

URBAN PLACE FELLOWSHIP: AN EXAMPLE OF A  
COMMUNITARIAN SOCIAL STRUCTURE

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## ABSTRACT

### URBAN PLACE FELLOWSHIP: AN EXAMPLE OF A COMMUNITARIAN SOCIAL STRUCTURE

By

W. Russell Harris, III

Urban Place Fellowship (U.P.F.) is a modern utopian collectivity similar in many ways to the utopian experiments of the 19th Century, however, with several distinctive features. It is located in a major American city and the members of U.P.F. hold a wide range of jobs in the city. Because of the utopian structure and urban location, U.P.F. may offer an alternate social system to support the members' vocational autonomy.

The purpose of the study is to examine the structure of U.P.F. and note any effect on the autonomy of the members in their work roles due to the group structure. It does this in two stages. First, the literature on the 19th Century utopias is examined. This literature and Loomis' social system model reveal six structural characteristics associated with group longevity. In the second stage, these are used to investigate the structure of U.P.F. and any

effect it may have on member vocational autonomy. These variables were available to the research team for their investigations and participant-observer visits to U.P.F. over a three year period. The findings may be summarized according to the variables as follows:

(1) Philosophy and Goals: The Fellowship was conceived by the members both as means of "radical Christian" discipleship to others and as an end in itself to be expressed in the quality of relationships among the members. Both of these constituted shared norms by which the members evaluated their behavior. These were judged to be supportive of group longevity in comparison to the inadequate self-interest goals of the members of some of the rural socialisms.

(2) Systemic Linkage and Boundary Maintenance: An analysis of U.P.F.'s economic support system revealed how the employee status of members plus a commitment to a "welfare" level standard of living by the members generated a "cushion" of income over expenses. This did not eliminate potentially fatal conflicts of interest with absentee sponsors such as those which proved fatal to some of the rural socialisms. However, it minimized them in a similar way to that of the long-lived religious utopias.

(3) Income Allocation: The economic distribution system at U.P.F., known as the "common purse," in conjunction with the income "cushion," effectively minimized economic

conflicts of interests among the members and helped avoid the plight of some rural socialisms.

(4) Articulation of the Community System: Member conflicts regarding power and status were also minimized but not eliminated at U.P.F. by several mechanisms including a system of rotating expertise authority, a decentralized influence structure and a common ownership of property, which prevented members of differential influence from acquiring the visible symbols of status and power.

(5) Selection and Socialization of Members: The data revealed a unique selection and socialization mechanism at U.P.F., the "intentional neighbor relationship." This permitted a flexible and elongated anticipatory socialization process for candidates which was unavailable to the isolated rural utopias. This was judged adequate for the comprehensive membership process required by highly articulated groups such as U.P.F. and promised to fulfill the manpower and commitment requirements needed for longevity.

In each of the variables, U.P.F. had adopted similarly effective measures as had the religious utopias or had avoided dangers which plagued the socialisms. This permitted U.P.F.'s longevity potential to be judged as similarly high as the long-lived religious groups.

The other emphasis of the study was member autonomy. The study defined autonomy as the ability of an actor to consider an action which he anticipated would result in a

negative evaluation of him by the group in question--thus challenging a dimension of his self-esteem. The literature revealed that an actor should require support for such autonomy by two variables:

(1) Exposure to a highly differentiated social structure to individuate his "self" system as distinct from the village or collectivity in which he was a member. This was necessary to permit an actor to conceive of alternatives to conformity--one requisite of autonomy. It was judged that some isolated religious utopias did not fulfill this criterion. However, U.P.F.'s urban location, high degree of cross-boundary travel and member-vocational roles, all exposed the members to the highly differentiated urban social structure and clearly fulfilled this criterion.

(2) The second autonomy variable revealed by the literature was the actor's location in a social structure of high articulation. This structure was needed to support him against challenges to his self-esteem in other social structures in which he exercised his vocational autonomy. U.P.F.'s low degree of horizontal and vertical differentiation, its low degree of structural conflict and its low degree of member isolation were judged to constitute a high structural articulation, nearly comparable to that of primitive pre-industrial societies. Thus, it could be claimed that U.P.F. offered the combination of high articulation, which it enjoyed in common with its counterparts of

the previous century, and high differentiation, which was unique to the utopias due to their rural isolation. The unique combination permitted a prediction of support for member autonomy which was strengthened by some observations of member vocational performance.

The important implication of the study is that a high articulation urban group is potentially viable and long-lived and may support members' vocational autonomy. This constitutes a possible solution to the general problem of personal and professional responsibility in an institutional and market-place-dominated society.



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A THESIS

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Michigan State University  
in partial fulfillment of the requirements  
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1973

## DEDICATION

This study is dedicated to the High School Youth of Michigan. It was their restlessness which stimulated the original visit to the Fellowship. It will be their courage and vision, hopefully aided by this study, that will help motivate the rebuilding of the church and all the social structures in this state.

## ACKNOWLEDGEMENT

The author would like to express his thanks to several people for their help in the study. First, thanks go to the members of Urban Place Fellowship for their help, especially Jacob Mission, who arranged the initial visit. The following helped in the investigation: Bert Russell of Okemos, Michigan; the Reverend Minoru Mochozuki of Western Michigan University; the late Mrs. Percy (Jo) Tolsma of Sturgis, Michigan; Eleanor W. Harris from the United Presbyterian Headquarters in Philadelphia; my wife Patricia, and our children Douglas, Andrew and Susanne.

Thanks go to the academic community of Michigan State University, especially to Frank Blackington, Christopher Sower, and William Faunce for the hypotheses which furnished the purpose and framework for the study.

Special thanks are reserved for my doctoral committee, particularly Marvin Grandstaff, the chairman, for his initial vision and constant guidance; for Russell Kleis, especially for his vision of the overall problem; to William Sweetland, for a thorough proofing of the first manuscript; and to Peter Manning for the direction of the observation and sociological phase.

My appreciation also goes to the United Presbyterian

Church for a sabbatical leave and financial support and to the typist, Karen Yurchak, for service "beyond the call of duty."

Finally, and most important, appreciation goes to my wife, Patricia, whose willingness to swap family roles made the whole adventure possible and to our children, who took over many of my family tasks.

W. Russell Harris, III

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## CHAPTER I

### INTRODUCTION

Urban Place Fellowship<sup>1</sup> (U.P.F.) is a modern utopian collectivity similar in many ways to the utopian experiments of the 19th Century, however, with several distinctive features. It is located in a major American city and the members of U.P.F. hold a wide range of jobs in the city. Because of its utopian structure and urban location, U.P.F. may offer an alternate social system to support the members' vocational autonomy on their jobs.

The purpose of the study is to examine the structure of U.P.F. and note any effect on the autonomy of the members in their work roles. It does this in two stages. First, the literature on the 19th Century utopias is examined. This literature and Loomis' social system model reveal six structural characteristics associated with group longevity. In the second stage, these are used to investigate the structure of U.P.F. and any effect of the structure on member vocational autonomy.

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<sup>1</sup>All proper names in this thesis are code names.

### Structure

U.P.F. represents an attempt to structure a particular kind of human relationship. An adequate examination of such an experiment cannot simply describe visible behavior but must analyze the structural components which support and give rise to such behavior. The term structure refers to the set of interrelated patterns, positions and expectations which shape the interaction among the members, between the members and those outside its boundaries and between the group and other social units.

### Longevity

Since U.P.F. appeared to be similar in many ways to the 19th Century utopian experiments, profitable comparisons might result, it was reasoned. During the 19th Century, nearly eighty communities attempted to create a different type of society. Their common characteristic is their desire to structure a system of cooperation in their groups as opposed to what they believed was a "competitive" society around them. Religious and non-religious groups alike claimed that the cooperative societies permitted a new style of responsible behavior. Toward this end each group attempted to structure a common goal, a physical proximity of dwellings, and shared economic, social and decision structures.

However, most of these groups were extremely short lived, lasting on the average for three to five years. What were the causes of the failures? Are the same causes of failure at work in U.P.F. today or has U.P.F. overcome the problems? If U.P.F. is to continue in its influence both inside and outside its boundaries, presumably it must continue to exist and to support its members. Thus it was decided to examine the literature on the 19th Century groups with special attention to the factors associated with longevity. These factors could then provide a framework from which to examine U.P.F.

A review of the literature on the rural utopias yielded six characteristics which were associated with the more successful experiments. Since these were descriptive of the basic structural characteristics of the group, it was determined to be useful to examine U.P.F. to discover how it had been structured in the six areas and to ask what effect this might have upon member autonomy.

### Autonomy

The autonomy focus is raised to discover whether U.P.F. could capitalize on its unique urban location by offering its members an alternate social system to support their vocational responsibilities. The several writers who are also concerned about the problem of man's ability to be responsible in light of social problems are noted in the

review of literature in Chapter II. This literature with 19th Century literature is the context of the question: does U.P.F. insulate its members from the "pressure" society places upon people for conformity and thus facilitate their autonomy? Therefore group autonomy is not of primary interest here since the pluralistic American society has apparently given U.P.F. the freedom to structure itself in accord with its own desires. Rather it is an individual autonomy which will be investigated: the self-governance or freedom of decision of an individual in the face of social pressure to conform.

Since the work role is one frequently described in the literature, it was selected as the area in which to consider the autonomy question. Thus the question becomes: does U.P.F. support the autonomy of its members on their jobs? This requires the assumption that appears reasonable: if one can exercise autonomous judgement on his job (where his source of income is potentially at stake) he could exercise autonomy in non-vocational pursuits (where his income may not be in question).

#### Design of the Study and Data Collection Procedures

A participant-observer method was utilized to gather data on the Fellowship.<sup>2</sup>

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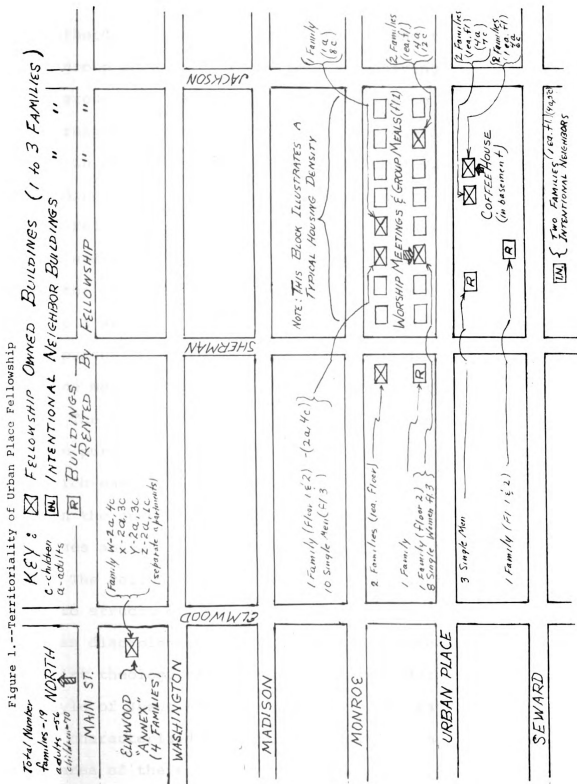
<sup>2</sup>For details on this type of method see B. Junker, ed., Field Work (Chicago, University of Chicago Press, 1960).

At the time of the last visit the group consisted of fifty-six adults and seventy children. The group is comprised of approximately equal numbers of men and women. They range in age from seventeen to forty-five years. They live in family units in twelve buildings on several blocks in the city. (See Figure 1) Most of the buildings are single dwellings divided into "flats" or family units (usually one unit on each floor). One of the family units consists of single male adults, another of single women.

Most of the buildings are owned by the Fellowship. However, occasionally when additional members join, housing is not immediately available so the group must rent buildings. (See Figure 1) This is not considered ideal by the group but is a temporary way of adjusting until suitable housing becomes available on the market. Some families desire to join the group in stages. These are known as "intentional neighbors" and obtain their own housing in the neighborhood. (See Figure 1) (This is discussed more fully in Chapter VII, Selection and Socialization of Members.)

Most of the single adults work in the city as well as one partner, usually the male, from the husband-wife family units in the usual middle-class job pattern. Many work in "people oriented" jobs such as teacher, social worker, and hospital aide. However, the range includes engineers, managers and garbage collectors as well.

During the weekdays only the wives and pre-school



children are home. Two of the women operate the Fellowship "Day Nursery" for children of the working mothers in the neighborhood. Other Fellowship wives attend to routine tasks of the group such as paying bills or preparing for the weekly group meal in addition to attending to their usual family responsibilities. During the day children of school age are in classes, usually in public schools. However, in the evenings and weekends most of the members are available for the Fellowship activities: a "coffee house," a worship service and a "work day" to repair the group buildings. During the week the activities include a weekly common meal and also a weekly evening meeting. Each week a different family purchases the food (with Fellowship funds) and prepares the meal. (For further discussion see Chapter VI.)

The economic life of the group is characterized by a common purse in which the members place their wages and from which each family unit is given a living allowance based on the number of members in the unit. The group owns the houses and cars communally. (See Chapter V)

The rationale for these activities is the group's desire to structure a way of life based on a "radical Christian discipleship." This ideal is founded on the Anabaptist theology of the Protestant Reformation and on the life style of Jesus and his disciples. The radical discipleship is characterized by a commitment by the members of "every area of their lives to God and mankind," by

equality of the members before God, and by a love for one another and a concern for the society in which they live. (See Chapter III for further discussion.)

Fortunately the researcher had a background in theology and philosophy as an ordained clergyman and thus was accorded a degree of legitimacy by the group. This background also helped in the orientation of other researchers.

The study was an extended one comprising three visits. The first was a one-week stay (1964). Three adults (the author, a public educator, and a theologian-faculty member from Western Michigan University) and twelve high school youngsters from various cities in Michigan participated. The research group was mixed male and female, black and white, higher and lower income level and from both large and small communities (in Michigan). A planned itinerary was used so that the researchers were able to participate in each of the various activities of the Fellowship as well as at several of the places of employment of the members such as Brawn State Hospital,<sup>3</sup> the local mental hospital. Even the meals and lodging during the week were arranged so at least one member of the team boarded or dined with each of the Fellowship families. The team members utilized "debriefing" sessions to share their insights and compare

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<sup>3</sup>A code name.



developing theories as well as to keep from losing their objectivity by the activities of the week.

This first visit was exploratory. Following it, the systematic study was made of the communitarian groups of the 19th Century. This provided the other two problems, longevity and member autonomy, and the six variables with which to examine these as well as the structure of the group itself. A structural outline was then prepared to be used as a more specific guide for the second and third visits.

The second stay took place in February of 1969 and provided a contrast to the first visit which was during the summer season. The author traveled alone to the group and found that he was treated almost as if he were an unmarried individual. This afforded a different view of the Fellowship and was particularly helpful in relating to the single members who traveled in somewhat different informal circles from those with family responsibilities. The second visit, also a week in duration, occurred as the group was dealing with a problem of size. The group had grown to over thirty adults (plus children) and they had found that the face-to-face relationships which they counted as vital had been eroded by the larger numbers. The decision to try a new approach was actually reached during the week that the author was present.

The third and final visit afforded a view of the new approach after a one-half year "shakedown" period. This

visit was of three weeks duration and the author was able to take his wife and family and participate more fully in the predominantly family-centered mode and relationship of the group. A sensitive young high school student was selected to accompany the author's family. He was able to build some significant relationships with several youth in the neighborhood, both black and white, and obtain their views on the Fellowship and the position of youth in the group. He also related to several of the Fellowship's youth and became acquainted with the range of attitudes they displayed toward the group. Another valuable contact with a different segment of the Fellowship was provided by a colleague of retirement age who was able to join the research team for a week and relate to the older members of the Fellowship.<sup>4</sup> Again the nightly debriefing sessions were used to centralize the data, compare and test theories and to minimize loss of objectivity.

#### Collecting the Data

During the three visits, the teams attempted to maximize the advantages of the participant-observer type of study. Depth interviews and semi-structured interviews were

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<sup>4</sup>She is presently employed by a major church denomination and brought many years of experience both as officer and as member of a local church. Her perspective was helpful in contrasting the Fellowship with the more usual Protestant church group.

commonly used to supplement the participant role as well as to enrich the yield of data. The teams were briefed and made familiar with the primary areas of examination.<sup>5</sup> The teams made note of "key informants'" observations as well as those of other members. Five such key informants were identified (three men and two women). These five were characterized by a longer tenure in the group, theological-historical training, experience in several communal groups or all three. The observers then cross-checked informants' comments against their own observations, against their own feelings as participants and finally against the written records which were available for the Fellowship as well as for the subgroups. Finally these findings were compared with outside sources, such as newspaper reports and perceptions of neighbors.<sup>6</sup> Visits to the places of employment of some of the Fellowship members were also made. On these visits the researchers actually worked in "helping" roles in an effort to feel the demands of job-performance, to better observe the performance of the U.P.F. members and to gather observations from informants employed on the sites who had observed members' job-performance over a period of time.

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<sup>5</sup>Roy G. Frances, "Administration of Social Science Research," An Introduction to Social Research, ed. by John T. Doby (New York: Appleton-Century Crofts, 1967), p. 363. The comments in this chapter were very helpful in training the research staff.

<sup>6</sup>About a dozen interviews with neighbors were held at various times.

The unstructured interview procedure enabled the researchers to note inconsistencies between the informants' comments and data from any of these other sources and to pursue points of apparent difference. Often this led to rich yields, especially about informal lines of communication and informal norms.

Data were gathered at the individual, family, subgroup and total group level. However, it was the structural data about the total group which comprised the focus of the investigation.

#### Control of the Data

The design used various methods, some of which have been indicated above, to minimize the shortcomings of the participant observer method. Debriefing sessions were used throughout to prevent loss of objectivity of the researchers. Various sources of data were utilized as cross-checks: perceptual data offered by the informants, the feelings generated in the researchers as they participated in the various activities, observations by the researchers of the various groups in action, observations and perceptions of neighbors and other outside reporters, and historical records and documents of the Fellowship.

Visits in winter and summer attempted to counteract seasonal differences in activities of the Fellowship. Visits before, during, and after a structural change provided

a base-line to monitor the change in structure.<sup>7</sup> The material on the 19th Century groups was analyzed for a basis of comparison to the Fellowship. This also yielded a correlation between several factors and the longevity of the groups which served to measure the longevity potential of the Fellowship. The visits to several places of employment of the members yielded several sources of data from the job site to test hypotheses about member vocational autonomy.

However, the one limitation of a case study remains: that it constitutes a sample of one and thus is limited in its generalizing power to other situations. This limitation was acknowledged in attempting to limit the findings to appropriate scope.<sup>8</sup>

#### The Chapter Organization

The 19th Century literature reveals and describes the six variables used to analyze the problem of longevity. Since these are also used for the primary task of the thesis, that of the description of the group's structure, a chapter is devoted to each variable. Each chapter first uses one variable to describe one dimension of U.P.F. (such as its

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<sup>7</sup>S. E. Seashore, Group Cohesiveness in the Industrial Work Group (Ann Arbor: University of Michigan, 1954), p. 13ff.

<sup>8</sup>Samuel A. Stouffer, "Some Observations on Study Design," American Journal of Sociology (January, 1950), pp. 356-359. For the argument in behalf of single case studies see James Coleman, Martin Frow and S. M. Lipsit, Union Democracy (Glencoe, Ill.: Free Press, 1956).

philosophy).

The second body of the literature reveals two central variables critical for actor autonomy: exposure to a social structure of high differentiation, and membership in a social structure of high articulation. These are both noted in the chapters in relation to the six structural variables (the former especially in Chapter IV and the latter in Chapter VI). Comparisons are made between the Fellowship and the 19th Century experiments where possible.

The last chapter (Chapter VIII) summarizes the results in two parts which correspond to the two parts of the literature. The structural material is summarized first. The autonomy material is accumulated in the second summary. Both summaries are in chapter order.

This introductory chapter has attempted to set forth how the problem of the study was formulated: that of accounting for the structural conditions of U.P.F. which permit the style of life which it manifests and also why such knowledge is valuable in the modern society. The chapter has tried to show why the structure of these groups is a valuable consideration here. The chapter has also indicated how the claims of modern writers (to be discussed in Chapter II) who are concerned about man's inability to reform society has resulted in the interest in the autonomy of the U.P.F. members to be "responsible" in

their vocational roles. Finally the chapter has set forth the design which the study used to try to obtain the most accurate and inclusive data. The three visits by a mixed group of reporters who lived in U.P.F. provided respondent, behavioral, and structural data from a variety of sources which permitted ready comparison for a more penetrating analysis. The next chapter will survey the literature and will contain the survey of the 19th Century experiments. These will provide a background for the body of the paper-- the structural analysis of U.P.F. itself.

## CHAPTER II

### SURVEY OF LITERATURE

#### Introduction

The survey of literature for this thesis comprises three sections. The first section reviews the literature on 19th Century American communities. The next derives a schema for analysis from the literature which will then be used in the body of the paper to examine U.P.F. The last of the three sections covers the literature on autonomy and derives a scheme to examine the autonomy potential of the residents of U.P.F.

#### The American Utopias

Working on the premise that a satisfactory starting point for understanding a community like U.P.F. would be an analysis of the literature on the American Utopian experiments, it becomes important to examine that literature with some care. A discriminating examination of groups which began over 150 years ago presents a particular problem. The first-hand accounts of the societies are few and must be carefully examined for reliability. Another problem is the difficulty for a contemporary reader in comprehending a world view of Americans in 1820. What circumstances would cause men to leave their homes and jobs, uproot their families and



move to some remote woodlot often with few agricultural skills and fewer instruments with which to work the land? Yet they moved from the cities by the hundreds, talking of "cooperative societies" and the "betterment of mankind." And these were people respected by their peers, including some of stature such as George Ripley, Nathaniel Hawthorne, Isaac Hecker, and Charles A. Dana of Brook Farm.

This section will survey the range of literature surrounding the utopias--the literature which influenced the groups as well as the literature which reported upon the groups from both eye witness and secondary sources. The early literature will serve to reveal something of the intellectual and social milieu of the day, thus helping to explain the impetus toward the utopias and the designs behind them. It will help in understanding some of the reporters who analyzed the experiments, particularly Robert Owen and John Humphrey Noyes, to discover and correct their biases, and also to understand their thought forms and convictions. (See also Appendix D)

Finally this section will survey the modern literature relating to 19th Century and modern communitarian groups.

#### Social and Literary Influences on the American Utopias

In searching for the mind and spirit of the nineteenth Century experiments it is mandatory for a modern investigator to readjust his perceptual framework to the

milieu of the day. Many of the utopian and anarchistic writings of the 20th Century were not available to the two great waves of utopian experimenters in 1824 (1st wave) and 1842 (2nd wave).<sup>1</sup> (See Bibliography for reference to all works in this section). Proudhon's major work What is Property, for example, was not available in France until 1840 and not until after the second group of experiments was well under way in the U. S. Josiah Warren's Equitable Commerce (1846) and Adin Ballou's Practical Christian Socialism (1854) were authored by men who had lived in communities themselves (Warren in New Harmony and Ballou in Hopedale) and were probably influenced by the experiments as much as they had influence upon them.

Bakunin and Kropotkin followed in the next half century, as did the anarchist Tolstoy. Only William Godwin among the anarchist writers preceded the two great waves of American experiments, and his central work Inquiry Concerning Political Justice (1789) was apparently available to the later communitarians of that day.

Godwin claimed, "There is but one power to which I can yield a heartfelt obedience, the decision of my own understanding, the dictate of my own conscience."<sup>2</sup>

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<sup>1</sup>John Humphrey Noyes, History of American Socialisms (New York: Dover Publications, 1966, a reprint of the original published by J. B. Lippencott & Co., Philadelphia, 1870), pp. 13-14.

<sup>2</sup>William Godwin, An Inquiry Concerning Political Justice and Its Influence on Morals and Happiness (Toronto: The University of Toronto Press, 1946, a reprint of the original 1789 edition), cited by George Woodcock, Anarchism (Cleveland: World Publishing Co., 1962), p. 41.

This illustrated a paradox of anarchism in relation to the utopian experimenters. While the anarchists' faith in human nature and impetus to criticize the existing order were both shared or adopted by the utopians, the anarchistic opposition to all forms of authority in the name of individualism conflicted with the requirements of communitarian living and either was rejected or modified by the experimenters, particularly the religious ones. Those such as Owen, who failed to see the conflict and attempted to retain individualism found considerable social strife among the members as well as eventual failure. Thus the influence of anarchistic thought upon the experimenters was a partial one at best.

Most of the utopian writers as well as the anarchists came after the utopian experiments. Bellamy's Looking Backward was not available until 1888, William Morris' News from Nowhere until 1890, H. G. Well's A Modern Utopia 1905, and Lenin's State and Revolution 1917. All these wrote with the failures of the utopian experiments behind them. Thus nearly all of the modern anarchistic and utopian writers define communitarian structures outside the larger society as "retreats from reality" or "prison paradises"<sup>3</sup> rather than experiments in reshaping society. As George Kateb claims, "It is unthinkable for the modern utopian, that the cluster of utopian ends could have permanent existence

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<sup>3</sup>Northrop Frye, Anatomy of Criticism (Princeton, N.J.: Princeton University Press, 1957), p. 37.

outside a society organized for their sake."<sup>4</sup>

Thus in order to uncover any of the utopian influences upon the first experiments in 1824 one must look within the literature of the previous half century to Saint-Simon, Charles Fourier, and Robert Owen.

Saint-Simon published many protests against the unequal distribution of the wealth of society but included few plans to rectify the situation. He claimed only that rewards should be proportional to social contributions rather than to rank in a social hierarchy. Fourier's writings, on the other hand, were explicit and detailed and this probably generated a lot of enthusiasm among the utopians. In fact, Noyes attributes all of the thirty-four experiments in the second wave to Fourier's writings. Owen, however, of the three writers, is clearly the most interesting. His proposals for "villages of cooperation" came not from his arm-chair dreams as did Fourier's and Saint-Simon's but rather from his New Lanark experiment in Scotland. After the amazing success of New Lanark he came to the U. S. himself to begin "New Harmony," which was to be the model for the new social order.

If one is to find utopian influences prior to the

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<sup>4</sup> George Kateb, Utopia and Its Enemies (Glencoe, Illinois: Free Press, 1963), p. 10.

19th Century he must go back to radical Christian movements surrounding the Reformation upheaval, particularly the Hussites and the Anabaptists. Mannheim, in Ideology and Utopia claims that "the decisive turning point in modern history was, from the point of view of our problem, the movement in which chiliasm (millennialism) joined forces with the active demands of the oppressed strata of society,"<sup>5</sup> a reference to the Hussites and Anabaptists under Thomas Munzer. Corrinne Jacker and George Woodcock (see bibliography) trace the anarchist and utopian critiques of society back before the age of classical Greece into the 5th Century, B. C.

Kropotkin's history of anarchism, however, offers one of the most interesting notions. He postulates in the anonymous masses of prehistoric people two themes in human history. One, which he calls "mutual aid," is seen in the cooperative structure of primitive villages, and later the medieval craft guilds. The other, the authoritarian theme, is expressed in village priests and magicians who used superstition and fear to maintain class and privilege distinctions, and social and legal systems.<sup>6</sup>

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<sup>5</sup>Karl Mannheim, Ideology and Utopia, trans. by L. Worth and E. Shils (New York: Harvest Books, 1936), pp. 211-212. It will be interesting to note later how the communarians at U.P.F. refer directly back to Munzer and the radical reformers in conceptualizing their efforts.

<sup>6</sup>Peter Kropotkin, Mutual Aid: A Factor in Evolution (New York: Knopf, 1925), especially Chapter 1.

Mannheim's dichotomy in social thought between status quo oriented ideas ("ideology") and revolutionary utopian thought ("utopia")<sup>7</sup> parallels Kropotkin's themes.

It is helpful in conceptualizing the place of the utopian experiments in literature and history to envision them as a part of the historical stream of "mutual aid" and revolutionary utopian ideas and actions. Placed in the unique social economic conditions of the early 19th Century, however, the utopian dreams were powerful enough to attract into their adventures many who would have stood normally on the side of authoritarianism and the status quo.

One problem remains in the analysis thus far. The relationship between Owen's Scottish factory-village and the American experiments is extremely vague, both in the early literature and in the modern literature, and calls for some careful examination. What were the conditions which stimulated Owen's experiments in Scotland and America and how did they relate to the utopian efforts which spread across America? But more important, what was the nature of the successful New Lanark as compared to the ill-fated New Harmony, Indiana? Was New Lanark actually the model for New Harmony or did it merely provide the enthusiasm and funds to begin New Harmony? In light of the limited influence of Anarchistic writers on the experiment as well as the fact that Owen's efforts were the first socialist

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<sup>7</sup>This is the basic theme of Mannheim's Ideology and Utopia, Ibid.

experiments in America which helped begin the chain reaction of utopian efforts, these questions must be more fully explored. This will be the task of the next section.

#### Robert Owen and the Utopian Impulse

The early 1800's in England witnessed a factory system whose offspring was an amazing flow of goods for the wealthy, but often abominably poor conditions for the workers. Owen was deeply troubled by the social conditions of the British Isles and became convinced that mankind was no better than its environment. If environment were changed, a real "paradise on earth" might be achieved. New Lanark, a beneficent factory-village, became the laboratory in which his ideas were tested and found to be amazingly successful as well as profitable.

Fresh from this success at New Lanark, Owen appealed to Parliament with a plan for a sweeping social reorganization. The plan featured "Villages of Cooperation," similar to New Lanark, in which 800 to 1200 persons would work together within farms, factories, schools, and homes neatly laid out--a planned city.

Not surprisingly the proposal failed to excite the nation. Few believed that paupers could become the "producers of great wealth if given a fair chance or that their deplorable social habits could be easily transformed into virtuous ones under the influence of a benevolent

environment."<sup>8</sup>

Thus Robert Owen turned to America, the land of opportunity, in hopes of realizing his dreams. In 1824 he sold his interest in New Lanark and bought a large tract of land in Indiana to begin building the "community of cooperation."

But did New Lanark, in fact, provide the experimental base upon which to begin such an American experiment as Owen and many others believed? Would such a comparison help to explain the contrast between the amazing success of New Lanark in Scotland and the dismal failure of New Harmony in Indiana?

Under close scrutiny, New Lanark was not a radical and innovative experiment but rather a modification of the prevailing practice of the day. The only utopian dimension of New Lanark was the extent to which benevolent planning had been carried and the self-sufficiency of the town with its own factories, farms, schools, and stores. Owen had taken skilled mechanics and spinners, talented and benevolent foremen, and the most efficient spinning machinery, and with his own managerial skill established a productive operation. The increased productivity he apparently split three ways: the workers and managers received more pay, the

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<sup>8</sup> Robert Heilbroner, The Worldly Philosophers (New York: Simon & Schuster, 1953), p. 104.



shareholders, including himself, received greater dividends; the community received capital improvements, homes, schools, and roads. This combination is clearly not socialism or communism but benevolent capitalism with a strong dose of city planning.

However, this combination stood out in stark relief against the conditions of early 19th century England. The workers must have been delighted with their reduced working day and higher wages, and probably the plant did not want for skilled workers. The families must have been pleased with the housing and general standard of living which appears considerably higher than the prevailing standards of the day. Also the individual dwellings retained the integrity of the family unit and provided some healthy social separation for families who were not always harmonious (later experiments were to use dormitory or hotel style dwellings for all participants and generally resulted in considerable friction).

In fact, a structural examination of New Lanark disclosed no differences from the prevailing capitalistic practice. The workers held no stock in the enterprises (as in socialism) or held no property in common (as in communism). The fact that a factory owner had also built dwellings, stores, and public buildings for his employees was not unusual in that day, though both the beneficent management and the progressive social arrangements were unusual. But beneficent management, higher wage rates, and good industrial and social planning were changes

not from competitive structure but within it.

Thus New Lanark was not the socialist model for New Harmony and the wave of American experiments as many writers would conclude (Heilbroner, Noyes, etc.); in fact, Robert Owen brought mainly his giant enthusiasm for social reform and his considerable fortune to the new world, not a socialist model. But Owen also brought to America a critical distinction--the distinction between competition and cooperation. Owen wasn't the first to make this distinction nor the last, but he was the first modern utopian to attempt to put it into practice.

This distinction between cooperation and competition as well as the enthusiasm and resources to put it into practice were Owen's real contribution to the growing American Socialistic fervor. What kind of reception was Owen to receive as he journeyed from Scotland to the land of opportunity? How did the new world appear to him in 1824?

America in 1824 was by no means hostile to Owen and his enterprise. America received him as a social savior in comparison to England's response. Many of the people were ready for such seeds of hope and promise as Owen offered.

It was a turbulent era--the dawn of the age of the common man. The population was starting its geometric upsurging from the beginning of the great migrations. The machine age was upon the young nation. People were starting to crowd into the cities in search of opportunity and found themselves

serving the machine instead. Others fled from the abhorrent urban conditions and sought their fortunes in the expanding frontier. The tension was magnified by a rising concern over slavery and states rights.

The frontier in particular was a natural breeding ground for various cults and societies. One section in central and western New York was even named the "Burnt" or "Burned-over" District because it had been swept so often by the fires of revivalism.<sup>9</sup> According to Olmstead the revival reached its height in the decade which followed 1825 "fed by the impassioned preaching of Charles Grandison Finney."<sup>10</sup> Its products were a score of fervent and often rabid causes-- Anti-Masonry, millennialism, spiritualism, Mormonism, and a score of others.

It was into this expectant and dynamic scene that Robert Owen brought his unusual ideas of social reform and his economic wealth to give them form. It is small wonder that they were met with overwhelming enthusiasm. His ideas and efforts in combination with the unusual social conditions at the time sparked a minor social revolution which embodied utopian and anarchistic ideas alike and formed a foundation for the later wave of Fourieristic experiments and gave added impetus to religious experiments to come.

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<sup>9</sup>Clifton E. Olmstead, History of Religion in the United States (Englewood Cliffs, N.J.: Prentice-Hall, 1960), p. 335.

<sup>10</sup>Ibid.

### Primary Literature on the Utopias

Another group of literature consists not of critiques of society and designs for reforms, but rather reports and analyses of the experiments. These were written either by first hand observers of the utopian efforts or by secondary writers who used the reports of the primary writers for their analyses. Two primary sources are available, John Humphrey Noyes' History of American Socialisms (1870) and Charles Nordhoff's Communitistic Societies of the United States (1875). (See bibliography for details) A third source, that of A. J. MacDonald, a disciple of Owen's, never got past the manuscript stage. However, Noyes was able to obtain it and incorporate its wealth of data on the Owenite groups into his report.

Nordhoff's book is limited to the religious communities because the socialistic groups had all failed and disappeared by the time of Nordhoff's travels. Although his descriptions bear the accomplished eye of the newspaper correspondent, his objective reporting reflects the somewhat limited understanding of an outsider.

Noyes, however, was an "insider" to the utopias in three ways: as a clergyman, as a socialist, and as an experimenter. As a clergyman, his rich observations on the religious groups are unique to one who shares the faith of the participants. His observations on the socialistic groups are enriched because he was also a socialist, and because he wrote at the time when many of the socialist groups in the second wave were available for him to visit. Also, he had

access to MacDonald's eyewitness report on the first wave of socialisms. Thus Noyes, unlike Nordhoff, was able to visit and write incisively concerning both socialist and religious groups. Finally, Noyes' role as experimenter himself, gave him the means to theorize about the experiments, their sources, their practices, and their failings in a way that Nordhoff could not. Thus Noyes' American Socialisms represents a unique and rich resource on the 19th Century experiments, both in range and in style. For these reasons Noyes' book actually provided many of the structural categories which were used to analyze U.P.F. (These will be set forth in a later section of the literature.) However, since Noyes was so thoroughly an "insider" to the experiments the danger of biased reporting and analyzing appears. This is discussed in a separate section devoted to Noyes' life and views (Appendix D). The section reaches the conclusion that Noyes adhered closely to scientific methods and minimized the danger of bias.

#### Secondary Literature on the Utopias

The more modern secondary sources on the utopian experiments were used to cross-check the Noyes data and stimulate directions for inquiry in Noyes' and Nordhoff's volumes. These secondary sources often focus upon a modern behavioral science concept and analyze it against the natural laboratory of the utopian groups. (See bibliography for details of each work cited in this section). Kanter's study "Commitment Mechanisms in the 19th Century Utopias" is an excellent example of this modern analysis. Lockwood's article "The Experimental Utopias"

in America" and Moore's article "Utility of Utopias" are examples of this type of secondary analysis. More general secondary works include Bestor's Backwoods Utopias (although it covers the period only through the first wave to 1829); Hind's American Communities and Cooperative Colonies; Mark Holloway's thorough study, Heavens on Earth; Parrington's American Dreams; and Webber's Escape to Utopia.

Other secondary sources focus upon only one or more specific experiments such as Eastlake's Oneida Community (1900); Edith Curtis' Season in Utopia, the standard work on Brook Farm; and Christean Knoldler's The Harmony Society.

The final group of writings is not about the 19th century experiments at all but rather about 20th century groups. They are primary eyewitness reports and yield information and insight on various structural problems of utopian groups in various modern settings. These data were helpful to supplement the frame for analysis obtained from the groups of the previous century as they bear upon U.P.F. and also helped bring unique modern perspectives to bear on U.P.F. This body of literature is wide in range and quality. Among the best of this group is the excellent literature on the Israeli Kibbutz which generated several helpful comparisons with U.P.F. These included: Darin and Darkin The Other Society; Rosner, Patterns and Problems of Direct Democracy in the Kibbutz; Schwartz, Democracy and Collectivism in the Kibbutz; Etzioni, "Organizational Structure of the Kibbutz"; Spiro, Venture in Utopia and Children of the Kibbutz; and

Weintraub and Berenstein, Social Structure and Modernization.

Another primary source of modern utopias is periodicals in the contemporary utopian network, such as The Green Revolution, The Community Market Catalog, Vocations for Social Change, and Alternatives: Foundation Newsletter. Each of these carries reports, often quite biased, about the trials of various current experiments both religious and secular. Another source, not quite as biased, is popular periodicals such as True magazine's surprisingly inclusive article, "American Hippie Communities," and Life magazine's superficial article, "The Commune Comes to America."

Modern journal articles are considerably more objective such as Plath's, "The Fate of Utopia" (utopias in an oriental setting).

Finally, a rich primary source but not a literary one should be mentioned. This was visits by the author and by colleagues to other utopian groups. These were four in number: Koinonia Partners, a rural interracial community farm in Georgia; Carphill Village, an educational community for retarded adults in New York; "The Farm," a rural agrarian group in Ontario; and Koinonia, a student community at Penn State University. These visits were of considerable value both in sharpening the writer's perceptions before the visits to U.P.F. and in analyzing the data after the visits.

#### The Data on the 19th Century American Utopias

This section begins the effort to systematize the

data on the experiments in order to reveal factors associated with group longevity. It notes the three types which Noyes and MacDonald utilize and builds upon them. Its first task is to discover how the three types are similar to one another and how they are different. The common characteristic of the experiments is their attempt to structure a system of cooperation. The differences are more complex, however. For this purpose the next section derives six variables from the original sources. Together these summarize the hypotheses of the 19th Century writers on the sources of utopian "failures." The section then relates each of the six variables in turn to each of the three community types: Owenite, Fourierist and Religious. This provides definitions of the six variables as well as descriptions of the three types. The section then compares the variables with the actual data on the failures. The variables are found to vary directly with the failure rates and thus may be used to help predict longevity potential in other utopian groups.

At the outset of his investigations, Noyes distinguishes three types of communitarian groups: (1) Owenite, (2) Fourierist, (3) Religious. Although he doesn't specifically indicate how he distinguishes the societies from other social organizations, he clearly uses MacDonald's distinction of an organization which substitutes a "cooperative state for a competitive one." Both Noyes and MacDonald employ the term "community" not in the usual sense of a social group which resides in a specific locality, shares



government and has a common cultural and social heritage, although all of the socialist communities had these characteristics. Rather they used it in the limited sense of a social group which perceives itself as distinct from the larger society because of the sharing of a common characteristic, that of a system of cooperation as opposed to a system of competition.<sup>11</sup>

Noyes notes eleven Owenite groups. These were not all patterned after Owen's ideals. However all "owed their birth to the general excitement that followed Owen's labors . . ." and thus were classified as belonging to the Owenite movement. Noyes thus takes the source of the experiment as the deciding characteristic. It is on this ground he counts an experiment as Owenite, Fourierist, or religious.<sup>12</sup>

According to Noytes, the writings of the French reformer Charles Fourier were introduced into this country in 1842 "and then commenced another great national movement similar to that of Owenism, but more universal and

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<sup>11</sup>The utopian reformers, such as Noyes and Owen, did not center their arguments for cooperation on the claim that it was a more "fair" or "just" distribution of increased productivity as would be claimed today--but rather on the potential for better quality of relationships among people. The perfectability of relationships is a reflection of the kingdom of God (Noyes) or the realization of the natural order (Owen and Fourier). This comparison reveals the transcendental authority of the age vs. the empirical nature of the modern authority.

<sup>12</sup>Noyes distinguishes a 4th type, "foreign" groups, however, he dismisses them as not characteristic of the "American experience."

enthusiastic."<sup>13</sup> Noyes includes 34 experiments in the number of Fourierist groups. Many of these never carried into practice Fourier's theories in full; but the fact that they all originated from the common "excitement" leads Noyes to count them under the Fourierist category.

Noyes notes seven religious communities. He is less than enthusiastic about these "old world" groups because he believes they have little hope of attracting and reforming "modern American society." However he must give them credence because of their obvious longevity in comparison with the socialist groups. While he doesn't set forth his norm specifically, Noyes appears to count those groups as "religious" which are characterized by the "centrality of a single religious faith." This central faith serves to bind the members together.

#### The Longevity Variables

Noyes does not offer a systematic presentation of the data, but rather an intriguing unraveling of the mysteries of the great experiments. The gathered data ranges in scope from accounts of the smallest detail (New Harmony enjoyed "18 acres of full-bearing vines")<sup>14</sup> to the most sweeping generalizations (the failure of New Harmony was because Owen "abolished law, but did not establish grace").<sup>15</sup>

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<sup>13</sup>Noyes, p. 14.

<sup>14</sup>Ibid., p. 34.

<sup>15</sup>Ibid., p. 54.

The closest he comes to a systematic description is a listing of each experiment, its location, amount of land, and duration. Thus it is necessary to summarize the theories on longevity into workable variables. This summarizing process may be illustrated as follows:

<u>Noyes' Observation</u>	<u>Abstraction</u>
--"Owen himself was absent over one year"	A leadership and decision making problem
--"New dissenting communities were formed from the main body"	Strong commitment to common authority was lacking, a selection and socialization problem
--"Seven Constitutions or provisional governments were tried and failed"	Strong commitment to common authority was lacking
--"Constitution number five embodied Owen's 'Declaration of Mental Independence' "	A source of conflict in the social structure
--no private property	communistic structure
--no religion	non-religious structure
--"Owen's classes of instruction in socialism to the participants were cut short in 6 weeks by his illness"	Inadequate socialization of participants
--"People of diverse belief and habit and nation populated the community"	Open/random selection of participants
--"The participants had no 'practical experience,' no 'mutual confidence,' no previous acquaintance"	A selection and socialization problem

Such summaries of the data yielded the following six categories: goal congruence, economic sufficiency, social

articulation, selection and socialization of participants, religious philosophy and practice, and leadership and decision making. (See Table 1 for definitions of each of the variables and their reference in modern literature.) The next section relates each of the six variables in turn to each of the community types and is summarized in Table 2.

### Goal Congruence

Goal congruence consisted of an economic relationship in which the goals of the group's sponsors were in congruence or opposed to the goals of the participants. The communities ranged from a destructive extreme of goal conflict where dissatisfied sponsors terminated an unprofitable venture to an opposite extreme of having no external sponsors. Although this conflict was not often recognized by the reporters of the day, it is possible to reveal it by first noting the goals of the participants, discovering whether or not sponsors existed who were not participants, and then discovering whether or not their goals were in harmony or conflict with those of the participants. This will be done in the following section.

Participants' Goals.--The participants were those who are defined by the community itself as the members--those who lived in the community and enjoyed full membership status. (See Table 2)

TABLE 1.--Definitions of Structural Variables

Variables	Definitions	Modern Literary References	
		Loomis <sup>16</sup>	Faunce <sup>17</sup>
Goal Congruence	An economic relationship in which the objectives of the group's sponsors (who provided financial support and capital) were congruent with or opposed to the objectives of the group's participants.	Boundary maintenance, p. 31. Systemic linkage, p. 32.	Conflict of interest between groups, p. 7. Structural differentiation, p. 6.
Economic Sufficiency	The production of goods, services, or income by the group to satisfy the needs of members and demands of sponsors.	Achieving, p. 15. Communication, p. 30.	Power, p. 5.
Articulation	The patterned relationships among group members by which the group attempted to establish a harmony of interest (cooperation) but instead at times established varying degrees of conflict of interest between members or classes of members (competition).	Dividing the functions, p. 19. Ranking, p. 23. Facilitating, p. 27. Communication, p. 30.	Division of labor, p. 5. Status, p. 5. Conflict of interest (between role positions), p. 7. Isolation, p. 7. Social integration (articulation), p. 6.
Selection and Socialization of Participants	The machinery by which candidates for group membership were recruited and aided in adopting the group's goals, structures and behavior.	Socialization, p. 34.	
Religious Philosophy and Practice	The commonly held ideology among the members and any ritual practices associated with it.	Knowing, p. 11. Norming, p. 16.	
Leadership and Decision Making	The structure which provides for making group choices, including the ability of the group's leaders to facilitate the decision process and help the group achieve its goals.	Achieving, p. 15. Sanctioning, p. 26. Controlling, p. 20.	

<sup>16</sup>Charles P. Loomis, Social Systems (Princeton, N.J.: D. Van Nostrand Company, Inc., 1960).

<sup>17</sup>William A. Faunce, Problems of an Industrial Society (New York: McGraw-Hill Book Co., 1968).

TABLE 2.--Summary of Longevity Variables

	Owenite (Benefactor)	Owenite (Debtor)	Fourierist	Religious
1. Goal congruence				
a. Participants' goals	Establish a cooperative society	Establish a cooperative society	Establish a cooperative society	Establish a religious-cooperative society
b. Conflict possible	No	Yes	Yes	Yes
c. Non-participant sponsor goals	No absentee sponsor	Financial return on loan	Financial return on loan	Financial return on loan
d. Economic-financial type of community	Communist-benefactor	Communist-debtor	Socialist-stockholder	Communist-debtor
2. Economic sufficiency	Agriculture primary (usually productivity) Some manu-facturing	Some manu-facturing	Some manu-facturing	Often high in productivity Some cases much manu-facturing
3. Social articulation	Cooperation	Cooperation	Mixed cooperation, competition	Cooperation
4. Selection and socialization of participants	Open, random	Open, random	Open, random	Closed, highly selective, structured
5. Religious philosophy and practice	Non-structured, optional	Non-structured, optional	Non-structured, optional	Required
6. Decision making	Democratic	Democratic	Democratic	Monocratic

As has already been described, the actual goals of the participants clustered around the establishment of a cooperative society, under the conviction that cooperation would provide a superior order in which to live--a "utopia." Many of the leaders of the Owenite and Fourierist experiments desired to establish a new order which would be copied across the country and eventually change the fabric of the entire nation. Many, especially in the Religious communities, believed the establishment of a better social order would bring near the Kingdom of God. Countless others believed the city and the machine age were inherently evil. Escape had to be sought away from the machine, away from the city, away from the system of competition. Still countless others were finding the times extremely hard and sought primarily economic gain and easier going in a cooperative system.

But regardless of the cluster of motives, the common agreement in the communities was to institute a cooperative society. The only exception was the Religious communities which desired to establish a religious as well as a cooperative society, but these still fall within the common norm.

Non-participant sponsor goals.--The sponsors were usually people or groups who provided financial help in support of a community. They were not usually participants themselves but supported the participants from outside the group, that is, non-participant or absentee sponsors. The discussion focuses on these.

In all but a few communities, a considerable quantity of land was purchased. Noyes suggests their "fondness for land was related to their failure," since agriculture, he claims, is "one of the hardest roads to fortune." He suggests that manufacturing would have been more adequate to achieve some financial security, but most of the experiments were far removed from the centers of industry. Consequently, in their haste to escape the evils of industry, most experiments went into debt buying farmland (often of poor quality) and turned to agriculture as the chief means of support, whether or not their members were skilled in the "agricultural trades."

Many of the experiments were quite pressed to pay their loan installments on time. Frequently the gathering of enough funds to meet installment payments would leave the community destitute of critical resources and equipment. Occasionally it left them without food and clothing but it always created a strain on all the relationships in the society. Occasionally it was the actual reason for the closing of the community.

Brook Farm is the best example of a community which was satisfactory on all other counts (harmony of relations, good management, adequate facilities and a growing profitability). By all commentators it was judged the most likely to continue successful for a long period of time, being "a model for the Fourierists to follow." Yet it was closed in large part by the decisions of non-participant sponsors, shareholders in this case, who believed they could obtain a



better return on their funds from other investments.

Thus, particularly in the case of Brook Farm, but also in many others, a "conflict" existed between the goals of the members and the goals of the non-participant sponsors. This conflict was a varying source of strain on different communities and even the immediate cause of death in some. However Noyes accords little attention to this type of conflict in his analysis.

It must be noted that some experiments were not considered very profitable, yet had no goal conflict. Others were profitable yet had a fatal conflict between participants and sponsors (notably Brook Farm). This conflict was built into some communities by their financial arrangements with sponsors outside the community. Three types of economic-financial relationships can be identified and can be related to Noyes' community types. [See Table 1, item 1(d)] Owenite societies were either communistic-benefactor or communistic-debtor type. They were all communistic because all goods were held in common. However, some of the Owenite groups were given their land by a benefactor (Robert Owen at New Harmony, Francis Wright at Nashoba). Consequently there was no debt to be repaid to a sponsor outside the society. Thus there was no sponsor conflict possible in the communistic-benefactor type, rather a condition of "no sponsor" which was similarly beneficial to the condition of goal congruence with a sponsor.

The other type of Owenite community was the communist-debtor arrangement. The goods were held in common but the members did not enjoy enough wealth to purchase all their land and had to borrow from an outside source. This established the goal conflict between the sponsor's desire to realize a good and secure return on his money and the participant's goal to establish a cooperative society.

The Fourierist groups utilized a socialist (joint-stock) principle. Generally this involved the issuance of stock to members to represent the entire amount of real property, land, buildings, etc., of the association. Each share of stock would realize one vote in the decisions of the society, as in usual business practice. Any profit was divided among the stockholders; any capital appreciation, such as the building of a flour mill on the property, while not realized immediately in profit, would clearly increase the value of each share. The amount of labor each member performed was also recorded (distinguished by usefulness into "classes") and at the end of the accounting period (often a month) any profit was divided between capital and labor. A member would have credited to his account one amount according to the number of shares he held, another for the hours he had worked. Thus some voting power was vested in some non-participant sponsors. These lived outside the community and often centered their expectations upon the yield of the stock. Indeed at the North American Phalanx, Noyes notes "the capital was nearly all owned by

non-residents . . . ." Clearly a conflict of interest was present in such a situation and occasionally when the profit expectations of the absentee stockholders were not met they decided to withdraw their investment and place it in a more profitable venture.<sup>18</sup>

The Religious communities had the same conflict with a lender which the Owenite societies had because they had to borrow from a lender for their land purchases (none of the Religious communities was joint-stock, all were communistic.) However, they avoided difficulty, not because they avoided the conflict but because they minimized it. They also had to buy land (apparently in every case) but apparently they bought more conservatively and worked more diligently. At any rate, all were apparently productive enough to repay their debts so that no difficulty developed.<sup>19</sup>

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<sup>18</sup>It might have been possible to minimize the problem between absentee shareholders and resident shareholders by limiting the number of non-resident stockholders to less than 50% of the total voting power. But since this conflict was not recognized the solution would not have been available.

<sup>19</sup>The one exception is noteworthy. It is the society begun by Noyes himself. Oneida practiced "Complex Marriage," a system in which every man in the society was the husband of every woman and every woman the wife of every man. In 1848 Noyes and his followers were forced by opposition by local villagers to move from Putney, Vermont, the original location, to Oneida, New York. Again in 1879, although the community enjoyed a reputation for "industrious and responsible citizenship," their reputation of polygamy brought them into conflict with their neighbors and the community was forced to give up "Complex Marriage." The following year the society was reorganized as a joint-stock company and Noyes left for Canada where he lived out his life. The local villagers, first in Putney, then in Oneida, functioned like absentee sponsors because they permitted the experiment to exist in

The net result of this typology relating the sponsors to the Owenite, Fourierist and Religious group is a prediction of sponsor conflict--an important factor in longevity. (Table 2, item 1) The communist-benefactor type of Owenite group alone enjoyed an absence of sponsor conflict. The communist-debtor type of Owenite group as well as the Fourierist and the Religious experiments had a structured sponsor conflict. Thus the longevity of these three depends upon their ability to satisfy their absentee sponsors while pursuing their own goals. An examination of the economic support of the groups follows. This is the next of the six longevity variables and the most influential in satisfying absentee sponsors.

#### Economic Sufficiency

Economic sufficiency is the production of goods and income by the group which then are available to satisfy the member needs and sponsor demands. All of the 19th Century groups were predominantly agricultural; however, many also had some manufacturing. (See Table 2) The groups ranged from adequate support (some were even described as "wealthy") to inadequate (these resulted in early failure).

The agricultural propensity of the societies should generally be considered detrimental on two counts. First, agricultural enterprises are a slow road to economic productivity, as Noyes indicated. Second, the variety of employment in most experiments was severely limited, and an

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that location. When the group violated the villagers' social norms, the conflict resulted in the closing of the experiment--a result similar to the fate of the groups who violated the financial norms of their economic sponsors.

engineer, poet or musician would be directed to the fields to do his share with the others (such was Brook Farm with its wealth of authors, theologians, publishers and businessmen). The result was often a dismally low level of agricultural expertise. Third, the land was often of poor quality and frequently little of it was fertile.

A good deal of the early enthusiasm and energy in an experiment was of necessity directed to dwellings and facilities rather than to making the soil productive. For this reason the productivity increased directly as the length of time the group had occupied the land increased. Older societies, such as the North American, had very comfortable dwellings and highly developed farm machinery. Except for the excellent facilities which Robert Owen purchased at New Harmony, the typical facilities, equipment, quality of land and level of expertise of the Socialists were marginal. The combination of these factors insured a low productivity. This was a significant longevity factor for many groups (except for the few Owenite groups which were free of absentee sponsors). Their low initial productivity resulted in an inability to satisfy sponsors and often contributed to a premature death. Thus the economic support variable is important not only to the economic well being but to its ability to minimize the financial conflict of interest with absentee sponsors as well.

#### Social Articulation

Social articulation refers to the patterned

relationships among the group members by which cooperation or competition (conflict of interest among the members) is established. The communities range from cooperation to mixed cooperation and competition.

The institutionalization of a system of cooperation was noted as the common ingredient in the three types of communities and distinguishes them from other towns or settlements of the day. However, the conflict which was noted in the structure of some groups modified the desired cooperative structure significantly. In particular, the Fourierist groups which had a large number of shares in the hands of absentee members experienced competitive relationships because of the conflict of interest between these two classes of members. The high proportion of absentee stockholders in such groups suggests the extent to which competition and not cooperation was instituted in the very structure of the society. The conflict of interest implies that an imperfect cooperation was instituted in the very design of the group. In addition, a conflict of interest existed among members living on the site. The members who held a large number of shares and did little work would benefit from a larger distribution of profits to dividends than wages. Those who had few shares and worked many hours would benefit from the opposite distribution. Both of these conflicts appear to be structural defects which were noted only peripherally by some of the various analysts, and were completely overlooked by most. Yet it is clear that in the last years of the

North American phalanx, although it was profitable, it was not, in the agreed upon definition of cooperation, a socialist society, but rather a mixed socialist-capitalist society.

This resulted in some competitive relationships which hastened the death of the group.<sup>20</sup> While such conflicts of interest were not fatal in every case they appear to have contributed to the deterioration of social harmony in the group. Blau and Scott, in a modern analysis, describe how competition generates a conflict of interest between participants in a system.<sup>21</sup> This affects not only the physical

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<sup>20</sup>The experience of the North American supports this claim. It was not the usual conflict of interest (with a lender) which contributed to the death of the group but rather this very conflict between absentee shareholders and resident shareholders. Further it was not the expected financial disagreement but illustrated another dimension which the conflict among members took. One would expect absentee shareholders to become dissatisfied with the rate of return the community paid on its shares as compared with the dividends they might receive in other investments. If they held the majority of the shares (as was the case in the North American), they could vote to close the phalanx and sell its property as shareholders might do in any business. However, almost the opposite was the case at the North American. An unfortunate fire destroyed the mills, a main source of income of the phalanx. The loss was valued between \$7,000 to \$10,000 (according to the New York Tribune, September 13, 1854). It was clearly not a fatal blow, though a serious one. The value of the entire community was \$55,000 while the debts totaled only \$18,000. Horace Greeley reportedly had offered \$12,000 to rebuild the mills. Yet the conviction arose among some of the members in light of the great amount of work that would be required of them to rebuild while the wealthy backers sat in their offices in the city, that "Perhaps they had better not rebuild at all! That they had better dissolve!" With no one to rebuild or restore the former level of profitability the community ceased to operate. The conflict between residents' interests and absentee-stockholders proved fatal.

<sup>21</sup>Peter Blau and Richard Scott, Formal Organizations (San Francisco: Chandler, 1962), pp. 81-85.

output of goods and services but also generates distrustful and antagonistic relationships among the participants. This appears to be the phenomenon noted by the 19th century utopians in claiming the potential for a better quality of relationships in cooperative societies. It also helps explain the phenomenon taking place in the joint-stock socialisms such as the North American where cooperation was only partly instituted and the resulting conflicts contributed to the demise of the group. The social system variable is of value not only because it helps describe the extent to which the group achieved its goal of instituting a cooperative system but also because that system bears considerably upon the quality of the relationships within the group as well as upon the longevity.

#### Selection and Socialization of Participants

Selection and socialization refers to the machinery by which candidates for membership were recruited and aided in adopting the group's goals, structures and behaviors. The communities ranged from an open or random procedure to a highly selective or closed system. (See Table 2)

Virtually all the socialist groups had an open system. Often all who desired were admitted. Seldom were religious or financial commitments required. Usually some affirmation of sympathy with socialistic principles was deemed adequate by the society. A few experiments (Skaneateles, Northampton, Wisconsin, Brook Farm) utilized a more stringent selection



process, such as a period of trial membership before admittance, or a voting process by current participants with the right of veto. But even these were inconsistently applied and were not in force throughout the life of the society.

The Religious societies clearly differed from this practice in that they were highly selective in their admitting procedures. The religion and its specific beliefs and practices was itself the most highly selective agent. A period of trial membership was usually employed, and frequently the group or the leader had veto power over prospective members. The new member also granted all his goods and property to the society to be held in common. This also strengthened the bond between the member and the group.

The socialization of the participants after their admittance was generally not attended to in the socialistic societies. Rather the opposite conditions of freedom and individual difference were prized. It was generally thought that any process which would appear to require conformity would be a breach of the freedom of the participant. Owen held classes in the theory and practice of socialism, apparently in hopes of molding the diverse beliefs of members to a common discipline of socialism. But he became ill after six weeks and discontinued the lessons. Noyes generally gives the impression that no amount of lessons nor any devices could mold together the 900 individuals at New Harmony who were brought into "family relationship" in a period of several months. Most of the other socialisms secured

little more mutual bond than New Harmony. However, it is notable that the more successful socialist societies, especially Hopedale and Brook Farm, had many previously established member relationships to serve as a foundation for group commitment.

The Religious communities, according to Noyes' data, began with a much narrower spectrum of beliefs and also had a highly structured system of socialization techniques which, because of their beliefs, were often a part of their religious practices. A probationary period was apparently common. During this time religious instruction and worship was intense and frequently accompanied by "confession periods" in which the candidate would "cleanse" himself of unacceptable beliefs and deeds before the leaders or some gathered members.<sup>22</sup> In addition, several of the Religious communities had already existed as religious groups before moving into a communitarian style. The established network of relationships proved invaluable in overcoming the hardships of beginning a utopian community.

#### Religious Philosophy and Practice

This category refers to shared ideology among the members which contains the symbols and ritualization of man's relationship to that which he considers sacred. The

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<sup>22</sup>For a more complete discussion of commitment mechanisms see Rosabeth Moss Kanter, "Commitment Mechanisms in Utopian Communities (unpublished Ph.D. dissertation, University of Michigan).

communities ranged from an intensely held religious ideology with a uniformly required ritual practice to weak or absent common beliefs and few or optional rituals.

The religious practice in the socialistic experiments was on a non-structured or optional basis. For example, Noyes reports that some small groups engaged in organized worship and religious education in New Harmony. However, after Owen's "Declaration of Mental Independence" speech condemning worship as harmful and tyrannical to men's freedom, there were no reports of worship services. Some communities even suffered religious quarrels among the diverse beliefs represented.

The Religious communities differed markedly from the socialist societies on this matter. They instituted services of worship, religious education, sometimes comprising several meetings a week, and in some societies, held community "confession periods" in which individual shortcomings were admitted and differences resolved. The ability to solidify the community with a common belief was directly related to the selection process, according to Noyes. A random or open selection process would provide no common focus around which the community could form. Thus the selection-socialization variable, Table 2, Item 4, is a direct attempt to uncover two structures which are important in forming the commitment of new members to the groups. It indirectly affects other structures such as decision making and solidarity of philosophy.

Leadership and Decision Making

The decision structure is the machinery of decision making--how choices are made and implemented. Leadership refers to those who perform functions of directing, guiding, influencing and implementing in reference to group choices. The communities ranged from democratic structure (every member vote) to monocratic (no member vote--i.e., decisions made by a single leader or director) and from highly skilled leadership to inadequate.

Noyes noted the need in the socialist groups for either strong leadership or a strong commitment to a common authority or purpose. If the participants of the socialistic experiments had any commitment to a common authority, according to Noyes, it was accidental. The open door policy of admitting members upon their agreement to the aims and by-laws of the association was much too weak to adjudicate between the various claims which arose between the members. Often an issue was debated for a long period and when no resolution was achieved, the community was broken into warring factions. Sometimes one faction or the other would leave the community, weakening it by their loss but resolving the dispute. However, almost as frequently, elongated disputes resulted in the direct death of the society.

Occasionally strong leaders, such as Owen, attempted to bond together the diverse interests of the members. But even Owen's great wealth and his influence among kings and presidents was inadequate to solidify New Harmony. Brook

Farm was a group which enjoyed strong moral and social leadership including within its number George Ripley of the famous transcendental club, Nathaniel Hawthorne, William H. Channing, Isaac Hecker and Charles A. Dana. However, even this unusual collection of talented and committed people, although harmonious to the end, did not possess the technical competence to make the experiment sufficiently productive.

Most other socialisms enjoyed neither the commitment to common authority to make the democratic decision processes harmonious nor the technical leadership to make them productive.

The decision making process of the Religious communities was significantly different and may be called monocratic in comparison with the democratic process of the socialist societies. There was usually a common commitment to a religious authority. It could be vested either in the leader as the agent of a divine authority, or a document such as the Bible, or the entire group as the medium through which the Divinity would reveal His will, or all three. The individual will was seen either as subservient to the Divine will or as fulfilled by the Divine. Noyes reports that many conflicts, which would have destroyed Oneida like the North American Phalanx, were resolved by "waiting and submitting all minds to the Spirit of Truth--by patience, forbearing one with another and submitting one to the other, the final result satisfied every one."<sup>23</sup> The process of submission to the

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<sup>23</sup>Noyes, p. 39.

central authority, unlike the democratic voting process, resulted in a consensus among the members.

In addition many of the Religious groups included sufficient skilled leadership among their members in that they were comprised of rural people and enjoyed high levels of agricultural expertise. Those which did not were apparently able to overcome this handicap by extra efforts and frugality until some level of skill and productivity were developed. Thus the decision making variable points to the ability of the group to resolve issues among its members and maintain harmony and direction in the group. The six variables together systematize the diverse theories from the original sources. The next section will compare these six variables to the available data on the failure rates of the experiments to verify any predicting value of the variables.

#### The Validating Data

Four objective pieces of data were available for nearly all of the groups: the duration, population, the debt, and the amount of land. These formed the basis of a rough validation of the six longevity variables. (See Table 3)

The "duration" (row a) of the experiment is clearly the most valuable data, for it is the main indication of the "success" of a community. According to Noyes, the duration represents the time elapsed between the settlement of the members on the site and either the departure from the site or the dissolution of the communitarian form of organization.

TABLE 3.--Attributes of 19th Century Communes Related to Community Longevity:

		Owenite Groups by Name, Location, Leader and Date of Origin				Fourierist Groups by Name, Location, Leader and Date of Origin											
		Yellow Springs Ohio, 1825	Haverstraw N.Y., 1826	Cosackie N.Y., 1826	Nashoba Tennessee (Francis Wright) 1825	New Harmony Indiana (Owen), 1825	Summary Owenite Groups	Clarkson Palanx N.Y., 1844	Social Reform Unity, Pa., 1842	Ohio, 1844	One-Mentian Pa., 1843	Prairie Home Ohio, 1844	Clermont Ohio, 1844	Sylvania Pa., 1843	Trumbull Ohio, 1844	Skaneateles N.Y., 1843	
a. Duration (years)		3 months	6 months	6 months	3 months	3 months	Average Years 1 1/2	8 months	9 months	1	1	1	2	2	2 1/2	2 1/2	
b. Population		400 <sup>1</sup>	80	15	900	15	900	40	420	20	100	130	120	145	400	150	
c. Debt (dollars)		-0-	12,000	"Much"	-0-	-0-2		1,500	2,000	2,400	69,000	Was cause of death	19,000	7,900	1,500	10,000	
d. Land (acres)		-	120	2,000	30,000 <sup>2</sup>			2,200	2,000	800	500	900	2,394	1,500	354		
1. Sponsor Conscience (Goal Conflict)		1	0	0	1	1	Variables Present 1	0	0	0	0	0	0	0	0	0	
2. Economic Sufficiency		X	0	0	1	1	2.5	X	1	0	0	0	0	0	1	0	
3. Social Articulation		1	0	0	0	0	1	0	0	0	0	0	0	0	0	0	
4. Selection-Socialization Mechanisms		0	0	0	0	0	0	X	0	0	0	0	0	0	0	X	
5. Religious Philosophy and Practice		0	0	0	0	0	0	X <sup>3</sup>	0	0	0	0	0	0	0	X <sup>3</sup>	
6. Decision Making and Leadership		X	0	0	0	1	1.5	0	0	0	0	0	0	0	0	0	
		Total Variables (N=30)		Average Variables Present		24*											

KEY: 0 = Category absent and/or inadequate - negative effect with life of community  
 (0 = 0 in Scoring Variables)  
 1 = Category present - positive effect with life of community  
 (1 = 1 in Scoring Variables)  
 X = Category partly present - partial contribution to life of community  
 (X = 1/2 in Scoring Variables)

<sup>1</sup>Reported as number of families and multiplied by 5.  
<sup>2</sup>Worth \$50,000 paid by Robert Owen.  
<sup>3</sup>Wives claims these were more successful because they banned religion and were unanimously atheists.

Religious Groups by Name, Location, Leader and Date of Origin																								
Summary	Religious Groups	Shaker Communities (Ann Lee)	Hetzels Community Ephrata, Pa.	Rapists Economy, Pa.	Fort Hill	Shawmut Community Pa.	Jason Community Illinois	Shaker Community N.Y., 1867	Shaker Community N.Y., 1847	Shaker Community Illinois	Ebenezer Community Iowa	Shaker Community Pa.	Average Years 55 1/2											
2 2/3	400	50 <sup>1</sup>	24	4	4	5	5	6	6	11	12	15	16	21	23	23	23	49	51	65	95	156		
32,000		-0-	"A load"	40,000		115	115	160 <sup>1</sup>	112	112	200	200	70	-0-	800	800	1,800	1,800	1,800	6,000 in 18 comm-unities	6,000 in 18 comm-unities	Some 300-300	Average 55 1/2	
2,814		-0-		500	500	200	200	1,800	673	673	500	500	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	30,000	
		1	0	0	1 <sup>4</sup>	0	0	1 <sup>4</sup>	3	3	0	0	1	1	1	1	1	1	1	1	1	1	1	1
X	0	0	X	1	1	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
0	0	X	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Total Variables Present (N=54) 46 1/2														Average Variables Present 66										

<sup>4</sup>Settled on government land so no debt.

The statistics were calculated to compare the individuals, groups to one another but due to the short period of existence and relatively small number of some groups such a comparison was not helpful.



The communities have been arranged in order of age, those which died earliest to the left. Then they are divided by types (across the abscissa). This reveals a clear chronological regularity: the Owenite communities being the shortest lived (up to three years), several of the Fourierist era living from four to eighteen years, and all of the Religious communities extending for longer than the longest of the Fourierist type. The main generalization which should be noted is the longevity of the Religious communities as compared with both socialist types.<sup>24</sup>

The "population" figures (row b) are listed because they are an indication of the size of the community. There appears to be no correlation between population and duration except that some of the Religious societies became quite popular among the "faithful" and multiplied greatly. The Shakers multiplied into eighteen communities. The numbers shown in this row represent all persons, including children, who were members of the community at the point of maximum growth.<sup>25</sup>

The "debt" column (row c) represents the amount owed by the communities to lenders who were not members of the

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<sup>24</sup>It must be noted that no attempt has been made to list all of the communities. Only those which lived more than one or two years are included with the exception of some societies significant because they introduced a particular variable (such as the "superior class" of people at Yellow Spring community--the first Owenite community listed).

<sup>25</sup>Where the only figure available was a number of families a conversion multiplier of five was used to get a roughly comparable figure.

society. It does not include any stock or shares held by persons who lived away from the communities. This was not considered debt but rather capital. The debt figure was recognized as a possible danger to the existence of a community. However this amount shown is not sufficient to give anything but a rough account of financial condition since a society may be quite productive and easily able to handle the payments which the debt reflects. The reason MacDonald and Noyes listed the debt and the land figures was to show the great propensity for land and the financial trouble it often caused. The prosperity of the Religious societies presumably means they were able to either provide for their own payments or pay off the loan entirely.

The debt figures reflect the amount of land the community purchased, listed in the next row. However, the price paid for the land varied greatly, and some properties were purchased outright so no debt at all was incurred (such as New Harmony).

The "land" figures (row d) indicate the number of acres actually owned by the community and reflects the population, the societies with the larger populations being able to farm more land. However, what is more important, according to Noyes, is that often only a small part of the land was actually under cultivation. The great propensity for buying land, often much more than could be planted, was an unfortunate phenomenon which often increased the burden rather than the productivity of the group.

The next six rows are the variables affecting longevity. They have all been stated so that the symbols all mean the same throughout the table. The "0" always represents a negative or detrimental effect upon the community. The "X" indicates that the variable was partly operative and notes some contribution to the community. The "1" represents a variable which was fully operable and made a positive contribution. The blank spaces indicate information which was unavailable. The effect of this classification of variables should be to show, if Noyes' hypotheses are correct, a grouping of "X's" and "I's" to the right within a given type of community: Owenite, Fourierist, or Religious. For example, we would expect the three year old communities (New Harmony, Nashoba of the Owenite era) to have more of the positive variables than the shorter lived societies (Yellow Spring, Haverstraw, Coxsockie). This generally appears to be true except that Noyes would be quick to say that none of the Owenite communities lived long enough to be a very accurate test. The relationship generally appears to be more clear with the Fourierist societies since there were half a dozen which lived longer than any of the Owenites and two which survived over ten years, Hopedale having reached its eighteenth birthday before it ended. It is easy to see the larger number of positive variables in these older communities and some trends developing which Noyes had seen.<sup>26</sup>

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<sup>26</sup>This arrangement follows the pattern used by Kanter in her analysis of commitment variables in hopes of facilitating comparisons between the two studies even though her samples are of different size. Rosabeth Moss Kanter, cited above.

"Sponsor congruence" (row 1) is simply the sponsor congruence-conflict of interest variable discussed in the previous section. The symbol "1" indicates a communism/benefactor type of community where the land was purchased outright. No "conflict" was possible because there were no lenders or absentee shareholders to influence the life of the community. The "X" indicates either a potential conflict with a lender or absentee shareholders, but enough resources were available to pay or resolve the conflict, thus it was not a critical one. The "0" indicates the presence of a conflict which directly or indirectly contributed to the death of the community. All such conflicts were economic except with Oneida. There a moral conflict with neighboring townspeople (about "complex marriage") resulted in the eventual demise of the group as a religious community.

The "economic sufficiency" (row 2) reflects such factors as good farm land, the development of manufacturing and the productivity of the members. Brook Farm is an example of an inadequate economic situation where businessmen, "mechanics" and "men of letters" came from the city and expected to make a good livelihood from the soil. It did not prove to be possible. A great success socially and intellectually, Brook Farm was unable to survive economically.

The Religious communities were all financially prosperous as may be seen by the "debt" (row c), where all the figures point to wealth rather than amount of debt. This may reflect their age as well as their productivity in that

they had a considerable period to pay their debts.

The "social articulation" variable (row 3) represents factors which the 19th Century writers described in terms like "general depravity," "ignorant people," and "superior people." The consequences were strife and disagreements which frequently were counted as the fundamental and often the immediate cause of death. In support of this thesis it can be noted that the "social" row has more "0's" than any other row, except in the Religious communities. In fact, of the Fourierist groups, only Brook Farm and Hopedale were said to be "harmonious to the end" (but Noyes counted Hopedale as "almost a Religious community"). Thus of those groups which had structured conflicts among their members, notably the Fourierist groups as previously described, almost all experienced a lack of social accord according to Table 3.

The selection-socialization mechanism (row 4) includes such observations about the groups as "a trial membership required." It appears from the table that the communities which had instituted membership mechanisms had a greater longevity than those which had few or none. All the Religious societies had both a restricted selection process and trial memberships which appeared to have contributed to their success.

Religion (row 5) is a category which to Noyes seems to change the social conditions under which men live together. "Perhaps the demands of earnest religion serve as a natural separation" to keep out those who are not yet suited for

association, as well as to modify the "depravity" of those who do enter.<sup>27</sup> He also speculates that "earnest men of one religious faith are more likely to respect organized authority and one another than men of no religion or men of many religions held in indifference."<sup>28</sup> Thus Noyes sees religion functioning naturally to substitute for other possible inadequacies in the communities. It does appear (see Table 3) that a strong single religion was present in some of the longest-lived socialist societies. Of course a single religion was instituted in each of the Religious communities as that was their defining characteristic.

The leadership and decision making variable (row 6) reflects comments by the analysts such as: "dishonest management," "quarrels among leaders," and "inexperienced managers." It reflects dynamic and charismatic leadership as well. Again the trend is noticeable that the longer lived communities had in general more adequate management. However a failure of management at any point in the history of a group may be fatal. In the case of Hopedale, the leadership passed from the capable hands of Rev. Adin Ballou, the founder, to E. P. Draper, who permitted the operations to "fall into neglect" while buying up the shares of joint stock. When at last he had the legal control he decided to close the community. "There was no other way," the members

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<sup>27</sup>Noyes, p. 654.

<sup>28</sup>Ibid., p. 656.

admitted, "but to submit to him."<sup>29</sup>

The Religious communities all reflect strong leadership but the older Religious societies had passed their peak by the time of Noyes' writing; the original leaders had died and strong replacements were not available (indicated by "X's").

The importance of the table is to point out the repeated association between the presence of the variables and the longer-lived communities, and conversely, the absence of the variables with the shorter-lived communities. This association may be observed more clearly if the average number of times the variables are present in each community type is compared to the average longevity of each type. In the Religious groups the variables are present on the average of more than 5 of the 6 times (actually 86%, see Table 3). These groups averaged 55 1/2 years in duration. In the Fourierist groups, on the other hand, the variables were instituted on the average of only 1 in 6 times (actually 20%). This was associated with the low average longevity of less than 4 years. The Owenite groups show a similar relationship, although they are less representative because they are so few and so short-lived. The variables were present less than 1 1/2 in 6 times (actually 24%). This low rate was associated with an average duration of only 1 1/2 years. Such an association between the variables and community

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<sup>29</sup>Ibid., p. 132.

longevity suggests the usefulness of the variables as a measure of the potential longevity of such groups.

Because the six longevity variables were also effective in describing the 19th Century groups they would also be expected to be useful to describe a modern group as well--in fact more useful in describing such an experimental society than would other sets of criteria developed for other purposes. For this reason the six variables will be used for description of U.P.F.'s longevity.

#### Autonomy in Industrial Society

Autonomy is the freedom of an actor to determine his own life and behavior. Autonomy is an important issue because it is at the intersection of three social structures: man (the self system), society (the organization and role system), and culture (the norming system). Industrial society is characterized by rapid change and this involves changes in all three systems. The society and its institutions have become more centralized, more bureaucratic and more powerful. (Literature for these claims follows.) More particularly, the changes have made the culture system and the organization system less accessible and controllable by the people who live in them. This involves a loss of power and autonomy by the members to direct changes in the society.

The 19th Century witnessed nearly 100 utopian experiments which attempted to create different social settings which their founders believed would restore a degree of



autonomy to their residents. U.P.F. is of interest here because it is a modern attempt to achieve a particular life style for its residents similar to the 19th Century attempts and because it may offer its residents a missing source of autonomy in relation to modern social systems. Because the members are employed in those same systems, they may be able to begin to redirect these systems where others, due to a loss of autonomy, can not.

Just as the previous section reviewed the historical literature, this section will now review the modern literature on the autonomy problem. First it will note the material concerning the culture and its effect on autonomy, next the social structure, and finally the organizational structure.

#### The Effect of Culture on Autonomy

Riesman describes three historical character types differentiated by reference group<sup>30</sup> which may be used as a historical context in which to consider the definition of autonomy. The "tradition directed" type corresponds very closely to Fromm's pre-individualized person, whose behavior is guided by the traditions of the small groups which are characteristic of pre-industrial society.<sup>31</sup> This character

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<sup>30</sup>D. Riesman, N. Glazer, and R. Denney, The Lonely Crowd, Chapter 1.

<sup>31</sup>Eric Fromm, Escape From Freedom (New York: Holt, Rinehart & Winston, Inc., 1941), Chapter 2. Fromm refers to an historical process which accompanied the change of the medieval social structure to an industrialized structure. This process is similar to the social maturation process in childhood in which the primary ties with parents are severed and the self image is developed to serve as an internal guide to behavior in place of the external parental constraints.

type is outside the definition of autonomy, since he has no "self" by which to perceive alternative behaviors. The "inner directed" person is guided by his own values which are developed in the process of individuation. He maintains his self-esteem by receiving periodic evaluations of himself and his behavior based on the judgements of persons and groups which are "significant" for him (in which he has invested himself). The inner directed person is evaluated by inner values which are an internalization of either "local others" (such as a family) or "cosmopolitan others" (such as a set of principles espoused by a leader).

The third character type described by Riesman is the "other directed." He is exposed to such a range of divergent values in modern differentiated society that he is doubtful as to the "rightness" of his "own" values. He responds to this uncertainty by doing and valuing as his "contemporary others" do and expect him to do. These may also be either local contemporaries or cosmopolitan contemporaries (such as the mass media).

Riesman develops a concept of "autonomy" as opposed to "adjustment" and to "anomie" between actors and the prevailing social-personality types. The adjusted person is one whose character structure is congruent with the character needs of the society at that point in time. Thus the inner directed person was "adjusted" at a time early in the emergence of western industrial society when "traditional" controls had weakened and were ineffective in relation to a social order experiencing a high rate of change. "Inner" controls were necessary (like a "gyroscope") to give an

actor a source of direction in the face of many novel situations. The person who retained the "traditional" control pattern under such circumstances would be "anomic" or out of adjustment.<sup>32</sup> The "autonomous" person was one who saw other "personal and social models"<sup>33</sup> and thus could envision the "buying a whole new way of life."<sup>34</sup> As a result he could choose to conform or not to the prevailing character type (the inner-directed in this instance). Thus he was "capable of freedom whether or not he chose to actually risk deviation."<sup>35</sup> In terms of this thesis, he had some ability to envision behaviors which he anticipated would result in negative evaluation. This is the concept of autonomy which will be adopted in this thesis.

Conversely, in the mature industrial society, "other" people are the problem not the material environment.<sup>36</sup> The inner gyroscope of the inner-directed person is not sensitive enough to others and is also dysfunctional (in retaining a "scarcity psychology" instead of the required "abundant psychology" of leisure). One who retained his gyroscope under such conditions will be anomic. One who develops a new "radar" as a source of signals from his "contemporary others"

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<sup>32</sup> Riesman, The Lonely Crowd, p. 283.

<sup>33</sup> Ibid., p. 294.

<sup>34</sup> Ibid., p. 349.

<sup>35</sup> Ibid., p. 286.

<sup>36</sup> Ibid., p. 297.

will be adjusted. One who is capable of "radar" conformity but is also freed by his newly won sensitivity and is capable of choosing not to conform is autonomous.

#### The Effect of Social Structure on Autonomy

Faunce, in describing the peasant village of San Miguel, provides a unique contrast by which to examine the effect of social structure on autonomy. He develops the variables of degree of social differentiation and degree of social articulation. The differentiation of a society is comprised of the division of labor (horizontal) and the distribution of status and power among social roles (vertical). Articulation is defined as the way in which the component parts fit together. "In a perfectly integrated social unit all the component parts would be linked together in such a way that none would be isolated from the others and there would be no conflict built into the relations between the component elements."<sup>37</sup> (These definitions will be used here.)

Faunce finds a low degree of differentiation and a high degree of articulation in the village, the opposite conditions from industrial society. These conditions would not be expected to provide for the emergence of individuated personalities in the villagers which would require the maintenance of self esteem as distinguished from the identity of the

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<sup>37</sup>Faunce, p. 6.

family or village group.<sup>38</sup> Faunce's findings confirmed this. He found the investment of "self" to be indistinguishable from the primary collective unit. The village roles of mate and parent reflected a minimal level of personality differentiation. Thus actor autonomy could not be manifested in San Miguel nor any other pre-industrial society because the structure does not support individuation.

Faunce compares the industrial society to the village by noting the former's self reinforcing cycle of increasing differentiation (particularly in the form of industrial division of labor) which in turn decreases articulation, which then necessitates an increased "rationalization" of social authority (in Weber's terms) to offset the erosion of "traditional" social controls. The rationalization then generates an increased differentiation and the cycle continues.

However, in the industrial society, individuated actors need to maintain self esteem through these various horizontally differentiated role identities and in the face of a multiplicity of pyramidal (vertical) status assignment systems (less room at the top) means fewer actors are granted specific identity confirmation as one approaches the top. Fewer actors receive satisfactory self esteem confirmation.

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<sup>38</sup> Faunce uses the process of self-evaluation described by James, Cooley, and also Dewey. The actor, to maintain his self esteem in relation to these persons and groups which are "significant" for him (in which he has invested himself), needs periodic evaluations of himself and his behavior from those groups, it is assumed.

These actors are likely to experience alienation (in Seeman's terms):<sup>39</sup> normlessness (can't achieve), and meaninglessness (can't predict incidence of future rewards, and the performance of activities which are unrelated to larger life), and powerlessness (can't control outcomes of actions). "Alienation" is defined by Faunce as two dimensional: (1) self-estrangement (withdrawal of investment from activities), and (2) isolation (the use of different criterion for self-esteem maintenance than the status assignment system employs). These alienated responses of withdrawal and isolation preclude the willingness of actors to contemplate autonomous behavior which they anticipate would result in negative evaluation because they have already withdrawn the opportunity for the group to evaluate their estrangement or have substituted another set of esteem criterion (isolation). The high incidence of alienated responses are likely to be manifested either in "apathy" (in response to powerlessness), "overconformity" (in response to meaninglessness), or "lowering of aspirations" (in response to normlessness), all of which tend to undermine the bases for autonomy. Furthermore, these alienated responses may be predicted from the industrial structure because they are rooted in the structure itself.<sup>40</sup>

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<sup>39</sup> Melvin Seeman, "On the Meaning of Alienation," American Sociological Review, Vol. 24 (December 1959), pp. 748ff.

<sup>40</sup> Faunce, p. 13.

The Effect of Organizational  
Structure on Autonomy

Presthus describes three types of performance reactions to the powerlessness, meaninglessness and normlessness of the bureaucratic situation, and documents the inability of actors to change their own institutions and lives.<sup>41</sup>

The first type, the "indifferent individual," responds to the situation by withdrawing his self-investment in his employment (self estrangement). Thus he has, in effect, responded to the need for change by saying "it's not worth the frustration and effort required to change the organization." He is "estranged" from himself in regard to his role in that he has withdrawn his "self" from it when he is doing it. He is "isolated" from those in his work because he has substituted different self-esteem criteria so that the factors important to evaluation on the job are no longer important to him. Presthus is correct in expecting no autonomous behavior from him in his alienated condition.<sup>42</sup>

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<sup>41</sup> Robert Presthus, The Organizational Society (New York: Vintage Books, 1962), esp. Chapters 6, 7, and 8.

<sup>42</sup> Presthus clearly depends on Merton's classic statement. Merton describes a "bureaucratic personality" who has given up the personal struggle against the conflict and provides "efficiency in general and inefficiency in specific regarding the needs of the clients of the institution." Robert K. Merton, "Bureaucratic Structure and Personality," Social Forces, XVII (November 1940).

Presthus' second type, the "upward-mobile" must perform in accord with the needs of the institution because of his self-esteem identification with the institution. Presthus correctly expects no innovation from him either because he cannot tolerate any possible negative evaluation and therefore is not capable of autonomy.<sup>43</sup>

Presthus' third type, the "ambivalent," is the only type Presthus believes is capable of innovation. Yet, Presthus observes "he is actually unsuited for bureaucratic life." He identifies with the institution and receives self-esteem confirmation from it at the same time that he objectively observes changes which need to be made in it. However, challenging the institution threatens its personnel and they react by giving him a negative self-evaluation (and later by trying to eliminate him). Thus his need for esteem confirmation and his improvement of the institution may be seen as largely incompatible, hence Presthus' use of the term

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<sup>43</sup> An example of the upward-mobile type is French's description of the absentee managers at the controls of "Chromeboat Company" as vertical/cosmopolitan in orientation. They cannot respond to the needs of the local community when they conflict with national corporate needs because their career hinges on their obedience to the institutions. Robert Mills French, The Community: A Comparative Perspective (Itasca, Illinois: F. E. Peacock Press, 1969), pp. 392-409. Similarly C. Wright Mills writes of "organized irresponsibility" in an innerlocking vertical power structure by which actors are prevented from being responsible." C. Wright Mills, Power Politics and People, edited by Irving L. Horowitz (New York: Ballentine Books, 1963), pp. 292-305.



"ambivalent" to illustrate this conflict.<sup>44</sup> Presthus, thus in the end, offers a literary tragedy. He shows the need to change modern institutions but persuasively shows how each of the three types cannot perform the needed service.

#### Autonomy in Industrial Society

In light of the literature discussed suggesting the problem of, on the one hand, alienation, and on the other, the innovation requirements of modern institutions, this thesis, building on Riesman, proposes a fourth type, the autonomous type. He would be individuated (in Fromm's sense) in that he would be a product of a differentiated culture and therefore capable of perceiving the alternate behaviors required for autonomy. (This eliminates second and third generation rural utopian members.) For example, it would be expected that the isolated Shakers of Noyes' day would not have been exposed to sufficient social differentiation to have developed in them a self-identity distinguished from the primary group.

The modern autonomous type might live in an urban utopian group, where he would be individuated because of his frequent contact with differentiated society. He would also

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<sup>44</sup>Blackington and Patterson's "professional" has the ethical standards to support his responsible behavior (such as a medical ethic). However, the authors argue that it may be impossible to be a "professional" in a market-place dominated society. They claim that the need for a professional to attend to his own career as a factor of labor in the market-place may cripple his professional autonomy as well as his ability to help reform the institutions to which he belongs. Frank H. Blackington and Robert S. Patterson, School Society and the Professional Educator (New York: Holt, Rinehart & Winston, 1968), esp. Parts I and II.

be employed in modern institutions (rather than in a utopian-owned industry), and therefore in a position to effect change in that institution (he may attempt to change it from the outside as any other citizen, but this is a different problem).

One critical factor, following Faunce, is that he would be a member of a highly articulated reference group which might sustain his autonomy in his employment against work pressure for conformity. Thus he need not withdraw his self-investment (as the "indifferent") nor capitulate to it (as the "upward mobile") nor is he hamstrung by it (as the ambivalent). Rather, the high articulation of the reference group might support the challenge to his self-esteem from a potentially negative evaluation from the work institution. The utopia also might support him in the face of challenges such as loss of job or illness.

Therefore, it is logically possible to claim that an individuated actor from an urban utopian group would be better able to withstand the potentially negative self-evaluation which deviant or autonomous behavior might elicit than would a similar actor whose reference groups were of low articulation. He would be able to withstand it because his self-esteem would be protected by the highly articulated utopian structure.

It is also possible to claim that the source of such autonomy would be the unique combination of high differentiation (to insure individuation) and high articulation (for

self-esteem maintenance) of the urban utopia. Such a combination is rare in the modern world. The industrial man, even though he may perceive alternatives, often does not have the self-esteem maintenance to permit the risk of negative evaluation required by autonomy.

Since this literature and logic suggest it, one of the tasks here will be to examine U.P.F. to see if it has such a unique structure of high differentiation and high articulation and to note any evidence that this combination does, in fact, permit a high sense of autonomy.

With the material from the 19th Century utopias now available, and with a conception of autonomy and its sources, it is possible to proceed to a systematic analysis of U.P.F.

#### The Use of the Structural Variables

The variables derived from the 19th Century literature included the following: goal congruence, economic sufficiency, social articulation, selection and socialization of participants, religious philosophy and practice, and leadership and decision making. These may now be used to investigate U.P.F.

Since U.P.F. is religious in nature, its philosophy and goals are highly determinative of the other structures. Therefore it becomes important to examine it first. (Chapter III) With the members' goals available from Chapter III, it becomes possible (in Chapter IV) to examine the group's relations with sponsors by comparing sponsor goals with

member goals. Such a comparison reveals varying degrees of goal congruence and conflict of interest which could prove fatal to U.P.F. (as it did to several of the 19th Century groups). In addition, the chapter notes the economic sufficiency of the group as a basis for judging the group's ability to minimize the potentially dangerous consequences of goal conflict. This chapter is entitled "Systemic Linkages and Boundary Maintenance (Chapter IV).

Having completed the examination of the potential external relationships, attention is then directed to internal matters. The structured relations among the members are examined in two dimensions: first, the group's economic structure and any conflict among the members which it generates (such as family units competing for limited finances, Chapter V); second, the social structure including the decision making process and structure and any social conflict which these generate (such as members competing for limited status or power, Chapter VI). Although the distinction between social and economic conflict seems arbitrary, it is useful for analytic purposes to identify any sources of conflict.

The examination of the internal structure of the group is concluded by considering the other important mechanism noted in the 19th Century experiments: selection and socialization of members (Chapter VII). Finally, a summary chapter notes the effects of these mechanisms upon the viability of the group and on the autonomy of the members in

their job roles. A preview of the chapters, their focus and a notation as to their parallel structure in Loomis and in Faunce follows in Table 4.

TABLE 4.--A List of Variables Considered in Each Chapter and Corresponding Categories in Faunce and Loomis

Chapter	Corresponding Categories and Page Numbers	
	Loomis <sup>45</sup>	Faunce <sup>46</sup>
III. Philosophy and Goals: including such factors as goals of the members, shared beliefs, norms and commitment to common tasks and to other group members, shared ritual practices	Knowing, p. 11. Norming, p. 16.	
IV. Systemic Linkage and Boundary Maintenance: systemic linkages with "outside" groups, goals of any outside sponsors, potential threat of such sponsors to group longevity, the income production system of the group and its ability to satisfy such outside demands	Boundary maintenance, p. 31. Systemic linkage, p. 32. Achieving, p. 15.	Conflict of interest (between groups), p. 7. Structural differentiation, p. 6.
V. Income Allocation: including the income distribution system and its effect upon cohesion or conflict between individual members		Conflict of interest (between individuals and role positions), p. 7. Isolation, p. 7. Social integration (articulation), p. 6.
VI. Articulation of the Community System: the norm of the social system, its facilities, the status and power structure, social conflict of interest, social isolation, specialization, the decision process and structure	Dividing the function, p. 19. Ranking, p. 23. Controlling, p. 20.	Division of labor, p. 5. Status-power, p. 5. Conflict of interest, p. 7. Social integration (articulation), p. 6.
VII. Selection and Socialization of Members: any membership mechanisms, their effectiveness in relation to the unique socialization demands on a utopian group	Socialization, p. 34.	
VIII. Summary of Findings and Implications: a summary of the group's structure, a summary of the group's impact on autonomy, implications of the study, recommendations		

<sup>45</sup>Loomis, *Ibid.*

<sup>46</sup>Faunce, *Ibid.*

## CHAPTER III

### PHILOSOPHY AND GOALS OF URBAN PLACE FELLOWSHIP

The philosophy of a group is the shared ideology among the members which contains the symbols and ritualization of the members' relationship to that which they consider sacred or central. This ideology results in commonly held goals for the group and for the members, a common rationale for beginning the group and shared norms, commitments and tasks for the group's continued existence.

This chapter will begin the task of describing the group by analyzing these determinative dimensions, its philosophy and goals. This will set the stage for the examination of other dimensions of the group.

First the group will be located in its uniquely urban setting. Next, the history of the group will be described. This will reveal the centrality of the "Anabaptist vision," the theological/historical underpinning of the experiment and will permit a description of the philosophical convictions of the group. Then the religious practice will be described, both because it is an important part of the life of the group and because it is one of the more obvious reflections of the philosophy and goals of U.P.F. These together provide a picture of the goals of U.P.F. The goals

may then be compared to the purposes of the socialist and of the religious groups of the last century.

### Geographical Location

Urban Place Fellowship is located in Bedington, a suburb of Brawn, one of the largest metropolitan areas in the country. Brawn is the center of an urban sprawl connecting other smaller cities to the north and to the south into a megalopolis. Brawn offers many employment opportunities for the members of Urban Place Fellowship: in commerce, education, medicine, social work and in the church. (See Chapter I) Commuting to work is always a problem but Bedington is the first suburb to the north in a series of suburbs which ring outward like parasites around Brawn. Thus Bedington's commuters are reasonably close to their employment in Brawn. Several bus lines, two major auto arteries and three rapid transit lines feed the commuters into the city with reasonable dispatch.

North and east of Urban Place are other upper-middle class and wealthy sections. Thus the motorist passing from the wealthy north or east of Bedington into the south-central section views some interesting contrasts. This area is predominantly residential, interspersed with local shopping areas. It conforms to the image of the inner city with its turn-of-the-century row dwellings. They are, to be sure, generally well kept, yet they contrast sharply with the wealthy north and the lake strip.



Going south, a motorist who had wandered off the main arteries might notice a street named Urban Place. It is this street which gives the Fellowship its name and on which Urban Place purchased its first dwelling. However, the motorist would note nothing of the presence of the several Fellowship buildings on the few blocks surrounding Urban Place, except for two small signs on the door of 727 Urban Place which read: "Urban Place Fellowship" and "Urban Place Day Nursery." The Fellowship buildings, although they are single houses interspersed between two and three story apartments and an occasional row house, are indistinguishable from the neighborhood.

Much of the Fellowship's life is not visible from the street. One has to leave his car and attend the Coffee House or the members' meetings to feel the concern which the members share for one another and for others and to discover the ways the members have attempted to put their beliefs into practice.

### History of the Fellowship

#### The Anabaptist Vision

The founders of Urban Place Fellowship see the Anabaptist and "radical" groups of the 16th Century Reformation as their mentors.<sup>1</sup> The Anabaptists challenged the Holy

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<sup>1</sup>For a more complete discussion of Anabaptist history see Harold S. Bender, The Anabaptist Vision (Scottsdale, Pa.: Herald Press, 1944).

Sacrament of baptism and desired to separate the decision-making of the Christian "brothers" from that of the ecclesiastical and political authorities.

The Anabaptists, in fact, called for the Reformers to go further than restoring the place of the layman in the worship and mission of the Church and reasserting the centrality of the Holy Scriptures. The radicals' claim, in effect, was that every area of life was the concern of the Christian. To restore the whole of life to the area of the sacred, the Church itself had to be re-conceived. They pictured the early Christian groups as communitarian and dependent upon God for guidance and direction as opposed to the hierarchal authority of the Roman civilization. They saw the emperor Constantine's adoption of the Christian faith for the Empire in 300 A.D. as a co-optation of the Christian groups into the service of the state and therefore no longer in the service of their Lord. In this light, they insisted that Luther's and Calvin's reformation had retained the co-opted and illegitimate relation of the Church with the state rather than reforming it to the communitarian norm of the early Church. The radicals claimed that the reformers defined the Church in geographical-political forms so that Church and society were coincidental. It was this universalistic concept which permitted the reformers to resolve local authority questions with the agreement that the local prince or government would decide

on the character of the Church in his domain, Roman Catholic, Lutheran, or Calvinist.

The Anabaptists protested that the society at large may not be expected to accept the discipleship of Christ. The "way" is as hard as a "camel going through the eye of a needle." The Church, if she is to be faithful to her Lord, must be defined by committed adults, not by ministerial-governmental bodies. Those individuals ready to follow the "call of Christ" could be identified by re-baptism. They, and only they, could constitute a Church which was a fellowship of believers, determined to be radically obedient to their Lord, regardless of the consequences. This new authority, a communitarian authority, claimed that God's will could be discovered best in the context of those who had committed themselves to him rather than in the Church (a hierarchal authority) as the Roman Catholics insisted or in the Bible as the Protestants insisted. These concepts, to be known as the "Anabaptist vision," were to influence the structure of U.P.F. and their commitment to radical discipleship over four centuries later.

#### Understanding of Human Nature

An important notion implied in the Anabaptists' concept of communitarian authority as the way to appropriate the will of God is their faith in human nature. They claimed that the ancient Biblical view was that man as God's creation was intrinsically good (even though he was continually

disobedient).<sup>2</sup> However, the theological claims of a growing and powerful church in society were that man was basically evil and inherited the sin originally performed by his forebears.<sup>3</sup>

The Anabaptists believed that the reason for the emphasis on man's sin was to place man's salvation beyond his own ability and make him dependent on a hierarchal church. Later Robert Owen and the socialist reformers (although not theological in origin) as well as Noyes, Ballou and other religious utopians adopted beliefs similar to those of the Anabaptists claiming man was basically good and therefore capable of reform. His evil ways were a reflection of a competitive society which generated destructive human relationships and therefore destructive people, the utopians claimed. If a "cooperative society" were substituted for the "competitive" one, man's good nature would be permitted to prevail and constructive rather than destructive relationships would surely result.<sup>4</sup> The main difference between the religious and the socialist utopians' views of man was only that of the difference in the

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<sup>2</sup>The Dordrecht Confession (1632) of the Mennonites illustrates this posture.

<sup>3</sup>The creed formulated by the "Synod of Dort" (1619) with its description of the "total depravity of man" illustrates this stand.

<sup>4</sup>Hielbroner paraphrases Robert Owen's writings. Robert L. Heilbroner, The Worldly Philosophers (New York: Simon & Schuster, 1953), p. 102.

consequences of the communitarian efforts. The religious believed the Kingdom of God would result; the socialists worked toward an atheist utopian state.

U.P.F. believes man is basically good. However, they are not optimistic like many of the 19th Century socialistic groups which believed they could establish a utopian order which would reproduce itself and spread throughout the society. Some of the religious groups hoped for a similar influence in trying to bring about the "Kingdom of God." U.P.F. rather believes it can change little in society but that its members are capable of restructuring their relationships with their fellow utopians, discovering and responding to "God's will" in the tasks and possibilities which are theirs both within and without the "fellowship of disciples."

#### The Origin of the Fellowship

In 1952 a turning point occurred for the small group of Scripturite<sup>5</sup> students and ministers. During a two-week retreat, they and their families assessed the current situation, in the light of the Anabaptist vision. Although their own denomination claimed it was the carrier of the "radical vision," the group came to see that the denomination was actually in the position against which the Anabaptist

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<sup>5</sup> Scripturite is a code name for a small Protestant sect which originated in Europe.

reformers spoke--that of building another giant denominational body to compete with the others, coinciding more and more with social and political structures and standards to maintain its new size and membership.

The group began to conceive of a Church as had the Anabaptists, defined by adults who were pledged to follow Christ. They pictured members who would support one another in the search for God's will. Their support would be economic and social as well as spiritual. They pictured, for a model, the group of disciples whom Christ had gathered about him, supporting one another in their discipleship. Also, they decided that if this way of obedience was to be valid for modern society it would have to be workable in a city. Reports of other modern "radical" groups encouraged them, but all the other groups which lived together as a fellowship were in rural areas. They decided that they would have to experiment on their own.

Several members of the group began to plan deliberately toward these goals. They were students and teachers at one of the denominational colleges and seminaries located about 150 miles from Brawn. They began to share their finances and decision-making.

Their experiments moved them toward the next step. In 1957 the group found a house in a part of metropolitan Brawn. Its address was 727 Urban Place in suburban Bedington. That year Jessie and Laura Mission moved in. They

were followed by Joshua and Judy Leaven later that same year.

The next year, Dick and Ellen Miracle, one of the original group at the seminary, joined Urban Place with their children and added their strength to the fellowship. Another house was secured and renovated at 714 Urban Place for the Miracle family.

In their attempt to embody their commitment to radical discipleship and to communitarian authority, these Urban Place members had structured a "brotherhood of commitment" with implications in at least five areas of their lives: (1) a place to live (they had chosen to seek accommodations that all were within a block of one another); (2) finance (they pooled their income into a common treasury and were reimbursed according to their needs); (3) personal relationships (they attempted to be "open" in their relationships with one another); (4) decision-making (they attempted to search together for God's will for their lives and fellowship); (5) service to society (they attempted to follow God's will, as it was revealed to them, in service to society both through their individual vocations and through the Fellowship as a unit).<sup>6</sup>

Now, 13 years after the Mission's original move into 727 Urban Place, the Fellowship had grown to include 36 adults and 52 children as well as 12 adults and their

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<sup>6</sup>"The Way of Love," an Urban Place Fellowship pamphlet.

families in various stages of becoming members.

### Religious Practice

The weekly worship meeting is held on the first floor of the central building on Urban Place. (See Figure 1)

The entire service of the Fellowship (see Appendix E) consists of a few songs, several introductions of visitors and neighbors, a statement of the concerns of the members, a brief prayer, a drama and a discussion. It reflects the central understanding of the members, that of a commitment to "radical Christian discipleship." This discipleship involves two dimensions: a commitment by the faithful to active expression of beliefs, and a range of expression which includes "every area of life." The active dimension is expressed in the worship by (a) the direct activity of the participants such as the acting out of a play, (b) the identification of the members' concerns and their expression in the prayer, (c) the rotation of the leadership roles such as song leader and prayer director, and by depending on the worshipers and guests rather than a staff member to set up and dismantle the furniture.

Equality of roles is seen in the fact that none of the several elements of the service was performed by an "official" person such as a priest or a choir director and only in the prayer were the members less than active participants. There were no activities where a priest with special gifts or power performed a "liturgical" work on behalf of



the members. In comparison with more usual Protestant, Roman Catholic or Orthodox Christian rites, the U.P.F. service could be characterized as "non-liturgical,"<sup>7</sup> not being characterized by a particular form or ritual which has meaning or power in and of itself. An act of prayer or Baptism or worship would not be expected to carry any power or bestow any blessing in itself nor would it matter whether an ordained or a "lay" person performed the act. Acts are instrumental rather than liturgical and are designed because they fulfill some particular intrinsic need of the group. Nor are they retained if they do not serve a present function. Thus acts which appear religious, such as prayer and singing of hymns, are not considered differently than any other part of the common life of the Fellowship. They are submitted to the common test of practicality in helping the group to function better as disciples.

In this sense, as in others, the group reflects its Reformation heritage, i.e., that the form of worship must follow and facilitate the demands of discipleship. The members believe that practices and priests may gather vested interest, become permanent and may confuse and interfere with the active demands of discipleship. Each one's relationship with God is expressed not in ecclesiastical rites but in

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<sup>7</sup>From the Greek *liturgos* meaning "work." It originally meant the "work" which the faithful performed for God and for others. U.P.F. members feel it has been perverted to the "work" the clergyman performs for the parishioners.

relationships of active concern with others rather than performed for him by a cleric or official.

The other dimension of the radical discipleship concept observed in the U.P.F. worship is the commitment to discipleship in "every area of life." This is expressed in several elements in the service: the expression of present member problems and concerns for the world around them, the translation of the Biblical stories into contemporary contexts, the use of modern instruments and tunes and even the use of the living room as the location of the service. These help bridge the usual distinction between the areas designated "sacred" and worldly concerns considered as "secular." This bridging helps support the commitment of the members to the radical discipleship in every area of life.

The bridging of the sacred and secular may be contrasted to some modern religious practices where secular instruments, songs, and concerns seem out of place and where "service" is clearly understood only in terms of the day of worship and in the designated place of worship. This compartmentalization includes the cleric who, according to some in protestant circles, is to "stick to preaching the gospel and to stay out of politics." A comment from a U.P.F. member illustrates the contrast with "radical discipleship." "Either God requires our discipleship in every area of life or not at all."

Many of the religious utopias of the last century

also maintained the unity of sacred and secular. The planting of crops, for example, was considered by some to be a religious act. However, their preoccupation with the future "Kingdom of God" and their isolation from the world distinguish them from the active sense of responsibility for the present world that characterizes U.P.F. This sacred-secular unity and the active engagement of the world are central in the beliefs of U.P.F. and have exerted considerable influence in the design and institutionalizing of the structure of U.P.F.

### Goals of the Participants

#### The Fellowship as an End in Itself

Both elements of the Anabaptist vision influence the way in which the Fellowship is understood as an end in itself by the members. They believe a commitment to radical discipleship in every area of life demands a particular social structure to support such discipleship. The members do not believe this is available in the more usual church structure. The commitment to communitarian authority also requires a particular social structure. They believe it requires one which permits a high level of respect and honor for the individual member due to his potential role of mediator of "God's will." Both elements of the "vision" thus postulate the existence and preservation of the Fellowship as an end in itself.

In a folder entitled "The Way of Love" the members of Urban Place describe the way in which U.P.F. has tried to practice the "way of life" which Jesus Christ lived and taught. The heart of Jesus' message, as the Fellowship understands it, is the commandment that the disciples "love one another as he loved them." "In fact, the whole mission of Jesus was concentrated on one thing--the coming together of people who would love one another in this self-giving way."<sup>8</sup> Thus, the goal and the means of achieving the goal overlap. The means of discipleship, the Fellowship, is also an end in itself. The "coming-together" or establishment of a community is itself part fulfillment of Jesus' admonition.

This emphasis is also reflected in a study outline the group uses with inquirers which offers a sample of commitment. "I have decided to give myself and my all in the quest for a just and loving community. . ." They believe community is necessary because modern society militates against the life of discipleship much as the First Century culture did for the apostles of Jesus or the 19th Century society did to the experiments of that day. The members mention in particular the national migrations of families which "cut themselves off from relationships of care," and the "domination of people by the economic life and by mass opinion." They claim that these are central facets of our culture which diminish

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<sup>8</sup>"The Way of Love," p. 1.

the possibility of "truly human" life and relationships. The shape and structure of Urban Place is designed to mitigate these influences and to structure possibilities for people to relate to one another with concern after the example of Jesus.

The view of Urban Place as an end in itself is similar to the 19th Century experiments, particularly the religious ones. However, the Urban Place people are not captured by the romantic naturalism of the previous century and they do not believe that the "city" or "capitalism" are inherently evil. Thus they do not describe their search in "utopian" terms or as attempting to bring into being the Kingdom of God. They express little sense of escape from the world. As Jessie Mission once expressed it, "Those who have come to Urban Place to get away from the harsh realities of life have been greatly disappointed. Living together creates more problems than it solves." "We hope people will not come to Urban Place for their own motives," he claimed, "but rather for the total commitment to it. The life of discipleship often involves a complete reshaping of the person." It is the sense of personal confrontation and relationship which is most characteristic of the central goal of U.P.F. as an end, and, in this sense, it is most similar to the 19th Century groups. Thus, U.P.F. in emphasizing the establishment of a group as an end in itself shares at least this goal with the 19th Century groups.

### The Fellowship as a Means to an End

Both the commitment to radical discipleship and the communitarian authority require viewing U.P.F. also as a means. The communitarian authority, itself a means of discovering "God's will," requires the existence of the group of disciples. The discovery of God's will in turn provides the direction for the radical discipleship or "mission" of the members. In addition, the accomplishment of the mission requires the emotional and economic support of the members by the group.

While the U.P.F. members do not believe they can exert a large influence on the larger society, they do express considerable concern for it. It is this mission dimension of the goal which distinguishes Urban Place clearly from any of the experiments of the previous century. Where the previous communities frequently tended to offer escape from the world, Urban Place claims to serve the world. While the previous communities were concerned solely with the quality of the relationships within the Fellowship, U.P.F. claims to be concerned with the outer influence of Urban Place upon the larger society as well. It is in this sense that the Biblical phrase "Kingdom of God" is used by Urban Place. Many of the 19th Century religious experiments used the phrase "Kingdom of God" to describe a utopian society which attempted to participate in the "Ultimate" as a geographic, often static, understanding of paradise on earth.

However, the Urban Place members use the phrase in the more dynamic participial form of "God's ruling his people." Both the Hebrew word for Kingdom (mamlakah) and the Greek (basileja) when translated into a participle would be rendered "reigning," i.e., "the kingdom of God is where God is reigning and directing his people." Thus Urban Place's understanding of itself in terms of the Kingdom of God is as a community which enables its members to be faithful disciples and thus responsive to God's reigning in every area of life. The Fellowship is not permitted to be content with expressing concern within its membership alone. It sees the Christian admonition as including all men in need, not just to one's "Christian brothers."

Urban Place employs various strategies to accomplish its mission. The members have always sent money to the mission agency of the denomination with which they are affiliated. They see part of their faithfulness as being an authentic and viable church, to serve as a model to influence other churches. They have attempted to start fellowships in other locations and support those started by others. They discuss the successes and shortcomings of these experiments, so the lessons become group knowledge and can be utilized for further trials. They picture their own community as an experiment also and therefore approach its evolution with a sense of discovery. They are finding, as "The Way of Love" states, "what life style will emerge when people come together in

love for one another." They ask particularly, "what difference will the metropolitan location make upon the fellowship?"

Another expression of mission is the efforts of individual members to pattern their vocations, their employment and their social and neighborhood activities on social needs. Many members work as hospital staff members, public and private school teachers and administrators, and social work personnel. Their location in Brawn provides for these types of service through their vocations. This also distinguishes clearly this dimension of Urban Place's goal as one which is uniquely different from the rural communities of the last century which were isolated from most vocational expressions of service to society.

The members of Urban Place attempt to be careful stewards of their time, talents and resources, so that the "mission" is furthered. They often express guilt feelings because their standard of living is "creeping upward." Some things are not regulated by the welfare standard such as furniture and house repairs and they feel these expenditures have been too great in these areas thus leaving less money for mission. They express concern for the seemingly frequent moves among members. When one family leaves or a new house is purchased and larger accommodations are available, the family which is most "squeezed" may move, but this leaves their house vacant, and a series of leapfrog moves may result. They claim that each family which moves "leaves the house a



little better than they found it" (has remodeled it) and this is too expensive. Also they question whether they should have so much money "sunk into so many old houses" and wonder if there would not be a cheaper way which would free some funds for mission. Several people were distressed when their investigation into starting an integrated housing project in the city was cut off because part of their available funds were needed to repair some of the dwellings. In comparison with the 19th Century religious experiments Urban Place may be seen as having the same central goal: that of establishing a religious/cooperative community. However, the strong dimension of social outreach beyond the borders of the society adds a unique facet to Urban Place which has been called a "mission" dimension. Thus Urban Place could be characterized in terms of its goal as a religious/cooperative/mission community. This latter mission aspect is clearly reflected in its metropolitan location and its utilization of the advantages of the urban setting.

#### Summary

U.P.F. is a religious community which structures support for its life style in the following ways: (1) a shared philosophy, (2) a common expression of the philosophy, (3) a respect for one another generated by their commitment to "radical discipleship" and communitarian authority.

U.P.F., as has been shown, is a religious community not only because the members worship together, but, in

addition, because they attempt to structure their common life upon their relationship with a divine being and upon the consequences of that relationship. To the extent that members commit themselves to and structure their group around this central commitment and belief Noyes would claim that the longevity of the group is supported. This evidence presented portrays a group which has attempted to structure itself around this commitment--in its economic support, in its social system, in its selection and socialization practices, in its religious practice, and as will be shown, in its decision making practices. Thus, the shared religious philosophy underlies virtually all community life and may, therefore, lend considerable support to its potential longevity and viability in an urban setting.

The existence of a shared philosophy or belief supports the common expression of the beliefs--a positive factor in longevity according to Noyes. However, the worship service is not "required" of the members of U.P.F. as it was in many of the religious utopias. Even so, there is a moral suasion at work at U.P.F. which influences the members' participation. Both the common commitment by each of the members as well as the visibility of one's absence generate a moral influence to participate. This influence has a similar strengthening effect on the solidarity of the group as required attendance of the religious utopias.

This chapter has examined the philosophy and goals

of U.P.F. It noted the group's urban location and the active, worldly orientation of its religious practice as reflections of its belief system. These beliefs comprised particularly a bridging of a secular/sacred realm which resulted in an active role of the members in worship and a sense of responsibility for the world.

These beliefs were traced to the "Anabaptist vision" of the Reformation period which postulated the need for a communitarian authority to appropriate "God's will" (rather than the church or the Bible) in support of a "radical discipleship in every area of life."

These beliefs were adopted by the founders of the U.P.F. Their goal was to structure a communitarian group as an end in itself and as a means of support for the radical commitment to "service" in every area of life.

## CHAPTER IV

### SYSTEMIC LINKAGE AND BOUNDARY MAINTENANCE

The purpose of this chapter is threefold: to describe describe Urban Place Fellowship's various systemic linkages with outside systems, to note employment of boundary maintenance behavior by such outside systems, and to analyze the fellowship's ability to respond to such behavior.

Systemic linkage refers to a structural and behavioral relationship between two social systems. The structural aspect consists of performance expectations and sanction power of one system over the other. The behavioral aspect consists of the actual performance of the systems, including boundary maintenance of one of the systems (employment of sanctions over the other when the other's performance does not meet its expectations).<sup>1</sup>

One type of linkage was noted, in the examination of the 19th Century groups (Chapter II), as having serious consequences. This was a link with an outside financial sponsor whose goals were not congruent with those of the group in question. The financial power of such a sponsor constitutes in Loomis' terms, an economic sanction power over the group. Several apparently "successful" 19th Century groups, such as Brook Farm, ultimately failed because of this phenomenon:

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<sup>1</sup>Loomis, Social Systems.

not because the members were dissatisfied, but because the sponsors were. The sponsors, in maintaining their own boundaries (from inadequate returns on invested funds), were forced to withdraw their investments or foreclose a mortgage. This ended the experiment. It is because of such experiences by some of the 19th Century groups that it is important to investigate U.P.F.'s experience with the boundary maintenance behavior of any of its sponsors (including its ability to satisfy such sponsors). Thus this chapter includes boundary maintenance as one significant adjunct of systemic linkage.

To accomplish these purposes in the chapter, the following steps will be employed: (1) classify U.P.F.'s systemic linkages according to the degree of sanction power which an outside group enjoys, (2) describe the structure and operation of each linkage, noting the extent of any sanction power over the fellowship, (3) describe the fellowship's income production system and its ability to satisfy any outside financial demands, and finally, (4) since financial sponsor goals are often not congruent with member goals, to note any effect of such incongruency on the fellowship.

#### The Relationships of the Fellowship with Outside Sponsors

U.P.F. maintains several types of links with other systems. These are of three types. Some involve a non-fiscal relationship and lack the power of economic sanction (links with churches, with other communitarian groups, with a seminary and with the neighborhood). Some links involve a partially fiscal relationship and have some economic sanction

power over individual members (links with governments and with employing institutions). Finally, some links involve a principally fiscal relationship with agencies which have economic sanction power (links with a denominational "mission board" and with a local bank). These will be discussed in turn below.

### Non-fiscal Linkages

#### Links with Churches

U.P.F.'s desire to serve as a model of a Christian Fellowship brings them into contact with various local churches. The mutual influence is minimal, however. The churches serve as a contrast for the Fellowship members and heighten the identification of U.P.F. with the "radical" segment of Christianity. The churches occasionally become a source of members for U.P.F., but these are usually people who are dissatisfied with the churches and does not represent any sponsorship by the churches. Rather, it reveals a distinction between the two groups. U.P.F.'s goal involves experimenting with structures of "Christian fellowship." The churches, often committed to maintaining present structures, find such experimentation threatening. However, the churches have not engaged in any active boundary maintenance to date in relation to U.P.F., and since they are not in a sponsor relationship to U.P.F., they present little threat to U.P.F.'s existence. The linkage between U.P.F. and contemporary church groups is thus generally of low intensity and frequency.

Links with Communitarian Groups

U.P.F. enjoys several unusual links with contemporary communitarian groups. The links are limited to religious utopias, however, and do not seem to include the non-religious groups nor the rich experiences of the 19th Century's nearly eighty experiments, both religious and socialistic.

The links with the contemporary religious groups are via an informal "communications net" of people visiting and letters crossing back and forth. U.P.F. usually is in a sponsor role in these links because they presently enjoy greater financial resources than many of the experiments, especially some younger rural utopias. These groups are important influences on U.P.F., however. Those who visit U.P.F. from other utopian groups or those U.P.F. members who visit other experiments probably number between 200 and 300 per year. They bring practical reports of how other groups function as well as normative reports of the efforts and trials they are undergoing.

Since several of the groups understand their experiences in terms of a distinctive Christian life style and in some cases a "radical Christian" one, the groups, in effect, help validate one another's efforts and values. Thus the linkage of the communitarian groups to U.P.F. may be said to contribute to the structural articulation of the life of U.P.F. This articulation was acknowledged previously to be one of the requisites of a member's autonomy outside the

Fellowship in that it could support a member's self-esteem in the face of an expected negative evaluation which he might receive for an autonomous or non-conforming vocational act.

Although these normative and practical influences by other utopias are important in the life of U.P.F. and to the autonomy of the members when outside of U.P.F., they do not constitute a fiscal sponsor relationship with U.P.F. and, therefore, could not jeopardize the longevity of U.P.F. as has been discussed. Even if a sponsor relationship existed, the goals (to institute a religious cooperative society) are enough in accord with those of U.P.F. so that no potential danger would be predicted.

#### The Link with the Theological Seminary

U.P.F. maintains an important relationship to Moosehart Seminary and College--institutions of the Scripturite Denomination. The seminary was one of the sources of the historical scholarship which released the Anabaptist vision from under the shadow of Lutheran and Calvinist theology. The founders of U.P.F., it has been noted, consisted of students and faculty of the seminary inspired by the "vision." In addition to being an important source and carrier of the convictions undergirding the group, the seminary also provided a setting where the founders could "field test" the communitarian living ideas while still at Moosehart before trying the plan in Brawn. The seminary provides a continuing



reinforcement of the validity of the Anabaptist vision and thus of the norms and symbols by which U.P.F. describes its life and goals. As such, the seminary also functions for U.P.F. like the communitarian groups by contributing to the structural articulation of the Fellowship and thus to the autonomy of the members in their vocational roles.

These various links with the seminary are maintained by U.P.F. members who teach on the faculty of the seminary as well as periodic visits of college or seminary students. Some become interested in the U.P.F. experiment and move to Brawn as members when they graduate.

However, such supportive relationships, important as they are, do not provide any potential threats to U.P.F. These links are more personalistic than institutionalized, consisting generally of individuals who become persuaded to the "radical" Christianity rather than of any "official" support by the institution as a whole which could be terminated if it found itself threatened by U.P.F. There has never been any financial support by the seminary other than a few faculty salaries whose loss would not significantly disturb the life of U.P.F.

#### Links with the Neighborhood

U.P.F. engages in several systemic links with neighborhood groups and agencies. Relationships with the immediate neighborhood continue to be harmonious. Unlike the

extended marriages of Oneida which generated the hostility of its neighbors and forced the group to move, U.P.F.'s practices are considered rather ordinary by its neighbors. The group maintains the family and the family dwelling as the basic unit of the Fellowship, and, aside from an occasional misunderstanding about the common purse, the neighbors consider the U.P.F. members to be groups of families who share "church activities." (The local chief of police once heard that there was a group in town practicing "communism." After the common purse was explained by the members he was satisfied that it was "only a church.")

There is even some evidence that the Bedington residents consider U.P.F. a valuable addition to the area. Several residents claim the U.P.F. members would "take a minute to help a person" when others would not. Others note that "even the teenagers are hardworking and polite too." The repairing and remodeling of the dwellings (which concerns some members because of the expenditures of time and funds) is the source of positive feelings by the neighbors who note that "they always keep up their houses and that's good for the neighborhood." Other neighbors see U.P.F. as a stabilizing factor in a transient area. They cite the influence of U.P.F. in the face of an influx of black residents as the persuasion of many white residents to remain. They perceive U.P.F. as several hundred thousand dollars of real estate which is committed to remaining and working to

stabilize the neighborhood. On that occasion, the U.P.F. members had gone door to door in the several block area to try to persuade their neighbors to remain. The size and dedication of U.P.F. undoubtedly permitted more influence than several single families would have exercised.

Other neighbors cite the efforts of U.P.F. members in obtaining the recreation program at Urban Park, a small recreational plot on Urban Place. Others mention the Urban Place Day Nursery, which the Fellowship staffs and houses, as a contribution to the working mothers in the area. The Fellowship uses the first floor of the 727 Urban Place dwelling for the nursery and supports Helga Charity, one of its members, so she can devote her full time to the nursery. They have also sent her to visit other educational experiments at home and abroad so she could improve the nursery.

Some of the U.P.F. members have been involved in other activities in Bedington. Some, concerned with the quality of the public schools, have attempted on several occasions to "buck the establishment and get some reform candidates elected to the school board." They have not enjoyed great success but were ready to try again. Some members have participated in anti-war and integration activities and marches. However, these are single individuals and the neighbors are not generally aware of their identification with U.P.F.

The various contacts the U.P.F. members experience

in the neighborhood all contribute to the diversity of relationships of the members outside the boundaries of the Fellowship. Such diversity includes the vertical differentiation of a member in various status and power roles. They also include horizontal differentiation of specialized roles such as parent-of-school-child to principal-of-school. The variety of these roles may be seen in contrast to a rural utopia, whose members journey only infrequently into town for supplies or advice.

Such horizontal and vertical role variety is required, according to Fromm and to Faunce, to cause the actor to distinguish himself from others by identifying himself in various roles.<sup>2</sup> This process of "individuation" is a prerequisite for autonomy. Thus it may be claimed that the various linkages which U.P.F. experiences with its neighborhood help provide the structural differentiation and thus individuation required for autonomous behavior in a way which the rural utopias could not.

#### Partially Fiscal Linkages

##### Links with Governments

The Bedington city council expresses little awareness of U.P.F. other than to see that the group obeys local ordinances and building codes and that their children attend public schools (which they do). There is some evidence that

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<sup>2</sup>Chapter II revealed how autonomy is dependent on individuation (as well as articulation).

the council considers the Fellowship to be beneficial for the neighborhood. The council is concerned about the increasing number of rental apartment buildings in the area. They have encouraged U.P.F. to purchase buildings (by making allowances on building and remodeling requirements) because they knew the building would be used by U.P.F. for single family dwellings.

Other governmental units affect the Fellowship little in carrying out its purposes. The state and federal governments relate to it primarily on a taxation basis. (The U.P.F. classification for the Federal Internal Revenue Service is a "Fraternal Order.") These linkages have little effect except that a special set of financial records for each family must be maintained so the families can validate their "contributions" to U.P.F. Each family pays income tax individually and deducts the difference between its income and its expenditures as a "contribution" to the "Fraternal Order." Thus a conflict of interest exists with these governmental units. The State and Federal governments are concerned with tax revenues and local governments with compliance to zoning and educational ordinances. However, there seems little reason U.P.F. could not continue to comply with these since presently the Fellowship's income is clearly sufficient to accommodate such expenses. Also taxes decrease with income so a decrease in future income of U.P.F. would decrease the tax burden accordingly. Only a misappropriation

of funds would create difficulty in paying taxes and this seems unlikely. (The accounting system will be discussed in the following section.)

#### Links with Employing Institutions

U.P.F. maintains many links with local institutions through its working members who are employed in various professional and non-professional roles in the urban area. As has been noted, the type of employment and vocation frequently reflects the "mission" or "service to people" orientation of U.P.F.'s goals. Many members work as hospital staff members, public and private school teachers and administrators and social work personnel both "lay" and professional. U.P.F.'s location in Brawn, one of the largest job markets in the nation, provides the range of job opportunities for the members, from psychiatrist to teamsters and shop workers.

These vocational links serve several important functions: (1) They generate the income which supports the Fellowship and pays the bills. Because the agencies provide such support they, in effect, stand in a sponsor relationship to U.P.F. (2) They supply the members with an increasing and up-to-date reservoir of skills and knowledge on a range of topics from finances to interpersonal relationships. For example, the training and experience which Joshua Leaven and Jessie Mission received in their roles at Brawn State Hospital were important and perhaps critical in helping

U.P.F. deal with interpersonal relationships in the Fellowship, particularly in its early years. (3) The institutions have, at times, provided some candidates for membership in U.P.F. The members, as they relate to others in their vocational roles, occasionally find like-minded friends or colleagues who investigate the Fellowship and occasionally join the group. U.P.F. in turn provides several educational and service institutions in Brawn with dedicated personnel. As many as ten members have simultaneously worked at "Brawn State," the local mental hospital. Several of these were young men performing "alternate service" in place of military duty. U.P.F. provided a place for them to live "outside of commuting distance from their home" as is required by the draft legislation. In many cases the members were instrumental in obtaining these jobs which were also acceptable to the draft board. The members acquainted them with the operation of the hospital and encouraged them to perceive the jobs as a means of "helping people" rather than as a mere source of income or way to avoid the draft.

The members' experience in vocational roles has an important influence on their autonomy also. The various job situations constitute for each employed member additional and significant role experiences. These roles are considerably more systematic than the often random links with the neighborhood. Also they expose the members to a broader and more well-defined status and power distribution (vertical

differentiation) as well as to a broader and more well-defined division of labor (horizontal differentiation). As such they contribute to the self-identification of the members in comparison to a wide range of styles and alternatives. These would be expected to generate the wide range of alternative perceptions which Riesman claims is required for autonomy. Clearly this metropolitan setting provides a structural differentiation which was unavailable to the rural utopias. Along with the linkages with the neighborhood groups, the linkages with employing institutions must be seen as major contributors to individuation and thus to autonomy.

The other central influence of employing institutions is upon community longevity. The employing institutions are related to individual members of U.P.F. who are on their payroll rather than to the group as a collectivity. The expectations of the agencies for a U.P.F. employee do not differ from that of any other of their employees: to perform adequately in the job role. Since many of the U.P.F. members have jobs involving service to people, their goals and those of the employing agency are frequently complementary rather than in conflict. However, even if this were not so, the individualized nature of such a sponsor link would mitigate against the loss of job and income by very many members concurrently. The temporary unemployment of one or a few members has happened several times and the remaining income has been sufficient to "carry" the Fellowship until the others obtain



employment. Although the employing agencies are absentee fiscal sponsors, it is unlikely that a conflict would materialize with a sufficient number of them simultaneously to threaten U.P.F.'s existence as did the absentee sponsors of the 19th Century groups. The diffusion of employment safeguards the source of income, and the common purse provides an economic "floor" under a member who perceives a course of action which may jeopardize his job. These factors provide the economic security which is needed to supplement the psychological dimensions of autonomy.

#### Fiscal Linkages

##### Links with the Mission Board

There are two sponsors who have linked with U.P.F. as a unit (unlike employing institutions) and stand in a fiscal sponsor relationship to U.P.F.: a church mission board and a local bank.

The earliest financial sponsor was a mission board of the Scripturite denomination. When U.P.F. began in 1957, the board loaned the young community a down payment for a house. They also supplied the monthly expenses for the group until income from the members' employment became adequate to support themselves. U.P.F. had also agreed to accept young mission trainees from the board into the Fellowship. The board hoped to provide training for these people by their experience in the Fellowship so they could serve in other projects of the denomination.

However, several of the people sent by the board had either severe emotional problems or little understanding and sympathy for the style of fellowship U.P.F. was attempting to institute. Consequently, many were unable to contribute to the solidarity of the group. However, the group's resources were apparently equal to the challenge; as Joshua Leaven, one of the original members, put it, "The Lord never seemed to send more problems than we could cope with." His counseling skill, as well as Jessie Mission's, were being sharpened at Brawn State by day and U.P.F. by night. Also, both original families were exceptionally stable and constituted a solid core so that, unlike many 19th Century socialisms, they were able to survive this early and difficult period.

U.P.F. was continually unable to reach a satisfactory understanding with the board concerning the "mission trainees." The board continued to send people with little understanding or sympathy for U.P.F. In effect, the board saw U.P.F. as a place to send recruits who were not capable of filling other assignments so U.P.F. could "straighten them out."

It became clear to the members that they would never achieve a satisfactory relationship with the board because their goals were too disparate.

During the first two years, however, others had heard of the experiment, visited, inquired first hand about the style and purpose of the group, and stayed on as members.

These people were better oriented and were frequently more mature. They brought added strength to the group. The members each obtained employment in Brawn and each continued to live on the "welfare standard" while the average income was considerably above this level. This difference began to generate considerable surplus funds. Some of these were sent to mission projects of U.P.F.'s choosing but much of it was sent to the board to repay the funds they had provided.

Between the second and third year the group had repaid nearly all of the money loaned--both for mortgage and for expenses--enough so U.P.F. could terminate its relationship with the board in good faith. The group then incorporated itself as a church, independent of any outside agency.

The relationship to the mission board had been helpful to the young group, primarily because it was a sympathetic source of funds. It permitted the Fellowship to govern itself without restriction but the relationship could not last. The board had little understanding of the demands of group living and even less possibility of selecting suitable candidates for membership while 500 miles removed from the Fellowship. It was to be a good lesson. The group was forced to face the problems of membership--selection and orientation--more squarely. The board had actually constituted a financial sponsor relationship in a similar fashion to the lenders and landlords who had foreclosed on several 19th Century socialisms. The link with the mission board

could have been fatal to U.P.F. in two ways. The Fellowship may have continued to receive recruits who were unsuited for such a cooperative venture. Unless additional mature and stable members had been available the group would not have been expected to maintain the internal cohesion necessary to survive; a phenomenon similar to the many failures of the 19th Century socialisms whose members had little common commitment or interpersonal experience to bond them together.

The other way the link with the board may have been fatal to U.P.F. was that the board, if it had been unsatisfied with the performance of U.P.F., could have simply stopped sending funds and called for the repayment of the loan. This would have caused the sale or mortgage of the Fellowship dwellings. U.P.F., in repaying the funds to the board, did not eliminate the second alternative, that of foreclosure. They only transferred it to another agency--the bank--as will be seen. However, they did eliminate the first possibility of diluting and disrupting the internal cohesion of the group by accepting recruits from the board. After that link was broken, they could present to a prospective member the challenges and inquiries which they thought appropriate "to the life of discipleship" rather than to serve the manpower needs of a church denomination.

#### Links with the Bank

The mission board remained willing to supply funds even after the incorporation of U.P.F. (the Fellowship

needed mortgage money to buy additional housing). However, the group felt that if they accepted the board's funds they would have to accept the board's recruits. The board could still send recruits in any case, but U.P.F. felt it could receive them on their own terms if it remained free of commitment to the board.

Thus the local banks were visited and U.P.F. was found to be a good financial "risk." The expectations of the bank were considerably simpler than those of the mission board. As long as the payments were made on time, the insurance regularly maintained on the buildings, and the buildings physically maintained, the Fellowship was free to go its way. However, the bank would not "carry" the Fellowship through the difficult periods as the "board" would have done and would be expected to foreclose the mortgage if the group did not meet its obligation. Such a relationship clearly constituted a conflict of interest between the financial goals of the bank and the communitarian aims of the Fellowship.

Thus the bank constituted an absentee sponsor relationship similar to those of the previous century. The bank clearly could have foreclosed the mortgage and taken possession of the dwellings, terminating the existence of the Fellowship in that location. U.P.F. clearly has the same type capitalization as those Owenite and religious groups of the former century who had to borrow funds from creditors, thus

generating a conflict of interest with this absentee sponsor. Thus U.P.F. must be classified as a "debtor" community in contrast to the "benefactor" experiments such as New Harmony where the land was purchased outright for the group, eliminating any mortgage-holder relationship.

Conflict Between Urban Place Fellowship  
and Absentee Sponsors

This section will examine more closely U.P.F.'s source of financial support in relation to its need to satisfy the banking institutions and avoid the foreclosure difficulties observed in several 19th Century socialisms. To accomplish this, some quantitative comparisons between U.P.F.'s income and its expenses will be examined. Then the mechanisms which determine its income and expenses will be compared to those of the 19th Century's socialisms to reveal sources of strength or weakness. A final section will examine the relationship between the U.P.F.'s members and three types of agencies whose systemic links with U.P.F. may generate structural conflict with the members. These are mission recipients, absentee sponsors and vocational colleagues. These will complete the assessment of U.P.F.'s systemic linkages as they influence its longevity potential and other aspects of its life.

The Machinery of Fiscal Support

U.P.F. regularly receives its members' weekly paychecks into the common purse totaling approximately \$160,000

annually. (See "average income" Table 5) The Fellowship's goal of service to society enjoins them to live at the

TABLE 5.--Estimated Yearly Income and Expenses of Urban Place Fellowship<sup>1</sup>

INCOME--estimated total yearly (20 employed persons, range \$5,000-\$18,000)	\$160,000
LESS:	
EXPENSES--estimated total food, clothing, etc. distributed by formula based on Brawn City welfare formula (19 family units)	<u>100,000</u>
BALANCE AVAILABLE FOR MORTGAGE AND OTHER USES IN COMMON (37% of total income)	60,000
LESS:	
MORTGAGE PAYMENT (8 buildings)	<u>20,000</u>
"CUSHION"--available for discretionary common expenses such as mission, college, and building repair	<u>\$ 40,000</u>

<sup>1</sup>These figures were estimated by one of the knowledgeable members of the group.

minimum or "welfare" level of expenditures. This level represents an amount for each family for daily expenses such as food and clothing. It is allocated to each family according to the Brawn welfare formula (by family size and age of members). The total of these living expenses for all 19 family units equals approximately \$100,000 annually. (See "expenses" Table 5) The difference between income and expenditures is \$60,000 per year or 37% of total income. This

means that 3 of every 9 dollars earned is available, if it should be required, to satisfy absentee sponsors. This is a remarkable amount when contrasted with the marginal performance of the 19th Century socialisms. Even after the \$20,000 yearly mortgage bill is deducted (1 of every 8 dollars earned) a \$40,000 "cushion" remains (2 of every 8 dollars) and cushions the group against unforeseen circumstances. This sizable fund means that the total group income can be reduced by 25% or 1 wage earner in 4 could be laid off without jeopardizing the financial stability of the group. The Fellowship does not regularly send \$40,000 per year to mission projects: they send closer to \$7,500-\$10,000. The balance is distributed among the following: education costs such as college for their children, local mission projects such as painting a neighbor's house or paying his medical bills, and repairs to group buildings. The \$40,000 figure does indicate the magnitude of the surplus which the Fellowship generates. The combination of the unique source of income and the minimum level of fixed expenditures provides a cushion for U.P.F. which only the considerably older religious communities of the 19th Century enjoyed and which the group can expend according to its priorities and commitments.

A closer comparison between U.P.F. and the 19th Century socialisms will reveal more clearly the source of U.P.F.'s apparent financial stability.



### The Fellowship Compared to the 19th Century Experiments

As has been noted, both U.P.F. and most 19th Century groups were burdened by a debtor status and both employed the common purse (communism) or the joint stockholder variation (socialism) so that the entire income of all the members would be available to meet expenses in both situations.

The central distinction between U.P.F. and the 19th Century groups is location, the urban situation of the former and the rural location of all the latter. This has implications both for the income and the expenditures of the groups and thus for the financial ability to satisfy absentee sponsors.

#### The Effect of Income and Expenses on Longevity

Fixed expenses.--The main factor influencing the fixed expenditures of the groups is the financial structure of the central fixed expense, the mortgage. This debt, in the 19th Century groups, reflected the purchase of land for farming as well as housing while that of U.P.F. represents housing alone. Land for farming or manufacturing is actually the purchase, in an economic sense, of a factor of production. Thus it must be purchased in sufficient quantity so that its yield will support at least the needs of the members as well as a balance to pay off the mortgage. Furthermore, land was often purchased in sufficient quantity to support not only the size of the groups at the time but the future

desired size of the group (often three to five times larger than immediately needed according to Noyes' figures) because it was feared that the land would not be available at a future date. Also the optimistic founders pictured the community swelling to its maximum size very quickly. Moreover, the group had to purchase sufficient "improved" land as well, including housing for the present members. Thus, the groups frequently incurred a heavy fixed expense at the outset.

U.P.F.'s purchase of housing, on the other hand, reflects not a production investment (that being supplied by the employers of the members) but merely the housing to provide for the present members. The first three members, having small families, lived together in one dwelling (but had three incomes). Later, larger families arrived, each of which required an entire dwelling, but who added more incomes. Thus, the mortgage debt increased directly with the increase in members but not as fast. This linear relationship did not hold for the agrarian groups who frequently burdened the founding families with the fixed debt of the future size of the group including both housing as well as land.

Variable expenses.--Another factor which distinguishes the expenses of U.P.F. from the rural utopias is the living expenses--those costs incurred by the residents in daily living such as food and clothing. These expenses vary almost directly with the number of residents in a group. The

distinction between the two types arises because the mission philosophy of U.P.F. implores the members to live on a minimum level of necessities so that maximum amount remains for mission projects. This is reflected in the "welfare" level which each U.P.F. family is granted for its food and clothing expenditures. The effect of this is to generate a larger cushion between living expenses and income which is available first for fixed expenses and then for discretionary allocation.

In contrast, the residents of many of the socialist utopias (not the religious groups) had high expectations of the "manifold economic benefits of cooperative living" from evangelistic enthusiasts such as Robert Owen and later Horace Greeley. Any surplus of income over expenditures was quickly utilized for the comforts of the residents rather than to increase output, reduce fixed expenses, or serve causes agreed upon by the community.

Income.--The central distinction influencing the income of U.P.F. in comparison to the rural utopias is the employee roles of the U.P.F. members vs. the entrepreneur roles of the rural groups. As entrepreneurs the earlier utopians faced the usual difficulties of starting an enterprise.

Once the initial investment in land had been committed there was usually little cash remaining for equipment. This resulted in a low productivity and thus a low yield. Also many of the enthusiastic residents had come seeking

escape from urban life and were unsophisticated agriculturally. Farming, as the single source of employment, demanded that all the residents engage in the farming enterprise whether skilled in the science or not. Those few groups who started manufacturing operations offered some additional variety of employment; however, these were only the older and wealthier groups. Consequently, according to Noyes, the uninitiated agrarians frequently purchased poor land and employed inferior husbandry techniques, also insuring low yields from that land which was planted. Frequently the amount of land which the small founding group could cultivate was only a fraction of that purchased, yet they retained the mortgage burden for the entire plot. This resulted in a low output in relation to the land investment.

In contrast, the U.P.F. members, in employee relationships to employing institutions in the city, were free to seek employment in the type of enterprise which maximized their own abilities and interests as well as to seek the situation of highest productivity and remuneration. Thus the problem of productivity was shifted to the external entrepreneur who, in effect, had to compete for employees by offering the maximum wages.

In addition to the 19th Century problem of low agricultural yield was the problem of the lag time from when the necessary resources were committed until the output was realized. Once the planting has taken place the size of

the output is a fixed amount and cannot be increased until the next planting season and no output is realized in the intervening period to cover the ongoing expenses. The lag is not difficult to cope with if the group has a surplus to provide for expenses, but many socialist groups were hard put to generate such a surplus. But more difficult was the occasional influx of new residents after the planting. The planting may have been the maximum capable by the previous group, but its yield would have to be divided among a larger group--leaving less surplus to meet the mortgage payment.

The desire to intensify labor in relation to the amount of land influenced the young groups to seek more members. However, it was only accidentally that they arrived in time to increase the acreage under cultivation and thus to provide an incremental yield to offset the added burden in the short run which the new members represented.

Worse yet were the vagaries of weather which often reduced the yield below even the needs of the original group, not to mention those of an increased number of residents.

In contrast to this, U.P.F.'s expectation for each new member to obtain employment insures for each new member an increase to the group income to offset the additional expenses he represents. In fact, the welfare standard and the use of one dwelling for two or three families guarantees that the new income is larger than the increased expenses. Thus, each new resident adds an increment to the cushion

rather than representing a burden on the group. Also unlike farming, the new employees' income is realized almost immediately (according to the pay period of the employer) rather than a season later.

Two factors could prevent the operation of the corresponding increase of income with additional members which U.P.F. enjoys. One would be an economic "recession," the other would be an increase of low skill residents who would not be able to obtain employment readily. These dangers are minimized by several factors. As was noted above, U.P.F.'s cushion would be expected to cover both living and mortgage expenses until as many as every fourth employee was laid off. The Fellowship easily weathered a tight employment situation in the recession of 1959. The unemployment rate in the Brawn area did not come close to the 25% level and the unemployment rate of U.P.F. workers was considerably lower than the city rate. U.P.F.'s low rate may be explained by the service and professional employment of many of the residents whose unemployment rates were less than in manufacturing sectors. An economic "depression," however, would be expected to alter the situation to degrees varying with the intensity of the depression. However, the wage floor provided by various forms of unemployment compensation would still be expected to provide for the "welfare" level expenses of the group.

The other circumstance, that of an increase in unskilled personnel who would have difficulty obtaining jobs,

has not materialized to date. However, this would be partly accounted for as in the past by the professional members helping to locate jobs in the expanding "service" fields for the new members. Thus the variable structure of the expenses as well as the income of U.P.F. permits nearly a linear correlation between them which insures a continually larger "cushion" in relation to long-term commitments. This "cushion" against the demands of absentee sponsors reveals the favorable position of U.P.F. in comparison to the previous socialisms and permits a favorable prediction of longevity for U.P.F.

In summary, the examination of the current average income and expense figures of U.P.F. revealed that a considerable amount (37% of total income) was potentially available to meet fixed expenses, even though part of this amount was usually expended for "mission" projects (not a fixed commitment). The favorable ratio was expected to remain roughly constant as the number of members increased, although the "cushion" in absolute amount would increase.

#### Conflict Between Members and Mission Recipients

U.P.F.'s systemic linkages would be expected to have important influence on the relationships of the members to outside systems such as mission recipients, absentee sponsors and vocational colleagues. Potentially the payment to such outside recipients would reduce the amount available to the members. For example, if an increase to "Rural Farm," a

communitarian group, were accompanied by a decrease in the allocations to U.P.F. families, a conflict of interest would be said to exist. This would be expected to generate friction between the members who advocated supporting the "Farm" and those who opted for the family allocations--both highly normative to the beliefs of U.P.F. However, the common purse and the welfare budget reduce this potential because U.P.F. families theoretically are already making the maximum amount of funds available for mission projects. Thus, mission spending can be defined as secondary in financial priority to family necessities. Because of this and the "cushion," the funds sent to mission projects are always surplus after family expenses and mortgage have been subtracted. In the case of a loss of the cushion, the family expenses, as first priority, would be paid in full and the mission expenditures reduced if necessary. Thus, under all circumstances, a payment to a mission recipient has no influence on member families and therefore the potential conflict of interest is seldom actualized.

The potential for friction between members regarding the specific allocation of the mission funds still exists. This is not so serious because it is an interpersonal conflict (following Faunce)--it represents differences of opinion among the members and can be resolved by discussion or compromise. It does not represent structural conflict--conflict of interest which would not be negotiable because



the welfare of the family units would be in question. The avoidance of such conflict of the members with mission recipients permits the fulfilling of this dimension of the group's goal. This contributes to the identification of the members with one another and thus the solidarity of the Fellowship. Also, in actualizing one of the stated goals of the group, that of service, it increases the fit of articulation of several parts of the Fellowship, service goals, financial structure, member commitment and member consumption.

This phenomenon is not comparable to the experiences of the 19th Century utopias since they were most frequently conceived as entities in themselves and did not appear to include a service dimension, directed to any outside recipients of their services or funds. However, a comparison may be drawn with modern churches and families. The common experience is of a church squeezing its budget to meet a financial commitment to a regional or central denominational agency. An increase in mission funds frequently means a decrease in current operating funds--a conflict of interest. Frequently, the conflict is accompanied by hostility between the members who advocate one expenditure over another. The fact that both expenditures, support of programs and mission giving, are normative to the self-understanding of the church means that the hostility is usually intense and a loss of articulation or fit between the parts may occur. The hostility and loss of articulation can lower the identification of the

members with one another and with the church.<sup>3</sup> These further reduce the church's ability to raise funds and meet its commitments.

The members of U.P.F. sense the inability of the institutional church to resolve the conflict for itself and its members.. They note the attachment of the church to its institutional wealth and find it strange that "the churches court the rich young rulers of our time instead of challenging them." They claim also that the churches have failed miserably in asserting the primacy of love over the economic life of their members.

In effect, the churches are trapped by conflict of interest. Since they are not committed to a minimum level for themselves nor to urging their members to consider such a minimum, each monetary increase is potentially available both for budgetary needs and for individuals and agencies outside itself. Thus there is a conflict of interest which reduces internal cohesion and articulation.

U.P.F., by stipulating the minimum consumption level for itself, automatically provides all revenues above this level for others. When revenues are reduced below the

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<sup>3</sup> Many clergymen and laymen who have "dropped out" of the church cite the inability of the church to live up to its "mission" among the causes for their disenchantment. Even though often the most visible reasons for such disenchantment are current "issues" such as "race," this analysis suggests that a more fundamental difficulty underlying all "mission giving" and complicating the church's response to the "issues" is the above structural conflict of interest.

minimum level, the group is justified in stopping its mission contributions--thus avoiding the conflict.

Similarly, a family which attempts to express its concern for segments of society by contributing part of its income to other agencies experiences this conflict. Any funds it offers to such groups must reduce the amount available for itself. The conflict can create friction among the affected family members, reduce family cohesion and reduce the articulation between what it professes and how it behaves.

U.P.F., by the common purse, welfare level spending and financial cushion, has minimized this structural conflict between the members' needs and those of mission projects. The resulting increase in group cohesion and articulation between goals and performance would be expected to contribute both to group longevity and member autonomy outside the group.

#### Conflict Between Members and Absentee Sponsors

The conflict of interest between U.P.F. as a collectivity and absentee sponsors such as the local bank has been discussed above. The adequacy of the group's income structure and welfare level spending to generate a surplus and satisfy such sponsors was noted. This section examines any influence on the members from such a conflict.

The sponsors of utopian groups seldom fall into a normative category, that is, they do not believe they "ought" to support them but rather that the linkage is a necessity.

This holds true for U.P.F. Thus, the question of articulation in terms of members' identity is as easily resolved for absentee sponsors as was the potential conflict with their assistance to "Rural Farm." Thus, the question may be analyzed in terms of the imposition of the external demands on the family budgets. The function of the "cushion" to provide both the welfare necessities as well as to satisfy the sponsors has been noted previously, thus minimizing any conflict between the members and the sponsor. The elimination of the surplus would, however, recreate the conflict causing the members to reduce their purchase of necessities to meet the commitment to the sponsor. This would be expected to create hardship and also possible friction among the members in reaching new decisions regarding expenditures, thus reducing cohesion and also adversely affecting the longevity potential.

In addition, some of the members would be expected to attempt to relieve such hardship by increasing their income by seeking "raises" in salary or new positions. This would reduce their autonomy, not because the articulation of U.P.F. would be affected, but because they would need to protect or enhance their income and thus their obedience to management. In addition, any move to a new employer for financial reasons would eliminate their usefulness in reforming the former position, the original purpose of the search for autonomy. However, the linear relationships between income and expenses

in U.P.F. (new members bring increased income in proportion to increased expenses) was expected to protect the cushion and thus continue to minimize the potential conflict and protect the cohesion among the members.

#### Conflict Between Members and Vocational Colleagues

It was described previously how the members of U.P.F. maintain vocational roles in various institutions in the urban area and thus provide the income for the Fellowship. The individual nature of this linkage was noted. However, the potential conflict between the members and their colleagues was not noted. This conflict exists because of the pyramidal shape of the employing structures and the competitive structure of the "job market." The existence of a limited number of status and reward positions reduces the possible range of cooperation among the colleagues to achieve the goals of the organization. Also, the competition for status reward positions would be expected to increase the incumbent's conformity to superordinate and group norms and thus decrease the possibility of autonomous behavior.<sup>4</sup> However, U.P.F.'s welfare budget and the common purse minimize this conflict in the working members of U.P.F. in two ways: they reduce the pressure and resentment from the other members of U.P.F. and they reduce the pressure from his own family.

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<sup>4</sup>This is Presthus' major hypothesis. Robert Presthus, The Organizational Society (New York: Vintage Books, 1962).

The common purse, in breaking the relationship between one's salary and the U.P.F. consumption level, minimizes the pressure from the Fellowship. His salary may increase or decrease, but the Fellowship members will continue to consume at a "welfare" level. Also any resentment by one member who contributes more than another, for example A's \$15,000 per year compared to B's \$5,000 per year, would not be expected to be sustained. Even if B's salary were to increase to \$15,000, the consumption of both families would remain the same. Thus, "pressure" from the Fellowship members for a member to compete for a larger salary is quite low.

For the same reason, the "pressure" upon a member from his family would be low. Without the commitment to the welfare budget, a member might attempt to achieve a higher reward position for the increased benefit it would bring to the group and the increment it would bring to his own family. However, the "welfare budget" at U.P.F. results in no increase to his family or to the group, but rather to surplus for mission. Thus, the pressure from a member's family is at a low level.

The observations of the members confirm the description of low vocational pressures. Several members of U.P.F. report that they enjoy a "relaxed attitude" with respect to their income. "We don't always have to be concerned about buttering up the boss or looking for a better paying job,"

they claim. Jacob Deed, one of the employed members of U.P.F., observed that he had lived in other communities which were "always after you to make more money; it created a lot of pressure. Here we can take a job because it's interesting. It's much more relaxed."

The vocational consequence of such a reduced competition would be that one would be permitted to exercise any potential for autonomy which may have been generated by a highly articulated reference group, rather than having it "short-circuited" by competitive conformity. Free from competition with colleagues, he would also be able to engage in various forms of cooperation with them to accomplish desired ends.

Several informants at the large state mental hospital where many Fellowship members work reported behavior by U.P.F. members which was not observed in colleagues whose conflict of interest with other colleagues had not been resolved. The informants had witnessed some U.P.F. members willing to forego "credit" for themselves to let others "take the credit" to obtain various kinds of cooperation from colleagues such as increased services to patients. Also, the informants had observed some U.P.F. members willing to undergo the "hostility and pressure by some superordinates and some colleagues" to protect patient services. While the reduction of the conflict between the U.P.F. members and their colleagues and superordinates may not have directly caused such behavior,

it may have reduced an undue dependence on superordinates or competition with colleagues and permitted such behavior.

#### Summary

This chapter has enumerated and described U.P.F.'s various systemic linkages. Three types were discovered. Some were non-fiscal in nature and had no economic sanction power (links with churches, with other communitarian groups, with the seminary and with the neighborhood). Others were partially fiscal relationships and had limited economic sanction ability or had such only with reference to individuals and not to the group (links with governments and with employing agencies). Some links constituted principally fiscal relationships in that the agencies had vital economic sanction power. If the group had not met their expectations, the sponsors would have been expected to protect their boundaries, employ the sanctions and potentially terminate the existence of U.P.F. The mission board and the local bank were discovered to be in this latter category. The early solvency of the group permitted a termination of the linkage with the mission board before any significant consequences resulted. The dependence of the Fellowship upon the banking institutions was acknowledged to be a continuing source of potential danger.

However, the chapter noted that the income structure of U.P.F. in relation to its expenses generated a large



proportion of its income (40%) which was available to meet the expectations of sponsors such as the bank. The urban location, the employee status of the members and the "welfare" consumption level was seen as the source of such high income and low expenses. In comparison to the 19th Century socialisms, U.P.F. bought only housing and that only as new members required it, limiting their fixed expenses (mortgage), while the socialisms often bought sufficient land and dwellings to provide income for the expected future size of the group. U.P.F.'s commitment to the "welfare standard" minimized current expenses in comparison to the high expectations and expenses of many of the socialisms. U.P.F.'s employee source of income, it was noted, provided a steady and adequate income for each member as he joined, in effect permitting him to pay his own way. Many socialisms, in comparison, suffered from low productivity associated with poor land and equipment, "inexperience," poor weather, and a disfunction between the acreage planted and the number of members to be supported by it at any given time.

These advantages generated an early fiscal solvency for U.P.F. enabling it to minimize or eliminate its conflicts of interest with absentee sponsors and thus increase considerably its potential longevity. In addition, the common purse and its related mechanisms of "welfare" consumption and communal ownership of property along with the "cushion" of income over expenditures helps reduce several conflicts of

interest which would have interfered with member goals. These resulted in the provision of member necessities in spite of other members' extra needs (reducing conflict between members), in spite of gifts to mission recipients (reducing the conflict with recipients), in spite of commitments to absentee sponsors (reducing the conflict with sponsors), and, finally, in spite of competitive vocational hierarchies (reducing the conflict with superordinates and colleagues in employment situations and fellow utopians at home).

## CHAPTER V

### INCOME ALLOCATION

#### Introduction

Those experiments of the previous century which were not closed by absentee sponsors failed because of internal dissension, often caused by conflicts of interest among the members. This chapter and the next will attempt to describe the internal operation of the Fellowship. The present chapter will note the effects of the economic structure, and the next chapter the effects of the social structure. Whereas the previous chapter considered U.P.F. as a collectivity, examining relationships with external sponsors, this chapter will view the individual members and examine relationships among the members within the Fellowship.

To accomplish this, it will give further attention to the economic life of U.P.F., the functioning of the "common purse" which is the distribution mechanism of the group, and its effect upon the relations between the members. The previous chapter noted the important effect of the "cushion" generated by the various sources of employment in minimizing the conflict with external sponsors. This chapter will also examine any influence of this cushion on the internal accord of the members. U.P.F.'s financial structure

will be compared to the 19th Century groups. The members' understanding of the financial mechanisms will be noted to provide a comparison of their expectations to actual performance.

The result of any internal structural conflicts would be a loss of cohesion among the members--an important factor in longevity. Another result of structural conflicts, according to Faunce,<sup>1</sup> is a decrease in articulation. Specifically, Faunce claims that "conflict" and "isolation" are two dimensions of articulation. The conflict, it will be seen, is central to this chapter; isolation is significant in the next.

#### The Mechanics of the Common Purse

Although most members are aware of the effect of the economic disciplines on the Fellowship, few are cognizant of or even need to know the legal and fiscal details of its operation.

The common purse functions in four simple steps:

(a) the members place their paychecks into the common purse, (b) a common amount is returned to each family unit for expenses such as food and clothing, (c) the expenses incurred by the Fellowship as a collectivity, such as the mortgages, are paid, and (d) the balance is available for "mission"

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<sup>1</sup>The dependence of autonomy on articulation was treated in Chapter II above.

projects and is paid accordingly.<sup>2</sup>

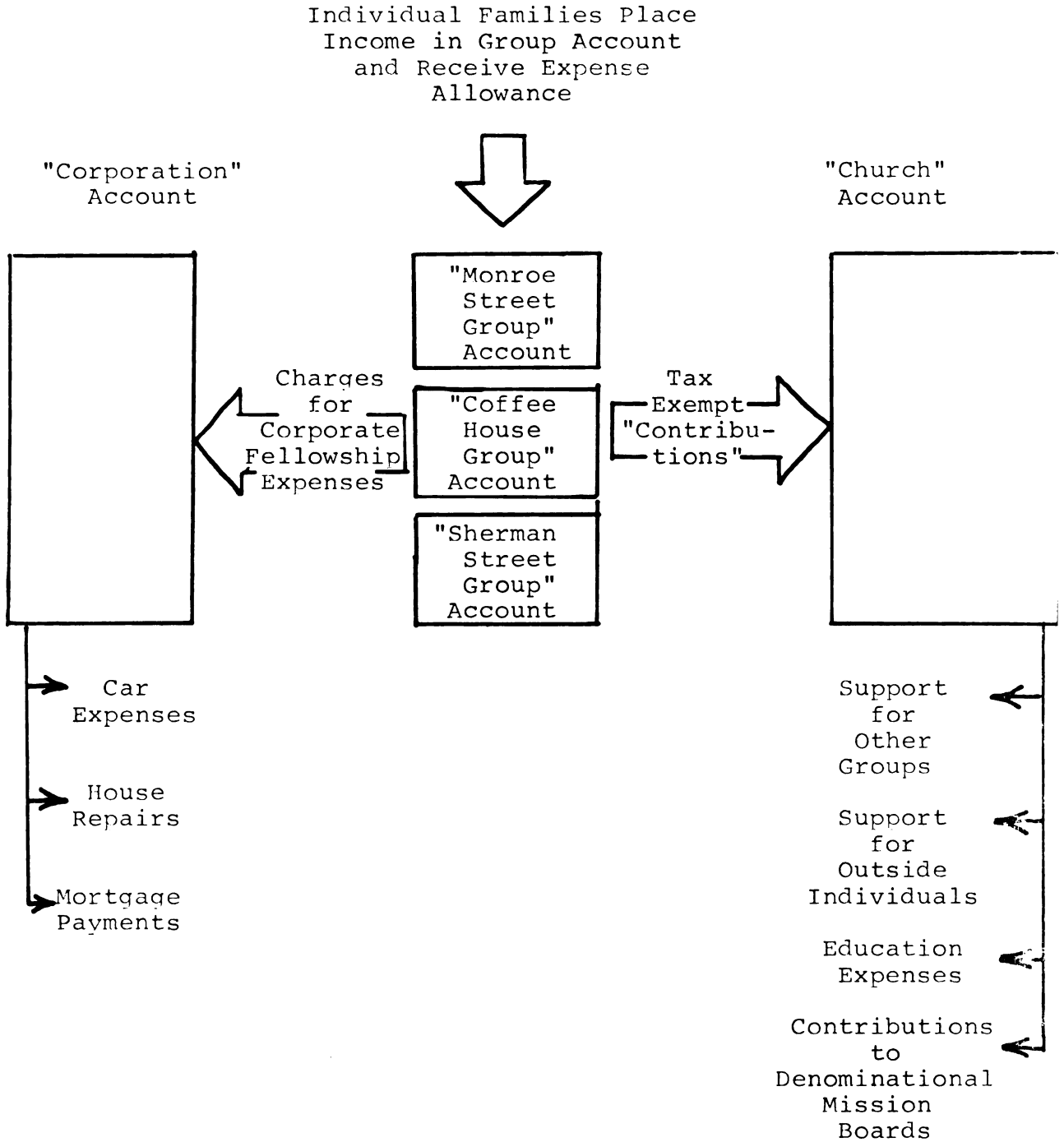
However, early in the life of U.P.F. the members discovered a need to document their finances for income tax purposes. They had sought and gained, under poor advice, an Internal Revenue Service designation as an "apostolic order." However, this did not permit the deduction of their "contributions" to U.P.F. from their taxable incomes. If a family earned \$10,000 and lived on \$6,000, the family felt it should be allowed to claim \$4,000 as a deduction from his taxable income. Recently they discovered that a "church" designation would permit the deduction of "contributions" from the individual tax returns. They have applied for the new status and established a financial arrangement to document all deductions from their individual tax returns.<sup>3</sup> They have established five bank accounts. Three of the five accounts are used for the income and expenses of the three

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<sup>2</sup>There is a committee of five members which facilitates this process. It is called the "financial committee," and it investigates group expenses and mission projects [(c) and (d) above] and makes recommendations to the Fellowship. For example, it may investigate and recommend hiring a local rodent control service for the group's old buildings or initiating a local integrated housing complex for the neighborhood. The five members are selected by consensus because of their financial expertise. Their recommendation to the monthly plenary member meeting places them in a "staff" relationship to the common purse machinery. (Figure 2)

<sup>3</sup>They have never objected to paying taxes although they, like many private individuals, feel strongly about such government expenditures as the military budget. They feel they should avail themselves of every legal tax exemption because they can expend the funds in accord with their purposes of "mission."

Figure 2.--Mechanics of the "Common Purse"



small decision making groups (see Figure 2). Each member of U.P.F. belongs to one of the groups. A fourth account is the corporate account (see Figure 2). This account receives income from the group accounts and makes payments for that class of Fellowship expenses which is not deductible for income tax purposes. The fifth account--the "church account"--receives income from the groups and makes those payments for the Fellowship which are deductible from the members' income taxes.

The treasurer of each small group collects the paycheck of each individual in his group and deposits it into the small group account (see Figure 2). He then returns an amount to each family for monthly expenses of food and clothing. Next he pays "charges" to the corporation account. The "charges" are a way of apportioning to each family a part of Fellowship expenses such as for automobiles and mortgages so the individual family income may be reduced by an appropriate amount and thus reduce each family's income tax levy. The charges also reflect other expenses such as lumber, plumbing supplies, and insurance on the buildings.

After the "charges" for each family are remitted to the "corporation" account from the small group accounts, the balance of the small group account is then "paid" to the church account. Frequently, a small amount is returned for extra expenses such as guests' food so the feeding of guests does not create a burden on the individual families.

The payment from the small group into the church account constitutes a tax exempt "contribution." The treasurer for each small group records each family's income, their expenses and their deductions and gives them the information at the year's end for their income tax report.

After any reimbursement has been made to the families from the church account, the balance is available for the mission of the Fellowship in its various forms, such as support for other communitarian groups, payments to the denominational mission board or contributions to individuals the group is helping with educational or medical expenses.

An example of a normal situation will clarify the flow of funds. A typical family's monthly income is approximately \$650. Thus, their payment to the small group is \$650. Their allowance from the small group in food and clothing, according to the size and needs of their family, might be \$300, leaving a balance of \$350 in the small group account. Their housing and transportation "charges" might be \$200 which would be remitted to the corporation account leaving a balance of \$150 in the group account. The \$150 would be transferred to the "church account" which might return \$50 to the group account and then to the family in compensation for Fellowship guests they had boarded or for other expenses. The \$100 balance in the "church" account would then be available for "mission" projects.

The system accomplishes several desirable accounting



functions for the Fellowship. (1) It provides a mechanism of collection and distribution. Because the mechanism is "formal" and not personal, it relieves any particular member of the potentially unpopular task of enforcing a group commitment to a "welfare standard." In addition, it directs members' dissatisfaction, if any, back to the entire group where the commitment originated. (2) The mechanism provides the income tax information which the Federal government demands in order that the families may deduct the "contributions" to the "church" account from their taxable income and accurately reflects costs of communally-owned cars and dwellings. (3) The mechanism provides several kinds of information upon which the group may base decisions. Primarily, it indicates the amount, after expenses have been met, which is available for "mission projects." It also indicates if any families are having difficulties staying within expenses.

#### The Reduction of Member Conflicts

The financial mechanism provides, in addition to the accounting benefits noted, an important non-financial influence. This section will examine this important influence which reduces conflicts of interest arising over the production and distribution of scarce goods and resources. The most obvious conflict in any system is a competition among members for an inadequate amount of resources. In a family, if the income remains inadequate, when the husband wants

extra funds to purchase golf clubs the wife will have less for a new dress and a conflict of interest may be said to exist between them.

The situation is similar to that of U.P.F. except that the potential conflict is between family units as well as between members of the same family. The common purse defines each family unit as equally worthy of scarce resources at whatever overall level of consumption the group chooses. Rather than a rule such as the family which earns the most receives the most, as in Fourierist utopias, U.P.F. claims that the family which needs the most should receive the most. Thus, each family unit is equally worthy of scarce resources. "Equality" is defined as in Marxian doctrine or early Christian practice, not as each receiving an identical amount such as \$300 per month, but that each unit receives an amount in similar proportion to their need. This, however, generates the problem of defining "need." The budget assists the group by institutionalizing the concepts of "need."

Judy Leaven, one of the wives, in describing the concept of "need" explained, "We get \$113 a month for food for our family of four. For clothing we get \$5 a month for each child, \$12 a month for teenagers or adults, and double that for each working person." Another member, Matilda Grant, whose family had eight members, quoted a figure of \$289 a month for everything--clothing, household, entertainment, and food. "However, the Fellowship account takes care of doctor

bills, furniture and repair costs, car and housing costs." She also claimed that each of her children had foot trouble and required the services of a specialist and the Fellowship had always seen that they got the required care.

Matilda indicated a sentiment common to many. "The 'welfare' budget is at times 'difficult' to live on, but if one believes that other people are more important than money, he ought to live that way--besides if all the other members can live on the budget, we can too." Thus, the common purse and the welfare budget, by defining each family as "equal" and stipulating the allocation to each, reduces a potential conflict between the families for the limited resources of the group. An increase in the "needs" and the allocation to one family, rather than reducing the allotment to the other families as in a competitive situation, would have no effect on them unless their needs changed. In addition, the low level of competition and common definition of "need" generates feeling of a common struggle and an increased sense of identity among the members--"if the others can live on the welfare budget, we can too."

The budget resolves for the members only those allocation questions such as food or clothing which it covers. Another area of potential competition is non-standard expenditures such as television sets and summer vacations. These create potential conflicts because they come not from the family allotments but from the Fellowship funds. It is

apparent to the members that expenditures by some families could reduce that available to others--a competition situation. The commitment to a "welfare" consumption level, however, aids in the adjudication of such items so that all are subjected to the same "rules." The welfare concept permits the group to eliminate some items, such as color TV receivers, as "luxuries" and therefore inappropriate for any members. Other items have come to be defined as "necessities" such as medical and educational expenses. Even vacations have been recently defined as a necessity so the family may "relax from the rigors of the husband's job and from the city." Vacation expenses are usually granted to each family, not as a matter of course as are the allotment items, but as each family's plans become known.

Other expenditures are non-recurring items and therefore cannot be regularized, like family vacations, and are not clearly luxuries or necessities, but are one-shot items such as the Herald's trip to the theology conference. These are also discussed in the small groups and decided "on the merits of the case." Thus, some competition is possible in this arena. However, the equality concept, that all members should potentially be eligible for the same benefits, helps reduce competition significantly.

Another important feature is that the common purse helps reduce the competition between families for most of the non-budgeted expenditures. The communal ownership of

homes, land and automobiles, in effect, places these items, which in western society are frequently objects of severe competitive relationships, outside of the competitive arena.

The final class of expenditures are "luxury" items such as television sets. These are funded by the individual families and thus are taken out of the arena of the group decision making altogether. These become decisions of the individual families, and, because family allocation is based on necessities, it has no provision for luxuries. However, if a family chooses, it may, for example, reduce its expenditures for clothing to save some funds for a TV set. The TV sets that are visible in the Fellowship are consequently inexpensive or used sets.

The lodging of the decision on luxury items with the families, in effect, shifts the competition to the arena of the families. This has generated some strain among some of the family members although this is little different than the strain of budget decisions in any modern western family.

A final mechanism, the "cushion" of income over expenditures at U.P.F. not only reduces the actual competition between families for non-standard items, but actually prevents competition for budget necessities. In effect, the cushion permits the Fellowship to deliver on its promise to provide the welfare level allotment to each family and not to fall below it, as well as to actually decide each discretionary case "on its merits." If the income of the

Fellowship were not sufficient to cover the "welfare" expenses of the member families, the clothing and food allotments, which are not budgeted and therefore removed from the sphere of competition, would need to be continually adjudicated as well as those non-typical needs such as vacations or medical bills which are currently decided upon case by case. Family A might claim it needed its regular food allotment because it had some sick children while other families would receive less than their "share."<sup>4</sup> The factor which prevents this source of conflict is the maintenance of the "cushion" of Fellowship income over total expenditures. This permits the group to actualize its commitment to equality of distribution in budgeted items. The cushion and the commitment to a welfare level of consumption permits the group to decide each non-budgeted case on its merits rather than by economic necessity and thus helps reduce the competition in this area. Clearly, if the members were not confident that the funds were available to meet such expectations, they would be more likely to compete for the needs of their family. (Family A's education vs. Family B's vacation.) The ability of the common purse and welfare spending to support communitarian decision making so that each case is

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<sup>4</sup>Because of the strong communitarian norms of U.P.F., it might be just as likely that Family A would argue that Family B needed extra. However, this is immaterial as concerns the structural conflict that would arise on needs which are presently regularized.

decided on its merits thus reduces such competition by potentially providing both Family A's and Family B's needs.

In summary, U.P.F. is able to reduce potential and actual economic conflicts of interest among its members relating to the distribution of resources. Most important are the common purse and welfare budget which distribute resources to families equally according to levels of "need." The "cushion" permits the actualization of the welfare level and avoids the competition for goods at a new set of priorities below that level. The "cushion" also permits delivery on the corporate commitment to all family "necessities" such as medical bills and on most "borderline" cases which may be decided on the merits of the case, so no conflict is structured in those areas. Finally, the definition of homes and cars as communal property and that of luxury items as subject to individual family decisions eliminates both these areas from the competitive sphere.

#### Comparison to 19th Century Groups

Some Owenite and religious communities of the 19th Century attempted to reduce competition among the members by distribution mechanisms similar to U.P.F.: a common purse, dwellings held in common, and distribution according to need. However, some of these groups apparently failed in eliminating such competition because they failed to achieve a "cushion" of income over expenses with which to support the common purse. Consequently, the members fell into dissension

about the distribution of an inadequate income, a conflict which many of the religious groups and also U.P.F. were able to avoid.

In addition to the lack of a "cushion," most socialisms had little commitment to a low or minimum consumption level. Rather, the members expected to reap economic as well as social benefits. This expectation contributed to a competition for scarce resources. The religious communities, in comparison, often assisted the functioning of the common purse by a commitment to a "minimum" level of consumption, and the maintenance of a cushion of income over expenses, effectively reducing competition.

The Fourierists, in comparison, were not committed to a common purse but rather the "joint stock" principle. They calculated the distribution of the total income to which a member was entitled by adding one amount for the effort he expended and another for the number of "shares" he held. Thus, member A could potentially have received considerably more than member B due to holding more stock as well as working more hours during the accounting period in question. Fourier and his advocates believed that competition would be eliminated or at least harnessed to the benefit of all because A's increase in productivity would result in an increase to all shareholders. Thus, if A labored 100 "units" more than B and each held the same number of shares, A's wages might be \$15 more than B's. However, the "dividends" to both A



and to B might be increased \$3 due to A's extra labor. Thus, B's reception of some of the gain from A's increase in production must be seen to minimize the competition between them. Thus, it is not a simple conflict of interest for limited resources, because what is in the interest of A also benefits B. However, they may compete against one another for increasing resources. This permits member A to retain and thus consume more than member B. In addition, many Fourierist experiments had production difficulties similar to the Owenite groups. The absence of a "surplus" must have generated situations where some members from extra labor and large holdings of stock had more than enough income to meet their needs where others received inadequate amounts. Thus, the fact that A's increased efforts resulted in a differential increase over B may have generated some competition between them, not for limited resources, but for an expanding resource as well as to increase each one's self-identity with his output level and with his consumption rather than with his commonality with his neighbor. This attempt to increase production by harnessing self-interest appears to be a mixed blessing generating some conflict and opening the door for resentment and focusing of member attention and identity on consumption rather than on the common concerns of all the members.

Another feature of the Fourierist groups was the existence of stockholders living outside the group who

received part of the income without contributing "labor" to its increase. This arrangement did actually constitute a conflict of interest, as was noted in Chapter II, for distribution of fixed production. This would be particularly noticed where a member living in the group had only a few shares and depended on his units of labor to support his family. Those "absentee" shareholders who received income only from stock (and those members in the group with large stockholdings) would find it in their interest to distribute more of the production to "shares" and less to labor. The family with few shares would desire more to labor and less to shares. This case illustrates the minimum amount of harmony of interest and the maximum degree of conflict in the joint stock arrangement. The actual practice would not be expected to have been such an extreme condition so that varying degrees of conflict would have existed among the members for an increasing output of labor and the distribution of that output to shares (of members or absentee shareholders) or to labor. These sources of conflict, in addition to the often high expectations for consumption and frequently a low level of productivity, apparently generated much of the discord and shortness of longevity which the records indicate.

The Owenite and religious groups as well as U.P.F., in comparison to the Fourierist conflicts of interest, defined each family as equally qualified for the group

output. This separation of the income of each family from their output eliminated the Fourierist type of competition and, it would be expected, increased the identification of the members with one another and thus the group cohesion, a positive influence on longevity.

In addition, U.P.F.'s unique commitment to the welfare level, and the existence of a surplus such as the religious groups enjoyed, reduces the conflict between members of U.P.F. to a similar low level of the long-lived religious utopias. It would be expected that this reduction in potential conflict would support member cohesion and thus longevity.

#### Summary

In summary, the common purse and its related mechanisms of "welfare" consumption and communal ownership of property along with the income "cushion" do much to reduce structural conflict and competition among the members for limited resources and to help actualize some of the group's goals. The definition of each family unit as equally justified to receive the resources of the Fellowship according to their needs, and the specification of "needs" at a welfare level, reduce any likelihood of competition for necessities. The "surplus" provides for the provision of these "non-budgeted" necessities so they may be adjudicated by the communitarian norm rather than by competition. The definition

of dwellings and automobiles as communal property and the definition of luxuries as family decisions places both out of the group decision making and therefore out of the competitive arena.

The various aspects of the common purse support the desires of the members to become detached from their property so they may identify with people. The common purse, its definition of equality of all in terms of their needs, the common effort to live at a "welfare" level, and the common ownership of dwellings and vehicles (those goods most likely to generate personal identification) all discourage the identification of people with their goods. Consequently, they encourage, by emphasizing the commonness of all, the identification with one another.

In comparison with the Fourierist groups of the last century, U.P.F. seems to enjoy the same reductions of conflict as the Owenite and the religious groups with their common purse, common property, and understanding of equality. In addition, U.P.F.'s unique commitment to a minimum consumption level reduces member conflicts for non-budgeted expenditures as well as to help insure the "cushion" which itself reduces potential conflict by permitting the group to actualize its commitment to treat all members "equally" according to "need."

These factors permit the group to achieve one of its goals, making decisions according to what is "right" rather

than by financial necessity, as well as helping the members become detached from material possessions and identify more with persons.

The result of these influences is an increased group solidarity, a positive influence on potential longevity, a reduction in conflict, and a positive influence on longevity.

The next chapter will focus on social structure rather than the economic conflicts among members. It will attempt to note isolation among the members as well as social conflict, the other dimension of articulation.

## CHAPTER VI

### ARTICULATION OF THE COMMUNITY SYSTEM

Articulation, according to Faunce, is a measure of the "fit" of the members together in the social dimension without isolation or conflict of interest. It is facilitated by adequate social patterns and round of life. It is reduced by a highly developed division of labor and status-power hierarchy and by isolation and conflict of interest among members.

Communitarian groups must provide at least three things to fit their members together and accomplish their common tasks: a cluster of norms to guide the members' interaction and conflict management; facilities or collective patterns to accomplish group tasks, structure the round of life and build group cohesion; and a social structure consisting of some division of labor and some status-power hierarchy to structure the members' interaction and task accomplishment.

According to Faunce, norms, facilities and social structure may articulate the members together as well as create conflict of interest and isolation. Therefore, these must be examined carefully to note any contribution as well as detriment to articulation which they may generate.

The central interaction norms are "openness" and "integrity." Openness refers to the direct communication of sentiments between members; integrity to the equalizing of the members in a dispute as to the management of their conflict and the avoidance of manipulation.

The facilities consist of an ecological arrangement, the "proximity of housing" and five group activities: the "member meetings," the common meals, the "work day," the worship service and the "coffee house." The proximity of housing refers to an ecological arrangement of the dwellings within walking distance of one another. This facilities the several common activities as well as to directly reduce member isolation. The member meetings are weekly gatherings of members for conflict management and decision making. The work day is a semi-weekly gathering to accomplish building projects and maintenance. The worship service is a weekly meeting of religious affirmation and teaching (considered in Chapter III above) and helps reconfirm the common goals of the group. The coffee house is an informal weekly recreational gathering and helps bridge communication gaps with members and local neighbors. These facilities together generate a common round of life which helps articulate the members, reduce isolation and build group cohesion.

However, the accomplishment of these tasks requires additional social structures. The social structures consist of a division of labor and a status and power structure.

The division of labor, according to Faunce, is a horizontal differentiation of members into various role positions to accomplish the tasks of the system. U.P.F.'s division of labor is characterized by a rotating job structure which calls forth various members according to the skill requirements of the task at hand. This helps avoid the permanent placement of members into roles or offices which can isolate members from one another and generate conflicts between role positions. This, in effect, reduces the degree of horizontal differentiation.

A status and power structure, according to Faunce, consists of a hierarchal pattern by which members or officers are accorded differential honor (status) and a differential ability to direct the behavior of others (power). Only four status-power levels were observed at U.P.F. and the significance of these were further reduced by the detachment of the physical symbols of differential status and power from the members. This was accomplished by the communal ownership of houses and cars and the common purse which eliminated the income differential.

According to Faunce such group accommodations (a norm, facilities, and a social structure) may reduce group articulation as well as enhance it. It is increased by an articulating round of life and a norm for conflict resolution. It is decreased by isolation, conflict of interest and a high degree of vertical and horizontal differentiation.



U.P.F. was found to have an interaction norm and a round of life adequate to generate group cohesion, reduce member isolation and provide for the accomplishment of group tasks. These, in addition to the low differentiation of status and power and of division of labor structures, generated a high articulation community system.

These three categories--the interaction norm, the facilities, and the social structure, will now be considered in turn.

### The Interaction Norm--"Openness"

#### The Commitment to "Openness"

The literature of U.P.F. observes that "Christians can live together physically and still have little genuine love for one another." To facilitate the kind of member interaction they desire, the members each commit themselves to what they call an "openness" in their relationships with one another and attempt to structure various occasions to support this quality of interaction. Openness includes such ingredients as interpersonal management of tension, direct communication of sentiments, shared decision making and shared resolution of conflict.

The 19th Century religious utopias apparently had equally effective mechanisms of conflict resolution in their practices of "confession" and authoritarian problem solving models. However, many of the socialist groups had few or

none of these resources, little or no commitment to a common relationship model, few or no group meeting structures for conflict resolution and communication of sentiments, and few, if any, persons with interpersonal skills and training to facilitate group processes in the absence of authoritarian leadership.

U.P.F., with the common relationship model, the group meeting structure, and the dispersed resource persons, has a different but similarly strong mechanism for conflict resolution as did the long-lived 19th Century religious groups and therefore a strong longevity potential in regard to this factor.

In addition, the commitment to openness actually helps to place disputants on an equal footing in a disagreement because of the direct communication of sentiments and group management of tension. These permit a high degree of self-identification of the members with one another which reduces "isolation" between members and thus increases articulation.

This section will begin with a description of the member meetings. This will provide the setting for an episode which reveals the unusual quality and potential depth of relationships structured by the commitment to "openness" and the other facilities. The analysis will also permit the uncovering of the "integrity" model of human relationships utilized by the group and some of the human and structural

resources they assemble to actualize it.

#### An Illustration of Openness

Among the occasions the Fellowship structures are the "member meetings" (so named because non-members do not usually attend.) Meetings of the three small members' groups are held weekly. In addition, all three groups meet together in a monthly plenary meeting to facilitate communication among the three groups. The monthly meeting, like the small group meetings, often starts with a few songs. Victor Verity usually acts as moderator at the monthly meetings. Victor then indicates a rough agenda usually including "items of personal concern" followed by "items of business." The relegation of business to the bottom of the agenda reveals the group's high priority on personal relationships. Victor then asks for items of personal concern.

One particular item of "personal concern" at a monthly meeting reveals the quality and potential intensity of the relationships characterized by "openness." Steven Bush, one of the single young men, was first to break the silence of the group in thought. He indicated that he had worked through a particular "barrier" with Obey Grace. He described how his relationship with Obey had been designed to gain sympathy for himself. Whenever a point of friction arose between them, he had attempted to "shame" Obey into apologizing to him, winning sympathy from Obey and the

others. Obey had continually refused to give in to him, however, and the friction grew between them. He began to see Obey, a somewhat older and more experienced person, as antagonistic and hostile toward him. In the context of the Sherman Street group meetings, where both were members, the friction had erupted into open hostility. The members of the group had enabled Steven to see how he was unknowingly trying to manipulate Obey. "I was acting like a kid," he confessed. The knowledge of his own behavior required him to reevaluate Obey's feelings about him. He had never asked before. Obey had revealed that he "liked" Steven, certainly did not dislike him, but he saw no value to giving in to Steven's desires and continually backing down from his own beliefs or apologizing to Steven insincerely. But his failure to do so didn't mean he was hostile to Steven, he claimed. Steven believed him. Steven concluded his remarks by claiming that he had received a new understanding of himself and a new relationship with Obey as a result of the encounter.

This episode illustrates the concept of "openness" between members which they find so important. It involves the acknowledgement of anger or dissension among the members when it arises, rather than the avoidance of controversy. It assumes that people who are committed to one another and to a common purpose can resolve differences in the context of the group and thus benefit personally as well as benefit

the group.

The members describe their commitment to "openness" in terms of two "barriers" to human relationships: one is "guilt," the other is "judgmental attitudes." The first, they claim, concerns one's own "shortcomings" which one usually tries to hide. "Thus we distort reality and close ourselves off from one another." This would be illustrated by Steven's admission that he continually overlooked his own contribution to any friction between him and Obey, believing the discord was the result of Obey's shortcomings. The solution, the Fellowship believes, is to restore "integrity": to help the person "acknowledge his behavior and himself"--and "to stop hiding and make a start with others in honesty." Obey's refusal to give in to Steven is a reflection of his responsibility to Steven, the group believes. It would have been easier to give in to Steven, but this would have avoided Steven's acknowledgement of his own behavior.

The other focus the group describes in relationships of integrity is "judgmental attitudes." This relates to feelings about "others' shortcomings" while "guilt" is a consequence of "one's own shortcomings." When another person disturbs us with his failures, we maintain a lofty distance, perhaps commending ourselves for our capacity for tolerance."<sup>1</sup> This attitude "distorts and poisons" relationships. The

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<sup>1</sup>"The Way of Love," a booklet written by the Fellowship, p. 6.

members call for "loving admonition in the spirit of genuine humility where another's shortcomings are involved." Where "our own sin" is involved they call for a "confession of failure" and "forgiveness" by the others. "The covenant for Christian disciples calls for constant surveillance against anger and destructive emotions, eradicating them as soon as one becomes aware of them by confessing our wrongs against others; and dealing with conflict of others against us as soon as they are brought to our attention."

The Fellowship commitment to "openness" and this type of interaction presupposes a concept of "integrity in human relationships" and how it may be brought about. The concept comes from Jesus' teaching of his disciples and how they are to live together as found in the Bible, Matthew 5:17-48, usually called the "Sermon on the Mount."

This model involves such ingredients as the interpersonal management of tension, the direct communication of sentiments, shared decision making and shared resolution of conflict. These function together to make the elements of the dispute more visible to the parties so that each has a more equal footing and can avoid a manipulative or superior-subordinate relationship.

In addition to the attempt to make the parties to a dispute equal in their interaction, the model postulates them as equal in a theological sense such as "all are equal in the sight of God." The interpersonal model is actually

a microcosm of the communitarian style of life with its high articulation permitting direct communication of feelings, group management of tension, and group decision making.<sup>2</sup>

Obey's refusal to capitulate to Steven actually reflects this model although it appears to denote a self-interest similar to that of an impersonal social encounter. Obey's refusal reflects the belief that to give in merely to satisfy the outbursts of another is "inauthentic" or patronizing, and, in effect, places the other in a "custodial" relation rather than one of "integrity." The fact that several members work at Brawn State Mental Hospital clearly makes them sensitive to custodial or manipulative relationships such as between staff and patients. (The members also advocate relationships of integrity at the hospital as well as at U.P.F.)

The part the Fellowship played in the relationship between Obey and Steven is unusual also. The group permitted the continued tension between the two for approximately two years preceding the reconciliation rather than separating them or attempting to adjudicate some settlement between them. The members apparently believed that Obey had some validity in maintaining his position. But further, they believed that the two must be permitted and helped to achieve a resolution themselves.

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<sup>2</sup>This is quite similar to the pattern among the modern day Hutterites and Amish. See Loomis, p. 212.

In addition to permitting the tension between the two men, the Fellowship actually encouraged and increased the tension. The continual interaction between the two men, over meals, during the regular Saturday morning work projects, at the coffee house or at worship together, finally brought the friction to a climax. The existence of the Sherman Street group, a group small enough to offer "air time" for all, provided a semi-structured arena where the problems could be brought and discussed. The presence of several people with "helping skills" had influenced the entire group with the "integrity" model. The operation of the small groups in actualizing this model further explains the eventual resolution of Obey and Steven's conflict. Those with professional training initiated the group's response to the conflict, and the others, being less sure of an appropriate course, followed the professionals' leadership. This response consisted of three elements: "listening" to the disputants in attempt to discover the problem, comparing the problem to the "integrity" model to discover alternative solutions, and sharing those findings with the parties so they could more readily understand and select a solution for themselves. These processes were apparently within the capacities of many of the other group members, who, by imitating the professionals, developed the skills themselves and contributed considerably to the resolution of the conflict. Thus, many in the group, having listened and observed



Steven's demands on Obey and having compared them to the "integrity" model had concluded that for a person to desire special treatment and sympathy from another was to desire a "custodial" relationship. Since Obey was not "giving in" and treating Steven in a custodial manner, the conflict was on a responsible course, they concluded, and as long as it didn't become destructive they should best remain on the sidelines. They should offer their observations as to what was "realistic" when asked but basically permit the dispute to continue.

While channeling the dispute onto "realistic" grounds, the group could permit the dispute to run its course rather than forcing a resolution upon the parties.

The commitment to "openness" is thus quite specific since it is grounded in a particular concept of "good" relationships, the "integrity" model. It involves the interpersonal management of tension between members (rather than one taking the "custody" of the other), and the direct communication of sentiments so they can be dealt with by the parties involved. With tension and sentiments in the open, the parties may make joint decisions as to the resolution of issues, thus avoiding the manipulation or patronizing of either of the parties. The openness of the dispute, if it remains unsolved, then permits the resources of the Fellowship to be utilized. The professional and lay skills are then available to help assess the capabilities of the

disputants, to help the disputing process avoid either psycho-therapy or manipulation, and help the principals move toward a resolution appropriate to a collegial relationship. Certainly the length and the intensity of the dispute between Obey and Steven is not characteristic of the daily frictions which develop among the members which are usually of a comparatively minor nature. Rather, it illustrates the outer limit of the range which the group experiences and thus describes the potential threat to longevity. It also illustrates the potential resources the group can bring to bear on such a conflict. The dispersment of professional people throughout the Fellowship, the existence of the three small groups, the commitment to "openness" of sentiments and the interpersonal management of tension implied in the "integrity" model constitute the resources which, to date, have permitted resolutions of lasting quality. There appears little to suggest these resources would not continue to be available in the future.

The next section will describe the activities the Fellowship has structured to facilitate the commitment to openness to accomplish the group tasks and to articulate the members of the group.

#### The Facilities and the Round of Life

Several of the facilities of the Fellowship are influential in maintaining openness: two ecological

conditions: the proximity of dwellings and the coffee house; and four meeting patterns: the member meetings, the common meals, the work days, and the worship services. With the exception of the worship (see Chapter III) these have not been individually examined yet as to their influence on "openness" and the articulation of the Fellowship. This will be the task of this section.

### Eccological Conditions

#### Proximity of Dwellings

U.P.F. acknowledges the influence of territoriality upon the frequency and intensity of the relationships among the members. Each member has committed himself to choosing a "place to live" in relation to the other members.

In the "Way of Love," a short Fellowship pamphlet, the group claims that the choice of a place to live focuses on one of the most disturbing social phenomena of the times: "the thoughtless mobility and ethnic rootlessness of vast numbers of city dwellers." "Men come to the city in search of jobs often without deep consideration of the effect of the choice upon any other than their immediate family--like vast ant heaps." The pamphlet also indicates the importance of members living within "easy walking distance" of each other:

. . . scattered, as many of us are during the working day across the sprawling network of the city, we want to come home in the evening to a neighborhood where we are readily available to each other without climbing

into our cars and going half a city away. We want our children to know in their daily life the reality of a closely knit circle of families and friends.<sup>3</sup>

The Fellowship had succeeded in limiting the member dwellings to a two-block radius (Figure 1) until 1968 when the need for housing for new members overran the availability of nearby housing. They purchased the nearest available dwelling--a four flat apartment building on Elmwood Street, some three and one-half blocks away but still within easy walking distance. The Elmwood location has not created significant problems (this will be discussed more fully in Chapter VII) and the group has been able to continue the frequency and intensity of interaction required by the commitment to openness and group decision making.

Many of the eight dwellings are large enough to house two or three families in separate flats--providing ready access to one another, yet still offering the privacy of separate family quarters (see Figure 1). Several houses are directly adjoining or across an alley from each other providing for ease of contact between the families. These constitute an "across the back-fence" communication system which permits the high frequency and high selectivity of communication which personal relationships require. (The communication system will be discussed below.) In addition, seven out of eight dwellings are within a one block radius. This

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<sup>3</sup>"The Way of Love."

permits a high level of attendance at the weekly group meetings, worship services, "work shop," Fellowship meals and the coffee house.

In supporting the frequency and intensity of members' relationships, the proximity is supporting "openness" directly as well as indirectly by making possible a high level of participation in the other events.

U.P.F. could possibly increase its geographical identity and density by abandoning the family as the basic unit as some of the 19th Century groups attempted. However, this would be expected to generate more difficulties from competing loyalties than the possible benefits it might provide. U.P.F. has apparently structured a sufficient proximity of dwellings, given its urban location and its desire to protect family identity and privacy, to support its life style. The participation of its members in its programs continues at a high level. Also the gossip among the members reveals as many who want more group activities and commitment as who want less activities and more privacy. A rough balance seems to have been obtained between group life and family privacy which would suggest an adequacy of proximity and geographical identity to support the life and thus the longevity of the Fellowship.

Clearly the group enjoys a marked advantage over other urban voluntary associations such as churches whose members may be scattered over a considerable geographical

area whereby face-to-face interaction is reduced to one or a few times per week.

### The Coffee House

The coffee house is an informal meeting place where the members gather once or twice a week in the basement of the house at 716 Urban Place. It began in an informal way as did many of the facilities of U.P.F. Ellen Miracle had been collecting antiques and instruments for several years as a hobby and also learning "folk music." Occasionally she would show the antiques, which were displayed in the basement, to friends. On those occasions they often remained and conversed for hours. Some guests suggested that the atmosphere of the Tiffany-inlaid tables and "coal-oil" lanterns was conducive to conversation and the Miracles began to invite members and friends down for coffee and conversation. Thus the "Coffee House" eventually became a regular meeting place for the Fellowship members on Friday and Sunday evenings. The Fellowship later took the responsibility for hosting the event, rotating the responsibilities among the three small groups. The "hosts" for the evening purchase soft drinks, coffee, and cake; show the guests to a table "as they arrive," introduce them to any visitors, and take their "order" for food and beverage. With a small bit of encouragement, musically inclined guests are persuaded to play or sing. Also some, like Dick and Ellen Miracle, have become quite proficient in the performance of folk music. Often Canaan

Wisdom accompanies them on the banjo, others on the guitar, tamborine and "git fiddle."

Several "underground" newspapers in the area have discovered the coffee house and regularly publicize its "free food, entertainment and conversation." This brings the Fellowship members into contact with a different segment of the city, the under thirty group ranging from young single executives to students and "hippies" from the two nearby universities. Occasionally one of the guests knows some of the members and is surprised to find a hospital or school official or teacher "waiting tables." He may pursue this into conversation concerning the structure of U.P.F. and of Christianity and its beliefs. The members respond openly to questions of faith and commitment. However, though they might be expected to proselytize or attempt to persuade such interested guests to their views, this behavior is noticeably missing. The members appear to feel that such persuasion would violate another's freedom to decide for himself. In addition, they feel confident that if one is interested, the style of life of the Fellowship will be more influential than any attempt to persuade him by argument.

Thus the coffee house became institutionalized as a regular feature of U.P.F., providing an informal setting for members to enjoy one another's company and those of guests, and actually a form of entertainment for the members.

## Group Activities

Member Meetings and Decision Making

The term "corporate" decision making, which the group uses to describe its decision system, has three features: the radical commitment to God's will (norm), the consensus style (process), and the members' meeting (structure). The norm was considered previously (Chapter III) and is indicated here because it requires a particular decision process and structure.

One of the tasks of a high articulation communitarian group is the building of high member commitment. This involves a willingness on the part of the members to consider a wide scope of decisions to be within the range of questions considered and adjudicated in the context of the group. The norm of U.P.F., that of radical commitment, clearly fulfills this criterion. This has previously been described (Chapter III) as meaning a complete and total commitment to God's will in every area of life. This norm requires a unique process-- that of discovering or approximating God's will so it may be followed by the members.

The decision process may be described as a consensus process because it elicits the wisdom of all the members in investigating alternatives. It does not utilize either a monocratic authority figure nor a simple democratic majority vote to resolve issues. Rather it involves a consultation among all the members until a common understanding or



consensus is achieved. This is then understood as the best available approximation of "God's will." The members seldom feel as though a solution has been imposed upon them because they have been part of the consensus. Since a dissenting conviction of a single member represents the potential "will of God," the group must be committed to a careful hearing of such dissenting opinions. It also becomes important to postpone a decision in the face of a disagreement until either the minority or majority is persuaded of the wisdom of the others' opinions and a "consensus" is achieved. This is similar to the process used by some long-lived religious groups described by Noyes which would resolve crises by "awaiting and submitting all minds to the spirit of truth."

The above process may be distinguished from many socialist groups where the majority rule prevailed and the minority were expected to accede to the will of the majority. However, Noyes reported that some socialist groups did not even enjoy a common commitment to the majority rule and member self-interest prevailed instead. Consequently, when a dispute occurred for which there was no ready solution, one faction, believing their interests to be in jeopardy, seceded rather than capitulate--resolving the issue but weakening the group.

These processes of both the socialist groups and some religious groups, whether committed to consensus or majority rule, may be classed as "democratic" in lodging

decision power with the members. This may be compared to certain "authoritarian" religious groups. According to Noyes these groups centralized decision power in one member who was considered to be a "medium" such as "Mother Ann," the leader of the "Shakers." The medium was believed to be led by an "afflatus" or spirit from God. Although the majority or a consensus may have arrived at a decision, the medium or manifestation of the common authority might have over-ruled them on the grounds that the individual will was subservient to the "Divine Will" as revealed through the medium.

In the sense that these religious utopias lodged the final power of decision with a medium, they could be characterized as "monocratic" in power as opposed to the more "democratic" style of U.P.F.

U.P.F.'s consensus rule, in effect, elevates each of the members to the level of a "medium," able to contribute to the discovery of "God's will" and requiring each to attend to the other members as intermediaries of the "ultimate" power, where each dissenting conviction potentially reflects "God's will" and therefore must be given serious attention. In addition, U.P.F.'s consensus rule minimizes the potential domination of a majority over a minority which cut short the lives of some of the socialist groups. The consensus rule, in protecting the members from domination from either majority or monocratic rule, permits a high level of trust by the

members in the decision process and a consequent high degree of investment of the members with one another, and with the group. This would be expected to contribute to longevity in a similarly effective way as the long-lived religious utopias which employed the consensus rule while alleviating the potential domination which the members of the authoritarian groups experienced. In addition, the high degree of member investment and trust in the group generated by the consensus rule should support member autonomy outside the group.

The group's pamphlet, "The Way of Love," reiterates these three dimensions of norm, process, and structure. It claims that the deepest personal relations are founded in the "involvement of men with one another" (the process) in a "search for God's will" (the norm). It pictures the struggle for integrity in human relationships as a "prelude to the search for the communal order (the structure) which "encompasses all dimensions of life."

The members cite various social influences in explaining the importance of their commitment to corporate decision making. They talk about a "personal isolation" of many city dwellers which demands that they must make many of their critical decisions "alone, unsupported and unchallenged by anyone else." They picture the growing network of institutional counseling services as a direct reflection of this isolation.

They claim that the decision making burden upon the

individual family creates either frustration or apathy and consequent susceptibility to changing mass opinion. They point also to the breakdown of marriages in the nation's cities as another consequences of this isolation in decision making.

They take for their own decision-making model Jesus' description of his family as "those who do the will of God, they are my mother and my brothers." They note that Jesus claimed that God would be present to give guidance "among those who gather in his name." (Matthew 18:20) They desire to support one another in a "closely knit community of families" which they claim is a more adequate context than the "solitary family."

Due to the corporate nature of both the group's continual search for God's will and its attempt to actualize relationships of openness, a considerable burden and importance is placed upon the decision structure. Since the members' meeting is the central structure, its adequacy is singularly important to the group.

The central structure of the decision process has been noted as the "member meetings." Each of these subgroups has a weekly meeting for its members. Once a month all the members meet in a monthly plenary meeting. At these four meetings the decisions of the group are adjudicated and the group's conflict management takes place. In effect, this is a decentralized structure because the main decisions are

made in the sub-groups rather than the monthly plenary meetings. The background of this decentralization illustrates the evolution of this structure.

Originally the few families which comprised U.P.F. found a single weekly meeting adequate for their personal and corporate business when supplemented by their contacts across the "back fences" and at the common meals, worship service and coffee house. However, by 1968 the thirty-six adult members and twelve adult candidates were too many to sustain the openness and personal nature of the weekly meeting. The group, after much experimentation, evolved a decentralized form of "member meeting" which quickly established its value and became institutionalized. Three smaller groups were formed by people who resided around logical meeting places-- the "coffee house," the "house on Sherman Street," the "house on Monroe." The naming of each group by its location was also a natural and logical consequence. Each group met once a week and every month all three groups would meet at the original location on Urban Street to deal with concerns affecting the entire Fellowship.

One of the benefits from the decentralization was a diffusion of leadership. This was accomplished not only because the three original members, Victor Verity, Joshua Leaven and Canaan Wisdom, were split each into different groups, but also because none of the three accepted a formal leadership role (moderator) in their respective groups and

three younger men had to be selected.

However, the main benefit of the decentralization was the restoration of the feeling of personal contact which permitted the "openness" and also the communitarian decision making style to which the Fellowship was committed. With approximately fifteen members in each of the three groups, as compared to approximately forty-eight in the single meeting, the possibility for higher frequency and intensity of interaction which the group desired was restored.

#### Common Meals

The community holds a common meal once or twice a week. The "chores" of seating, serving and cooking for seventy-five adults and children are sizable jobs. The Fellowship, in attempt to spread the work, rotates the tasks among the families of the three members' meetings. The working members arrive home from work at various hours and are usually given the clean up tasks while the women who are home in the afternoon prepare the food. It is usually tasty although budget limitations dictate simple dishes.

Although the meals provide a convenient vehicle for meeting guests, the central function is to provide a time for members to visit with the members they have not seen during the preceding week. Also, it is probably not an accident that the common meal follows the Biblical precedent of Jesus relating to his disciples over the evening meal.

The "Work Day"

The work day accomplishes several benefits for the Fellowship. It accomplishes needed repairs on the buildings at low cost. Because the members do the work, it also generates social benefits; it reduces differential status, increases the identification of the members with one another, and reduces isolation.

The "work day" is held every other week, usually on a Saturday since the majority of the members are available on that day. During the last few years the "work days" have been devoted to the refurbishing of recently purchased dwellings to accommodate new members. Often the buildings are in poor condition due to multiple residency. The city council is usually pleased that U.P.F. has purchased the property since they frequently convert it to single residency if space permits. However, it is not unusual for the building to require new electrical wiring, painting and plastering and occasionally new flooring, heating or plumbing. The work had become so extensive of late that one of the members, Donald Grant, resigned his "outside" job in order to utilize his full time efforts in coordinating and accomplishing repairs. In addition to the "work day" the members frequently go to the current project on week nights for an hour or two after the evening meal to paint or strip wallpaper.

The common work not only conserves the funds of the Fellowship but provides a highly visible and cooperative enterprise through which the group can visualize its solidarity and achievement. It provides an arena where the professional and the unskilled must struggle together. The commonality of each to the "cause" is visible and the distinctions between them are diminished. Aside from Donald Grant, who is "in charge," the one who can "plumb a joist" or "sweat on a fitting" is the expert and the "leader" of the effort. Of less importance, the work also becomes another contact where communication takes place between members who have not had contact during the week. In addition, it is one of the more visible and explainable ways for the children of the members to understand the communitarian enterprise and to make a meaningful, if limited, contribution to it themselves. Occasionally a child who understands his father as somebody "important" in his job notes that he is "all thumbs" with a hammer and chooses to relate to another parent who has more skill and patience to share with him in that situation. The father is relieved of trying to be "all things" to his son and is usually grateful to share some of the responsibility of parenthood with another member. The child sees that the group effort helps relieve his parent of being all things: breadwinner, husband, carpenter and teacher of skills to his children, and notes this as one of the benefits of cooperative enterprise.



For the same reasons the common work is so visible for the children of the members, it is a natural place for guests to get the feel "of the Fellowship." The high visibility of the common task, the common rank of the members, the sense of group accomplishment and an ease of participation are benefits more available at work than almost any other group activity.

Finally, the work provides a latent benefit for the members, that of a relief or contrast from their weekly activities where considerable amounts of their efforts both in their vocation and in the Fellowship are directed toward other persons and may be the source of intense feelings. The work, however, is clearly goal-directed rather than person-directed. Also, many vocational problems are intangible and long range in nature such as how to affect change in the mental hospital, or how to design more effective ways for the youth to participate in U.P.F. In comparison, the work days provide short range, direct and tangible problems and often, because a group is working together, immediate, surprising and gratifying results. Thus the work day, besides the physical benefits to the group property and emotional benefits of intrinsically rewarding tasks, actually contributes to the social structure in three ways: the reduction of differential status among members, the identification of the members and the bridging of isolation among members. In increasing cohesion, these support longevity, and in decreasing isolation, they support articulation.

In comparison, the common labor of 19th Century groups resulted in both positive and negative consequences. The rural utopias experienced the advantage of the common visible enterprise and equalitarian structure as does U.P.F. However, the immediacy of the results at U.P.F. contrast with postponed benefits of agricultural yield. Also the avocational nature of the work at U.P.F. may be contrasted with the vocational position of agriculture as the sole source of support for the rural group. Errors in an avocational enterprise seem less disastrous. Thus U.P.F. enjoys a fairly relaxed atmosphere on its work days and more easily tolerates the errors of learning on the job of its less adept members, children and guests. Then the work itself may be seen by all as interesting, enjoyable and something of a challenge.

The vocational nature of the work of the rural groups made the penalty for inexperience and experimentation quite high and would have been expected to generate considerable anxiety. Also the work did not present the appeal of a contrast or relief from other required tasks, as does the common work in U.P.F., but rather constituted the task upon which the survival of the group depended. In addition, the U.P.F. members enjoy considerably greater vocational selection in the urban job market. Thus the avocational nature of the U.P.F. work may be counted as an advantage in that it avoids the possible anxious quality, limited opportunity and postponed benefits of an enterprise upon which the survival of

the group depends.

The common work at U.P.F. may be compared to the modern truncated family unit. The U.P.F. common work offers the advantages of higher specialization than such a unit. Those who know plumbing and carpentry at U.P.F. may direct the others and be teachers to the young apprentices. Others particularly versed in skills of child raising, finance balancing and automobile repairing are expected to become the authorities in those areas. This contrasts with the truncated family where husband and wife are expected to have obtained between them considerable skill in the manual arts, child discipline and learning, marital relationships and fiscal affairs. The failure in any of these areas may jeopardize the family stability and necessitate the consultation of an anonymous expert at considerable expense.

However, U.P.F. must pay a penalty for this specialization: that of a decreased overall articulation (as will be seen later in the chapter) which is caused by a horizontal differentiation among members. However the small size of U.P.F. permits face-to-face relations to prevail between the differentiated members. Thus a very small penalty in articulation is extracted as compared to a 1,000 member group such as New Harmony or a modern Kibbutz of similar size.

#### The Worship Service

The group worship service, it has been noted in

Chapter III, is the source of some of the normative content for the group such as the justification for viewing the world as important and worthy of the radical discipleship of the members. In addition to this normative role, the worship has a social function of reconfirming the common commitments and efforts of the members. One of these is the commitment to "openness." This is reconfirmed for the members by the frequent retelling or dramatizing of the stories of Jesus--especially those personal encounters which illustrate the "authentic" nature of relationships.<sup>4</sup> The stories not only confirm the rightness of radical commitments to others but the commitment to "openness" among the members as well. They also provide a model of authentic and person-centered relationships for the members both as they relate to one another and as they interact with colleagues or clients in their vocation.

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<sup>4</sup> One such story concerned the Gadarene Demoniac, a youth of Gadaria said to have been possessed of demons. He challenged the relevance of Jesus' message in a world where profit was more important than people and hinted that his insane behavior was due to his abuse at the hands of the "establishment." Jesus replied that one single person was more important than all the profit of the establishment and drove a valuable herd of sheep to their death over a cliff in demonstration of his disdain for the establishment and concern for the boy. The boy responded, gave up his insane behavior and followed Jesus. Mat. 8:28-34, Mk. 5:1-20, Lk. 8:26-39. This interpretation is that of the late Dr. Clarence Jorden of Koinonia Farm, Americas, Ga., and used at U.P.F. for study purposes.

Institutionalization and the Round of Life

Each of these facilities, the member meetings, the common meals, the "work days," the worship services, and the development of a coffee house reveals a process of institutionalization.<sup>5</sup> This process begins with a search for some mechanism to satisfy a need such as for fellowship, for repairs to buildings, for confirmation of common beliefs or for recreation. Next the group experiments with various solutions. Those which most adequately fulfill the needs become regularized and those which do not work are discarded. This is similar to the character of the planning for the worship service (noted in Chapter III) where the relevance of the service to the learning and commitment of the members determined the worship rather than traditional or liturgical precedent. The same planning process is observed in experimenting with other facilities.

The commitment to "openness" in personal relationships provides the norm against which emerging structures are measured. If the structures satisfy the needs and facilitate the quality of relationships to which the Fellowship is committed ("the integrity model") they are retained. This, in turn, generates the attitude in the members that they are "in control" of their structures rather than the structures being dictated from some previous tradition and

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<sup>5</sup>W. G. Sumner, Folkways (New York: Dover Press, 1906).

controlling the lives of the members. This reduces the alienation between members and structures. It permits also their identification with the structures and thus supports group stability and longevity.

Consequently it may be said that the institutionalization process itself has become subjected to the communitarian norm and the integrity model of relationships. The result is that not only do the members feel that they control their structures, but, to a considerable degree, they do design their structures with the result that the structures reflect the values of the group rather faithfully and generate a well-articulated round of life for the members.

This round of life may be contrasted to the highly specialized nature of modern urban facilities. Entertainment may be provided by a theatre, "worship" by a church, and repairs by a plumbing establishment. Each facility provides only the single service--that of entertainment or of "worship" for an urban family unit. In contrast to this, the various facilities of U.P.F., the worship, meals, coffee house, work, and member meetings, generate overlapping activities for the members and directly generate a high articulation or close "fit" of the various activities with each other. For example, a member and his wife during the course of a week will likely go "next door" to a small members' meeting where they will deal with matters of both a personal and a practical nature in their lives and in those of the group. They will also

likely go to and from the meeting with several neighbors from whom they might also solicit advice and help during the week. They will later go to the home of another neighbor with their children and some of the same friends and help with repairs. The home in question will be jointly owned by all so the repairs will benefit all. Another evening they will go down the street with their children and neighbors for a group meal and visit with other members of the Fellowship they may not yet have encountered that week. After the meal they may help clean up with some of the same members and then cross the street to the coffee house to continue their conversations or to visit with some guests while a son or daughter of one of the members takes their children home to babysit. Finally on Sunday morning they will go down the street with several neighbors and their children to worship with all the members and several guests. After sharing part of the worship with the parents, the children will go with various other neighbors for an educational period. The parents will focus on the meaning of their life together throughout the week and its responsibilities and difficulties. They may continue an interesting discussion that afternoon over the back fence. Monday morning the husband will leave his family and the Fellowship milieu to travel to work. However, he likely will go with several other members, either to the same employer where they may share common problems, or to the same section of town. They ride in a car owned by all. When they receive

their paycheck they will give it to a neighbor who later in the week will give them their allotment which is similarly difficult to "live on" for all.

In comparison to the 19th Century utopias, this overlapping of activities in the common round of life was not unusual. In fact, those groups were perhaps more intense than U.P.F. because they were usually isolated and the working members did not depart for an anonymous job milieu of low articulation. The penalty for this isolation would be expected to be a lowering of the individuation required for autonomy even though the articulation of isolated groups would be higher than U.P.F.<sup>6</sup>

A modern urban family's worship, work, entertainment and family life may each involve entirely different institutions, roles and people, none of which overlap with the other. The U.P.F. milieu, in comparison, may be said to constitute a highly overlapping round of life activities therefore providing a highly articulated environment to support the members' personal autonomy in their vocation.

The Social Structure: Status, Power  
and Division of Labor

This section will describe the division of labor and the status-power distribution of U.P.F. First it will

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<sup>6</sup>An exception to the high articulation of isolated utopias would be New Harmony, which, due to its size of 1,000 members, probably had a lower articulation than the smaller groups.



describe the communication channels. These give rise to four status-power levels and a division of labor. These will each be examined in turn. These yield a measure of the degree of vertical and horizontal differentiation as well as an account of those role positions which are in conflict or are isolated from one another. Together these constitute complementary measures of articulation which, when added to the economic conflicts of the previous chapter, will provide rather complete descriptions of the articulation of the Fellowship.

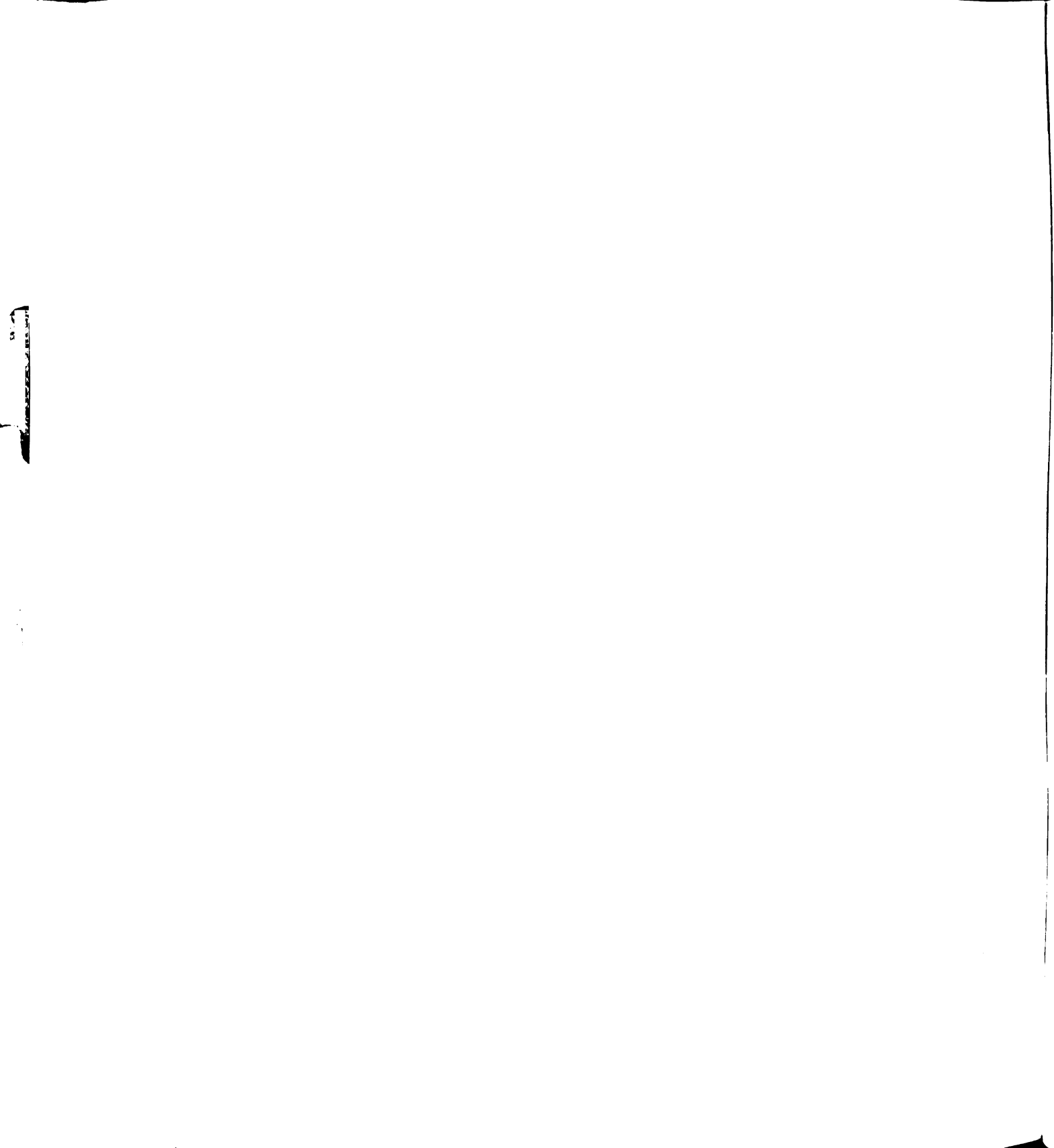
#### Communication Channels

Several communication channels or nets are in operation at U.P.F. These are as follows: an authority net, information exchange nets, task expertise nets, friendship nets, a status net and liaison nets.<sup>7</sup>

One communication network observed at U.P.F. is comprised of friendship nets. These connect various clusters of friends but particularly serve to carry messages to and from some of the more peripheral members who are not as actively involved in some of the other nets and thus to link these members to the decision making nexus. But more important, the friendship nets help generate personal knowledge of the members about one another which facilitates decisions

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<sup>7</sup> Guetzkow's six types of communication channels are used here to examine the communication flow of the Fellowship. Harold Guetzkow, "Communications in Organizations," in Handbook of Organizations, J. G. March, ed. (Chicago: Rand McNally & Co., 1965), pp. 534-574.



concerning individual members and their needs.

Similar to the friendship nets but reaching farther out to include outside social systems are the "liaison nets."<sup>8</sup> These are populated by "marginal men," who are constituents of both structures and thus serve in a liaison capacity.

Several channels serve the function of information exchange. They function to feed both relevant information and new problems of concern into the central decision making apparatus and into the task expertise nets as the information becomes necessary.

The task expertise nets consist of highly segmented and sporadic communications between members with special skills and tasks to accomplish. These provide special information or skill to either enlighten pending decisions or to carry out past decisions.

The authority net originates with several formal roles such as moderator, worship leader, and finance committee member. (These will be described in the status-power section.) This net is highly directional in that it carries group decisions out to particular members such as those who are absent from a meeting. It also carries information regarding compliance with directives back to the formal role incumbents. This function is not as vital as in

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<sup>8</sup>These are closely related to the systemic linkages listed in Chapter IV. Chapter IV was a system analysis. This focus is upon role and communication.

bureaucratic structures where a few administrators make decisions which must be disseminated to all the relevant personnel in the organization. The usual decision making situation at U.P.F. finds most of the members who are affected by a decision present at the meeting. Still U.P.F.'s authority net informs absentee members and carries supervisory and follow-up messages in the process of carrying out the decisions.

A final communication channel constitutes a status net. This net is associated with visible symbols which bestow distinction upon some members of the organization. As has been noted, the establishment of differential standing is contrary to the ideology of the Fellowship and the group had selected structures to block the formation of many symbols necessary to support such a net. However, status is accrued to the individual members by acts of service and leadership and by the offering of expert information. (The status structure will be described in the next section.)

The status nets function behind the scenes carrying information, plans and influence among the informal leaders and between the informal leaders and the members.

These six communication nets help support status-power and division of labor levels which may be described as four structural levels of influence. These are from lowest to highest: (1) the members, (2) the leaders who have "expert" knowledge to offer on specific tasks, (3) the formal leaders

who occupy defined positions in the influence structures,  
(4) the informal leaders who derive their influence from formal role positions and various status sources combined.

The six communication nets support the four influence levels as follows: the friendship nets function primarily on the member level. The liaison nets function on all levels but most clearly on the expert, formal and informal levels since these marginal people who have valuable skills and information are utilized most distinctly on these three levels. The task expertise nets function primarily on the expertise level (second level). The information nets support all levels since all levels are engaged in decision making. The authority net corresponds to the formal leader level (third level). Finally the status nets support all levels but most uniquely the informal leader level which is based (as will be seen below) on formal roles and status combined. Each influence level will now be examined in turn.

#### Influence Level #1--Members

The lowest denominator of influence is the status of "member" which is accorded to all who commit themselves to the disciplines of the group, as well as to "intentional neighbors" and other candidates for membership. The division of labor on this level with reference to ascribed characteristics very nearly approximates that of middle class urban society for two reasons: (1) the urban location of U.P.F. generates a high level of cross boundary travel between the

group and the city, (2) the group protects the family unit by providing family dwellings and budget and in effect further encourages family "role sets" from middle class society. Thus the roles of husband/wife, father/mother, male/female and adult/child/sibling are in evidence and are supported by resources much as in modern urban culture.

In regard to personality characteristics, however, some distinctions from middle class society are evident: cooperation is consistently honored over competition; the giving of "orders" as associated with "line" leadership is avoided for a leadership-by-example; the group-oriented person who might postpone his own comments so others could talk would be honored over one who needed to direct the attention of the group to himself or over "task-oriented" members who might override another's comments to "get the job done."

In addition to ascribed roles and personality differentiations, several divisions of labor exist on this lowest level which reflect simply the needs to accomplish different tasks. All of these reflect no higher level of skill and thus can be rotated among all the members. Consequently they carry little or no additional social honor except the appreciation which comes from accomplishing a task which benefits the entire group or from doing it particularly well. It is noteworthy that the equality concept dictates that all the members from highest to lowest status

share in the performance of these more menial jobs. Also, one who performs the task poorly (below the informal norm of performance) would correspondingly be subject to mild sanctions in the form of suggestions by some of the other members or a group moderator that they perform more adequately in the future.

Some of these tasks are as follows: weekly cleaning of the first floor of the central meeting building at 727 Urban Place (meeting room, kitchen and bathroom), hosting and preparing the weekly community meals, and hosting and cleaning up the "coffee house."

#### Influence Level #2--The Expert and Communitarian Authority

Several kinds of tasks performed at U.P.F. generate needs for various skills among the members. Most of these skills are not embodied in specific role positions but may be contributed by anyone capable of doing the task as the occasion arises. These may be identified as follows with the most important first:

1. Theology skills and training are highest in social honor because of the normative need of the group to discover "God's will" for decision making, planning and leading worship.

2. Individual "helping" skills reflect the "integrity model" and involve helping an individual assess his own progress of direction even though they may be offered in the

context of a group. These are: "listening" for problems, helping the party identify problems and helping them identify and select solutions in comparison to the "integrity model."

3. Group process skills consist of helping a group accomplish its ends: setting an agenda, identifying problems and solutions and selecting solutions; also helping the members contribute to the group by "gatekeeping" and reflecting group progress and processes so the group can periodically assess its progress and redirect its efforts.

4. Organization skills involve gathering resources of funds and people to meet group needs such as in establishing the coffee house.

5. Financial skills involve decisions such as purchase of buildings and budgeting.

6. Handicraft skills are used for repairing the group's dwellings and vehicles.

7. Music skills are used for worship and entertainment.

Each of the members, as he utilizes these skills in behalf of the Fellowship over a period of time, is accorded a degree of social honor. The skills are not vested in a fixed role but are elicited from the members according to a variety of occasions. The group accords honor as the skills become visibly employed. For example, the group process, organization and financial skills are most obvious in the decision making and tension management processes of the



member meetings. Handicraft skills are most evident in the work days. The music and theology skills are most unique in worship although members with theological training are looked to for leadership in normative decisions as well.

This process of using "expert" or "collegial" influence has important implication for the group which can be seen more clearly in comparison to bureaucratic practice. In U.P.F. these skills are not vested in an organizational role position or "office" in which is vested administrative authority as in bureaucratic custom. Rather the group elicits the various skills from its "experts" according to the problem at hand. The experts then are granted a communitarian authority or influence in the area of their competence and corresponding social honor during the discussion of that topic. After the issue is resolved the authority and the honor may pass to others. Another distinction from bureaucratic practice is that the "expert" is not limited by formal rules to a specified range of activities according to the job description of an office. Rather the communitarian pattern elicits his skill and grants him authority in every area in which he has competence. As a consequence the communitarian pattern offers no specific reference such as an "office" to which social honor may be affixed. Rather the status accorded by the group must be accorded to persons not offices. It is also a fluctuating commodity, given first to one in relation to one problem, then another for a different problem.

Both the rotating nature of the expert communitarian authority and the non-formal and flexible nature of the performance of the communitarian experts tends to retard the linking of status with a role position. Rather the social honor for performance becomes detached and flexible and diffused and reduced in intensity, in effect reducing the status distinctions between the members and thus the isolation.

Another factor which diffuses status and detaches it from role positions is the "common purse" with its corresponding facility "common property." The common purse, in equalizing the income of the members, removes one of the usual social mechanisms for supporting differential status, that of differential rewards. The common purse and common property remove the possibility of some members receiving a visible differential reward such as house, car or income.

Both the rotating nature of the expert authority and the lack of economic support for status distinctions effectively reduce possible status conflicts among the members. The expert authority reduces the competition for a single "office" or role position by diffusing it into a rotating expert authority. This creates a non-zero sum game or an expanding status base avoiding a direct conflict of interest although permitting an indirect and less damaging competition for expanding resources. In comparison the fixed number of "offices" in a bureaucratic organization creates a zero sum game and thus a direct competition among members for

limited resources. Also the lack of economic support for differential status reduces the intensity of any competition. The common property (dwellings and vehicles) removes these from the competitive sphere and the common purse removes all but a small amount of the budget funds from possible support of status.

Thus two strong supports for status differential are removed: fixed "offices" as location for status, and consumer goods to make status assignment visible. Consequently, members, to accumulate status, must continually merit it by expert performance. They must hope that others will remember until the next encounter and accumulate status in their minds for that member. The result is a diffusion of status assignment to many members, a reduction of the accumulation possibilities of status due to the temporary character of status assignments, and a reduction of status conflict among members.

The diffusion of status among many members and the difficulty of status accumulation reduce the status range (vertical differentiation) and thus the possible isolation between members. The reduction of isolation and of conflict of interest contributes to a high degree of vertical articulation. The rotating and flexible nature of the expertise division of labor reduces horizontal differentiation because all members are potentially capable of contributing to the current task rather than just those appointed to a particular "office." This reduces isolation on a horizontal plane and

contributes to horizontal articulation.

### Influence Level #3--Formal Roles

This level consists of the few formal role positions which U.P.F. has found helpful. These center around the "member meeting" structure because it most needs the regularity offered by an "office." The most important "offices" are four "moderator" positions, one for each of three small groups and one moderator of the monthly member meeting.

The three small group moderators, as was noted in the previous section, were not selected from among the informal leaders so that power and influence would become more diffused among the members. Each moderator is granted a rather limited power to protect the power of the members. Specifically, the moderator is expected only to perform the usual duties associated with the term: convene the meeting, call for the minutes of the previous meeting, offer an agenda, help the meeting progress from item to item until the agenda is completed and call for adjournment of the meeting. Each action of the moderator is also subject to the will of the members and frequently the members themselves perform these functions informally. It is true that moderators can accumulate considerable power by default from apathetic members especially if they have considerable informal or charismatic power. However, it was noted in the previous section, that since the informal leaders did not accept moderator roles

when the decentralization occurred, those selected had little informal power to add to that limited influence of their position. Thus little use of inordinate power by the three moderators was observed and this fact plus the omission of any viable economic rewards or sign of power or status indicated that little more status was assigned to the three moderators as a result of that "office."

The same was not observed with regard to the moderator of the monthly meeting however. A meeting of fifty members requires considerably more external direction than one of ten members and this may best be provided by the moderator. Also status and power are associated with the size of the collectivity one directs and therefore the monthly moderator, presiding over the entire group rather than merely part, wields more power and is granted more status than the small group moderators.

In addition to the four moderators, several other formal roles are utilized at U.P.F. One of these is the "work supervisor," Donald Grant. Donald is considered to be the coordinator and "boss" of the "work day" activities and any other efforts to repair the Fellowship property. He is also the only member whose Fellowship role requires full time efforts. However, because the authority of the role is limited to a peripheral aspect of the life of the Fellowship, little differential status is accorded him in that role. One other formal role is evident at U.P.F., that of the

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members of the three standing committees: the financial committee (discussed in Chapter IV); the coordinating committee which acts as a clearing house for scheduling, planning and publicizing of group activities; and the transportation committee which purchases, repairs and schedules the five Fellowship vehicles for maximum usage. These committee members have a limited authority to act in behalf of the group within defined boundaries. Their authority is only advisory and subject to confirmation by the Fellowship members. Also their office is not as visible as that of the four moderators and thus they are accorded only limited status, roughly comparable to the three small group moderators, more than the work supervisor, but less than the moderator of the monthly meeting.

#### Influence Level #4--Informal Leaders

A few informal leaders exist at U.P.F. who have accumulated influence from several sources but the unique dimension of the informal leader is his age and seniority with the group. Jessie Mission, before he left to start another group, had been, by virtue of his position as founder, the most influential member of U.P.F. In addition, he assumed the formal responsibilities of moderator of the single member meeting (before the decentralization) as well as enjoying nearly all of the skills and many of the personality traits for which status was accorded. Consequently, he employed considerably more influence than any other of the informal

leaders. Still this centralization of influence and status was limited by the common purse and its removal of differential rewards as well as by the commitment to the shared communitarian and expert authority. The group's commitment to the shared authority is witnessed to by the omission of even a title for the informal position which Jessie Mission enjoyed, such as "elder," "spokesman" or "leader." The only term used was "moderator" which reflects only the limited formal role in connection with the member meeting.

The decentralization into three member meetings, the appointment of new moderators from outside the ranks of the informal leaders, and the moving of the Mission family all generated a considerable diffusion of influence and status as was noted.

Victor Verity emerged as the new informal spokesman. He had been one of the few original members in the experiment although he had been a student and therefore younger at the time. He also enjoyed most of the skills afforded status by the group including that of theology, which appears to be the single mandatory skill required of the spokesman (due the centrality of the group's commitment to approximate and live by "God's will" for their lives). In addition, he embodied the personality characteristics valued by the group. Thus, he naturally took over the most important formal role, the moderator of the single monthly meeting, after Jessie departed. Because of this he apparently avoided the



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moderatorship in the small group of which he was a member.

In addition, a coincidence caused a slight departure from usual housing practice and enhanced Victor's status as spokesman. The Verity family was "next-in-line" for the first house open because they required more room for their family. The next dwelling to be vacated was the Missions'. Thus Victor, who took the role of formal and informal leader of U.P.F. after Jessie left, appeared to move into Jessie's dwelling as a consequence of his new leadership status--a practice contrary to the concept of common property--giving Victor some apparent additional increment of status.

In fact, however, the house offered no benefits over those of the other members, and coupled with the formal and informal diffusion of power and status associated with the decentralization into three member groups, actually a considerable reduction in differentiation had occurred in the Fellowship as a result of the decentralization and also the Missions' departure.

The other informal leaders held their positions by virtue of combinations of age, seniority and useful skills. Also, most had responsibilities on one of the standing committees in addition to their informal authority. However, their decisions to avoid filling the three newly created moderator roles themselves provided for the added diffusion which came from the selection of three new moderators.

The widest vertical differentiation is clearly

between Victor (whose status and power are combinations of the formal, informal, and skill status) and the few new members who have yet accrued only little power and status from the skill and personality traits which they bring. Victor's status is clearly ameliorated by the absence of any visible signs of status plus the considerable diffusion of influence into the three small groups.

Using a primitive culture such as Faunce's description of San Miguel as a comparison, the range of status-power between the village elder and the undistinguished peasant would be greater than that between Victor and a new member of U.P.F.--especially after the decentralization. This is primarily the result of the detachment of status and powers in U.P.F. from visible symbols but also due to the rotating nature of the communitarian expertise authority and the decentralization into three member groups. Since Faunce judges San Miguel to be of low vertical differentiation in comparison to industrial society, U.P.F. could clearly be said to be of low status and power differentiation also and thus of low isolation among the members in a vertical plane.

However, the horizontal specialization of U.P.F. is somewhat higher than the simple role specializations of parent, mate and farmer which Faunce noted in San Miguel. The range of skills observed in U.P.F. are considerably wider, from theology to finance. Still two factors ameliorate this. The first is the location of the skills in the

various actors rather than in fixed "offices." This generates the rotating authority structure which encourages each member to contribute his talents to the area at hand--his interpretation of a Bible passage or his understanding of the process of the group at the moment. The second factor which reduces the horizontal specialization is the "common sense" character of the group's required skills. Rather than a sophisticated or scientific area such as molecular biology to which a "lay" member could contribute little, the ethical and people-related tasks of U.P.F. call forth a variety of lay skills. Many of the small group members were observed to have mastered the "integrity model" of helping relationships by imitating the professional members, and many members had also a considerable grasp of theology in scope and depth. Both the rotating authority and the common sense skills encourage the members' skill contributions and the lack of visible symbols associated with member skills reduce the horizontal range considerably from what it appears to be. These factors permit a description of U.P.F. as having a low degree of horizontal differentiation in comparison with industrial society and thus a low degree of isolation between the members.

One of the more visible consequences of the low degree of member isolation and conflict and high articulation is a relaxation of tension and anxiety in the members and in their relationships with others. This relaxed atmosphere is

manifested in various ways such as the group's willingness to postpone business for personal matters. This may be illustrated by an incident involving two of the members, Patricia Beacon and Victor Verity. They had just left an evening painting crew at the Elmwood property and were on their way to a financial committee meeting on Urban Place for which they were already late. Yet they still had time to stop and discuss a personal problem with one of the members for fifteen minutes. None of the committee members were upset when they arrived twenty-five minutes late--they explained what had happened and proceeded with the meeting.

Another observable consequence of the relaxed atmosphere is the members' willingness to wait for others. This is illustrated by the members in a group meeting waiting in silence for what seems an interminable period for another to gather his thoughts or courage to express a "concern." Still another reflection of the relaxed atmosphere is the ability of the members to accept mistakes and shortcomings of others without undue condemnation yet with appropriate admonishment. Jacob Deed claimed the group's ability to accept shortcomings was quite different from the group to which he had previously belonged which roundly condemned mistakes. He claimed this was because the U.P.F. people were not "uptight." Also U.P.F. had less vocational anxiety, he observed, "money is not the big concern in the job nor is rising to the top. The question is whether you like the job and are doing something

valuable for someone else. So you don't have to sell your soul to the boss." Obey Grace claimed that anxiety was reduced in many of the members because they have resolved many of their problems with themselves and were able to relate in honest ways with others. Steven Bush acknowledged that he was much less anxious now than before he lived in U.P.F. because before he was "always concerned about himself." Obey also felt that the "consensus" style of leadership reduced anxiety. A group would remain and continue to explore an unresolved issue until all arrived at some common understanding. Occasionally the group would leave without having reached a decision, rather than make a choice which would do violence to a member's convictions.

Reba Ann Beacon, Patricia's sister-in-law, claimed that U.P.F. had a "fast pace of life but yet it was not anxious." "Some people have to regulate themselves because there are so many projects and relationships going on--you just cannot do everything--but it is not anxious activity." The willingness of the members to interrupt a task for personal concerns, their ability to wait for others, the relative acceptance of members' shortcomings in comparison to other groups, the reduction of job anxiety, all these appear to reflect the reductions of isolation and conflict among the members and the high articulation of the group.

U.P.F.'s high articulation is not unique, however. The advantage of relatively low vertical and horizontal

differentiation was shared by many of the religious and Owenite experiments. However, the Fourierist groups with their differential rewards would be expected to have supported a higher differential status structure and thus generated more competition and isolation among members.

Although U.P.F.'s low vertical and horizontal differentiation is not an advantage unique to the utopian experiments, the combination of the low differentiation of a utopian group in the midst of an urban or highly differentiated location is a unique advantage and creates the unique possibility of both high member individuation as well as high member autonomy.

#### Summary

Articulation, according to Faunce, is a measure of the "fit" of the members together in a social dimension without isolation or conflict of interest. Communitarian groups must provide at least three things to fit their members together and accomplish their common tasks: norms, facilities and a social structure. However, according to Faunce, these three may create conflict of interest or isolate the members from one another as well as articulate them in the common enterprise. Therefore, each of the three was examined to note contributions as well as deterrents to articulation

U.P.F.'s central interaction norms, "openness" and "integrity," were comprised of such ingredients as interpersonal management of tension, direct communication of

sentiments, shared decision making and shared resolution of conflict. The openness permits the professional and lay skills of the group to be activated on behalf of the parties.

Also the degree of equal footing among the members structured by the integrity model and the commitment to openness was judged to permit a high degree of identification of the members with each other and thus reduce psychological isolation and increase articulation.

The facilities consisted of two ecological arrangements and five group activities. This ecological arrangement, the proximity of housing, supported the various group activities by increasing member meeting attendance as well as directly reducing member isolation by the nearness of housing. The other arrangement, the coffee house, bridged communication gaps and offered group recreational opportunities.

The five group activities each contributed to an articulated round of life for the members. The member meetings were the arena for the consensus type decision process and interpersonal conflict management. Also, the decentralization into three small member meetings helped preserve the personal nature of decision and conflict processes, reducing member isolation and increasing member solidarity.

The other facilities were as follows: the work day accomplished the group's maintenance and provided visible and immediate signs of group effectiveness. The worship



service reconfirmed the common group goals. In addition, all the facilities together directly increased articulation by generating an overlapping round of life for the members.

However, the accomplishment of these tasks required additional social structures: a division of labor and a status-power structure. According to Faunce, these can create high differentiation, isolation and conflict of interest reducing articulation.

U.P.F.'s division of labor was found to be characterized by a rotating job structure and a low horizontal differentiation which reduced isolation and conflict of interest. Only four status-power levels were found at U.P.F. and the significance of these was reduced by the common purse, housing and transportation which detached the symbols of differential status and power from the members.

U.P.F. was found to have an interaction norm and a round of life adequate to generate group cohesion, reduce member isolation and provide for the accomplishment of group tasks. These, in addition to the low differentiation, status-power and division of labor structures, generated a high articulation community system.

## CHAPTER VII

### SELECTION AND SOCIALIZATION OF MEMBERS

This chapter focuses on the problems of the selection of candidates to populate and maintain U.P.F. and their socialization into active and functioning relationships with the group.

Socialization is the process by which a person takes on new values, norms and attitudes normally associated with an identified social group. Adult socialization may include withdrawing an identity investment from other groups and a reinvestment in the new groups as a source of identity.

Several methods are logically possible to select and socialize candidates for membership to a high articulation group: (a) the group could admit no new members from the outside and focus solely on socializing and retaining its second generation (modern Amish, Hutterites); (b) the group could depend on selection of pre-conditioned candidates who were socialized by an outside agency or training institution (such as a theological seminary); (c) the group could employ selective recruitment of previously untrained outside candidates and employ its own group socialization process to fit them for membership; (d) the group could admit anyone who desired to join and depend solely on a socialization process

to train members.

U.P.F.'s present membership procedure is comprised of both (b) and (c) above. It recruits some pre-conditioned candidates from a church college and seminary and from other religious communitarian groups. It employs selective recruitment and utilizes its own group socialization process with previously untrained candidates.

This socialization procedure is comprised of three phases: an initial contact phase, an intermediate phase called an "intentional neighbor relationship," and a full membership phase.

The initial contact phase employs the group's various communication nets to promulgate information and also uses the group's entire round-of-life to expose visitors to the values of the group.

The intermediate phase is characterized by the candidate's moving to the neighborhood, participating in a membership class and in as many of the dimensions of the group life as he desires. This phase provides sufficient time for socialization, freedom from premature group expectations for candidate conformity, and a potential for either the candidate or the group to reverse the process and begin disengagement if desired.

After a discussion of the historical process which preceded the development of the present mode, the three membership phases will be examined. Finally these will be

compared to the membership processes of the 19th Century groups and then analyzed as to their adequacy to support the group.

#### The History of the Membership Process

In the early days of U.P.F. the group was dependent on the Scripturite mission board. The board financially assisted U.P.F. and U.P.F. in turn was to train "suitable" mission candidates for the board. They anticipated that the board would be able to evaluate candidates sufficiently to permit an accurate appraisal of their potential "fit" with U.P.F. and to describe the Fellowship well enough to the candidates so they could make an accurate choice of assignments to U.P.F. or to some other "mission project."

Neither assumption proved accurate. As has been noted in Chapter III, many of the recruits from the board were either ill-equipped for group living or they did not actually desire such an enterprise when they discovered what U.P.F. was. A candidate was forced to make a decision on inadequate information, "pack his belongings and head for the Fellowship." The members of the Fellowship were equally uncomfortable in "finding new members on the front porch when they woke up in the morning." Moreover, there was no middle ground once a recruit had arrived and turning back was admitting defeat for both the candidate and the Fellowship.

Largely because of these disadvantages, U.P.F., after two or three years, decided to end its relationships with the mission board. Because the cushion on income over expenses had permitted the Fellowship to nearly repay all the funds they had been advanced from the mission board, the group was able to sever the tie "in good conscience."

The difficulty caused the members to confront the problems of selection and socialization and they began to design facilities which would more adequately acquaint candidates with the group's life and beliefs and begin building mutual relationships and common understandings. The aim was to provide candidates and members alike with data on which to base a choice of membership.

### The Three Phase Membership Process

#### The Initial Contact

The initial contact phase involves two parts--the dissemination of information about the group to interested persons and the reception of these persons as visitors at the Fellowship.

Five sources, most of them informal, personal contacts, act as channels through which the promulgation of information about U.P.F. to others who may be interested in such a group takes place: (a) through an "underground" communications net which links together various religious experiments through friends and letters, (b) through the U.P.F.

members who teach at the Scripturite Seminary and college, (c) through those members who are invited by churches to preach or lead conferences, (d) through members' contacts with colleagues in their employment, and (e) through various associations in the neighborhood in which they hold memberships.

In addition to these personal contacts, two formal sources disseminate information: the mission board continues to acquaint members of the denomination interested in discipleship choices with information of U.P.F.; the local underground newspaper alerts the "under 30" group to the benefits of the "coffee house."

The predominance of the denominational sources, the seminary, the local churches and the mission board, is reflected in the fact that nearly one-third of the members are of Scripturite background although this is probably less significant than the exposure of these members to the Anabaptist heritage through the denomination's colleges and institutions. The fact that two-thirds of the membership comes from a wide spectrum of "peace churches," main line protestant denominations and Roman Catholic groups suggests the common element in the spectrum. It is the acknowledgment of a need for a group structured around a "radical discipleship" rather than more narrow denominational tenets.

The second dimension to the initial contact phase takes place when interested persons visit the Fellowship.

The several sources of information and initial contact generate 200 to 300 visitors a year to U.P.F. These come individually or in groups such as a dozen youth from a church in a nearby state. The visitors frequently come on a weekend and can experience several of the group activities in a short time, such as the work day, the community meal, the coffee house and the worship service.

The normal practice of the Fellowship members sharing tasks also works to the advantage of the visitors. Each visitor in a group is housed with a different family and boarded with still different families. This practice spreads the hosting responsibilities over many families and the guests can become acquainted with many of the members as well as receiving several members' views on the functioning of the Fellowship. The group even provides additional allotments to the member families to cover the additional burden of guests' food costs, thus eliminating a possible conflict of interest between hosts and guests.

For many of the more serious guests and those who stay longer than a day or two, this period of uncommitted investigation involves what Merton calls "anticipatory socialization."<sup>1</sup> The visitors discover something of the commitment to "radical discipleship" and its Anabaptist

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<sup>1</sup>Robert K. Merton, Social Theory and Social Structure, Revised and Enlarged Edition (New York: The Free Press of Glencoe, 1957), pp. 265 ff.

heritage, the commitment to "openness" and the "integrity" model which it presupposes, and the communitarian norm with its common purse and decision making. The visitors begin to measure themselves against these values and against the style of life. Some who have begun to experience, as Merton describes it, a deterioration of social relations with the groups in which they are members, may begin to identify with the values and structures of U.P.F. Thus the process is one of anticipatory socialization, or the identification of visitors with U.P.F.

#### The Intermediate Phase

The intermediate membership phase has two mechanisms, a membership class and an intentional neighbor relationship. Each will now be examined in turn.

#### The Membership Class

"Candidates" for membership are expected to attend a membership class called a "Sermon on the Mount." The emphasis of the class when it was led by Jessie Mission was one of self-examination. One of the teaching aids used in the class will illustrate the character of the meetings. The tool is a set of nine questions for "self-examination" based on Jesus' Sermon on the Mount (Matt. 5:3-16). The questions attempt to relate each candidate's style of life to the style of life Jesus was describing for his disciples. The Biblical admonitions are translated to bring them up to date



in relation to the inquirers: "Blessed are those who know they are poor" is interpreted to mean those who have an "accurate knowledge of themselves rather than to have exaggerated notions of their status." "Blessed are those whose hearts are pure" is explained as calling for the integrity of outward behavior with inward feelings--rather than the hypocritical wearing of a "mask" which hides one's real feelings and attitudes from others. These interpretations may be seen as reflections of the integrity model of relationships which generally serves as the norm for the Biblical interpretations offered by the Fellowship.

To facilitate the candidates' self-examinations, Jessie usually gave the candidates the questions the week prior to the meeting with directions for each to write down his observations regarding his own standing in relation to Jesus' claims. At the meeting each one in turn, including Jessie, was invited to share his reflections. These confessions were attended with some embarrassment. Some had disclosed things usually hidden because they had been associated with feelings of shame. They found, to their comfort, little condemnation but rather empathy, because all, including the moderator, had fallen equally short of Jesus' admonitions.

Several benefits are afforded by the classes in the eight or ten weeks in which they meet. The examination of the Biblical passages acquaints the candidates with the

integrity model as the norm of relationships. This begins to give meaning to the commitment to "openness." The self-examination causes the candidates to measure themselves against the structure of the group, examining their understanding of themselves, their characteristic relations with others, their central values, and their ability to enter the disciplines of the group such as the common purse and the "corporate decision making."

The acknowledgment by each member of his own position in relation to these values begins to bring into harmony for each his public and his private understanding of himself. Also it generates for the candidates an experience of the direct communications of sentiments and interpersonal tension management implied in the commitment to openness. This style of confession and confrontation is characteristic of the life together of the members, and the Fellowship anticipates that those in the intermediate phase are serious enough about their investigation to participate in this level of personal interaction.

#### The Intentional Neighbor Relationship

For some visitors the progressive deterioration of the social relations in their existing groups continues and the identification with U.P.F. continues, supported by periodic visits and correspondence with the Fellowship. For some of these, in spite of a growing identification with the

Fellowship, there remains some doubt as to their ability to "fit" into the round-of-life or to make such a "complete commitment to God and to others." These may become intentional neighbors. These families move to the neighborhood, either in their own housing or in Fellowship housing. Thus they are neighbors of the Fellowship by design--not by accident--thus the name intentional neighbors. They obtain employment in Brawn and are, in effect, independent of the Fellowship. They are encouraged to participate in "every aspect of the Fellowship which they feel is right for them at the time."

The intentional neighbor procedure generates important benefits for the membership process in two areas: economic and social. The economic benefit is a marginal position for the "neighbor." The social benefit is an elongated anticipatory socialization process. Now each will be examined in turn.

The possibility for the marginal position of the candidate is created by his independent employment in the city and separate housing in the neighborhood. This eliminates two areas of potential conflict of interest with the Fellowship by which a candidate could create a burden: income and housing. Thus the candidate is economically independent of the Fellowship and marginal to it.

Also the Fellowship is independent from the candidate. Unlike some 19th Century groups where members were hastily recruited to increase agricultural productivity, the

linear relationship that U.P.F. enjoys between income and expenditures permits them to move slowly on membership. It is no more financially precarious for two families than for twenty as long as each family brings income into the group. Each new family virtually pays for itself, especially since each is committed to the welfare standard.

This is considerably different from the plight of some of the 19th Century groups who needed more members to increase the agricultural yield. These new members were sometimes hastily recruited with misleading and glowing promises. Also the presence of additional members served to split the present yield additional ways, decreasing the standard of living still further below the expectations of the members. Thus the hasty recruitment inevitably added social to economic problems.

In comparison, U.P.F. can "pass on" its own economic stability to its candidate through the intentional neighbor mechanism where the maximum flexibility and freedom from pressure is permitted the slow-moving inquirer. The candidate, in an isolated rural setting, had little choice but to join the group for he was as dependent upon them for both housing and employment as they were in need of him. There appeared to be no middle ground. U.P.F.'s unique urban location permits a candidate's housing and income both to be independent of the Fellowship and this offers the flexibility of both time and direction to the candidates. The candidates

are free to participate in as much of the life of the group as they desire. They can reverse direction and stop their participation in several of the activities or even cease all association with the group if they choose, in which case they can remain as a neighbor with little disruption to either party economically.

The second benefit from the intentional neighbor relationship is a continuation of the anticipatory socialization process which was begun in the initial contact and visiting stage of an inquirer's relation with the group.

During this stage, as has been noted, the candidate experiences a cumulative deterioration of the social relations in his old member-groups. In becoming less identified with their norms and values, he fulfills less of their expectations and receives fewer positive self-evaluations from the members which in turn causes him to withdraw further his self-investment in these groups--a cumulative process.

If the values and expectations of U.P.F. are within his fulfillment, that is, that membership is open to him, he is capable of fulfilling the norms and values of that group and their values are harmonious with his own or those of his "significant others," then he may begin to self-invest in those new values. The increasing realignment of his self-esteem structure generates a condition which Faunce describes as "alienation" with respect to his old member groups. The actor has different self-esteem criteria than the esteem

assignment criteria of the old group.<sup>2</sup>

The anticipatory situation provided by the intentional neighbors status, in effect, makes it possible for the candidate to experiment or "try-on-for-size" the new values with little threat of negative evaluation of him by the group. This is because the situation is defined as one of testing--the learner is not expected to be able to fulfill the new esteem assignment criteria but rather to measure himself against it so he and the group will come to know if the group's value structure and his are potentially harmonious.

This testing process afforded by the intentional neighbor relation is considerably more important in the relationship of a candidate to a group with high articulation, such as U.P.F., than in relating to a less articulated group such as a church or a business organization. A business or church would be expected to comprise only one role or identity unit of an actor's entire identity structure which would include a wide range of roles. His self-esteem would be generated by the accumulation of the evaluation he received in each of these various roles. Since they are of low articulation or overlapping with one another, he is forced to maintain some conformity to each of them to

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<sup>2</sup>William A. Faunce, Problems of an Industrial Society (New York: McGraw-Hill, 1968), p. 94 ff.

maintain his self-esteem. The withdrawal from any one of these is usually accompanied by its replacement with another role investment. One may take a less active role in the church and a more active role in the country club--and consequently receive a higher esteem from one to offset the loss of the other. This is a frequent occurrence in modern society and causes few disruptions of the self-identity system.

A growing identification with a highly articulated group such as U.P.F. involves, by its nature, the increasing investment in an integrated and comprehensive series of role identities. This may potentially generate a significant disruption and realignment of the candidate's identity structure so that for some it could be called acquiring a new identity. For example, the commitment of the group to radical discipleship requires the "search for God's will" in every area of life. One is to see his employment, his old associations and friends, and his hobbies and pleasures, all as avenues for discipleship. The commitment to "openness" involves a new norm by which to measure and pattern all personal relationships. The common purse and the "welfare" budget require a new orientation to consumption and to one's identity in relation to the goods he purchases.

The rural experiments, as highly articulated as U.P.F., had similar need of mechanisms to permit such a comprehensive self-investment of their candidates. A lack of

such mechanisms was a significant cause of the inability of the socialist groups to build sufficient commitment in their members and the religious groups to obtain recruits from outside their own narrow religious boundaries. "Trial membership," occasionally used by the socialist groups and frequently by religious groups, was not entirely adequate because it made the member dependent on the group for not only housing and employment but for esteem as well. The isolation of the rural utopias created nearly a total break between the member and his previous identity and esteem sources rather than permitting the member to withdraw from the various sources at his own rate. It placed him in dependence upon the new group for nearly all his esteem maintenance. Thus, even though the period was defined as a trial period by the members so candidate errors were more easily overlooked, still, errors for the candidates were of comprehensive significance because he was cut off from other sources of esteem. Thus, the safety, flexibility and the candidate-determined rate of socialization were unavailable to the rural candidate. Consequently, many socialist groups failed from lack of internal cohesion and many religious groups received few outsiders to regenerate them and offset member attrition. The religious groups were forced to institute restrictions upon their members: cross boundary control, insulation from the outer world, sacrifices of parent-child or mate separation, or member mortification by



confession and group criticism. These were attempts to create and maintain dependence of the members and of the children upon the group. They were necessary because no adequate membership apparatus was discovered to permit candidates' gradual withdrawal and reinvestment under the isolated conditions. Thus the intentional neighbor device appears to be unique in permitting a gradual anticipatory socialization process to be sustained for considerable periods of time, permitting flexibility of direction and rate for both the candidate and the group, and providing adequate selection and socialization of candidates to sustain the Fellowship.

#### The Full Membership Phase

The previous chapters have described the life of the Fellowship and this is, in effect, a description of the life style of the full member. However, it may be briefly summarized by reference to four "areas of commitment" which the members describe to the candidates: (1) a place to live (physical proximity), (2) economic life (the common purse), (3) personal relationship (openness), and (4) decision making (corporate-consensus).

These are approached in stages by the candidates as has been noted. The intentional neighbor relationship involves, by its nature, the physical proximity of housing. The candidates are introduced to the styles of openness and

consensus decision making during the membership class. Eventually the other members of the Fellowship feel comfortable enough to continue this level of interaction with the candidates in other encounters, such as the member meetings. These later discussions may involve some barrier the candidate is experiencing with respect to complete participation in U.P.F. Sharing in the common purse is a typical difficulty for those whose income or wealth is greater than the average level. In effect, these have to "come down" to the group standard of living. The consequence of such discussions is that the inquirer discovers how important money is in his life. Should he discover it to be of considerable importance, he invariably has a more difficult task of elevating others in his values and decreasing the importance of the economic life. This type of realignment of values may be experienced in relation to every aspect of the Fellowship. Finally, the candidate and the group together assess the realignment to be complete as noted by his participation in each of the four areas. This is simply acknowledged and announced and the candidate is thereafter considered as a full member.

#### Chapter Summary

The chapter focused on the problem of the selection and socialization of candidates for membership in U.P.F. Four general ways were noted for high articulation groups to

accomplish these tasks, (a) admit no new members but only its own second generation, (b) recruit preconditioned candidates, (c) employ selective recruitment and socialization, (d) admit anyone.

The current membership procedure of U.P.F. was described as a combination of (b) and (c)--recruiting some preconditioned candidates and a selective recruitment and socialization process for untrained candidates.

These processes were described as having three phases: an initial, an intermediate and a full membership phase. The initial contact phase employed the various communication nets of the Fellowship and its members to promulgate information. It also used the entire round-of-life to expose visitors to the values of the group. The anticipatory socialization process was invoked to explain the effectiveness of this visitor stage.

The intermediate phase, called the "intentional neighbor" phase, was characterized by candidates moving to the neighborhood, participating in a membership class and in as many of the dimensions of the group life as they desired. The benefits of this were twofold. The first was a marginal and independent economic position by which the candidate was independent of the group and the group independent of the candidate. The second was an elongated anticipatory socialization process by which the candidate could withdraw his identity in existing groups and reinvest in the Fellowship.

Thus the intermediate stage permitted freedom from premature group expectations for conformity, permitted an elongated socialization process, and permitted either the group or the candidate to reverse the process and begin disengaging.

In comparison, the isolated 19th Century experiments made a candidate immediately dependent on the group and did not permit sufficient time and safety for the candidate to withdraw his identity investment from other groups and reinvest in the experiment. Thus the religious groups erected boundary controls and other restrictive measures to maintain the commitment of the "insiders" since they could not replenish their manpower needs with outsiders. The socialist groups, without adequate commitment mechanisms for their members or adequate socialization measures for "outsiders," quickly failed. U.P.F., forced to draw from "outsiders" for most of its manpower needs, had to develop a system to select and socialize "outsiders." The system was judged to be adequate to insure the commitment of outsiders to the group, thus, in effect, eliminating the second generation problem and supporting the continuity of the group.

## CHAPTER VIII

### SUMMARY OF FINDINGS AND IMPLICATIONS

#### Summary of the Group's Structure

The variables derived from utopian literature and Loomis' Social System Model differentiated the successful from the short-lived 19th Century experiments. These variables (Table 1) will be used to summarize the findings:

(1) Philosophy and Goals: U.P.F.'s members share a common conception of the group both as a means to the end of "radical Christian discipleship" and as an end in itself expressed in relationships of "openness" among the members. These beliefs originated from a common heritage, the Anabaptist Vision, and are a positive support for the community. In addition, the content of both the norms (the group as the source of "God's will," and the group as an end in itself) generated high member investment in the group and in one another--positive contributions to group solidarity and longevity.

(2) Systemic Linkage and Boundary Maintenance: The analysis of U.P.F.'s systemic linkages with outside groups revealed relationships with three types of outside systems: non-sanction, modified sanction and sanction. The non-sanction links have no economic sanction power (links with churches,

other communitarian groups, with a seminary and with the neighborhood). The modified sanction links have some sanction power over individual members (various governmental agencies, employing institutions). The sanction links have sufficient sanction power to terminate the existence of the group (a denominational mission board and a local bank).

However, the analysis of U.P.F.'s economic support system showed how the employee status of members plus the commitment to the welfare level standard of living generated a "cushion" of income over expenses. This was comparable to the financial security of some of the older well-established religious groups. While it did not eliminate potential fatal conflicts of interest with sponsors, it did minimize them.

(3) Income Allocation: U.P.F.'s economic distribution system, the common purse, in conjunction with the income "cushion," effectively minimized economic conflicts among members as well as between the members and three classes of outside groups: mission recipients, absentee sponsors and vocational colleagues. The reduction of member economic conflicts permitted the benefits of "cooperation" which the socialisms attempted to achieve and often failed. Those groups consequently suffered losses in group stability and longevity which U.P.F. appeared to avoid.

(4) Articulation of the Community System: U.P.F.'s interaction norm of openness called forth mutual member respect, shared tension management and direct communication

of sentiments. These generated a high degree of member identification with each other, reduced isolation and increased articulation. The group's facilities, the proximity of housing, coffee house, worship services, common meals and work day generated an articulated round-of-life and also provided the means of accomplishing necessary tasks. A final facility was the decentralized member meeting and consensus decision process.

The "consensus" process, by which the members of U.P.F. attended to one another as potential carriers of "God's will," generated a high member solidarity and minimized the possibility of the faction split-offs which crippled some of the rural socialisms. The decentralized decision nexus increased the possibilities for the normative relationships of "openness" to which the members were committed as well as to increase the high degree of members' participation in decision making, and thus it contributed to articulation. The social structure was of low vertical and horizontal differentiation avoiding a reduction of articulation. Member conflicts of interest over power and status were also minimized by a system of rotating expertise authority, a decentralized influence structure and a common ownership of property which cut off members with differential influence from acquiring the visible symbols of status and power. In addition to the minimization of the negative factor of social conflict, the social structure provided

adequate positive articulation.

(5) Selection and Socialization of Members: The group's membership process was comprised of three phases. The initial contact phase employed the various member contacts to disseminate information about the group and its round-of-life to acquaint visitors with the group. The intermediate phase was comprised of a membership class and an intentional neighbor relationship. This "neighbor" relationship permitted the advantages of a marginal economic position, freedom from premature group pressure for candidate conformity, and an elongated "anticipatory socialization" process for candidates. These advantages were unavailable to the isolated rural utopias. Together these served the comprehensive membership process required by a highly articulated group such as U.P.F. They promised to fulfill the manpower and commitment requirements for group longevity.

In each of the variables, U.P.F. had adopted similarly effective practices as the religious groups or avoided dangers which had plagued the socialisms. This permitted U.P.F.'s longevity potential to be judged as similarly high as the long-lived religious groups. Thus, it would appear that such a high articulation urban group as U.P.F. is potentially viable and long-lived.

#### Summary of the Group's Impact Upon Autonomy

The study defined autonomy as the ability of an actor to consider an action which he anticipated would



receive a negative evaluation by his vocational group members thus challenging a dimension of his self-esteem. The literature revealed that two conditions supported actor autonomy. The first was the exposure to a highly differentiated social structure which served to individuate a self system as distinct from a village or collectivity of which the person was a member. This permitted him to conceive of alternatives to conformity--a requisite to autonomy. The second autonomy variable was the actor's location in a highly articulated social structure. This structure supported him against challenges to his self-esteem in other social structures such as those in which he might attempt to exercise vocational autonomy. The same variables which were utilized in discussing community viability also revealed aspects of U.P.F. which contributed directly as well as theoretically to autonomy. High group articulation in U.P.F. would be expected to be maintained by low degrees of vertical and horizontal differentiation, a low degree of conflict and isolation in the group, and a highly articulated round-of-life.

(1) Philosophy and Goals: The existence of a common philosophy and goal directly supports high group articulation. Also the content of the goal itself, the commitment to "radical Christian discipleship to others," provided a direct impulse toward responsible vocational performance and autonomy.

(2) Systemic Linkage and Boundary Maintenance: The analysis of U.P.F.'s systemic linkages and the economic support system revealed two positive factors regarding member autonomy; the existence of the "cushion" of group income over expenses directly encouraged autonomous member behavior, that is, potential loss of income of a member due to the exercise of vocational autonomy was insulated against. The existence of systemic links between U.P.F. and several outside collectivities (denominational seminary and colleges and several contemporary communitarian groups) was seen as supporting the belief and goal system of U.P.F. and thus increasing group articulation. The links with employing institutions and neighborhood groups and the high amount of member cross-boundary movement with these agencies were seen as providing a highly differentiated social structure. This was necessary to maintain the members' conceptions of alternatives to vocational conformity.

(3) Income Allocation: Member conflicts of interest were one of two factors which the literature claimed reduced group articulation. U.P.F.'s income distribution and production systems were found to effectively minimize economic conflicts among members and thus avoid a potential reduction in group articulation.

(4) Articulation of the Community System: The groups interaction norm of openness and the mutual member respect it generated, high member identification, reduced

isolation and directly increased articulation. The facilities generated an articulated round-of-life. One of these, the consensus decision process with the decentralized small group decision structure, was particularly important in reducing isolation and status differentiation--two factors which reduce articulation. In addition, the rotating expertise authority, the newly decentralized influence structure and the common ownership of property minimized member conflicts of interest over power and status, another factor which reduces group articulation.

The analysis of the social structure also revealed that the rotating expertise authority generated a low degree of horizontal differentiation. Also, the detachment of the few formal and informal roles from acquisition of visible influence symbols provided a relatively low vertical differentiation. When the vertical and horizontal differentiation of U.P.F. were compared to the known low differentiation of a primitive non-industrial village, they were found to be correspondingly low. The adequacy of the common norms, round-of-life, and facilities permitted a judgement of both actual and structural adequacy to avoid member isolation. The low degree of conflict and isolation and the low vertical and horizontal differentiation generated a positive judgement of high group articulation in comparison to industrial society.

(5) The Selection and Socialization of Members: The

three phase membership process was comprised of an initial contact phase, an intermediate phase and a full member phase. These were adequate to select and socialize new members to the highly articulated life style of the group. One of the intermediate phase mechanisms was the intentional neighbor relationship. This offered the candidate a marginal economic position--freedom from premature group pressure for candidate conformity and an elongated "anticipatory socialization" process.

With the adequate three phase process U.P.F. avoided the development of higher differentiation, conflict of interest and isolation which reduced the articulation of many of the 19th Century socialisms following their inadequate membership practices.

The theoretical determination of high articulation in U.P.F. could be stated as follows: the low degrees of vertical and horizontal differentiation and the minimization of structural isolation and conflicts of interest permitted a theoretical claim of high group articulation. This determination was strengthened by several directly observable supports of high articulation, the existence of the common goals, supported by the several systemic linkages, the highly overlapping round-of-life and the comprehensive decision making scope. The comparison of U.P.F. to the known high articulation of a primitive pre-industrial society strengthened the theoretical claim. Thus, the judgement of

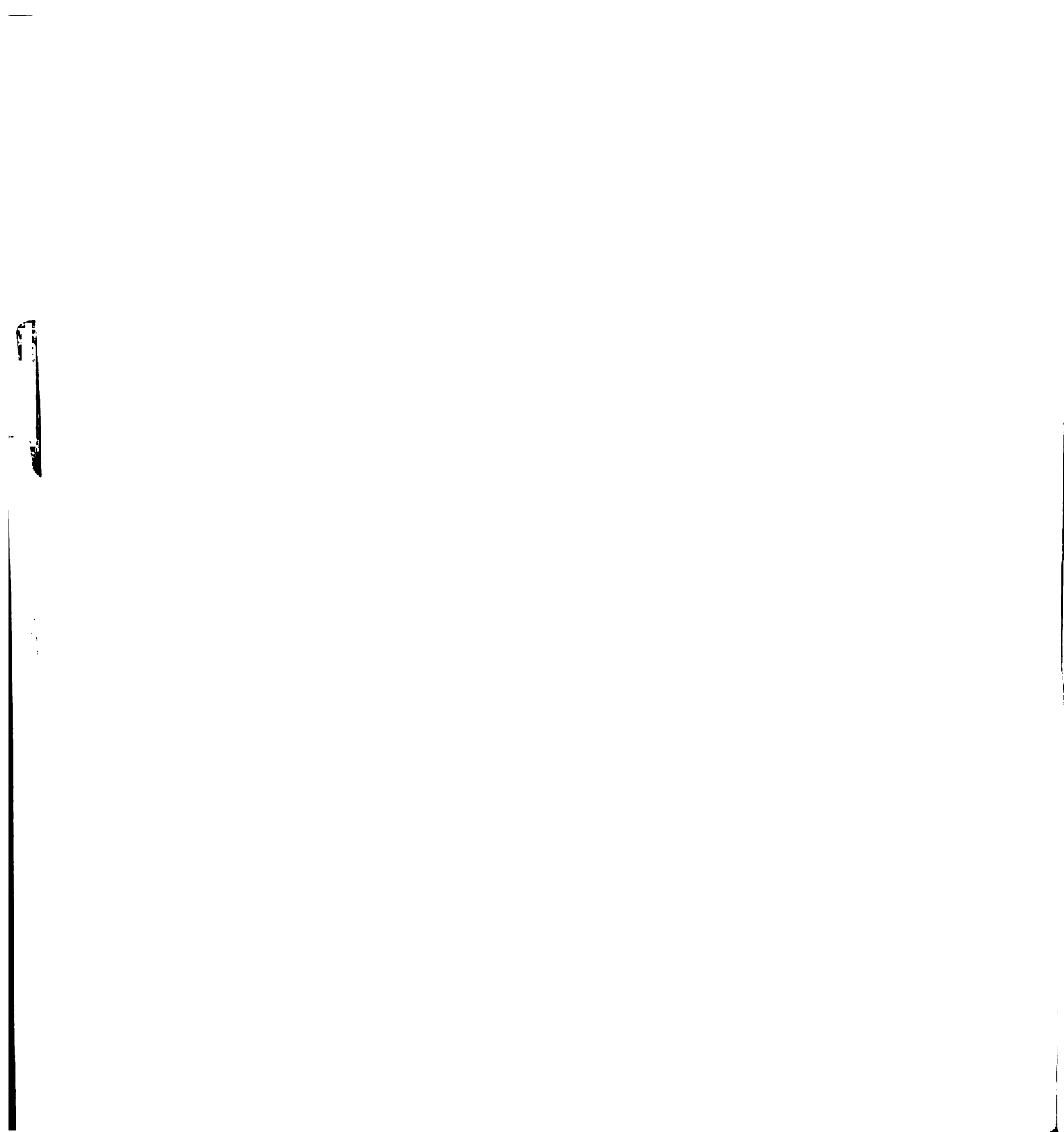
high articulation indicated that U.P.F. appeared to fulfill the first of the two autonomy criteria.

The second criteria was the exposure of the members to a social structure of high differentiation. U.P.F.'s systemic links and high member cross boundary travel clearly exposed the members to the high differentiation social system in the city to permit the members' conceptions of alternatives to vocational conformity. Conversations with members and observations of members' performance helped directly to confirm this and supported a positive judgement as to the fulfillment of the second autonomy variable. In addition, the member commitment to "Christian discipleship to others in all of life" was expected to exert a direct impulse toward autonomy and the economic security of the "cushion" was expected to generate a financial support for autonomy. Thus, the data revealed the unusual social structure of U.P.F., high articulation like primitive societies and high differentiation like industrial societies, and supported the claim for member autonomy in vocational situations. In addition, this theoretical claim was strengthened by some direct observations of member vocational performance. Thus, it would appear that such a high articulation urban collectivity as U.P.F. is not only viable but may support its members' vocational autonomy.

Another autonomy consideration is that of over-dependence. It might be expected that a member of a

highly articulated group would grow too dependent on the group, consequently reducing his capacity for autonomous behavior both in the group and in his vocation. This was not observed at U.P.F. apparently for two reasons: the decentralized small group decision structure and rotating situational expertise authority demanded a high level of participation of the members and a continual exercise of their capabilities. The norm of radical discipleship to God's will generated a developmental participation of the members. They were continually challenged by the norm to find better and more adequate ways of performing both in the group and in their vocation. The economic and self-identity security provided by the articulated structure appears to have offered the support to innovate in attempt to better approximate the "radical" challenge, thus developing the capacity of the actors for autonomy rather than reducing it.

A final aspect of autonomy in such a group is that it may have both a positive and a negative effect on the self-autonomy of its members. Even though the group may support one's autonomy on the job, it may reduce his autonomy within the group due to the norms, patterns and structures to which he accommodates. This has historically been the case in groups such as families, villages or voluntary associations where the member has given his participation and conformity and received an important part of his identity. The problem, following Riseman and Faunce,



is that these groups have lost their articulation and their power to support autonomy in the new roles of industrial society such as the work role. Thus U.P.F.'s members appear to voluntarily yield a degree of self-autonomy inside the group in return for the benefits of the life style and the autonomy potential outside the group. Viewed this way, autonomy is always stated in reference to a given system, rather than in absolute terms. The U.P.F. members, in reference to industrial society, might be seen as having several points of autonomy or freedom: to establish new (and non-economic) priorities, to reallocate economic resources, to redefine categories of industrial society (humanness, success, responsibility, learning), and to establish new and different structures to support the new priorities and commitments. Thus the members receive these benefits as a consequence of their participation in the group and a degree of autonomy lost in group participation.

#### Some Implications

(1) The study indicates the viability of a high articulation urban collectivity to support member vocational autonomy. This may represent a possible solution to the general problem of personal and professional responsibility in an institutional and market place dominated society as described by Mills, Presthus, Blackington and many others. Membership in such a group may provide additional autonomy



for actors to risk unpopular and unconventional courses of action. The loss of income or of self-esteem may be more readily withstood in such a high articulation support system. Some writers have suggested that the next decade will see the establishment of "occupational communes" in which groups of doctors and lawyers will live with their families in hopes of enjoying an increased autonomy as well as an increased sense of community.<sup>1</sup> The experience and structure of U.P.F. should be a valuable model for such experiments.

(2) A knowledge of the systems and structures which support the longevity and articulation of a collectivity such as U.P.F. should provide unique additional understanding of high articulation systems. Many utopian experiments, both social and religious, are underway at this moment. Some are designed to support particular types of goal-directed behavior such as vocational responsibility, some to explore alternative social systems for the alternate life-style benefits they may afford. The experience and structure of U.P.F. should help such intentional groups to make more accurate predictions about the implication and consequences of structural decisions and hopefully avoid a continual "reinventing of the wheel" by such experiments. The study may contribute to such an end, not only by the study of

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<sup>1</sup>A. Toffler, Future Shock (New York: Random House, 1970).

structural consequences, but hopefully by identifying several bodies of relevant theoretical and historical material to contribute to such a knowledge base.

(3) The study, by raising the question of autonomy for administrative and professional persons, may help to alert those who train professionals to the need for new support systems for them. Medical schools, business schools, seminaries, schools of education, schools of social work, of law, and many others presently focus upon training their candidates. They largely consider the adequacy of the support systems in which their graduates work to be a problem beyond their concern. Yet, it is partly the inadequacy of these social systems which helps generate the "organized irresponsibility" in modern society.

By raising the question of professional autonomy and responsibility, this study may have helped serve notice to educational institutions that training is only part of the role of professional schools. By examining a high articulation urban group, the study may help point the direction for the other part of their task--that of building support for their graduates so they may exercise increased autonomy and responsibility in their vocational roles.

(4) Finally, the group style of decision making at U.P.F. may constitute an example of a collegial style of decision making similar to those of modern "knowledge" organizations. Perrow and also Goss are among those who have

examined various management and decision making styles which help resolve conflicts which arise in such organizations between professional and hierarchial authority. Both of these researchers have focused upon hospitals where some interesting styles are emerging. Goss suggests that an advisory role is utilized between "line" doctors and their subordinate associates in the hospitals she examined. She calls this an "advisory bureaucracy."<sup>2</sup> Perrow uses the term "organizational professionalism" to describe a similar process.<sup>3</sup> As the "knowledge industry" grows and more professionals and technical staff personnel are employed in bureaucratic organizations, the exploration for different styles of supervision and decision making will continue. U.P.F. could contribute to the search by illustrating the effect of a more complete collegial style and an unusual set of mechanisms and premises.

#### Recommendations

Other illustrations of alternative and viable social systems, whether of high articulation or not, are greatly needed as variations or options for members of post-industrial

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<sup>2</sup>Mary Goss, "Influence and Authority Among Physicians in an Outpatient Clinic," American Sociological Review, Vol. 26, 1961, p. 39-50.

<sup>3</sup>Charles Perrow, "Hospitals. Technology, Structure and Goals" in Handbook of Organizations, ed. by J. March (Chicago: Rand McNally & Co., 1965), pp. 940 ff.

societies. These provide not only alternatives for actors of various needs and desires, but additional research sites where data may be gathered to either replicate or refute these findings.

Continued study of other viable high articulation urban groups would permit affirmation or rejection of these findings. Unfortunately, the extensive experience of the successful Israeli Kibbutz and Moshav structures is only peripherally helpful because of the isolated rural locations and low cross boundary travel of these groups. However, another study could profitably pursue this topic, a subject outside the boundaries of the present study.

Unfortunately, many present American utopias do not appear viable. Few are located in urban areas. Still, they should yield information bearing on longevity. Unfortunately, they are also outside the scope of the present study.

The only obvious method of further validating the autonomy predictions of this study appears to be further observation of the U.P.F. members' personal and professional job performance. This must suffice for the present because no other structure is known which duplicates U.P.F.'s and would thus permit a replication of autonomy findings.



## APPENDICES

APPENDIX A

TEACHING MATERIAL FOR MEMBERSHIP CLASS  
AT URBAN PLACE FELLOWSHIP

A COVENANT FOR CHRISTIAN DISCIPLES

(Regulating Our Life with God)

Based on Matthew 6

(1) Concerning Piety:

I will carefully guard against turning my transactions with God into a self-exalting display before men, by hiding my piety from the eyes of men and seeking to grow in my awareness of, and accountability before God.

(2) Concerning Prayer:

Trusting that God knows at all times what I need, I will reject the anxious practice of prayer prevalent among non-Christians, whereby they suppose that God hears them because of their many words, and seek instead to understand and practice the pattern of prayer set forth in the Lord's prayer.

(3) Concerning Property:

Believing that God knows and values me even more than he does the lesser parts of creation and having confidence that he will supply everything I really need by way of

food, clothing and shelter as I seek his will and his way, I will give up anxious thoughts about the material side of life, take a detached attitude toward my properties and share them generously with my fellow disciples and men in need everywhere.

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(Signature)



APPENDIX B

TEACHING MATERIAL FOR MEMBERSHIP CLASS

AT URBAN PLACE FELLOWSHIP

PROPERTY ATTITUDES CHECK LIST

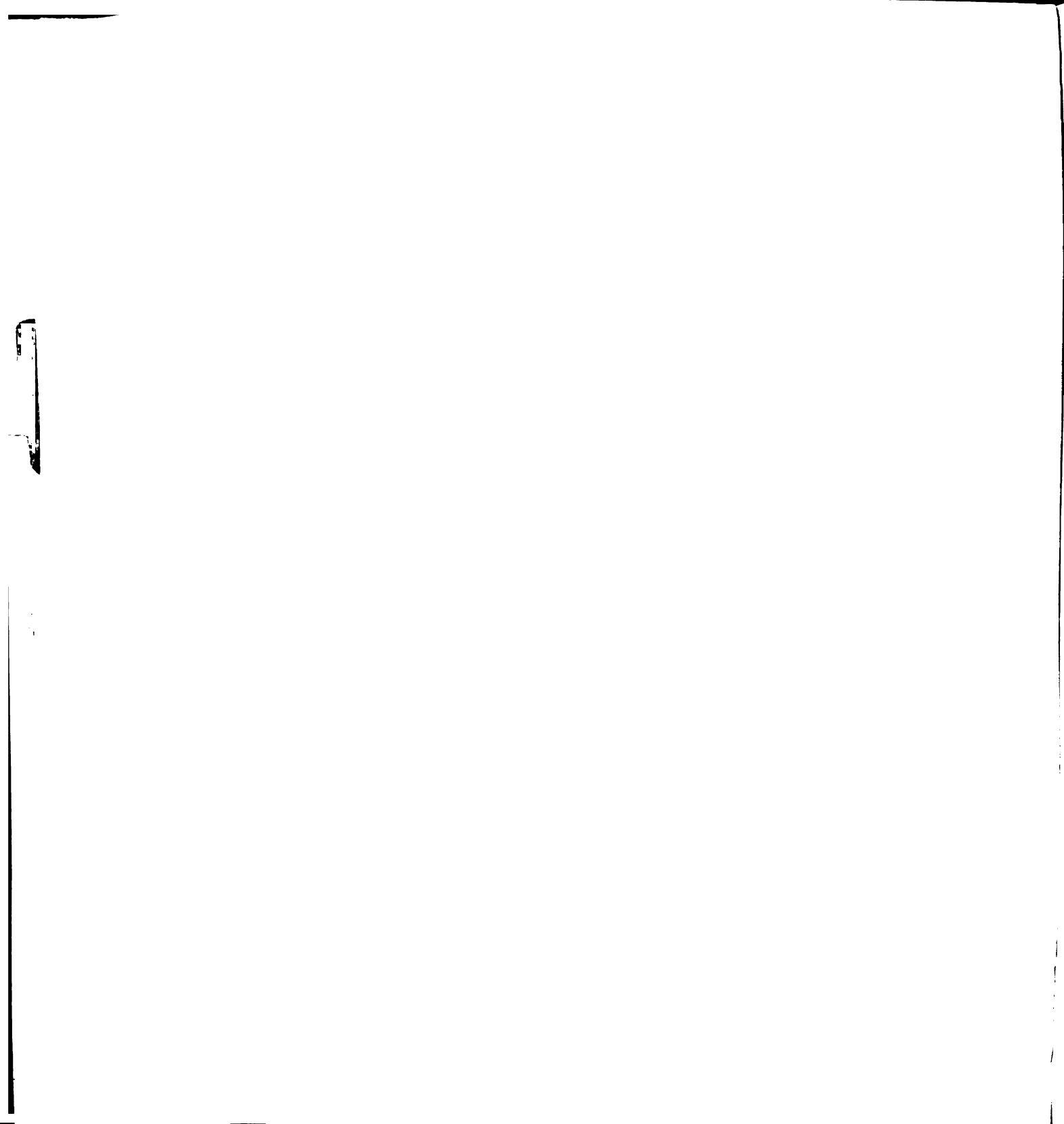
(Based on the teaching of Jesus found in Matthew 6:19-34)

Put a check mark in the blank space in front of each statement after you are sure you understand it and can fully subscribe to it.

- (1)  I have firmly decided to take an unselfish and detached attitude toward my possessions and share them with those in need. (6:19-20)

In order to carry out this decision in a faithful way:

- (2)  I have undertaken an examination of my attitudes toward the things I currently possess to discover to what extent I consider them "mine" and therefore am personally bound up with them. On the basis of this self-examination I can say that my property is not my "treasure."
- (3)  I have also examined my attitude toward the things I do not possess, to discover to what extent my life is darkened by the evil eye of covetousness. In the light of this I can also say I am free from the desire for other people's property. (6:22-23)
- (4)  I have asked myself whether I am motivated by the quest for money rather than by the service of God's will, and I am settled in my heart that God rather than money has first place in my life. (6:24)
- (5)  I have firmly decided to put away anxious thoughts about my physical needs. (6:25)



In order to carry out this decision in a faithful way:

- (6) \_\_\_\_\_ I have sought to deepen my awareness of how much more meaning life has than simply food, clothing, or shelter. (6:25)
- (7) \_\_\_\_\_ I have considered how little control I have over my material existence and hence how futile it is to worry. (6:27)
- (8) \_\_\_\_\_ Most importantly, I have thought about the reality of God's love until I truly do believe that the "Father" knows what I need and will care for me in the same way he cares for the lesser parts of his creation. (6:26, 28-32)
- (9) \_\_\_\_\_ I have also come to understand the wisdom of the maxim: Each day has troubles enough of its own. (6:34)
- (10) \_\_\_\_\_ In summary: I have decided to give myself and all that I possess to God in A WHOLEHEARTED QUEST FOR THE JUST AND LOVING COMMUNITY HE WILLS FOR MANKIND, trusting that as I do my economic needs will be met.

Score \_\_\_\_\_

APPENDIX C

TEACHING MATERIAL FOR MEMBERSHIP CLASS

AT URBAN PLACE FELLOWSHIP

A COVENANT FOR CHRISTIAN DISCIPLES

(Regulating Their Life with Others)

Based on Matthew 5:17-48

Grateful for the privilege of being a disciple of Jesus, I gladly take upon myself the responsibility of practicing Jesus' teachings bearing upon life with others:

1. Concerning anger: I will take a stand not only against murderous deeds, but against angry, destructive thoughts and emotions (5:21-22), seeking to eradicate them first of all from my life and taking care not to become the occasion for anger on the part of another, (a) by confessing and righting my wrongs against my brother as soon as I become aware of them (5:23-24), and (b) by dealing forthrightly with all matters of conflict between myself and another as soon as they are brought to my attention (5:25-26).
- (2) Concerning sexual lust: I will not only guard against falling into an adulterous act but against nurturing adulterous thoughts (5:27-30) and related sexual

- perversions. On the positive side I will uphold the standard of lifelong marital love and fidelity (5:31-32).
- (3) Concerning false speech: I will try under all circumstances to speak the truth in love from my heart, simply and clearly, and abide by my promises (5:33-37).
- (4) Concerning retaliation: I will not take revenge against anyone who wrongs me or exchange injury for injury (5:38-39a). I will rather try to overcome evil with good (5:39b-42; Rom. 12:21).
- (5) Concerning enemies: I will not surrender to an attitude of hatred for enemies. Even though I suffer at their hands, I will pray for them and seek their good (5:43-48).

## APPENDIX D

### SCIENTIFIC METHODOLOGY OF UTOPIAN-HISTORIAN

JOHN HUMPHREY NOYES

Noyes clearly has a personal interest in community life. He was still the leader of the Oneida community at the time of the investigation. And he clearly has a particular set of theological beliefs. The question must be raised as to the extent to which both these factors affect, if at all, his investigations.

Noyes claims, at the outset, to utilize an inductive method of investigations. He reveals his meaning of the term "inductive" when he analyzes Charles Fourier's methods. Fourier claims to have deduced certain "Laws of Organization" in nature. Nature is the "source of order and harmony in creation." "I give no theory of my own--I deduce."<sup>1</sup>

Fourier's supporters, particularly Albert Brisbane and Horace Greeley, of the New York Tribune, claimed Fourier was the "Kepler of the New Science" (of social organization), and that the "Inductive method could not do its work in the social sciences, since they were too vast and complex."<sup>2</sup>

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<sup>1</sup>John Humphrey Noyes, History of American Socialisms (New York: Dover Publications, N. C., 1966), p. 662.

<sup>2</sup>Noyes, p. 663.

The "laws" of social science cannot be discovered by "empirical observation," they claimed.

Noyes is not at all content with this reasoning. He does not find Fourier's methods to be scientific at all but rather philosophic. Science, Noyes claims, is all of a kind, whether social or physical. "It observes the available evidence, then by induction, raises it into generalities." The "principles thus established" then permit the legitimate deduction to practice:<sup>3</sup>

. . . verification by facts or by the logic of events, is always <sup>4</sup> . . . the supreme check on both induction and deduction.

Noyes concludes that Fourier's scientific methods are inadequate and instead of being the "Kepler of Social Science" Fourier was one of the ancient philosophers."<sup>5</sup> Instead, the Shakers and Rappites were the pioneers of modern socialism, Noyes claims, since it was their practice which gave the basis for all the European theories. Thus, it can be concluded that Noyes is sensitized to the pitfalls of inadequate scientific method. The question remains whether or not his theological beliefs were detrimental to his study, and if not, then how were the two related?

It must be noted that Noyes distinguished between

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<sup>3</sup>Noyes quotes from Yourman's New Chemistry for his definition of science, apparently a standard reference at the time, Noyes, p. 667.

<sup>4</sup>Ibid.

<sup>5</sup>Noyes, 668.

two ways of talking about matters of faith. The first way involves a statement of belief as a reflection of empirical evidence; for example, after noting the longevity of the Shakers, the high level of commitment among the participants and the strong leader (the evidence), Noyes was willing to claim that this must indicate both a strong medium and a strong afflatus. The second method involved reasoning in the reverse direction; for example, from a belief in the existence of a strong afflatus to the reasons for the longevity of a community. (Noyes claims this is how Fourier reasons.) Noyes utilizes the first method. With the evidence and conclusion before him, Noyes then feels free to express the discovery in terms of his faith.

We insist that God's appointed way for man to seek truth in all departments is to combine and alternate thinking with experiment and practice--and constantly submit all theories, whether obtained by investigation or by intuition and inspiration, to the consuming ordeal of practical verification.<sup>6</sup>

Thus it must be concluded that because of the reasoning process he employed, his theological beliefs were not detrimental to the validity of his conclusions. Rather, in fact, the opposite appears true in two ways: his beliefs apparently sensitized him to certain elements of structure which other commentators of the day apparently missed; and his notion of a "medium"<sup>7</sup> sensitized him to the impact of

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<sup>6</sup>Ibid.

<sup>7</sup>A "medium" was a leader who was selected and directed by an "afflatus" or spirit from God.



leadership in a community, such as Robert Owen's absence from New Harmony.<sup>8</sup> Also Noyes' notion of an afflatus or community bond appears to have alerted him to the solidifying function of religious authority and commitment among people in community. The popular notion of first Owen and later of Fourier, which, in turn, swept across the country, either ignored the religious bond or declared it objectionable and banned it from the association (as Owen's "Declaration of Personal Independence" banned religion).

Did Noyes' personal interest in communities interfere with his investigation? Noyes was living at Oneida (a religious community) during the course of his investigation and bearing the primary leadership responsibilities of that community. However, by the time of the writing (1870), all of the non-religious communities had failed, and it was quite clear that the religious bond was a significant ingredient in the survival of experiments.

Noyes' personal interest may be seen as an impetus to meticulous research. Life and death of communities was no mere curious matter but rather one of grave import for Noyes, whose own community might be next among the many failures.

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<sup>8</sup>In this Noyes appears to be on solid ground theologically as well as scientifically. In 1033, the great Anselm, father of modern theology, described theology as "faith in search of understanding," which is precisely how Noyes sees it.

It must be noted that Noyes never argues the merits of community life over individualism. He assumes that the quality of communal life is superior and is clearly biased on this point. It is a tribute to the consistency of his scientific method that nowhere may be found attempts at persuasion to his religious beliefs or social beliefs in the superiority of community life.

It is certainly high time that Socialists should begin to take lessons from experience and for this purpose, that they should chasten their confidence in flattering theories, and turn their attention to actual events.<sup>9</sup>

(This book) . . . is first and chiefly a collection of facts; and the attempts at interpretation and generalization which are interspersed are secondary and not intentionally dogmatic.<sup>10</sup>

Fortunately, he manages to achieve his goal rather well.

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<sup>9</sup>Noyes, Preface, p. iii.

<sup>10</sup>Ibid.

## APPENDIX E

### A WORSHIP SERVICE

A close observation of one particular worship service will provide an insight into one dimension of the group's life as well as into the theological beliefs which are so influential in shaping the group and welding it together.

On the Sunday in question the service was held in the original building at 727 Urban Place as usual. The first floor had been reserved for community functions and the informality of the living room setting contrasted severely with the elaborate accommodations of some churches. Before the service, several worshipers were storing the tables used for the Fellowship supper the night before and erecting folding chairs. Even this simple sharing of the work by the worshipers reflected the group's views that the worshiper is not a passive spectator nor is worship a liturgy to be performed for him. Rather worship is a part of the group life in which he engages.

Before the worship service began at 727, one of the members played the piano as the other members and guests arrived. At the appointed time, one of the group stood by the fireplace and led three or four songs. Anyone who could

sing was eligible to rotate into the song leader role for a Sunday or two. Often several others accompanied the singing on the guitar, banjo, or ukelele. Folk rhythms prevailed in the tunes, usually hymns of praise expressing the joy or challenge of being "disciples of Jesus Christ" rather than sadness or even theological themes. One of the members had reproduced the words into a booklet and these were passed around as the singing began.

After the singing, the two to four year olds were dismissed to the backyard or the basement playroom. The members believe it is profitable for the children to participate with their parents in the worship at least in part so they will see what the parents do and feel some relationship to it.

Jacob Deed, one of the members, went to the front to introduce the guests. Jacob is a European immigrant presently working as an associate architect. He has been a member about four years and has no theological training. He introduced the guests he knew and asked the other members to introduce those they knew. Several were visiting the Fellowship from other parts of the city or country; one was from Canada, another from South Carolina. Each had heard of the group through friends and had come to visit.

Another member indicated that twenty youth were present from a church youth group in nearby Moosehart. They had been visiting and working at U.P.F. for the weekend. The members wanted each youth to indicate his name and something

about himself. Thus, finally every visitor or guest was acknowledged--a way of indicating the esteem in which the Fellowship holds others.

After the introduction Jacob asked for "items of concern" and "observations of the week." Joyce Mead indicated to the group how much work was done by the visiting teenagers in peeling wallpaper and painting the Elmwood Street apartments. Several others expressed the thanks of the Fellowship to them. Joshua Leaven noted that a family who had just moved into the neighborhood had been experiencing some family problems and outlined the type of help needed from the other members.

Another member mentioned a concern for the Vietnamese war victims and a relief program which was being started. Someone else mentioned the needs of a black youngster in the next block who had been stabbed at school by a white youth. Another mentioned a school election which he believed was of considerable importance and reminded the members of the need for their participation. The range was both more diverse and more personal than one would find in a conventional church service. It was more diverse because it dealt with more than items of church activities and more personal because each item related to the members' lives whether it concerned the war or a neighborhood election.

Jacob then led the group in a prayer. It was simple and free of metaphors and theological constructs. It simply mentioned the personal concerns which had been reported,

commended them to God's attention, adding only an admonition for God to influence the national leaders to hasten the end of the war. The prayer reflected the group's conviction that their daily lives and tasks constituted the arena where their discipleship to God was expressed.

Then another member, Victor Verity, came to the front to introduce a drama. He first asked if the eight to fifteen year old group desired to stay for the play or meet in their own group. They told him they had elected to stay. The election revealed the members' view that meaningful participation in the life of discipleship is freely chosen and that maturing youth must be given a voice in determining the directions of their lives and views.

The play concerned the impact that Jesus made upon various people as a result of his healing of a beggar. Various scenes were enacted, such as the disciples relating the event to different people, and finally, a court scene where Jesus was brought before the local priests. The play was written by members of U.P.F. by taking the Biblical story and adapting the action into several scenes, writing dialogue which emphasized one particular point of the story.

The entire first floor of the house served as a stage with the "actors" coming out of the kitchen and down the stairs although the main action was centered in front of the fireplace where all could see. The actors read their lines from a script which each carried. The fifteen people involved in the drama seemed to represent a cross-section of

the Fellowship: men and women and youth, old members and new, single and married and a few guests who lived nearby. The use of the play expressed the group's belief that a meaningful study of their faith and of the Bible must engage the present lives and actions of the members.

After the drama Victor opened a discussion of the play. Most of the analysis focused on Jesus and his relationships with the people and his challenge to them of a different style of life. Several interpreted the healing miracle to represent Jesus' challenge to the beggar as being penetrating and fundamental and that life and health are found in such relationships with God and with other persons. The discussion generally avoided the impasse of how the miracle was performed or whether it was, in fact, performed at all. Rather they believed the value of the story lay in what it revealed about relationships between God and man, and how man might live responsibly. After a time Victor found a good stopping point in the conversation, summarized briefly the various points of view, added a brief interpretation of his own and closed the discussion and the service. Not all those present had entered the discussion, but the range of ideas was large and several remained to discuss the drama further. Some visited with guests or friends while others folded up the chairs and put away the song folders.

The several elements of the service together reflect the members active commitment to "radical Christian discipleship in every area of life": the direct activity of the

participants, the identification of the members' concerns, the rotation of the leadership roles, and the avoidance of a priestly role, the translation of the Biblical stories into present concerns, and the use of modern instruments. These help bridge the distinction between sacred and secular and help the members understand and actuate their commitment to discipleship in "every area of life."



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