ECT.



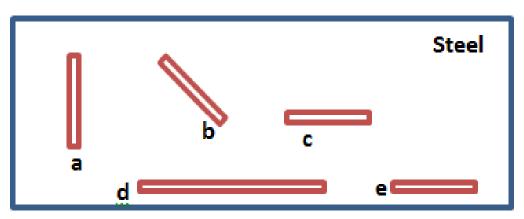


Figure 2-3 Defect Model: The model has defects in different angle, depth and length

A defect in a material can be varied in shape and location. The defect detection method should concentrate on the characteristics of the defect. These effects are analyzed in this work for varying size, shape and location of a defect in materials. An iterative approach is presented that repeatedly employs the finite element technique for modeling the forward problem to calculate the effect caused by the defects in a steel plate.

We calculate the magnetic flux density for the known defects from the forward problem using the finite element method [48] and examine the extent to which the exterior field is altered by the defect to see if the presence of the defect can be discerned through the changes in the measurable external field.

2.3.2 Main Equations

2.3.2.1 Maxwell Equations

The electromagnetic field equations are the basic equations to deal with for design analysis purposes. Though there are many basic laws in electromagnetics such as by Coulomb, Lenz, and Ampere, the general Maxwell equations are more relevant and appropriate to discuss here as they are directly involved with our work. The four Maxwell equations [58], [59] are;

$$\nabla . \boldsymbol{D} = \boldsymbol{\rho} \tag{2-1}$$

$$\nabla \cdot \boldsymbol{B} = 0 \tag{2-2}$$

$$\nabla \times H = J + \frac{\partial D}{\partial t}$$
(2-3)

$$\nabla \times E = -\frac{\partial B}{\partial t} \tag{2-4}$$

where **D** is electric flux density, ρ is the electric charge density, **B** is the magnetic flux density, **H** is the magnetic field intensity, **J** is the current density, and **E** is the electric field intensity. Here **J** is related to **E** by the constitutive relationship

$$\mathbf{J} = \sigma_e \mathbf{E} \tag{2-5}$$

where σ_e is the material electric conductivity. *B* is related to *H* by the constitutive relationship

$$\mathbf{B} = \mu \mathbf{H} \tag{2-6}$$

where μ is the permeability. In the same way **D** is related to **E** by the constitutive relationship

$$\mathbf{D} = \varepsilon \mathbf{E} \tag{2-7}$$

where ϵ is the permittivity. In addition to these equations, based on (2-2) the magnetic vector potential **A** is defined by

$$\mathbf{B} = \mathbf{\nabla} \times \mathbf{A} \tag{2-8}$$

Now for the vector **A** to be unique and therefore determinable, its divergence has to be defined. This we do by the Coulomb gauge:

$$\nabla A = 0 \tag{2-9}$$

The subject of computational electromagnetics may be divided into low and high frequency electromagnetics. It is a natural separation in view of the governing equations and the specialized nature of the division between low and high frequency electromagnetics. Typical low frequency devices are electrical machines, electronic devices, transmission lines, and magnetic recording heads whereas high frequency devices are waveguides, resonant cavities, and radiating devices such as antennae. In terms of equations, the difference between these two

systems is that in low frequency devices, the displacement current is negligible while in high frequency devices it is not. Therefore, for low frequency devices, (2-3) will reduce to

$$\nabla \times \boldsymbol{H} = \boldsymbol{J} \tag{2-10}$$

In contrast, for high frequency devices, although **D** is small, its rate of change is high, making $\frac{\partial D}{\partial t}$ of (2-3) directly applicable. However in many problems in lossless media, since the medium has zero conductivity σ_e , no conduction current may exist in keeping with (2-5).

2.3.2.2 Eddy Current Equation

In magnetostatics, an electric field causes current and the current causes a magnetic field. If time variation is introduced, the resulting magnetic field does affect the initial electric field via (2-4). In the same way the electric field affects the magnetic field with the extra displacement current term (2-3). Let us consider (2-4), the non-divergent \boldsymbol{B} may be modelled by a vector potential \boldsymbol{A} as in (2-9):

$$\nabla \times E = -\frac{\partial B}{\partial t} = -\frac{\partial \nabla \times A}{\partial t}$$

$$\nabla \times E = \nabla \times -\frac{\partial A}{\partial t}$$
(2-11)

The two vectors *E* and $-\frac{\partial A}{\partial t}$ have the same curl, which is possible only if:

$$\boldsymbol{E} = -\frac{\partial A}{\partial t} - \boldsymbol{\nabla}\boldsymbol{\varphi} \tag{2-12}$$

It is realized that the term – $\nabla \varphi$ is the externally imposed electric field driving the current and $-\frac{\partial A}{\partial t}$ is the induced electric field. Combining (2-5) and (2-10), and substituting (2-6), (2-8) and (2-11),

$$\boldsymbol{\nabla} \times \boldsymbol{H} = \boldsymbol{J} = \sigma_e \boldsymbol{E} \tag{2-13}$$

so that

$$\nabla \times \boldsymbol{H} = \sigma_e \left(-\frac{\partial A}{\partial t} - \nabla \varphi \right) \tag{2-14}$$

and

$$\nabla \times \frac{1}{\mu} \nabla \times A = \sigma_e \left(-\frac{\partial A}{\partial t} - \nabla \varphi \right)$$
(2-15)

Setting imposed current density,

$$\boldsymbol{J}_{\boldsymbol{0}} = -\sigma_e \boldsymbol{\nabla} \boldsymbol{\varphi} \tag{2-16}$$

and using the vector identity for the curl of the curl and (2-9),

$$\nabla \times \nabla \times A = \nabla (\nabla . A) - \nabla^2 A$$
$$= -\nabla^2 A \tag{2-17}$$

we finally have, using (2-16), (2-17) and using phasor representation, where differentiation with respect to time is the equivalent of pre-multiplication by $j\omega$

$$-\frac{1}{\mu}\nabla^2 A = \mathbf{J} = \sigma_e \mathbf{E} = \sigma_e [-j\omega A - \nabla\varphi]$$
(2-18)

This reduces to our final equation

$$-\frac{1}{\mu}\nabla^2 A = J_0 - j\omega\sigma_e A \tag{2-19}$$

For eddy current problem, (2-19) is the key equation to be solved to find the magnetic vector potential A for a given imposed current density J_0 . Once we find A, the magnetic flux density B can be calculated using (2-8) and the electric field intensity E can be calculated using (2-12) according to the requirement of the problem. Calculating A from (2-19) using the finite element method is described in detail in the following section.

2.3.2.3 Finite Element Computation for Eddy Current Problem

Magnetic fields in a ferromagnetic material can be generated by placing an AC (Alternative Current) coil on top of the material. For AC magnetization, the magnetic vector potential A, and the exciting current density J at angular frequency ω , are related by $-\frac{1}{\mu}\nabla^2 A = J_0 - \sigma_e j \omega A$ as described in (2-19).

The corresponding functional can be written, similar to the way we derived (1-53);

$$\mathcal{L}(\mathbf{A}) = \iint \left(\left[\frac{1}{2\mu} (\nabla \mathbf{A})^2 - J_0 \mathbf{A} + \frac{1}{2} j \omega \sigma_e \mathbf{A}^2 \right] \right) dR$$

$$= \sum_{Elements} \iint \left[\frac{1}{2\mu} (\mathbf{b}^2 + \mathbf{c}^2) - J_0 \mathbf{A} + \frac{1}{2} j \omega \sigma_e \mathbf{A}^2 \right] dR$$

$$= \sum_{Elements} \left\{ \frac{1}{2\mu} (\mathbf{b}^2 + \mathbf{c}^2) \mathbf{A}^r - J_0 \frac{\mathbf{A}_1 + \mathbf{A}_2 + \mathbf{A}_3}{3} \mathbf{A}^r + \frac{1}{2} j \omega \sigma_e \mathbf{A}^2 \right\}$$

$$= \sum_{Elements} \left\{ \frac{1}{2\mu} \underline{\mathbf{A}}^t \mathbf{A}^r \begin{bmatrix} b_1^2 + c_1^2 & b_1 b_2 + c_1 c_2 & b_1 b_3 + c_1 c_3 \\ b_2 b_1 + c_2 c_1 & b_2^2 + c_2^2 & b_2 b_3 + c_2 c_3 \\ b_3 b_1 + c_3 c_1 & b_3 b_2 + c_3 c_2 & b_3^2 + c_3^2 \end{bmatrix} \underline{\mathbf{A}} - \underline{\mathbf{A}}^t \left\{ \frac{J_0 \underline{\mathbf{A}}^r}{J_0 \underline{\mathbf{A}}^r} \right\} + \frac{1}{2} j \omega \sigma_e \underline{\mathbf{A}}^2 \right\}$$

$$(2-20)$$

Since the first two parts of (2-16) are similar to the terms we have already derived in (1-58), the last part of (2-16) which is $\frac{1}{2}j\omega\sigma_e \underline{A}^2$ is computed as follows;

$$\iint \left(\frac{1}{2}j\omega\sigma_{e}\underline{A}^{2}\right)dR = \iint \left(\frac{1}{2}j\omega\sigma_{e}\underline{A}^{t}\underline{A}\right)dR$$

$$= \iint \left(\frac{1}{2} j \omega \sigma_e [A_1 \quad A_2 \quad A_3] \begin{bmatrix} \zeta_1 \\ \zeta_2 \\ \zeta_3 \end{bmatrix} [\zeta_1 \quad \zeta_2 \quad \zeta_3] \begin{bmatrix} A_1 \\ A_2 \\ A_3 \end{bmatrix} \right) dR$$
$$= \iint \left(\frac{1}{2} j \omega \sigma_e \underline{A}^t \begin{bmatrix} \zeta_1^2 & \zeta_1 \zeta_2 & \zeta_1 \zeta_3 \\ \zeta_2 \zeta_1 & \zeta_2^2 & \zeta_2 \zeta_3 \\ \zeta_3 \zeta_1 & \zeta_3 \zeta_2 & \zeta_3^2 \end{bmatrix} \underline{A} \right) dR$$
(2-21)

where A in a triangle element has been written in triangular coordinates ζ_1, ζ_2 and ζ_3 , But,

$$\iint \left(\zeta_1^{\ i} \zeta_1^{\ j} \zeta_1^{\ k} \right) dR = \frac{i! j! k! 2!}{(i+j+k+2)} A^r \tag{2-22}$$

By applying (2-22) in (2-21), and rearranging

$$\iint \left(\frac{1}{2}j\omega\sigma_e\underline{A}^2\right)dR = \frac{1}{2}j\omega\sigma_e\underline{A}^t\frac{A^r}{12}\begin{bmatrix} 2 & 1 & 1\\ 1 & 2 & 1\\ 1 & 1 & 2 \end{bmatrix}\underline{A}$$
(2-23)

Now, substituting (2-23) in (2-20),

$$\mathcal{L}(\mathbf{A}) = \sum_{Elements} \left\{ \frac{1}{2\mu} \underline{\mathbf{A}}^{t} A^{r} \begin{bmatrix} b_{1}^{2} + c_{1}^{2} & b_{1}b_{2} + c_{1}c_{2} & b_{1}b_{3} + c_{1}c_{3} \\ b_{2}b_{1} + c_{2}c_{1} & b_{2}^{2} + c_{2}^{2} & b_{2}b_{3} + c_{2}c_{3} \\ b_{3}b_{1} + c_{3}c_{1} & b_{3}b_{2} + c_{3}c_{2} & b_{3}^{2} + c_{3}^{2} \end{bmatrix} \underline{\mathbf{A}} - \underline{\mathbf{A}}^{t} \begin{cases} \frac{\mathbf{J}_{0} A^{r}}{3} \\ \mathbf{J}_{0} A^{r} \\ \frac{\mathbf{J}_{0} A^{r}}{3} \\ \frac{\mathbf{J}_{0} A^{r}}{3} \end{cases} \right\} \\ + \frac{1}{2}j\omega\sigma_{e}\underline{\mathbf{A}}^{t} \frac{A^{r}}{12} \begin{bmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{bmatrix} \underline{\mathbf{A}} \right\}$$

$$= \sum_{Elements} \left\{ \frac{1}{2} \underline{A}^{t} \left(\frac{A^{r}}{\mu} \begin{bmatrix} b_{1}^{2} + c_{1}^{2} & b_{1}b_{2} + c_{1}c_{2} & b_{1}b_{3} + c_{1}c_{3} \\ b_{2}b_{1} + c_{2}c_{1} & b_{2}^{2} + c_{2}^{2} & b_{2}b_{3} + c_{2}c_{3} \\ b_{3}b_{1} + c_{3}c_{1} & b_{3}b_{2} + c_{3}c_{2} & b_{3}^{2} + c_{3}^{2} \end{bmatrix} + j\omega\sigma_{e} \frac{A^{r}}{12} \begin{bmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{bmatrix} \right) \underline{A}$$
$$- \underline{A}^{t} \left\{ \frac{J_{0} A^{r}}{3} \\ \frac{J_{0} A^{r}}{3} \\ \frac{J_{0} A^{r}}{3} \\ \end{bmatrix} \right\}$$
$$= \sum_{Elements} \left\{ \frac{1}{2} \underline{A}^{t} [P] \underline{A} - \underline{A}^{t} \underline{Q} \right\}$$
(2-24)

where, in corresponding notation, the local matrices

$$[P] = \frac{A^{r}}{\mu} \begin{bmatrix} b_{1}^{2} + c_{1}^{2} & b_{1}b_{2} + c_{1}c_{2} & b_{1}b_{3} + c_{1}c_{3} \\ b_{2}b_{1} + c_{2}c_{1} & b_{2}^{2} + c_{2}^{2} & b_{2}b_{3} + c_{2}c_{3} \\ b_{3}b_{1} + c_{3}c_{1} & b_{3}b_{2} + c_{3}c_{2} & b_{3}^{2} + c_{3}^{2} \end{bmatrix} + j\omega\sigma_{e}\frac{A^{r}}{12} \begin{bmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{bmatrix}$$
(2-25)

and

$$\underline{Q} = \begin{cases} \frac{J_0 A^r}{3} \\ \frac{J_0 A^r}{3} \\ \frac{J_0 A^r}{3} \end{cases}$$
(2-26)

Finite element analysis [20] provides the solution to (2-19) by applying certain boundary conditions. The local matrices of elements will be added to the corresponding positon of the global matrix to be solved for <u>A</u>. This leads to the finite element matrix equation

$$[P^g]\underline{A} = Q^g \tag{2-27}$$

Equation (2-27) is solved in the same way as explained in section 1.5.4, calculating local matrices and adding them to the global matrix equation to be solved for \underline{A} .

2.3.3 Problem Statement

The numerical example in Figure 2-4 [57] is used to study the detectability of defects in different orientations. The coil (with $\mu_r = 1.0$, current density $J_0 = \pm 1 A/m^2$, and $\omega = 10 rad/s$) excites the magnetic field in the steel plate (with $\mu_r = 100.0$ and current density $J_0 = 0.0$). The conductor is surrounded by air (with $\mu_r = 1.0$ and current density $J_0 = 0.0$). Any defect in the material should affect the magnetic flux density **B** between the AC coil and the material. The magnetic flux density in the \hat{y} direction B_y is calculated at 10 points as shown in Figure 2-4 labeled as the measuring line.

First, B_y for the steel plate with no defect is calculated at the measuring line and named $B_{nodefect}^i$. Here *i* is the number of a particular point on the measuring line. Since our study is about whether the defect is detectable or not, we defined a single defect with parameterized geometries {*x*, *d*, *l*, *w*, θ } as shown in Figure 2-4. By changing the parameters of the defect, we change the position of the defect as shown in Figure 2-5. and calculate B_y at the measuring points, which we name B_{defect}^i . Then the effect on flux density $R_{(\theta,d,l)}^B$ as described by

$$R^{B}_{(\theta,d,l)} = max_{i=1.m} \left(\left| \frac{B^{i}_{nodefect} - B^{i}_{defect}}{B^{i}_{nodefect}} \right| \right)$$
(2-28)

(2-28) was calculated for each defect and tabulated. By considering the maximum of $R^B_{(\theta,d,l)}$, we establish the defect detecting quantity which is measured. $R^B_{(\theta,d,l)}$ is the maximum value of flux density ratio between flux changes caused by the defect and flux without the defect, where a defect with length *l* is at depth *d* from the material surface and rotated by angle θ clock-wise from the "horizontal" line parallel to the steel surface (Figure 2-4).

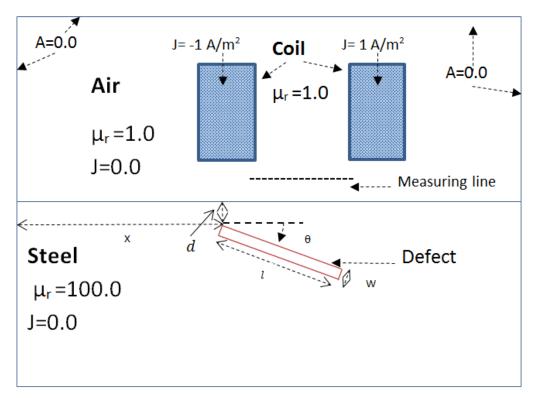


Figure 2-4 Numerical Model

Figure 2-6 shows how the ratio $R^B_{(\theta,d,l)}$ varies with defect locations. When $R^B_{(\theta,d,l)}$ is high, there is a higher chance that the defect will be detected. Figure 2-6.a shows the results for a horizontal defect ($\theta = 0^0$) when the depth d increases, $R^B_{(\theta,d,l)}$ decreases. So that means when the depth of the defect is higher, it would be harder to detect as to be expected. Figure 2-6.b shows when the defect is vertical ($\theta = 90^0$) how $R^B_{(\theta,d,l)}$ varies with depth. Here also when d increases $R^B_{(\theta,d,l)}$ decreases. Here d = 0 corresponds to the right-most part of Figure 2-2. Anyway when we compare a horizontal defect and vertical defect, the horizontal defect has a higher chance to be detected, for the given d value

This is to be expected because a horizontal defect will interrupt flux more since the flux flow is along the surface. Fig.6.c shows how $R^B_{(\theta,d,l)}$ varies with angle θ : $R^B_{(\theta,d,l)}$ goes to a maximum when $\theta = 45^{\circ}$. So we could say that when the angle of the defect is 45° , there is a higher chance to be detected.

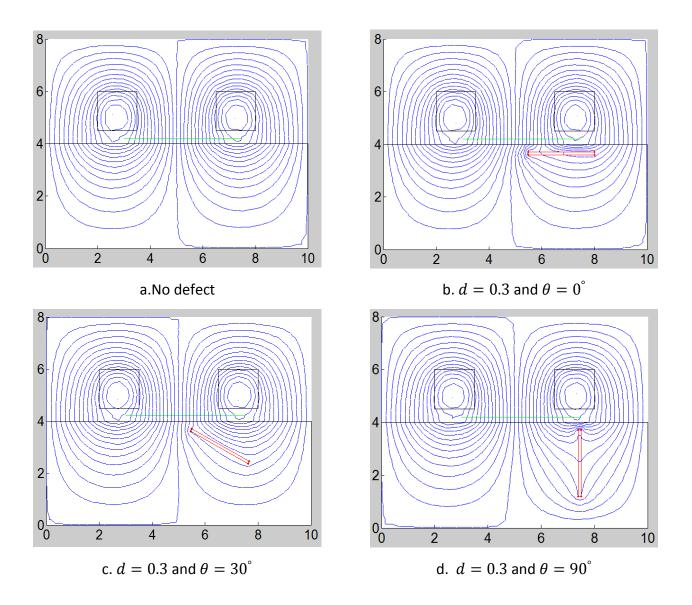
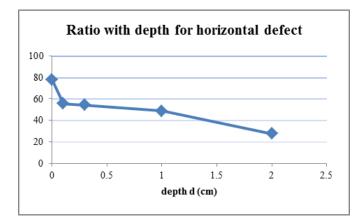
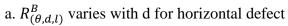
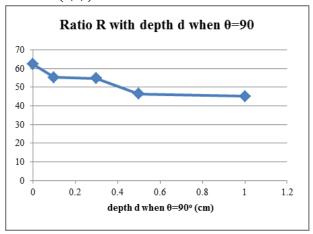


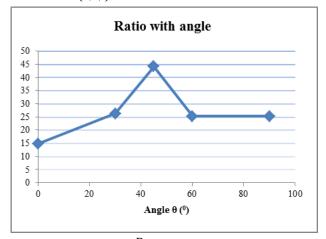
Figure 2-5 Equipotential lines for the defects at various positions and orientations







b. $R^B_{(\theta,d,l)}$ varies with d for vertical defect



c. $R^{B}_{(\theta,d,l)}$ varies with θ

Figure 2-6 $R^B_{(\theta,d,l)} \text{with defect location}$

2.3.4 Experimental Work in Defect Detection

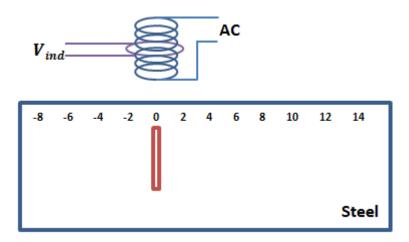


Figure 2-7 Rectangular defect on steel plate

Experimental work was done to verify the computational work of defect detection. The coil was moved along the x axis of the steel plate (Figure 2-9) and the voltage induced was measured as shown in Figure 2-8. We created a rectangular defect on a steel plate as shown in Figure 2-7. A close-up look of the defect is shown in Figure 2-9. Alternating sinusoidal current was excited in the coil with 300 mV at 1000 Hz using the waveform generator. A pick up coil was wound on top of the AC coil to measure the voltage induced. Since the voltage induced is very small, we used the lock-in amplifier to measure voltage. We moved the coil along the surface of the steel and measured the induced voltage at the measuring points indicated in Figure 2-7. According to Faraday's equation, the voltage induced V_{ind} is proportional to the change in flux φ (2-29).

$$V_{ind} \propto j\omega\varphi$$
 (2-29)

The results were tabulated and plotted in Figure 2-10. From the plot, when the coil is just above the defect, the voltage induced is at its maximum. The test was repeated with different input voltages and different frequencies and we obtained the same behavior as in Figure 2-10. In this way we established that we could detect the defects and therefore moved to the defect

characterization part of this study. The frequency of excitation also plays an important role in detecting the defect. As in equation (2-25), when frequency ω goes high, V_{ind} will be high and can be measured easily. But the eddy current will flow close to the surface due to the skin effect. Therefore we cannot detect defects which are deeply embedded inside the plate.

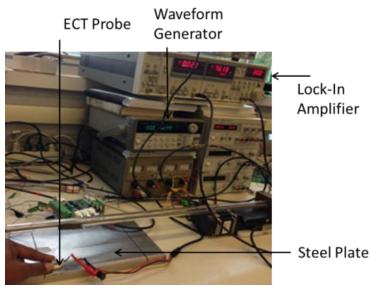


Figure 2-8 Lab setup

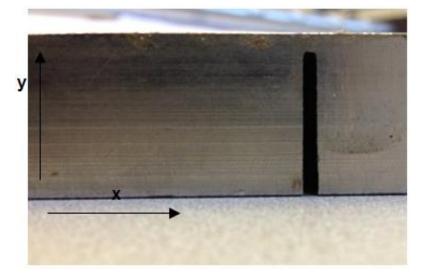


Figure 2-9 Close-up-look of the defect

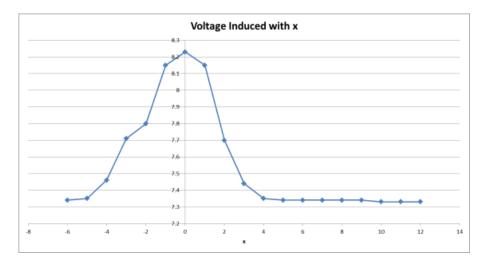


Figure 2-10 Voltage induced on pickup coil

2.4 Defect Characterization

2.4.1 Design Parameters

After detecting the defect, we investigated more on defect characterization. It is important to know the size and character of the defect after establishing that there is a defect inside. So in our work we investigate and establish a procedure for defect characterization so that a decision to withdraw a defective part may be thought-out, and be justifiable.

The methodology at present [7] examines the response of an army ground vehicle hull under test to an excitatory signal from an eddy current probe. By knowing the response when there is no defect, if the response is different because of the defect, the test object is presently flagged as defective and the plate is sent for repairs without assessing if the defect is serious enough for removal from service. In our work, we extend that methodology to defect characterization. An iterative approach is presented that repeatedly employs the finite element technique for modeling the forward problem to characterize the shape of defects in a steel plate.

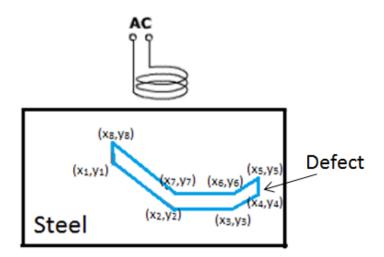


Figure 2-11 Defect Model

We can calculate the magnetic flux density for known defects from the forward problem. But in our inverse problem we need to know the characteristics of the defect for that field configuration. In design optimization, the problem geometry is defined in terms of design parameters contained in a vector $\overline{h} = \{x_1, y_1, ..., x_n, y_n\}$ (Figure 2-11). An object function F is defined as the sum of the squares of the difference between computed and measured (defect) performance values at measurement points i,

$$F(x_1, y_1, \dots, y_n) = \sum_{i} \left(\mathbf{B}_{Calculated}^{i} - \mathbf{B}_{Measured}^{i} \right)^2$$
(2-30)

F is a function of defect shape. By minimizing the object function F with respective to the parameters by any of the optimization methods, the characteristics of the defect can be estimated.

The computational process in the defect identification system is shown in Figure 2-12. It needs to solve the design parameters \overline{h} . First, the mesh needs to be generated for the given design parameter. Mesh generation is a very important part of finite element analysis based design optimization. We need to use a parameter based mesh generator, because in every iteration, the

mesh has to be generated automatically when design parameters change. Therefore we use a special script-based parametric mesh generator [60] using as backend the single problem mesh generator Triangle [61] to get the corresponding finite element solution for the magnetic vector potential \boldsymbol{A} . Triangle had to be modified for seamless, nonstop optimizations by a colleague in our research group [60], [62]. His work is used here. After computing \boldsymbol{A} by finite elements, the magnetic flux density ($\mathbf{B}_{Calculated}^{i}$) is computed and the object function F is evaluated. When the object function F is minimum, the parameters \overline{h} will be found. If F is not minimum, the design parameters will be changed using the optimization method being employed.

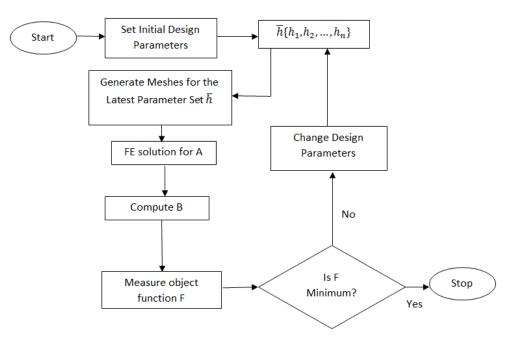


Figure 2-12 Design Cycle for the computation process

For the known defect which is known as true profile, $\mathbf{B}_{Measured}^{i}$ was calculated first. Then faking that we do not know the shape of the defect, we generated hundreds of different shapes of defects using our algorithm. $\mathbf{B}_{Calculated}^{i}$ was calculated each time. Comparing $\mathbf{B}_{Measured}^{i}$ and $\mathbf{B}_{Calculated}^{i}$, the object function *F* is evaluated each time and optimized. When *F* is minimum, the reconstructed shape of the defect is generated.

2.4.2 Numerical Model

The numerical model in Figure 2-13 [57] is used to validate the proposed algorithm. The coil (with $\mu_r = 1.0$, and current density $J_0 = \pm 5 \times 10^4 \text{ A/m}^2$) excites the magnetic field in the steel plate (with $\mu_r = 100.0$ and current density $J_0 = 0.0$). The conductor is surrounded by air (with $\mu_r = 1.0$ and current density $J_0 = 0.0$). The magnetic flux density in the \hat{y} direction B_y is measured at y = 4.5 cm, $8 \text{ cm} \le x \le 12$ cm using 10 points in the interval as shown in Figure 2-13 labeled as the measuring line.

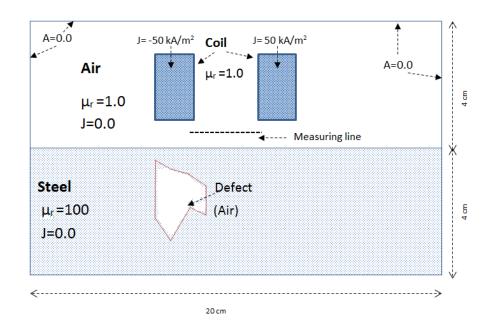


Figure 2-13 Numerical Model for defect characterization

On each node on the defect, the vertical displacements are selected as design parameters Figure 2-11. In our numerical model we have 8 geometric parameters contained in the vector \overline{h} (h₁, h₂, h₃, h₄, h₅, h₆, h₇, h₈). The measuring line located at y = 4.5 cm, is sampled into 10 equally spaced points and tolerance boundaries on \overline{h} value are set to 0.5 cm $\leq h \leq 3.5$ cm. Each design variable is represented by 10 bits. For testing we took a particular defect \bar{h} as $\bar{h} = \{2.0, 1.2, 2.4, 2.2, 2.7, 3.0, 3.2, 3.5\}$ cm and computed the field ($B^i_{Measured}$).

Now our algorithm has to reconstruct \overline{h} to match the "measurements" ($\mathbf{B}^{i}_{Measured}$). The design parameters are changed and the magnetic flux density is calculated ($\mathbf{B}^{i}_{Calculated}$) along the measuring line. The object function F is evaluated by comparing $\mathbf{B}^{i}_{Calculated}$ with $\mathbf{B}^{i}_{Measured}$.

2.4.3 Constraints Handling

In inverse problem design optimization, getting a practically manufacturable shape is important. An erratic undulating shape with sharp edges arose when Pironneau optimized a pole face to achieve a constant magnetic flux density [12]. Since our design optimization is in defect characterization, there is no need to impose constraints to get a smooth manufactural shape. But we have to get a single defect with a realistic shape. This problem was overcome by imposing constraints [63]. So as to maintain a realistic shape with a single defect, node 8 is on top of node 1, node 7 on top of node 2, node 6 on top of node 3 and node 5 on top of node 4 as in Figure 2-14, we had imposed the constraints as $h_8>h_1$, $h_7>h_2$, $h_6>h_3$ and $h_5>h_4$; Therefore we could get a single and realistic defect as shown in Figure 2-16.

2.5 Results and Discussion

Several simulations of the defect characterization problem were run and the results were tabulated. The best fitness score was achieved when the population size is 200 and for 200 iterations. Figure 2-14 shows the optimum shape of the defect after 200 iterations for a

population size of 200. To measure the error in the reconstruction of the defect, using e, the length from the centroid of the true profile to each point was calculated:

$$e = \frac{\sum_{i=1}^{n} \frac{r_{true}^{l} - r_{reconstructed}^{l}}{r_{true}^{l}}}{n}$$
(2-31)

where r_{true}^{i} is length from the centroid to the true profile, and $r_{reconstructed}^{i}$ is the length from the centroid to the reconstructed profile as shown in Figure 2-15 and n is the number of coordinates in the profile. The calculation process for our numerical model is shown in Table 2-1. This calculation gives error e = 12%, which means an efficiency of 88% in the

average reconstruction of the defect. For the reconstructed profile, the finite element solution of the magnetic vector potential is shown in Figure 2-16.

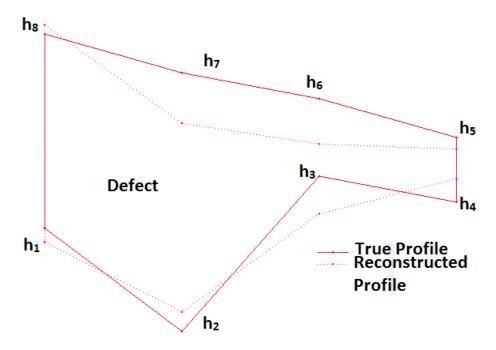


Figure 2-14 Optimum shape of the Reconstructed Defect

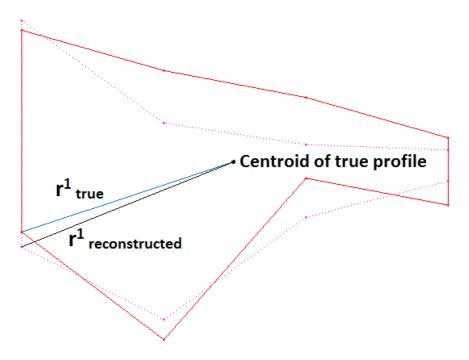


Figure 2-15 Calculating efficiency of reconstruction

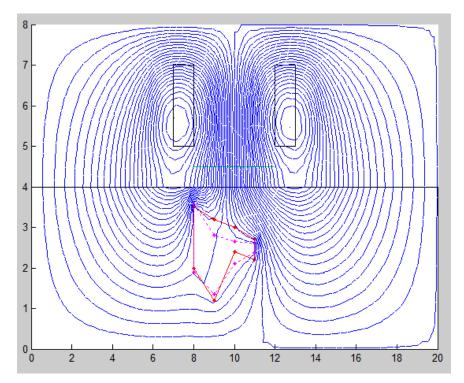


Figure 2-16 Solutions in Equipotential lines for the Numerical Model

true profile			Re	construc	$r^i_{true} - r^i_{reconstructed}$		
No	Х	у	r_{true}^i	Х	У	r ⁱ reconstructed	r_{true}^i
1	8.00	2.00	1.589222	8.00	1.89	1.628872	0.0249
2	9.00	1.20	1.416201	9.00	1.35	1.276959	0.0983
3	10.00	2.40	0.515388	10.00	2.11	0.649788	0.2608
4	11.00	2.20	1.534805	11.00	2.38	1.506992	0.0181
5	11.00	2.70	1.510174	11.00	2.61	1.502406	0.0051
6	10.00	3.00	0.689656	10.00	2.65	0.515388	0.2527
7	9.00	3.20	0.840015	9.00	2.81	0.575522	0.3149
8	8.00	3.50	1.789029	8.00	3.57	1.828121	0.0219

Table 2-1 Calculating the efficiency of reconstruction

It is important to know the efficiency of the algorithms we develop and the efficiency of our coding before we move into more complex and time consuming problems like the coupled problem which is our ultimate goal. To study the efficiency of our inverse algorithm, several tests are required and also different numerical models have to be checked besides the numerical test model we have tested here. First we started our test with the optimization method we use, the genetic algorithm. We have already discussed (in section 1.4.2) that in GA, solutions can be represented by a binary string or real numbers. There are advantages and disadvantages to whether we use binary or real numbers to represent the solution. Therefore we did the tests with both binary and real numbers for the same numerical model problem we discussed. Tests were carried out for the different population sizes and different number of iterations and measured the time taken to compute the problem and tabulated these in Table

2-2. In the same way for the different population sizes and different number of iterations, the best fitness score is measured and tabulated in Table 2-3. From Table 2-2 and Figure 2-17, we can see that solutions that are represented by real numbers give solutions faster than the solutions that are represented in binary numbers. Especially as the number of iterations and the number populations are increased the time gap between real and binary solution times is increased. The reason would be that, in the binary based genetic algorithm, every time the solution is evaluated, the encoding and decoding processes have to be done; whereas in the real number based genetic algorithm implementation, this process in unnecessary as the solution is already a real number. When comparing the best fitness score achieved by real and binary solutions. But if we consider 2 digit approximations, both real and binary solutions give almost the same fitness score. Therefore we conclude that the real number based genetic algorithm implementation in our problem. So we decided to work with real number based genetic algorithm for all future problems.

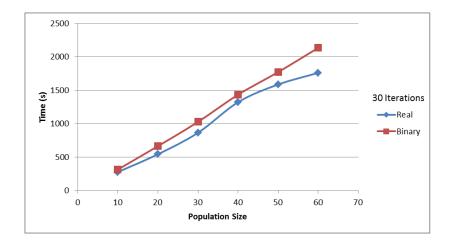
After testing the genetic algorithm with real and binary solutions, we also tested with different shapes of the defect. For the known defect which we term the true profile, faking that we do not know the shape to measure the convergence, many different shapes were generated using the optimization algorithm we use and matched using the objective function. When the objective function is minimum, the reconstructed profile is generated and matched with the true profile. We tested this process with two different defects, Defect-1 and Defect-2 to check the efficiency of the algorithm as shown in Figure 2-18. We get 87.5 % of average reconstruction for Defect-1, and 92.7 % of average reconstruction for Defect-2.

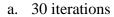
	30 iter	rations	40 iterations		50 iterations	
Population Size	Time T	'aken(s)	Time Taken(s)		Time Taken(s)	
	Real	Binary	Real	Binary	Real	Binary
10	274.33	311.97	346.58	408.29	413.16	503.43
20	540.68	661.79	695.28	891.06	866.47	1105.42
30	861.79	1024.65	1095.82	1258.17	1588.32	1749.10
40	1319.36	1431.34	1666.79	1950.36	2024.03	2390.25
50	1583.73	1769.60	1994.77	2302.99	2323.93	2891.94
60	1757.78	2131.94	2199.26	2534.36	2656.53	3303.85

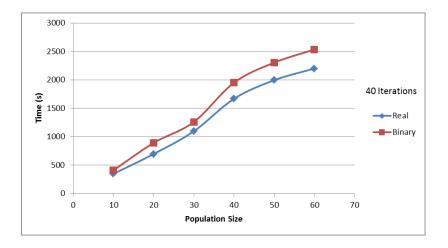
Table 2-2 Real and binary solutions time need to compute

Table 2-3 Real and binary best fitness score achieved

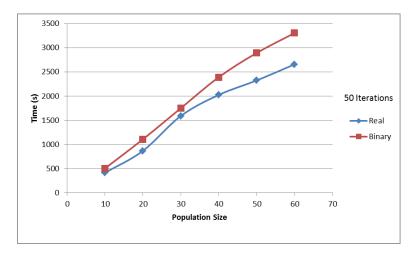
	30 iter	ations	40 iter	ations	50 iterations	
Population Size	Best Fitn	ess Score	Best Fitn	ess Score	Best Fitness Score	
	Real	Binary	Real	Binary	Real	Binary
10	0.0123	0.0019	0.0091	0.0020	0.0091	0.0015
20	0.0084	0.0012	0.0084	0.0008	0.0084	0.0008
30	0.0040	0.0009	0.0040	0.0009	0.0040	0.0009
40	0.0105	0.0017	0.0105	0.0017	0.0105	0.0017
50	0.0111	0.0017	0.0068	0.0017	0.0068	0.0017
60	0.0127	0.0017	0.0127	0.0016	0.0127	0.0000





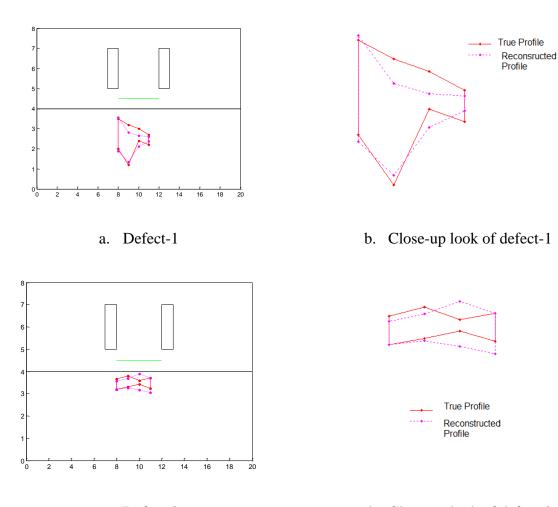


b. 40 iterations



c. 50 iterations

Figure 2-17 Time required for real and binary solutions



c. Defect-2 d. Close-up look of defect-2

Figure 2-18 True and Reconstructed shape of defects

2.6 Experimental Work in Defect Characterization

The frequency of excitation is a very important factor when it comes to experimental eddy current work which is where real testing occurs. When the frequency is high, like in the kHz range, the signal received is strong enough to measure by a pick-up coil. But, the depth of penetration was low for the high frequency signal. Therefore the defects which are not close to the surface of the steel would not be detected. On the other hand, low frequency signals have

good depth of penetration, but the signals are too weak to measure by a pick-up coil. Even when we use a lock-in amplifier to amplify them, the signals were found to be weak of the order of 10^{-6} V.

Therefore we used an EC-GMR (Eddy Current Giant Magnetoresistive Sensor) to measure the signal. EC-GMR sensors have been used to enhance the sensitivity of EC testing. This sensor measures the magnitude of the magnetic flux directly [64], [65]. The EC-GMR sensor offers high sensitivity over a wide range of frequencies from DC to MHz [66]. An experimental study of automatic crack detection under a steel fastener using a low frequency EC-GMR sensor was done by Yang *et al.* [67]. We use the same experimental set up to measure the magnitude of the magnetic flux, and then use that measurement for the inverse problem solution of defect characterization.

The EC-GMR detection system contains a planar multi-line coil and set of GMR sensors mounted on the line of symmetry as shown in Figure 2-19 [68]. The multi-line coil carrying current is employed as a sheet current source to induce the eddy current on the material we investigate. If there is a crack or defect in the material, the induced currents in uniform and linear patterns are distorted as shown in Figure 2-20 [68]. Figure 2-20-a shows the induced eddy current patterns when there is no discontinuity. Figure 2-20-b shows the patterns when there is a rivet and Figure 2-20-c shows when there is a rivet with a defect.

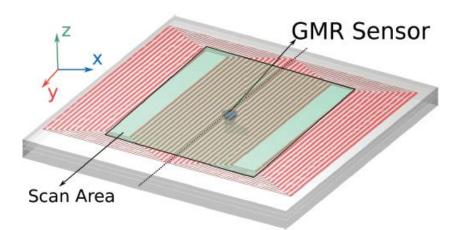


Figure 2-19 Planar coil and GMR sensor

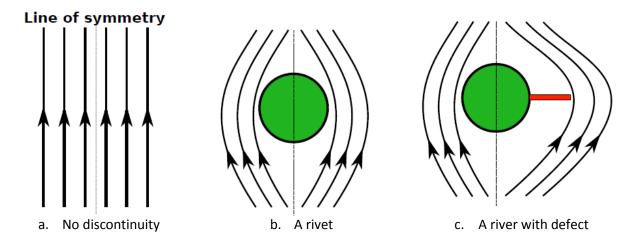


Figure 2-20 Induced eddy current in the plate

We used this experimental set-up for our work as shown in Figure 2-21. We started the experiment with an aluminum plate with a rivet to study and investigate the selection of frequency. Figure 2-22-a shows the aluminum plate with a rivet. This aluminum plate is covered by another 5 mm thick aluminum plate like a second layer, so it will look like a plate with an internal defect. Figure 2-22-b and c show the scan images for the frequencies 1000 Hz and 100 Hz respectively. The testing material (aluminum plate) was scanned in the \hat{x} direction from -30 mm to 30 mm distance considering the origin is approximately at the defect. There

are 32 GMR sensors fixed in the line of symmetry scanning the magnitude of the magnetic flux every mm distance. We found that at 1000 Hz, we get the scanned image with the least noise and magnitude of flux density with the range of 2 mT - 12 mT.

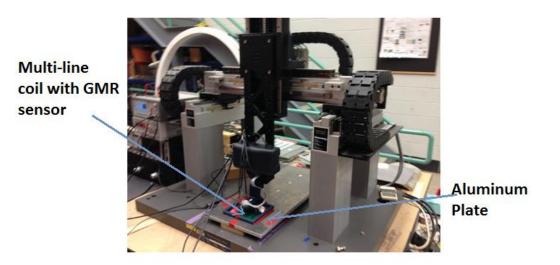


Figure 2-21 Experimental set-up

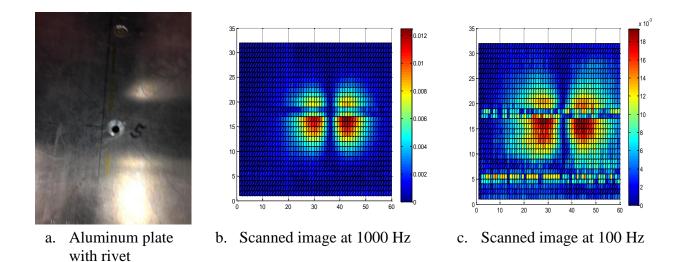
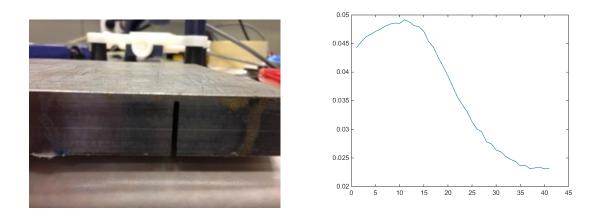


Figure 2-22 Scanned Images for the aluminum plate with rivet

Later we moved to a steel plate which has an internal defect as shown in Figure 2-23-a. In this experiment, we used only 3 sensors as our current computational work is limited to 2D. Here the steel plate was scanned in the \hat{x} direction from -10 mm to 30 mm distance considering that the origin is approximately at the defect. From the readings, the middle GMR sensor readings, which was named Sensor-2 readings, were filtered out and plotted in the \hat{x} direction as in Figure 2-23-b.



a. Steel plate with internal defect b. Reading of Sensor no.2

Figure 2-23 Readings for the steel plate with defect

Then we worked on our computational model that would match the experimental model. In the experimental work a multi-line coil is considered to be a current sheet. But in our 2D computational model, the current sheet will be a single line and this is impossible to compute using a 2D finite element method. Therefore the current sheet is assumed to have thickness and we designed our model as shown Figure 2-24-a. The solution for the magnetic vector potential is shown in Figure 2-24-b.

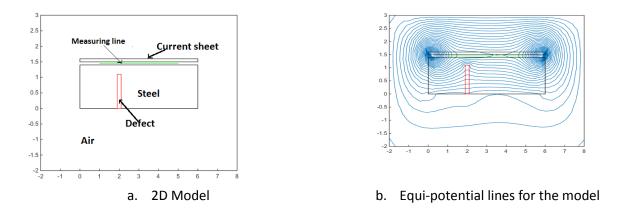


Figure 2-24 2D model to match the experimental setup

The solution (magnitude of magnetic flux density) of the experimental model and computational model did not match to progress further. There can be two reasons for this mismatching. One reason is that our approximating the current sheet to be a thick infinite layer is not a good assumption. The second possible reason is that the GMR sensor is moving with the coil in the experimental model, but it is not in the computational model. In a 3D computational model, the experimental setup including the current sheet can be modeled to get better results.

2.6.1 Future Work

Matching experimental and computational work in defect characterization work is in progress as we are developing the 3D mesh generator [62] and validating the solutions. Once we match both in the objective function, $\mathbf{B}_{Measured}^{i}$ will be taken from the experimental setup. Then using the computational setup, the defect characterization will be effected.

2.7 Conclusion

Our goal in this chapter was characterizing the shape of the defect. But, before move into defect characterization, a study on the defect detection has been done. Defects in many different orientations and the detectability of these defects were tested. According to our investigations when the depth of the defect increases, it is hard to detect. While that may be as to be expected, we also found that, when we compare horizontal and vertical defects, a horizontal defect has a higher chance of being detected. Our results further showed that when a defect is at an angle of 45^{0} , it has the highest chance of being detected.

This chapter also presents a finite element technique for solving inverse problems in magnetostatic NDE [69]. Defect shape reconstructing using the genetic algorithm optimization method is presented and validated using a numerical model. We also imposed constraints in the system to get a realistic single defect reconstruction that is smooth. The efficiency of the genetic algorithm was checked with real and binary solutions. We conclude that for our problem, real numbers based genetic algorithms take less computation time. Therefore we decided to work with only real numbers based genetic algorithms in all future problems in this thesis. We also did many tests for the different shape of the defects and made sure of the accuracy of our inverse algorithm. Experimental readings ($\mathbf{B}_{Measured}^{i}$) can be given as input to find the defect characteristic. Shaping algorithms on a single physics problem give confidence to work with the two-physics problem. So we moved to the electro-thermal coupled problem [43] which is discussed in the chapter 3.

3 FLIP-TEACHING TO TEACH FINITE ELEMENT OPTIMIZATION

3.1 Introduction - Flip Teaching

To test our algorithms and seek novel use for them, they were employed in a semester's course at Michigan State University that teaches the finite element method and optimization with true device design [70]. Flip-teaching was introduced to tackle the challenges of limited class time. The traditional order of a) delivering theory and next b) developing the ancillary programming tools (mesh generators, solvers and equipotential plotter) is flipped to do real design. Giving students the ancillary tools created the efficiencies to cover finite elements and optimization, usually taking two courses, in the same single course [70]. To do real design, pre-constructed meshes are given to students described by design parameters and ancillary programs (mesh generator, solvers and equipotential plotters) are also given to them to do the homework and final project. In the last three weeks of the semester, each student mastered one optimization method and presented his or her results and taught that specific method to others.

The problem now is that to teach this process of design we need to teach two courses, one on finite element analysis and the other on optimization because in the world of design the one is not too useful without the other. Taking multiple courses needs specialist commitment from the student such as at graduate level. Therefore we need a single course to address the curricular crunch. And within that course we need to teach finite elements and optimization and pack in programming exercises. We do that by flip teaching. Flip teaching was resorted to as a solution. Flip teaching [71], in alternative phraseology is reverse-teaching [72]. By these terms what is broadly meant is the instructor recording lectures on video for the students to watch at home, thereby freeing valuable class time for face-to-face (F2F) discussions between

the instructor and class. It flips the order of homework and F2F teaching. While Bergman and Sams [73] and just 2 journal articles in the Web of Science [71], [72] define flip teaching, the popular literature is clearer in defining flip teaching [16], [53], [74]. In the New York Times' words [74]: "In a flipped classroom, teachers make videos of their lectures introducing new concepts and assign them as homework. That frees up precious class time to work directly with students on projects, exercises or problem sets — the stuff that students would traditionally do at home. Now instead, of struggling alone, students can do the most important work with a teacher or peers who can help."

In the normal two-semester order of developing code, students would write their finite element code which includes the matrix solver in the first course. Thereafter in the optimization part they would first develop ancillary code like a mesh generator as a function call, plotting routines for object functions and then codes pertaining to optimization routines using the finite element code and the ancillary codes. The ancillary codes takes a lot of F2F time with the instructor for students to develop themselves, and that time does not inculcate much by way of optimization theory. In this course the term flip teaching is extended to the instructor preparing such relevant ancillary codes for the students as do not directly apply to the task of optimization. Students use and familiarize themselves with the ancillary code by themselves and then become free to switch away from the mesh generation concepts not directly relevant to the pedagogy of the course, to focus during F2F teaching on those concepts directly relevant to the material of the course like the optimization methods and the synthesis process.

3.2 Teaching Engineering Optimization: Real Design

To illustrate using a bench mark design problem that is used to test commercial optimization programs [75], Figure 3-1, and Figure 3-2 describe the problem at the initial design. Figure 3-2 gives the minimal boundary value problem based on symmetry that would yield a unique solution [20]. The target is a uniform 1 T vertical flux density along the dotted line shown in Figure 3-2. The design question is this: what should be the shape of the pole face shown in Figure 3-2, to overcome the effects of fringing and make the flux density constant along the measuring line?

The initial flux flow of Figure 3-3 for the starting configuration shows that fringing diminishes the flux at the corner from its value at the center-line; and to raise it, the reluctance at the left edge needs to be diminished – which is done by reducing the length of the reluctance of air from the corner to the steel above.

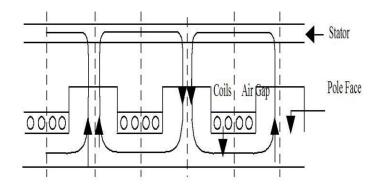


Figure 3-1 Pole-faced to be shaped

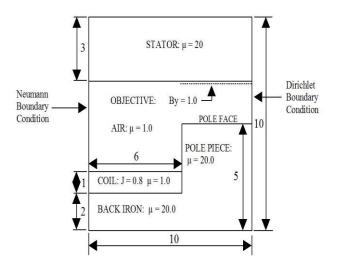


Figure 3-2 Minimal problem using symmetry

For optimization through automatic computation of the shape, we would designate measuring points (9 in this case, shown as dots in Figure 3-4) where the vertical 1 T flux density required is computed [70]. Thus we define the object function

$$F(\{p\}) = \frac{1}{2} \sum_{i=1}^{9} (B_i - 1)^2$$
(3-1)

where the descriptions {p} would involve the heights of the pole-face at various points going from left to right, the current in the coil and, as necessary, the permeability of the steel.

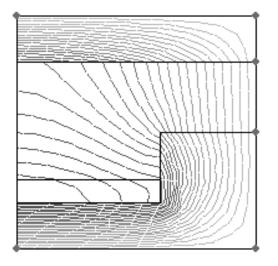


Figure 3-3 Fringing in initial geometry

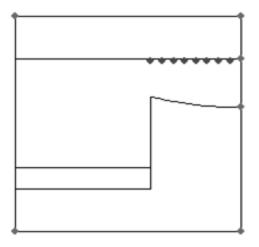


Figure 3-4 The shaped pole face

The teaching of optimization is kept to a minimum – genetic algorithm, simulated annealing, steepest descent, search methods and Powell's [9], [25] with only a week devoted to the lectures by the instructor but a total of three weeks thereafter for a synthesis project on engineering optimization – making up a total of 4 weeks in the semester for optimization.

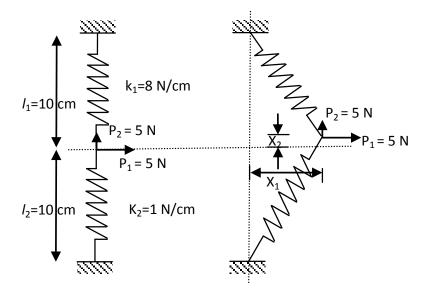


Figure 3-5 Stretched Spring

The stretched spring problem of Figure 3-5 (with values shown) is an ideal problem whose energy-based object function is given by the work stored in the spring minus the work expended by the two forces,

$$\mathcal{L} = \frac{1}{2} K_1 \left\{ \sqrt{X_1^2 + (l_1 - X_2)^2} - l_1 \right\}^2 + \frac{1}{2} K_2 \left\{ \sqrt{X_1^2 + (l_2 - X_2)^2} - l_2 \right\}^2 - P_1 X_1 - P_2 l_2 \quad (3-2)$$

This function is from Vanderplaats who has shown its properties to bring out the strengths of the various methods [9]. The equal-F lines plotted by us are shown in Figure 3-6. This object function allows us to see how the different methods work with different starting points. Venkataraman [25] gives several programs with his book so that with the MATLAB toolbox on optimization [76], students have an invaluable aid to problem solving

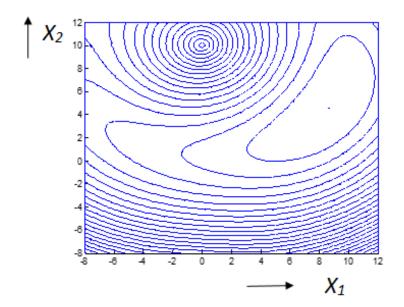


Figure 3-6 Object function for spring (on the $X_1 - X_2$ plane)

3.3 A Useful Tin Problem

The trivial tin problem from a high school calculus book [77] was also used as a tool for students to play with. The exercise is simply to determine the radius r and height h of a

cylindrical tin of volume V such as to minimize the area A of the tin sheet to be used. Thus the object function becomes, the sum of the areas for the two lids and the curved surface

$$A = 2\pi r^2 + 2\pi rh \tag{3-3}$$

subject to the equality constraint that volume

$$\pi r^2 h = V \tag{3-4}$$

This problem may be discussed as a three dimensional optimization problem subject to the equality constraint added to the object function with a Lagrange multiplier λ [9, 25]

$$F = 2\pi r^{2} + 2\pi rh + \lambda (\pi r^{2}h - V)^{2}$$
(3-5)

Alternatively it may be cast as a one-dimensional problem in r by substituting $V/(\pi r^2)$ for h in A using the constraint to obtain the one-dimensional object function

$$A = 2\pi r^2 + \frac{2V}{r}$$
(3-6)

and solved by setting:

$$\frac{dA}{dr} = 4\pi r - \frac{2V}{r^2} = 0 \to r = \left(\frac{V}{2\pi}\right)^{\frac{1}{3}}$$
(3-7)

For volume $V = 10 \text{ cm}^3$, we obtain r = 1.1675 cm and h = 2.34 cm.

Knowing the solution, the former three-dimensional approach helps students to deal with constraints through penalty functions with understanding. The theory is [9], [25] that as the Lagrange multiplier λ gets to infinity the total augmented object function settles down to the minimum of the unconstrained object function, but because of stability issues λ has to be increased progressively. As a very short assignment students may bypass the stability problem, understand how λ works and verify the approach by random search. Using random numbers to generate r and h within a window with a large $\lambda = 100,000$, and searching for lower values of *F*, we get convergence to the correct answers r = 1.1683 cm h = 2.3322 cm or something

similar fairly quickly. But with smaller or negative λ , r and h converge to the highest values of the window.

An understanding also emerges from this tin problem of how the shape of the object function determines convergence. When plotted without the constraint, Figure 3-7.a shows long vertical strips around the same h value where solutions are possible with very slight variations in the object function. Figure 3-7.b and Figure 3-7.c show the effect of the constraint confining the solution. The large blank area can numerically accommodate many solutions where the object function varies but slightly with small gradient, and emphasizes the numerical stability of methods which may be judged knowing the exact solution when students play with the various tools [25, 72]. In this case, the random method works very well.

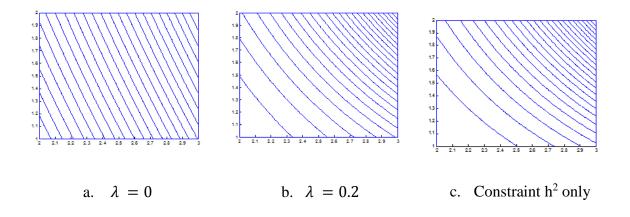


Figure 3-7 Object function

3.4 Design of complex devices: The challenge

The second difficult class assignment is automatic mesh generation. In finite element optimization, we start with a design {p} and iteratively change it towards the optimum. After

{p} changes, there corresponds a new geometry for which a new mesh has to be generated and the problem solved to evaluate the object function again. For practicable, seamless, nonstop optimization, given {p}, the mesh needs to be constructed without stopping the optimization iterations. While many commercial finite element mesh generators exist, they are incapable of being used as a function call – that is, given the parameter set {p}, the function must return for the next solution the new mesh data [78]. After years of focused research on optimization for electromagnetic device synthesis as well as NDE, there is to date no general purpose mesh generator capable of this reported in the literature although there are commercial codes with this capability [60].

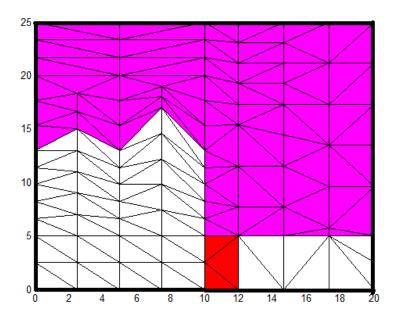
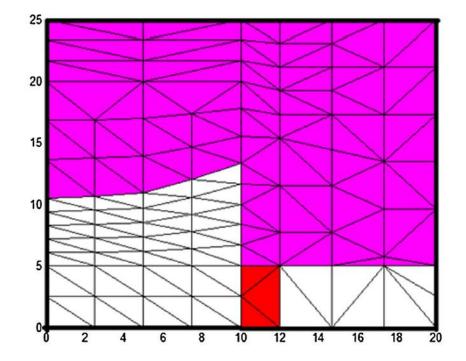


Figure 3-8 A simplified 5-parameter mesh generator

Thus a problem-specific (as opposed to general purpose) mesh generator was prepared for the design problem of Figure 3-4. Figure 3-8 shows a 5-parameter mesh generator where a

different but equally valid line of symmetry is used. The steel is shown in white and the coil and air in different shades of dark. The mesh is crude but suffices for the problem at hand. The five parameters are the heights of the white pole-face at x = 0, 2.5, 5.0, 7.5, and 10 as measured up from y = 5.0 (these scales being relative). Mesh description {p} then consists of each height h_i as a component of {p}. Each height is divided into 5 equal pieces. As a height is changed from one iteration to the next, the length above that pole-face going up to y = 20 cm where the measuring points are) is appropriately adjusted and divided into three equal pieces as seen in Figure 3-8. The mesh generator, handled as a MATLAB function, takes these 5 heights and the permeability of the steel and current in the coil as input and returns the mesh data.



3.5 The Assignments

Figure 3-9 The final optimum shape

After every week's 3 hours of lectures of the 45-hour course, an assignment was given with a mix of analysis and programming problems. It is really the latter that helped students understand the numerical method. Given the difficulties and the immense workload, the programming assignment could be done by two students together. The eleventh week was devoted to lectures on optimization. During the last three weeks of class each student was asked to take over one particular method of optimization, become familiar with it, develop MATLAB code for it, do the the pole-face shaping with it and present it to the whole class through a 20 minute lecture. The experience helped even the instructor hear of methods (such as the taxi-cab method on which Powell's method is based [20]) that a student came up with and used. The optimum, yielding By = 1.06T and 1.04T in the two triangles where the design goal was measured is shown in Figure 3-9 obtained by simulated annealing [25]. Gradient methods, random search, taxicab, Powell's, simulated annealing and the genetic algorithm were presented and thoroughly discussed.

3.6 Conclusions

Finite elements and mathematical optimization have been taught together in a semester to make up a true course on engineering optimization involving shape synthesis. Flip teaching was effectively used to give pre-prepared programs dealing with the ancillary, mundane tasks associated with finite element optimization. Students learned by themselves how to use these programs. As a result the more detailed and complex programming assignments were taken up immediately for face-to-face teaching. The end of the course saw each student armed with a suite of programs for engineering optimization. Through this course our algorithms were tested and this gives confidence to work on coupled problem optimization.

4 ELECTRO-THERMAL PROBLEM

4.1 Introduction

In electromagnetic field problems, optimization methods have been successfully developed and applied efficiently. But, most of these methods only deal with the direct problem. However, real problems are more complex and often coupled, with two or more physical systems interacting.

Heavy currents always lead to heating through the joule effect. This heat is often undesirable as in electrical machinery like alternators where the heat not only diminishes the efficiency of the generator but also can damage the insulation [79]. In other cases this heat can be beneficial as in a) the metallurgical industry where the heat is used to melt the ore and mix it through electromechanical forces [3], [80], [81] or b) hyperthermia treatment in oncology where cancerous tissue is burnt off albeit with lower currents, achieving the heating by stronger eddy currents through a higher 1 kHz frequency [4], [82], [83]. Whatever the situation, it is often desirable to accomplish a particular thermal distribution – whether to save an alternator from overheating or to accomplish the necessary melting of the ore or to burn cancerous tissue without hurting healthy tissue.

As shown in Figure 4-1, the design process involves setting the parameters $\{p\}$ that describe the electro-heat system (consisting of geometric dimensions, currents in magnitude and phase, and material values), solving the eddy current problem for the magnetic vector potential **A** [84]:

$$-\frac{1}{\mu}\nabla^2 A = J = \sigma_e E = \sigma_e [-j\omega A - \nabla\varphi]$$
(4-1)

where μ is the magnetic permeability, σ_e is the electrical conductivity, E the electrical field strength and $-\nabla \varphi$ is the externally imposed electric field driving the current [79] (see section 2.3.2 for the origin of this equation). The frequency ω is relatively low (50 Hz to 1 kHz) so that the current density J has only the conduction term $\sigma_e E$ and no displacement term $j\omega \epsilon E$. After finding A [18] -the finite element computation for finding A for the eddy current problem is described in section 2.3.2.3- we compute the joule heating density q from

$$\boldsymbol{E} = -j\omega\boldsymbol{A} - \boldsymbol{\nabla}\boldsymbol{\varphi} \tag{4-2}$$

and

$$q = \frac{\sigma_e}{2} \boldsymbol{E}^2 \tag{4-3}$$

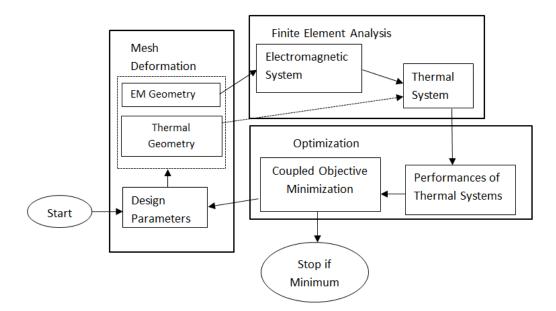


Figure 4-1 Finite Element Analysis and Optimization of Coupled Magneto-Thermal Problems.

Once we have the heat source distribution q, the second problem of finding the resulting temperature is addressed by solving [85]

$$-\sigma_t \nabla^2 T = q \tag{4-4}$$

where σ_t is the thermal conductivity [18]. Here we assume that σ_t is isotropic (meaning that heat flow in all directions is at the equivalent rate). We also assume q is only from Joule heating. Therefore radiation and convection effects at the boundaries are neglected. We avoid more exact details because our purpose here is to establish the feasibility of the numerical methods we use.

For solving (4-4), the electro-thermal finite element computational work is explained in section 4.3. Since the problem began with defining the parameters of system description {p}, we note that $T = T(\{p\})$ since the computed T will depend on the values of {p}. When a particular temperature distribution $T_0(x, y)$ is desired, the problem is one of finding that {p} which will yield

$$T({p}) = T_o$$
 (4-5).

This is recognized as inverting (4-5) to find $\{p\}$ and therefore it is referred to as the inverse problem which is now well understood in the literature, particularly when we are dealing with one branch of physics like electromagnetics [10], [11], [13]–[16], [86].

In multi-branch, coupled physics problems like the electro-heat problem under discussion, {p} is often defined in the electromagnetic system and F in the thermal system [18]. Further, when dealing with numerical methods such as the finite element method, T is given at the nodes (although technically T(x,y) may be derived from the finite element trial functions using the nodal values) and T_o , rather than being a function of x and y, is more conveniently defined at measuring points i, numbering say m. The design desideratum then may be cast as an object function F to be minimized with respect to the parameters {p}

$$F = F(\{p\}) = \frac{1}{2} \sum_{i=1}^{m} \left[T^{i} - T_{0}^{i} \right]^{2}$$
(4-6)

where T^i is T as computed at the same m points i where T_0 is defined. The optimization process, by whatever method [9], keeps adjusting {p} until F is minimized, making T^i come as close to T_0^i as it can – as close as it can rather than exactly to T_0^i because our design goal as expressed in (4-6) may not always be realistic and achievable. At that point {p} would represent our best design. The computational process in inverse problem solution as it relates to Figure 4-1 is shown in Figure 4-2. It requires solving for the vector of design parameters{p}. We first, generate the mesh from the latest parameter set {p} and get the corresponding finite element solution for A. Then from A, we compute the rate of heating Q. Thereafter we find the finite element solution for the temperature T. From T we evaluate the object function F. The method of optimization used will dictate how the parameter-set of device description {p} is to be changed depending on the computed F.

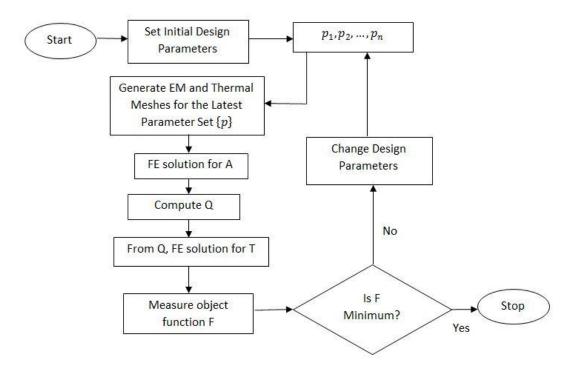


Figure 4-2 The Design Cycle for the Coupled Magneto-Thermal Problem.

4.2 Context and Novelty

To set this work in context, we note that this two-part optimization problem has been worked on before and we need to state what is novel here. In our work the design vector {p} includes geometric dimensions. This is what is new [43]. That is, we do shape optimization by an accurate finite element eddy current problem followed by another accurate finite element temperature problem and this two part finite element problem is cyclically iterated on by the inherently parallel genetic algorithm. The inherent parallelism of the genetic algorithm was recognized as far back as 1994 by Henderson [87] and done on parallel computers. In 2009 Wong and Wong [88] and Robilliard, Marion-Poty, and Fonlupt [89] took that parallelization work on single field problems to the Graphics Processing Unit, working still with single field systems. We apply their work to the coupled field problem where solutions can take very long and reducing solution times is critical in creating practicably quick engineering design systems without sacrificing accuracy.

The coupled electro-heat optimization problem has indeed been tackled before as we found in a Web of Science Core Collection literature search, but with key differences. Pham and Hoole [18] have used two finite element solutions and done shape optimization. But as pointed out below, that process is difficult to build general purpose software with because of difficulties with the gradient optimization; that deficiency is being rectified here. Siauve *et al.* [90] solve eddy current and thermal problems sequentially but, what they optimize is not shape but the antenna currents to obtain a specific absorption rate (SAR) for hyperthermia treatment. It is not shape optimization that they do. Thus the same mesh suffices for every optimization iteration and they do not need to tackle the problem of changing meshes in every iteration and along every line search within an iteration as we do [9], [25]. Likewise in the electro-heat optimization work of Nabaei *et al.* [91] too, the current distribution in furnace transformers is being optimized using an impedance model on MATLAB and there is no shape optimization. Similarly Battistetti *et al.* [92] avoid a two-part finite element solution by using an analytical solution for the electromagnetic part and a finite difference solution for the thermal part. Di Barba *et al.* [93] similarly use circuit models where they find the axial position of coils, avoiding accurate field computation. What we present therefore is very complex, new material.

4.3 Finite Element Computation for the Electro-Thermal Problem

Finite element computation for the electro-thermal problem is a little more complex than the example thermal problem derived in section 1.5.4. The difference is in section 1.5.4, where q is given. But here we have to find q in terms of J. This thesis demonstrates this procedure by the hand calculation below.

By combining $J = \sigma_e E$ (4-1) and $q = \frac{\sigma_e}{2} E^2$ (4-3),

$$q = \frac{J^2}{2\sigma} \tag{4-7}$$

For the thermal problem governed by $-\sigma_t \nabla^2 T = q$, (4-4), the functional will be

$$\mathcal{L}(T) = \iint \left(\left[\frac{1}{2} \sigma_t (\nabla T)^2 - qT \right] \right) dR$$
$$= \sum_{Elements} \left\{ \frac{1}{2} \sigma_t (b^2 + c^2) A^r - \iint qT \, dR \right\}$$
(4-8)

Let us consider $\iint qT dR$, substituting (4-7) using the first order interpolation for **J**

$$\iint qT \, dR = \iint \underline{T}^t \, \frac{\underline{J}^2}{2\sigma} \, dR$$

$$= \iint \frac{T}{2\sigma} \frac{1}{2\sigma} \begin{bmatrix} T_{1} & T_{2} & T_{3} \end{bmatrix} \begin{bmatrix} \zeta_{1} \\ \zeta_{2} \\ \zeta_{3} \end{bmatrix} \begin{bmatrix} J_{1} & J_{2} & J_{3} \end{bmatrix} \begin{bmatrix} \zeta_{1} \\ \zeta_{2} \\ \zeta_{3} \end{bmatrix} \begin{bmatrix} \zeta_{1} & \zeta_{2} & \zeta_{3} \end{bmatrix} \begin{bmatrix} J_{1} \\ \zeta_{2} \\ \zeta_{3} \end{bmatrix} \begin{bmatrix} \zeta_{1} & \zeta_{2} & \zeta_{3} \end{bmatrix} \begin{bmatrix} J_{1} \\ \zeta_{2} \\ \zeta_{3} \end{bmatrix} \begin{bmatrix} \zeta_{1} & \zeta_{2} & \zeta_{3} \end{bmatrix} \begin{bmatrix} \zeta_{1} \\ \zeta_{2} \\ \zeta_{3} \end{bmatrix} \begin{bmatrix} \zeta_{1} & \zeta_{2} & \zeta_{3} \end{bmatrix} \begin{bmatrix} \zeta_{1} \\ \zeta_{2} \\ \zeta_{3} \\ \zeta_{3}$$

By applying $\iint \left(\zeta_1^i \zeta_1^j \zeta_1^k \right) dR = \frac{i!j!k!2!}{(i+j+k+2)} A^r$,

$$= \frac{1}{2\sigma} \underline{T}^{t} \frac{A^{r}}{60} \begin{bmatrix} 6J_{1}^{2} + 2J_{2}^{2} + 2J_{3}^{2} + 4J_{1}J_{2} + 4J_{1}J_{3} + 2J_{2}J_{3} \\ 2J_{1}^{2} + 6J_{2}^{2} + 2J_{3}^{2} + 4J_{2}J_{1} + 4J_{2}J_{3} + 2J_{1}J_{3} \\ 2J_{1}^{2} + 2J_{2}^{2} + 6J_{3}^{2} + 4J_{3}J_{1} + 4J_{3}J_{2} + 2J_{1}J_{2} \end{bmatrix}$$
(4-9)

Now substituting (4-9) in (4-8),

$$\sum_{Elements} \left\{ \frac{1}{2} \underline{T}^{t} \sigma_{t} A^{r} \begin{bmatrix} b_{1}^{2} + c_{1}^{2} & b_{1}b_{2} + c_{1}c_{2} & b_{1}b_{3} + c_{1}c_{3} \\ b_{2}b_{1} + c_{2}c_{1} & b_{2}^{2} + c_{2}^{2} & b_{2}b_{3} + c_{2}c_{3} \\ b_{3}b_{1} + c_{3}c_{1} & b_{3}b_{2} + c_{3}c_{2} & b_{3}^{2} + c_{3}^{2} \end{bmatrix}^{T} \\ - \frac{1}{2\sigma} \underline{T}^{t} \frac{A^{r}}{60} \begin{bmatrix} 6J_{1}^{2} + 2J_{2}^{2} + 2J_{3}^{2} + 4J_{1}J_{2} + 4J_{1}J_{3} + 2J_{2}J_{3} \\ 2J_{1}^{2} + 6J_{2}^{2} + 2J_{3}^{2} + 4J_{2}J_{1} + 4J_{2}J_{3} + 2J_{1}J_{3} \\ 2J_{1}^{2} + 2J_{2}^{2} + 6J_{3}^{2} + 4J_{3}J_{1} + 4J_{3}J_{2} + 2J_{1}J_{2} \end{bmatrix} \right\} \\ = \sum_{Elements} \left\{ \frac{1}{2} \underline{T}^{t} [P] \underline{T} - \underline{T}^{t} \underline{Q} \right\}$$
(4-10)

where, in corresponding notation, the local matrices

$$[P] = \sigma_t A^r \begin{bmatrix} b_1^2 + c_1^2 & b_1 b_2 + c_1 c_2 & b_1 b_3 + c_1 c_3 \\ b_2 b_1 + c_2 c_1 & b_2^2 + c_2^2 & b_2 b_3 + c_2 c_3 \\ b_3 b_1 + c_3 c_1 & b_3 b_2 + c_3 c_2 & b_3^2 + c_3^2 \end{bmatrix}$$
(4-11)

and

$$\underline{Q} = \frac{1}{2\sigma} \frac{A^{r}}{60} \begin{bmatrix} 6J_{1}^{2} + 2J_{2}^{2} + 2J_{3}^{2} + 4J_{1}J_{2} + 4J_{1}J_{3} + 2J_{2}J_{3} \\ 2J_{1}^{2} + 6J_{2}^{2} + 2J_{3}^{2} + 4J_{2}J_{1} + 4J_{2}J_{3} + 2J_{1}J_{3} \\ 2J_{1}^{2} + 2J_{2}^{2} + 6J_{3}^{2} + 4J_{3}J_{1} + 4J_{3}J_{2} + 2J_{1}J_{2} \end{bmatrix}$$
(4-12)

Finite element analysis [20] provides the solution to (4-4) by applying certain boundary conditions. The local matrices of elements will be added to the corresponding positon of the global matrix to be solved for *T*. This leads to the finite element matrix equation

$$[P^g]\underline{T} = Q^g \tag{4-13}$$

Equation (4-13) is solved in the same way as explained in section 1.5.4, calculating local matrices and adding them to the global matrix to be solved for T.

4.4 GPU Computation for Genetic Algorithms for Electro-heat Problems

Naturally the computational work in two-physics electro-heat problems in finite element GA optimization is far beyond that for a single finite element solution. We have a 2-part coupled problem, and we have to solve the magnetic field for **A** and then the thermal problem where we solve for the temperature. For realistic problems this has to be done several times – indeed tens of thousands of times – in searching the solution space for the minimum object function. Wait times can be excessive, making optimization practicably infeasible.

To cut down solution time, parallel processing needs to be resorted to [94]–[97]. From the 1990s multiprocessor computers have been tried out. Typically with n processors (or computing elements), solution time could be cut down by almost a factor of (n-1) – that is (n-1) rather than n because one processor is reserved for controlling the other (n-1), and *almost* a factor of (n-1) rather than *exactly* (n-1) because of the additional operations of waiting while one processor accesses the data being changed by another [94]–[98]. Although much of this

parallelization work was moved to cheaper engineering workstations by the late 1990s [98], the restrictions on processors remained.

Recently the graphics processing unit, endowed with much computing prowess to handle graphics operations, has been exploited to launch a computational kernel as several parallel threads [99]. This is ideally suited for object function evaluation as the kernel so that multiple threads can perform the finite element analyses and evaluation of F for each {p} in parallel. NVIDIA Corp's GPUs invented in 1999 and the Compute Unified Device Architecture (CUDA) [100] are today available on practically every PC as a standard and are increasingly exploited with more and more applications being ported thereto. Significantly the number of parallel threads is not limited as on a shared memory supercomputer. Wong and Wong [88], Robilliard, Marion-Poty and Fonlupt [89] and Fukuda and Nakatani [101] have shown that the genetic algorithm with its inherently parallel structure may be efficiently implemented on the GPU to optimize magnetic systems. We extend that here to coupled problems.

Cecka *et al.* [102] have also created and analyzed multiple approaches in assembling and solving sparse linear systems on unstructured meshes. The GPU coprocessor using single-precision arithmetic achieves speedups of 30 or so in comparison with a well optimized double-precision single core implementation [15]. We see that this is far better than the factor of just below 7 possible on a very expensive 8 processor supercomputer. So this is the way we will go, using the GPU to process the GA algorithm in parallel.

We fork the fitness value computations as in Figure 4-3. This fitness value calculation is the time consuming part as it involves both mesh generation, and finite element calculation. This forms a kernel that will be launched in parallel threads. Therefore we divide the GPU threads and blocks of the same number as the population size and compute fitness values simultaneously (Figure 4-3). Since we have 65,536 blocks (2^{16}) and 512 threads in a general GPU, we can go up to a population size of $65,536 \times 512 = 33,554,432$ using the one GPU card on a PC. Since we do not need such a large population size for effective optimization, this is not restrictive. All the finite element calculation parts were programmed on the GPU in the CUDA C language. So when, given each {p}, we launch the fitness computation kernel as several threads (one for each {p}). The fitness score for all chromosomes is thereupon calculated at the same time in parallel. But for each chromosome, the finite element calculation will be done sequentially (Figure 4-3) and not parallelized along the lines of Cecka, Lew, and Darve [102] because that would be attempting to fork within a fork (for the ability to fork an already forked thread is discussed in a companion paper [103]).

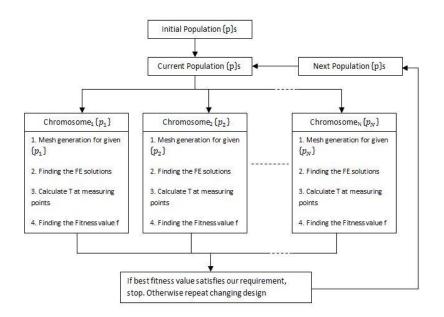
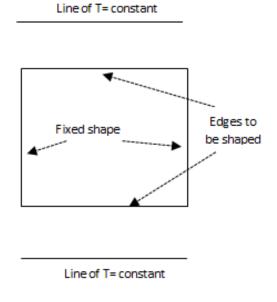


Figure 4-3 The Parallelized Process of the GPU

4.5 Test Problem: Shaping an Electro-heated Conductor

The test problem chosen [43] is a simple one on which the method can be demonstrated and its feasibility established. Shown in Figure 4-4 a) is a rectangular conductor which is heated by a current through it. The equi-temperature profiles would be circle-like around the conductor. But we want a constant temperature along two lines parallel to the pre-shaping rectangular conductor's two opposite edges which are to be shaped (Figure 4-4). The question is this: how should that edge be reshaped to accomplish a constant temperature along the lines on either side of the conductor? This is the same problem that has been solved by the gradient method which, as noted [18], needs an alternative computational process because of the difficulties in constructing general purpose software yielding gradient information for the coupled problem and the mesh induced fictitious minima which cause problems [21] and need special mesh generators to address [104].



a) Electrically Heated Conductor: The Actual Geometry

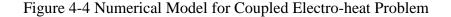
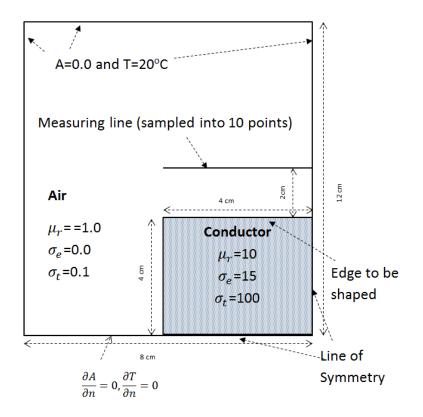


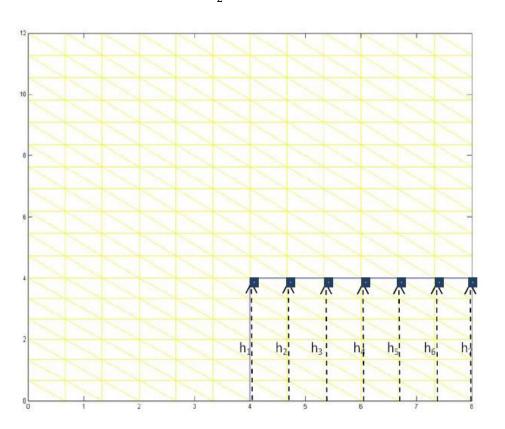
Figure 4-4 (cont'd)



b) Symmetric Quarter: Boundary Value Problem (nominal values shown for magnetic permeability μ , electrical conductivity σ and thermal conductivity k)

Figure 4-4 b) presents the associated boundary value problem formed from a quarter of the minimal system for analysis consisting of a square conductor (with $\mu_r = 10$, $\sigma_e = 15$ S/m, and $\sigma_t = 0.1$ W/m/°C). A current density $J_0 = 10 + j0$ A/m^2 has a relatively low frequency of $\omega = 10/s$, which has been kept deliberately low to avoid a very fine mesh, our purpose here being to investigate and establish methodology rather than to solve large problems in their full complexity. The top edge of the conductor has to be shaped to get a constant temperature profile of 60 ° C, at y = 6 cm, and at 10 equally spaced measuring points in the interval $4 \text{ cm} \le x \le 8 \text{ cm}$ as shown in Figure 4-4 along the measuring line. We define the object

function from (4-6), and for testing we set the desired temperature as 60°C. Therefore $T_0^i = 60$ and the *F* will be



$$F = \frac{1}{2} \sum_{i=1}^{10} \left[T^i - 60 \right]^2 \tag{4-14}$$

Figure 4-5 The Parameterized Geometry

An erratic undulating shape with sharp edges arose when Pironneau optimized a pole face to achieve a constant magnetic flux density [12] and this was overcome by the others through constraints [63]. Haslinger and Neittaanmaki [105]suggest Bezier curves to keep the shapes smooth with just a few variables to be optimized, while Preis, Magele and Biro [26] have suggested fourth order polynomials which when we tried gave us smooth but undulating shapes. As such we follow a radiant of the method by Subramaniam *et al.* [63] and extend their principle, so as to maintain a non-undulating shape by imposing the constraints

$$h_1 > h_2 > h_3 > h_4 > h_5 > h_6 > h_7 \tag{4-15}$$

to ensure a smooth shape. Penalties were imposed by adding a penalty term to the object function F in (4-14) whenever it fails to satisfy the conditions for constraints [9]. Tolerance boundaries of each h_i were set to

$$1.5 \ cm \le h \le 5.5 \ cm$$
 (4-16)

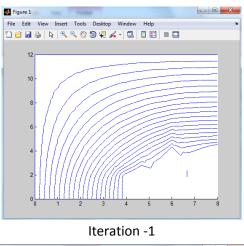
The parameterized problem-specific mesh is shown in Figure 4-5 where the device descriptive parameter set {p} consists of the 7 heights h_i . The numerical model was uniformly meshed with 234 nodes and 408 elements. This was deliberately kept crude to control debugging; after succeeding with the method and establishing that it works as a method and as programmed on the GPU.

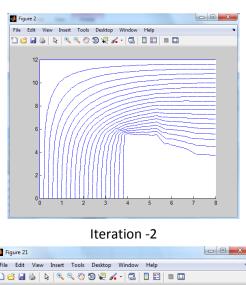
In the process of optimization, as these heights h_i change, the mesh connections remain the same but the element sizes and shapes change. For the specific example shown in Figure 4-5, the heights h_i are divided into six pieces so the locations of the seven equally spaced seven nodes along the height h_i would change smoothly [104]. Accordingly the length from above the edge of the conductor being shaped to the vertical boundary will be adjusted and divided into 11 equal lengths as shown in Figure 4-5.

4.6 **Results and Discussion Thereof**

Figure 4-6 shows temperature profile at different iterations. By the images we can see that when the iteration number increases, we get the desired constant temperature along the measuring line and move towards the optimum shape. Figure 4-7 shows the optimum shape of

the conductor and temperature profile after 40 iterations for a population size of 512. The corner of the conductor rising toward the line where the constant 60 ^{o}C temperature is desired is as to be expected. For as seen in Figure 4-8 [43] (which shows the design goals being accomplished), the constant 60 ^{o}C temperature is perfectly matched. The lower graph giving the initial temperature shows that the temperature drops above the corner of the conductor. Therefore to address this, the corner has to rise close to the line of measurement to heat the line above the corner and Figure 4-7 shows that this is what the optimization process has accomplished.





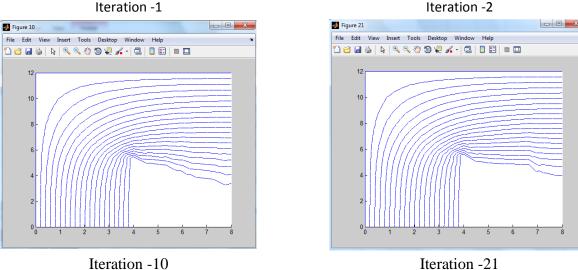


Figure 4-6 Equi-Temperature Lines at different iterlations

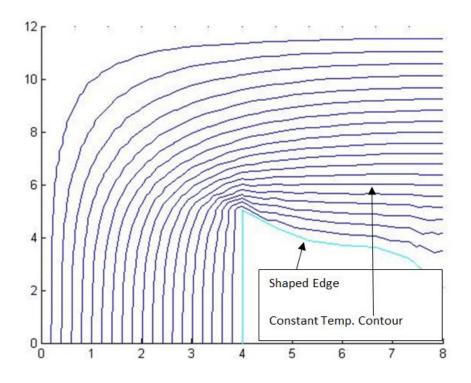


Figure 4-7 Optimal Shape by the Genetic Algorithm

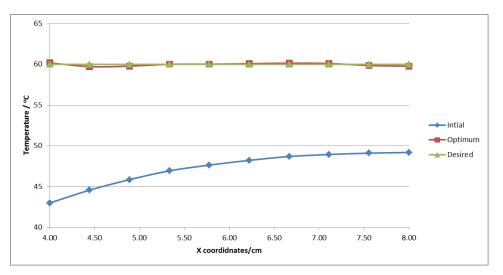


Figure 4-8 Temperature Distribution: Desired, Initial and Optimized

Significant speedup was accomplished with GPU computation as seen in Figure 4-9. No gains in speedup beyond a factor of 28 were seen after a population size of 500. The meandering

nature of the gain after that may be attributed to the happenstance inherent to a statistical method like the genetic algorithm.

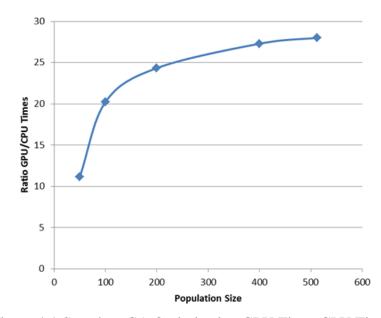


Figure 4-9 Speedup: GA Optimization GPU Time: CPU Time

4.7 Conclusions

Shape optimization for the electro-heat problem using GA has been presented and validated using a simple geometry and neglecting radiation and convection. Real-coded object functions give faster more accurate solutions. This is the first two-stage finite element solution of a magnetic field problem and then a thermal problem repeated in optimization iterations for finding both shape and currents and is amenable to implementation as a general purpose software tool. This avoids the need to use circuit models or analytical solutions to obviate the difficulties of optimization in a two-stage finite element solution process. The procedure provides for shape optimization whereas the extant limited literature on two-stage electro-heat problem optimization shows only the current magnitude and phase being optimized without change of shape.

This problem was computed using parallel GPU computing techniques whereby speedups of 28 were demonstrated. This is comparable to the speedup of 30 recently demonstrated in the literature for a single finite element solution. Yet we have demonstrated a speedup of 148 for a single finite element matrix equation solution [62]. A companion paper shows how such an immense speedup was achieved [99].

5 HYPERTHERMIA TREATMENT PLANNING

5.1 Introduction

In chapter 4, the electro-thermal problem was explained including how it would be solved and the shape of the current source optimized to get the desired temperature at the locations of interest. In this chapter, this electro-thermal problem is extended on its application side, particularly to the treatment of tumors using hyperthermia.

Historically, the treatment of cancerous tumors with hyperthermia can be traced back to 3000 B.C. when soldering sticks were inserted in tumors. Coley's toxin [106] was introduced in the 19th century. This produced whole body hyperthermia which resulted in tumor regression. In recent years, using hyperthermia or related forms of therapy has increased tremendously. Currently hyperthermia is an experimental treatment and usually applied to late stage patients. There are many heating methods such as whole body heating using wax, hot air, hot water suits, infrared or partial body heating using radiofrequency (RF), microwave, ultrasound and hot blood or fluid perfusion. Clinical and experimental results show a promising future for hyperthermia. However, the main problem is the generation and control of heat in tumors. An extremely important issue is to control the temperature distribution in the treated area to avoid excessive temperatures in the normal tissues surrounding the tumor [107]. There are many studies on the treatment of cancer using hyperthermia which demonstrate that this aspect is still important and more research is needed in this matter [108]–[111].

Successful applications of electro-thermal stimulation with the aid of a low frequency field to treat the tumors have been reported [107]. There is evidence that hyperthermia can

significantly reduce/treat the tumor, but it is not clear how it works and how it should be applied [112]. Some clinical studies have demonstrated the efficiency of thermal therapy in suspending tumor growth [113]. Therefore numerical modeling to distribute the optimal temperature in hyperthermia can be helpful in identifying the better treatments.

Hyperthermia treatment involves heating the tumor tissue to a temperature greater than 42°C without exceeding the normal physiologic temperature which is lower than $44^{\circ}C - 45^{\circ}C$. Therefore the working temperature margin is very small. If the temperature at the tumor is lower than 42°C, there is no therapeutic effect. On the other hand, if the temperature is greater than $44^{\circ}C - 45^{\circ}C$, both healthy and tumorous cells are damaged [114]. The blood vessels in tumor cells have a greater diameter than in healthy cells, and therefore occupy a greater volume. The temperature at a tumor is greater than at the surrounding tissue during hyperthermia treatment. This is caused by the fact that the healthy cells have usually greater conductivity than the cancerous cells. In other words, we may say that tumor tissues are more sensitive to heat. The temperature rise in tumors and tissues is determined by the energy deposited and the physiological responses of the patient. When electromagnetic methods are used, the energy deposition is a complex function of frequency, intensity, the polarization of the applied fields, geometry and size of the applicator, and the geometry and size of the tumor. The final temperature elevations are not only dependent on the energy deposition but also on blood perfusion which carries away heat and affects thermal conditions in tissues. Generally, it is not easy to obtain an accurate temperature distribution over the entire treatment region during clinical hyperthermia treatment. Furthermore, to ensure that the temperature is within the desired range, the clinician usually monitors the temperature every few seconds. Thus it is

desirable to develop a mathematical model that can determine design parameters to optimize the temperature distribution in the target region before treatment. In this way the treatment efficiency can be assessed more precisely [115].

5.2 Problem Statement

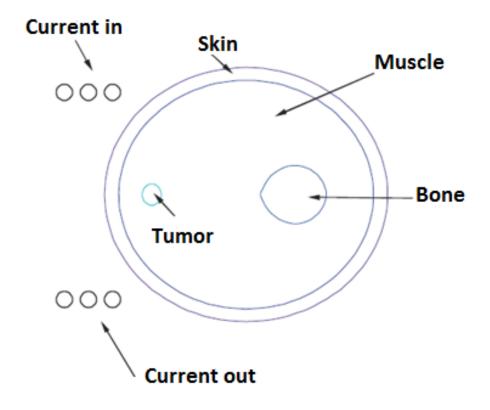


Figure 5-1 Cross section of the human thigh with a tumor

Let us consider a cross section of the human thigh, shown in Figure 5-1 [109]. It is assumed that the human thigh and bone inside have an ellipsoidal shape and that the tumor has a circular form. Kurgan and Gas [109] solved this problem with a numerical model. In their model, the tumor inside the human thigh was heated by external RF hyperthermia with the frequency of 100 MHz. A similar model was used by Tsuda and Kuroda [115]. Here they

optimize the electrode configuration, particularly driving voltages for radio frequency of 10 MHz using the gradient method.

In our work, this model is extended to a low frequency field such as at 1 kHz to 5 kHz. Here we optimize the shape of the current carrying conductor to get the desired temperature distribution at the tumor. The numerical model of the problem to be solved is shown in Figure 5-2. [116]. The geometrical dimensions of the numerical model are described in Table 5-1. The dimensions are taken from Gabriel *et al.* [117].

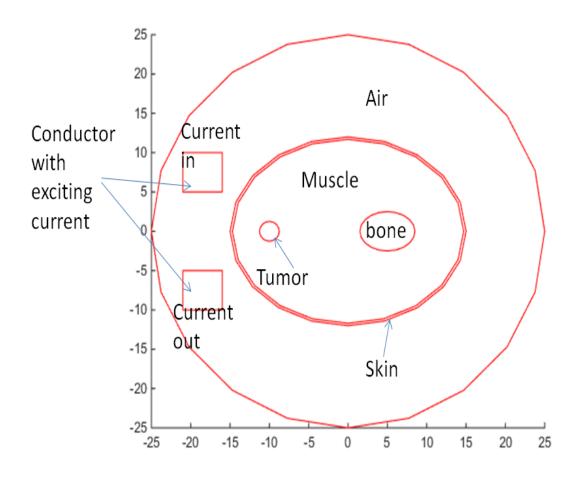


Figure 5-2 Numerical model of the problem

Table 5-1 Geo	ometrical dime	ensions of	the model
---------------	----------------	------------	-----------

Human body- length of semi axes	A = 15 cm, B = 12 cm
Tumor radius	$R = 1.25 \ cm$
Skin thickness	$d = 1.5 \ cm$
Bone - length of semi axes	$a = 3.5 \ cm, b = 2.5 \ cm$
Current carrying conductor	Square shaped $l = 5 \ cm$

Near the human thigh a coil with the exciting current is placed. The exciting current in the coil generates a sinusoidal electromagnetic field which induces eddy currents in the human body. These currents act as sources of Joule heat and after some transient time a temperature distribution in the body is established. This final, steady state temperature distribution is what we shall seek to solve for.

5.3 Main Equations

5.3.1 The Finite Element Equation

As discussed in chapter 2, for a given current J, magnetic vector potential A will be found by solving the eddy current problem

$$-\nabla \times \frac{1}{\mu} \nabla \times A = J_0 - j\omega \sigma_e A \tag{5-1}$$

The frequency ω is relatively low (1 kHz to 5 kHz) so that the current density J has only a conduction term $\sigma_e E$ and no displacement term $j\omega \epsilon E$. Solving for *A* using the finite element

method is explained in section 2.3.2.2. After finding A we compute the joule heating density q from

$$\boldsymbol{E} = -j\omega\boldsymbol{A} - \boldsymbol{\nabla}\boldsymbol{\varphi} \tag{5-2}$$

and

$$q = \frac{\sigma_e}{2} E^2 \tag{5-3}$$

Once we have the heat source distribution q, the second problem is finding the resulting temperature. In chapter 3, for the electro-thermal problem, we solved the heat equation [85].

$$-\sigma_t \nabla^2 T = q \tag{5-4}$$

But when it comes to electric currents in a human body, no clear consensus exists for an appropriate mathematical model for the evaluation of the temperature field distribution in biological tissues. An extremely important work is that by Pennes [118] in modeling the heat transfer in biological tissues. The equation he derived is named the bio-heat equation and this can be derived from the classical Fourier law of heat conduction. Pennes's model is based on the assumption of the energy exchange between the blood vessels and the surrounding tumor tissues. It may provide better information about the temperature distributions in the whole body. The Pennes model states that the total heat exchange between the tissue surrounding a vessel and the blood flowing in it is proportional to the volumetric heat flow and the temperature difference between the blood and the tissue. The expression of the bio-heat equation in a body with uniform material properties in transient analysis is given by [119], [120].

$$\rho C \frac{\partial T}{\partial t} - \sigma_t \nabla^2 T = \rho_b C_b \omega_b (T_b - T) + q + Q_{met}$$
(5-5)

where *T* is the body temperature, σ_t is the thermal conductivity, ρ is the density, *C* is the specific heat, T_b is the blood vessel temperature, ρ_b is the blood density, C_b is the blood specific heat, ω_b is the blood perfusion rate, *q* is the heat generation by the external heat source which is responsible for the changing of temperature inside the body according to the equations (5-3), (5-2) and (5-1), and Q_{met} is the metabolic heat generation rate. Metabolic heat production is caused by the chemical factors in the body. The specific dynamic action of food is often mentioned, especially protein, that results in a rise of metabolism; and a high environmental temperature that, by raising temperatures of the tissues, increases the velocity of reactions and thus increases heat production.

In our problem, we only consider steady state analysis. Therefore the transient part of the bioheat equation will be neglected. For the rest of the bio-heat equation, the functional will be

$$\mathcal{L}(T) = \iint \left(\left[\frac{1}{2} \sigma_t (\nabla T)^2 - qT - Q_{met} T - \rho_b C_b \omega_b T \left(T_b - \frac{T}{2} \right) \right] \right) dR$$
$$= \sum_{Elements} \left\{ \frac{1}{2} \sigma_t (b^2 + c^2) A^r - \iint qT \, dR - \iint Q_{met} T \, dR - \iint \rho_b C_b \omega_b T \left(T_b - \frac{T}{2} \right) dR \right\} (5-6)$$

In (5-6), the first two parts we have already calculated in the finite element computation for the electro-thermal problem in section 4.3. Therefore in this section, heat generation due to the metabolic effects and heat removal because of the blood circulation will be solved.

Let us consider heat generation due to the metabolic effects,

$$-\iint Q_{met}T dR = -\iint Q_{met} \begin{bmatrix} T_1 & T_2 & T_3 \end{bmatrix} \begin{bmatrix} \zeta_1 \\ \zeta_2 \\ \zeta_3 \end{bmatrix} dR$$
$$= -\underbrace{T^t}Q_{met} \frac{A^r}{3} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$$
(5-7)

Let us now consider heat removal because of blood circulation,

$$-\iint \rho_b C_b \omega_b T \left(T_b - \frac{T}{2}\right) dR = -\iint \rho_b C_b \omega_b T T_b dR + \iint \frac{1}{2} \rho_b C_b \omega_b T^2 dR$$
$$-\iint \rho_b C_b \omega_b T \left(T_b - \frac{T}{2}\right) dR$$
$$= -\iint \rho_b C_b \omega_b T_b [T_1 \quad T_2 \quad T_3] \begin{bmatrix} \zeta_1 \\ \zeta_2 \\ \zeta_3 \end{bmatrix} dR$$
$$+ \iint \frac{1}{2} \rho_b C_b \omega_b [T_1 \quad T_2 \quad T_3] \begin{bmatrix} \zeta_1 \\ \zeta_2 \\ \zeta_3 \end{bmatrix} [\zeta_1 \quad \zeta_2 \quad \zeta_3] \begin{bmatrix} T_1 \\ T_2 \\ T_3 \end{bmatrix} dR$$

By applying $\iint \left(\zeta_1^i \zeta_1^j \zeta_1^k \right) dR = \frac{i!j!k!2!}{(i+j+k+2)} A^r$,

$$-\iint \rho_b C_b \omega_b T\left(T_b - \frac{T}{2}\right) dR = -\underbrace{T^t}_{2} \rho_b C_b \omega_b T_b \frac{A^r}{3} \begin{bmatrix} 1\\1\\1 \end{bmatrix} + \frac{1}{2} \underbrace{T^t}_{2} \rho_b C_b \omega_b \frac{A^r}{12} \begin{bmatrix} 2 & 1 & 1\\1 & 2 & 1\\1 & 1 & 2 \end{bmatrix} \underbrace{T}_{1}$$
(5-8)

By substituting (5-7) and (5-8) in (5-6),

$$\mathcal{L}(T) = \sum_{Elements} \left\{ \frac{1}{2} \sigma_t (b^2 + c^2) A^r - \iint qT \, dR - \underline{T}^t Q_{met} \frac{A^r}{3} \begin{bmatrix} 1\\1\\1 \end{bmatrix} - \underline{T}^t \rho_b C_b \omega_b \frac{A^r}{3} \begin{bmatrix} 1\\1\\1 \end{bmatrix} + \frac{1}{2} \underline{T}^t \rho_b C_b \omega_b \frac{A^r}{12} \begin{bmatrix} 2 & 1 & 1\\1 & 2 & 1\\1 & 1 & 2 \end{bmatrix} \underline{T} \right\}$$

The full equation using (3-10) then is,

$$\mathcal{L}(T) = \sum_{Elements} \left\{ \frac{1}{2} \underline{T}^{t} \sigma_{t} A^{r} \begin{bmatrix} b_{1}^{2} + c_{1}^{2} & b_{1}b_{2} + c_{1}c_{2} & b_{1}b_{3} + c_{1}c_{3} \\ b_{2}b_{1} + c_{2}c_{1} & b_{2}^{2} + c_{2}^{2} & b_{2}b_{3} + c_{2}c_{3} \\ b_{3}b_{1} + c_{3}c_{1} & b_{3}b_{2} + c_{3}c_{2} & b_{3}^{2} + c_{3}^{2} \end{bmatrix} \underline{T} \\ - \frac{1}{2\sigma} \underline{T}^{t} \frac{A^{r}}{60} \begin{bmatrix} 6J_{1}^{2} + 2J_{2}^{2} + 2J_{2}^{2} + 2J_{3}^{2} + 4J_{1}J_{2} + 4J_{1}J_{3} + 2J_{2}J_{3} \\ 2J_{1}^{2} + 6J_{2}^{2} + 2J_{3}^{2} + 4J_{2}J_{1} + 4J_{2}J_{3} + 2J_{1}J_{3} \\ 2J_{1}^{2} + 2J_{2}^{2} + 6J_{3}^{2} + 4J_{3}J_{1} + 4J_{3}J_{2} + 2J_{1}J_{2} \end{bmatrix} - \underline{T}^{t}Q_{met} \frac{A^{r}}{3} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} \\ - \underline{T}^{t}\rho_{b}C_{b}\omega_{b} T_{b} \frac{A^{r}}{3} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + \frac{1}{2}\underline{T}^{t}\rho_{b}C_{b}\omega_{b} \frac{A^{r}}{12} \begin{bmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{bmatrix} \underline{T} \right\}$$

By arranging the same coefficients at one place,

$$\mathcal{L}(T) = \sum_{Elements} \left\{ \frac{1}{2} \underline{T}^{t} \left(\sigma_{t} A^{r} \begin{bmatrix} b_{1}^{2} + c_{1}^{2} & b_{1}b_{2} + c_{1}c_{2} & b_{1}b_{3} + c_{1}c_{3} \\ b_{2}b_{1} + c_{2}c_{1} & b_{2}^{2} + c_{2}^{2} & b_{2}b_{3} + c_{2}c_{3} \\ b_{3}b_{1} + c_{3}c_{1} & b_{3}b_{2} + c_{3}c_{2} & b_{3}^{2} + c_{3}^{2} \end{bmatrix} \right. \\ \left. + \rho_{b}C_{b}\omega_{b}\frac{A^{r}}{12} \begin{bmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{bmatrix} \right) \underline{T} \\ \left. - \underline{T}^{t} \left(\frac{1}{\sigma} \frac{A^{r}}{120} \begin{bmatrix} 6J_{1}^{2} + 2J_{2}^{2} + 2J_{3}^{2} + 4J_{1}J_{2} + 4J_{1}J_{3} + 2J_{2}J_{3} \\ 2J_{1}^{2} + 6J_{2}^{2} + 2J_{3}^{2} + 4J_{2}J_{1} + 4J_{2}J_{3} + 2J_{1}J_{3} \\ 2J_{1}^{2} + 2J_{2}^{2} + 6J_{3}^{2} + 4J_{3}J_{1} + 4J_{3}J_{2} + 2J_{1}J_{2} \end{bmatrix} - Q_{met}\frac{A^{r}}{3} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} \right) \right\} \\ \left. - \rho_{b}C_{b}\omega_{b}T_{b}\frac{A^{r}}{3} \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} \right) \right\} \\ = \sum_{Elements} \left\{ \frac{1}{2}\underline{T}^{t}[P]\underline{T} - \underline{T}^{t}\underline{Q} \right\}$$
(5-9)

where, in corresponding notation, the local matrices

$$[P] = \sigma_t A^r \begin{bmatrix} b_1^2 + c_1^2 & b_1 b_2 + c_1 c_2 & b_1 b_3 + c_1 c_3 \\ b_2 b_1 + c_2 c_1 & b_2^2 + c_2^2 & b_2 b_3 + c_2 c_3 \\ b_3 b_1 + c_3 c_1 & b_3 b_2 + c_3 c_2 & b_3^2 + c_3^2 \end{bmatrix} + \rho_b C_b \omega_b \frac{A^r}{12} \begin{bmatrix} 2 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 2 \end{bmatrix}$$
(5-10)

and

$$\underline{Q} = \frac{1}{\sigma} \frac{A^{r}}{120} \begin{bmatrix} 6J_{1}^{2} + 2J_{2}^{2} + 2J_{3}^{2} + 4J_{1}J_{2} + 4J_{1}J_{3} + 2J_{2}J_{3} \\ 2J_{1}^{2} + 6J_{2}^{2} + 2J_{3}^{2} + 4J_{2}J_{1} + 4J_{2}J_{3} + 2J_{1}J_{3} \\ 2J_{1}^{2} + 2J_{2}^{2} + 6J_{3}^{2} + 4J_{3}J_{1} + 4J_{3}J_{2} + 2J_{1}J_{2} \end{bmatrix} + Q_{met} \frac{A^{r}}{3} \begin{bmatrix} 1\\ 1\\ 1\\ 1 \end{bmatrix} + \rho_{b}C_{b}\omega_{b}T_{b}\frac{A^{r}}{3} \begin{bmatrix} 1\\ 1\\ 1\\ 1 \end{bmatrix}$$

$$(5-11)$$

Finite element analysis [20] provides the solution to (5-6) by applying certain boundary conditions such as the Dirichlet and Neumann conditions [20]. The local matrices of elements will be added to the corresponding positon of the global matrix to be solved for \underline{T} . This leads to the finite element matrix equation

$$[P^g]\underline{T} = Q^g \tag{5-12}$$

Equation (5-12) is solved in the same way as explained in section 1.5.4, calculating local matrices and adding them to the global matrix equation to be solved for T.

5.3.2 A note on electrical and thermal conductivity changes

5.3.2.1 Electrical conductivity

In general when temperature increases, electrical conductivity reduces. The electrical conductivity (σ_e) of most materials changes with temperature. If the temperature *T* does not vary too much, we typically use the approximation [121].

$$\sigma_e(T) = \frac{\sigma_{e0}}{(1 + \alpha (T - T_0))}$$
(5-13)

where α is the temperature coefficient of resistivity, T_0 is the fixed reference temperature (20°C), and σ_{e0} is the electrical conductivity at T_0 . Isaac Chang [122] has done a study with temperature-dependent electrical conductivity $\sigma_e(T)$ and constant electrical conductivity σ_{e0}

for the electro-thermal coupled problem using finite element analysis. His results show that in temperatures below 45°C, the change in electrical conductivity is less than 10% [122]. In hyperthermia treatment, the temperature does not exceed 43-45°C [123]. Therefore in our computation, we approximate electrical conductivity as a constant.

5.3.2.2 Thermal conductivity

The effect of temperature on thermal conductivity is different for metals and non-metals. In metals, the conductivity is primarily due to free electrons. The thermal conductivity in metals is proportional to a multiple of the absolute temperature and electrical conductivity [124].

$$\sigma_{\rm t}({\rm T}) \propto |{\rm T}| \,\sigma_{\rm e}({\rm T})$$
 (5-14)

In metals $\sigma_e(T)$ decreases when the temperature increases. Thus the product of (5-14), $|T|\sigma_t(T)$, stays approximately constant. $\sigma_t(T)$ in nonmetals is mainly due to lattice vibrations (phonons). Except for high quality crystals at low temperatures, the phonon mean free path is not reduced significantly at higher temperatures. Thus, the thermal conductivity of nonmetals is approximately constant at low temperatures. At low temperatures well below the Debye temperature, the thermal conductivity decreases, as does the heat capacity. Therefore the thermal conductivity in both metals and non-metals is approximately constant at low temperatures is approximately constant at low temperatures. So in our hyperthermia problem too we consider thermal conductivity as a constant.

5.4 The Algorithm for the Inverse Method

In this problem we define the shape of the conductor as a design parameter {p}. In our 2D model, we have two conductors, both carrying current in opposite directions to each other. For

practical implication purposes, we have the same design parameters for both conductors as shown in Figure 5-3. The design desideratum then may be cast as an object function F to be minimized with respect to the parameters {p}

$$F = F(\{p\}) = \frac{1}{2} \sum_{i=1}^{6} \left[T^{i} - T_{0}^{i} \right]^{2}$$
(5-15)

The optimization process, using the genetic algorithm, keeps adjusting {p} until F is minimized, making T^i come as close to T_0^i as it can – as close as it can rather than exactly to T_0^i because our design goal as expressed in (5-15) may not always be realistic and achievable. At that point {p} would represent our best design. In out model, since the tumor needs to be treated, the desired temperature T_0^i is set to be $T_0^i = 42.5^{\circ}$ C.

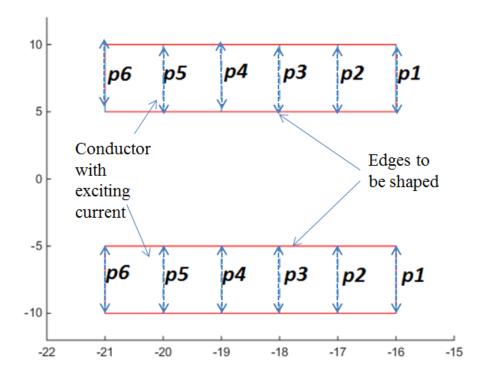


Figure 5-3 Design parameters

The computational process of this hyperthermia treatment problem is similar to the problem of electro-thermal problem which has been described in Figure 4-4. But, instead of the heat equation, we have to solve the bio-heat equation.

5.5 Simulation Results

The main purpose of this work is to shape the heating coil, so as to get the treatment temperatures at tumors without damaging the healthy cells. In analyzing with our model, the human body, tumor and conductor are considered as homogeneous media with these typical material properties. For simplicity we assumed a constant value for the blood perfusion rate in various biological tissues. Electrical and thermal properties are taken from [117] and presented in Figure 5-2. The physical parameters of the blood are given in Table 5-3

Material	μ_r	$\sigma_e(S/m)$	$\sigma_t(W/(mK))$	$J_0(A/m^2)$
Air	1	3×10^{-8}	0.0257	0
Tumor	1	0.07	0.56	0
Muscle	1	0.15	0.22	0
skin	1	0.1	0.22	0
Bone	1	0.7	0.013	0
Coil-1	1	6×10^{7}	401	30
Coil-2	1	6×10^{7}	401	-30

Table 5-2 Physical properties of the numerical model

Tissue	$Q_{met}(W/m^3)$	$\rho_b(kg/m^3)$	$C_b(J/kg/k)$	$T_b(K)$	$\omega_b(1/s)$
Muscle/skin	300	1020	3640	310.15	0.0004
Tumor	480	1020	3640	310.15	0.005
bone	120	1020	3640	310.15	0.00001

Table 5-3 Physical parameters of blood

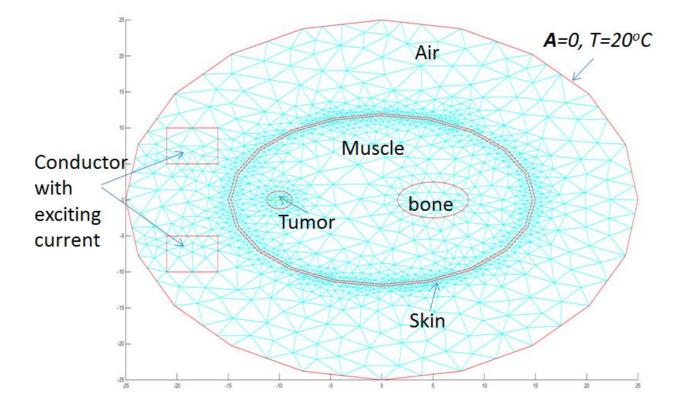


Figure 5-4 Mesh for the numerical model

The exciting current density in one conductor which is carrying current is set to $30 A/m^2$ and the other conductor is set to $-30 A/m^2$. The exciting frequency is kept relatively low in the 60 Hz – 5 kHz range to avoid a very fine mesh. The parameterized mesh for the initial shape of the numerical model is shown in Figure 5-4. The numerical model is meshed with 1625 nodes

and 3195 elements. The radiation and convection effects at the boundaries are neglected, taking the boundary temperature to be the room temperature at 20 ${}^{0}C$ and the magnetic vector potential A = 0 at boundary.

The simulation result for the numerical model is shown in Figure 5-5. This shows the equitemperature lines for the human thigh with tumor. The temperature is measured on muscle and inside the tumor along the x axis where the measuring line is marked (in green). The measuring line is divided into 10 points where readings were taken and tabulated as in Table 5-4. For hyperthermia treatment, the temperature at a tumor should be greater than 42oC and should not be greater than 44oC. Therefore the desired temperature is set to be 42.5oC and to achieve that the edges of the conductors are shaped as shown in Figure 5-5.

In the optimization process using the genetic algorithm, multiple solutions were created and checked as to whether it is minimizing the objective function (5-15). The tolerance boundaries for each p_i were set to $1.5 \ cm \le p_i \le 8.5 \ cm$ for the conductor at the top and $-1.5 \ cm \le p_i \le -8.5 \ cm$ for the conductor at the bottom. As such we follow, like follow, Subramaniam *et al.* [63] and extend their principle, so as to maintain a non-undulating shape by imposing the constraints

$$p_1 \le p_2 \le p_3 \le p_4 \le p_5 \le p_6 \tag{5-16}$$

to ensure a smooth shape. The penalties were imposed by adding a penalty term to the object function F in (5-15) whenever it fails to satisfy the conditions for constraints. The optimization process using GA was experimented with different population sizes and numbers of iterations. Figure 5-6 shows the optimum shape of the conductors for the population size of 160 and 40

iterations which minimize the object function F to a value of 0.97. When the conductor is at its optimum shape, the temperature at the measuring line is measured and tabulated as the last column of Table 5-4

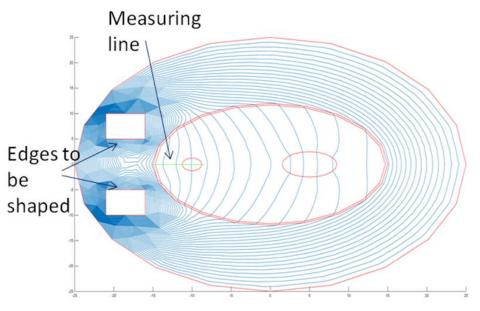


Figure 5-5 Equi-Temperature lines for the initial shape

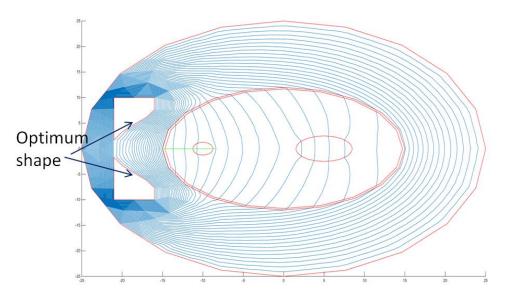


Figure 5-6 Optimum shape of the conductors to treat tumor

Measuring			Initial Shape	Optimum Shape
point	x(cm)	y(cm)	T(°C)	<i>T</i> (°C)
1	-11	0	53.92	43.08
2	-10.75	0	53.37	42.99
3	-10.5	0	52.70	42.91
4	-10.25	0	51.92	42.82
5	-10	0	51.13	42.74
6	-9.75	0	50.91	42.65
7	-9.5	0	50.69	42.57
8	-9.25	0	50.48	42.49
9	-9	0	50.26	42.41
10	-8.75	0	49.92	42.33

Table 5-4 Reading at the measuring line

5.6 Conclusions

The temperature inside the tumor for the initial and optimum shapes of the numerical model is plotted with the measuring line points shown in Figure 5-7. For comparison, the desired temperature is also plotted in the figure. The temperature for the optimum shape is in a very good range to treat the tumor as it is not beyond 44°C and not below 42°C. It is impossible to keep the temperature everywhere in a tumor the same. Our results show that we can keep it in the temperature range which would treat the tumor effectively.

Theoretical studies of temperature distributions with magnetic induction methods of achieving hyperthermia have been presented. The most appropriate application for the electro-thermal coupled problem is to be in hyperthermia treatment planning. Our numerical model studies show that the proposed inverse algorithm can optimize the heating conditions in hyperthermia treatment. In treatment planning, once the tumor is located, this system synthesizes the coils to give 42°C-44°C at the tumor. It is very difficult to measure the temperature inside the tumor from the medical point of view. Therefore this system is useful to burn the tumor for late stage patients who have no other choice of treatment.

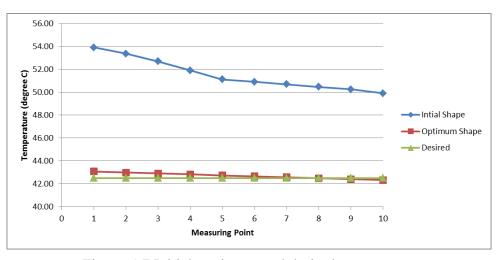


Figure 5-7 Initial, optimum and desired temperature

6 SUMMARY AND CONCLUSIONS

The predominant contribution of this thesis is in exploiting the capabilities of the graphics processing unit (GPU) to analyze and synthesize two-physics systems, where given the desired design criterion, we determine the geometric shape of the system. We show that GPU parallelization gives us a speedup of 28, an important feature in solving two physics inverse problems in reasonable time. The software system and its shape synthesis capabilities have been successfully applied a) to hyperthermia treatment planning; b) to defect characterization in the non-destructive testing of army ground vehicles; and c) to realize curricular efficiencies using flip teaching for a course on finite elements and optimization.

Expanding on the above paragraph, the goal of this this thesis has been to solve the electrothermal coupled problem to get a desired solution by shape optimization with reduced computational time and with more accuracy. That has been accomplished. One of the important applications of the electro-thermal problem is in hyperthermia treatment planning; shaping the conductor to accomplish a desired heat distribution to burn cancerous tissue. Two finite element problems need to be solved, first for the magnetic fields and the joule heat that the associated eddy currents generate, and then, based on these heat sources, the second finite element problem for heat distribution. This two part problem needs to be iterated on to obtain the desired thermal distribution by optimizing the shape of the current source. From the studies and experiment, we found that the genetic algorithm would be a suitable optimization method for our problem. Particularly the solutions represented by real numbers give better and faster results. So we decided to use real number based genetic algorithm for optimizing the problem. To avoid the complexities of a two-physics problem, we first develop the shaping algorithms on a single physics problem for nondestructive evaluation. This shape optimizing concept is developed for defect characterization in a steel plate. But, before we moved into defect characterization, a study on defect detection had to be done. Defects can be in many different orientations. Some of these defect orientations make it hard for the defect to be detected, and therefore such defects will be impossible to characterize by our inverse algorithm. According to our investigations when the depth of the defect increases, it is hard to detect. While that may be as to be expected, we also found that, when we compare horizontal and vertical defects, a horizontal defect has a higher chance of being detected. Our results further showed that when a defect is at an angle of 45^0 to the surface, it has the highest chance of being detected. After studying defect detectability, we moved into defect characterization. Defect shape reconstructing using the genetic algorithm optimization method has been presented and validated using a numerical model. We also imposed constraints in the system to get a realistic single defect reconstruction that is smooth. We also did many tests for the different shape of the defects and got reconstruction that is matching more than 85 % of true profile.

To test our algorithms and seek novel use, they were employed in a semester's course on finite elements and optimization with true device design. Flip-teaching was introduced to tackle the challenges of time. The traditional order of a) delivering theory b) programming ancillary tools (mesh generators, solvers) was flipped to do real design. Flip teaching was effectively used to give pre-prepared programs dealing with the ancillary, mundane tasks associated with finite element optimization. Students learned by themselves how to use these programs ahead if class.

After the algorithms were understood from use in NDE and the flip-teaching experience, we successfully developed them for the two-physics system with reduced computational time with the speedup (CPU Time to GPU time ratio) of 28 and increased accuracy established through the problem of shaping a current carrying conductor so as to yield a desired temperature profile along a line. This is the first two-stage finite element solution of a magnetic field problem and then a thermal problem repeated in optimization iterations for finding both shape and currents and is amenable to implementation as a general purpose software tool.

Finally we applied the electro-thermal software to hyperthermia treatment planning by a numerical model of a human thigh with a tumor treated by current carrying conductors to be shaped to produce the desired temperature at the tumor. Theoretical studies of temperature distributions with magnetic induction methods of achieving hyperthermia have been presented. Numerical model studies show that the proposed inverse algorithm can optimize the heating conditions in hyperthermia treatment. In treatment planning, once the tumor is located, this system synthesizes the coils to give 42°C-44°C at the tumor. An efficient methodology for multi-physics systems has been developed with applications in flip-teaching, NDE and hyperthermia.

APPENDICES

Appendix A: First-Order Interpolations Using Triangular Coordinates

For two-dimensional analysis we have decided to use the triangle as our basic element shape. Considering the triangle of Figure A-1 [20], the symmetry of the triangle results upon our changing to triangular coordinates defined by:

$$\zeta_i = \frac{h_i}{H_i} \tag{A-1}$$

$$(x, y) = (\zeta_1, \zeta_2, \zeta_3)$$
 (A-2)

The three ζ 's, although dimensionless, are first-order functions of distance since they vary with the first power of altitude h_i in (A-1).

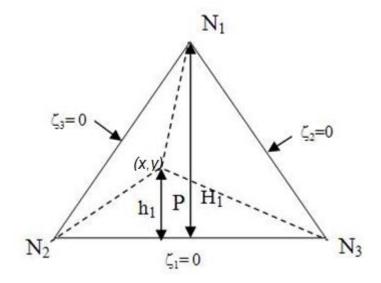


Figure A-1 Triangular Coordinates

The best and natural first-order interpolation to use within the triangle is

$$\varphi(x, y) = \zeta_1 \varphi_1 + \zeta_2 \varphi_2 + \zeta_3 \varphi_3 \tag{A-2}$$

Appendix B: Publications Raised from This Thesis

Journals

- 1. Victor U. Karthik, Sivamayam Sivasuthan, Arunasalam Rahunanthan, Ravi S. Thyagarajan, Paramsothy Jayakumar, Lalita Udpa, and S. Ratnajeevan H. Hoole, "Faster, more accurate, parallelized inversion for shape optimization in electroheat problems on a graphics processing unit (GPU) with the real-coded genetic algorithm," *COMPEL Int. J. Comput. Math. Electr. Electron. Eng.*, vol. 34, no. 1, pp. 344–356, Jan. 2015.
- T. Mathialakan, V. U. Karthik, P. Jayakumar, R. Thyagarajan, and S. R. H. Hoole, "The Depth Limits of Eddy Current Testing for Defects: A Computational Investigation and Smooth-Shaped Defect Synthesis from Finite Element Optimization," SAE Int. J. Mater. Manf., vol. 8, no. 2, pp. 450–457, Apr. 2015.
- 3. S. R. H. Hoole, S. Sivasuthan, V. U. Karthik, and P. R. P. Hoole, "Flip-teaching engineering optimization, electromagnetic product design, and nondestructive evaluation in a semester's course," *Comput Appl Eng Educ*, vol. 23, no. 3, pp. 374–382, May 2015.
- 4. S. R. H. Hoole, S. Sivasuthan, V. U. Karthik, A. Rahunanthan, R. S. Thyagarajan, and P. Jayakumar, "Electromagnetic device optimization: The forking of already parallelized threads on graphics processing units," *Applied Computational Electromagnetics Society Journal*, vol. 29, no. 9, pp. 677–684, 2014
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