A NON-ITERATIVE METHOD FOR URBAN TRAFFIC SIGNAL TIMING

> Dissertation for the Degree of Ph. D. MICHIGAN STATE UNIVERSITY GAIL HAROLD GROVE 1977



This is to certify that the

thesis entitled

A Non-Iterative Method for Urban Traffic Signal Timing

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ABSTRACT

A NON-ITERATIVE METHOD FOR URBAN TRAFFIC SIGNAL TIMING

By

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The primary objective of this research was to improve the basic TRANSYT traffic network signal timing method by replacing Robertson's time consuming hillclimbing optimization process with a much faster and thus less costly running non-iterative split and offset calculation technique.

The TRANSYT/G splits were obtained by using differential calculus methods on the network objective function. This objective function was constructed of tractable approximations to the stops, uniform and random delay terms for each link within the network.

The TRANSYT/G offsets were determined from the Morgan and Little maximal bandwidth offsets modified so as to include: an improved apportionment of unequal directional bandwidths, queue growth and decay allowances by means of partial excess green shifts, and an application to networks. Based upon the results of testing two data sets from Ft. Wayne, Indiana and Washington, D.C., it was concluded that:

- 1. This author's split estimation method is superior to Robertson's in terms of lower objective function and higher system speed values. This is true for its use in both TRANSYT/G for on-line control applications and in TRANSYT for more accurate off-line signal timing optimization studies, and,
- 2. TRANSYT/G's vastly improved computer running time versus TRANSYT makes it a potential candidate for an on-line signal timing control method.

A secondary result of this research was the development of a finite traffic queue dispersion model for use in off-line simulation studies requiring a more accurate model than the infinite queue version presently being used in TRANSYT.

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A DISSERTATION

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LIST OF SYMBOLS

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F	=	the network objective function,
F.	=	the objective function for the j th link,
f	=	the objective function for the i th node,
s, j	=	the vehicle stops per second on the j th link,
D.j	=	the vehicular uniform delay on the j th link,
R j	=	the vehicular random delay on the j th link,
wj	H	the delay weighting factor on the j th link,
к	=	the link stop penalty factor,
t gj	=	the start of the green inverval for the j th link,
t _{aj}	=	the start of the amber interval for the j th link,
t rj	=	the start of the red interval for the j th link,
t ej	=	the time when the queue length first decays to
		zero for the j th link,
i _j (t)	П	the arrival flow rate for the j th link,
o _j (t)	=	the departure flow rate for the j th link,
G,	8	the green interval saturation flow rate for
		the j th link,
I _j (t)	=	the cumulative number of arrivals at time t
		on the j th link,
O _j (t)	=	the cumulative number of departures at time t
		on the j th link,

Q _j (t)	= the queue length at time t on the j th link,
С	= the cycle length,
A	= the platoon dispersion or smoothing factor,
t	= the travel time down a link,
tave	= the average travel time,
Е(Т)	= the expected travel time,
x _j	= the degree of saturation on the j th link,
To	= the oversaturation time allowed,
m j	= the random delay slope in the oversaturation
-	region for the j th link,
с _о	= the optimum cycle length,
L	= the total lost time per cycle,
LA	= the lost time for the primary phase,
Υ _i	= the ratio of maximum directional flow to
5	saturation flow for the j th phase,
ā,	= the minimum green time for the j^{th} phase,
Ψi	= the j th phase,
si	= the normalized signal split for the i th node,
ā,	= the integer valued signal split for the i th
	node,
ŝ	= the near-optimum normalized split for the i th
	node,
k.	= the bounds on the integer value split,
gj	= the sum of the amber and minimum green times
-	for the j th phase,

ix

и, i	Η	the uniform arrival flow rate on the j th link,
IN jk	=	the uniform arrival flow rate on the j th link
-		during the k th half cycle,
°j	=	the capacity efficiency of the j th link,
c jk	=	the capacity efficiency of the j th link
		during the k th half cycle,
h _j	=	the ratio of total arrivals to G_jC on the
		j th link,
li	=	the number of links terminating at the i th
		node
t _{ij}	=	the start of the i th time interval for the j th
		phase,
t ijk	=	the start of the i th time interval for the j th
		phase during the k th half cycle,
s ij	=	the j th phase split for the i th node,
K _{lj} ,K _{2j}	=	the random delay approximation constants for
		the j th link,
<u></u> s	=	the weighted sum of stops for the i th node,
D _i	=	the weighted sum of uniform delays for the
		i th node,
R _i	H	the weighted sum of random delays for the i th
		node,
^L kj	=	the second partial derivatives of the f_i with
		respect to the s _{ij} ,
<u>s</u> i	=	the phase split vector for the i th node,
rj	=	the red time for the j th node,

x

b(b) = the outbound (inbound) directional bandwidth, x_j = the location of the jth node downstream from the reference point,

$$v_j(\bar{v}_j) = the outbound (inbound) vehicle speed along the jth link,$$

$$\tau_{ij}(\bar{\tau}_{ij})$$
 = the travel time from the ith node to the jth node in the outbound (inbound) direction,

$$p(\bar{p}) = the outbound (inbound) platoon length,$$

$$\bar{h}_{j}$$
 = the ratio of total arrivals to G_{j} on the jth link,

B = the maximum equal bandwith,

$$\gamma_{cj}(\bar{\gamma}_{cj})$$
 = the excess green to the right of the rear
edge of the outbound (inbound) bandwidth for
the jth node relative to the critical node,

xi

$$Z_n(\theta)$$
 = the probability generating function for the
discrete distribution of a finite maximum
allowable queue length,

$$E(n)$$
 = the expected number of vehicles in the queue,
 σ^2 = the variance of $E(n)$.

CHAPTER I

INTRODUCTION

1.1 Benefits of Improved Urban Traffic Flow

Modern society has become more time conscious in its day to day activities, especially when commuting between home, work, and recreation areas. As a result, man is intolerant of unnecessary delay in his travel. A significant portion of our population must contend with driving through urban areas having considerable congestion of vehicles. Thus, one of the primary duties of a transportation engineer or planner is to improve traffic flow through our cities by decreasing vehicle travel time.

In light of our energy shortage, it has become increasingly important to reduce the amount of vehicle stop and go movement which wastes so much of our dwindling fuel supply. Virginia engineers (CR1) claim a potential savings of 125,000 gallons per day for a computer controlled network of 400 signals in Washington, D.C. Based upon sixty cents per gallon, this savings could be \$75,000/day in this area alone.

Increasing traffic densities in urban areas, limited space for additional roadways, and the escalating cost of roadway construction make it mandatory to

undertake research which contributes to the efficient utilization of our existing urban roadway facilities. The research described in this thesis is directed towards increasing the efficient use of our existing urban street networks, while simultaneously providing improved service to the drivers. This has been accomplished by the development of a real-time algorithm for calculating traffic signal synchronizations which could be used for on-line computer control of an urban network of signalized intersections.

1.2 Previous Signal Timing Optimization Procedures

In Hillier's delay-difference method (HIl), he considered tree or ladder-type networks which could be simplified into a single link by combining links in parallel and in series. The objective was the minimization of delay in each link where flows were considered uniform. The delay on a link was assumed to be a function of the offsets of the two signals at the ends of this link and is independent of all other network offsets. This algorithm calculates the sum of the two directional delays by varying the integer valued offsets and selects the offset minimizing the sum of the two delays. This method appears time consuming for calculating the offset for a single link but has the advantage that computations increase linearly with the number of links unlike most other models.

Allsop extended Hillier's work to general nonsimplifiable grid networks (ALl) and later used a dynamic programming concept which minimizes the total delay and gives a global minimum (AL2). However, this technique is too slow to be used for real-time control of medium sized networks of approximately 50 intersections.

Inose, et al. (IN1) developed an algorithm applicable only to tree networks in which the common cycle time is selected as the maximum of the individual, ideal intersection cycle times assuming uniform arrivals at isolated intersections. Splits are then selected by minimization of delay while offsets are calculated as a function of the heavier of the directional vehicle volumes on the links connecting each pair of nodes. Inose, et al., assume no turning movements and no platoon dispersion. Any link which would form a closed loop of links within the network is not used in the offset calculations, thus restricting application to tree networks. This method is simple and effective provided that there are very few turning movements. It is unlikely that the total delay is minimized.

SIGOP (TR1) was the first systematic signal timing optimization program applicable to a general network. The length of each split phase for a signal is set proportional to the total or critical flow in each phase.

The total flow was considered to be the sum over all lanes and approaches while the critical flow was the maximum noted per lane through the given node. The optimization procedure determines the offset differences for each link and optimal offsets for the entire network. The objective function is a linear combination of stops and delays. An n by n matrix inversion is required, where n equals the number of nodes. Two deficiencies are apparent in SIGOP. First, the offset optimization procedure produces a local optimum rather than a global optimum. Second, since the stochastic effects on each link are ignored, the lower bound on cycle time is selected as the optimum especially whenever the capacities of the signalized intersections are approached.

Shortly, thereafter, Robertson (RO1) developed a more sophisticated model and more effective optimization process called TRANSYT. A detailed description will be found in Chapter II. TRANSYT has been field tested in London and Glasgow with favorable results.

Kaplan and Powers (KPl) presented a comparison of SIGOP and TRANSYT as applied in San Jose and Glasgow; each area having high signal density. They concluded that:

1. There is no measurable difference between SIGOP and TRANSYT for short cycle times,

2. Double cycled TRANSYT produced travel times which were 5 percent less than SIGOP and single cycled TRANSYT in the Glasgow network, and

3. The TRANSYT model appeared more accurate in predicting travel times.

For more details on these earlier algorithms, Munjal and Hsu's comparative study (MH1) is an excellent summary of the state-of-the-art circa 1972. Since this time, several other signal timing methods have appeared in the literature.

Messer et al. (MWl) have applied a variable sequence, multiphase, progression optimization program to the real-time control of a Dallas, Texas arterial. Good progressions were obtained and no apparent problems due to variable phase sequencing were experienced in this pilot study.

Gartner (GAl) confirmed by direct field observations on a major Toronto arterial that his microscopic flow pattern analysis reduced traffic delay.

Gartner and Little (GL1) presented a dynamic programming approach to obtain the splits and offsets of a general network. They extended Hillier's combination method to general networks. Actual field evaluations were being planned at the time of their article.

Lieberman and Woo's SIGOP II (LW1) is composed of a flow model and a dynamic programming methodology

which minimizes an objective function composed of vehicle delay, stops, and excess queue length. Turning movements, lane channelization, multiphase control, signal split constraints, platoon disperson (from TRANSYT), and short term volume variations are included. SIGOP II is claimed to possess computational speed which varies linearly with the number of nodes and is somewhat slower than SIGOP but faster than TRANSYT. However, it appears that program tapes and the field validation are incomplete at this time.

Even though this review of previous contributions was brief, it should be noted that a vast amount of time and effort has been expended by many researchers and that many volumes are devoted to their results. However, one should proceed onward to the preview of this author's work.

1.3 Preview

Chapter II describes the objective function, the traffic flow model and the optimization procedure of the existing TRANSYT computer program. The variables utilized in the optimization of the objective function are the individual signal splits and the offsets between the signals comprising the network. Appendix A contains a derivation of the dispersion of traffic queues and an extension to finite queue lengths.

The main body of this author's research comprises Chapters III and V. Chapter III is a detailed derivation of the near-optimum split calculation employed in TRANSYT/G. Chapter IV is a brief description of arterial offsets, while Chapter V details the TRANSYT/G offset method. The offsets are determined from an extension of the Morgan-Little maximal bandwidth concept. Both splits and offsets are calculated in a non-iterative fashion, thus vastly reducing the computer run time and cost as compared to the existing TRANSYT model.

Portions of the Ft. Wayne, Indiana and the Washington, D.C. downtown areas were selected for a comparison of the improved TRANSYT/G program versus the TRANSYT program. Chapter VI contains the two network applications while Appendix B includes general computer program flow charts and listings.

Conclusions and suggested future research objectives are included in Chapter VII.

CHAPTER II

THE TRANSYT MODEL

The mathematical model characterizing traffic flow is composed of a set of expressions or equations representing the traffic dynamics and its ultimate control based upon the network's physical configuration and the behavior of the traffic operating within its boundaries.

For control purposes, we desire a model capable of providing information of significant detail for the calculation of the selected traffic signal timing variables. However, it must not be so detailed that it is incapable of real-time computer speeds encountered in on-line control situations.

The Traffic Network Study Tool (TRANSYT) developed by Robertson (RO1, RO2) is a method of optimizing traffic signal settings using an off-line computer model of the known network system conditions. It is composed of three main elements:

1. A network objective function for ranking different sets of signal settings,

2. A traffic flow model used solely for generating a value of the objective function for a given set of signal timings, vehicle flows and network geometry, and

3. A hill-climbing optimization process that alters the signal settings and determines if the objective function has been reduced or not.

Before proceeding further on this subject some terminology must be defined. In an urban traffic network which is being modeled, each signalized intersection will be represented by a node and each significant directional traffic stream leading into an intersection will be represented by a link. This concept of a link applies to special bus-only lanes, pedestrian crossings and heavy flow left turn lanes in addition to the normal through traffic lanes. In a network, one traffic signal is usually chosen as the reference sig-Then the phasing of any other network signal is denal. termined by the time interval between the centers of the main street reds of the two signals under consideration. This interval ranges from zero up to the cycle length and is called the offset. The other parameter of interest is the split, defined as the ratio of the main street green and amber time intervals to the cycle length. The synchronization problem requires the specification

of a split and offset for each signal in the network such that some predetermined objective will be achieved.

2.1 Objective Function

In TRANSYT, Robertson defined the overall network function, F, as

$$\mathbf{F} = \sum_{j=1}^{\ell} \mathbf{F}_{j} = \sum_{j=1}^{\ell} [KS_{j} + W_{j}(D_{j} + R_{j})], \qquad (2.1)$$

where S_j = the vehicle stops per second on the jth link, K = the stop penalty common to all links in the network,

> D_j = the vehicular uniform delay on the jth link, R_j = the vehicular random delay on the jth link, W_j = the delay weighting factor on the jth link, F_j = the objective function for the jth link, and ℓ = the number of links comprising the network.

A typical urban traffic stream is composed of many different types of vehicles, such as automobiles, various sized trucks, buses and pedestrians, each with their own average speeds. Thus, each link j is allowed to have its own delay weighting factor W_j . In general, most links are assigned unity weighting. However, it may be desirable to have non-unity delay weighting on certain links such as those containing bus-only traffic.

By use of the objective function different sets of signal timings, in this case splits and offsets, can now be compared. The set of timings having the smallest objective function is considered to be the best of those sets investigated.

If a network having n signalized intersections and ℓ links, the stop penalty K and the link delay weighting factors W_j , $j = 1, \ldots, \ell$ are given then the stops, S_j , the uniform and random delays, D_j and R_j respectively, for all ℓ links can be determined from a traffic flow model of the urban traffic network.

2.2 The TRANSYT Flow Model

The major characteristics of vehicular flow in a signalized network are represented in the TRANSYT flow model.

2.2.1 Basic Assumptions

The basic assumptions of the TRANSYT flow model are:

1. All major intersections of the network have traffic signals or are controlled by a priority rule,

2. All of the signals in the network have a common cycle time or one-half of it, commonly referred to as 'double cycling,'

3. Traffic enters the network at a constant specified rate on each approach, and

4. The percentage of vehicle volumes turning at each signalized intersection remains constant throughout the entire cycle. 2.2.2 Flow Histograms

All traffic behavior calculations required for the stops and delay terms are made by manipulation of three types of histograms and representation of individual vehicles is not required. These three flow histograms are:

- 1. Arrival the traffic flow histogram that would arrive at the stop line at the end of the link if it were not impeded by a signal at the stop line,
- Departure the traffic flow histogram that departs from the stop line of a link, and
- 3. Saturation the traffic flow histogram that would leave the stop line if there were enough flow to exceed the intersection's capacity.

The actual flow histogram for any link during any one cycle will vary from the average histogram due to the random nature of individual vehicles and is accounted for in the objective function's random delay term described later in this chapter. The general histogram form is illustrated in Figure 2.1 and is significantly more representative than uniform models such as Figure 2.2.



Figure 2.1 A Typical TRANSYT Flow Histogram



Figure 2.2 A Typical Uniform Flow Histogram

2.2.3 Traffic Queues

Before proceeding further, several definitions are required for the development of the basic queueing process used in the TRANSYT flow model. These definitions for the jth link are:

C = the cycle length.

The arrival flow rate for each link during each cycle length spans three different time intervals, namely; the green, the amber, and the red time intervals. Such a representation is illustrated in Figure 2.3, covering two cycle lengths.

The basic cumulative arrival and departure relations are defined as:

$$I_{j}(t) \equiv \int_{t}^{t} i_{j}(\tau) d\tau, \qquad (2.2)$$

and

$$O_{j}(t) \equiv \int_{t}^{t} o_{j}(\tau) d\tau, \qquad (2.3)$$

where $t_{rj} \leq t \leq t_{rj} + C$.

This traffic flow model assumes that the arrivals are periodic, that is; the same arrival histogram is repeated cycle after cycle, thus

$$i_j(t) = i_j(t - nC), n = 1, 2, ...$$
 (2.4)

Several important requirements of this flow model are that the signalized intersection must not be saturated, namely;

$$I_{j}(t_{rj} + C) = \int_{t_{rj}}^{t_{rj}+C} i_{j}(\tau)d\tau < (t_{rj} + C - t_{gj})G_{j}, (2.5)$$

and that the arrival rate during the green interval does not equal or exceed the saturation flow,

$$i_j(t) < G_j$$
 for $t_{gj} \leq t < t_{aj} + C$. (2.6)

Based upon these assumptions, requirements and Figure 2.4, it can be shown that the vehicle flow entering a



Green, Amber, and Red Time Intervals in a Cycle Length Figure 2.3



Figure 2.4 Departures and Queue Length for Non-Uniform Arrivals on the jth Link

link also exits that link during a cycle length. Thus, since the queue must clear prior to the end of the green interval, we have

$$O_j(t_{rj} + C) = I_j(t_{rj} + C).$$
 (2.7)

In a similar manner, all arrivals that have accumulated from the start of the red interval up until the time when the queue decays to zero must depart during the time from the start of the green to the same point when the queue clears. Thus,

$$O_{j}(t_{ej}) = I_{j}(t_{ej})$$
 (2.8)

During the remainder of the cycle when there is no queue, the departures equal the arrivals at each point in time, i.e.,

$$o_{j}(t) = i_{j}(t)$$
 for $t_{ej} \leq t < t_{rj} + C$, (2.9)

or

$$O_{j}(t_{rj} + C) - O_{j}(t_{ej}) = I_{j}(t_{rj} + C) - I_{j}(t_{ej})(2.10)$$

Over the total cycle length, the departure rate can be summarized as

$$o_{j}(t) = \begin{cases} 0 & \text{for } t_{rj} \leq t < t_{gj} \\ G_{j} & \text{for } t_{gj} \leq t < t_{ej} \\ i_{j}(t) & \text{for } t_{ej} \leq t < t_{rj} + C . \end{cases}$$
(2.11)

The model requires the treatment of each link and its associated flow histograms for two successive cycle lengths before proceeding to the next link. The first cycle allows for proper development of queues and flow histograms while the second cycle length is used for the calculation of the stops and delays for the objective function. Due to the previously mentioned unsaturated flow assumptions and requirements, the queues will decay to zero and remain zero only once during any one green time interval. Thus the queue is always zero at t_{aj} . This is illustrated in Figure 2.4 and is represented mathematically by

$$Q_{j}(t) = I_{j}(t) - O_{j}(t) = \begin{cases} I_{j}(t) & t_{rj} \leq t \leq t_{gj} \\ I_{j}(t) - (t - t_{gj})G_{j} & \text{for } t_{gj} \leq t \leq t_{ej} \\ 0 & t_{ej} \leq t \leq t_{rj} + 0 \end{cases}$$
(2.12)

The time at which a queue clears, t_{ej}, can be determined from

$$O_{j}(t_{e}) = I_{j}(t_{e}) - (t_{ej} - t_{gj})G_{j} = 0$$

for $t_{gj} < t_{ej} < t_{aj} + C$. (2.13)

2.2.4 Platoon Dispersion

The traffic flow into a link is obtained by using the appropriate fraction of the departure histograms representing the turning movement flows from the upstream feeder links. The on-off nature of traffic signal control tends to create moving queues or platoons of vehicles which tend to disperse as they travel away from the traffic signal; this dispersion being due to different individual vehicle speeds.

Based upon his field work, Robertson developed the following empirical platoon dispersion relation for the jth link.

 $i_{j}(k + t) = Ao_{j}(k) + (1 - A)i_{j}(k - 1 + t) \quad (2.14)$ subject to $Ai_{j}(k - 1 + t) \ge 1$, where $o_{j}(k)$ = the departure flow magnitude of an upstream link during the kth time interval, $i_{j}(k + t)$ = the arrival flow magnitude during the (k + t) time interval, t = 0.8E(T), (2.15) $A = \frac{1}{1 + 0.5t}$, (2.16)

and E(T) = the expected travel time.

The side constraint on Robertson's equation is required to ensure that the magnitude of flow will decrease as the platoon disperses. If this constraint were violated the sequence $\{i_j(\cdot)\}$ would be monotonically increasing and thus would not be an accurate representation of the actual platoon dispersion phenomenon.
The smoothing factor A is bounded above and below by 1 and 0 respectively and decreases in a negative exponential manner as the travel time, t increases from zero. Thus, this so-called exponential smoothing is a function of the travel time down a link.

A statistical derivation of traffic queues and their dispersion is presented in detail in Appendix A.

As an example of platoon dispersion, consider a portion of an arterial represented by the node-link diagram of Figure 2.5. For the following case:

> $i_1(t) = 1000$ vehicles/hour, for all t $G_1 = 2000$ vehicles/hour $t_{rl} = 0$ seconds $t_{gl} = 25$ seconds $t_{el} = 50$ seconds C = 60 seconds t = 5, 10, and 20 seconds.

The $o_1(t)$ departure pattern leaving stopline 1 and the $i_2(t)$ arrival histogram appearing at the downstream stopline 2 were calculated and plotted in Figure 2.6. It should be noted that as the travel time, t, increases, the maximum amplitude of $i_2(t)$ decreases and a longer time is required for $i_2(t)$ to decay to zero. This dispersion mechanism is a basic part of the TRANSYT flow model.



Figure 2.5 Node-Link Diagram and Stoplines





2.2.5 Stops, Uniform and Random Delays

Next, the general stops and delay relations can be written from the arrival queue length graphs illustrated in Figure 2.4.

The vehicle stops per second on the jth link of the traffic network can be determined by considering the stops due to the vehicle queueing during a time interval dt to be $i_j(t)dt$. Thus the total vehicle stops per cycle for the jth link is the area under the arrivals graph of Figure 2.4, namely;

$$s_{j} = \int_{t_{rj}}^{t_{ej}} i_{j}(t) dt = I_{j}(t_{ej}).$$
 (2.17)

By use of equations 2.8-2.11, the stops equation can be written as

$$S_{j} = O(t_{ej}) = \int_{t_{rj}}^{t_{ej}} o_{j}(t) dt$$

= $G_{j}(t_{ej} - t_{gj}).$ (2.18)

Similarly, the delay due to vehicle queueing during this same time interval dt is $Q_j(t)dt$, thus giving the total uniform delay per cycle for the jth link as

$$D_{j} = \int_{t_{rj}}^{t_{ej}} Q_{j}(t) dt. \qquad (2.19)$$

Then using the definitions of queue length and cumulative arrivals,

$$D_{j} = \int_{t_{rj}}^{t_{gj}} I_{j}(t) dt + \int_{t_{gj}}^{t_{ej}} [I_{j}(t) - (t - t_{gj})G_{j}] dt$$
$$= \int_{t_{rj}}^{t_{ej}} [\int_{t_{rj}}^{t} i_{j}(\tau) d\tau] dt - G_{j}(t_{ej} - t_{gj})^{2}/2 . (2.20)$$

All TRANSYT flow calculations are made on the basis of average flow rates and queues that are expected to occur during each cycle unit. However, in real life situations, the random behavior of individual vehicles will tend to fluctuate about the average levels of the flow histograms. Robertson (RO1) observed in his field studies that a cycle time which is long enough to clear a queue during one green time period for uniform arrivals may not be sufficient for complete queue decay for random arrivals during every cycle. Thus an extra delay term, called the random delay, R_j, solely dependent upon the degree of saturation at the stop line on the jth link, was added to the link's objective function, namely:

$$R_{j} = \frac{(X_{j})^{2}}{4(1 - X_{j})}, \qquad (2.21)$$

where the jth link's degree of saturation, X_j , is given by

$$X_{j} = \frac{1}{(t_{rj} + C - t_{gj})G_{j}} \int_{t_{gj}}^{t_{rj}+C} o_{j}(t)dt$$
$$= \frac{O_{j}(t_{rj} + C)}{(t_{rj} + C - t_{gj})G_{j}}$$
(2.22)

This also can be written in terms of the cumulative arrivals as

$$x_{j} = \frac{I_{j}(t_{rj} + C)}{(t_{rj} + C - t_{gj})G_{j}}$$
(2.23)

It should be noted that this saturation term is bounded within the unit interval, [0,1]. In a subsequent revision of TRANSYT, Robertson (RO2) presented a slightly more complex representation of the random delay, namely:

$$R_{j} = \sqrt{\left\{\frac{2(1 - X_{j}) + m_{j}X_{j}}{m_{j}(4 - m_{j})}\right\}^{2} + \frac{X_{j}^{2}}{m_{j}(4 - m_{j})}} - \left\{\frac{2(1 - X_{j}) + m_{j}X_{j}}{m_{j}(4 - m_{j})}\right\}, \quad (2.24)$$

where

$$m_{j} = \frac{2C}{(t_{rj} + C - t_{gj})G_{j}T_{o}}$$
(2.25)

 T_{o} = the specified length of allowed over-

saturation time in minutes.

This random delay employed in TRANSYT overcomes the discontinuity at the point of 100% saturation, $X_j = 1$, and the negative random delay values in the oversaturation region, $X_j > 1$. Both the original TRANSYT random delay model and that used in its revision are illustrated in Table 2.1 and Figure 2.7 for comparison.

2.2.6 Dummy Links

In a network comprised of links forming closed loops, it is necessary to estimate some departure

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Random Delay Values

x,	Original	Revised TRANSYT					
	RANSIT R j	m _j = 0.0001	$m_{j} = 0.001$	^j m _j = 0.01	m _j = 0.1		
0.0	0.000	0.000	0.000	0.000	0.000		
0.1	0.003	0.003	0.003	0.003	0.003		
0.2	0.013	0.012	0.012	0.012	0.012		
0.3	0.032	0.032	0.032	0.032	0.031		
0.4	0.067	0.067	0.067	0.066	0.064		
0.5	0.125	0.125	0.125	0.124	0.117		
0.6	0.225	0.225	0.225	0.222	0.200		
0.7	0.408	0.408	0.407	0.398	0.333		
0.8	0.800	0.800	0.795	0.756	0.546		
0.9	2.025	2.020	1.977	1.671	0.878		
1.0	<u>+</u> ∞	49.751	15.565	4.762	1.365		
1.1	-3.025	1002.493	102.429	12.001	2.007		
1.2	-1.800	2001.249	201.239	21.155	2.769		
1.3	-1.408	3000.833	300.830	30.799	3.610		
1.4	-1.225	4000.625	400.623	40.608	4.501		
1.5	-1.125	5000.500	500.499	50.490	5.423		
1.6	-1.067	6000.417	600.416	60.410	6.365		
1.7	-1.032	7000.357	700.357	70.353	7.320		
1.8	-1.012	8000.312	800.312	80.309	8.285		
1.9	-1.003	9000.278	800.278	90.275	9.256		
2.0	-1.000	10000.250	1000.250	100.248	10.233		



Figure 2.7 Random Delay Versus Normalized Saturation

patterns since each genuine link is processed only once in calculating the objective function. A so-called "dummy link" must be introduced in parallel with a genuine link to break any set of links forming a closed loop. This inserted dummy link has the same total flow as the genuine link but its arrival histogram is assumed to be uniform over the cycle length. The arrival pattern for the next downstream link in the loop is calculated from the appropriate percentage of the dummy link's departure pattern. Then the flow histograms for the remaining links comprising the closed loop are calculated in the normal solution sequence. The rules for dummy link inclusion are:

- Every closed loop of links must be broken by a dummy link.
- One dummy link can be used to break several closed loops provided that the loops have at least one common link.
- 3. The dummy link should be introduced at a node within a loop at which the degree of saturation of the corresponding link is high or the volume flow rate entering the downstream link is low.
- 4. Use as few dummy links as possible.

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2.3 Optimization Procedure

The optimization portion of TRANSYT makes use of a special search procedure developed by Robertson to accomplish a hill-climbing process.

In Great Britain, where TRANSYT was conceived, most existing traffic signal equipment use 50 step control mechanisms. Thus Robertson's optimization increment sizes are based upon the 50 step cycles where each increment must be less than one half the number of steps in the cycle.

The hill-climbing process takes the first increment in the increment list and adjusts the offset of the first intersection or node in the node list for a local minimum of the network's objective functions. The offset of the second node is then adjusted in a similar manner and so on until the end of the node list is reached. At this point, the second increment is used and each node is reoptimized in turn. The process is considered complete when all the nodes have been optimized for all of the increments.

Robertson suggests the following two increment lists for a 50 step cycle:

- a) 7, 20, 7, 20, 7, 1, 1 for offsets and
- b) 7, 20, -1, 7, 20, 1, -1, 1 for splits and offsets.

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The 7 step increments are used to find an approximate local minimum while the 20 step increments avoid getting trapped in that minimum. The positive unity steps are for fine-tuning the approximate offsets while the -1 steps allow adjustments of the splits.

If the number of steps in the cycle length differ from 50, then the 7 and 20 step increments should be adjusted proportionately.

Although Robertson's optimization procedure appears to give very good results, it has several drawbacks. First of all, the optimization process may produce a local optimum instead of the desired global optimum. The problem being that the objective function is not necessarily convex for the general case. A function F defined on an open interval (a,b) is said to be convex if for each $x,y \in (a,b)$ and each λ , $0 < \lambda < 1$, we have

 $F(\lambda x + (1 - \lambda)y) < \lambda F(x) + (1 - \lambda)F(y) \quad (2.26)$

This definition and Figure 2.8 illustrates the concept of convexity.

The second drawback to Robertson's hill-climbing process is that the number of computations involved increase in the order of the square of the number of intersections and thus restricts its use to off-line applications.



Figure 2.8 Convex and Non-Convex Objective Functions

CHAPTER III

TRANSYT/G NEAR-OPTIMUM SPLIT CALCULATION

The most widely known method of selecting a reasonably good split for a signalized intersection is that attributed to Webster, first published in his classic paper in 1958 (WE1). A very good summary and comparative evaluation of this technique was presented by Gerlough and Wagner in 1967 for an isolated intersection (GW1) and in 1969 for an arterial (WA1). Wagner et al. state that any systematic approach for determining the split must be at least as well defined as Webster's. Webster used a combination of Poisson arrival model theory and computer simulation in order to deduce his formula for average delay per vehicle at a signalized intersection. From this, he derived expressions for optimum cycle length and split that minimized his total delay relation at the ith intersection. These were:

$$C_{0} = \frac{1.5L + 5}{n}, \qquad (3.1)$$

$$1 - \sum_{j=1}^{\infty} Y_{j}$$

and

$$\hat{s}_{i} = \frac{C_{o} - r_{A} + 1.5L_{A} + \frac{5}{n}}{r_{A} - 1.5L_{A} - \frac{5}{n}}, \qquad (3.2)$$

where C₀ = the optimum cycle length, r_A = the effective red for the primary phase A, L = the total lost time per cycle, L_A = the lost time for the primary phase A, Y_j = the ratio of maximum directional flow to saturation flow for phase j, and n = the number of signal phases.

It should be noted that the lost time includes the effect of the starting delay and reduced flow during the amber time. Webster's or some refinement of it is rapidly becoming the standard.

Before proceeding, several observations concerning Webster's split for a two-phase signal can be made for various levels of traffic flow density (GWl). These are:

 For light flow - the split can be varied greatly from the optimum without producing an oversaturation effect on either phase, and

 For medium flow - the split can be varied somewhat from the optimum before approaching oversaturation, and

3. For heavy flow - only a small variation in split can cause oversaturation.

The TRANSYT program contains an optional procedure for determining allocation of green times for two or multiphase signals based upon equalization of saturation on all phases. This technique is a refinement of Webster's and appears to give a good initial starting point for iterative optimization schemes.

The philosophy and objective of this author's research was to develop a non-iterative signal timing algorithm. Thus, a near-optimum set of splits will be determined for our general traffic network on a single pass through the TRANSYT traffic flow model. The general method employed by this author was to write the objective function in terms of the network signal splits, and then calculate the optimum split set by calculus methods.

In developing these signal split relations, only two phase single cycle signals will be considered in the first portion of this chapter thus preventing the derivations from becoming overly complex and obscuring the technique at hand. Later, this method will be extended to the double cycling and multiphase cases.

3.1 Split Bounds

Of course, the loosest set of bounds on the signal split normalized with respect to the cycle length, would be $0 < s_i < 1$. System requirements however, dictate a somewhat tighter set; for example when considering the minimum green time per phase, we have:

$$0 < \frac{\bar{g}_{A}}{C} \leq s_{i} \leq 1 - \frac{\bar{g}_{B}}{C} < 1, \qquad (3.3)$$

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where: \bar{g}_{A} = minimum green time in cycle units for the primary phase (φ_{A}) ,

$$\bar{g}_{B}$$
 = minimum green time in cycle units for the secondary phase (φ_{B}),

C = cycle length in cycle units.

A minimum green time is required to allow any queues to discharge and for pedestrians to cross the street. When considering the cumulative arrivals and departures at any given intersection, some additional quantities such as the ratio of arrivals to saturation flows can be introduced into the overall bounds on the split. These quantities may or may not be a tighter restriction, depending upon the given set of system conditions, thus use of minimum-maximum functions are required.

As previously shown in Figure 2.4, the total departures during the non-red time must equal the total arrivals during the entire cycle in order for the queue to clear prior to the start of the next red time interval. For link j, we have

$$I_{j}(t_{rj} + C) = \int_{t_{rj}}^{t_{rj}+C} o_{j}(t)dt = \int_{gj}^{t_{rj}+C} o_{j}(t)dt$$
$$\leq \int_{t_{gj}}^{t_{rj}+C} G_{j}dt . \qquad (3.4)$$

The split for a two-phase signal is the ratio of the primary phase A green and amber time intervals to the cycle length (cross-hatched in Figure 3.1). From this we have:

$$s_{i} = (t_{rA} + C - t_{gA})/C$$

$$t_{gA} = t_{rB}$$

$$t_{rA} = t_{gB}$$
(3.5)

Using the definition for the split from above, the phase cumulative arrival relations for Figure 3.1 become:

$$I_{A}(t_{rA} + C) \leq G_{A}(t_{rA} + C - t_{gA}) = G_{A}(s_{i})C,$$

$$I_{B}(t_{rB} + C) \leq G_{B}(t_{rB} - t_{gB}) = G_{B}(1 - s_{i})C.$$
(3.6)

Then solving for the split, s_i, and combining produces the following bounds:

$$0 < \frac{I_{A}(t_{rA} + C)}{G_{A}C} \leq s_{i} \leq 1 - \frac{I_{B}(t_{rB} + C)}{G_{B}C} < 1.$$
 (3.7)

As the link becomes more saturated, these bounds on the split become tighter.

In the computerized TRANSYT traffic flow model, the split is restricted to be an integer belonging to the closed interval [0,C], where C is the cycle length in cycle units. Therefore, the previously discussed normalized split, s_i , is scalar transformed to the integer split, \bar{s}_i , by:

$$\bar{s}_i = C \cdot s_i.$$
 (3.8)





The unnormalized upper and lower bounds may not result in an integer value and thus must be restricted as such. Specifically, each real valued bound having a non-zero decimal part must be rounded up to the next highest integer. This may be accomplished by the use of two well defined mathematical functions, namely the 'greatest integer' and the 'signum' functions:

> $[\bar{h}_{j}] \equiv$ the greatest integer less than or equal to \bar{h}_{j} ,

and

$$sgn(\bar{h}_{j}) \equiv \begin{cases} +1 & \text{if } \bar{h}_{j} > 0 \\ 0 & \text{if } \bar{h}_{j} = 0 \\ -1 & \text{if } \bar{h}_{j} < 0 \end{cases}$$
(3.9)

We then define

$$\bar{k}_{j} \equiv [\bar{h}_{j}] + sgn(\bar{h}_{j} - [\bar{h}_{j}]),$$
 (3.10)

where

$$\bar{h}_{j} = \frac{I_{j}(t_{rj} + C)}{G_{j}}, j = A, B.$$
 (3.11)

Since we are setting up integer bounds on an integer valued split parameter, \bar{s}_{j} , where the \bar{h}_{j} are real, the rounding of the \bar{h}_{j} values must be done such that the \bar{k}_{j} values will be within the \bar{h}_{j} bounds. That is,

$$\bar{h}_{A} \leq \bar{k}_{A} \leq \bar{s}_{i} \leq C - \bar{k}_{B} \leq C - \bar{h}_{B} . \qquad (3.12)$$

From this set of split bounds, it is required that

$$\sum_{j} \bar{k}_{j} \leq C \qquad (3.13)$$

to insure a valid split value. In the case of equality, $\bar{k}_{A} = \bar{s}_{i} = C - \bar{k}_{B}$, the split is uniquely determined by its bounds.

By denoting the sum of the minimum green plus amber time intervals for the jth phase as g_j , we have the bounds on the integer split for the intersection of two one-way streets as:

$$\max(\mathbf{g}_{\mathbf{A}}, \bar{\mathbf{k}}_{\mathbf{A}}) \leq \bar{\mathbf{s}}_{\mathbf{i}} \leq C - \max(\mathbf{g}_{\mathbf{B}}, \bar{\mathbf{k}}_{\mathbf{B}}). \qquad (3.14)$$

For the intersection of two bidirectional streets, the summation of the ratios of cumulative arrivals to the saturation flow rates per phase will govern the bounds calculation, thus

$$\sum_{j \in \varphi_{A}} \max(g_{j}, \bar{k}_{j}) \leq \bar{s}_{i} \leq C - \sum_{j \in \varphi_{B}} \max(g_{j}, \bar{k}_{j}), \quad (3.15)$$
where the link subscript $j = 1, 2, \dots, l$.

3.2 Tractable Model of Stops and Uniform Delay

The least complicated signalized intersection that may occur in a general network is the corner intersection composed of two one-way streets, each having a uniform arrival rate as in Figure 3.2. After one complete cycle of simulation, the queues will have had sufficient time to develop properly. The general delay and stops equations from Section 2.2 can then be written

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easily from the rectangular area under the arrival rate curve and the triangular area under the queue length curve of Figure 3.2. Thus, since the input is constant at IN_j over the whole cycle length, we have for link j:

$$S_{j} = \int_{t_{rj}}^{t_{ej}} i_{j}(t) dt = IN_{j}(t_{ej} - t_{rj}) = G_{j}(t_{ej} - t_{gj}), \quad (3.16)$$

$$D_{j} = \int_{t_{rj}}^{t_{ej}} Q_{j}(t) dt = IN_{j}\int_{t_{rj}}^{t_{gj}} (t - t_{rj}) dt$$

$$- (G_{j} - IN_{j})\int_{t_{gj}}^{t_{ej}} (t - t_{ej}) dt$$

$$= \frac{1}{2} IN_{j}(t_{gj} - t_{rj})^{2} + \frac{1}{2} (G_{j} - IN_{j}) (t_{ej} - t_{gj})^{2} \quad (3.17)$$

where the time at which the queue clears is

$$t_{ej} = t_{gj} + (t_{gj} - t_{rj}) (\frac{IN_j}{G_j - IN_j}).$$
 (3.18)

Substitution back into the stop and delay equations results in

$$s_{j} = (t_{gj} - t_{rj})c_{j}$$
 (3.19)

and

$$D_{j} = \frac{1}{2} (t_{gj} - t_{rj})^{2} c_{j}, \qquad (3.20)$$

where

$$c_{j} = \frac{G_{j} \qquad IN_{j}}{G_{j} - IN_{j}}$$
 (3.21)

Most of the intersections comprising a general urban traffic network have many links with non-uniform arrival rates, thus the areas under the arrivals, $i_j(t)$, and queue length, $Q_j(t)$, curves (Figure 2.4) representing the stops and delay are not rectangular and triangular respectively as in the uniform case (Figure 3.2). Thus, by using the stops and delay equations based upon uniform arrivals, some degree of error will be introduced into the solution of the general network. But, since the goal is to achieve only near-optimum signal settings in a trade-off for real time operation, these uniform arrival stops and delay equations will be accepted as an accurate enough model.

3.3 Tractable Model of Random Delay

The revised TRANSYT random delay model described in Section 2.2.5 can be rewritten as:

$$R_{j} = \frac{1}{m_{j}(4 - m_{j})} \{2 \sqrt{1 - (2 - m_{j})X_{j} + X_{j}^{2}} - 2 + (2 - m_{j})X_{j}\}.$$
 (3.22)

In order to write the random delay in terms of the normalized split, s_i, for the ith intersection, we recall equation 2.23 from Chapter II for the degree of saturation of the jth link. For a two phase signal this relation becomes:



Figure 3.2 Departures and Queue Length for Uniform Arrivals on the jth Link

$$x_{j} = \begin{cases} \frac{I_{j}(t_{rj} + C)}{G_{j}s_{i}C} = \frac{h_{j}}{s_{i}} & \text{for } j \in \varphi_{A} \\ \\ I_{j}(t_{rj} + C) \\ G_{j}(1 - s_{i})C} = \frac{h_{j}}{1 - s_{i}} & \text{for } j \in \varphi_{B}, \end{cases}$$
(3.23)
where $h_{j} \equiv \frac{I_{j}(t_{rj} + C)}{G_{j}C}$. (3.24)

Now the derivative with respect to the split can be derived as:

$$\frac{d\mathbf{R}_{j}}{d\mathbf{s}_{i}} = \frac{\partial \mathbf{R}_{j}}{\partial \mathbf{x}_{j}} \cdot \frac{\partial \mathbf{x}_{j}}{\partial \mathbf{s}_{i}}$$
$$= \frac{1}{m_{j}(4-m_{j})} \left\{ \frac{2\mathbf{x}_{j} - (2-m_{j})}{\sqrt{1 - (2-m_{j})\mathbf{x}_{j} + \mathbf{x}_{j}^{2}}} + (2-m_{j}) \right\} \cdot \frac{\partial \mathbf{x}_{j}}{\partial \mathbf{s}_{i}}, \quad (3.25)$$

where

$$\frac{\partial x_{j}}{\partial s_{i}} = \begin{cases} \frac{-I_{j}(t_{rj} + C)}{G_{j}C s_{i}^{2}} = \frac{-h_{j}}{s_{i}^{2}} = \frac{-x_{j}^{2}}{h_{j}} & \text{for } j \in \varphi_{A} \\ \\ \frac{I_{j}(t_{rj} + C)}{G_{j}C(1 - s_{i})^{2}} = \frac{h_{j}}{(1 - s_{i})^{2}} = \frac{x_{j}^{2}}{h_{j}} & \text{for } j \in \varphi_{B} \end{cases}$$
(3.26)

It is observed that this form of the random delay and its derivative with respect to the normalized split leads to a very complicated form when attempting to derive the near-optimum split calculation equations. With the use of an appropriate approximation to the random delay derivative, a tractable answer will be shown to exist. The random delay derivative with respect to the degree of saturation, X_j , was evaluated for several different values of m_j and is illustrated in Table 3.1. From this we can see that the first derivative, or slope, and m_j are reciprocals of each other in the oversaturation region ($X_j > 1.0$).

In order to decide upon an acceptable approximation of the random delay with respect to the split, first one must determine the range over which the approximation must hold. We see that

$$\frac{dR_{j}}{ds_{i}} \begin{cases} \leq 0 & \text{for } j \in \varphi_{A} \\ \geq 0 & \text{for } j \in \varphi_{B} \end{cases}$$
(3.27)

The limits of this derivative are:

$$\lim_{s_{i} \neq 1} \left(\frac{ds_{i}}{ds_{i}} \right) = \begin{cases} (3.29) \\ +\infty & \text{for } j \in \Psi_{B} \end{cases}$$

From Section 3.1, we found that the normalized split is constrained within the bounds:

$$\mathbf{s}_{i}(\min) = \frac{\max(\mathbf{g}_{A}, \overline{\mathbf{k}}_{A})}{C} \leq \mathbf{s}_{i} \leq 1 - \frac{\max(\mathbf{g}_{B}, \overline{\mathbf{k}}_{B})}{C} = \mathbf{s}_{i}(\max).(3.30)$$

Х.	TRANSYT $\partial R_{i}/\partial X_{i}$							
5	$m_{j} = 0.0001$	$m_{j} = 0.001$	$m_{j} = 0.01$	$m_j = 0.1$				
0.0	0.000	0.000	0.000	0.000				
0.1	0.059	0.059	0.059	0.058				
0.2	0.141	0.141	0.140	0.138				
0.3	0.260	0.260	0.259	0.249				
0.4	0.444	0.444	0.441	0.412				
0.5	0.750	0.749	0.740	0.659				
0.6	1.312	1.309	1.279	1.045				
0.7	2.526	2.514	2.397	1.667				
0.8	5.991	5.915	5.261	2.651				
0.9	24.589	23.237	15.328	4.061				
1.0	5024.876	507.783	52.381	5.683				
1.1	9975.211	976.946	86.194	7.092				
1.2	9993.765	993.895	94.937	8.077				
1.3	9998.225	997.254	97.511	8.698				
1.4	9998.439	998.449	98.542	9.085				
1.5	9999.000	999.005	99.048	9.331				
1.6	9999.306	999.308	99.331	9.494				
1.7	9999.490	999.491	99.504	9.606				
1.8	9999.609	999.610	99.619	9.685				
1.9	9999.691	999.692	99.698	9.744				
2.0	9999.750	999.750	99.754	9.787				

.

Table 3.1 Random Delay Derivative with Respect to Degree of Saturation

For the usual minimum green and amber time intervals in a 60 unit cycle length,

$$13 \leq \max(g_j, \bar{k}_j) \text{ for all } j. \qquad (3.31)$$

Thus the bounds on the normalized split for a 60 unit cycle length become:

$$0.217 \leq s_{i} \leq 0.783$$
 (3.32)

These bounds can be shown to remain reasonably constant for other cycle lengths. Thus our random delay approximation will be considered for split values between 0.2 and 0.8.

For a typical link having a 2000 vehicle/hour/ lane saturation flow rate, the m_j term will commonly be in the 0.0001 to 0.1 range and the h_j term will be less than 1.0. Table 3.2 represents a tabulation of the dR_j/ds_i relations over the above m_j and h_j ranges. The following random delay approximation was selected:

$$\frac{dR_{j}}{ds_{i}} = \frac{h_{j}}{m_{j}} (K_{1j}s_{i} + K_{2j}) . \qquad (3.33)$$

From Table 3.2, the K_{1j} and K_{2j} constants were calculated to give:

-		Phase A: dR / ds											
h	1,	0.5					1.0						
п	n N	0.01		0.001		0.0001		0.01		0.001	0.0	0.0001	
4	n i												
- C).2	-1	,248.6	-12	498.6	-124	,998.6	-2	,499.6	-24,999.6	-24	9,999.6	
C).3	-	552.5	- 5	,552.4	- 55	5,552.4	-1	,110.6	-11,110.6	-11	1,110.6	
C	.4	-	301.7	- 3	,112.7	- 31	.,237.5	-	624.3	- 6,249.3	- 6	2,499.3	
C).5	-	104.8	- 1	,015.6	- 10	0,049.8	-	399.0	- 3,999.0	- 3	9,999.0	
C	.6	-	10.0	-	11.9	-	12.1	-	276.3	- 2,776.2	- 2	27.776.2	
C	.7	-	2.7	-	2.9	-	2.9	-	201.5	- 2,038.1	- 2	20,405.4	
C	.8	-	1.2	-	1.2	-	1.2	-	150.9	- 1,556.4	- 1	5,618.8	

Table 3.2 Random Delay Derivative with Respect to Split

Note: For the phase B derivative, dR_B/ds_i , replace the s_i heading with 1 - s_i and change the sign of the resulting table values. for $0.2 \le s_i \le 0.8$.

At first, it may appear that this linear approximation to the random delay derivative is overly simplified. However, Robertson points out that even when the degree of saturation, X_j , is as large as 0.9 and the offset is the best possible, typically the random delay is no more than half the total delay (RO1). Thus this author considers this linear approximation adequate for the objectives of this research.

3.4 Near-Optimum Split

From Section 2.1, the objective function for each link j, was given as the weighted sum of the stops and delays or

$$F_{j} = KS_{j} + W_{j}(D_{j} + R_{j}),$$
 (3.35)

and the total network objective function, F, as a summation of the link F_j 's. This can also be written in terms of the node f_j 's, namely

$$\mathbf{F} = \sum_{j=1}^{\ell} \mathbf{F}_{j} = \sum_{i=1}^{n} \mathbf{f}_{i}, \qquad (3.36)$$

where l = the number of links in the network, and

n = the number of nodes within the network.

Assuming that the F_j 's for the links terminating at any node, i, are dependent and those F_j 's associated with any other node are independent of this set, results in:

$$F = \sum_{i=1}^{n} \sum_{j=1}^{\ell_{i}} [KS_{j} + W_{j}(D_{j} + R_{j})], \qquad (3.37)$$

where l_i = the number of links terminating at node i.

The equations for stops and uniform delay from Section 3.2 for each link j can be written in terms of the split as:

$$s_{j} = \begin{cases} (1 - s_{i})Cc_{j} & \text{for } j \in \varphi_{A} \\ s_{i}Cc_{j} & \text{for } j \in \varphi_{B}, \end{cases}$$
(3.38)
$$s_{i}^{2}Cc_{j} & \text{for } j \in \varphi_{B}, \\ D_{j} = \begin{cases} \frac{1}{2} (1 - s_{i})^{2}c^{2}c_{j} & \text{for } j \in \varphi_{A} \\ & & & \\ \frac{1}{2} s_{i}^{2}c^{2}c_{j} & \text{for } j \in \varphi_{B}. \end{cases}$$
(3.39)

where all terms have been previously defined.

Thus, the total network objective function can be written as:

$$F = \sum_{i=1}^{n} \{KC[(1 - s_i) \sum_{j \in \varphi_A} c_j + s_i \sum_{j \in \varphi_B} c_j] + \frac{c^2}{2}[(1 - s_i)^2 \sum_{j \in \varphi_A} W_j c_j + s_i^2 \sum_{j \in \varphi_B} W_j c_j] + \sum_{j \in \varphi_B} W_j R_j \cdot (3.40)$$

where all the links are separated into two sets, namely those belonging to phase A, (φ_A) and phase B, (φ_B) , respectively.

Now considering any node, i, the objective function f_i for all ℓ_i links feeding that node can be minimized with respect to its split, s_i , by the First Derivative Test (OL1) as follows:

$$0 = \frac{d}{ds_{i}} (f_{i}) \stackrel{=}{=} KC[-\sum_{j \in \varphi_{A}} c_{j} + \sum_{j \in \varphi_{B}} c_{j}] + \frac{c^{2}}{2}[-2(1 - s_{i})\sum_{j \in \varphi_{A}} w_{j}c_{j} + 2s_{i}\sum_{j \in \varphi_{B}} w_{j}c_{j}]$$

$$+ s_{j} \Sigma \frac{W_{j}h_{j}K_{lj}}{m_{j}} + \Sigma \frac{W_{j}h_{j}K_{2j}}{m_{j}}. \qquad (3.41)$$

Then solving for the split s_i to provide a near minimization of the objective function for node i, gives:

$$\hat{s}_{i} = \frac{\sum_{j \in \varphi_{A}} (C^{2} W_{j} c_{j} + KCc_{j} - \frac{W_{j} h_{j} K_{2} j_{j}}{m_{j}}) - \sum_{j \in \varphi_{B}} (KCc_{j} + \frac{W_{j} h_{j} K_{2} j_{j}}{m_{j}}}{\sum_{j} (C^{2} W_{j} c_{j} + \frac{W_{j} h_{j} K_{1} j_{j}}{m_{j}})}. (3.42)$$

In order to be sure that \hat{s}_i does indeed minimize the node objective function f_i , the second derivative with respect to the split must be positive or indicate convexity in an ϵ neighborhood about \hat{s}_i . Then by the Second Derivative Test (OL1),

$$\frac{d^2}{ds_i^2} (f_i) = c^2 \sum_{j} w_j c_j + \sum_{j} \frac{w_j h_j K_{1j}}{m_j} > 0, \qquad (3.43)$$

since all terms are positive. Thus the near-optimum split \hat{s}_i can be used for any two phase traffic signal where each phase may have numerous links.

The near-optimum normalized split has been plotted in Figure 3.3 for the case of a two phase signal located at the intersection of two one-way streets. The delay weighting factors, W_j , were assumed equal to unity, the stop factor equal to 4 and saturation flows of 4500 vehicles per hour. From Figure 3.3, it is observed that the split equals 0.5 for any situation having equal arrivals, IN_j , and saturation flows, G_j , on both phases. A more general statement can be made: the normalized split will equal 0.5 for any situation having equal c_j terms. These c_j terms were previously defined as the ratio of the product of the saturation flow and the arrival flow to their difference for the jth link.

3.5 Two-Phase Double Cycling

Sections 3.1 through 3.4 of this chapter have covered the two-phase single cycling cases, however it may be advantageous to use double cycling in certain instances where the traffic flow rates are reasonably light or the cycle length is long. Figure 3.4 illustrates the double cycling timing of a two-phase signal and will constitute a reference for the following derivation. As a direct result of this diagram the following related timing variables are:

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Figure 3.3 Normalized Split Relative to Arrivals





$$t_{rBl} = t_{gAl}$$

$$t_{gBl} = t_{rAl}$$

$$t_{rB2} = t_{gA2}$$

$$t_{gB2} = t_{rA2}$$
(3.44)

The signal split, s_i, is defined to be the sum of the two green intervals and two amber intervals normalized with respect to the cycle length. Alternately,

$$s_{i} = \frac{(t_{rAl} - t_{gAl}) + (t_{rA2} - t_{gA2})}{C}$$
 (3.45)

It is assumed that there exists half cycle symmetry of the timing parameters, that is

$$t_{ij2} = t_{ij1} + \frac{C}{2}$$
 for all i,j. (3.46)

Thus, the split reduces to

$$s_{i} = \frac{2(t_{rAl} - t_{gAl})}{C}$$
 (3.47)

In double cycling, the queue can grow and decay twice during the cycle length, namely; once for each green interval. Thus by analogy to Section 3.2, the stops equation for the jth link entering the subject intersection can be written as:

$$S_{j} = (t_{gjl} - t_{rjl})c_{jl} + (t_{qj2} - t_{rj2})c_{j2} \quad j = A, B. (3.48)$$
Thus, substitution of the split term results in:

$$S_{A} = \frac{C}{2} (1 - s_{i}) (c_{A1} + c_{A2}),$$

$$S_{B} = \frac{C}{2} (s_{i}) (c_{B1} + c_{B2}),$$
(3.49)

where

and

$$c_{jk} = \frac{G_j \qquad IN_{jk}}{G_j - IN_{jk}}$$
(3.50)

and IN_{jk} = the uniform arrival rate for the jth phase during the kth half cycle. Again, similar to the derivation for single cycled signals, the uniform delay can be written as:

$$D_{j} = \frac{1}{2}(t_{gj1} - t_{rj1})^{2}c_{j1} + \frac{1}{2}(t_{gj2} - t_{rj2})^{2}c_{j2}, j = A, B. (3.51)$$

Then, by substitution

$$D_{A} = \frac{C^{2}}{8} (1 - s_{i})^{2} (c_{A1} + c_{A2}),$$

$$D_{B} = \frac{C^{2}}{8} (s_{i})^{2} (c_{B1} + c_{B2}),$$
(3.52)

and

$$\frac{dR_{j}}{ds_{i}} = \frac{h_{j}}{m_{j}}(K_{1j}s_{i} + K_{2j}) \quad j = A, B. \quad (3.53)$$

since the degree of saturation, X_{j} , is the same as for single cycled signals.

Then the near-optimum split \hat{s}_i can be calculated analogously to that of single cycling by substitution into the node objective function. The final result after differentiation is:

$$\hat{s}_{i} = \frac{\sum_{j \in \varphi_{A}} \left[\frac{c^{2}}{4} w_{j}(c_{j1}+c_{j2}) + \frac{KC}{2}(c_{j1}+c_{j2}) - \frac{w_{j}h_{j}K_{2j}}{m_{j}} \right] - \sum_{j \in \varphi_{B}} \left[\frac{KC}{2}(c_{j1}+c_{j2}) + \frac{w_{j}h_{j}K_{2j}}{m_{j}} \right]}{\sum_{j} \left[\frac{c^{2}}{4} w_{j}(c_{j1}+c_{j2}) + \frac{w_{j}h_{j}K_{1j}}{m_{j}} \right]}{m_{j}}, \quad (3.54)$$

which is similar to the single cycling split except that the cycle length C is replaced with C/2 and c_j is replaced by $(c_{j1} + c_{j2})$.

3.6 Non-Overlapping Multiphase Single Cycling

Another important type of signalized intersection employs more than two phases operating in the single cycle mode. Left turn lanes handling heavy flow rates, pedestrian crosswalks and separate bus lanes are a few of the examples requiring multiphased signals. Figure 3.5 illustrates the single cycling of a four-phase traffic signal. From this diagram we note:

$$t_{gB} = t_{rA}$$

$$t_{gC} = t_{rB}$$

$$t_{gD} = t_{rC}$$

$$t_{gA} = t_{rD},$$
(3.55)

where t_{ij} = the cycle unit representing the start of the ith time interval for the jth phase.





For an N phase non-overlapping traffic signal, there are (N-1) split terms. Thus for our four phase example at the ith intersection,

$$s_{ij} = \frac{t_{rj} - t_{gj}}{C}$$
(3.56)

$$s_{j} = (t_{gj} - t_{rj})c_{j}$$
 (3.57)

$$D_{j} = \frac{1}{2}(t_{gj} - t_{rj})^{2}c_{j}$$
 where $j = A, B, C$ (3.58)

The stops and uniform delay equations are then written as:

$$S_{j} = (1 - s_{ij})Cc_{j} \quad j = A,B,C \quad (3.59)$$

$$S_{D} = (s_{iA} + s_{iB} + s_{iC})Cc_{D}$$

$$D_{j} = \frac{1}{2}(1 - s_{ij})^{2}C^{2}c_{j} \quad j = A,B,C \quad (3.60)$$

$$D_{D} = \frac{1}{2}(s_{iA} + s_{iB} + s_{iC})^{2}C^{2}c_{D}$$

Let \overline{S}_i , \overline{D}_i , and \overline{R}_i represent the weighted sums of the stops, uniform and random delay terms for the ith signal, respectively. Then take the first partial derivatives of \overline{S}_i , \overline{D}_i , and \overline{R}_i with respect to the phase splits, s_{ij} to form:

$$\frac{\partial \overline{S}_{i}}{\partial s_{ij}} = KC(c_{D} - c_{j})$$

$$\frac{\partial \overline{D}_{i}}{\partial s_{ij}} = C^{2}[(s_{iA} + s_{iB} + s_{iC})W_{D}c_{D} - (1 - s_{ij})W_{j}c_{j}] \quad (3.61)$$

$$\frac{\partial \overline{R}_{i}}{\partial s_{ij}} = \frac{W_{j}h_{j}}{m_{j}}(K_{1j}s_{ij} + K_{2j}) \quad , \quad j = A,B,C.$$

Then to insure that a minimum does exist for the objective function, f_i , for the ith signal, from section 3.4,

$$f_{i} = \sum_{j=1}^{l} [KS_{j} + W_{j}(D_{j} + R_{j})]$$
$$= \overline{S}_{i} + \overline{D}_{i} + \overline{R}_{i} . \qquad (3.62)$$

The second partial derivatives in turn appear as the forms:

$$L_{jj} = \frac{\partial^{2} f_{i}}{\partial s_{ij}^{2}} = C^{2} (W_{D} c_{D} + W_{j} c_{j}) + \frac{W_{j} h_{j} K_{lj}}{m_{j}} > 0$$

$$L_{kj} = \frac{\partial^{2} f_{i}}{\partial s_{ik} \partial s_{ij}} = C^{2} W_{D} c_{D} > 0, j = A, B, C.$$
(3.63)

By considering

$$L_{jj} = U + V_{j} > 0$$
 (3.64)
 $L_{jk} = U > 0,$

it is easily shown that

$$\Delta = \begin{vmatrix} \mathbf{L}_{AA} & \mathbf{L}_{BA} & \mathbf{L}_{CA} \\ \mathbf{L}_{AB} & \mathbf{L}_{BB} & \mathbf{L}_{CB} \\ \mathbf{L}_{AC} & \mathbf{L}_{BC} & \mathbf{L}_{CC} \end{vmatrix}$$

 $= U(V_{A}V_{B} + V_{A}V_{C} + V_{B}V_{C}) + V_{A}V_{B}V_{C} > 0.$ (3.65)

Thus since the $L_{ij} > 0$ and $\Delta > 0$, the objective function for the ith intersection has a relative minimum of $f_i(s_{iA}, s_{iB}, s_{iC})$ at the point $(\hat{s}_{iA}, \hat{s}_{iB}, \hat{s}_{iC})$.

Then by setting the first derivatives of the intersection's objective function with respect to the phase splits to zero, the general equation becomes:

$$0 = \frac{\partial \overline{S}_{i}}{\partial s_{ij}} + \frac{\partial \overline{D}_{i}}{\partial s_{ij}} + \frac{\partial \overline{R}_{i}}{\partial s_{ij}}$$

= KC(c_D-c_j) + C²[(s_{iA} + s_{iB} + s_{iC})W_Dc_D-(1-s_{ij})W_jc_j]
+ $\frac{W_{j}h_{j}}{m_{j}}(K_{1j}s_{ij} + K_{2j})$ j = A,B,C. (3.66)

By rearranging terms, we have the following set of equations:

$$KC(c_{j} - c_{D}) + (C^{2}c_{j} - \frac{h_{j}K_{2j}}{m_{j}})W_{j}$$

$$= s_{ij}[C^{2}W_{D}c_{D} + (C^{2}c_{j} + \frac{h_{j}K_{1j}}{m_{j}})W_{j}]$$

$$+ (s_{ik} + s_{il})C^{2}W_{D}c_{D}$$

$$j,k,l = A,B,C \text{ and } j \neq k \neq l. \quad (3.67)$$

Let

$$y_{j} = KC(c_{j} - c_{D}) + (C^{2}c_{j} - \frac{h_{j}K_{2j}}{m_{j}})W_{j}$$

$$w_{j} = C^{2}W_{D}c_{D} + (C^{2}c_{j} + \frac{h_{j}K_{1j}}{m_{j}})W_{j}$$
(3.68)

$$w = C^{2}W_{D}c_{D} \qquad j = A,B,C.$$

Then the set of equations can be written in matrix form as:

$$\begin{bmatrix} \mathbf{y}_{A} \\ \mathbf{y}_{B} \\ \mathbf{y}_{C} \end{bmatrix} = \begin{bmatrix} \mathbf{w}_{A} & \mathbf{w} & \mathbf{w} \\ \mathbf{w} & \mathbf{w}_{B} & \mathbf{w} \\ \mathbf{w} & \mathbf{w} & \mathbf{w}_{C} \end{bmatrix} \begin{bmatrix} \mathbf{s}_{iA} \\ \mathbf{s}_{iB} \\ \mathbf{s}_{iC} \end{bmatrix}$$

or

$$\underline{\mathbf{Y}} = \underline{\mathbf{W}} \cdot \underline{\mathbf{S}}_{\mathbf{i}} \tag{3.69}$$

The set of splits for our multiphase situation can be determined by pre-matrix multiplication by \underline{W}^{-1} or,

$$\underline{\mathbf{s}}_{\mathbf{i}} = \underline{\mathbf{W}}^{-1} \cdot \underline{\mathbf{Y}} \tag{3.70}$$

The matrix \underline{S}_i is a unique vector of phase splits for the ith intersection provided $|\underline{W}| \neq 0$; a condition which can be shown true if $w_j \neq w \neq 0$ for all j.

This matrix representation can be used for any number of phases $(N \ge 2)$ constituting any signal's requirements. If there are N phases, then there will be (N-1) phase splits and the \underline{S}_i , \underline{W}^{-1} , and \underline{Y} matrices will have dimensions equal to (N-1) by 1, (N-1) by (N-1), and (N-1) by 1, respectively.

To extend this result to apply to situations where there are multiple links per phase, simply replace the y_j , and w_j with $\sum_{j=1}^{j} y_j$ and $\sum_{j=1}^{j} w_j$ respectively.

3.7 Overlapping Multiphase Single Cycling

The more general form of multiphase single cycling has some overlapping of green time intervals between the various signal phases.

Consider Figure 3.6 of a specific example of an overlapping four phase signal.

The respective red, green, and amber time intervals for this signal are illustrated in Figure 3.7. Note that there are only 2 independent phase split terms required, namely for phases A and C since the phase B green is an overlap of the greens of phases A and C. Phase D is a dependent phase.

Similar to Section 3.6, we can write:

$$t_{gB} = t_{gA} = t_{rD}$$

$$t_{gC} = t_{rA}$$

$$t_{gD} = t_{rB} = t_{rC}$$
(3.71)

Thus for an N phase traffic signal with M overlapping green time intervals, there are (N - M - 1) split terms. These splits are

$$s_{ij} = \frac{t_{rj} - t_{gj}}{C} \text{ where } j = A,C. \qquad (3.72)$$

Then the stops and uniform delay terms can be written as:

$$S_{j} = \begin{cases} (1 - s_{ij})^{CC} \\ (1 - s_{iA} - s_{iC})^{CC} \\ (s_{iA} + s_{iC})^{CC} \\ (s_{iA} + s_{iC})^{CC} \\ (s_{iA} - s_{iC})^{CC} \\ (s_{iA} -$$

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Figure 3.6 Four-Phase Overlapping Signal Model





$$D_{j} = \begin{cases} \frac{1}{2}(1 - s_{ij})^{2}c^{2}c_{j} \\ \frac{1}{2}(1 - s_{iA} - s_{iC})^{2}c^{2}c_{B} \quad j = A, C. \\ \frac{1}{2}(s_{iA} + s_{iC})c^{2}c_{D} \end{cases}$$
(3.74)

In an analogous manner to the non-overlapping green's case of Section 3.6, it can be shown that:

$$\frac{\partial \overline{S}_{i}}{\partial s_{ij}} = KC(c_{D} - c_{B} - c_{j})$$

$$\frac{\partial \overline{D}_{i}}{\partial s_{ij}} = C^{2}[(s_{iA} + s_{iC})W_{D}c_{D} - (1 - s_{iA} - s_{iC})W_{B}c_{B} - (1 - s_{ij})W_{j}c_{j}]$$

$$\frac{\partial \overline{R}_{i}}{\partial s_{ij}} = \frac{W_{j}h_{j}}{m_{j}}(K_{1j}s_{ij} + K_{2j}) , j = A,C. \qquad (3.75)$$

The minimum of the objective function, f_i , was obtained from examination of the second partial derivatives:

$$L_{jj} = \frac{\partial^{2} f_{i}}{\partial s_{ij}^{2}} = c^{2} [W_{D}c_{D} + W_{B}c_{B} + W_{j}c_{j}] + \frac{W_{j}h_{j}K_{lj}}{m_{j}} > 0$$

$$L_{jk} = \frac{\partial^{2} f_{i}}{\partial s_{ik}\partial s_{ij}} = c^{2} [W_{D}c_{D} + W_{B}c_{B}] > 0 \qquad j = A,C.$$
(3.76)

Then using equation set 3.64, it can be shown that

$$\Delta = \begin{vmatrix} \mathbf{L}_{\mathbf{A}\mathbf{A}} & \mathbf{L}_{\mathbf{C}\mathbf{A}} \\ \mathbf{L}_{\mathbf{A}\mathbf{C}} & \mathbf{L}_{\mathbf{C}\mathbf{C}} \end{vmatrix} = \begin{vmatrix} \mathbf{U} + \mathbf{V}_{\mathbf{A}} & \mathbf{U} \\ \mathbf{U} & \mathbf{U} + \mathbf{V}_{\mathbf{C}} \end{vmatrix}$$
$$= \mathbf{U}(\mathbf{V}_{\mathbf{A}} + \mathbf{V}_{\mathbf{C}}) + \mathbf{V}_{\mathbf{A}}\mathbf{V}_{\mathbf{C}} > 0, \qquad (3.77)_{-}$$

insures that the objective function has a relative minimum. This minimum $(\hat{s}_{iA}, \hat{s}_{iC})$ results from

$$0 = \frac{\partial f_{i}}{\partial s_{ij}} = KC[c_{D} - c_{B} - c_{j}] + C^{2}[(s_{iA} + s_{iC})W_{D}c_{D} - (1 - s_{iA} - s_{iC})W_{B}c_{B} - (1 - s_{ij})W_{j}c_{j}] + \frac{W_{j}h_{j}}{m_{j}}(K_{1j}s_{ij} + K_{2j})$$

$$j = A, C. \qquad (3.78)$$

Rearrangement of terms provides:

$$KC[c_{j} + c_{B} - c_{D}] + C^{2}(W_{j}c_{j} + W_{B}c_{B}) - \frac{K_{2j}W_{j}h_{j}}{m_{j}}$$

$$= s_{ij}[C^{2}(W_{D}c_{D} + W_{B}c_{B}) + (C^{2}c_{j} + \frac{K_{1j}h_{j}}{m_{j}})W_{j}]$$

$$+ s_{ik}C^{2}(W_{D}c_{D} + W_{B}c_{B})$$

$$j,k = A,C$$

$$j \neq k.$$
(3.79)

The above equation can be set into matrix representation by letting

$$y_{j} = KC(c_{j} + c_{B} - c_{D}) + C^{2}(c_{j}W_{j} + c_{B}W_{B}) - \frac{K_{2j}W_{j}h_{j}}{m_{j}}$$
$$w_{j} = C^{2}(c_{D}W_{D} + c_{B}W_{B}) + (C^{2}c_{j} + \frac{h_{j}K_{1j}}{m_{j}})W_{j} \qquad (3.80)$$
$$w = C^{2}(c_{D}W_{D} + c_{B}W_{B}).$$

Then

$$\begin{bmatrix} \mathbf{y}_{\mathbf{A}} \\ \mathbf{y}_{\mathbf{C}} \end{bmatrix} = \begin{bmatrix} \mathbf{w}_{\mathbf{A}} & \mathbf{w} \\ \mathbf{w} & \mathbf{w}_{\mathbf{C}} \end{bmatrix} \begin{bmatrix} \mathbf{s}_{\mathbf{i}\mathbf{A}} \\ \mathbf{s}_{\mathbf{i}\mathbf{C}} \end{bmatrix}$$

or

$$\underline{\mathbf{Y}} = \underline{\mathbf{W}} \cdot \underline{\mathbf{S}}_{\mathbf{i}} \quad . \tag{3.81}$$

By comparing these results with those from Section 3.6, we can see that each overlapping green reduces the dimensions of the W matrix by 1.

3.8 Split Sensitivity

It has been shown in Kreer (KRl) that signal timing histograms developed by TRANSYT are insenitive to large volume changes within the network unless the volume changes cause one or more intersections to become saturated.

Knowledge of the sensitivity of the split equation will be of value to the traffic engineer when planning initial or changes to his traffic signal timing system. In order to determine the magnitude of the split sensitivity to cycle length, primary and secondary arrival flows, the split equation of Section 3.4 was used. For the ith node, the following sensitivity equations were derived from the split equation by ordinary calculus methods:

$$\frac{\Delta \hat{\mathbf{s}}_{\mathbf{i}}}{\Delta \mathbf{C}} \approx \frac{\partial \hat{\mathbf{s}}_{\mathbf{i}}}{\partial \mathbf{C}} = \frac{2\mathbf{C}[\mathbf{W}_{\mathbf{A}}\mathbf{c}_{\mathbf{A}}(1-\hat{\mathbf{s}}_{\mathbf{i}}) - \mathbf{W}_{\mathbf{B}}\mathbf{c}_{\mathbf{B}}\hat{\mathbf{s}}_{\mathbf{i}}] + \mathbf{K}(\mathbf{c}_{\mathbf{A}} - \mathbf{c}_{\mathbf{B}})}{\text{denom.}},$$

$$\frac{\Delta \hat{\mathbf{s}}_{\mathbf{i}}}{\Delta \mathbf{I}\mathbf{N}_{\mathbf{A}}} \approx \frac{\partial \hat{\mathbf{s}}_{\mathbf{i}}}{\partial \mathbf{I}\mathbf{N}_{\mathbf{A}}} = \frac{\mathbf{C}[\mathbf{K} + \mathbf{C}\mathbf{W}_{\mathbf{A}}(1-\hat{\mathbf{s}}_{\mathbf{i}})]}{\text{denom.}}(\frac{\mathbf{c}_{\mathbf{A}}}{\mathbf{IN}_{\mathbf{A}}})^{2}, \text{ and}$$

$$\frac{\Delta \hat{\mathbf{s}}_{i}}{\Delta \mathbf{IN}_{B}} \approx \frac{\partial \hat{\mathbf{s}}_{i}}{\partial \mathbf{IN}_{B}} = \frac{C\left[K + CW_{B}\hat{\mathbf{s}}_{i}\right]}{denom.} \left(\frac{c_{B}}{\mathbf{IN}_{B}}\right)^{2} ,$$

where denom. = $C^{2}(W_{A}c_{A} + W_{B}c_{B}) + \frac{W_{A}h_{A}K_{1A}}{m_{A}} + \frac{W_{B}h_{B}K_{1B}}{m_{B}} .$

To illustrate these sensitivities, consider the intersection of two one-way streets controlled by a two phase signal with the following values:

- Cycle length, C = 60 seconds, divided into
 60 cycle units,
- 2. Delay weighting factors, $W_A = W_B = 1$,
- 3. Stop penalty factor, K = 4,
- 4. Saturation flows, $G_A = G_B = 4500$ vehicles/ hour,

5. Random delay terms, $h_A/m_A = h_B/m_B = 50$. These sensitivity equations are then solved for the ΔC , ΔIN_A , and ΔIN_B values which can be tolerated without varying the split, s_i , by ± 1 cycle units. For example, consider the situation where the primary and secondary flow rates are initially equal to 500 and 250 vehicles/hour respectively. The primary flow rate can increase by 6% to 530 vehicles/hour before the integer valued split estimate will increase by one cycle unit (0.0167 for the normalized split). A number of other variations in the cycle length, primary and secondary flow rates have been evaluated and summarized in Table 3.3.

low Rates	Normalized cn1it	Variation for a	Split Change o	f <u>+</u> 1 Cycle Unit	1 1
IN _B	spirc Si	∆C (seconds)	ΔIN _A	∆IN _B	1
/ TNOIT /e		(eninope)		(INOII / 82	1
250	.500	8	<u>+</u> 13.9	<u>+</u> 13.9	
250	.704	<u>+</u> 40.6	+30.0	<u>+</u> 15.9	
250	.809	∓ 27.0	+52.3	<u>+</u> 19.7	
250	.873	<u>+</u> 22.5	+80.8	+24.5	
500	.296	<u>+</u> 40.6	<u>+</u> 15.9	<u>+</u> 30.0	
500	.500	8	+26.1	+26.1	
500	.631	+ 64.1	+38.8	+27.6	
500	.722	. 7.9	+54.0	<u>+</u> 30.9	1
1000	.127	+22.5	+24.5	+80.8	
1000	.278	+37.9	+30.8	<u>+</u> 54.0	
1000	.400	+84.2	<u>+</u> 37.9	<u>+</u> 47.2	
1000	.500	8	<u>+</u> 45.7	+45.7	
	<pre>Iow Rates INB S/hour) 250 250 250 500 500 500 1000 1000 1000</pre>	Iow RatesNormalizedINSplitSplitSplitSylitSplitSylitSplitSylour)S500250.500250.873250.873250.873250.873500.296500.296500.2961000.7221000.7221000.1271000.2001000.200	Iow Rates Normalized Split Variation for a split INB Split AC Sylit Split AC s/hour) 500 .500 ∞ 250 .500 ∞ ΔC 250 .704 ∓ 40.6 ∓ 27.0 250 .809 ∓ 27.0 ∞ 250 .873 ∓ 22.5 ∞ 500 .296 ± 40.6 ∞ 500 .296 ± 40.6 ∞ 500 .296 ± 40.6 ∞ 1000 .296 ± 40.6 ∞ 500 .291 ∓ 22.5 ∓ 37.9 1000 .127 ± 22.5 ∓ 37.9 1000 .127 ± 22.5 ∓ 37.9 1000 .278 ± 37.9 ± 37.9 1000 .278 ± 37.9 ± 37.9 1000 .278 ± 37.9 ± 37.9 1000 .400 ± 84.2	Iow RatesNormalizedVariation for a Split Change o Split aSplit AC ΔN_A NehiclIN s/hour)Split Split ΔC ΔIN_A (seconds) ΔIN_A (vehicl)250.500 \sim ± 40.6 ± 13.9 250.809 ∓ 27.0 ± 30.0 250.809 ∓ 27.0 ± 52.3 250.873 ∓ 22.5 ± 80.8 500.296 ± 40.6 ± 15.9 500.290.296 ± 40.6 500.290.7722 ∓ 37.9 1000.127 ± 22.5 ± 24.5 1000.278 ± 37.9 ± 37.9 1000.278 ± 37.9 ± 37.9 1000.500.500 -500 2500 .500 ± 22.5 ± 24.5 1000.278 ± 37.9 ± 37.9 1000.500 -500 ± 24.5 1000.500 -500 ± 137.9 1000.500 ± 137.9 ± 37.9 1000.500 -500 -500 -500 -500 -500 -500 -500 ± 17.9 -500 -500 -500 -500 -500 -500 -500 -500	Iow Rates Normalized Variation for a Split Change of ± 1 Cycle Unit Split Cycle Unit AC IN $Split$ ΔIN_{a} ΔIN_{a} sylbur) Split ΔIN_{a} ΔIN_{a} sylbur) (seconds) ΔIN_{a} ΔIN_{a} sylbur) (seconds) $(rehicles/hour)$ ΔIN_{a} 250 .500 \approx ± 13.9 ± 13.9 250 .803 ∓ 27.0 ± 52.3 ± 19.7 250 .803 ∓ 27.0 ± 52.3 ± 19.7 250 .873 ∓ 22.5 ± 80.8 ± 24.5 500 .296 ± 40.6 ± 15.9 ± 26.1 500 .201 500 ∞ ± 26.1 500 .500 .722 ∓ 38.8 ± 26.1 500 .722 ∓ 37.9 ± 24.5 ± 80.8 1000 .127 ± 22.5 ± 24.5 ± 80.8 1000 .127 ± 37.9 ± 30.6

Table 3.3: Split Sensitivity

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One can conclude that the sensitivity of the split equation is dependent upon the actual magnitude of the cross flow rates in addition to their relative values.

CHAPTER IV

ARTERIAL OFFSET CALCULATION

4.1 Time-Space Diagrams

For years, traffic engineers have used timespace diagrams as a visual aid in studying the behavior of vehicle movement on an arterial. These diagrams illustrate the split for each signalized intersection along an arterial as well as the offsets between the signals. It should be noted that the vehicle speeds between any two adjacent signals can be different from those for any other set of adjacent signals. This results in the outbound and inbound green bands taking on a zig-zag slope (Figure 4.1) instead of the constant slope bands for constant velocity along the entire street (Figure 4.2).

4.2 Maximal Arterial Bandwidth

Now consider an urban bidirectional roadway with a number of signalized intersections all with a common cycle time. The widths of the outbound and inbound progression green bands, shown in Figures 4.1 and 4.2, are the outbound and inbound maximal bandwidths, respectively. A progression is determined by the splits, the offsets, and the cycle length.

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Figure 4.1 Typical Time-Space Diagram



Figure 4.2 Typical Constant Velocity Time-Space Diagram

The objective function for an arterial is sometimes chosen as the maximization of bandwidth. Morgan and Little (LM1) have developed an algorithm based upon this concept where they offer solutions to the following two problems:

 Given a common cycle length, splits for each signal, the vehicle speeds between adjacent signals, determine the set of offsets which will produce bandwidths which are equal in each direction and as large as possible, and

2. Adjust the set of offsets to increase one of the two bandwidths, when possible, while giving the other direction the largest bandwidth then possible.

Before proceeding, a set of terms need to be defined for the remainder of the maximal bandwith discussion. These are:

- r = red time for node j on the street being studied (cycles),
- b(b) = outbound (inbound) directional bandwidth (cycles),
- x = location of node j downstream from the reference point (feet),

 $(\tau_{ij}, (\tau_{ij})) = travel time from node i to node j in$ the outbound (inbound) direction (cycles),

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By convention, $0 \le \theta_{ij} < 1$ and the set $\{\theta_{ij} | j = 1, ..., m\}$ for any i is called a synchronization of the m signals along the street in question.

The travel times between the ith and jth signals are determined from

$$\tau_{ij} = \begin{cases} j-1 \\ \Sigma & \tau_{k,k+1} & j > i \\ 0 & j = i \\ i-1 \\ -\Sigma & \tau_{k,k+1} & j < i \\ k=j & \kappa, k+1 & j < i \end{cases}$$
 (4.1)

and the τ_{ij} are obtained by replacing each τ with $\overline{\tau}$. Then for two sequential intersections, namely i and (i + 1),

$$\tau_{i,i+1} = \frac{x_{i+1} - x_{i}}{v_{i}C}$$

$$\overline{\tau}_{i,i+1} = \frac{x_{i} - x_{i+1}}{\overline{v}_{i}C} .$$
(4.2)

By definition, a signal is called 'critical' if one side of its red touches the green band in one direction and the other side touches the green band in the other direction. Morgan and Little's approach was to maximize the directional bandwidths b and \overline{b} . It was determined that all critical signals must fall into at least one of the two groups defined as follows:

- a) Group 1: consist of signals with reds touching the front of the outbound and the rear of the inbound, and
- b) Group 2: where reds touch the front of the inbound and the rear of the outbound.

With the aid of Figures 4.3, 4.4, and 4.5 for the various combinations of Group 1 and 2 signals the offset relations can be written as:

$$0 \leq \theta_{ij} = \frac{1}{2}(\tau_{ij} + \bar{\tau}_{ij}) + \frac{1}{2}(integer) < 1.$$
 (4.3)

This leads to two possible solutions (0 and 1/2) and is 'half-integer synchronization' for the maximal equal bandwidth case.

By defining man (\cdot) = mantissa of (\cdot) ; obtained by dropping the integer portion and adding unity if the result is negative; and $d_{ij} = 0$, 1/2, we have



Figure 4.3 Two Group 1 Signals Limiting the Green Band









$$\theta_{ij} = \max[\frac{1}{2}(\tau_{ij} + \bar{\tau}_{ij}) + d_{ij}].$$
(4.4)

If the ith signal's red touches the front of the outbound green band, it appears as shown in Figure 4.3a. By taking the right side of the ith's red as the origin, the trajectory (not shown) touching the right side of the jth's red passes the ith signal at a point in time:

$$u_{ij} = 1 - man \left[\frac{1}{2}(r_i - r_j) + \frac{1}{2}(\tau_{ij} - \overline{\tau}_{ij}) - d_{ij}\right] . (4.5)$$

The trajectory touching the left of the jth red passes the ith signal at $u_{ij} - r_j$. Thus since $d_{ij} = 0$ or 1/2and the ith red must touch the front, we get the main result of Morgan and Little's paper, namely:

$$B = \max\{\max \min \max [u_{ij}(d_{ij}) - r_j], 0\}, \qquad (4.6)$$

i j d_{ij}
and a maximal equal bandwidth synchronization,
$$\{\theta_{cl}, \dots, \theta_{cm}\}.$$

Now in an effort to summarize the Morgan-Little offset method for equal bandwidths, the next five steps are listed.

1. Calculate the set $Y = (y_j | j \in [1,m])$ from $y_1 = 0$ $y_j = y_{j-1} - \frac{1}{2}(r_j - r_{j-1})$ $+ \frac{1}{2C} (x_j - x_{j-1}) (\frac{1}{v_{j-1}} + \frac{1}{\bar{v}_{j-1}})$. (4.7)

2. Calculate the set
$$Z = (z_j | j \in [1,m])$$

from $z_1 = 0$
 $z_j = z_{j-1} + \frac{1}{2C} (x_j - x_{j-1}) (\frac{1}{v_{j-1}} - \frac{1}{v_{j-1}}) . (4.8)$
3. Calculate the set $U = (u_{ij} | i, j \in [1,m])$
from $u_{ij}(d) = 1 - man(y_j - y_i - d_{ij}),$
 $d_{ij} = 0, 1/2.$ (4.9)

4. After completing the previous three steps, we have the maximum equal directional bandwidths

$$B = \max\{\max \min \max (u_{ij}(d_{ij}) - r_{j}), 0\}.$$
 (4.10)
i j d_{ij}

5. Then a two-way synchronization $\begin{pmatrix} \theta \\ cl \end{pmatrix}$, $\begin{pmatrix} \theta \\ cl \end{pmatrix}$ for maximal bandwidths is determined from

$$\theta_{cj} = man(z_j - z_c + d_{cj}).$$
 (4.11)

In general, either the outbound or the inbound flow is greater; very seldom will they be exactly equal. This unequal flow condition is a function of the time of day and relative locations of residential, work, and recreational areas in any given geographical setting. The resulting morning and afternoon peak traffic flows may dictate different bandwidths as a function of time during the day or week.

Morgan and Little suggested dividing the total available bandwidth, 2B, between the two directions on the basis of platoon lengths p and \bar{p} , where they defined platoon length (in seconds) as the hourly volume times the vehicle headway divided by 3,600. The shifting procedure used to obtain the unequal directional bandwidths, b and \overline{b} , was developed from Figure 4.6. To shift the front of the outbound band from f to f' in order to obtain the directional bandwidth b, we have

$$\alpha_{j} = \max[(u_{cj} - 1) - (B - b), 0] \qquad (4.12)$$

for

$$\max[0,B] \leq b \leq g$$
.

To obtain the inbound bandwidth \overline{b} , the shift of the rear of the inbound band from r to r' is achieved by

$$\alpha_{j} = \max[\bar{b} - (u_{cj} - r_{j}), 0]$$
 (4.13)

for

$$\max[0,B] \leq \overline{b} \leq g.$$

An important point to remember is that only one of these bandwidth shifts can be made for any one arterial since the second is dependent on the other and the total available bandwidth.

Summarizing the unequal directional flow cases, we take the following steps.

The total bandwidth can be divided between the two opposing directions by first calculating the minimum green time band.





$$g = \min(1 - r_{i}) = 1 - \max(r_{i}). \quad (4.14)$$

Then for the inbound arrivals less than the outbound $(\bar{p} < p)$.

1. Calculate the larger bandwidth from

$$b = \begin{cases} \min(g, 2B p/(p + \bar{p})) & \text{if } p + \bar{p} \leq 2B \\ g & p \geq 2B \\ \min(g, p) & \text{other} \end{cases}$$
(4.15)

2. Calculate the adjustment set (a₁,...,a_m) from

$$\alpha_{j} = \max(u_{cj} - 1 + b - B, 0), \qquad (4.16)$$

where the u_{cj}'s were a result of step 3 above.

3. Calculate the smaller bandwidth from

$$\bar{b} = \max(2B - b, 0).$$
 (4.17)

4. Then an adjusted two-way synchronization $(\theta_{cl}, \dots, \theta_{cm})$ is obtained from

$$\theta_{cj} = \max(z_j - z_c + d_{cj} - \alpha_j). \qquad (4.18)$$

If the opposite case exists $(\bar{p} > p)$, then 1. Calculate the larger directional bandwidth from

$$\bar{\mathbf{b}} = \begin{cases} \min(\mathbf{g}, 2\mathbf{B} \ \bar{\mathbf{p}}/(\mathbf{p} + \bar{\mathbf{p}})) \text{ if } \mathbf{p} + \bar{\mathbf{p}} \leq 2\mathbf{B} \\ \mathbf{g} & \bar{\mathbf{p}} \geq 2\mathbf{B} \\ \min(\mathbf{g}, \bar{\mathbf{p}}) & \text{other} \end{cases}$$

2. Calculate the adjustment set $(\alpha_1, \ldots, \alpha_m)$ from

$$\alpha_{j} = \max(\bar{b} + r_{j} - u_{cj}, 0).$$
 (4.20)

.

 Calculate the smaller directional bandwidth from

$$b = max(2B - \bar{b}, 0).$$
 (4.21)

4. Then an adjusted two-way synchronization

$$(\theta_{cl}, \ldots, \theta_{cm})$$
 is obtained from

$$\theta_{cj} = man(z_j - z_c + d_{cj} - \alpha_j).$$
 (4.22)

CHAPTER V

TRANSYT/G OFFSET CALCULATION

Now that the maximal arterial bandwidth concept of Morgan and Little has been described in Chapter IV, several modifications will be presented. Even though the basic maximal bandwidth procedure has appeal for non-iterative offset calculations, this author considers this algorithm to have several disadvantages, namely:

- The criterion given for the apportionment of unequal bandwidths does not include turning movements,
- 2. Queue growth and decay are ignored, and
- 3. The arterial concept needs to be extended to networks

Because of these disadvantages, the set of Morgan-Little offsets may or may not minimize the previously defined objective function of stops and delays for a network.

A reasonably good set of signal offsets may be obtained provided that the effects of these above mentioned detriments are sufficiently minimized. This author feels that an optimization procedure such as the hillclimbing method is not required. In its place,

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Morgan and Little's method was used as a base from which the TRANSYT/G offsets were determined.

In addition to the terms defined in Chapter IV, several terms pertaining to the TRANSYT/G offset adjustments are listed below.

- $\gamma_{cj}(\bar{\gamma}_{cj})$ = the excess green to the right of the rear edge of the outbound (inbound) bandwidth for node j relative to the critical node (cycles),
 - $\mu(\bar{\mu})$ = the outbound (inbound) average cumulative arrivals, and

5.1 Unequal Bandwidth Apportionment

In the opinion of this author, Morgan and Little's definition of platoon length does not reflect the dependence upon turning movements and thus is not responsive enough to the vehicle flow rates within the system. Therefore it is suggested that the total bandwidth should be apportioned between the two directions of flow on the basis of the cumulative number of arrivals, $I_j(t_{rj} + C)$, for each direction of flow. The turning movements are taken into account in the definitions of the average arrival

demand, μ . The cumulative arrivals averaged over all the links comprising the outbound direction of flow is

$$\mu = \frac{1}{m} \sum_{j=1}^{m} I_{j}(t_{rj} + C).$$
 (5.1)

There exists a similar relation μ for the inbound direction. Since the cumulative arrival terms are reasonably estimated in the TRANSYT/G flow model, the turning movements can exert their influence upon the offset determinations.

As an illustration of this total bandwidth apportionment, consider the two three-signal arterials of Figure 5.1. In both cases, (a) and (b), Morgan and Little would apportion the total bandwidth equally since

$$p = \bar{p} = \frac{1000}{3600} = 0.277$$
 (5.2)

This author would apportion the total bandwidth unequally for both cases. In case (a) where $\mu < \overline{\mu}$,

$$\mu = \frac{1000 + 300 + 300}{3} = 533., \text{ and}$$

$$\bar{\mu} = \frac{1000 + 1000 + 1000}{3} = 1000.$$
(5.3)

For case (b), $\mu > \overline{\mu}$ and

$$\mu = \frac{1000 + 1500 + 1500}{3} = 1333.,$$

$$\overline{\mu} = \frac{1000 + 1000 + 1000}{3} = 1000.$$
(5.4)





With the aid of Figure 5.1, it is obvious that unequal bandwidths are called for, thus the use of $\mu(\overline{\mu})$ is preferred to $p(\overline{p})$ for apportionment.

5.2 Excess Green Adjustment

Now the second shortcoming of Morgan and Little's offset method will be alleviated, namely the consideration of the requirements imposed on the offsets by the need to start the clearing of queues prior to the arrival of the next platoon.

Panyan (PA1) describes the situation where the progression band occupies the total green time of the signal having the minimum green. He suggests shifting <u>all</u> the excess green of the remaining signals to the left, earlier in time, to allow the queues to start decaying prior to the arrival of the next platoon. This queue clearance shift of excess green time can be derived from Figure 5.2 by taking a reference point at the center of the Group 1 critical signal's red time interval. For signal j, we have:

$$\gamma_{cj} = \max[\theta_{cj} - \frac{1}{2}(r_{c} + r_{j}) - b - \tau_{cj} \operatorname{sgn}(j - c)]$$
(5.5)
$$\overline{\gamma}_{cj} = \max[\theta_{cj} + \frac{1}{2}(r_{c} - r_{j}) + \overline{\tau}_{cj} \operatorname{sgn}(j - c)]$$

where these excess green times lie within the following bounds:




$$0 \leq \gamma_{cj} \leq 1 - r_{j} - b,$$

$$0 \leq \overline{\gamma}_{cj} \leq 1 - r_{j} - \overline{b}.$$
(5.6)

Since the magnitude of γ_{cj} and $\bar{\gamma}_{cj}$ are unequal for the unequal bandwidth cases, one only wants to shift the excess green of the jth signal by the smaller of the γ_{cj} and $\bar{\gamma}_{cj}$ quantities so as not to restrict either directional bandwidth. Thus the shift of the jth offset relative to the critical node, c, would be

$$\beta_{cj} = \min(\gamma_{cj}, \overline{\gamma}_{cj}) \ge 0.$$
 (5.7)

This queue clearance shift would be applied to all signals other than the critical signals resulting in the start of all red times touching the rear of the progression green bands.

There is one important drawback to Panyan's shift of all the available excess green time, namely: that none of the excess green is available to the right of the progression band for stragglers at the end of the platoon. Thus Panyan's 100% excess green shift may not be the optimum choice. This author proposes that the excess green shift should be some intermediate value between Panyan's 100% shift and the 0% shift inherent in the Morgan-Little method. This proposed shift for the jth signal is made relative to the Morgan-Little offsets, θ_{cj} , described in Chapter IV. The resulting TRANSYT/G offsets are:

$$\phi_{cj} = \max[\theta_{cj} - k_j \beta_{cj} \operatorname{sgn}(j - c)] \qquad (5.8)$$

where the multiplicative shift terms, k_j , range between 0.0 and 1.0 and are determined during the initial checkout of each network application.

Again referring to Figure 5.2, consider the possibility that the jth signal is also critical, j = c', along our selected street. Two possibilities exist: c' belongs to either Group 1 or to Group 2. It is noted that for $c \in$ Group 1, and

or $c' \in \text{Group 1, } \gamma_{cc'} \neq 0 \text{ and } \overline{\gamma}_{cc'} = 0,$ $c' \in \text{Group 2, } \gamma_{cc'} = 0 \text{ and } \overline{\gamma}_{cc'} \neq 0.$ (5.9)

In either situation, β_{cc} = 0 and is the expected shift between two critical signals along the same street.

5.3 Network Considerations

Now that the author's offsets have been developed for arterials, an extension to networks requires the proper interface of signal timings between the arterials and cross streets.

The offsets for a general network are determined by applying the author's arterial offset concept to a subset of all the possible streets within the network according to the following constraints. Each signalized street selected will be referred to as a vein. When constructing a vein-interconnection model:

- Each intersection must be included in one of the veins,
- All veins after selection of the first must begin at an intersection belonging to a previously selected vein to insure proper relative timing,
- No closed loops are to be formed when selecting these veins,
- Attempt to select streets with the heavier traffic flows as veins first, and
- 5. Attempt to select as few veins as possible. The vein-interconnection model is simply an over-

lay of the general network such that no set of veins form a closed loop. It forms the sequential order in which the offsets are estimated.

The first three rules are inherent in this author's offset method while rules 4 and 5 generally help one construct a vein-interconnection model having a lower final objective function value.

The actual equations for the start of the green and red time intervals can be visualized from Figures 5.2 and 5.3. By definition, let

 δ = the relative shift due to the phasing at the interconnecting node between two veins, such as at node j in Figure 5.3.





Since node 1 is the first to be considered in our example, we can select $\delta = 0$, or any other convenient value. Thus for $\delta = 0$ on vein 1, the φ_A green for node 1 will start at zero. Next, the φ_A green for node 2 starts at man $[\frac{1}{2}(r_2 - r_1) + \phi_{c2} - \phi_{c1}]$. For vein 2, belonging to φ_B here, δ equals the newly calculated φ_A node j starting green time minus its red time interval. In summary, the green and red time intervals on a two-way vein are shifted according to:

$$t'_{gj} = man[\frac{1}{2}(r_j - r_l) + \phi_{cj} - \phi_{cl} + \delta]$$
 (5.6)

where

$$\delta = \begin{cases} 0 & \text{for vein 1} \\ t_{gi}' - r_{i} & \text{for all other veins where} \\ & i & \text{is the cross phase at the} \\ & \text{interconnecting node} \end{cases}$$

and

 $t'_{rj} = t'_{gj} + (t_{rj} - t_{gj}) \text{ for } 1 \le j \le n \quad (5.7)$

The primed and unprimed symbols represent the new and previous values respectively.

If the vein is a one-way, there is only one bandwidth and it equals the minimum green of all the nodes associated with that particular vein. In this case, equation 5.6 is replaced by

$$t'_{gj} = man[r_j - r_l + \tau_{lj} + (1 - k_j)(1 - r_j - g) + \delta]$$
(5.8)

In the next chapter, two applications to general urban traffic networks will be illustrated in detail.

CHAPTER VI

NETWORK APPLICATIONS

Now that this author's signal timing method has been developed in the previous chapters, two applications to actual urban areas will be illustrated. First, a 21 intersection portion of the Ft. Wayne, Indiana downtown area was selected for comparison of TRANSYT/G versus the standard hillclimbing TRANSYT. Certain noncritical modifications of the network configuration were made. Vehicle volumes, speeds, turning movements and physical dimensions were supplied by Ft. Wayne officials.

The second application consisted of a modified 38 intersection portion of Washington, D.C. This location was selected due to availability of geometric and traffic data similar to the Ft. Wayne data.

To evaluate the effectiveness of the new signal timing method proposed by this author, data from the above mentioned example areas were used in two computer programs, namely; TRANSYT and TRANSYT/G.

6.1 Link Numbering System

The link numbering system is keyed to the node numbers such that node number i becomes the first part

of the link number. The last digit of the link number takes on a value from 0 to 7 depending upon the direction of flow into the ith node. Links entering the node from the north, east, south, west, northeast, southeast, southwest, and northwest are assigned the values 0,...,7, respectively for their last digit (Figure 6.1a). Figure 6.1b illustrates this technique for an intersection of two two-way streets at node number 9.

6.2 Selection of Networks

In Ft. Wayne, an area bounded by Main Street on the north, Jefferson on the south, Fairfield on the west, and Lafayette on the east was selected. The node-link model selected for this region consists of 21 nodes, one for each signalized intersection, and 56 links, one for each directional flow including 6 dummy links required to break loops for the TRANSYT calculations. Main and Harrison Streets are two-way while the other eight streets are one-way, as indicated in Figure 6.2.

Using the guidelines outlined in Chapter V, a vein interconnection model required for TRANSYT/G was selected and is illustrated as heavy black in Figure 6.3. Since this vein interconnection model is not unique, other suitable models could have been selected.

In Washington, D.C., the node-link model selected consisted of 38 nodes and 134 links including 21 dummy





Figure 6.1 General Link Numbering System and a Specific Example



Figure 6.2 Node-Link Model of Modified Ft. Wayne, Indiana Area



Figure 6.3 Vein Interconnection Model of Figure 6.2

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links. The area includes nine two-way and six one-way streets (Figure 6.4).

Similar to the Ft. Wayne example, a vein-interconnection model was selected for TRANSYT/G (Figure 6.5).

6.3 Input Data

bbbbl

The input data required for TRANSYT is described in detail in Robertson's User's Manual (RO2) and will not be reprinted here due to its length. The same data set used for TRANSYT can be used for TRANSYT/G with one exception; the type 4 hillclimbing step size card has been replaced by the new type 4 vein list cards. One card or file line is required for each vein. The format consists of right justified quantities in the standard five column field widths used in TRANSYT. Field 1 contains a 4. Field 2 contains the direction of flow indicator per the following code:

bbbb2 for one-way flow going north, bbbb3 for one-way flow going west, bbbb4 for one-way flow going south, bbbb5 for two-way flow going east and west, bbbb6 for two-way flow going north and south, assuming north at the top of the vein interconnection model diagram. Fields 3 - 16 contain the node numbers comprising the vein and are in the order in which they are encountered in the vein model.

for one-way flow going east,



Figure 6.4 Node-Link Model of Modified Washington, D.C. Area



Figure 6.5 Vein Interconnection Model of Figure 6.4

6.4 Comparison of TRANSYT/G versus TRANSYT

To compare the new split and offset calculations of TRANSYT/G to the previous hillclimbing TRANSYT method, the following computer runs were made for both the Ft. Wayne and the Washington data on a Burroughs B7700 system:

- Initial conditions with equal splits and zero offsets,
- TRANSYT (STAR1) splits without hillclimbing on offsets,
- TRANSYT/G (SPLIT) splits without offset calculations,
- 4. 7-Step hillclimbing TRANSYT with STARL splits,
- 5. 7-Step hillclimbing TRANSYT with SPLIT splits,
- 6. TRANSYT/G with no excess green shift,
- 7. TRANSYT/G with full excess green shift, and
- TRANSYT/G with partial excess green shift.
 These results are summarized in Table 6.1.

By comparing run numbers 3 to 2 and also 5 to 4 for each data set, one can conclude that this author's split calculation method (SPLIT) is superior to Robertson's (STAR1). This is evidenced by that fact that the use of SPLIT produced lower objective function and higher system speed values than those produced by STAR1. Another observation can be made concerning runs 4 and 5. This author's split estimation method produces a better set of initial conditions for the hillclimbing procedure.

un	Use of routin	sub-	CPU Run	Total Uniform	Total Random	Total Stops	Objective Function	System Speed	
		T. NO OF	(Jes)	иетау Имен-нг	иетау (мећ-ћг	/ dev)		(mi/hr)	
	Entrie	s Links	1.000	/hr)	/hr)	sec.)		/ //	
		Processed							1
Examp	ile l:	Ft. Wayne,	Indiana	(21 nodes	(I
Ч	J	56	*	122.89	4.54	5.86	150.86	16.34	
7	Ч	56	*	118.38	4.28	5.93	146.40	16.65	
e	I	56	*	115.38	6.18	5.76	144.58	16.73	
4	363	13,451	115.6	78.69	4.28	4.83	102.29	19.77	
S	360	12,351	114.6	74.73	6.18	4.99	100.87	19.96	
9	7	112	3.1	80.33	6.18	5.10	106.91	19.44	
٢	7	112	3.1	86.88	6.18	5.32	114.35	18.87	
8	2	112	3.1	78.98	6.18	5.09	105.51	19.57	I
Examp	le 2:	Washington,	, D.C. (3	8 nodes)					I
T	Ч	134	*	221.37	7.70	11.67	275.74	14.21	
2	Г	134	*	204.93	6.65	11.23	256.50	14.74	
m	Ч	134	*	198.88	9.06	11.74	254.91	14.85	
4	636	53,624	452.3	167.42	6.65	11.15	218.66	16.01	
S	632	52,413	444.3	161.05	9.06	11.00	214.09	16.15	
9	7	268	6.2	182.19	9.06	11.93	238.97	15.40	
2	2	268	6.2	175.46	9.06	12.55	234.72	15.63	
œ	2	268	6.2	170.01	9.06	11.75	226.07	15.83	1
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In both examples, fewer total number of calculations were required and lower objective functions were obtained.

Run numbers 6, 7 and 8 are similar in nature except for the amount of excess green shift adjustment to the offsets. The shift values for runs 6, 7 and 8 were zero (Morgan-Little), full (Panyan) and partial (Grove) respectively. Comparison of these runs indicate lower objective function and higher system speed values for this author's partial shift for both examples. Thus, the partial shift is preferred. It should be emphasized that the partial shift varies from one network to another and is determined from several trial runs during either the preliminary checkout of a new signal timing system or during on-line system operation.

Finally, comparison of runs 8 to 4 points out the potential use of TRANSYT/G versus TRANSYT (Table 6.2). In the opinion of this author, the benefit of TRANSYT/G's extremely fast computer running time relative to TRANSYT's far outshadows its slightly higher objective function (about 3%) and lower system speed (about 1%) values. In fact, from runs 4 and 8, the improvement in running time can be estimated from the CPU times as follows:

- a) the Ft. Wayne data ran $\frac{115.6}{3.1} = 37.3$ times faster, and
- b) the Washington data ran $\frac{452.3}{6.2} = 73.0$ times faster

Example	No.	TRANS	YT/G (Run 8) - TRANSYT	(Run 4)	Differenc	ces
Data Set	or Nodes	Objective	Function	System Sp	beed	CPU Run 1	lime
		Ĵ	(8)	(mi/hr)	((Sec)	Ratio ()
Ft. Wayne	21	+3.22	+3.15	-0.20	-1.0]	l -112.5	1:37.3
Washington	38	+7.41	+3.39	-0.18	-1.12	2 -446.1	1:73.0

Comparison of TRANSYT/G to TRANSYT - Part II Table 6.2

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on TRANSYT/G than on TRANSYT. Although the CPU run times on the B7700 computer vary slightly as a function of the system load, general comparisons such as these can be made. Similar comparisons should result for other computers.

Another estimation of relative computer speed of TRANSYT/G versus TRANSYT can be inferred by comparing the number of links processed by the subroutine SUBPT. This produces even higher ratios in favor of TRANSYT/G.

As an aside, comparison of the CPU times for run number 4 of both data sets supports Robertson's general statement (RO1) that the run time of TRANSYT increases as the square of the number of nodes in the data set. Washington's 38 nodes versus Ft. Wayne's 21 nodes produced a CPU ratio of $\frac{452.3}{115.6} = 3.9$. Since TRANSYT/G is a non-iterative program, its run time increases approximately linearly with the number of network nodes.

CHAPTER VII

CONCLUDING REMARKS

7.1 Conclusions

The primary objective of this research was to use Robertson's basic TRANSYT traffic flow model and replace his time consuming hillclimbing optimization process with a non-iterative split and offset estimation technique. This resulted in a much faster and thus less costly running TRANSYT/G computer program yielding sub-optimal signal timings.

Based upon the results of testing the Ft. Wayne, Indiana and the Washington, D.C. data sets, it was concluded that:

 This author's split estimation method is superior to Robertson's in terms of lower objective function and higher system speed values. This is true for its use in both TRANSYT/G for on-line control applications and in TRANSYT for more accurate off-line signal timing optimization studies, and,

2) TRANSYT/G's vastly lower computer running time and sub-optimal signal timings makes it a potential candidate for an on-line signal timing control method.

A secondary result of this research was the development of a finite traffic queue dispersion model (Appendix A) for use in off-line simulation studies requiring a more accurate model than the infinite queue version presently being used in TRANSYT.

7.2 Suggested Future Research

Further research should be undertaken to determine other refinements in calculating near optimal splits and offsets. For example, by relaxing the assumption of uniform arrivals (Figure 3.2) in the stops and uniform delay models, a better near-optimum split set may result. Also, inclusion of secondary interdependencies of nearby nodes and links may improve the offset calculations.

Secondly, the partial excess green shift used in the offset predictions should be investigated as to its dependence upon the various network geometries and traffic parameters.

And thirdly, the finite queue dispersion model should be extensively field tested in many urban areas culminating in an improved TRANSYT/G program.

APPENDICES

APPENDIX A

TRAFFIC QUEUES AND THEIR DISPERSION

Queueing theory was originated by Erlang in 1909 in order to solve a congestion problem in a telephone system. It was developed to describe the behavior of a system providing a service for random demands. Since this general description applies to both discrete and continuous flows, queueing theory is a logical portion of any present day traffic flow model. For more detail, Drew (DR1) is one of the many authors covering queueing processes as applied to traffic systems.

In order to specify a queueing system, the following items must be given:

- 1. the distribution of arrivals,
- 2. the source finiteness,
- 3. the queue discipline,
- 4. the channel configuration, and
- 5. each channel's service time distribution.

The collection of arrivals waiting to be served is defined as the queue and the actual number of arrivals waiting for service at time t is called the queue length.

A queueing system is considered to be in state n if it contains exactly n items where n > 0,

including all items in line and those being served. If the arrival rate, a, is less than the service rate, b, the queueing system is said to be stable and there exists a finite time independent probability of the queue being in any state n. On the other hand, if the ratio $(\frac{a}{b}) > 1$, the queue length continues to grow with time.

When considering the idea of the queue length (number of vehicles in a queue) for an urban traffic network, first consider a directional traffic stream having Poisson arrivals and an exponential service rate.

Let $P_n(t + \Delta t)$, n > 0 represent the probability that the queueing system contains n vehicles at time $(t + \Delta t)$. Let Δt be such that only one vehicle can arrive or depart during the Δt time interval. Then there are only three ways in which this queueing system can reach state n during the time t to $t + \Delta t$, i.e.,

- 1. the system remains in state n, or
- 2. the system changed from state (n 1) to state n, or
- 3. the system changed from state (n + 1) to state n.

If the probability of one arrival in Δt is (a Δ t) and the probability of one departure in Δt is (b Δ t), the corresponding probabilities of no arrivals or departures are (1 - a Δ t) and (1 - b Δ t). Since traffic signals are spaced some finite distance apart in an urban network, the queue lengths must be restricted to some finite value of N vehicles, where N is a function of signal separation distance, split, offset, and cycle length. Thus, if the maximum number of vehicles in the queueing system is limited to N such that vehicles arriving when n > N will not be able to join the queue, we have, neglecting higher order terms:

$$P_{n}(t + \Delta t) = P_{n}(t) [1 - (a+b)\Delta t] + P_{n-1}(t) [a \Delta t] + P_{n+1}(t) [b \Delta t], 0 < n < N$$
$$P_{0}(t + \Delta t) = P_{0}(t) [1 - a \Delta t] + P_{1}(t) [b \Delta t], n = 0 (A.1)$$
$$P_{N}(t + \Delta t) = P_{N}(t) [1 - b \Delta t] + P_{N-1}(t) [a \Delta t], n = N.$$

Passing to the limit with respect to Δt results in:

$$P_{n}(t) = -(a+b)P_{n}(t) + aP_{n-1}(t) + bP_{n+1}(t) \quad 0 < n < N,$$

$$P_{0}(t) = -aP_{0}(t) + bP_{1}(t) \quad n = 0,$$

$$P_{N}(t) = -bP_{N}(t) + aP_{N-1}(t) \quad n = N.$$

(A.2)

Setting the time derivatives equal to zero and eliminating time results in:

$$(1 + \lambda)P_{n} = P_{n+1} + \lambda P_{n-1} \qquad 0 < n < N$$
$$P_{1} = \lambda P_{0} \qquad n = 0 \qquad (A.3)$$
$$P_{N} = \lambda P_{N-1} \qquad n = N.$$

where $\lambda = \frac{a}{b}$ is the ratio of the arrival rate to the service rate. P_n is the steady state time-independent probability of n vehicles being in the system.

For a finite length queue, all of the individual probabilities sum to unity,

$$\sum_{n=0}^{N} P_{n} = 1 = P_{0} + P_{1} + \dots + P_{N}$$
$$= P_{0} + \lambda P_{0} + \dots + \lambda^{N} P_{0}$$
$$= P_{0} \left(\frac{1 - \lambda^{N+1}}{1 - \lambda}\right) , \qquad (A.4)$$

thus giving

$$P_0 = \frac{1 - \lambda}{1 - \lambda^{N+1}}$$
 (A.5)

Then the discrete distribution for a finite maximum allowable queue length N is,

$$P_{n} = \lambda^{n} P_{0} = \frac{\lambda^{n} (1 - \lambda)}{1 - \lambda^{N+1}}.$$
 (A.6)

Next the probability-generating function (pgf) for this distribution is derived from the definition

$$Z_{n}(\theta) = E(\theta^{n}) \equiv \sum_{n=0}^{\infty} \theta^{n} P_{n}$$

$$= \frac{1 - \lambda}{1 - \lambda^{N+1}} \sum_{n=0}^{N} (\lambda \theta)^{n}$$

$$= (\frac{1 - \lambda}{1 - \lambda^{N+1}}) \frac{1 - (\lambda \theta)^{N+1}}{1 - \lambda \theta} . \qquad (A.7)$$

The expected number of vehicles in a queue, E(n), for which the maximum allowable is N is obtained from the first derivative of the pgf with respect to θ ,

$$z_{n}'(1) = \frac{dz_{n}(\theta)}{d\theta}\Big|_{\theta} = 1$$

After some algebraic manipulation, we have

$$E(n) = Z_{n}^{*}(1) = \left(\frac{\lambda}{1-\lambda}\right) \frac{1 - (N+1)\lambda^{N} + N\lambda^{N+1}}{1 - \lambda^{N+1}} .$$
 (A.8)

The limiting case is the non-truncated queue where the expected number of vehicles in the queue is

$$\lim_{N \to \infty} E(n) = \frac{\lambda}{1 - \lambda} , \qquad (A.9)$$

as expected.

Table A.1 illustrates the relation between the expected queue length, E(n), as a function of the ratio of the arrival rate to the service rate for several finite length queues and the limiting non-truncated queue. From Table A.1, we can see that the expected queue length becomes increasingly dependent upon the maximum queue length N as the arrival to service rates ratio approaches unity.

In an analogous manner, the variance for this distribution can be calculated from the first and second derivatives of the pgf by

$$\sigma^{2} = Z_{n}^{"}(1) + Z_{n}^{'}(1) - [Z_{n}^{'}(1)]^{2}$$
 (A.10)

TABLE A.1

EXPECTED QUEUE LENGTH, E(n)

	+					
Ratio of		Maximum	Queue	Length		
Arrival Rate to Service Rate, λ	N=5	N=10	N=15	N=20	N=25	N=∞
0.00	0.000	0.000	0.000	0.000	0.000	0.000
0.05	0.053	0.053	0.053	0.053	0.053	0.053
0.10	0.111	0.111	0.111	0.111	0.111	0.111
0.15	0.176	0.176	0.176	0.176	0.176	0.176
0.20	0.250	0.250	0.250	0.250	0.250	0.250
0.25	0.332	0.333	0.333	0.333	0.333	0.333
0.30	0.424	0.429	0.429	0.429	0.429	0.429
0.35	0.527	0.538	0.538	0.538	0.538	0.538
0.40	0.642	0.666	0.667	0.667	0.667	0.667
0.45	0.768	0.816	0.818	0.818	0.818	0.818
0.50	0.905	0.995	1.000	1.000	1.000	1.000
0.55	1.051	1.207	1.221	1.222	1.222	1.222
0.60	1.206	1.460	1.495	1.500	1.500	1.500
0.65	1.368	1.760	1.841	1.855	1.857	1.857
0.70	1.533	2.111	2.280	2.322	2.331	2.333
0.75	1.701	2.515	2.838	2.950	2.985	3.000
0.80	1.868	2.966	3.537	3.805	3.921	4.000
0.85	2.034	3.456	4.383	4.951	5.281	5.667
0.90	2.195	3.969	5.361	6.420	7.204	9.000
0.95	2.351	4.490	6.422	8.155	9.697	19.000

where it can be shown that

$$Z_{n}^{"}(1) = \frac{2\lambda}{1-\lambda} Z_{n}^{"}(1) - \frac{N(N+1)\lambda^{N+1}}{1-\lambda^{N+1}} . \qquad (A.11)$$

Therefore by substitution, the variance for our finite queue is

$$\sigma^{2} = E(n) \left[\frac{1+\lambda}{1-\lambda} - E(n) \right] - \frac{N(N+1)\lambda^{N+1}}{1-\lambda^{N+1}} . \qquad (A.12)$$

(DR1) and serves as a check for our finite case, namely

$$\lim_{N \to \infty} \sigma^2 = \frac{\lambda}{(1-\lambda)^2} . \tag{A.13}$$

TableA.2 is a tabulation of the variance as a function of λ and N.

Now that the basics for queueing processes as applied to urban traffic models with Poisson arrivals and exponential departures have been discussed, the dispersion of moving queues will be covered.

Consider the placement of an observer near a signalized intersection and given the task of determining the effect of the signal's phase changes upon a stream of traffic. He would note that the headway between successive vehicles would decrease as they approached the signal, tending to form a grouping or compression of vehicles during the red phase. After the start of the green phase the vehicle headway would then increase as vehicle speeds increased, thus spreading out this group of vehicles.

TABLE A.2

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QUEUE LENGTH VARIANCE, σ^2

Ratio of		Maxim	um Queue	Length		
to Service Rate, λ	N=5	N=10	N=15	N=20	N=25	N=∞
0.00	0.000	0.000	0.000	0.000	0.000	0.000
0.05	0.055	0.055	0.055	0.055	0.055	0.055
0.10	0.123	0.123	0.123	0.123	0.123	0.123
0.15	0.207	0.208	0.208	0.208	0.208	0.208
0.20	0.310	0.312	0.312	0.312	0.312	0.312
0.25	0.436	0.444	0.444	0.444	0.444	0.444
0.30	0.586	0.612	0.612	0.612	0.612	0.612
0.35	0.762	0.827	0.828	0.828	0.828	0.828
0.40	0.962	1.106	1.111	1.111	1.111	1.111
0.45	1.184	1.469	1.487	1.488	1.488	1.488
0.50	1.420	1.941	1.996	2.000	2.000	2.000
0.55	1.662	2.547	2.698	2.714	2.716	2.716
0.60	1.902	3.308	3.678	3.740	3.749	3.750
0.65	2.130	4.229	5.046	5.254	5.297	5.306
0.70	2.338	5.288	6.921	7.531	7.714	7.778
0.75	2.518	6.429	9.382	10.946	11.618	12.000
0.80	2.666	7.561	12.371	15.856	17.945	20.000
0.85	2.779	8.572	15.598	22.242	27.601	37.778
0.90	2.858	9.357	18.529	29.161	40.081	90.000
0.95	2.903	9.842	20.550	34.629	51.573	380.000

As traffic volumes increase on a given roadway, the vehicles generally bunch-up forming moving queues or platoons.

Robertson (RO1) developed his version of the smoothing factor empirically by making many observations of vehicle travel times along several different roadways during various times of the day. He then approximated his field data with the equation,

$$A = \frac{1}{1 + 0.5t} , \qquad (A.14)$$

where t and A are the vehicle travel time and smoothing factor, respectively.

Another approach was taken by Seddon (SE1) in which, based upon a geometric distribution of travel times, he derived the same smoothing factor to be,

$$A = \frac{1}{1 + 0.25t} .$$
 (A.15)

Seddon concluded that his result was larger than Robertson's due to taking his summation of the geometric distribution over the complete set of positive non-zero real integers. Seddon indicated that a truncated summation might be more appropriate but it would not lead to a straightforward solution.

This author then proceeded to obtain such a solution by considering this to be a finite process. First, consider a vehicle that leaves a traffic signal stopline and travels along a roadway such that it passes an observation point downstream. Define the random variables

$$X_{k} = \begin{cases} 1 & \text{if the vehicle is observed down-} \\ & \text{stream in the } k^{\text{th}} \text{ interval} \\ 0 & \text{otherwise,} \end{cases}$$

where the X_k are independent random variables and their probabilities are

and

$$P(X_{k} = 1) = A,$$
 (A.16)
 $P(X_{k} = 0) = 1 - A.$

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Consider the event where the vehicle that leaves the signal stopline in the 1st interval passes the downstream observation point in the ith interval, t time interval units later. The probability of this event is given by:

$$P(i+t) = \begin{bmatrix} i-1 \\ \Pi P(X_{k} = 0) \end{bmatrix} P(X_{k} = 1) = (1-A)^{i-1}A,$$

i = 1,2,... (A.17)

The average value of travel times past the observation point in the interval (i+t) is given by

$$t_{ave} = \frac{1}{2}[(i + t - 1) - (1) + (i + t) - (2)]$$

= i + t - 1, (A.18)

provided that the departure time at the stopline and the arrival time at the observation point are randomly distributed within the appropriate intervals. Then the expected travel time, E(T), for this vehicle over a finite number of intervals, N, is

$$E(T) = \sum_{i=1}^{N} t_{ave} P(i + t) / \sum_{i=1}^{N} P(i + t)$$

$$E(T) = \sum_{i=1}^{N} (i + t - 1) (1 - A) \frac{i - 1}{A} / \sum_{i=1}^{N} (1 - A) \frac{i - 1}{A}$$

$$= (t - 1) + \sum_{i=1}^{N} i (1 - A) \frac{i - 1}{A} / \sum_{i=1}^{N} (1 - A) \frac{i - 1}{A}.$$

(A.19)

The denominator summation is a truncated geometric series having the closed form $1 - (1 - A)^{N}$. By equating the definition of expected value to the result derived from the probability generating function, the numerator summation can be shown to have the closed form $[1 - (N + 1)A(1 - A)^{N} - (1 - A)^{N+1}]/A$. Thus

$$E(T) = (t-1) + [1 + (N+1)A(1-A)^{N} - (1-A)^{N+1}]/A[1-(1-A)^{N}].$$
(A.20)

The desired result is to have the smoothing factor, A, expressed explicitly in terms of the travel time, t, and queue length, N. This is not easily done for general values of N. However this function can be solved for t in terms of A and N.

Both Robertson and Seddon have confirmed that the expected travel time E(T) = 1.25t. Substitution into

the previous equation leads to,

$$t = \frac{1-A - NA(1-A)^{N} - (1-A)^{N+1}}{0.25A[1 - (1-A)^{N}]} .$$
 (A.21)

In the limit for infinite queues, we have

$$\lim_{N \to \infty} [t] = \frac{4(1 - A)}{A},$$
 (A.22)

which is exactly equal to Seddon's result and twice Robertson's empirical form. Figure A.l was constructed from Table A.3 which is a tabulation of this author's, Seddon's and Robertson's travel time equations for comparison.

Although Robertson's result was accurate enough for this author's split and offset calculations, a more sophisticated model of urban traffic flow may require a smoothing factor which is a function of the maximum allowed queue length, N. It is for this reason that these results have been included.

TABLE A.3

TRAVEL TIME, t

Smoothing		Maximu	m Queue	Length		Robert-
Factor	N=5	<u>N=10</u>	N=20	N=50	N≖∞*	son's
0.05	7.590	16.315	31.295	59.328	76.000	38.000
0.10	7.161	14.586	24.928	34.964	36.000	18.000
0.15	6.714	12.861	19.441	22.607	22.667	11.333
0.20	6.252	11.188	15.067	15.997	16.000	8.000
0.25	5.777	9.613	11.745	12.000	12.000	6.000
0.30	5.293	8.171	9.269	9.333	9.333	4.667
0.35	4.803	6.883	7.414	7.429	7.429	3.714
0.40	4.314	5.757	5.997	6.000	6.000	3.000
0.45	3.829	4.787	4.888	4.889	4.889	2.444
0.50	3.355	3.961	4.000	4.000	4.000	2.000
0.55	2.897	3.259	3.273	3.273	3.273	1.636
0.60	2.460	2.662	2.667	2.667	2.667	1.333
0.65	2.048	2.153	2.154	2.154	2.154	1.077
0.70	1.666	1.714	1.714	1.714	1.714	0.857
0.75	1.314	1.333	1.333	1.333	1.333	0.667
0.80	0.994	1.000	1.000	1.000	1.000	0.500
0.85	0.704	0.706	0.706	0.706	0.706	0.353
0.90	0.444	0.444	0.444	0.444	0.444	0.222
0.95	0.211	0.211	0.211	0.211	0.211	0.105
1.00	0.000	0.000	0.000	0.000	0.000	0.000

* Limiting case is same as Seddon's result.


Figure A.1 Smoothing Factor Versus Travel Time

APPENDIX B

This appendix contains a general flow diagram of Robertson's TRANSYT signal timing program and this author's modified version, TRANSYT/G, illustrated in Figure B.l. Due to the length of these two computer programs, only listings of the following portions of TRANSYT/G will be included:

- the Type 4 Vein Card Section of TINPUT (Figure B.2),
- 2) the complete SPLIT subroutine (Figure B.3), and

3) the complete OFFSET subroutine (Figure B.4). A general description of the function of the various other subroutines called by TRANSYT and TRANSYT/G is as follows:

- TINPUT reads all the input data and checks for appropriate order and boundedness,
- STAR1 an optional calculation of the splits
 based upon equal saturation of cross
 phases (TRANSYT only),
- HILLCL performs Robertson's hillclimbing optimization of splits (optional) and offsets (TRANSYT only),

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- SUBPT calculates the delays, stops, and objective function for a given set of signal settings,
- TOUTP outputs the previously calculated information for each node and link along with their totals, and

SPLOT - plots out the link flow histograms.



Figure B.1 TRANSYT and TRANSYT/G General Flow Diagrams

SUBROUTINE TINPUT(ICL40) **C** -**C** -MISSING SECTION (SEE URIGINAL TRANSYT LISTING). **C** -**C** -THE NEXT SECTION PROCESSES A TYPE 4 CARD(A VEIN CARD) **C** -240 IF (IPCARD.LT. 3. UR. IPCARD.GT. 4) GU TO 110 IF (WR11.EQ.3)GD TO 246 IF(IPCARD.EQ.4)GO TO 245 WRITE(6,5110) IF(WR11.NE.U) WRITE(11,5110) 5110 FORMAT("QCARD",4X,"CARD",38X,"NUDE/VEIN LIST"/ 1 " NO. TYPE") 245 WRITE(6,5040)ICRND, (ICARD(I), T=1,16) IF(wR11.NE.0) wRITE(11,5040)ICRNO,(ICARD(I),I=1,16) 246 NART=NART+1 LO(NART) = ICARD(2)NN(NART)=0 DO 250 1=3.16 J=ICARD(I) IF(J.EU.0)G0 T0 250 IF(J.GT.0)G0 TO 247 NFAUL1=1 IFAULI(I)=IMINUS 247 IF(NN(NART).GE.15)GO TU 255 NN(NART)=NN(NART)+1 LN(NART, I=2)=J250 CONTINUE GU TO 258 255 WRITE(6,5120) IF(WR11.NE.0) WRITE(11,5120) 5120 FORMAT('OTOO MANY NODES PER VEIN IN LIST - LIMIT 14'/) NFAULT=1 258 00 256 1=1,16 IF(IFAULT(I) .EQ. IMINUS) GO TO 257 256 CUNTINUE GO TO 100 257 WRITE(6,5050) IFAULT WRITE(6,2000) WRITE(6,2003) IF(WR11.EQ.0) GO TO 100 WRITE(11,5050) IFAULT WRITE(11, 2000)wRITE(11,2003)GO TU 100 **C** -**C** -MISSING SECTION (SEE ORIGINAL TRANSYT LISTING). **C** -RETURN END

Figure B.2 TINPUT Type 4 Card Input Section Listing

SUBROUTINE SPLIT REAL*4 STPEN(200), RUNTIM(200), VEHKMS(200), WGHT(200) ,RKA(200),RKB(200),RKC(200),RKD(200),RKE(200) 1 INTEGER NLIST (50), LNUM (200), ICARD (16), IFAULT (16) 1 , NURD (7, 11), NUMAX (7), KNBIAS (7), NOOMAX (7) 2 , ITLE (20) INTEGER NLINK(50), NBIAS(7,50), NMIN(7,50), LOUTN(200) 1 ,LBSTRT(2,200),LBFNSH(2,200),LDSTRT(2,200) 2 .LFSTRT(2,200),LFFNSH(2,200),LVEHC(200) 3 .LTOTF(200),LUNIF(200),LLNO(4,200) 4 .LJT(4,200),LNUMO(200),NNUMO(50),ISIZES(15) 5 NSTAGE(50),KLIST(50),LPLOT(240),LFUDG(4,200) 6 , IN(50), NK(50,11), NDSAT(200), NTSAT(200) 7 , IPTOUT(12000), IMARK(11) A ,LDFNSH(2,200),LSATF(200),LENTF(4,200) COMMON STPEN, RUNTIM, VEHKMS, WGHT, CONV1, CONV5, CONV6 1 , CONV7, CONV8, CONVA, CONV8, CONVC, DST, DFN, STOPP 2 ,TIM,OLDPI,PINDEX,PA,PB,PC,TOTRT,TVK,BUSRT 3 , BVK, NLIST, LNUM, ICARD, IFAULT, ITLE, NPN, MFAULT 4 , NFAULT, IEND, IL, IP, ISTEPS, INODES, ILINKS 5 , ISTLST, INODC, IV, NOSE, NOSL, NPLIS, ICYCLE ,NLINK,NBIAS,NMIN,LOUTN,LBSTRT,LBFNSH 6 7 ,LDSTRT,LDFNSH,LFSTRT,LFFNSH,LVEHC,LSATF 8 ,LTOTF,LUNIF,LLNO,LENTF,LJT,LNUMO,NNUMO 0 , ISIZES, IMARK, NSIAGE, KLIST, LPLOT, LFUDG, WR11 COMMON/BLKA/NR1(200), NART, LU(20), NN(20), LN(20, 16) IP=1 IL=1 NOSE=0 NOSL=0 IF (WR11.EQ.4)GU TU 199 THE TRAFFIC PATTERNS, DELAYS, ETC FOR THE INITIAL SETTINGS ARE CALCULATED AND THE С-SIGNAL AND LINK DETAILS ARE OUTPUT(UPTIONAL). J=[MARK(b) IF (J.EQ.0)GO TO 5 MFAULT=0 IL = 0 CALL SUBPT(IPTUUT, RKA, RKB, RKC, RKD, RKE) IF(J.E0.1)G0 TO 5 IV=1 IF(WR11,EQ.3)IV=3NFAULT=0 CALL TOUTP(RKA, RKB, RKC, RKD, RKE) 5 NOSE=0 NDSL=0 MFAULT=0 CALL SUBPT(IPTOUT, RKA, RKB, RKC, RKD, RKE) IV=1IF(WR11.EQ.3)IV=3

C -

C -

```
NFAULT=0
      CALL TOUTP(RKA, RKB, RKC, RKD, RKE)
C-
       THIS VERSION WILL ADJUST SPLITS FOR UNGROUPED
C-
       SIGNALS HAVING 2 STAGES, 1 GREEN/STAGE UNLY;
C -
       OTHERS LEFT AS IS.
C -
       SET UP NK(*,*) LINK TERMINATION MATRIX.
  199 DO 200 I=1, INODES
  200 IN(1)=0
      DO 210 J=1, ILINKS
      I=LOUTN(J)
      IF(I.LT.0)G0 T0 210
      IN(I)=IN(I)+1
      KON=IN(I)
      NK(I,KON)=J
      NK(I,11)=IN(T)
  210 CONTINUE
C -
       FOR EACH NUDE I, CALC NEW SPLIT AND ADJUST
C -
       GREEN BAND FOR EACH LINK EXITING FROM NODE I.
      IX=ISTEPS
      XC = IX
      CL=1CYCLE
      I = ()
  211 I=I+1
C -
       IF NODE I HAS MORE THAN 2 STAGES OR IS TO
(ï =
       BE GROUPED WITH A LATTER NODE, LEAVE
C -
       SPLIT AS IS.
      NNK = NK(1, 11)
      IF(NSTAGE(I).GT.2.OR.NLIST(I).LT.0)GO TO 230
      CA=0; CB=0.
      WKA=0.;WKB=0.
      WCA=0.;WCB=0.
      WKKA=0.;WKKH=0.
      K=0
  181 K=K+1
      NA=NK(I,K)
      JB=LBSTRT(1,NA)
      NS=NbIAS(Jb,I)
      JBB=LBFNSH(1,NA)
      NF=NBIAS(JBB,I)
      IF(NF.LT.NS)NF=NF+IX
      NG1=NF=NS
      IF(NG1.GT.IX)NG1=NG1=IX
      NR1(NA)=IX-NG1
      IF (LNUM(NA).LT.0)G0 TO 189
      A=LTOTF(NA)
      B=LSATF(NA)
      C = B \neq A / (B = A)
      D=0.5*TIM*A
      NNN=1.25*A*XC/B+0.5
      NMIN(JB,I)=MAXO(NMIN(JB,I),NNN)
```

```
Figure B.3 (cont'd.)
```

```
IF(J8.NE.1)G0 T0 186
    CA=CA+C
    WKA=WKA+WGHT(NA) +41.66+D
    WKKA=WKKA-WGHT(NA)*33.33+D
    WCA=WCA+WGHT(NA)*C
    GO TO 187
186 CB=CB+C
    KKB=WKB+WGHT(NA)+41.66+D
    WKKB=WKKB=WGHT(NA)+8_33+D
    wCB=wCB+wGHT(NA)*C
187 CONTINUE
189 IF(K.LI.NNK)GO TU 181
    AIC=(CL*CL*WCA)=(WKKA+WKKB)+STOPP*CL*(CA=CB)
    IC=XC+AIC/(CL+CL+(WCA+WCB)+WKA+WKB)+.5
    NA=NK(I,1)
    JB=LBSTRT(1,NA)
    JBB=LBFNSH(1,NA)
    KX=LNUM(NA)/2
    KX=2*KX
    KK=1
184 KK=KK+1
    NG=NK(I,KK)
    KXB=FNNW(NB)\5
    KXB=2*KXB
    KIJ=2
    TF(KXB.EQ.LNUM(NB))KIJ=1
    KIK=2
    IF(KX.EQ.LNUM(NA))KIK=1
    IF (K1K.NE.KIJ)GO TO 188
    IF(KK_LT_NNK)GO TU 184
188 KJB=LBSTRT(1,NB)
    KJBB=LBFNSH(1,NB)
    IC=MINU(MAXU(IC,NMIN(JB,I)),IX-NMIN(KJB,I))
    KXX=LNUM(NA)
    TF(J6_E0_1)G0 TO 171
    LX=NBIAS(KJBB,I)+IC-NR1(NA)
    MA=KJBB
    GO TO 172
171 LX=NBIAS(JBB,I)+IC=NR1(NB)
    MA=JBB
172 NBIAS(MA, I)=SCAL(LX, ISTEPS)
192 K=0
191 K=K+1
    NA=NK(I,K)
    JB=LBSTRT(1, NA)
    NS=NBLAS(JB,I)
    JHB=LHFNSH(1,NA)
    NF=NBIAS(JBB,I)
    NG1=SCAL (NF-NS, ISTEPS)
    NR1(NA)=IX=SCAL(NG1,ISTEPS)
```

IF(K.LT.NNK)GO TU 191 230 IF(I.LT.INODES)GO TU 211 C= OUTPUT FOR NEW SPLITS. MFAULT=0 IL=1 CALL SUBPT(IPTOUT,RKA,RKB,RKC,RKD,RKE) IV=1 IF(WR11.EQ.3)IV=3 NFAULT=2 CALL TOUTP(RKA,RKB,RKC,RKD,RKE) PETURN END

Figure B.3 (cont'd.)

SUBROUTINE DEESET **C** -THIS SUBROUTINE IS ENTERED FROM THE MAIN PROGRAM **C** -AND PERFORMS THE ESTIMATION SEQUENCE NECESSARY TO **C** -FIND THE OFFSET SETTINGS. TWO SUBROUTINES ARE **C** • ENTERED FROM "OFFSET" - "SUBPT" TO CALCULATE **C** -DELAYS ETC AFTER EACH SIGNAL CHANGE AND "TOUTP" TO OUTPUT RESULTS TO THE LINE PRINTER. **C** -REAL*4 STPEN(200), RUNTIM(200), VEHKMS(200), WGHT(200) 1 ,RKA(200),RKB(200),RKC(200),RKD(200),RKE(200) ,PIN(15) 2 INTEGER NLIST(50), LNUM(200), ICARD(16), IFAULT(16) 1 NUS(15), ILL(15), NOD(15), NP(15), KS(15) (11LE(20) 2 INTEGER NLINK(50), NBIAS(7,50), NMIN(7,50), LOUTN(200) ,LBSTRT(2,200),LBFNSH(2,200),LDSTRT(2,200) 1 2 , LFSTRT(2,200), LFFNSH(2,200), LVEHC(200) 3 .LTOIF(200),LUNIF(200),LLNO(4,200) 4 .LJT(4,200),LNUMU(200),NNUMU(50),ISIZES(15) 5 NSTAGE(50),KLIST(50),LPL0T(240),LFUDG(4,200) 6 , IPTOUT(12000), IMARK(11) 7 LDFNSH(2,200),LSATF(200),LENTF(4,200) CUMMON STPEN, RUNTIM, VEHKMS, WGHT, CONV1, CONV5, CUNV6 1 , CONV7, CUNV8, CONVA, CONV8, CONVC, DST, DFN, STOPP 2 ,TIM,ULDPI,PINDEX,PA,PB,PC,TOTRI,TVK,BUSRT 3 , BVK, NLIST, LNUM, ICARD, IFAULT, ITLE, NPN, MFAULT 4 , NFAULT, IEND, IL, IP, ISTEPS, INODES, ILINKS 5 , ISTLST, INUDC, IV, NUSE, NOSL, NPLTS, ICYCLE 6 ,NLINK,NBJAS,NMIN,LOUTN,LBSTRT,LBFNSH 7 ,LDSTRT,LDFNSH,LFSTRT,LFFNSH,LVEHC,LSATF 8 ,LTOTF,LUNIF,LLND,LENTF,LJT,LNUMO,NNUMO 0 , ISIZES, IMARK, NSIAGE, KLIST, LPLOT, LFUDG, WR11 CUMMON/BLKA/NR1(200), NART, LO(20), NN(20), LN(20,16) COMMON/BLKB/EGS DIMENSION Y(15), Z(15), T(15), TB(15), R(15)DIMENSION DLC(15), UC(15), TH(15), AL(15), EGS(15) WRITE(11,789) DO 777 J=1,NART EGS(J)=0.0READ(12, /)EGS(J)777 CONTINUE 789 FURMAT(" INSERT 0.0-+1.0 EXCESS GREEN SHIFT FOR"/ 1"EACH VEIN 1 LINE AT A TIME") 1P=1 IL=1 NOSE=U NOSL=0 XC=ISTEPS CL=ICYCLF IF(IMARK(1).NE.10)G0 TU 400 **C** -THE TRAFFIC PATTERNS, DELAYS, ETC FOR THE INITIAL

```
C •
       SETTINGS ARE CALCULATED AND THE SIGNAL AND LINK
C -
       DETAILS ARE OUTPUT.
      J=IMARK(6)
      IF (J.EU.0)G0 TO 5
      MFAULT=0
      IL=U
      CALL SUBPT(IPTOUT, RKA, RKB, RKC, RKD, RKE)
      JF(J.EQ.1)G0 T0 5
      1V=1
      IF (WR11.EQ.3) IV=3
      NFAULT=0
      CALL TOUTP(RKA, RKB, RKC, RKD, RKE)
    5 NOSE=0
      NOSL=U
      MFAULT=0
      CALL SUBPT (IPTOUT, RKA, RKB, RKC, RKD, RKE)
      IV=1
      IF (WR11.EQ.3) IV=3
      NFAULT=0
      CALL TOUTP (RKA, RKB, RKC, RKD, RKE)
  400 CONTINUE
C -
       CALC NEW UFFSETS FOR EACH NODE & ADJUST LINK GREENS.
      M=()
      IND=0
  509 M=M+1
      N=NN(M)
      TN=1800*N
      P=0
      PBR=0
      IF(L0(M).GE.5)G0 TO 550
С-
       SET UP FOR ONE-WAY STREET.
      I=5=LO(M)
      J=0
  520 J=J+1
      NLN=LN(M,J)
      NA=10+NLN+I-1
      00 521 IA=1,1LINKS
      IF (NA.EU.LNUM(IA))GO TU 522
  521 CONTINUE
  522 IF (J.ER.N)GU TU 524
      NA2=10*LN(N, J+1)+I=1
      DU 523 IB=1, IL INKS
      IF(NA2.EQ.LNUM(IB))GO 10 526
  523 CONTINUE
  526 T(J)=CONV1*AVE(LJT,IB)
  524 PR=NR1(IA)
      R(J) = RR + CONVA
      IF (J.LT.N)G0 TO 520
      G=1.-R(1)
      DO 41 J=2,N
```

```
Figure B.4 (cont'd.)
```

XG=1.-R(J) IF(G.G1.XG)G=XG **41 CUNTINUE** N2=N-1 GO TO 916 **C** • SET UP FOR TWO-WAY SIREET. 550 [=7-LU(M) J=0 610 J=J+1 NLN=LN(M,J) $IF(J \in \mathbb{R}, 1 \in \mathbb{A} \setminus \mathbb{D}, \mathbb{L} \setminus (\mathbb{M}, J) \in GI \in \mathbb{L} \setminus (\mathbb{M}, J+1))I = I+2$ NAP=10*NLN+I-1 $IF(J_FQ_1)KI=ISIGN(2_FN(M_J+1)-LN(M_J))$ 00 611 IA=1, ILINKS IF (NAP.EQ.LNUM(IA))G0 10 612 611 CONTINUE 612 PBR=PBR+LTOTF(IA) NA=NAP+KI DU 613 IB=1, ILINKS IF(NA.EQ.LNUM(IB))GO TO 614 613 CONTINUE 614 P=P+LTOTF(IB) NA2=0 IF (J.EQ.N)GU TO 615 NA2=10*LN(M,J+1)+KI+I=1 DO 616 IE=1, ILINKS IF (NA2.EQ.LNUM (IE))GO IU 617 616 CUNTINUE 617 T(J)=CONV1*AVE(LJT,IE) $TB(J)=C() \forall V1 \neq A \lor E(LJT, TA)$ 615 RR=NR1(IB) R(J)=RR*CUNVA IF (J.LT.N)GU TO 610 PBR=PBR/TN 621 P=P/IN N2=N-1 **C** -CALC Y(I) & Z(I) FOR EACH SIGNAL. Y(1)=0. 2(1)=0.00 10 I=2,N IF(LU(M).LE.4)TB(I-1)=0. DX = .5 * (T(I=1) + TB(I=1)) / CLY(I)=Y(I-1)+DX-.5*(R(I)-R(I-1))UXX=.5*(T(I=1)=1B(I=1))/CL 10 Z(I) = Z(I-1) + D X X**C** -CALC MAX EQUAL BANDWIDTH. CB = -1.100 20 1=1,N SB=1.1 J=0

```
30 J=J+1
      YY = Y(J) - Y(I)
      U_{0}=1_{0}=5CAL(YY_{0}1_{0})
      YY = Y(J) - Y(I) - 5
      U5=1.-SCAL(YY,1.)
      U0R=U0-R(J)
      U5R=U5=R(J)
      IF (UUR.LT.USR)GU TU 3
      TH(J)=0
      AL(J)=U0
      D=UUR
      GU TO 4
    3 \text{ TH}(J) = 0.5
      AL(J)=15
      D=05R
    4 IF (D.LT.SB)SB=D
      IF (J.LT.N)G0 TO 30
      IF(SB_LE_CB)GO TO 20
      CB=58
      KC=I
      N, 1=L 15 00
      DLC(J)=IH(J)
   21 \quad UC(J) = AL(J)
   20 CUNTINUE
      IF(CB.LT.0)CB=0.
      CH2=2.*CB
      8S=CB
      BBS=CH
С-
       CALC MAX EQUAL BANDWIDTH SYNCHRONIZATION.
      00 35 J=1,N
      ZZ=Z(J)=Z(KC)+DLC(J)
   35 TH(J)=SCAL(22,1.)
C -
       CALC MIN GREEN.
      G=1.-R(1)
      DU 40 I=2,N
      XG=1,-R(I)
      IF(G_GT_XG)G=XG
   40 CONTINUE
      PPH=P+PBR
      1F(PBR=P)45,105,70
C =
       CALC ADJUSTMENTS FOR INBOUND LT OUTBOUND.
   45 IF (PPH_GT_CB2) GU TO 50
      BS=AMIN1(G,CB2*P/PPB)
      GU 10 60
   50 1F(P.GE.CB2)G0 T0 55
      BS=AMIN1(G,P)
      GO TO 60
   55 8S=G
   60 DD 65 J=1.N
   65 AL(J)=AMAX1(UC(J)=1.+BS=CB,0.)
```

```
BBS=AMAX1(CB2+BS,0.)
      IF(LU(M) \cdot LE \cdot 4)BBS=0.
      GO TO 95
C -
       CALC ADJUSTMENTS FOR INHOUND GT OUTBOUND.
   70 IF(PPB.GT.CB2)G0 T0 75
      BBS=AMIN1(G,CB2*PBR/PPB)
      GO TO 85
   75 IF (PBR.GE.C82) GU TU 80
      BBS=AMIN1(G,PBR)
      GO TO 85
   80 88S=G
   85 DO 90 J=1,N
   90 AL(J)=AMAX1(BBS+R(J)=UC(J),0.)
      HS=AMAX1(CB2-BBS,0.)
   95 AL(KC)=0.
      DU 100 J=1,N
      YY=TH(J)-AL(J)
  100 TH(J)=SCAL(YY,1.)
  105 CONTINUE
C -
       MAKE EXCESS GREEN ADJUSTMENTS TO OFFSETS.
      DO 880 J=1,N
      AJ=J-KC
      TC=0.
      J1=J
      J2=KC-1
      IF (J=KC)883,879,884
  884 J1=KC
      J2=J-1
  883 DO 882 JJ=J1, J2
  882 TC=TC+I(JJ)
  879 TC=TC/CL
      GC=TH(J)=.5*(R(KC)+R(J))=BS=SIGN(TC,AJ)
      GCU=1,-R(J)-BS
                                  i i
                                        í
      GC = SCAL(GC, 1)
      TCB=0.
      IF(J_EQ_KC)GO TO 878
      DU 832 JJ=J1, J2
  832 TCB=TCB-TB(JJ)
  878 TCB=TCB/CL
      GCB=TH(J)+.5*(R(KC)-R(J))+SIGN(TCB,AJ)
      GCBU=1.-R(J)-BBS
      GCB=SCAL(GCB,1.)
      HC=AMIN1(GC,GCB)
      TH(J)=TH(J)=EGS(M)+SIGN(BC,AJ)
      1F(TH(J)_LT_0_)TH(J)=TH(J)+1.
  880 TH(J)=TH(J) + XC+.5
C •
       SET UP INTERFACE FROM UFFSETS TO NBIAS(*,*).
  916 T1=0.
      00 907 J=1,N
      IF(M.EQ.1.AND.J.EQ.1)DEL=0.
```

```
IF(J.GT.1)GO TO 926
      IF (M.GT.1)GO TU 907
      NS=DEL+.5
      GO TO 901
  926 IF(L0(M).GE.5)G0 10 927
      T1 = T1 + T(J-1) / CL
      ES=(1,-EGS(M))*(1,-R(J)-G)
      NS=(T1+R(J)-R(1)+DEL+ES) \times XC+.5
      GO TO 901
  927 YY = TH(J) = TH(1)
      NS=YY+(.5*(R(J)=R(1))+0EL)*XC+.5
  901 NS=SCAL(NS, ISTEPS)
      NLN=LN(M,J)
      DO 770 KKK=1, INODES
      IF(NLN.EQ.NLIST(KKK))GU TO 771
  770 CONTINUE
  771 IF(L0(M).LE.4)GU TO 911
      I = 1
      IF(LU(M).E0.5)I=2
  911 NA=10*NLN+I-1
      DU 908 IA=1, ILINKS
      IF(NA.EQ.LNUM(IA))GO TU 909
  908 CONTINUE
  909 JH=LBSTRT(1,IA)
      JBB=LBFNSH(1,IA)
      NF=NBIAS(JBB,KKK)=NBIAS(JB,KKK)
      NBIAS(JB,KKK)=NS
      A=NS
      NF=NF+NS
      NF=SCAL(NF, ISTEPS)
      NBIAS(JBB,KKK)=NF
      IF (M.EQ.NART)GD TO 907
      IF (NLN.EQ.LN(M+1,1))XG=A*CONVA-R(J)
      IF (M.EU.NAKT-1)GO TO 907
      DO 887 L=2,NART-M
      IF (NLN.NE.LN(M+L,1))G0 TO 887
      XG2=A+CONVA-R(J)
      IND=M+L
      GO TU 907
  887 CONTINUE
  907 CONTINUE
      DEL=XG
      IF(IND.EQ.0)GO TO 700
      IF(M.EU.IND-1)DEL=XG2
  700 IF (M.LT.NART) GU TO 509
C -
       OUTPUT FOR NEW SIGNAL TIMINGS.
      MFAUL T=0
      IL=1
      CALL SUBPT(IPTOUT, RKA, RKB, RKC, RKD, RKE)
      IV=1
```

```
IF (WR11.EQ.3) IV=3
      ISTLST=2
      NFAULT=ISTLST
      CALL TOUTP(RKA, RKB, RKC, RKD, RKE)
C -
       FURTHER COPIES OF THE FINAL OUTPUT ARE PRINTED
       IF SPECIFIED BY THE CUNTENTS OF IMARK(9).
C -
      IF(IMARK(9).LT.2.0R.IMARK(9).GT.6)GD TO 201
      IY = IMARK(9) = 1
      00 309 IZ=1, IY
      CALL TOUTP (RKA, RKB, RKC, RKD, RKE)
  309 CUNTINUE
  201 IF (NPLIS_EQ.0)G0 TU 202
      CALL SPLOT (IPTOUT)
  202 RETURN
      END
      FUNCTION SCAL(A,X)
C -
       THIS FUNCTION SCALES (A) BY (X) SUCH THAT
( -
       (A) LIES BETWEEN 0.0 AND (X).
      SCAL=A
   11 IF(SCAL.GE.0.)G0 TO 12
      SCAL=SCAL+X
      GO TO 11
   12 IF (SCAL.LT.X) RETURN
      SCAL=SCAL=X
      GO TO 12
      RETURN
      END
      FUNCTION AVE(X,K)
C -
       THIS FUNCTION AVERAGES THE X(*,K) VECTOR COMPONENTS.
      DIMENSION x(4,200)
      COUNT=0.
      AVE=0.
      00 1 1=1,4
      IF(X(I,K).EQ.0.)GU TO 1
      COUNT=COUNT+1.
      AVE = AVE + X(I,K)
    1 CONTINUE
      IF (AVE.EQ.U.)RETURN
      AVE = AVE/COUNT
      RETURN
      END
```

Figure B.4 (cont'd.)

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