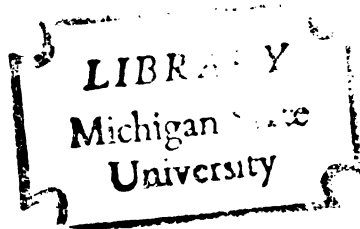




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BUREAUCRATIC COMMUNICATION IN LOCAL THAI GOVERNMENT

By

Ratana Sundrasima

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ABSTRACT

BUREAUCRATIC COMMUNICATION IN LOCAL THAI GOVERNMENT

By

Ratana Sundrasima

My study "Bureaucratic Communication in Local Thai Government" is explained by both culture and institutional-structure which is given in examples studied by Herbert Rubin "Mode of Bureaucratic Communications in Thai Local Administration" in 1974. My study is a kind of descriptive research which looks back to the historical time, including the present time. However, I seek the information for my study carefully by trying to judge it by value-free idea rather than ethnical idea.

ACKNOWLEDGMENTS

This study could not be accomplished without the kind assistance of Dr. William A. Faunce, my thesis advisor, so I wish to express deep appreciation to him. My thanks are extended as well to Dr. Donald W. Olmsted and Dr. James B. McKee who consented to read this paper.

In addition, I would like to show gratitude to Buddha and my grandfather who enlighten me when I studied this issue. I cannot forget my parents who supported my study. Thanks a lot to Miss Helen Carr, my host family, who helped to correct my English.

Finally, I would like to thank my former professors at Chulalongkorn, Thailand who paved the background of my study, my respectful Aunt and my dearest sister.

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INTRODUCTION

Bureaucracy has been an important subject of interest to a large number of classical social theorists such as Gaetano Mosca, Karl Marx, Max Weber, Robert Michels and Talcott Parsons. The concept of bureaucracy, in itself, condenses a development of almost two centuries of political-scientific and sociological analysis of problems of administration and government and, because of this, the concept has tended to lose its original function as an inherent element of a general and broad historical analysis concerning forms of social government.

In our daily life, the meaning of "bureaucracy is roughly illegitimate power".¹ Bureaucracy is not the Weberian ideal type. The term bureaucracy suggests an administration standing above and beyond the reach of the people, an administration which sometimes collaborates with power groups beyond democratic control.

Moreover, "government bureaucracy has developed differently in various countries of the world because of

¹Bengt Abrahamson, Bureaucracy or Participation, Sage Publication, Inc., Beverly Hills, California, 1977, p. 15.

the peculiar geographic, historical and cultural conditions affecting each country and its people".² The bureaucracies of a small country with a homogeneous population and homogeneous religion and a long history of independence like Thailand are certain to be very different from those of countries with many races, religions, and a history of domination by foreign powers.

The purpose of this study is to analyze the system of bureaucratic communications of Thai local administration. To begin consideration of this issue, Thailand is one of the developing countries under a centralized political system and, therefore, bureaucracy has a crucial role to play not only in the daily routine but also in the modernization process. Most works on Thai bureaucracy contain mainly discussions of structural development. There is very little literature focussing on the bureaucratic communication of Thai local administration.

Hopefully, this study will serve to stimulate more research on bureaucracy in other developing countries.

² Joseph B. Kingsbury and Robert F. Wilcox, Principles of Public Administration in Thailand, Institute of Public Administration Thammasart University, Bangkok, Thailand, 1961, p. 20.

GENERAL INFORMATION ABOUT THAILAND³

Thailand, or "Mung Thai" or "Prathed Thai" is situated in the middle of the Indo-China peninsula. It has a land area of 514,000 square kilometers or roughly the size of Spain or two-thirds of Texas. The land is fertile when coupled with the monsoonate climate, it yields rice and other crops in abundance but has few known deposits of natural resources. Thailand is an agricultural country with about 85% of the population residing in the rural areas. There is rapid growth of urban communities and perhaps a decline in rural population, as the nation is trying to move toward crop diversification, industrialization and economic prosperity.

Culturally speaking, the Thais are quite homogeneous. The Thai language is understood throughout the Kingdom, though there are a number of dialects. Buddhism, the national religion, influences the Thais in all walks of life having 94% of the population as its followers. Four percent are Muslims concentrated in the South. Christianity and other religions amount to only 2% of the

³Wendell Blanchard, et al., Thailand: Its People, Its Society, Its Culture, New Haven, HRAF Press, 1958.

population.

Outwardly, Thailand would pass for a modern western nation with such things as vehicles, traffic and western attire, but those who know the Thais well would confirm that behind the facade there are some intrinsic subtleties known uniquely as Thai. Some of these characteristics are friendliness, kindness, politeness, paternalism coupled with deference toward elders and superiors.

The Thais are individualistic and not very adaptive to big organizations or team work. However, whenever there is a common danger, the Thais stand united and put up a good fight under a strong leader. There are some old adages which aptly summarize the above qualities: "The Thais will go all the way with a good boss",⁴ and "Ayudha (name of old capital . . . signifying the country) would never run out of great men".⁵

"There are good reasons to explain why Thai people are quite different from its neighbors. Thailand has a long experience as a nation. The national state system was well recorded back to 1257 A.D. when its first capital in the Golden Peninsula was founded in Sukhothai".⁶

⁴Edgar L. Shor, "The Public Service", in Joseph L. Sutton, ed. Problems of Politics and Administration in Thailand, Bloomington Press, Indiana, p. 54.

⁵Edgar L. Shor; Op. cit., p. 57.

⁶Edgar L. Shor; Ibid.

Scholars are now trying to trace Thai history back even further. Throughout the past seven hundred years Thailand has managed to remain free with few misfortunes in military adventure. Its political system, in brief, was basically a paternalistic monarchy, with a few centuries under the influences of the old Cambodian Divine Kingship (Theva-raja) doctrine.

In a broad sense, Thailand's political and administrative history are the facts of its homogeneity and independence. There have been no sudden and violent revolutions in Thai history. Changes have occurred gradually and have not been imposed by outside powers. There has been borrowing from other countries, mostly from the West, but these have been blended with Thai traditions to give a continuity and internal consistency to the system of government and administration.

Thailand's administrative history divides naturally into four periods:⁷

1. The Sukhothai Kingdom, 1237-1350 A.D. The first unified Thai Kingdom in the present homeland was established at Sukhothai in the 13th century. The Thais were able to unite some local principalities, to throw off

⁷Joseph B. Kingsbury and Robert F. Wilcox; Op. cit., p. 20-25.

the Cambodian rule, and to establish a patriarchal monarchy at Sukhothai. Boundaries were extended and control was exercised over the greater part of present Thailand.

The characteristics of government were a paternal king and a territorially-based feudalism. There was little distinction between civilians and soldiers; all men performed both military and civil duties under feudal nobles who owed allegiance to the king.

2. The Ayndhya Bureaucracy, 1350-1767 A.D. With the fall of the Sukhothai period, the new capital was established at Ayudhya. In 1431, the Thais conquered the Cambodian capital at Angkor Thom, and brought back Cambodian statesmen and court brahmans to help reorganize the administration. The result was that the notion of devaraja, or the divine king, replaced the Sukhothai notion of a patriarchal ruler, and the older idea of the king as a father to his people gave way to a master-servant idea.

Moreover, there was the creation of bureaucracy, not only as an instrument of administration, but as a principle of social organization. Feudalism ceased to be based on land and became a personal system. The warrior nobles became civil servants, and peasants became clients to their nobleman. A client could choose his own patron, change at will, and move from one place to another, instead of being bound to the land. Under this new system, everyone in

the kingdom had a definite place in the hierarchy. A system of ranks and titles for officials and royal princes, and a system of grades for common officials, indicated the status, rights and privileges of each person.

Some of the effects of this system have been lasting. The status and superior-subordinate relationship, the instability of personal status and fortune (since all titles were at the King's will), and an open social structure with considerable mobility - not only up but also down - are all things that can be seen in Thailand today.

3. The Chakri Reformation, 1767-1932 A.D. Following the fall of Ayudhya, the new capital was established at Bangkok. The Chakri dynasty mainly reestablished the prosperity of Ayudhya. Under King Chulalongkorn, (the middle of the 19th century) Thailand passed from medievalism into a period of material, enlightening progress characterized by social and political change.

King Chulalongkorn had contact directly with his officials, and revived the old paternal concept of the Sukhothai period. He was one of the kings to establish important diplomatic negotiations with Western countries, to employ European instructors and governmental advisers.

King Chulalongkorn was much interested in public administration. He reorganized the old departments into European-type ministries, and transformed royal officials into civil servants with definite salaries and regular

working hours. Provincial governments were brought under central control by replacing the semi-hereditary local rulers with centrally appointed governors who were given salaries, rotated from post to post, and made responsible to a central Ministry of Interior.

Moreover, he adopted a system of Western advisers and created King's scholarship for study abroad in order to develop a supply of skilled native administrators which later brought about diminished dependence upon foreign advisers.

4. The Constitutional Period, 1932 A.D. - Present.

In June 24, 1932, a group of military officers and civil servants staged a successful revolution against an absolute King. The 1932 revolution did not institute democracy but simply broadened the base of oligarchy, the governments since 1932 have governed Thailand by a regime of limited monarchy under a constitution. While it is authoritarian, the government is generally benevolent, and exhibits a genuine and paternalistic concern for the people, particularly relating to their livelihood.

According to this short history of their bureaucracy, the nature of the Thai government is not only different from other countries but it also changes from time to time as the endogenous form of Thai government has been influenced at times by external forces. In short, it

seems that Thailand is ruled under the blending of the following principles.

1. Paternalism
2. Divine Kingship
3. Military Superiority
4. Constitutionalism with limited Kingship

Bureaucracy is the nation's biggest business with civilian officials and military personnel. They include all officers of the national government, local administration, teachers, university professors, policemen and personnel of public enterprises. Aside from being farmers and officials, there are only few other things that the Thais could do. The commercial and industrial sectors are in the hands of foreigners, notably the Chinese.

"Not only does the bureaucracy constitute the largest group, it is also the component of almost the entire Thai middle class. Almost all university graduates are in government service. They, therefore, are the real elite class of Thai society".⁸

⁸Edgar L. Shor; Op. Cit., p. 60.

THAI CULTURE

According to Embree, Thai culture can be characterized as "loosely structured" which is defined as "unstructured, unpatterned, lacking in routinization."⁹ Thai culture patterns neither clearly mark nor emphasize the importance of reciprocal rights and duties. Generally, the Thai are more tolerant, individualistic, and easy going. Their behavior cannot be characterized by rigid patterns; their behavior tends to support personal values rather than organizational values which is an obstacle to the effective functioning of Thai bureaucracy.

In contrast to American and Japanese culture, the Thai tend to lack respect for administrative discipline and regularity which means "keep in line" and "be on time" behavior. There is little feeling among the Thai that administrative rules are significant. This feeling causes a problem in bureaucracy where there is pressure to break a rule. Sometimes the basis for the rule violation is

⁹Cf. Bryce F. Ryan and Murray A. Straus; "The Integration of Sinhalese Society"; Research Studies of the State College of Washington, Volume XXII; December, 1954, Number 4, p. 182.

the status of individuals with violations occurring less frequently in the middle than at either end of the status hierarchy. Sometimes it is a result of family pressure or other influences; sometimes it is because of corruption or bribery and the attitude toward the superior/inferior relationship.

Moreover, Thai people tend to refuse responsibility for the actions of organizations. They have a much stronger attitude of "this is not my concern" than the attitude of "organizational commitment".

Achievement of people in their work is not rewarded in terms of strict rules of the organization. It is up to the personal decision of the superior rather than the quality of the work. As a consequence, they do not have as much motivation to work. Reward and punishment is given arbitrarily. There is less relationship between personal ambition and organization stability. Ryan describes the "reluctance of village people to organize, and their skeptical even cynical, attitude toward local leadership".¹⁰ And Panabokke and Halangode (1938) reach the same conclusion "T. B. Panabokke's experience . . . had taught him to be forbearing towards the fatal weakness of the people to be individualistic and the

¹⁰Bryce F. Ryan and Murray A. Strauss, Op. Cit., p. 182.

temptation to betray national interest".¹¹

Up to this point, we can see that Thai institutions fit the concept of loose structure in Embree's view. The pattern of rights, duties and obligations is always open to question and exception. The lack of organizational commitment and the predominance of personal goals suggests attitudes which decrease organizational stability and development.

Although Thai culture is viewed as "loosely structured", this does not mean its society cannot maintain integration. "On the contrary, the loose integration is a functional one allowing not only variation in individual behavior but also in national behavior. It has a survival value; that is, a loosely integrated structure such as the Thai may adjust to external cultural influences with less drastic over-all changes than a more rigid structure such as the Japanese or Vietnamese".¹² This is the flexible way to struggle for independence without strife and violence.

¹¹Quoted in Bryce F. Ryan and Murray A. Strauss, Ibid.

¹²John F. Embree, "Thailand - A Loosely Structured Social System", American Anthropologist, 52, 1950, p. 191; Quoted in Bryce F. Ryan and Murray A. Strauss, op. cit., p. 182.

ADMINISTRATIVE ORGANIZATION IN THAILAND¹³

The administrative system of Thailand is highly centralized because of a long series of government efforts not only to subdue the rival kingdoms within the territory which is now Thailand and then to bring the feudal lords under the control of the king, but also to give the central government effective control over the outlying provinces.

The structure of the national government of Thailand follows a basically simple plan of organization. Formal relationships within the government are clearly defined, but informal and personal relationships play an important part in the management of the public's business especially in the bureaucratic communication of Thai local administration.

There are five principal components in the organization of the executive branch of the national government.

1. The King, designed by the Constitution as the Head of the State, is a ceremonial head of the state.

¹³ The following description is based primarily upon the Organizational Directory of the Government of Thailand, 1960, 1963 Bangkok: USOM/Thailand.

His powers are limited and controlled by the Constitution. Politically, he has the right to consult and might be consulted by the government in power. The King has the advice of the Privy Council to perform his functions.

2. The cabinet functions as a collective executive, with the prime minister as chairman.

3. There are fourteen ministries in the cabinet, each of which is subdivided into departments, divisions, sections, and in some cases sub-sections.

4. The government enterprises, which operate a number of commercial activities of major importance.

5. The Administrative committees and commissions which have responsibilities in a number of specialized aspects of administration.

Provincial and District Organization. Thailand has a highly centralized system of territorial administration. The most important territorial units of administration are the provinces (changwad) and districts (amphur). These units are almost entirely governed from Bangkok. Provincial governors and district officers are appointed by the Minister of Interior and take their orders from the Ministry.

There are 71 changwad (provinces), each of which is subdivided into amphur. Each changwad has a governor and a changwad council composed of deputy changwad governors

and chiefs of provincial administrative units. The governor is in charge of all civil servants in his province and is responsible for the efficient operation in his territory of all offices, both changwad and amphur.

The administration of an amphur is under a Nai Amphur (district officer). He is assisted by an amphur council composed of the administrative officers who represent the several departments of central government having functions to carry on in the districts. The Nai Amphur has the same authority and duties in his area as those of the governor of a changwad. The governors and Nai Amphur are officials of the Ministry of Interior.

Local Government. Within each amphur, the rural areas are divided into tambol (communes) and muban (villages) which are the most important units of local government. There are two types of local government: commune and village government and municipal government. In this study we will briefly talk about municipal government, and then consider carefully the commune and village government especially in terms of bureaucratic communication.

1. Municipal government (tesaban) is an incorporated unit of government designed to permit some self-government in urban areas. Municipalities in Thailand exercise legislative and administrative functions, have their own

elective officials and their own civil service. Within the boundaries of the cities, municipal employees carry on the principal functions which are performed in rural areas by the district officials.

There are three categories of municipality in Thailand:

1. The Tambol municipality (the smallest);
2. The Muang or town municipality; and
3. The Nakorn or city municipality.

Each is governed by a municipal assembly (from 12-24 members) elected for five year terms. The latter is an executive committee of the assembly. The council (from 3-5 members) is appointed by the changwad governor, whose selection is subject to approval by the municipal assembly. The president of the council is the mayor of the city, appointed by the governor.

2. Commune and Village Government. The natural communities of Thailand are the villages (muban) consisting of some fifty to several hundred houses and families. The men and women of a village elect a puyaiban or headman, who serves as long as he retains the confidence and respect of the villagers. Retired headmen of the village serve as a kind of informal council to the headman and wield great influence in village life.

Headmanship has high prestige, honor and status and has distinctly heavy responsibilities. The government pays money to him for public facilities but it is not enough for him to pay for these facilities.

A number of villages are grouped together to form a commune or tambol, headed by a Kamnan who is elected by the headman of the villages composing the tambol (commune). The Kamnan is a semi-governmental official who reports each month to the district officers, and meets the village headmen at his home to inform them of new governmental orders and to settle minor disputes. He has charge of vital statistics and health measures. These locally-elected officials are for the most part dependent on and controlled by the district government.

However, we need to look back to the first established local government in Thailand in order to understand well the characteristics of local Thai government. "The first efforts to establish local self-government in Thailand were actually made under the absolute monarchy, before the revolution of 1932".¹⁴ As early as 1905, an experiment in local self-government was launched with the creation of a Sukaphibahn or "sanitation district" in a commune of Samud Sakorn province, a short distance southwest

¹⁴Fred W. Riggs. Thailand: The Modernization of a Bureaucratic Polity, East-West Center Press, Honolulu, 1966, p. 179.

of Bangkok. Provision was made for the creation of a board all of whose members were appointed ex officio, namely, the village headmen with the commune headman as chairman. (A number of villages or muban constitute a commune or tambon, whose head is chosen from among the headmen of the constituent villages). "This board was authorized to collect certain taxes, largely on houses and building constructions, to be used for the maintenance of local public works such as roads, bridges and lights, and to enforce local sanitation rules in a congested coastal fishing area".¹⁵

"In 1908 and again in 1915, new acts were promulgated expanding the powers of the Sukahpiban, providing that similar boards could be established elsewhere on the recommendation of the provincial governor and the monthon (region) head. By the time of the revolution, it is estimated that there were some forty-five sukapi-bahn".¹⁶

According to an act promulgated in 1914, qualified voters in each village were authorized to elect their own headman, but the district officer presided over the election. The headmen of all the villages in a commune in turn chose one of their numbers to be the tambon head.

¹⁵Fred W. Riggs; Ibid.

¹⁶Fred W. Riggs; Op. Cit., p. 180.

Although, in this manner, the rural population was introduced to some of the forms of local self-government it may be doubted that they experienced much of it in reality. Choop points out "the sukahpiban, commune, and village institutions at that time were always controlled by the central government officials".¹⁷

After the revolution of 1932, more efforts were made to launch a system of effective local self-government. As soon as the promoters of the revolution seized power in 1932, they publicly announced their devotion to the principles of democratic constitutional government under the constitutional monarchy, which was interpreted to mean local self-government as well as representative institutions in national government.

Despite this formal extension of the machinery of local autonomy, Choop reaches the conclusion that "Thai local self-government has become part of the hierarchy of the Ministry of the Interior"¹⁸ and again Horrigan reaches the conclusion that "Bureaucratic domination of local self-government is inherent in the central government controls over policy, personnel, and finances of the local units and in the strength and influence of the territorial administrators. It is clear that the central government

¹⁷Quoted in Fred W. Riggs; Ibid.

¹³Quoted in Fred W. Riggs, Op. Cit., p. 179.

possesses a dominance over local political action".¹⁹

When the Revolutionary Party came to power in 1932, it promised to work for the decentralization of administration and a greater measure of local self-government but it did not do as it promised. It called for the creation of local self-government councils throughout the country but neglected to provide adequate financing to carry out its provisions.

From 1932 to the present time, the long tradition of absolute monarchy and the habits of centralization, combined with a lack of any organized demand for self-government by the people have prevented much progress in the direction of local autonomy. However, forces slowly building up may reverse the traditional centralizing tendency. One of these factors is the large machinery of central-local administration which threatens to overwhelm the departments in Bangkok with reports, inspections and decisions on local matters. Another force for decentralization is the slow but steady growth of education and democratic feeling, and the realization that popular government applies to local as well as to national institutions.

¹⁹Quoted in Fred W. Riggs; Op. Cit., p. 180.

THE VILLAGE COMMUNITY IN RURAL THAILAND

The kind of village to be discussed here is a remote village, far from metropolitan centers. Villages close to cities have a different political structure. This difference is a result of differences in the village economics, in the educational level of villagers, and in the comprehensive formal organizations. The Thai villagers are not a community of joiners. Trade unions, professional societies, clubs, political parties, school associations, and so forth, are few in number and of slight importance. The villagers are not caught up in numerous formal independent organizations, each influencing a sphere of his life, each organized in hierarchical pattern. "The society is organized horizontally rather than vertically. Only two structures, church and government, are built in pyramid form. Even these hierarchies mean little to most Thai villagers; for them, Buddhism means the local temple, and government means the village elders".²⁰

"An individual's life is not surrounded by numerous

²⁰Frederick J. Harrigan. Local Government and Administration in Thailand: A Study of Institutions and Their Cultural Setting. Unpublished Ph.D. Dissertation, Indiana University, 1959, p. 100.

institutional codes. Each man is in large measure free to make his own way. Family and village loyalties are not unyielding; individuals often and lightly leave both behind in the search for personal advantage. The Buddhist religion requires each individual to seek his own salvation unaided and unhindered by others. The government lays down few rules for strict enforcement, and even Thai nationalism does not demand that the Thai villagers love their country. Neither state nor church imposes heavy and frequent demands on the individual".²¹ However, the Thai villagers prefer to devote much energy, sentiment, and money to their religion.

"The premise of Buddhism is that ultimate salvation consists of escape from material existence into nirvana in which one no longer exists as a sensate individual being".²² Therefore, Buddhist values are apparent also in the endless merit-making of the believers. Ways of making merit are legion. One gains merit each time he feeds a monk, goes to the temple, releases caged birds or animals or contributes to the construction of a new temple.

Thai villagers enjoy their religion. Village social life centers around the temple and nearly all village

²¹Frederick J. Harrigan; Op. Cit., p. 104.

²²John E. DeYoung. Village Life in Modern Thailand. Berkeley and Los Angeles: University of California Press, 1955, p. 201.

festivals have religious overtones. Professor Riggs reaches the conclusion that "the temple, or wat, is a source of advice and information on matters practical or esoteric such as theatrical performances, music and exhibits of artistic works. The temple is the source of a vital acculturating force".²³ This acculturation involves the idea of right and wrong, of proper aims and means, of ways of relating oneself to society.

"Beyond religion, Thai villagers respect, obey and honor the king. Even though Thai kings have been shorn of their political powers, they have retained the love and loyalty of their subjects - the Thai villager is a royalist at heart. The king embodies the values of the national unity. His person - all that it symbolizes - molds the society of villages into a nation sharing common values and moving toward a common destiny".²⁴

The family in villages is the simple nuclear unit, usually containing five or six members. Within a single village many people are related by blood or marriage. Each married pair normally establishes its own residence, owns its own land, and earns its own living.

Children are treated permissively and are instructed by repeated example rather than by enforced decree. Respect

²³Quoted in James McCarthy. Surveying and Exploring in Siam. London: John Murray, 1900, p. 89.

²⁴James McCarthy; Op. Cit., p. 91.

follows age, and children are taught early to respect their elders, priests and teachers, also exhibit the subtle and gracious forms of politeness which are a notable feature of Thai personal relations. After a certain age children are expected to aid in family projects, but they are also taught to be self-reliant. Family ties are easily cut. Individual members can leave when the proper time comes to take up residence elsewhere, even in another village. When a man does move, it is usually to establish, in a village like the one in which he was born, a family like the one he has left.

Thai villages are composed of 100-150 households, or from 500-750 persons. Usually the villages string out along a canal or road. In the villages a sense of equality pervades living conditions and social relations. There is no legal and little social discrimination against women. Women have rights of inheritance and ownership equal to men. The Thai are not divided by bitter class resentments based upon differentials of wealth. There is no class consciousness in Thai villages. The people generally feel that social justice prevails. The villagers have no urge to transform their environment and have little ambition to change their social position. They are content to live and die in the village and at the social level of their birth. They are content to earn enough for their family's needs and a little over for contributions to the

temple and a few simple luxuries. Their style of life does not compel a man to work harder to accumulate more.

Typically, Thai rural villagers are peasants. They live in simple but adequate houses of their own construction. One villager may own 30 acres and his neighbor 10, but it is very rare for one or a few villagers to own nearly all the land and their neighbors almost none.

The village has its own government consisting of an elected headman and an influential though informal council of elders and priests. "The ordinary villager shows much deference toward his superiors; he insists that they deserve respect by virtue of their earned position."²⁵

Although the villager respects his leader he is not willing to be led too far too fast. The Thai will express outward consent and obedience and then quietly fail to do as he has been commanded.

Up to this point, we can see that the typical Thai villagers have three qualities that they most respect - religious merit, official position including the King, and age. Respect goes automatically to the elders. Professor Riggs reaches the conclusion that "toward superiors, he shows respect tinged with caution

²⁵Silcock, T. H., (ed.) Thailand: Social and Economic Studies in Development. Canberra Australian National University Press, 1967, p. 158.

Related to this is his fondness for dependence on others".²⁶ "While displaying great independence and self-reliance in his dealings with the world at large, he is eager in his personal life to enjoy the protection and generosity of a patron, some distinguished or powerful person to whom he can attach himself".²⁷ Thus the loyalties of the Thai are typically and intensely personal, not institutional or idealistic.

Moreover, "Thai people are closely tied to their primary organization, i.e., family, kin-group, classmate and clique . . . which Professor Riggs again called the elect".²⁸ This means that, lacking resources of big organizations or party machinery, the power holders of Thai political administration focus their efforts on holding to power through small groups, composed of members who have known each other very well for years. Therefore, the Thai are discouraged from participating in big organization processes.

From this point, we will show that the typical Thai value - respect for official position - affects the bureaucratic communication in Thai local administration; especially in the common and village government level in the rural areas of Thailand.

²⁶Quoted in Kenneth E. Wells: Thai Buddhism: Its Rites and Activities. Bangkok: The Christian Bookstore, 1960, p. 201.

²⁷Kenneth E. Wells, Op. Cit., p. 203.

²⁸Kenneth E. Wells; Op. Cit., p. 204.

BUREAUCRATIC COMMUNICATION

Bureaucracy is not a new phenomenon. It existed in rudimentary forms thousands of years ago in Egypt and Rome. But the trend toward bureaucratization has greatly accelerated during the last century. In contemporary society, bureaucracy has become a dominant institution, the institution that epitomizes the modern era. Unless we understand this institutional form, we cannot understand social life today.

The concept of bureaucracy is viewed as a series of dimensions as Weber described it. These dimensions include division of labor, hierarchy of authority, formal communication, . . . etc. So, the researchers of organizations from the time of Weber to the present have used the bureaucratic model as the basis for conceptualizing the system of interrelationships in organization. Moreover, bureaucracy is not the Weberian ideal type, "it has developed differently in various countries of the world because of the particular geographic, historical and cultural conditions affecting each country and its people".²⁹

²⁹Joseph B. Kingsbury and Robert F. Wilcox, Op. Cit., p. 20.

Theories of organizations which describe organizational behavior in terms of communication process often use both the language of communication theory and the components of bureaucratic structure. Some researchers focus upon the existence of various channels of communications, the frequency of their use, and their relationship to structural variables of an organization. Such research often relates the output of an organization to the effectiveness of the communication channels. Neither school of research focuses in detail upon the forms of bureaucratic communication in terms of functionality and dysfunctionality for bureaucratic output.

To begin consideration of this issue, four patterns of bureaucratic communications are defined in terms of structural features of organization in Perrow's study (1970). These four patterns of bureaucratic communication are formal, instrumental informality, ritualism, and affective informality. The definitions of those patterns permit uniform categorizations of communication patterns by form in differing organizations. Such categorizations can be used to analyze the functionalities and dysfunctionalities of each mode of communication.

For uniform, comparative purposes, communication must be defined in terms of present organizational features. "To do this communication models will be defined in terms of the two structural variables of "rules - procedures" and

"policy-goals".³⁰

"Rules-procedures are defined as the formally designated way(s) of undertaking a given process. It is assumed that a set of rules-procedures exist in written form and that explicitly included among these rules-procedures are the proper methods for communicating within the organization".³¹ Such proper methods need not be restricted only to formal reports. Informal talks, field debriefing, etc. are considered congruent with formal procedures, so long as they are permitted by the rules.

"Goal-policies consist of the written statements made by the top hierarchical level of an organization".³² In a governmental bureaucracy, such "goal-policies" are determined through the official statements made at the ministerial level. This particular definition temporarily avoids the problems of whether or not organizational goals can differ from individual goals and the even more fundamental problem of whether or not an organization qua organization has a goal.

Hierarchy is defined in terms of the Weberian concept of a designation of status and is ranked along with the

³⁰Herbert J. Rubin. "Modes of Bureaucratic Communications. Examples from Thai Local Administrations". The Sociological Quarterly 15, Spring 1974, p. 212.

³¹Herbert J. Rubin; Op. Cit. p. 213.

³²Ibid.

associated legitimization of authority. In this study clients can be perceived as having ranks relative to the organizational hierarchy; clients (Thai villagers) are considered to have less status than officials do.

These three concepts, in practice are not so clearly defined. Hierarchy and actual power do not always coincide. "The formal position superior might actually have less power than his nominal subordinate. The proper procedure might involve filling out a form and waiting, but in a practical way, it might involve payment of tea money and receiving immediate service. Moreover, individual goals might or might not be congruent with official policies".³³

Perrow's four modes of communication will be defined in these formal structural terms - "goals-policies", "procedure-rules", and "hierarchy".

Formal communications occur when procedures are followed in compliance with organizational goals. Ritualism is the use of correct procedure to avoid accomplishing the policies. Instrumental informality is the avoidance of proper rules in order to achieve the goals. Finally, affective informality is communication which neither involves proper procedure nor is oriented toward ministerial goals but serves to increase group solidarity.

The relationship among these modes are as follows:

³³Herbert J. Rubin; Op. Cit., p. 214.

formality represents an ideal form of bureaucratic communication; instrumental informality and ritualism both are modifications of this form; instrumental informality is concerned with output while avoiding procedure, while ritualism is the use of procedures to avoid output. Affective informality appears less closely related.

We will use these four patterns of communication for comparing bureaucratic organizations according to the following pattern of analysis. We should ascertain what setting is associated with each mode of communication and then analyze the Thai traditional culture which affects those bureaucratic communications.

"The setting in Goffman's (1959) terms, associated with each mode of communication should be determined. Such setting can and does differ from organization to organization and from culture to culture. But within one organizational context a particular type of setting usually will be associated with one mode of communication. Setting can include location of the communication, refinement of language, or even in more general terms, the atmosphere associated with the communication".³⁴ For example, one would determine the appropriateness of an office setting for each mode of communication. Or, for example, one would observe if particular patterns of speech are

³⁴Herbert J. Rubin; Op. Cit., p. 215.

associated with each mode of communication. In one context, extreme refinement in language might be used in ritualistic communication to protect the speaker from questioning. In another context, flippancy and informality in language might accomplish the same end.

After the setting has been determined, a systematic comparative study of the functionality of each mode of communication within a given bureaucracy can be undertaken. For example, affective informality might be useful in increasing solidarity, but because of the lack of discipline involved, it might be detrimental for increasing efficiency, but in other cultural contexts differing patterns might be more suitable. Blau's (1958) study of the American bureaucracy suggests that instrumental informality might be used in conveying rule changes to subordinates but Crozier's (1964) analysis of French bureaucracy indicates that formality must be used in this case.

In this study, bureaucratic communication in Thai local administration has its own settings in this Thai culture context. The strategy used in this paper is to explain the effect of Thai traditional cultural factors upon the mode of bureaucratic communication in the same way that the French isolation of status groups (Crozier, 1964) was used to explain their effect upon the relationship within organizations.

AN EXAMPLE OF COMMUNICATION MODES IN
THAI LOCAL ADMINISTRATION³⁵

Using data collected on the Thai bureaucracy³⁶ we find various examples of formal, affective informal, ritualistic, and instrumental informal communications.

Administratively, Thailand is a centralized state divided into province, district, subdistrict and village. The ministries and numerous departments have representatives on the national, provincial, and district levels. Teachers and certain health and development officials have subdistrict responsibilities. The district is usually considered as the lowest level of the bureaucracy.

Most ministries are structured along hierachical lines of command with minimal staff support provided for decision-makers. At both district and provincial levels generalist officials (the District Officer and the Governor) are responsible for coordinating the technical, line officials.

³⁵Most of this section is based upon Herbert J. Rubin; Op. Cit., p. 216.

³⁶The data were collected in a year-long field study of rural Thai administration. Examples used in this paper were observed by Irene Rubin, and two Thai assistants. The research was sponsored by the Center for International Studies at M.R.T. under the supervision of Prof. Pye. More details can be found in Rubin, Op. Cit., pp. 740-773.

In reality such coordination is quite poor. "There are for example, some studies of Thai administration showing that the power of a particular position in the bureaucracy depends entirely upon the rank of that position and is minimally related to its functional responsibilities. Four aspects of Thai bureaucratic behavior are mentioned as personalism, malcoordination, hierarchy and indifference to clients".³⁷ Within the bureaucracy, subordinates display extreme personal deference to their superiors. Such deference involves unquestioning loyalty to the superior and an inability to overtly disobey his orders. "Personalism facilitates exchange of information and, to some extent lessens the problems of malcoordination. Yet it also leads to the creation of a patron-client relationship in which patrons use information and support of clients to aid themselves and in turn provide material resources to their clients".³⁸ Such a patron-client system leads to waste of resources. Money is used to support the relationship, while service to the citizen (in contrast to the superior) does little to aid the official in his career. However, many studies which contain descriptions of the communication process within the Thai bureaucracy only distinguish formal from informal communications, focusing

³⁷Herbert J. Rubin; Op. Cit., p. 217.

³⁸Herbert J. Rubin; Op. Cit., p. 218.

upon the dysfunctions of formality and the functionality of informality, such as Mosel's study (1963) and Riggs' study (1966). The distinction between ritualistic (goal avoidance behavior) and instrumental (goal seeking behavior) which is a crucial distinction is missed by looking at communications only in terms of the dysfunctions and functions of formal and informal communication.

Communication patterns among officials and between officials and villages in Thailand have been studied by Rubin using the framework developed by Perrow (1970). We will discuss this study in some detail because its findings are a good example of the ways in which the cultural and institutional factors discussed above influence bureaucratic communication. Observations were made by Rubin on bureaucratic communications in two moderately isolated rural districts. The districts contained, respectively, 30,000 and 110,000 inhabitants, and between 30-60 civil officials plus police and school teachers. The civil officials represented various technical and administrative agencies. The highest ranking official was the District Officer who as a line official is in charge of administrative activity, and as area official, is in charge of all other district activity. Complete descriptions of these areas can be found in Rubin (1972).

Formal Communication

Formal communications within the Thai bureaucracy are for conveying information from superior to inferior. In describing formal communications we must distinguish them from ritualistic communications because formal communications and ritualistic communication are quite similar.

In both forms of interaction formal language is used, deference is paid to the superior and control of the interaction is clearly held by the superior. Yet the goals of such interaction differ. In ritualistic patterns the superior is trying to avoid accomplishing bureaucratic goals. In formal interaction he is using the respect felt toward his position to cause inferiors to listen to information that will eventually be useful to accomplishing bureaucratic goals. These interactions can be indicated by describing a meeting between the District Officer and village headmen, between the District Officer and the section heads and between the District Officer and ordinary villagers.

The headmen meeting. A monthly meeting is held at the district hall for officials and village headmen. The primary purpose of such meeting is to convey administrative information to the headmen. The District Officer chairs the meetings. Usually the Assistant District Officer and on the average three section heads attend the meetings. The meeting is held in an official hall. The District Officer dominates village headmen and politely and confidently asks questions or responds when addressed. On occasion the headmen take notes or are instructed to take notes.

Such meetings involve a repetition of orders, announcements, and exhortation from official to villager. Proper deference to higher status individuals is preserved at the meetings. The District Officers want the headmen to listen, understand and relay information to the villagers. The District Officers emphasize all important points made at the meetings. They complain when headmen skip meetings.

The section head meeting. Similar patterns of interaction between junior and senior officials could be seen at the monthly section meetings. The District Officer chairs the meetings. Generally, but not without exception, refined language is used. The chairman do most of the talking; others usually talk only in direct response to his questions. These formal meetings are used

by the District Officer to announce new policies or reaffirm old policies which are then implemented.

Unlike the headman meetings at which the District Officer is simply relaying information from higher up in the bureaucracy, the section head meeting is concerned with immediate local problems. For the meeting to be both successful and relevant requires that the District Officer have sufficient information which could be provided by formal reports.

But corruption, and subtle inefficiencies are not brought formally to the District Officer's attention. He learns of such problems through instrumental informal exchanges with his subordinates. For example, one district officer learned about coordination problems while chatting on a jeep trip with a community development worker. The existence of both formal and instrumental informal means of getting information to the District officer enable him to run effective, formal, monthly section head meetings. The two modes of communication are complementary.

The village meetings. A third description by Rubin of formal communications occurred in an isolated area between the District Officer and villagers. For example, the villagers were working on a joint road project but some of the villagers were unwilling to cooperate with the headman. The headman arranged a general meeting and invited the District Officer to speak. Because of his

status virtually all the villagers attended quietly and politely listened to the presentation. A formal meeting, at which a sufficiently respected authority appeared, accomplished the goal of gaining cooperation on a needed development project.

Up to this point, in each of these cases, the headman meeting, the section head meeting and the villagers meeting - a formal setting is maintained. The superior is treated respectfully and deferentially. He dominates the entire meeting. Formal communications appear to be effective in situations in which the superior has the needed information to accomplish the formal goals of the bureaucracy. At times, though the superior needs the information provided by means of instrumental informality.

Ritualistic communication. According to Rubin, though the setting for ritualistic communication is similar to that of formal communications the purpose and effect was quite different. Both involved an official ceremony or a proper procedure. At both, formal language and/or proper forms were used. At both the status superior dominated the interaction.

The setting of ritualistic interaction differs from that of formal. Meetings of a ritualistic nature are hurried through; people are anxious to complete them. None of the humor present in some formal meetings is

present. There seems to be a desire to minimize interpersonal interaction while performing a ritualistic activity. We can see the setting of ritualistic communication clearly in the bureaucratic report, certain village meetings and intersectional coordination.

The bureaucratic report. The formal reports written by the local officials represent a form of ritualistic communication. Preparing such reports is clearly hard. First, many required the same data monthly, quarterly and yearly. Others are done under time limitations thus increasing the potential for error. Many, if not most, of the reports were not read or utilized for action documents higher in the bureaucracy. To cope with the clear frustration of filling out such reports and of getting correct data, officials generally treated such reports as ritualistic documents higher in the bureaucracy. They are completely and consistently filled out. But, the information contained on them are not verified, or are faked. The result of this process is inaccurate but correct-appearing information which can be transmitted up the bureaucracy.

Certain village meetings. The "reaching the people" project of the Ministry of Interior shows this pattern. The official is supposed to reach the people, i.e., go

to the villager, let him know about the government and aid in bridging the social and status gap between villager and official. For example, one District Officer designates one day a year per subdistrict as the reaching the people day. The meetings would last many hours but rarely are villager questions posed. This kind of meeting is designed to serve the people but involves ritualistic communication.

Intersectional coordination. To obtain maximal output from the limited number of local officials, coordination between sections is required. As a result ritualized interactions occurred. This pattern is indicated by the relationship of the community development officials with technical officials. The community development workers generally had better rapport with villagers but technical officials have the requisite skills for development activities. For example, the community development department prepares documents explaining the importance of coordination with the technical official. The suggested patterns of preliminary consultation and coordination are ignored.

Needless to say, the mutual planning and preliminary consultation, which is the goal of coordination work, do not occur. A ritualized reference to coordination occurred, but individual uncoordinated projects are undertaken.

Instrumental Informality. Situations of instrumental informality occur within informal settings between officials of differing bureaucratic statuses. By structuring a situation so that social inhibitions between status superior and inferior are reduced, information can properly be passed up to the superior. At times this information is useful to the superior in achieving bureaucratic goals.

Situations of instrumental informality occur when a superior desired to break down certain social inhibitions between himself and his inferiors. To do so the superior publicly undertook some informal actions. Once an informal setting has been established, an inferior feels free to present requests, complaints, and information to his superior. Similarly, in an informal mode, the superior is freed from certain restrictions upon his behavior. Most of these involve normative constraints to behave in a gentle fashion to subordinates.

This kind of pattern appears in the socializing after formal events, and in the interactions of an outside superior on a tour of inspection.

Socializing after formal events. Following formal, religious, or legal events a bureaucratic superior might suggest that subordinates informally gather for food,

drink and conversation. By doing so his inferiors feel free to convey to him unofficially their wants, needs or problems.

This kind of group interaction usually leaves the office and goes to a social hall or restaurant. Alcohol will be served, but it is served only moderately; drink is meant to facilitate communications and not to impede discussion. Similar interactions occur when certain officials visit the village. At the formal village ceremonies, subdistrict meeting, or development assemblies, information flows only from official to villager. After such meetings the official and village leaders retire to the headman's house. Alcohol and snacks will be served. After this treat is given, villagers feel that they are able to direct requests and complaints to the officials that through fear, awe, or courtesy they had not dared to present at the formal meetings.

An outside superior on a tour of inspection. Superiors are curtailed in communicating with inferiors by certain social norms. For example, they are not supposed to take advantage of their formal positions to flaunt their power or directly criticize their subordinates. However, in an informal atmosphere such displays of power or criticism are interpreted as advice between friends and accordingly not restricted by the social taboos.

By instrumental informality, information could be sent that otherwise would be blocked. The superior defines a situation as informal. Social status between superior and inferior is reduced. More honest and more threatening information that cannot be conveyed through formal channels is transmitted informally.

Affective Informal Mode. This mode is useful in maintaining or creating solidarity in organizations. According to Rubin, in the Thai case affective informal communication requires a change of setting away from the office or meeting place. Before informal conversations take place, the officials will move outside the district building and chat in the yard. Officials who are friends conversed while going to a village or while drinking at the local restaurant. As may be expected such exchanges usually are relaxed.

Such discussions concerned work, weather, politics or personal problems. Through these interactions, officials can enjoy each other's company and friendships can be reaffirmed. These conversations served to solidify the ethnic solidarity of the officials native to the area against the officials from the central region of the country posted to the North. During conflicts and other

stressful situations such affective solidarities are quite important.

So, affective informal interactions occur between status (near) equals. The primary resource each can bring to the relationship is personal emotive support which is therefore unlike instrumental informal interaction between superior and inferior.

CONCLUSIONS AND RECOMMENDATIONS

We can see clearly the four patterns of bureaucratic communication in Thai local administration; each mode is distinguished from the other by different settings. Formality and ritualism need the support of an official setting. Affective informality and instrumental informality occur only at locations removed from the office. Affective informality usually occurs between status equals. Only a superior through his choice of language, location and other traits can change a formal situation into either an instrumental informal or ritualistic one.

Moreover, Thai traditional culture which involves respect for official position affects these four modes of bureaucratic communication. Authority is accepted readily in the Thai bureaucracy as the basis for relationships within the organization. Riggs, (1966) Kingsbury and Siffin (1966) reach the same conclusion that the Thai have a high degree of respect for authority and deference for persons having higher status. This means that orders from the superior will be obeyed by

the inferior unless the order appears unreasonable, or conflicts with established values, in which case it is disregarded rather than opposed. There is a sincere desire on the part of the inferior to avoid causing trouble and friction in his relationship with a superior.

While Thais as a people, tend to be individualistic, they also accord deference to those who hold positions of authority. As Siffin has said:

"Two features of Thai society contributed greatly to the accommodation of both status values and individualism, the loose structuring, or high degree of permissivity, characteristic of the social system, and the shallow paternalism that is one aspect of the appropriate reciprocal relationship among superiors and subordinates within it."³⁹

So, it is characteristic of Thai culture that there is unquestioning acceptance of the right of a superior official to give orders to an inferior without challenge from the latter.

In addition to "respect for the official position", there is still some significance of the modes of bureaucratic communication for the local government of rural

³⁹William J. Siffin, The Thai Bureaucracy: Institutional Change and Development, East-West Center Press, Honolulu, 1966, p. 132.

Thailand.

Some official records are kept in order to evidence (or to minimize through false records) responsibility for particular occurrences and events. Those records do not always accurately report activities or may report activities that did not occur. The actual data of decision making are personal rather than objective, and their importance tends to live in their source more than their content.

The four modes of bureaucratic communication mentioned above come down from the top and not up from lower levels. Respect for official superior position affects these four modes in this aspect. This is illustrated in Rubin's study by the cases of formal communication, the headman meeting, the section head meeting, and the villager meeting. The superior is treated respectfully and deferentially. He dominates, if not monopolizes, the entire meeting. Others present listen to what he says and rarely respond, but apparently absorb the message.

Ritualistic communication permits individual officials to protect themselves in different ways. Inadequate official reports satisfy superior demands with little effort on the part of the local officials. The

ritualized meetings of the official superior decreases the status differences with respect to villagers. And again, the ritualized coordination relationship between sections permits the individual official to avoid becoming dependent upon other officials.

In the case of instrumental informality, the superior defines this kind of communication as being informal. Social inhibitions upon both superior and inferior are thereby decreased.

Finally, affective informal interaction occurs between status (near) equals; each can bring to the relationship personal emotional support. This kind of communication is different from instrumental informal interaction which is between superior and inferior.

The pattern of bureaucratic communications is selected by officials, which means that higher ranking officials seek information on the state of affairs within their jurisdictions and pick a mode of communication which enhances their status; the officials talk instead of listening; the subordinates respect the officials and are eager to show all the outward forms of deference. However, the villagers are not willing to be led too fast. The villagers will express outward consent and obedience and then quietly fail to do as they have been commanded. The posture of subordinates is usually deferential and protective.

Any visit by high ranking administrative officials to their people helps to convince their people of the officials' paternal pride, interest in their work and their particular problems. The meal with liquor that goes with the official visits becomes lively and involves uninhibited discussions. Their people report to them personally, bringing the gossip, the confidences and the suspicions which help protect the officials against painful surprises.

Rules and regulations are commonplace instruments of communication within the bureaucracy but they work in different ways. On the one hand, many rules and regulations tend to be effective without question. On the other hand, specific rules and regulations can be ignored or modified in particular actions by officials with high status. Formal communication appears to be effective in situations in which the superior has the needed information and that superior is sympathetic to the formal goals of the bureaucracy. At times, though, the superior acquires the information by means of instrumental informality. Through instrumental communication, threatening information which cannot be conveyed in formal ways is transmitted informally. However, it might not be good form for the official to violate at will an old rule about some important matter and adopt a new rule. The new rule may become a convenient justification for whatever future

action at variance from the older norms the official may desire. As Siffin has said: "Rules (in bureaucracies) are the instruments of an authority which is essentially nonlegal, and which resembles legal authority chiefly because any large administrative system must have a fairly sizeable number of available premises in order to continue to exist and operate in a coherent manner".⁴⁰

In conclusion, we can see that the Thai value "respect for the official position" affects bureaucratic communications in dysfunctional ways more than functional ways to the organization. This value disrupts formal communication particularly and this type of communication is necessary for the effective functioning of organizations. Errors of communication can occur easily in the absence of record keeping and other aspects of formal communication. The respect for the official position value is a barrier to the structural development of local Thai government in rural areas.

One way to solve this problem is for the central government to delegate more authority to the local government in the rural area. Secondly, the government is trying to give the people more education in order to help the people to understand about the local-self administration system, which can inspire the people to get away

⁴⁰William J. Siffin; Op. Cit., p. 236.

from unquestioning acceptance of the traditional value of respect for the official position. Finally, the government has to convince officials to stop assuming that they are much superior to the villagers. Officials should not expect villagers to respect unreasonable commands simply because of their higher status. The formal mode of communication may be preferable in this sense because it does not rely so exclusively for its effectiveness upon status differences.

However, our suggestions for getting rid of the dysfunctional value of Thai culture for the development of bureaucratic communication are hard to implement because this value appears to be deeply rooted in Thai rural people ever since the establishment of Thailand as a nation.

Moreover, this situation parallels the one that Michel Crozier found in a study of two French bureaucratic organizations. Crozier states that "the rigidity of task definition, rank arrangements, and the human relations networks, results in a lack of communication between the groups".⁴¹ From this perspective, it appears that each group (villagers and officials) views its own functions as the most crucial for the success of the organization; as, for example when Thai officials try

⁴¹Michel Crozier, The Bureaucratic Phenomenon (Chicago: The University of Chicago Press, 1964), page 175.

to protect their own domain and ignore the organization's goals. Thai officials are reluctant to delegate authority and this is encouraged by Thai tradition of "respect to the official position". The way to solve this problem in Crozier's view is to introduce more rules to enforce honesty which will lead to the achievement of organizational goals. In this way officials and the villagers can increase their communication with each other. If the officials are honest, then they do not need to avoid face-to-face contact with villagers and the villagers are more likely to initiate contact with honest officials.

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