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CHINESE STUDENTS AND SCHOLARS AT AMERICAN COLLEGES,
UNIVERSITIES, AND RESEARCH INSTITUTES IN SEPTEMBER
1981: AN INQUIRY INTO THE RELATIONSHIP BETWEEN
ADVANCED ORIENTATION IN CHINA AND SUBSEQUENT
INTERACTION WITH U.S. CULTURE

By

Mary Kay Hobbs

A DISSERTATION

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ABSTRACT

CHINESE STUDENTS AND SCHOLARS AT AMERICAN COLLEGES, UNIVERSITIES, AND RESEARCH INSTITUTES IN SEPTEMBER 1981: AN INQUIRY INTO THE RELATIONSHIP BETWEEN ADVANCED ORIENTATION IN CHINA AND SUBSEQUENT INTERACTION WITH U.S. CULTURE

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Mary Kay Hobbs

This study investigates the role and effect that advanced preparation in languages and cultural orientation have on the interaction of students and scholars from the People's Republic of China with the American culture and people after their arrival in the United States. The primary research question formulated that:

Chinese nationals who receive prior preparation in language training and cultural orientation to America will have more varied and extensive ties with the American populace than those Chinese nationals who did not have such preparation.

A survey questionnaire was distributed to over 700 Chinese citizens studying in 40 selected American colleges, universities, and research institutes. Two hundred thirty-three returned questionnaires formed the data base. Descriptive information was obtained concerning the profile of Chinese students and scholars in the United States, the nature and variety of predeparture programs in China, and the nature and variety of their contacts in the United States. Much of this is new information able to be documented at this time because of the

recent resumption of educational exchanges between the United States and China after a virtual absence of contact for nearly 30 years.

The data were analyzed through a series of cross-tabulations of variables to determine which items or clusters of items in a preparation for study abroad related significantly to the amount and kinds of interaction the respondents had with U.S. culture and the American people.

The findings revealed that Chinese nationals who had more background preparation also tended to rate higher on the factors indicating intercultural interaction in the United States. However, closer examination of individual variables showed that such interaction was not necessarily the result of advanced preparation in China as much as it was the result of their living situation in the United States and the efforts of university or community groups to provide opportunities for interaction.

Finally, the study looked at the exchange program at Michigan State University, including a description of its development and interviews with Chinese students and scholars at that location in order to obtain additional information about their experiences.

To my mother and father, Donald and Louise Hobbs, whose belief it is that education opens the mind to the world and all it has to offer.

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The completion of this dissertation owes a great deal to a number of people who have gratuitously supplied the encouragement, expertise, and milieu that brought it to fruition. I'd like to thank them here:

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Although the completion of this research project is truly a cooperative venture, the responsibility for its final content, errors, limitations, and conclusions is my own.

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CHAPTER I

INTRODUCTION

Research Inquiry and Nature of the Problem to Be Studied

The study in this dissertation investigates the experiences of a group of persons about which little is known at the present time: the students and research scholars from the People's Republic of China (hereafter referred to also as the PRC or as China), currently studying in U.S. universities and research institutes. After a lapse of nearly 30 years, China once again is sending students and professional people, particularly those in scientific fields, to the United States for advanced study and research. This new situation is primarily the result of changes in government policies in both countries: the launching, in 1977, of a major campaign in China to modernize science and technology, and the establishment of diplomatic relations with the United States on January 1, 1979. These policies have opened up opportunities for the exchange of teachers and students between the two countries.

In particular, the study investigates the role and effect that advanced preparation in the areas of language study and cultural orientation have on the interaction of PRC nationals with the American culture and people after their arrival in the United States. Through the use of a written survey questionnaire sent to nearly 1000 students

and scholars from China in 40 U.S. institutions, the study gathered information in order to assess the formulation that:

Chinese students/scholars who had some initial preparation in language training and cultural orientation to America will have more varied and extensive, active ties with the American populace (academic and nonacademic) than those Chinese students/scholars who did not have such preparation.

The study also elicited data concerning secondary areas of research objectives formulated thus:

1. Those Chinese scholars/students who come to the U.S. as individuals with private sponsorship will have more varied and extensive contacts with Americans than do those who come under the sponsorship of the Chinese government.
2. Those Chinese students/scholars who have more interaction with U.S. culture will express more overall satisfaction with their stay than those who have less.

The second of these hypotheses is suggested for testing by previous research done by Hull and Klineberg in 1979 into the area of adjustment factors which affect students who study in a foreign university. Their thesis stated that those who are satisfied with their interactions with local people and the local culture during their stay abroad will report broader and more general satisfaction with their total experience abroad, not only nonacademically, but also academically. A closer examination of this hypothesis is to be found in Chapter III, "Review of the Literature."

Another intention of the study is to identify a profile for the respondents of the questionnaire to corroborate and compare with the profile prepared by the U.S.-China Education Clearinghouse, the only agency known to be currently active in compiling data on this group of foreign students. The findings of the survey conducted for

this dissertation will add to that profile by providing information not solicited by the Clearinghouse.

Why a Study of Chinese Scholars and
Students in the U.S.?

Several reasons warrant a study of this subject at this time.

First, China is a third-world country seeking to industrialize and modernize its economy. It is the world's most populous nation with nearly a billion people in 1980 and, as such, must find solutions to meet the basic needs of its citizens. China's problems require political and economic solutions, a setting of priorities based on the overall objectives for national development. Not unique to China, these needs clearly place China in a world setting with problems similar to those of other third-world nations: low average per capita income, a subsistence standard of living in most areas of the country, limited development of potentially rich natural resources due to lack of industrialized technology and expertise, and lack of capital to develop institutions to train such expertise as fast as it is needed and desired.

Second, what is peculiar to China vis-à-vis many other third-world countries in similar conditions is her relative lack of interaction and association with the industrialized nations, especially the United States, over the past 30 years. Official government policies established by the Chinese Communist Party under the leadership of Chairman Mao Zedong focused China's development as a socialist nation on gaining internal stability and on pursuing a policy of

isolation and self-reliance in regard to the rest of the world. Internal changes in structure were made in the areas of land ownership, access to health and educational services to assist in the transition from a feudal agrarian society into one in which existing resources were to be distributed more equitably. The country maintained limited exchanges and foreign relations with other third-world countries, but (not always out of choice) did not have extensive relations with or influence upon the more industrialized nations of Europe, Japan, the United States, or, after the early 1960s, the Soviet Union. The result is that today China is a country whose people as individuals and whose nation as a whole are relatively unpracticed in personal contact and interaction with people and systems whose habits, values, goals, and socioeconomic objectives are different from its own. In this respect, China, whose population constitutes nearly 25% of the world's people, has a recent history that sets it apart from many other third-world countries who have had ties and experiences with the industrialized nations.

Third, because of the isolation that existed between the United States and the People's Republic of China from 1949 until the early 1970s, there was very little first-hand or accurate information about the United States in China, and few channels existed through which the people of China could come into contact with American society and culture. There was virtually no exchange of people, products, or dissemination of American books, magazines, newspapers, radio broadcasts, or movies that would reflect American culture and

values. Until the late 1970s, most information about America came through carefully controlled Chinese sources and often was hostile.

Since 1976, dramatic changes have taken place in China. That year witnessed the deaths of Zhou Enlai, Ju De, and Mao Zedong in addition to other national tragedies such as the devastating earthquake in the major industrial area of Tangshan. A few months later, in the spring of 1977, four prominent figures in the central government, categorized by the Chinese as the notorious "Gang of Four," were arrested. China then launched a series of campaigns to alter a number of the policies and directions promulgated in the preceding decade during the movement of the Great Proletarian Cultural Revolution. That period is now being assessed in a negative way, and a new set of guidelines, priorities, and directions for the country has been instituted under the broad entitlements of the New Long March or the Four Modernizations. The new directions include major changes in China's relationship to other countries and in particular to the industrialized countries and to the United States. The campaign to achieve modernization concentrates on four areas: agriculture, industry, science and technology, and national defense. Both domestically and internationally, China is pursuing the goal of modernization with great intensity. Internally, the entire educational system now gives greater emphasis to academic achievement, as well as increased respect and status to intellectuals and basic research, particularly in the sciences and mathematics. Increased production to enhance the standard of living is being stressed. Externally, China is seeking increased trade and exchange with the

West, believing that the country can borrow from outside those things that are beneficial, such as scientific and technological know-how, and reject those influences and values not suited to its socialist development, such as individualism and capitalist ideology. The Chinese admit they are in an experimental stage and that they may make mistakes in trying to maintain the balance between their own national integrity and socialist values and the desired "goods" from elsewhere.

One consequence of China's drive for modernization by the year 2000 is the establishment of diplomatic relations with the United States and the resultant exchanges in the areas of trade, culture, and education. While China has had such exchanges for a number of years on a small scale with other industrialized nations with whom they have had diplomatic relations, China's leadership, even after the death of Chairman Mao and the arrest of the Gang of Four, maintained that such exchanges would not take place with the U.S. until diplomatic relations were established. Ping-pong diplomacy in 1971, Richard Nixon's visit to China in 1972, and a trickle of American tourists and select delegations to China elicited an improving climate of curiosity about each other's countries, but very little exchange to the U.S. came from China.

The Need for This Particular Study

On December 16, 1978, President Carter and Chairman Hua Guofeng issued joint statements calling for the establishment of full diplomatic relations between the two countries as of January 1, 1979.

This official act led to the initiation and execution of a multitude of exchange ventures from all sectors and levels of both countries: increased tourism to China, increased exchanges in both directions of cultural delegations, resident journalists and business representatives in both countries, and others. Sister cities are being established, and individual exchanges arranged directly between institutions as well as through government channels are proliferating. The increase in such exchanges alone warrants an assessment to document what is happening, how, and to what degree.

In the area of educational exchanges, China's goals are clear: the country seeks to upgrade its level of knowledge and expertise in order to meet its objectives of modernization in science and technology. The Chinese talk of "catching up" for a generation of students and youth "lost" during the decade of the Cultural Revolution, a time when the educational system was severely damaged. Many universities were closed for periods from three to six years in the late 1960s and early 1970s.

The investigation and inquiry undertaken in this study are, of necessity, related to the broader topic of the adaptive factors and processes pertinent to all foreign students as they undertake to live in a country that is not their own. Part of the motivation for a study on the Chinese scholars and students in the United States at this time comes from seeing them as part of, yet distinct from, the flow of international students who have come to the U.S. in recent years.

The specific inquiry in the study, that of looking into the relationship between predeparture preparation and orientation toward a host country and the subsequent interaction with the host culture, could add to the body of knowledge in the field. Examination of the literature to date revealed that few studies have investigated this area. In the more practical sphere, many American institutions, organizations, and programs that engage in cross-cultural exchange proceed on the basis that there is such a relationship, and they offer predeparture orientations to ease the adjustment of those who will spend time in another culture.

The results of the primary inquiry, therefore, may lead to new information and assessment concerning the importance of advanced preparation prior to study abroad. The case of the Chinese students and scholars now in the U.S. is an interesting and unique one because of some of the background factors already mentioned. At the present time, the exchange programs between the two countries are in the initial stages with the exchange mechanisms and procedures not yet fully determined or standardized. Some researchers come for short stays of three months to one year, while others are enrolled in graduate programs of two or more years.

In addition, concerned persons in Chinese universities and institutions have become aware of the gaps in culture between the two countries and have begun to initiate special programs for those preparing to come to the United States. These are also in the initial stages with little systematic content. Therefore, such a study at this time is useful and significant.

The several chapters of this dissertation explore various aspects of the question being investigated. Chapter II introduces the setting for this particular study by providing information on the background and current status of the recently resumed exchanges in education between the United States and China, including the mobilization and preparation of American institutions for their arrival.

Chapter III is a review of the literature, which is divided into several parts: (1) an investigation of the theories relating to cross-cultural learning and interaction, (2) studies done with foreign students to determine the nature and importance of various factors related to their stay in a foreign country, (3) literature concerning the responses of U.S. educational institutions to the needs of those coming from foreign countries, and (4) a look at the role that orientation has played in the success of a stay in a foreign country. The review of the literature examines and establishes the results of previous studies in these areas in order to place the case of the students and scholars from the People's Republic of China into a broader framework.

Chapter IV describes the methodology undertaken in this dissertation. It includes the development of the written survey questionnaire to solicit information from Chinese students and scholars in 40 different U.S. institutions and a description of the analytical procedures used to interpret the data obtained.

Chapter V discusses the findings of the survey, both descriptive and inferential. Some findings supported the hypotheses raised

in this dissertation; others showed significant relationships between factors pertinent, but not inherent, to the primary hypotheses. These suggest areas where further research is needed.

Chapter VI takes a look at the nature and experiences of the Chinese scholars and students at one U.S. institution, Michigan State University. Several interview sessions were conducted with groups of PRC nationals to obtain a better understanding of areas of the exchange that could not be solicited through the written questionnaire. In addition, the Foreign Student and Admission Offices were consulted, along with the Asian Studies Center, the English Language Center, and the US-China Peoples Friendship Association in order to gain a broader perspective on the functioning of the exchange from the university administrative and community-interaction points of view.

The dissertation concludes with several appendices that formed the basis of the research, including a selected bibliography.

CHAPTER II

BACKGROUND AND NATURE OF U.S.-CHINA EDUCATIONAL EXCHANGE

For the purposes of this study, this chapter deals only with the students and scholars coming from the People's Republic of China to the United States. However, it should be noted that the exchange is a two-way process with a slowly increasing number of Americans, both faculty and students, being admitted to China for teaching, study, and research purposes.

The current arrival of Chinese students and researchers to the U.S. is certainly not the first instance of educational exchange between the two countries. Between 1872 and 1881, a total of 120 Chinese students matriculated in American universities. By 1917, there were 1,200 students¹ in America, many of them supported, ironically, by official Chinese government funds in the Boxer Indemnity Remission Scholarship Program created by the U.S. to aid China in repayment for loss of American life and property in China during the anti-foreign Boxer Rebellion in China at the turn of the century. During this period in China there was tremendous interest in American values and their application to the newly emerged Republic of China. Sun Yat-sen, the first President of the Republic, had spent considerable time in the West with American and English connections. Bertrand

Russell and John Dewey both made speaking tours of China, drawing a positive response from thousands of Chinese students and intellectuals with their philosophies of pragmatism. Further, the Chinese put great faith in the idealism of Woodrow Wilson and had hopes that he and the American influence would be able to bring about a settlement of World War I issues in order to safeguard Chinese territorial integrity. Such hopes were realized at the 1921-22 Washington Conference, which obligated the Japanese to withdraw from territories in China formerly held by the Germans and ceded to Japan in the Versailles Treaty.

During the 1940s, the number of Chinese students in America grew to between 5,000 and 6,000, nearly the same number which are now studying in the U.S. since the re-establishment of exchanges initiated in the late 1970s.² Of these American-trained professionals in science, education, and agriculture, many returned to China where some came to assume important positions in China's government and educational institutions now under Communist Party control. Throughout the last 20 years, many of those have suffered during periodic waves of suspicion and persecution because of their foreign training.³ In 1950, educational exchanges between the two countries ceased as the U.S. withdrew its personnel and closed all consulates and the Embassy, officially denying recognition to the new government on the mainland of China.

The present educational exchange with the People's Republic of China is a direct consequence of the re-establishment of diplomatic relations between the two countries on January 1, 1979, thus ending

29 years of mutual nonrecognition between the two governments. The official move to normalize relations began in February 1972, when then-President Nixon accepted the Chinese invitation to visit Peking for discussions on the possibility of improving Sino-American relations. The visit concluded with the signing of the now-historic document known as the "Shanghai Communique," issued on February 28, 1972. Although the signing of the "Shanghai Communique" led to the establishment of a Liaison Office in each country to continue discussions of the normalization of relations and the development of bilateral trade agreements, little was done over the next six years to implement concretely the section of the Communique dealing with specific exchanges:

The two sides agreed that it is desirable to broaden the understanding between the two peoples. To this end, they discussed specific areas in such fields as science, technology, culture, sports and journalism, in which people-to-people contacts and exchanges would be mutually beneficial. Each side undertakes to facilitate the further development of such contacts and exchanges.⁴

Such exchanges consisted, for the most part, of a limited, although increasing, number of tourist visas issued to Americans (primarily through the auspices of the US-China Peoples Friendship Association), exchanges of individuals or small delegations in the areas of business or government, and a few cultural exchanges. The most prominent among the latter were the tour of the "Archeological Finds from the People's Republic of China" in 1975 and the "Exhibition of the Huhsien County Peasant Paintings" in 1977.⁵ During the period from 1975-1979, an increasing number of tours to China organized by and for individual university administrators and alumni were received in China.

However, despite the hopes on the part of the Americans that these visits would lead to specific exchange agreements between themselves and universities in China, this was not the case.

Through a number of channels, the Chinese made it clear that the full and open exchange of students and faculty between the universities and research institutes of the two countries was dependent upon the establishment of full diplomatic relations. The Xinhua News Agency clippings for 1977 and 1978 record cordial meetings between representatives of U.S. university groups and representatives of the Chinese Ministry of Education (notably Fan Yi, Vice-Premier and President of the Chinese Academy of Sciences) which state diplomatic relations as a condition for the implementation of desired educational exchanges.⁶ Similarly, when representatives from the formally established Liaison Office of the People's Republic of China in Washington, D.C., accepted invitations to speak to those professional and government groups having a special interest in or relation to Sino-American concerns, their response to the question of educational exchange was the same.⁷

Despite the public assertions that educational exchanges of any magnitude would have to wait until normalization of relations was achieved, a great deal of behind-the-scenes work was being done; and in October 1978, several months before the formal announcement of the establishment of diplomatic relations, an agreement on limited educational exchanges was reached in Washington, D.C., and subsequently released. (See Appendix A.) This "Understanding on the Exchange of Students and Scholars Between the United States of America

and the People's Republic of China" was developed by Dr. Chou Pei-yuan, acting Chairman of the PRC Science and Technology Association, and Dr. Richard Atkinson, Director of the American National Science Foundation. The agreement consisted of 11 points outlining general goals and conditions for a two-way scientific and scholarly exchange, including lists of fields in which each side's students and researchers were interested and lists of institutions where they wished to work. Numbers were suggested: the Chinese wished to send around 500 students and scholars to the U.S. during the 1978-79 academic year, while the U.S. indicated their desire to send 10 students to China in a national program starting January 1, 1979, and 50 more by the fall of 1979.⁸

The Door Opens

On December 15, 1979, simultaneous announcements in the two countries (over Peking Radio and in the People's Daily newspaper in China and by President Carter in a nationally televised press conference in Washington, D.C.) stated that formal diplomatic relations between the United States and the People's Republic of China would be effective on January 1, 1979.⁹ In the latter part of January and early February of 1979, Vice-Premier Deng Xiaoping made the first state-to-state official visit to the U.S. During his nine-day tour of several cities, a number of agreements were signed on a variety of scientific and cultural exchanges: the guidelines, terms, and conditions were outlined in an official document signed by both President Carter and Vice-Premier Deng in Washington, D.C., on January 31, 1979.

(See Appendix B, Agreement on Science and Technology and Memorandum of Understanding on Educational Exchanges Between the United States and China.) This agreement, although based on the promotion of mutually beneficial bilateral activities, clearly recognized China's needs for advanced training of personnel in the sciences and technology in order to move its domestic national plan to modernize. It includes areas of exchange much broader than that of students and faculty: bilateral cooperation extends to the exchange of scientific, scholarly, and technological information and documentation, the joint planning and implementation of programs, joint research in scientific and technological fields, and the organization of joint courses, conferences, and symposia, as well as others. The agreement is to remain in effect for five years with its implementation and manner of operation to be determined by a US-PRC Joint Commission on Scientific and Technological Cooperation. The U.S. executive agent is the Office of Science and Technology Policy; China's is the State Scientific and Technological Commission.¹⁰

While the above document and its general stipulations do not determine the sole context in which educational exchanges will take place or limit the initiative of organizations or universities in establishing their own direct relationship with counterparts in each country, it is the piece of paper that opened the door that had previously only been ajar. Neither country has lost any time in implementing the agreement, and exchanges, arranged both through government and individual institutional channels, have proliferated.

In November 1978, two months before the signing of the Joint Communiqué which established diplomatic relations, China Features (Peking) carried an announcement that 50 research scholars, 6 of them women, would leave for the U.S. in December to commence study at four universities. Their two-year stay was to start with three months of English study at American and George Washington Universities in Washington, D.C. Fan Yi met with this first group of scholars on December 26, 1978, encouraging them to study hard and master advanced American science and technology. "You must emulate the attitudes of Premier Chou Enlai and other revolutionaries of the older generation when they studied in France."¹¹ On January 5, 1979, a mere five days after the normalization of relations between the two countries, the Ministry of Education and the Bureau of Scientific and Technological Personnel under the State Council held a joint meeting with 160 participants from over 50 organizations and 27 universities. At this time they drafted a two-year plan for sending students abroad discussing methods and standards for selecting them.¹² The emphasis was on the training of more teachers for China's institutes of higher learning, particularly those responsible for the natural and technical sciences. In keeping with the "Understanding on the Exchange of Students and Scholars Between the United States of America and the People's Republic of China," eight American postgraduate students, selected through the auspices of the Committee on Scholarly Communication with the People's Republic of China, arrived in China in late February 1979. At a reception held for them by the Ministry of Education in Peking, the Chinese restated their goal of sending 500

Chinese to attend U.S. universities to study advanced science and technology; Tom Gold, speaking for the Americans, stressed the hope that the U.S. would send 60 Americans to China to study Chinese history, literature, and language.¹³ Neither of these aspirations was achieved numerically within the time frame first estimated (six months for the Chinese, one year for the American), primarily because the exchange apparatus and logistics, nonexistent for nearly 30 years, had to be built almost from scratch. However, the mobilization of American institutions to receive students from China has proceeded at a rapid rate, all the more amazing considering the lack of a central administrative agency and the diverse stipulations and requirements of independent institutions.¹⁴

Profile of the Chinese Students and Scholars in the U.S.

From fewer than two dozen PRC citizens studying in the U.S. at the time of normalization, the number increased in dramatic proportions to reach over 6,000 by the summer of 1981, surpassing by more than one-third the number enrolled in U.S. universities during the 1940s.¹⁵ This figure is significant in that it reflects the desire on the part of both countries to positively demonstrate the new relationship between them. In China's case, it is action urgently taken to make good on the national plan to modernize and a solid reversal (at least for the present period) of its past international isolationism.

Throughout this dissertation, persons from the People's Republic of China in the United States are referred to as students

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and scholars. Such a distinction is necessary, reflecting groups of persons who have differing characteristics, most notably in their sponsorship, status at the university, and purpose for their stay.

Scholars, or "visiting scholars" as they are sometimes called, are mid-career Chinese professionals sent to the U.S. for varying periods of time to pursue advanced training, primarily in the natural sciences and engineering. They are engaged in research programs at individual American universities and institutes rather than degree programs. They are nominated by their home institutions, and their stay is supported by Chinese government monthly stipends of around US\$400. The purpose of their stay is many-faceted: working with American colleagues in their field enables them to learn new research techniques, to catch up on developments in their fields, and to acquaint them with the broader scientific community.

Chinese-government-sponsored graduate students constitute another defined category. In general, they are younger than the visiting scholars, being chosen after graduation, after a stringent selection process from China's leading educational institutions. Like the scholars, they receive a monthly living stipend from the Chinese government but are admitted and enrolled in graduate degree programs in American universities. At present, the Chinese government has plans to send four to five hundred such students to the U.S. each year.¹⁶ This number is not as large as that of the visiting scholars, but it is a growing segment of Chinese citizens on American campuses.

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Privately sponsored students make up a third category of Chinese now studying in the U.S. This group is much more diversified and, as of late 1981, has become the largest group of Chinese in the U.S., representing over 55% of the total. Recognizing that it cannot meet the need for trained personnel for the modernization effort or the desire for study abroad of its many citizens through government sponsorship alone, the Chinese government has encouraged students to investigate other sources of sponsorship and readily grants visas to those who can demonstrate a sufficient level of support. Such support comes from two primary sources: friends or relatives in the U.S. and from individual foundation grants, university fellowships, and assistantships. Selection in these cases rests on meeting the admission standards of U.S. universities rather than the criteria set by the Chinese government. While members of this group range from high school students to individually sponsored researchers, the majority of them are undergraduates. They tend to be more widely scattered throughout the U.S., studying in a broader range of fields and disciplines than either the visiting scholars or the Chinese-government-sponsored graduate students, both of whom are highly concentrated in the sciences and engineering.

Individual American institutions are increasingly establishing their own exchange agreements with a variety of institutions in China. Such arrangements are often reciprocal, allowing for the exchange of students and/or faculty at a variety of levels and in many fields of study. The specifics of such exchanges are often drawn up in written exchange agreements. More about this and other

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information concerning the changing pattern of the Chinese students and scholars in the U.S. will be discussed later.

The first few months after the establishment of diplomatic relations raised many questions on how the exchange process would operate. American universities began contacting the newly established Chinese Embassy as well as the U.S. State Department for information on how to bring Chinese students to their campuses. U.S. universities quickly began to receive letters of inquiry from students and universities in China asking similar questions. Even private organizations having links with or interest in China, such as the National Committee on U.S.-China Relations, the Committee on Scholarly Exchange with the People's Republic of China, and the US-China Peoples Friendship Association, were contacted for information about the exchange process. None of these agencies or organizations could provide a systematic, much less comprehensive, response to the growing demand for information.

In March 1979, a conference sponsored by the International Communications Agency of the U.S. government was convened to discuss the exchange process between the two countries and its implications. Attended by professionals in the National Association for Foreign Student Affairs (NAFSA) from a wide variety of educational institutions, the conference covered such areas as the lack of uniformity in placement procedures in U.S. institutions, English-language difficulties, and discussion about ways to introduce Chinese scholars and students to local people and the American culture should they desire such contact. At that time there were 100 students from the People's

Republic of China in the United States compared to 13,650 from Taiwan.¹⁷ One issue debated was the extent to which students and scholars arriving from China should be treated differently from others of the many national groups of foreign students already on American campuses. Some felt that special considerations and stipulations were required since, at that time, so little information was available to each country on the educational evaluation procedures and admissions requirements of the other country. Throughout the 1970s, most universities in China did not grant degrees, and other means of evaluating a student's performance which were recognized and accepted by many other foreign countries, such as the Graduate Record Examination and the TOEFL examination of English fluency, were unknown in China. Others felt that the establishment of special categories or services for Chinese students and scholars per se would be to set a precedent that would serve neither the Chinese nor the American institutions in the long run. Both groups were desirous of facilitating the exchange process, but that issue was left unsettled.

Establishment of an Information Agency

One strongly agreed upon outcome of that conference was the need for an agency to provide continual up-dated information on the exchange process to assist universities and others throughout the country. Thus, the U.S. International Communication Agency provided two-year funding for the establishment of the U.S.-China Education Clearinghouse in Washington, D.C., to be administered jointly by the Committee for Scholarly Communication with the People's Republic of

China and the National Association for Foreign Student Affairs. The main purposes of the Clearinghouse were to provide information about China's educational system to U.S. institutions of higher learning, to collect and share information about U.S.-China institutional relationships and student/scholar exchanges, and to channel recommendations received from the American higher education community on U.S.-China educational relations to appropriate U.S. government agencies.¹⁸ The Clearinghouse performed these services admirably over the past two years and is to be commended for its publications, which are the leading source of information on the exchange process and its development. Not only has it provided valuable information on the Chinese population of students and scholars in this country, based on several research projects, but it has also compiled and shared previously lacking information about Chinese institutions of higher learning necessary for Americans who wish to study or do research in China. A list of Clearinghouse publications is included in the bibliography of this dissertation.

The first known attempt to compile information on the students and scholars from China studying in the United States was undertaken by the U.S.-China Education Clearinghouse in December 1979, and the results were released in a publication entitled "Survey Summary: Students and Scholars from the People's Republic of China Currently in the United States" in April 1980. The report is based on a questionnaire sent to 168 U.S. institutions of higher education to which 133 responded. In what is believed to be a realistic profile of PRC students and scholars in the U.S. at that time, the total number

was approximately 2,000. One thousand one hundred of these were government-sponsored visiting scholars (figure verified by the U.S. Embassy in Peking based on those applying for visas to the U.S.); the remainder were privately sponsored students who received financial support from U.S. relatives or friends or directly by scholarships and various forms of aid from the U.S. institutions.¹⁹

In addition to a profile of the Chinese students and scholars in terms of the institutions and locations where they were most concentrated, the report also provided information on language, financial, and administrative issues. Deficiencies in English-language skills were mentioned by the responding institutions as a major problem. Of those who required additional language training after arrival in the U.S., 45.1% were students and 54% scholars.²⁰ Speaking and listening comprehension caused the greatest problems. Other frustrations arose due to unfamiliarity with American idiomatic usage and to technical terminology in the specific field of study. Misunderstandings in language often exacerbated misinterpretations of differing cultural behavior between the Americans and Chinese.

Fifty-one and six-tenths percent of the responding institutions reported that those scholars and students sponsored by the Chinese government received stipends inadequate to living costs in America, stating that at least \$100 to \$200 in additional funds were required to meet basic monthly expenses.²¹ Lack of funds for needed clothing, medical and dental expenses were often reported.

Because of the rapidly expanding number of Chinese who arrived in the U.S. each term, and the widely differing time periods of their

stay (some coming for three to six months and others for one to two years), the statistics released in the "Summary Survey" were outdated by the time of its release. However, its purpose of providing a profile and of identifying initial problem areas in order that U.S. institutions could begin to address themselves to their solution was accomplished.

In August 1981, the Clearinghouse published the results of a more detailed and extensive survey with the same title as the earlier one. Three main factors justified the conducting of a second survey only one and a half years after the first: (1) both the number of students arriving from China and the number of American institutions receiving them had increased dramatically, rendering the earlier survey incomplete and inaccurate; (2) a comparison of the results of similar items in the two surveys pointed out changes that had taken place in the profile, patterns of sponsorship, and problems facing the students and scholars; and (3) the second survey provided additional information on university apparatus and methods of assimilating the Chinese citizens into the American academic environment.

As before, the survey was sent to U.S. universities and colleges thought to have enrolled PRC citizens--313 in 1981 as opposed to 168 in 1979. One hundred eighty responded to the second survey. The results of the survey provide a much clearer picture of the exchange process and its present status on U.S. campuses. It is a picture that the authors, Tom Fingar (CSC-PRC) and Linda Reed (NAFSA), expect to remain fairly stable or within the range of changes in patterns among other foreign students in the future because of China's

inability to support students and scholars at the present increasing ratio and because the "pool" of those in China who most desperately needed foreign training for China's modernization efforts is being depleted. At the same time, China's ability to train students and researchers in needed fields in its own universities and research institutes is increasing because of the domestic policies of improving educational institutions and because of the advanced training that returned students and scholars from abroad will bring.

Of the estimated 5,000 PRC students and scholars in the U.S. at the time of the survey, the results provide information on the characteristics of 3,467 of them, broken down into the following categories:

399 undergraduates;
656 graduate students;
1,945 visiting scholars;
467 other (mainly persons studying English).²²

This represents an increase of 300% over the number in the U.S. at the time of the first survey. While 5,000 is still only one-third the total number of students in the U.S. from Taiwan, there are only 12 or 13 other countries that have more citizens studying in the U.S. at this time.²³

The geographic and institutional distribution of Chinese students and scholars remains about the same as in the earlier survey. Even though they have enrolled in nearly twice as many U.S. colleges and universities, the western region, California in particular, still enrolls the largest percentage (26%).²⁴ Further, this survey was able to document that, of the schools responding, 65% are in 25

schools that have more than 40 students and scholars from China, and six schools have more than 100.²⁵ These figures showing concentration are indicative of the particular following that some U.S. schools have in China, and that they have done quite well in securing admission to targeted institutions.

One of the biggest changes between the results of the two surveys is that of composition of sponsorship and category. Visiting scholars, once 61% of the total, is expected to decline to 34% in the 1981-82 academic year; an increase in the absolute and relative number of graduate students (both Chinese government sponsored and privately sponsored) was recorded with the percentage changing from 17% to 45%.²⁶

While the majority of PRC students and scholars are engaged in research or degree programs in mathematics, engineering, and the physical sciences, the percentage of graduate students in the humanities and the social sciences is greater than that of the visiting scholars. Privately sponsored students, most of them undergraduates, tended to be more evenly distributed in all fields. The biggest jump came in the number of graduate students enrolled in the humanities, from 1 in 1979-80 to 90 in 1981-82.²⁷ But this number represents only 1.5% of the total Chinese population studying in the U.S.

The Chinese institutional affiliations for undergraduates, graduates, and scholars remained relatively unchanged from one survey to the next:

--Colleges and universities	54-55%
--Chinese Academy of Sciences	27-29% (slight decline from 1979)
--Academy of Social Sciences	2- 4%
--Government agencies	4- 8%
--Other	13-14%

The only exception of note is that 77% of the undergraduates are coming from China's universities and colleges rather than specialized academies or research institutes.²⁸

Obstacles and Problems

English-language deficiencies continue to constitute a major barrier to the admission and full assimilation of Chinese students and scholars into their academic or research programs, according to the respondents of the second survey. Of the 125 respondents to that question, 59% felt that most PRC students required additional English-language training; 19% said that some needed it; and 22% indicated that few required more training.²⁹ Figures for Chinese scholars are nearly identical. An additional problem is that of assessing the language ability of PRC applicants prior to arrival in the U.S. because of the nonavailability and lack of administration of the standard TOEFL exam in China. This situation is about to be corrected as arrangements have been made to do so, starting in a few select locations in China in 1981-82. Dr. Altman of the Educational Testing Service in Princeton maintains that GRE as well as TOEFL scores for all government-sponsored students and scholars should be available to U.S. institutions from those applying for the fall 1982 term.³⁰ However, this leaves a large percentage of the total number applying for admission without access to the test in China, i.e., privately sponsored students.

The areas and issues raised around the subject of admissions policies and procedures are still troubling ones according to the responses to the second survey. As the Chinese have become increasingly aware of applications procedures, i.e., to whom to write for what forms, how to apply for financial aid, and others, increasing numbers of students and scholars applying from the institutions familiar with the procedures are using them. Those American institutions that have specific exchange agreements with individual Chinese institutions have the fewest problems in this area, mainly because persons from the two institutions have met, either in the U.S. or China, and have discussed the procedures for their own institutions. It is most problematic for the self-willed and enterprising Chinese student who wishes to apply for U.S. university fellowships or assistantships. He or she often does so on the suggestion of a foreign teacher in China, has never seen an American university catalog, and expects things to be standardized in U.S. institutions as they are in China. Therefore, such students often use unorthodox channels and contacts and provide incomplete or insufficient information to the university or department to which they wish to apply.

In response to China's lack of familiarity with American procedures and methods of evaluating potential applicants, 48% of the survey respondents said they applied the same criteria to Chinese candidates as for all other applicants, leaving 52% who indicated they applied special criteria.³¹ Of the latter, the most common exceptions made were in the waiving of the U.S. standardized test scores: 99% waived TOEFL and assessed English ability by other

methods; 67% waived required GRE scores; 35% waived SAT scores.³² Some required that one or more of these tests be given after arrival on campus. Very few of the respondents viewed the application of special criteria as a permanent measure: 75% indicated that such waivers and special procedures would end when the normally required tests are administered in China, and 40% stated that the measures were introduced in order to resume exchanges with China.³³

The survey asked a number of questions about financial support in order to lay rest to conflicting rumors and misunderstandings that have arisen among the institutions, the general public, and the Chinese-American community. Since the individual Chinese student or scholar simply does not have the financial resources himself or within his immediate family in China, the costs of study or research abroad have to be borne by others. Chinese-Americans, as a group, have been particularly "set upon" and pressured by relatives in China to support the higher education of a kinsman from China. With the competition keen in China for the limited amount of government funding for either students or scholars, many Chinese view their American relatives (most of whom they have not been in contact with for many years) as the best financial route for their son, daughter, cousin, etc., to achieve the now-much-sought-after opportunity to study abroad. This is particularly true for undergraduates or those in a nonscientific field, both categories that are low priorities for Chinese government funding.

The survey found that substantial sponsorship for both graduate students and visiting scholars is borne by the Chinese government, but that it did vary according to the category and field of study and

that the proportions have not changed very much between the first and second surveys. The following is a breakdown of financial sponsorship:

PRC visiting scholars	72% full or partial Chinese government paid 23% full or partial U.S. universities paid 3% supported by friends or relatives in U.S.
PRC students	34% full or partial Chinese government paid 26% full or partial U.S. universities paid ³⁴ 36% supported by friends or relatives in U.S.

A significant variation was found when looking at the pattern of financial support in those 25 schools that reported enrollment of more than 40 PRC nationals. Whereas the Chinese government provided full support for only 29% of the graduate students overall, it provided full support for 45% of its graduate students enrolled at those universities. In comparison, friends and relatives provided full support for only 21% of the students at those schools, while providing 36% of that category nationwide.³⁵ These figures show a clear targeting of preferred schools by the Chinese government, whereas the overall percentage of privately sponsored students is scattered among a much broader range of schools nationwide.

The "Summary Survey . . ." of 1981 requested and received information about one area that the Clearinghouse did not ask about in its 1980 survey, that of formal institutional agreements that existed between the respondent's school and one or more in China. Fifty-two percent of the respondents to the survey indicated that their university or school did have such an agreement with a Chinese school; 17 schools reported multiple agreements.³⁶ Most of these were established in 1980 or early in 1981; most of them were specific agreements

that commit or permit the institution in each country to receive a designated number of students and/or faculty from the other; some obligate the bilateral providing of scholarships in given fields. However, the number of students and scholars coming to the U.S. under these kinds of agreements is only a small portion of the total: in 1980, 8 undergraduates, 73 graduate students, and 157 visiting scholars were in the U.S. under the auspices of formal institutional agreements.³⁷

The U.S.-China Education Clearinghouse is, by far, the leading source of information about the nature and administrative specifics of the Chinese nationals in the U.S. at this time. Its coordination of information and role of acting as a liaison between university admissions and foreign students offices, the Embassies in both countries, and knowledge of the steps and procedures needed to permit exchanges to move forward smoothly have been of great service to American institutions. The Clearinghouse closed on December 31, 1981, its major purposes fulfilled. However, some of the ongoing tasks have been shifted to the National Association for Foreign Student Affairs and the Committee for Scholarly Communication with the People's Republic of China.

The Interaction of Chinese Nationals With U.S. Nationals

Very little public information exists on the nature or extent of interaction between Chinese and U.S. nationals. In the spring of 1980, a letter was sent to the 56 participants who had attended the "Briefing/Workshop on Students and Scholars from the People's Republic

of China and the United States Colleges and Universities" mentioned at the beginning of this section. The letter requested information on what, if any, kinds of activities or programs were being planned to acquaint PRC nationals with American life and culture, including orientation programs and direct contact with American people or community groups. Of the 18 replies received (32%), most came from members of COMSEC, or Community Section, of NAFSA, which is the division of the foreign student affairs body most interested in this aspect of the exchange process.

None of those responding indicated that their institution was planning special orientations, apart from that provided or required for other foreign students on their campuses. In most cases, they felt that a special effort should be made to acquaint Chinese students and scholars with COMSEC services, which include the following:

- occasional in-home hospitality
- home stays of varying duration (i.e., between terms)
- household loan items (dishes, furniture, sheets, etc.)
- conversational English
- community orientation (banking, shopping, etc.)
- speakers bureau
- emergency loan fund

Several of the persons responding felt that COMSEC should play a role in orienting their volunteers and university personnel who were most likely to come into contact with Chinese students as to the potential pitfalls existing because of cultural and social differences and the long absence of contact with the People's Republic of China.

In the year and a half since that information was solicited, a number of developments have taken place, mainly in response to the growing numbers of Chinese arriving on campuses across the country

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and the growing awareness of community groups that Chinese students and scholars are, in general, enthusiastic about exploring the community and meeting with Americans. Some of the schools that have a large number of Chinese have established an informal, if not official, office that deals exclusively with the China exchange program: the University of Wisconsin, Northeastern University in Boston, University of California in Berkeley, and Wayne State University in Detroit, to name a few. They handle administrative matters dealing with the Chinese and the university, but they also arrange communication between the Chinese and interested community groups.

A number of professional groups and community organizations outside the university have an interest in the arrival of Chinese nationals in the U.S. The National Committee on United States-China Relations is one. Because of their concern that the majority of students and scholars, diligent and committed to their studies and research, would return to China without an opportunity to become acquainted with the history and development of American society, the National Committee created a Scholar Orientation Program in 1980.³⁸ The program consists of a 7-11-day visit to four cities--Williamsburg, New York, Washington, D.C., and Philadelphia--for 15-member groups of Chinese scholars. Each tour is based on a particular professional focus to allow maximum interaction between colleagues of the two countries. Lecture sessions are given by experts in the four cities; there are also visits to historic sites and cultural performances, and tours of multi-ethnic neighbors and civic centers. A highlight of the program is the homestay with American families in New York

and Washington, D.C., which provides a direct experience with daily life.

Thus far, five such groups have been organized under the program:

- June 1980: focus on law and economics
- Sept. '80: focus on English language and literature
- Dec. 1980: focus on agriculture
- March '81: focus on journalism
- Aug. 1981: focus on English language and literature

Through announcements of the Scholar Orientation Program to universities across the country, the National Committee relied on the foreign student offices to publicize the program and to nominate those interested scholars who would most benefit from the program.

While the number of scholars who have participated in the program is small, a total of 47, it has been judged a great success by both the Chinese participants and the National Committee. Nearly half of the participants have been women, a representation much greater than the national percentage of women to the overall Chinese students and scholars in the U.S. (between 15-18%).³⁹ Participants have been selected from a wide variety of institutions in all parts of the country, and they represented many different institutions in China. The only exception has been with the agriculture group, where all but one of the Chinese were studying at the Midwestern universities: Wisconsin, Michigan State, and Minnesota.

The National Committee on U.S.-China Relations has summarized the program as follows:

We feel that the scholars who participate in the program--those who are in the vanguard of China's modernization drive, future leaders and policy makers--will leave the U.S. enriched,

not only by academic and technical knowledge, but by a truer understanding of our people, government, and American society.⁴⁰

A Chinese participant put it this way:

I've been in the U.S. for over a year, and until now all I had done was research in my area of specialization. All I knew revolved around the university and my field. I could not answer any questions concerning the "whole picture" of the United States. When we return to China we should also know about the general conditions in the U.S. This program has certainly contributed to that kind of understanding.⁴¹

Another group having considerable contact with the Chinese students and scholars in the U.S. is the US-China Peoples Friendship Association (USCPFA). Nationally incorporated as a nonprofit educational group in 1974, the Friendship Association is a loose "confederation" of over 100 local chapters of volunteer members with a nationally elected board of directors. National priorities focus on the operation of a tour program to China (one of the largest in the country) and the operation of the Center for Teaching About China in Chicago, which is a clearinghouse for educational materials about China for use in American primary and secondary school curricula. The Friendship Association's overall objective is "to promote a better mutual understanding between the peoples of China and the United States."⁴² The activities of local chapters vary considerably, depending upon the interests of individuals and communities, but most offer regular programs on China featuring films and speakers, promote the national tour program, and participate in international community programs, festivals, and bazaars. Prior to the establishment of diplomatic relations, the organization publicly criticized the U.S. government's "two China" policy and lobbied for the normalization of relations in Washington.

Since 1979, the local chapters of the Association have spent increasing amounts of time and energy in activities to assist scholars in their adjustment to the U.S. and to encourage them to participate in Association events geared to educating Americans about China. Contact with Chinese scholars and students was not difficult to arrange and maintain, partly because the Association's focus is with China and not with foreign students in general, and partly because the Friendship Association is quite well known in China. Prior to 1979, it was one of the few American groups with a ready welcome in China and was given a special recognition for its people-to-people foundation. Most Association members have visited China, thus are acquainted with the differences in culture and conditions between the two countries. Many of the first teachers of English from the U.S. to be selected to teach in China's universities in 1978 and 1979 were members of the Friendship Association. While the opening up of China's doors to all varieties and sectors of the American society who have an interest in China has greatly reduced the "special" status and influence the Association has in China, the history of the group makes it easier to understand the affinity between its members and the newly arrived Chinese students and scholars on American campuses.

It is difficult to assess the strength of the relationship or the range of activities that the Association and the Chinese have in common because most local chapters are small and do not systematically report or publicize their services. Some of the larger chapters publish sporadic newsletters, and the national office in Los Angeles publishes a bimonthly feature magazine, U.S.-China Review.⁴³ From

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these and personal first-hand knowledge of Association people and structures around the country, the following activities come forth as a representative sample of services offered on behalf of Chinese visitors through the Friendship Association:

- hosting of banquets or dinners on Chinese national holidays, i.e., October 1 and Chinese New Year;
- English classes to enhance conversation ability;
- transportation assistance for shopping, medical visits, and the like;
- American home hospitality between terms or on American holidays;
- "buddy systems" between individual Chinese and Americans;
- organization of group trips to local community museums, historical sites, etc.;
- sponsorship of Chinese students and scholars to national Friendship Association conventions;
- regional organization by several chapters of group trips to out-of-town special events, i.e., the Great Bronze Age Exhibit or the China Trade Fair which toured America in 1980;
- arranging contact with Association members in other cities for individuals who plan to visit for business or pleasure.

The relationship is mutually beneficial. The presence of Chinese students and scholars has stimulated community interest in China and given the Friendship Association a new vitality in many cases. Individual students and scholars are often willing to speak before groups of Americans at programs or conferences and workshops sponsored by the Friendship Association. Most Association events are open to the public, but not often well publicized. Organization of services and programs with PRC students appears to be haphazard, often growing out

of the needs and requests in a specific location rather than proceeding from long-term planning. Evaluation is seldom systematic or comprehensive, and dissemination of information with the intent to share successes and failures happens only sporadically or randomly, often through word of mouth at regional or national conventions.

Nevertheless, in spite of these weaknesses, the US-China Peoples Friendship Association is an organization that Chinese students and scholars respond to readily, one that offers a genuine opportunity for long-term relationships to develop and mutual learning about each other's country and culture to take place.

The second "Summary Survey . . ." from the U.S.-China Education Clearinghouse did ask for information from the U.S. institutions about the nature and frequency with which Chinese students and scholars participated in nonacademic activities. Concerning the programs arranged for foreign students, 74% said that they participated often or occasionally; the remaining 26% stated such options were used infrequently or never by Chinese nationals.⁴⁴ Survey respondents cited informal English practice, home hospitality, and visits to schools and other community sites as the most commonly requested activities desired by their students and scholars. Sixty-nine percent of the responding institutions stated that they worked with community groups that provided such services for foreign students.

For those community groups specifically interested in working with the Chinese nationals, Katherine Donovan's booklet, Assisting Students and Scholars from the People's Republic of China: A Handbook for Community Groups, provides a wealth of pragmatic advice and

information. The handbook is aimed at helping those individuals and groups who may not have a great deal of experience in programs for other foreign students, but who are eager to meet with and assist persons from the PRC. Moreover, Donovan feels that the preparation of the handbook was necessary because of the uncertainties of what to expect from the resumption of exchanges with a group of persons after nearly 30 years of estrangement. The booklet contains chapters that provide an overview of the exchange process to date, a profile of the newly arrived students and scholars, concrete steps to take on the organization of a program to assist them, and a description of the kinds of programs and services that Chinese students and scholars have found most helpful to date. Also helpful are the appendices, which include a pronunciation guide to common Chinese surnames, a chronology of U.S.-China relations from 1784 to 1980, and a listing of U.S. organizations working in specific areas of U.S.-China relations. The handbook, distributed through the U.S.-China Education Clearinghouse, is the first publication to share the practical experiences, insights, and ideas gained from campus and community groups during this first phase of resumed exchanges.

Summary

This chapter has reviewed a wide-ranging variety of literature bearing on the specific research undertaken. The Chinese students and scholars now in the United States are, as a group, part of a much larger phenomenon, that of people from one culture studying in another in order to achieve special goals, both national and personal.

Yet, because of the particular nature of U.S.-China relations over the past century, recently resumed educational exchange between the U.S. and China has a character of its own, at least in this current period. Some initial work has been done to define the nature of the presence of Chinese nationals on U.S. campuses, much of it through the efforts of the U.S.-China Education Clearinghouse. The surveys conducted by the Clearinghouse provide basic information on the characteristics of the Chinese coming to the U.S. which has been most helpful. However, at this time, no major research has been done to determine the nature of preparation that such students and scholars have had to acquaint them with U.S. educational institutions or the culture in which they will be spending a considerable period of time. Such information can come only from the PRC nationals themselves. For this reason, a written survey was designed to be distributed to a sample of such persons at selected institutions throughout the United States. The development, design, and analysis procedures of the survey are the subject of Chapter IV.

Footnotes--Chapter II

¹ Katherine C. Donovan, Assisting Students and Scholars from the People's Republic of China: A Handbook for Community Groups (Washington, D.C.: U.S.-China Education Clearinghouse, 1981), p. 43.

² Ibid., p. 3.

³ Ibid.

⁴ U.S.-China Agreement: Shanghai Communique. Reprint of press releases in both countries provided by the U.S.-China Peoples Friendship Association, Los Angeles, California.

⁵ Continual and up-dated listings of U.S.-China exchanges and visiting delegations can be found by consulting Notes from the National Committee, a newsletter published by the National Committee on U.S.-China Relations in New York, New York, and U.S.-China Exchange Notes, put out by the University of Michigan, Ann Arbor, Michigan.

⁶ Xinhua News is a synopsis of news items that appear in multiple Chinese newspapers throughout the PRC. Translated into many languages, the synopses are printed and distributed daily throughout the world. Not widely available in the U.S., interested U.S. individuals and institutions can obtain subscriptions through the Xinhua News Agency outlet c/o S. Chinque, 76 Chancery Lane, London, W.C.2, England.

⁷ This observation is based on statements by Wang Pingnan of the Chinese Association for Friendship with Foreign Countries, who spoke at the US-China Peoples Friendship Association National Convention in San Francisco in September 1978, and Chao Yaqing, Chinese Liaison Office representative, who addressed the opening of the Exhibition of Huhsien County Peasant Paintings in Chicago, April 1977.

⁸ "Understanding on the Exchange of Students and Scholars Between the United States and the People's Republic of China." Reprinted in China Bound: A Handbook for American Students, Researchers and Teachers by Karen Gottschang (Washington, D.C.: U.S.-China Education Clearinghouse, 1981). Reference is to point number seven in the agreement.

⁹ Joint Communique on the Establishment of Diplomatic Relations Between the United States of America and the People's Republic of China. Reprint of the press releases in both countries provided by the US-China Peoples Friendship Association, Los Angeles, California.

¹⁰ Agreement on Science and Technology and Memorandum of Understanding on Educational Exchanges Between the United States and China. Issued January 31, 1979, Washington, D.C. Reprinted in China Bound: Handbook for American Students, Researchers and Teachers, op. cit.

¹¹Xinhua News, December 26, 1978.

¹²Ibid., January 5, 1979.

¹³Ibid., February 24, 1979.

¹⁴The U.S. system of noncentralized educational activities and structures has caused a great deal of confusion and frustration to the Chinese government, whose Ministry of Education had signed the Agreement on Science and Technology with the U.S. government. The U.S. Department of Education, the American counterpart of the Chinese Ministry of Education, had little role or decision-making authority in the actual exchange process. Acceptance of Chinese students was up to the schools to which they applied, which had differences in admission criteria, financial stipulations, and varying evaluative procedures for Chinese students.

¹⁵Thomas Fingar and Linda Reed, Survey Summary: Students and Scholars from the People's Republic of China in the United States, August 1981 (Washington, D.C.: U.S.-China Education Clearinghouse, 1981), p. i.

¹⁶Donovan, op. cit., p. 8.

¹⁷Hugh Jenkins, Briefing/Workshop on Students and Scholars from the People's Republic of China and United States Universities and Colleges (Washington, D.C.: National Association for Foreign Students Affairs, 1979), p. 2.

¹⁸An Introduction to Education in the People's Republic of China and U.S.-China Educational Exchanges (Washington, D.C.: U.S.-China Education Clearinghouse, 1980), p. i.

¹⁹"Survey Summary: Students and Scholars from the People's Republic of China Currently in the United States, April 1980" (Washington, D.C.: U.S.-China Education Clearinghouse, 1980), p. 1.

²⁰Ibid., p. 2.

²¹Ibid., p. 3.

²²Fingar and Reed, op. cit., p. iii.

²³Ibid., p. 39.

²⁴Ibid., p. 3.

²⁵Ibid.

²⁶Ibid., p. iv.

²⁷Ibid., p. 11.

²⁸Ibid., p. 10.

²⁹Ibid., p. 17.

³⁰Linda Reed, "TOEFL and GRE to Be Administered in the PRC," NAFSA Newsletter 32,7 (June 1981). (Washington, D.C.: National Association for Foreign Student Affairs, 1981), pp. 160-61.

³¹Fingar and Reed, op. cit., p. 19.

³²Ibid., p. 20.

³³Ibid., p. 19.

³⁴Ibid., from information extracted from tables on pp. 25-27.

³⁵Ibid., p. v.

³⁶Ibid., p. 35.

³⁷Ibid.

³⁸"A Report on the Scholar Orientation Program" (New York: National Committee on U.S.-China Relations, Inc., 1981). The report consists of a four-page handout with accompanying lists of participants and itineraries for each of the first five groups.

³⁹Ibid.

⁴⁰Ibid., p. 3.

⁴¹Ibid., p. 4.

⁴²Taken from the "Statement of Principles" of the national brochure of the US-China Peoples Friendship Association, Los Angeles, California.

⁴³Local chapters with active programs of assistance for students and scholars from China, and which have produced written reports and/or periodic newsletters include the following: Boston, Massachusetts; New York, New York; Miami, Florida; Atlanta, Georgia; Lansing, Michigan; Chicago, Illinois; Detroit, Michigan; Minneapolis, Minnesota; Denver, Colorado; Los Angeles, California; East Bay (Oakland-Berkeley), California; San Francisco, California; Portland, Oregon; Seattle, Washington; Houston, Texas; and Honolulu, Hawaii. In addition, the regional US-China Peoples Friendship Association bodies, located in Boston, Atlanta, Milwaukee, and San Francisco,

issue newsletters three or four times a year. Addresses for local chapters and regional offices can be obtained from the USCPFA National Office, 635 S. Westlake, Los Angeles, CA 90057.

⁴⁴Fingar and Reed, op. cit., p. 33.

CHAPTER III

REVIEW OF THE LITERATURE

An important element in the identification and nature of the research area involves an investigation of previous studies and literature on the subject. Three areas have the most direct bearing and provide insight and direction: what is known concerning the adjustment processes in a cross-cultural situation, what is known regarding the problems and needs of foreign students generally, and what is known about the assessment of and responses to the needs of foreign students by U.S. institutions. The review of the literature shall be placed in this framework and include sections under those subheadings, as well as an examination of the role of orientation programs to assist foreign students.

Historical Setting

Historically, international educational exchange has been truly significant in numbers only since World War II. This is not to say that exchanges did not occur prior to that time. However, the numbers and types of persons who left their homeland to study in a second country were few and the objectives were somewhat different. Traditions and centers of learning, though, go back several thousands of years. "Students" came from many parts of the country and sometimes from abroad to learn from "Masters": Socrates and Plato in

ancient Greece, Confucius in China, and much later, in Europe, Galileo, Thomas Aquinas, Augustine, and others. Such learning was seldom institutionalized; in many cases, students sought their teachers as the latter moved from city to city, sometimes to escape persecution from authorities for their "heretical" teachings.

In medieval Europe, centers of learning became known for expertise in specific subject areas, such as medicine or theology. Nor were the great centers to be found only in Europe. Timbuctu was a thriving center of Islamic study in the Songhai Empire in the 12th and 13th centuries in the Niger River basin of West Africa, drawing selected students from traditional Koranic schools all over Africa and as far away as Turkey and the Arabian peninsula.

Later, during the Renaissance and Reformation periods in Europe, the search for humanistic studies, social policy, and the "grand tour" became motivating factors for study abroad among certain classes of people. Such travel was the route to adventure and social distinction or promotion.¹ Access to and desire for an education in a foreign country was, on the whole, restricted to the elite classes. Although such study abroad may have required the acquisition of another language, the education received strengthened the knowledge and values held in esteem by prevailing traditions of religion or culture (Christian, Moslem, etc.) which crossed national boundaries. Thus, it was not in conflict with or in contradiction to the student's own cultural heritage.

The growth of schooling for a broader sector of a national population has become a priority and reality only in the 19th and 20th

centuries in conjunction with the emergence of the modern nation-state and expressed national development needs. In third-world countries, this growth has taken place, for the most part, in the last 20 years. Access to higher education in all countries is limited by the number of existent institutions, economic and political priorities, and the selection criteria. Although an even smaller percentage of students will have opportunities to study abroad, the trend toward greater numbers of persons participating in international educational exchanges shows a steady growth over the past 25 years.

The growth in the flow of international students to other countries is made possible by several factors, both political and economic. These are not the concern of this study, but they provide the climate in which present-day exchanges take place. Not the least of these factors is the acknowledged global interdependency among countries and the increased facility of travel brought about by modern technology and means of communication. The cooperation and encouragement of international exchange by governments is also a large factor.

Because of its highly developed technology in specific fields and its more broadly developed system of higher education, the United States is a major case in point. Since the 1946 creation of the Fulbright Program enacted by the U.S. Congress, it has become one of the largest promoters and an active participant in the field of international educational exchange. Today, the United States has one of the most varied and comprehensive arrays of exchanges of any country, both in terms of the numbers of U.S. students who go abroad to study and the number of students it admits from other countries to

its institutions of higher learning. The first 20 years of the Fulbright Program enabled a total of 28,997 American students, teachers, lecturers, and research scholars to travel, study, and teach in other countries. In return, over the same time period, 52,706 individuals from other countries came to the United States under its auspices.² In 1961, the Fulbright Program and the Smith-Mundt Act of 1948 were consolidated into the Fulbright Act (Mutual Educational and Cultural Exchange Act), which made the two-way flow of teachers and students part of an avowed foreign policy. It also provided the dollar support needed to initiate programs in countries where such assets were not available.

U.S. government support, however, sponsors only a small percentage of the actual numbers of foreign students enrolled in the country's colleges and universities. Foreign governments themselves sponsor a number of those selected to do advanced study in particular fields; many U.S. institutions, both private and public, provide open competition for available scholarships and assistantships among foreign students; and an increasing number of foreign students are able to provide some or all of their own support for study in this country.

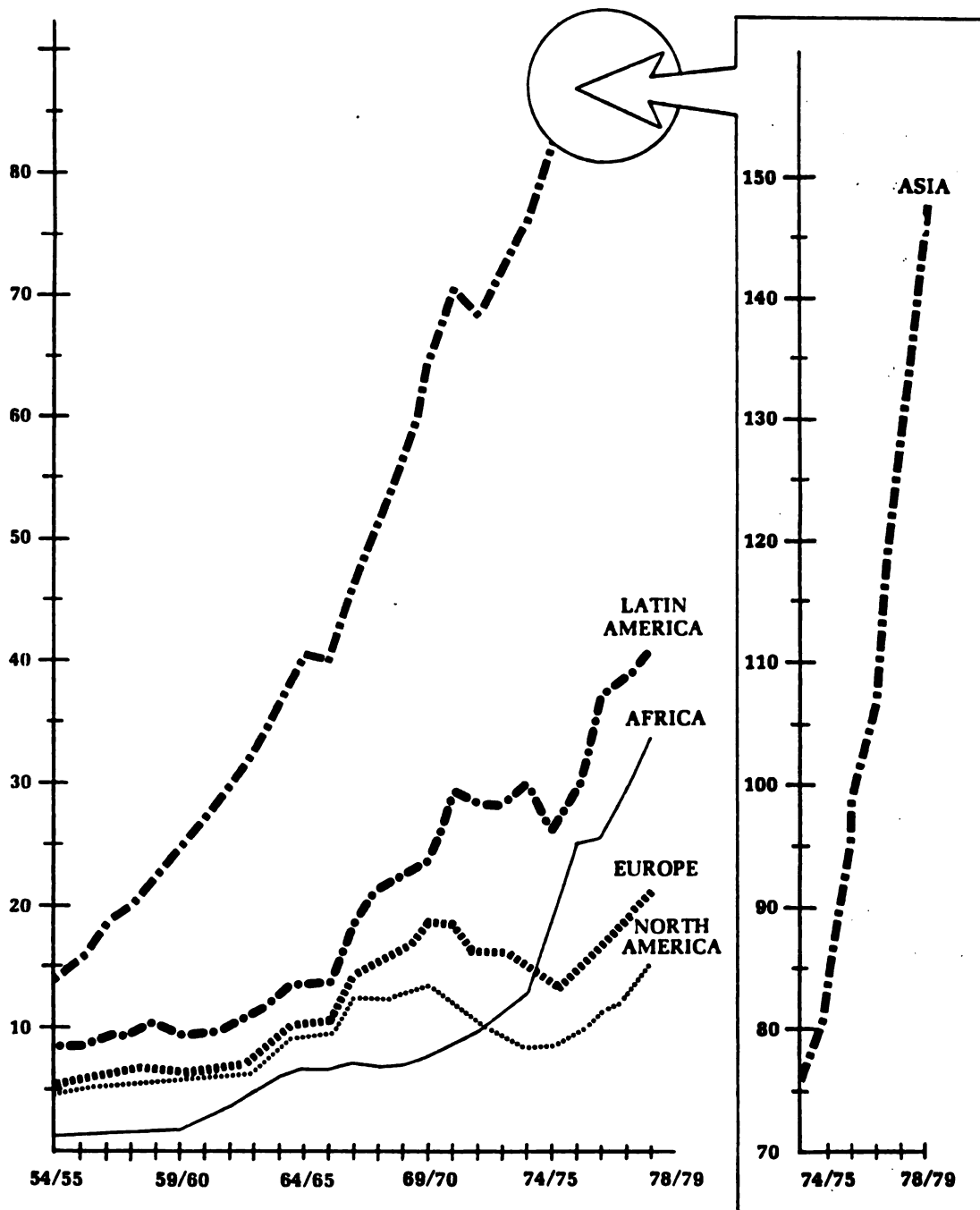
Improved methods of record-keeping, such as the statistics filed in UNESCO reports and others, help to document the increased pattern toward study abroad. In particular, the Open Door reports, published annually by the Institute for International Education in New York, provide extensive records on the numbers of foreign students in the United States: where they come from, where and what they are studying, how they are sponsored, and a host of other information

concerning the international exchange process in the United States.

Figure 1, from the most recent edition of Open Doors, 1978/79, traces the growth in numbers of foreign students in the U.S. (in thousands and divided into major world regions) over the past 25 years.³

Noteworthy in Figure 1 is the change in the proportions of students coming to the U.S. from the different world regions over the past 15 years: while the numbers from all areas are increasing, students coming from the continents of Asia, Latin America, and Africa are increasing at a greater rate than those from Europe and North America. Other figures from that same volume of Open Doors: 1978/79 indicate that the percentage of foreign students as part of the total enrollment in American institutions of higher learning (including two- and four-year colleges and graduate institutions) has jumped from 2.5% in 1956 to 12.4% in 1978/79.⁴

Of equal importance to the figures themselves are the questions raised by such data, questions of how best to provide an adequate educational environment for such persons in order to meet their objective needs. The relevance of an American curriculum for students from developing countries is a major topic of concern. Language ability, orientation, and assistance in adapting to a new culture and the proper type of advising are all factors that have a bearing on whether a given student will be able to meet his or her objectives. Practical questions of selection and admission criteria, financial requisites, and equivalencies for a student's prior study have to be addressed.



Source: Open Doors, 1978/79.

Figure 1.--Foreign students in the United States by major world regions, 1954-55 to 1978-79.

In response to such questions, most U.S. universities that have international educational exchange programs also have a structure to help deal with some, if not all, of these areas. A growing body of literature explores both the concerns and proffered solutions. Both the foreign student and the university itself have been the subject of a number of studies made in the past few years. Overall, there seems to be general agreement that educational exchange is seen as a positive experience by both the foreign student and the hosting institution, that such experiences do meet the specific objectives of advanced professional training and the broader goals of helping individuals to achieve a greater understanding and sensitivity to a culture different from their own.

In general, three types of literature and studies pertain to international educational exchange: (1) selected cross-cultural learning concepts and theories, (2) research studies that gather data directly from foreign students to determine the elements necessary for a stay that is both personally and academically successful, and (3) material on the responses of U.S. institutions to the growing numbers of students from countries that are culturally and economically quite different from the U.S. This review will identify some of the literature from all three of these areas.

Selected Cross-Cultural Learning Theories

Cross-cultural learning has generally been identified as taking place in three types of situations:

1. people from one culture learning about another culture,
2. people from one culture teaching other people from a different culture,
3. people from one culture in another culture in order to learn specific subject matter or skills.

The first situation occurs to varying degrees in all cultures, sometimes randomly or arbitrarily, as information about cultures comes to the attention of the individual through the media, products from a different country, or indirectly through schooling and textbook information provided about another culture. This type of cross-cultural learning offers an initial exposure to cultural differences and, for the majority of the world's people, will be the extent to which they come into contact with other cultures. It is also the most superficial type of cross-cultural learning since much of it is filtered through other persons of the same culture. It may also be biased, purposefully or unintentionally, to reflect national self-interests and ideologies.

The second of these situations is becoming increasingly common within nations that consist of a citizenry drawn from many cultural backgrounds, i.e., either the U.S. or newly independent African and third-world nations. It also includes specific teachers and researchers who go abroad to instruct persons from a different culture in a particular subject matter. Educators are becoming increasingly aware of the factors that can facilitate or impede learning across cultures in this situation.

It is the third situation, i.e., wherein people from one culture travel to another culture in order to learn specific subject matter, that has the most relevance to this thesis on Chinese scholars and students. Felipe Korzenny, in "Communication and Problem-Solving Across Cultures," defined the situation and its problems as follows:

Foreign students (in the United States or abroad) are a special example of persons who are expected to perform in unknown or almost unknown cultural settings. They are expected to function well immediately upon arrival in a physical environment and a social milieu that is not necessarily prepared to host them, and they are supposed to obtain high grades in courses taught in a foreign language.⁵

It is generally known that the cross-cultural experience is complex, problematic, and stressful to the individual. The field of cross-cultural training is only some 30 years old and primarily based on American assumptions and modes of operation. Margaret Pusch, in "Cross-Cultural Training," asserted that the purpose of such training should be to provide a functional awareness of the cultural dynamic present in intercultural relations and to assist trainees in becoming more effective in cross-cultural situations.⁶ She also stated that a major assumption lying behind cross-cultural training is the belief that people can change their behaviors and attitudes and grow in knowledge and skills once the elements and factors in the cultural dynamic have been identified.

While some progress has been made in identifying these factors, many studies done in this area are incomplete, conflicting in conclusions, methods, definitions, and the implications for practitioners. Josef Mestenhauser, in "Selected Learning Concepts and Theories," identified four themes that pervade the literature of research in

cross-cultural studies: (1) the importance of prior learning, either direct or indirect, about other cultures; (2) the importance attributed to the individual's ability, through relevant experiences and opportunities to learn, to differentiate various aspects of a second culture and society; (3) the importance of variable selection in determining how successful an adaptation a person has made to a second culture; and (4) the overall utility and practicability of learning theories put forward.⁷

As concerns the first of the four themes, several studies have established that prior foreign experience was positively related to academic and emotional adjustments of foreign students. Selltiz et al., in Attitudes and Social Relations of Foreign Students in the United States, suggested that previous international experience appears to have a positive effect on the extent of social involvement of non-European students with U.S. students.⁸ Roudiani found a greater world mindedness among foreign students who had experienced previous cross-cultural contact.⁹ And Hull found that foreign students who had no previous international experience were more likely to report problems in adjustment to a second culture than were students who had travelled abroad before for more than one month.¹⁰

Regarding Mestenhauser's second theme, that of the importance of an individual's ability to differentiate clearly about a second culture, several points that he made are of use to this study. He stated that people tend to differentiate more clearly in their own culture than in a second culture. Differentiation across culture is more susceptible to misperceptions, distortions, and inaccuracies

because powerful pressures mitigate against the breaking up of one's own accepted world view for the purpose of analysis and synthesis of new information. Nevertheless, the ability to differentiate is a prerequisite to successful integration of learning, particularly to storing new information received through media and methods that vary from those in the student's own culture. Therefore, Mestenhauser emphasized the need for meaningful and relevant experiences within the second culture in order to provide the foreign student with many opportunities to learn to differentiate the various aspects of the second culture so that he/she can analyze them accurately and within the framework of that culture rather than through the framework of their own first culture.¹¹

A number of studies have been done over the past 20 years to isolate particular variables and to determine their importance to the overall success of a person studying in another culture. These include sex, age, field of study, length of stay, nationality, and others. The results of such studies, often conflicting, are discussed at a later point in this review.

In the fourth theme of cross-cultural research mentioned by Mestenhauser, that of the utility and practicality of learning theories, he pointed out quite fairly that such studies as are done and theories that are advanced often have little relationship or effect on the population they are designed to assist, i.e., the foreign student. Most educational exchange practitioners, such as foreign student advisors and others who may be called upon formally or informally to assist the foreign student in adjustment problems,

do not teach. Likewise, the vast majority of those who do teach are trained in specific subject matter and, generally, have little or no training in the factors that affect learning across cultures. So, for the present at least, the onus of adjustments that need to be made either in the academic or social areas of experience falls upon the foreigners themselves.

To further widen the schism that needs to be bridged is the fact that such work as is being done in the relatively new field of cross-cultural learning is done by scholars in such widely diverse professions as psychology, communications, and comparative education. Most foreign students, particularly those from third-world countries, elect courses of study in the more traditional fields of engineering and the physical and natural sciences. Thus those who have concrete theoretical knowledge in the processes and factors involved in cross-cultural learning often do not come in contact with those persons who find themselves in a situation that would greatly benefit from such knowledge, i.e., the increasingly growing numbers of people from one culture who are in another culture to gain specific knowledge and skills. Recognizing this gap, many educational institutions, in addition to multinational businesses and some government agencies engaged in international work, have designed and implemented separate orientation and training programs to cover aspects of this vital area. Their role and value, in relation to the study of Chinese students being presented in this thesis, will be discussed later in this chapter.

Review of Selected Studies Using Data
From Foreign Students

This section of the review of the literature presents a variety of individual studies undertaken to identify problem areas and adjustment factors/variables that confront a person living in another culture, and which, if not identified and overcome to some extent, can impede the cross-cultural learning process and hinder the individual from achieving his or her original objectives. As mentioned earlier, the field of scholarship in the area of cross-cultural learning and relationships is a relatively new one, interdisciplinary in nature. Thus, most of what information we have on the subject has been garnered during the past 30 years.

Otto Klineberg, in a major study entitled International Educational Exchange: An Assessment of Its Nature and Its Prospects, divided the impact of such exchanges into four component levels: the individual, the institutional, the national, and international attitudes. He summarized the results of his seven-nation study in this fashion:¹²

On the individual level, by far the majority who go abroad to study are happy with the experience in spite of adjustment problems.

Likewise, the majority of host institutions were also satisfied, stating that foreign students provided a distinct advantage to the institution where their research and teaching abilities contributed markedly to the regular educational program of the university.

On the national and international levels, Klineberg's report is less clear. Beyond the obvious consequence that such exchanges

contribute to the needs of developing countries for skilled and professional workers, the long-range national effects were difficult to quantify. Nor was there enough information to verify the notion that educational exchanges lead to an improvement of international attitudes, thus strengthening friendly relations between countries.

Peter Rose, in a "Report on the Senior Fulbright Programs in East Asia and the Pacific," concluded that the program has served its participants well, having clearly enhanced their mutual understanding, their academic achievement, and their personal goals. He saw the Fulbright participant as a cross-cultural mediator and proclaimed the program a model for interpersonal and intercultural relations.¹³

Nevertheless, these same studies, and other investigations into a broad range of aspects concerning exchange programs, reported a number of problem areas that diminish their real and/or potential effectiveness. These problems fall into two categories: those signifying administrative and organizational weaknesses, and those that deal with the more difficult concerns of communication in a cross-cultural setting.

If it is true that meaningful relationships within a given culture require work to make them succeed, the interjection of cross-cultural considerations and factors compounds the difficulty. Stephen Faust, in "Dynamics of Cross-Cultural Adjustments: From Pre-arrival to Re-entry," stated that "studies of intercultural communication have shown that the amount of time and energy needed for simple communication increases dramatically as cultural differences increase."¹⁴

(References are to those studies done by Condon and Yousef in 1975 and Sarbaugh in 1979.)

Persons living in a culture other than their own for an extended period of time have to make adjustments to a number of things: food, housing, language, and social customs are among the most obvious. Working with a sample of foreign students from non-western and lesser-developed countries at the University of Rochester, a survey by M. Rising and B. Copp defined four major problem areas:

1. adjustment to academic differences--problems with language, teaching methods and level of work required;
2. adjustment to American culture--its people, politics, religious values and social life;
3. adjustment to living facilities--housing, food, shopping; and
4. adjustment across cultures--problems with the perceptions of American attitudes towards other countries being the most difficult.¹⁵

John Porter, in a Ph.D. dissertation for Michigan State University, developed a "Michigan International Student Problem Inventory" in 1962, finding widespread adjustment problems. He suggested that some of them could be corrected by improved university counseling and information to acquaint them with "the ropes" of administrative, academic, and social procedures and alternatives.¹⁶

In 1979, Samnao Kajornsin conducted research into foreign students' awareness of the services available to them, again at Michigan State University. The results supported Porter's conclusions that more publicity and coordination is needed to increase student awareness of the fact that help already exists for many of their expressed problems and frustrations. Kajornsin's data showed that it

takes at least two terms for students to become aware of existing services and how they can best be used.¹⁷

✓ While the above research and studies were conducted with the aim of trying to identify problems facing the foreign student and recommending concrete ways to improve the situation, a second area of research concerns the adjustment process itself. This research suggests that individuals universally go through stages as they seek accommodation to, and understanding of, a host country and their role in that culture.

In 1955, Sverre Lysgaard published the results of a study based on data received from interviews of 200 Norwegians who had studied in the United States on Fulbright grants. He concluded that adjustment followed a U-curve that consisted of several phases:

1. initial period after arrival of favorable reactions to new stimuli and with adjustment going smoothly;
2. secondary period of depression and sense of alienation and criticism directed towards both the home and the host culture;
3. a third period of trying to integrate the differences between the two cultures; and
4. a final period of growing satisfaction with insights gained and adjustments made.¹⁸

Lysgaard's hypothesis of the U-curve has been found suitable in some cases of further investigation and lacking in others; it does, however, serve as a starting point for others interested in the field. In a study done for the Social Science Research Council, Cora Dubois supported Lysgaard's findings that foreign students go through a series of adjustment periods, but found that the time frames proposed by Lysgaard varied considerably in individual cases and in other

studies. She preferred to discuss the cultural adaptation process in terms of the following types of stages:

1. "Spectator" phase where the person is not integrated or involved in the host culture, but simply absorbing new data;
2. "Adaptive" phase where the person begins to participate. Negative reactions and frustrations surface and the adjustment stresses are most strongly felt;
3. "Coming to terms" phase where the adaptive issues are brought into equilibrium. Judgments of both cultures are done on an increasingly objective basis; and
4. "Pre-departure" phase where the importance of the return home becomes prominent with increasing disassociation with the host culture and a reidentification with the home culture.¹⁹

During the last two decades, numerous foreign student studies conducted in the United States have attempted to relate the foreign student's attitudes toward the host country to his or her ability to adjust or as a measure of a satisfactory experience. The "national status" hypothesis advanced by Richard Morris in The Two-Way Mirror: National Status in Foreign Student Adjustment asserts that the national status accorded to a foreign student's home country by the host country, in this case by Americans, is directly related to his or her attitude toward the United States.²⁰ Subsequent studies have found this hypothesis to be salient only among those foreign students who are highly involved with their home country.²¹

Another hypothesis, again based on a study done in the United States, asserts that association with the people of the host country produces a favorable attitude toward the host country.²² This hypothesis is generally supported by social psychological studies, but there is some disagreement, particularly in cases where attitudes of racial discrimination exist between the parties or cultures involved. Richard

Brislin of the East-West Center at the University of Hawaii revised the hypothesis to emphasize that attributed or perceived equal status is a necessary condition to its validity.²³

A major study exploring the factors that influence the foreign student's perceptions of and interactions with the host country's people and culture was done by George Coelho in 1958. In Changing Images of America: A Study of Indian Students' Perceptions, Coelho identified and explored the process of acculturation by further testing of Lysgaard's U-curve hypothesis. He started with the posture that visitors arriving in a new culture tend to initially orient themselves in accordance with perspectives provided by the reference groups of which they are aware.²⁴ The home country reference groups provide the norms from which the Indian students he interviewed responded to what they encountered in the U.S. By interviewing his sample at three different times during their stay, Coelho found the phases of adjustment that Lysgaard postured to be true. He then formulated his own hypothesis that "a foreign student's orientation to reference groups in the host culture would show increasingly differentiated responses with the increased length of stay."²⁵ While the U.S. institutions and culture were perceived initially in context to the values that Indian culture placed on such things as attitudes toward women, family, religion, and work, the tendency over time of his sample was that they gained differentiating perspectives regarding those same areas in both the host and the home cultures. This study provided the basis for later work that determined that such "differentiation" mentioned by Coelho was a key factor in helping a student

to learn effectively in a second culture. Faust, mentioned earlier in this review, stated that

when an adventure reveals to a person the extent to which outward behavior is shaped by arbitrary cultural habits and values, and when that person learns not to define himself through those values, it then becomes possible for that person to broaden the range of responses to new situations and behaviors.²⁶

Mestenhauser also made reference to Coelho's contribution in his earlier-cited work, which emphasized the importance of differentiation as a prerequisite to successful integration of learning across cultures.

Foremost in exploring the factors related to a foreign student's adjustment to a culture other than his own, one must include the work of Otto Klineberg and Frank Hull IV, who have done the most extensive studies that comment on the hypotheses presented thus far. Dr. Klineberg, professor emeritus of social psychology at Columbia University in New York, is the author of three major works on the adaptation of students across cultures.

In The Human Dimension in International Relations, Klineberg stated that the realization of the relativity of one's own cultural perceptions is a necessary step to overcoming the barriers to international understanding and cooperation.²⁷ Most persons are initially limited in cross-cultural situations by ethnocentric perceptions that do change over time as the person alters his perceptions to include the influence of those around him. If the predominant reference groups that one comes into contact with have different perceptions, as they do in a cross-cultural setting, this will result in change caused by the tendency and need for accommodation to peer group

pressure. Klineberg supported the hypothesis that contact with people of the host culture is a major factor in the change of perceptions, but stipulated that the kind of contact is crucial, suggesting, as later substantiated by Brislin, that the perceived status must be that of equals.²⁸

In 1976, Klineberg published a cross-national study, International Educational Exchange: An Assessment of Its Nature and Its Prospects, based on surveys conducted in seven countries. The components selected for investigation were the following:

1. selection of students to go abroad;
2. preparation;
3. academic experience itself;
4. personal and cross-cultural relationships in the host country; and
5. the return home.

Concerning the selection and admission of foreign students to universities abroad, he found a distinction in the strength and character of the motivations and handling of problems between those who were self-selected and those who were selected by others.²⁹

In the area of preparation to go abroad, the study concluded that

a great deal of unhappiness might be eliminated if the foreign student had a clearer picture of what was expected at the academic level, and the trauma of culture shock might be reduced if he knew more about the general norms or standards of behavior in the host country prior to arrival.³⁰

The surveys from all seven countries emphasized the need to compare the orientation programs that have been applied in the various

countries and in different situations to determine the existence of any general principles.

Klineberg went on to say that contact between foreign students, other foreign students, and the people in the host culture were deemed desirable by students in all seven countries, but the responses affirmed that such contact has to be done on the basis of friendship between equals and not in a paternalistic context or it will defeat the purpose.³¹

The responses given to all the areas varied considerably according to the case of the individual host country. Klineberg pointed these out in a separate chapter for each of the seven countries participating and then analyzed the data. The book raised no new hypotheses but concluded with a long list of recommended questions for further study.

Klineberg's most recent and comprehensive work to date, At a Foreign University: An International Study of Adaptation and Coping, is a ten-country study conducted with Frank Hull IV (Director of International Programs at Lewis and Clark College, Portland, Oregon) and published in 1979. The design of the investigation was developed in 1974 by 15 scholars and researchers from the ten participating countries. The focus was on the foreign student sojourn as a form of clinical life history on the coping process; the purpose was to establish interrelationships among factors affecting the adaptation process.³²

The data base was 2,536 respondents to written questionnaires that used an international, interdisciplinary approach to determine

sources of satisfaction, difficulties encountered, and changes in attitudes of the foreign student over time. The study proposed the "modified culture contact hypothesis," which states that those foreign students who are satisfied and comfortable with their interactions with local people and the local culture during their sojourn would report broader and more general satisfaction with the total sojourn experience, not only nonacademically, but also academically.³³

The instrument was designed to test a number of previously mentioned hypotheses about the adaptation process. The result of testing the national status hypothesis was inconclusive, but the data from the respondents did determine that "significant cultural contact with local individuals is, in reality, more crucial in coping and adaptation than the perceived national status of the foreign student's home nation with regard to the host nation."³⁴

The study also concluded that the length of the sojourn was a significant factor in the adaptive process in two ways: (1) the sojourn and educational experience tend to engender a more sophisticated, differentiated, personal, and concrete knowledge and perception of the host culture, its achievements and problems, its people and policies, and its "ways of life" as compared to the knowledge and images held before;³⁵ and (2) that although substantial numbers of respondents reported serious difficulties in the areas of language learning, making friends, housing, adaptation to academic demands, and learning the ropes of the host culture, most of these problems are handled with reasonable success before the first year is over.³⁶

Apart from the comprehensive degree of cross-national data that the study produced, the detailed discussion concerning the development of the appropriate instruments was of invaluable assistance. Because the questionnaire was administered in many nations, the cross-cultural cooperation in preparing it was a monumental task in itself.

In summarizing the literature review presented thus far, one becomes aware of the inadequacy of the various hypotheses put forth to meet all cases. This should be interpreted not as a weakness in the instruments or design of the studies, but as a sign of the complexity of the factors and variables in the field of cross-cultural study. Such a search makes one more aware of the dangers and limitations of trying to make definitive statements or universal hypotheses.

Meeting the Needs of Foreign Students in the United States

A third type of literature pertinent to the nature of this thesis is that which addresses the question of the relevancy of American higher education for the growing numbers of students coming from countries that are culturally and economically quite different from the United States, i.e., from the developing third-world countries. As mentioned previously, Open Doors statistics show an increase in the proportion over the past 15 years of the U.S. foreign student population coming from Latin American, African, and Asian nations. Such an increase is partially the result of the standards, variety, and general availability and ease of access afforded to qualified foreign students in the fields in which they most often seek training,

i.e., science and technology. It is also a function of American foreign policy over the past 20 years, which encourages international educational exchange. In addition to the U.S. and other developed countries being a logical place for students from the nonindustrialized countries to come to for advanced training, A.I.D. government programs abroad have proliferated since World War II as the result of a genuine concern for the role that the industrialized countries can play in assisting the development of third-world nations. Theories about "education for national development," "appropriate technology," and the "transferability" of American education and techniques have been advanced and practiced in a variety of programs, both in the U.S. and abroad.

However, practice does not necessarily make perfect, and a number of questions are now being raised, particularly in terms of how well suited American institutions of higher education are to meeting the needs of students from third-world countries. A.I.D., one of the most experienced agencies in conducting educational training abroad, has long been aware of the need to adapt American teaching methods and technology to "appropriate levels of development" in order to meet the needs of third-world countries. The Agency also sponsors a number of international students in select graduate programs in the U.S. through agreements with foreign governments. The U.S./A.I.D. Participant Training Program, while involving only a small percentage of foreign students from third-world countries currently in the United States, does, in many cases, provide orientation and cross-cultural training in order to assist such students in making

adjustments to American education and to assist them in academic counselling with a view to what is needed when they return home.

In March 1980, a joint workshop was sponsored by A.I.D. and NAFSA (National Association for Foreign Student Affairs) in Washington. It was attended by 80 participants, including A.I.D. training personnel, A.I.D. students and alumni from third-world countries, NAFSA personnel, faculty from U.S. universities, and foreign student advisors. The purpose of the two-day workshop was to hold discussions and exchange views on the relevance of American higher education to students from developing nations. In particular, it called into question the validity of the development theory called the "transnational style of development," i.e., a theory of development based on the assumption that the domestic forces in nonindustrialized countries cannot bring about their own development because of their lack of resources and institutional framework and the forces of tradition.³⁷ This theory of development therefore emphasized the need for outside external agents from the industrialized nations and/or the need for students from those countries to study abroad in order to receive the training needed for development. Further, this theory of development had been endorsed by such influential bodies as the International Monetary Fund, the World Bank, and the governments of many lesser-developed nations.

Recognizing that U.S. institutions of higher education will be providing training for students from developing countries for a long time to come, participants of the workshop were generally critical of the ability of such institutions to meet the specific needs,

feeling that given the goals and realities of development in third-world countries, U.S. universities are presently unable to offer appropriate education to students from those countries. Participants emphasized that there must be a greater understanding of the origins and objectives of such students' goals and that greater care must be taken to see that the development of the capacity for problem solving be isolated from the influence of U.S. culture and conditioning.³⁸

The workshop also put forward the need for the development of a compulsory complementary curriculum for A.I.D.-sponsored students. Such a curriculum would include the study of the roots of underdevelopment and the structural characteristics of developing nations and economies. It would be developed for each of the major fields and development-related disciplines and for each major region of the developing world. Further, the said complementary curriculum should then be piloted, shared with, and promoted among U.S. graduate institutions.³⁹

In its summary, the workshop offered recommendations for changes both in the area of theoretical direction and in the area of practical assistance to enable the U.S. educational system to better meet the needs of foreign students from developing countries.

Dr. Kenneth Cooper (Bechtel International Center, Stanford University) addressed the overall question of framework and direction as follows:

1. Changes in U.S. education for foreign students should not be limited to techniques. They should challenge the goals and structures of a development style that has failed.

2. U.S. students must be exposed to the impact that U.S. development has had on other countries. They must understand the need for U.S. development policy to change in order for the lesser developed countries to change theirs.
3. There is the need for a change in U.S. education that will engage both the foreign student and the U.S. student in a basic questioning of the conventional transnational approach to development.⁴⁰

The A.I.D. alumni students from third-world countries offered suggestions for improvement of present A.I.D. programs. They stressed the fact that the foreign student frequently assumes the U.S. is a panacea and that actual experience reveals the incongruities between the offerings of U.S. education and the needs to be met at home. They agreed on the importance of adequate preparation and orientation before embarking on educational programs in the U.S., both before leaving the homeland and upon arrival in the U.S. before beginning their course of study. Many of them felt that special courses are not needed. Rather, what is needed is the internationalization of present courses which can best and most easily be accomplished by seeking faculty who have had experience in and who maintain contact with other cultures. It is these experienced persons who have the necessary cross-cultural background and the ability to teach "adaptive training" as the best method for making American education relevant to third-world countries.⁴¹ Most of the foreign students present at the workshop did not feel that a dramatic overhaul or internationalization of U.S. education was a likely possibility.

A.I.D. and the National Association for Foreign Student Affairs are two agencies that have been consistently concerned with the needs of foreign students in America. But there have been an

increasing number of publications, mostly articles rather than full-fledged studies, that present critical evaluations of the programs that U.S. educational institutions offer. These are mentioned briefly to provide a measure of the scope of problems and inadequacies.

Wallace Edgerton, in "Trends in Educational Exchange," stated that planning programs for foreign students requires a sensitivity and skill lacking in most American graduate advisors, who fail to give students an early and accurate idea about their options.⁴² Jane Walter pointed out in "Counseling Appropriateness: An Exploration From a Cross-Cultural Perspective" that the use of counseling services by foreign students is often minimal, again because American counselors have not been trained to provide effective support for them. Understanding the cultural differences between the counselor and the student is a prerequisite for effective counseling; therefore, counselors should be trained to identify these differences.⁴³ Sanders and Ward, in Bridges to Understanding, made a case on a number of issues worthy of serious consideration. First, an inadequacy exists because the training of foreign students is based mainly on U.S. experience within a U.S. setting. Second, American professors have little or no international experience and are often unfamiliar with the human and economic issues that concern foreign students, particularly those from third-world countries. Finally, degree requirements are too often narrowly prescribed, and foreign students have little opportunity to mold their programs to fit their needs.⁴⁴

To ameliorate some of the gaps for foreign students that exist between educational needs and the educational fit that American

education offers, Robert Kaplan, in "NAFSA in the Mod Mod World," argued that it is organizations such as the National Association for Foreign Student Affairs that must encourage educational institutions as well as the government to adjust to the presence of foreign students by making efforts to insure the relevance of a U.S. education to global problems.⁴⁵ It is a plea for the internationalizing of American curricula so that both the American and the foreign student will be prepared educationally for the same world, split as it is by diverse cultures and economies.

There is, however, little indication that any of the above suggestions have been pursued to any considerable degree. This does not appear to be from the lack of information, studies, or recommendations prepared by those most closely involved with foreign students in the U.S.; rather, it seems to stem from the old adage that "the wheels of change roll slowly," particularly when it is considered that the needs of foreign students represent those of a minority being educated in America and that the educational apparatus of any country is an unwieldy monster.

Recent Comprehensive Needs Study by NAFSA

The National Association for Foreign Student Affairs has worked consistently, and with great success, to improve the overall educational climate for foreign students in the United States. A recent and most welcome contribution to the literature is the April 1981 publication of Needs of Foreign Students From Developing Nations at U.S. Universities and Colleges, a study directed by Motoko Y. Lee,

Assistant Professor of Sociology at Iowa State University, under the sponsorship of a grant from U.S./A.I.D. For the past decade, NAFSA and A.I.D. have worked together in attempts to conduct and coordinate an important and complex international educational exchange program. In March of 1978, the Office of International Training of A.I.D. granted a three-year contract to NAFSA to carry out the following objectives: (1) to improve the relevancy of academic programs for A.I.D. participants and other foreign students from developing countries studying in the U.S. and (2) to provide increased access for these students to extracurricular professional and community involvement programs that will more effectively prepare participants for their roles in their own countries' development.⁴⁶ This led to the development of NAFSA's first major national research project, which was to determine the met and unmet needs of foreign students from developing countries in the U.S. and to assess whether the self-perceived needs of A.I.D.-sponsored students (currently numbering 7,000 who are receiving academic or technical training in the U.S. and overseas⁴⁷) are different from or similar to those of other foreign students, both sponsored and nonsponsored. Phase I of the project was the formulation of the research design, including the construction of a questionnaire. Phase II was a nationwide survey to assess the needs of foreign students. This survey was conducted in the fall of 1979 at 30 selected universities. Phase III, to be conducted in 1980-81, is to include supplementary and on-going analyses of data in response to the specific interests of NAFSA's various constituencies and others in the field of international education, as

well as widespread distribution of the research findings of the survey.

Nearly 1,900 students from 102 developing nations responded to the survey. They are a representative sample of a population of approximately 134,000 foreign students enrolled at universities and colleges whose foreign student body numbered 300 or more.⁴⁸ The survey was designed to test 33 hypotheses relating to both the importance and satisfaction of needs as perceived by the students themselves. Categories of needs in the following areas were formulated in question form within the survey:

1. information needs
2. degree program needs
3. academic program relevancy needs
4. extracurricular professional activity needs
5. academic life needs
6. financial needs
7. community life and interpersonal relationship needs
8. housing needs
9. family life needs
10. pre-return needs
11. anticipated post-return needs
12. linguistic needs
13. goals
14. barriers

The data from the returned surveys were subjected to extensive statistical analyses and hypotheses testing, which resulted in a number of highly useful substantive findings. In general, it was found that in every category of needs, needs were not satisfied to the level of students' expectations, even though most of the needs were

satisfied to a certain degree.⁴⁹ Among the need items presented in the questionnaire, the ten most important items (listed from the highest) were the

1. need for enough money for living expenses;
2. goal of obtaining the degree;
3. goal of obtaining skills and knowledge in one's field;
4. need for enough money for school;
5. need for enough money for necessary medical care;
6. anticipated need for finding an appropriate job upon return to the home country;
7. goal of gaining practical experience in one's field;
8. need for work experience in one's field before returning home;
9. need for training to apply knowledge gained; and
10. anticipated need for receiving the latest professional materials in the field.⁵⁰

With regard to satisfaction of needs, the ten most satisfied need items were as follows; the

1. goal of obtaining the degree;
2. goal of obtaining a broad education
3. goal of obtaining skills & knowledge in one's field
4. goal for information about registration processes;
5. goal of broadening your view of the world;
6. need for information about the use of the library;
7. need for obtaining basic knowledge in one's area of study;
8. need for information about clothes needed;
9. need for understanding course requirements & instructions; and
10. need for information about the procedure to begin one's degree program.⁵¹

The ten least satisfied items were for the following needs:

1. getting a work permit for off-campus jobs;
2. finding a part-time job at the university related to one's degree program;

3. exchange of visiting professors between universities of the home country and those in the U.S.;
4. economic contributions of foreign governments to U.S. universities in order to finance special programs for foreign students;
5. having magazines and newspapers from one's home country available in the university library;
6. work experience in one's field before returning home;
7. having publications in one's area of study from one's home country available in the university library;
8. finding a job for one's husband or wife;
9. seminars with students from several departments to deal with problems of national development; and
10. having U.S. nationals correctly informed about one's home country.⁵²

Needs for practical experience and anticipated post-return needs for material rewards and for professional opportunities and facilities were among the least met. They were also considered to be the most problematic ones for educational institutions in the U.S. to accommodate.

Through analysis, it was found that the importance the students placed on various needs varied by

- regions of the world from which they came;
- major field categories;
- sponsorship categories;
- undergraduate vs. graduate status; and
- whether or not they had jobs waiting in their home countries.

Likewise, the degree of satisfaction felt by the students depended on

- regions of the world from which they came;
- self-evaluation of command of English;
- whether or not they had jobs waiting in their countries.

The results of this study indicate the following profile of a student who is likely to be most satisfied:

- one who is from Latin America;
- one who has a job waiting for him or her at home;
- one who is residing with a U.S. student;
- one who is on an assistantship;
- one who is a graduate, rather than an undergraduate, student; and
- one who perceives himself or herself as having a good command of English skills.⁵³

In terms of some of the hypotheses tested, the following were selected to report on because of their bearing on other studies mentioned previously in the review of the literature or for their relevance to the study undertaken with Chinese students in this thesis; that the

1. perceived importance of needs is greater than satisfaction of needs. Supported.
2. importance and satisfaction of educational needs does not differ from importance and satisfaction of other needs. Supported.
3. importance and satisfaction of needs varies by sponsorship categories of students. In most of the composites, sponsorship categories did not differ significantly, hence the hypothesis is rejected. However, some tendencies deserved mention, namely that those students sponsored by assistantships appeared to experience the least frustration and that A.I.D.-sponsored students were less concerned with non-academic needs and post-return conditions.
4. importance and satisfaction of needs varies according to age--sex--marital status. Hypotheses in this area refuted with composites showing no or little significant difference.
5. importance and satisfaction of needs varies by command of English language. Supported: English language skills appear to be a strong predictor in both areas of importance and satisfaction of needs.
6. importance and satisfaction of needs varies by graduate vs. undergraduate status. Supported in some composites.
7. importance and satisfaction of needs varies by the region of the world from which students come. Supported with significant differences being recorded in several areas. Latin Americans are the most likely to be satisfied and Africans are the most likely to have the strongest ratio of difference between importance of needs and satisfaction of those needs.

8. importance and satisfaction of needs varies by whether or not students participated in orientation programs. Rejected.
9. importance and satisfaction of needs varies by the amount of previous international experience students had. Rejected, but with the tendency noted that those with previous international experience were more satisfied with needs pertaining to activities in the U.S. community.
10. importance and satisfaction of needs varies by whether or not students have jobs waiting for them in the home country. Supported with significant differences being recorded in a number of composites.
11. importance and satisfaction of needs varies by living arrangements of students. Supported. Students with differing living arrangements attached importance and satisfaction of needs to different composites. Those living with U.S. students were the most satisfied as regards needs.

Note: the study tested 33 hypotheses. In each instance, importance of needs and satisfaction of needs were formulated as separate hypotheses. The listing of the findings above combines the two areas of importance and satisfaction into one for the sake of brevity, noting differences or trends where they occurred. All of the above data came from the publication already mentioned, Needs of Foreign Students From Developing Nations at U.S. Colleges and Universities.

Dr. Lee's study for NAFSA provided the data for many further studies and analyses and will, in the future, be the basis for policy discussions in the field of international education as well as for designing changes in specific areas, i.e., changes based on meeting perceived needs and gaps. The findings of the study conclude with a list of recommended changes:

1. Practical experience, such as a type of internship, should be made part of the degree program so that schools could formally assist students to have needs met before they return home.
2. Students anticipated certain material and professional needs to be unmet upon returning home. The U.S. government and U.S. educational institutions might be able to assist or cooperate with the home government in this regard.

3. It is suggested that both A.I.D. and home governments consider providing assistantships by channeling funds to specific departments of U.S. colleges and universities as a viable alternative to the current method of assisting students with scholarships.
4. Remedial English courses should be strengthened, along with the addition of intermediate courses.
5. Educational institutions can accommodate the need for relevant education and training to apply knowledge by improving the current curriculum. These needs are expressed particularly in the field of agriculture.
6. One of the groups which perceived the least satisfaction in receiving equal acceptance by faculty and human respect by U.S. students was the group who were most likely to return home, i.e., African students. U.S. institutions of higher learning must address themselves to the question of improving human relationships between U.S. nationals, faculty included, and foreign students on their campuses. They should also take the lead in bringing this problem to the attention of the community outside the university, realizing that today's foreign students are likely to be tomorrow's leaders in those nations.
7. U.S. institutions could assist and encourage foreign students to live with U.S. students and families. Such arrangements could even be made in advance for foreign students, if so desired by them.
8. Overall, students with jobs in their home countries enjoyed a more satisfying stay in the U.S. as measured by academic and interpersonal items. While U.S. institutions have not to date considered it their responsibility to play a role in this area, it is suggested that they begin to explore the possibility of some apparatus of cross-national job placement in conjunction with governments and institutions of the countries from which foreign students come.⁵⁴

The Role of Orientation in Preparing for Study Abroad

For the purpose of this dissertation, "orientation" is broadly defined as the process as well as the practices through which one becomes prepared to live in another culture. Such orientation takes place continuously throughout one's lifetime regardless of individual recognition of the elements and practices involved. Many factors are both dispersed and assimilated informally via a nation's media,

schooling, and contact with persons or products that are external to the home culture. Some components of orientation may take place without the individual's intention ever to go abroad: the learning of a second language is but one example. Individual levels of orientation can vary considerably, both in terms of knowledge and awareness of another culture, depending on one's background and the amount of formal and informal exposure to such elements.

With little or no conscious orientation, a person may suffer a longer period of adjustment upon entry into another culture. A formal orientation program, combined with the direct experience in a second culture, may extract and consolidate previously acquired images and perceptions.

A review of the literature, therefore, would be incomplete without reference to previous inquiries into the effect of orientation on the success or failure of the individual cross-cultural experience. Many of the studies already mentioned refer to orientation or preparation as important, but the literature search did not uncover any studies or major research that focused primarily on this area. Even so, many organizations and institutional programs involved in international educational exchange require orientation sessions, believing that such preparation does play a role in facilitating the adjustment of the individual to a different culture.

An experienced practitioner in this area is the Experiment in International Living, a private and nonprofit organization founded in Vermont in 1934. The underlying concept of the Experiment is that "individuals learn to live together by living together." The basis of

an Experiment program is the homestay experience, where selected individuals from one country live with a family in a second country in order to better understand the people and culture by directly experiencing the daily life of the host family. However, participants are not foreign students.

From its early years, the Experiment has provided, indeed required, that its participants attend an orientation or training period before their departure for the foreign country. Orientation, varying in length depending on the nature of the program abroad, generally consists of three components: (1) language training, (2) specific information about the culture in which the participants will be living, and (3) cross-cultural training in which the individual is made aware of his own "cultural baggage." This latter component emphasizes the often-unrecognized assumptions and beliefs acquired from the home culture: social mores and habits, verbal and nonverbal communication patterns, religious beliefs and moral assumptions, attitudes toward race and sex, class perspective, and others. The composite of such "baggage" can, when transferred to a second culture, lead to misinterpretation of or confrontation with individuals who differ in their cultural precepts. The purpose of the cross-cultural training is not to change one's cultural orientation or beliefs, but to become aware of them so that they do not become obstacles to understanding those of another culture.⁵⁵

The Experiment's orientation programs are based on solid cross-cultural theories and continually improved by incorporating feedback from alumni and by providing training for those who conduct

the sessions. Most individuals who participate in an Experiment program travel as a group and with a group leader who has received specific training in cross-cultural relations as well as previous experience living in the designated country. In his book, Intelligence Is Not Enough, Donald Watt, founder of the now-worldwide organization, made much reference to the importance of the orientation and the role of the tour leader. The structure and content of orientation sessions for group members are usually the responsibility of the group leader: any person in that position is well fortified with Experiment guidelines, philosophy, and a loose-leaf "text" containing information on all aspects of the leadership role, including sections on cross-cultural training goals, techniques, and sequencing that can be used as a basis for or supplement to a planned orientation.⁵⁶

The Experiment itself has not conducted academic statistical surveys that attest to the significance of the components of its programs. Instead, written individual evaluations are required of each participant and group leader at the close of a program. Now numbering in the thousands, these do testify that a strong orientation program, combined with the individual homestay and peer group experiences along with the presence of a qualified tour leader, is a "formula" that provides for the maximally successful cross-cultural exchange and growth of understanding among the individuals of both cultures.

The growth of the Experiment itself, in the United States and internationally, has earned much respect from outside organizations. In the 1960s, the Experiment, under contract with the U.S. government,

conducted orientation and cross-cultural training for groups of Peace Corps volunteers before their departure on two-year assignments abroad. Over the past 20 years, the Experiment has expanded to include academically accredited courses of study and degrees offered by its School of International Training in Brattleboro, Vermont. These include an undergraduate degree program in International Studies and a Master of Arts degree in International Management. Both feature an internationalized curriculum so as to avoid an "over-Americanized" world outlook. Another component, compulsory on-the-job training experiences abroad in international agencies or companies, provides practical experience both in skill application and cross-cultural living.

Recognized expertise in language training, particularly in the use of the intensive oral methods, led to the establishment of M.A. programs in the teaching of English as a second language as well as in several romance languages and bilingual concentration majors. For more than ten years, one-month intensive language programs have been offered at different times of the year on the Vermont campus. These are credit courses attended by students enrolled at numerous colleges and universities across the U.S.

Many Americans know of the Experiment opportunities for Americans to go abroad, but an even greater number of foreigners coming to the U.S. have participated in Experiment programs.⁵⁷ The majority of these are orientation and language training sessions. The Experiment and School for International Training have become recognized by institutions abroad for providing invaluable information

and training to ease the adjustment into the American setting. Such programs perform a service that the foreign institution has neither the priority, expertise, and/or funding to organize and conduct for its students. This is particularly true for third-world countries where institutions for higher learning are unable to enroll as many students as are qualified and that tend to mobilize their efforts and resources on the development of academic departments.

Many of the current concepts and programs in cross-cultural training have evolved from American initiatives, not so much from scientific theory, but from the efforts of individuals or agencies confronted with cross-cultural situations and the difficulties they posed whether in the U.S. or abroad. Earlier in the review of literature, a complaint was voiced that cross-cultural communication theories are too often American based. Even so, it is also true that American scholars and/or agencies are in the foreground of efforts to eliminate that bias and to "universalize" the processes of cross-cultural training. The Experiment in International Living has been mentioned because of its history, its constant development in response to its own practical experiences and evaluation, and sharing of resources in a broad-based fashion, both nationally and internationally.

Nearly all the studies cited in this review of literature mentioned the value of the individual receiving prior knowledge of and insight into the culture of the host country. Some outlined goals and guidelines as to what kinds of information should be provided in a specific orientation program.

Faust, cited earlier, stated that orientation programs should have two major goals: to provide specific information and to develop the individual's capacity to manage his own adjustment to a second culture.⁵⁸ The first is essential and aids in the solving of practical physical needs. Until questions are answered about housing, food, finances, academic calendars, and other such matters, a person will not feel enough at ease to attend to the more critical objective of the stay abroad, whether it be study, a business venture, teaching, or simply travel. Such information should be supplied as soon as possible either before departure or after arrival in the host country. Suggested resources are encyclopedias, university catalogs, historical novels, government publications, etc. The information should include lists of references and contact persons and agencies to whom the newcomer can turn for assistance in solving basic problems.

The second goal, that of assisting the individual to manage the shock and adjustment patterns that come in a new cultural setting, must incorporate cross-cultural training concepts. Orientation should deal with specific cultural differences and attitudes, those of both the home and host culture. Role playing, simulations, and group discussions about stereotypes and expectations are often more direct ways of helping the participant to perceive the differences.⁵⁹ Techniques in cultural self-analysis should be introduced to aid in the process of differentiation between cultures that Mestenhauser stated is required for successful integration of new information. While orientation can enhance the individual's ability to cope with change and uncertainty, Faust also cautioned that adjustment to another culture

is an on-going, often painful, process that cannot be quickly assimilated.

The NAFSA survey conducted on the Needs of Foreign Students. . . asked several questions that related to orientation. The need for more or improved information that an orientation program could easily supply did not figure high in the listed responses. Fewer than 30% of the students indicated participation in orientation sessions per se, either before departure or after arrival in the U.S.⁶⁰ In fact, among the needs they felt had been most satisfied were those relating to specific information about academic matters. This would seem to indicate that universities are, in general, efficient in providing this type of information. However, it may also not be very significant because nowhere were these needs mentioned as priorities. Of the ten needs listed as most important, none were needs that could be met through an orientation, and none related in any significant way to the problems of cross-cultural adjustment or learning. Money, achievement of academic goals, and the desire for practical experience in one's field were the top concerns.

Orientation and its effects upon individual learning in a cross-cultural situation is an area in need of more study. It makes sense to assume that the more one knows about the culture, behavior, and language of a country in which one is going to spend considerable time, the more one will benefit from the experience. It is also possible that the role and importance of orientation may depend more on the goals of the visit to a second culture. Most Americans go abroad to learn about or work within the second culture. Information

and intercultural insights are important in order to achieve that goal. Many foreign students, on the other hand, come to the U.S. to learn specific skills and knowledge, often scientific, that they cannot get at home. Becoming acquainted with the host culture is often of secondary importance. Still, not enough is yet known to discount the merits or necessity of cross-cultural training.

Summary

Several points stand out in the review of general literature on foreign students in the United States. First, the area of investigation is new, with the majority of studies taking place only within the past decade. Second, the nature and purpose of such studies vary considerably. Generally, the interests of the investigators fall into three categories: (1) isolating psychological factors that can assist or impede cross-cultural communication, (2) identifying structural factors that can assist or impede the adjustment of foreign students, and (3) assessing the match between the stated needs of students and the stated achievement of those needs and expectations.

Some studies dealt with one national group in one host country (Coelho, Rose, Lysgaard); others, with several national groups in one host country (Morris, Kajornsin, Lee, Rising, Selltitz); and still others, with several national groups in several countries (Klineberg, Hull). Some hypotheses have been supported in several studies, e.g., the validity of an adjustment pattern over time to a second culture. Other hypotheses have been supported in some cases for particular national groups, but rejected when tested with others. Useful

information has come from such studies, but few variables stand out as absolute predictors (either psychological or structural) of success for the foreign students or the host country. What is known, through improved record keeping, is that students, particularly those from third-world countries, are coming to the United States in increasing numbers and ratio to the overall number of students in U.S. institutions. This increase in cross-cultural contact represents a growth of educational needs and expectations to be met. Thus, further studies of this nature are needed and should be continued in order to provide information that will increase the chances for success of the foreign student in his or her endeavors.

The review, although investigating a wide area of approaches and research into many facets of international educational exchange, is selective rather than comprehensive. It has relevance to the study in this dissertation from several perspectives. First, it has provided background and acquaintance with the findings of others who seek to define the variables and settings that lead to a successful cross-cultural experience. Although none of the research cited included students from mainland China in its data base,⁶¹ there is little reason to believe they would not be responsive to the conclusions and findings of at least some of the studies shown to be valid across national or cultural boundaries.

Second, the discussions of methodology and the development of appropriate instruments to examine single or multiple variables, including the design of surveys, provided invaluable insight into the preparation of the questionnaire used to gather data for this

dissertation. Finally, the review revealed the complex nature of the field of international educational exchange, which prompted a more modest and realistic sense regarding the conclusions that any one study could hope to reach.

Footnotes--Chapter III

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³¹Ibid., p. 41.

³²Otto Klineberg and W. Frank Hull IV, At a Foreign University: An International Study of Adaptation and Coping (New York: Praeger Publishers, 1979), p. 4.

³³*Ibid.*, pp. 52-53.

³⁴*Ibid.*, p. 75.

³⁵*Ibid.*, p. 94.

³⁶*Ibid.*, p. 96.

³⁷Hugh Jenkins, Rapporteur, The Relevance of U.S. Education to Students From Developing Countries, Report on the Fourth A.I.D./NAFSA Workshop (Washington, D.C.: National Association for Foreign Student Affairs, 1980), p. 13.

³⁸*Ibid.*, p. 8.

³⁹*Ibid.*, p. 15.

⁴⁰*Ibid.*, pp. 23-24.

⁴¹*Ibid.*, p. 18.

⁴²Wallace Edgerton, "Trends in Educational Exchange," International Educational and Cultural Exchange 11,1 (1975): 44.

⁴³Jane Walter, "Counseling Appropriateness: An Exploration From a Cross-Cultural Perspective," Research in Education, ED 159 751, 1978.

⁴⁴I. Sanders and J. Ward, Bridges to Understanding (New York: McGraw-Hill, 1970), pp. 52-55.

⁴⁵Robert Kaplan, "NAFSA in the Mod Mod World," Exchange 6,2 (1970): 74.

⁴⁶Stephen C. Dunnett, ed., Needs of Foreign Students From Developing Nations at U.S. Colleges and Universities (Washington, D.C.: National Association for Foreign Student Affairs, 1981), p. xi.

⁴⁷*Ibid.*, p. x.

⁴⁸*Ibid.*, p. xiii.

⁴⁹*Ibid.*, p. xiv.

⁵⁰*Ibid.*, p. 49.

⁵¹*Ibid.*, p. 50.

⁵²Ibid.

⁵³Ibid., p. xiv.

⁵⁴Ibid., pp. 131-32.

⁵⁵Donald Watt, Intelligence Is Not Enough (Putney, Vt.: Experiment Press, 1967), p. 178.

⁵⁶Incomparable Journey: A Leader's Handbook (Putney, Vt.: Experiment Press, 1968). Includes additional updated loose-leaf chapters and sections for specific countries.

⁵⁷Odyssey Newsletter, Spring 1981. (Brattleboro, Vt.: Experiment in International Living, 1981).

⁵⁸Faust, op. cit., p. 13.

⁵⁹Ibid., p. 17.

⁶⁰Dunnett, op. cit., p. 76.

⁶¹With the severance of governmental ties in 1950, educational exchanges between the U.S. and China ceased. An initial search for studies in English and French in the Michigan State University library did not uncover any information on the experiences of PRC nationals in other countries.

CHAPTER IV

METHODOLOGY

The principal aims of the research undertaken with students and scholars from the People's Republic of China currently in the United States were: (1) to obtain descriptive information on the extent of their preparation before coming to the U.S. and their subsequent exposure to facets of American culture and (2) to determine what relationship, if any, existed between those two areas or variables within them.

Two methods were used to gather the data: the preparation and distribution of a written survey questionnaire and a more extensive examination of the exchange program at one location, Michigan State University. The data received from the return of the written questionnaires were analyzed subsequently with the assistance of an SPSS program at the Michigan State University Computer Laboratory. Each of these aspects of the methodology is the subject of this chapter.

Methods of Inquiry

Design and Development of the Written Survey Questionnaire

The questionnaire, designed in the late spring and early summer of 1981, consisted of 82 questions divided into two major sections: "Preparation to Come to the United States" and "Life in

the United States." Under these major headings, subsets of questions were asked to solicit information about particular subject areas. Categories in the section "Preparation to Come to the United States" included the content areas of (1) personal data and previous contact with U.S. and/or another foreign culture, (2) formal preparation before coming to the U.S. related to the areas of English-language training and orientation to American culture, and (3) informal preparation before coming to the U.S., i.e., indirect or self-motivated exposure to the English language and American culture. Categories in the section "Life in America" included (1) specifics on the living arrangements and academic situation as well as formal orientation programs in the U.S., (2) use of the English language, and (3) contact with American culture outside the academic environment. At the end of the questionnaire, respondents were asked to assess their overall satisfaction with the stay in the United States thus far, both in the area of satisfaction with their academic or research program and satisfaction with their contact with the American people and culture. (See Appendix C.)

A number of difficulties emerged during the development of the questionnaire, consideration of which influenced its final design. Nearly a year earlier, a four-page questionnaire was sent to a foreign teacher of English at a major Peking graduate school seeking information from the Chinese students enrolled in special sections that were to prepare them for eventual study abroad in English-speaking countries, primarily the United States.¹ The feedback from the sending of that questionnaire was instructive; though related in some of

its subject matter, the survey itself was not a pre-test or pilot, nor was the information received intended to be incorporated into the present research. Limited contact with a few of the visiting scholars already in the U.S. suggested that language training was, at that time, inadequate and orientation to American culture virtually nonexistent.² The questionnaire thus focused on obtaining descriptive information on the curriculum: texts, teaching methods, and language laboratory use, if any. Respondents were also asked to enumerate their goals and expectations vis-à-vis study abroad.

The format was a mixture of questions requiring short answers or enumerations in English as well as those that required a check in the appropriate box. Although the respondents were advanced-level English students, and 87% (67 cases) of the questionnaires were returned, the data received presented two major problems: short-answer responses provided too much detail from some surveys and not enough from others where the respondents lacked either the time or the ability in written English to answer some questions; and the data were too unwieldy in form to analyze expeditiously. The fact that so many students were willing to cooperate in such a project suggested that Chinese students and scholars would not be reticent or neglectful about providing information, given the right circumstances. More will be said about this subject later in the section "Method of Distribution."

A second difficulty was that of limiting the number and nature of questions to be asked. The sudden appearance of several thousand students from a country with which the U.S. has had virtually no

people-to-people contact for a generation enhanced the desire to seize the opportunity and medium of a questionnaire to find out as much as possible all at once. With no primary research yet undertaken using this sample of foreign students as a base, the temptation was great to devise a survey to compare their experiences with those of other nationalities or to test any one of previous hypotheses using another or multiple nationalities. Information leading to evaluation of the exchange process is needed by all parties involved, including the universities in and the governments of both countries, the PRC nationals themselves, and the American public. Further, the desire of all parties to see the resumed exchanges succeed is a favorable climate in which to gather such information.³

The survey design incorporated those desires to some extent, but its content imposed its own limitations and direction of inquiry. The exchange process is seen in the context of the history of relations between the two countries, a history that severely limited the bilateral free flow of information, experience, and direct association that form the basis of an individual's perception and understanding of another culture. Questions were asked that had the potential to provide insights into the two principal aims of the study: what is known about the respondent's previous exposure to specifics of U.S. culture, including people, language, and media, all known to be purveyors of information and values; and whether differing amounts of such exposure would make any difference in the depth and extent to which the respondent would have contact with those same cultural indicators after their arrival.

In its several drafts, the questionnaire was revised to exclude many areas of questioning that, though interesting, were determined to be extraneous to the primary area of investigation. These included a number of questions concerning the individual's perceptions and expectations of America before arrival, questions about the nature of support groups and systems that can often ease the adjustment to another culture, and a variety of questions on personal background, i.e., marital status, position in China, educational and work experience. Members of the doctoral guidance committee at Michigan State University provided a great deal of assistance in this area.

The design of the questionnaire involved assistance from and consultation with a number of individuals and sources. In addition to instructive guidance and constructive criticism from doctoral committee members, expertise was sought from the Michigan State University Office of Research and Design, a personal friend and colleague in the Department of Sociology at North Park College (Chicago) experienced in the development of surveys and familiar with statistical procedures, and computer consultant at the University of Illinois--Circle Campus.

A near-final form of the questionnaire was submitted to several visiting scholars in Chicago who were returning to China shortly and would not participate in the actual survey. They volunteered to complete the survey and provide information on the clarity of the questions, the length of time it took to fill out, and whether, in their frank judgment, any question or set of questions was culturally

or politically sensitive, hence unlikely to be answered. At their suggestion, it was decided not to pose the questions in both English and Chinese, as most Chinese students and scholars would have taken an English-proficiency test in China (not TOEFL), resulting in a competent reading level for the questions in the survey.

The survey in its final form was printed as a 16-page reduced-size booklet with a cover letter of introduction, intent and instructions in English on the front page and repeated in Chinese on page two. All questions, with the exception of those asking the respondent's name, address in the U.S., institutional affiliation in China, and the U.S. school were of three types, each answerable with a check on the appropriate line:

- a. yes or no response required;
- b. multiple-choice question where one answer is requested;
- c. multiple-response questions where the respondent may check any of the answers that apply.

This questioning format attempted to avoid ambiguity of response, to encourage a higher rate of response than other formats, and to facilitate the process of data analysis. Estimated time to complete the questionnaire was 15 to 30 minutes based on the earlier trial. The questionnaire included a self-addressed mailer complete with the "no postage required" business reply mail permit.

The Selection of the Survey Sample Population

At the time the written survey questionnaire was being developed, the latest estimates of the total number of PRC students and scholars in the U.S. stood at more than 5,000.⁴ The selection

of a random sample of 20% of that estimated total, of 1,000, to use as a data base, was deemed sufficient for representation of the total. Initially, limiting the selected sample to include only visiting scholars was considered, for the following reasons: (1) they represented the largest category of PRC citizens in the U.S. during the first year and a half of the renewed exchange between the two countries; (2) they represented the category that had been in the U.S. for the longest period of time, thus reducing the possibility that the respondents' lack of familiarity with and/or exposure to American culture and people was a consequence of a too-recent arrival and shortness of time in the U.S.; and (3) they represented the category most easily accessible in terms of facilitation of the distribution of the questionnaires because of the internal networking among them. More on this subject is explained in the following section, "Methods of Distribution."

For the purpose of comparison, however, the category of graduate students was added. Their numbers were increasing; and as graduate students enrolled in degree programs, they are more representative and typical of the situation of foreign students in the U.S. in general. Further, from the profiles released by the U.S.-China Education Clearinghouse, the composition of Chinese nationals coming to the U.S. is shifting rapidly to include a greater percentage of graduate students and a lesser percentage of scholars. As a group, the Chinese graduate students are less homogeneous in a number of areas, i.e., sponsorship, fields of study, and others, than are the visiting scholars.

The questionnaires were sent to 40 institutions selected to include representation in different parts of the country; public and private institutions; universities, colleges, and research institutes; and large and small schools. Information on institutions where Chinese students and scholars are studying came from a number of sources: the U.S.-China Education Clearinghouse, the Embassy of the People's Republic of China, and various chapters of the US-China Peoples Friendship Association. Additional sources of such information came from China Exchange and NAFSA newsletters, as well as from colleagues and professional contacts in a number of universities and colleges. Another criterion that figured significantly in the selection of the institutions was the willingness of contact persons in those locations to participate in the distribution of the questionnaires to the Chinese students and scholars. This method of reaching the sample population was necessary and desirable for the reasons listed in the following section.

Method of Distribution

The number of PRC nationals in the United States changes more often than that of foreign students of other nationalities as arrivals and departures, particularly of Chinese visiting scholars, occur monthly throughout the year. Part of this is the consequence of short-term agreements established between institutions and/or the two governments; another related factor is the unpredictability and varying length of time it takes to complete the process of paperwork (credential review, financial arrangements, passport and visa approvals,

and flight arrangements) of PRC students and scholars. This latter factor is expected to become less onerous in the future as the exchange mechanisms in each country become better known to the other.

Because there is no central listing of the names and addresses of PRC citizens in the United States--neither the U.S. government nor the Embassy of the People's Republic of China maintains such lists--it is necessary to reach them through the institutions at which they are studying or doing research. While institutions have varying policies concerning the release of such names and addresses to outsiders, most of them do not allow such information to be given out randomly. For that reason alone, the development of contact persons in those institutions or locations was necessary.

A second and more significant reason for distributing the questionnaires through individual contact persons was to encourage and assure a higher rate of return of the survey. Chinese students and scholars are not as accustomed, culturally, to receiving random requests for information from strangers as are Americans. Nor are they as familiar with the medium of a survey as a vehicle to gather data as are Americans. Social science research and methodology, never a well-developed field in China, has just recently become an area of study and investigation with the reopening in 1977 in Peking of the Chinese Academy of Social Sciences with its 25 affiliate research institutes.⁵

After personal consultation, written and/or spoken, with more than 60 institutions to determine the most appropriate and desirable body or individual willing to distribute the questionnaires, contacts

in 40 institutions were chosen. They included foreign student advisors, members of the US-China Peoples Friendship Association, faculty members, and, in some cases, a student or scholar from the People's Republic of China. They were all interested in and supportive of the survey's intentions. Because of their access to and contact with the Chinese students and scholars, they would be able to explain the nature of the survey to the Chinese in a more personal way than did the cover letter on the questionnaire itself, answer questions that might be raised, and provide personal encouragement to the students and scholars to complete the survey, allaying any fears or suspicions they might feel initially. The latter was a possibility since a personal conversation with the PRC Embassy confirmed a rumor that the American F.B.I. had been soliciting information from PRC nationals on some campuses.⁶

Despite its necessity and strong points, the use of contact persons also had a number of weaknesses. Some variations in how many of the desired sample (students and scholars at the given institution) actually received the questionnaire out of the total at that institution did occur, although not often. In some cases, the number of questionnaires sent, based on the best estimate of the population by the contact person, was too few or too many because of the continual arrival and departure of PRC citizens at the institution. When this happened, additional questionnaires were sent as requested or the number adjusted downward as the case indicated. In a few cases, the questionnaires were distributed disproportionately among the ratio of students to scholars,⁷ thus skewing the number of returned

questionnaires from those institutions in favor of a higher percentage of scholars. Neither of these weaknesses was considered detrimental to the analysis of the questionnaires that were returned, but the observations should be noted.

In all, 1,178 copies of the questionnaire were printed, of which 996 were sent to the contact persons in 40 institutions. Of those, a follow-up with the contact persons found that 714 of the questionnaires were actually sent or given to individual students and scholars from China. The profile of the distribution was as follows among the 40 institutions:

research institutes	4
colleges & universities	36
public institutions	15
private institutions	35
Midwest	21
East	9
South	4
West	6

Percentage and number of questionnaires sent to the above profile were:

	<u>Number</u>	<u>Percent</u>
research institutes	51	5.12
colleges & universities	945	94.87
public institutions	435	43.67
private institutions	561	56.32
Midwest	497	49.89
East	215	21.58
South	77	7.73
West	207	20.78

Appendix D lists the names of the institutions that participated in this survey, including the number of questionnaires sent, the number of questionnaires actually distributed, and the number of questionnaires

returned, which formed the data base for analysis. Appendix E is the cover letter sent to the contact person in each of the 40 institutions involved.

Examination of the Exchange Program
of Chinese Scholars and Students
at One Institution

A second method of inquiry, one intended to supplement the data obtained from the nationwide written survey, was an investigation of the nature of the exchange program at Michigan State University. This institution was chosen primarily because of its accessibility, but also because it met the following criteria, making it typical of many of the institutions at which Chinese students and scholars are studying and/or doing research work:

1. Michigan State University has a presence of PRC nationals from all the categories: undergraduates, graduate students, visiting scholars, and special students;
2. both Chinese-government-sponsored and privately sponsored students and scholars are in residence at Michigan State University;
3. PRC citizens at Michigan State University come from many parts of China with a diversity of Chinese institutional affiliation; and
4. although the majority of Chinese at Michigan State University are engaged in academic study or research in scientific fields, as they are nationally, the population there includes students in a variety of other fields as well.

This part of the overall work of the dissertation took place after the return of the questionnaires, in October and November 1981. The investigation of the exchange program had the following objectives and format, of which the results are written up in Chapter VI.

Objectives: to obtain further information and follow up of question areas included in the written survey from a select group of persons;

to describe the origins, development, and nature of the exchange process at one location;

to obtain, through discussion and interviews with specific Chinese students and scholars, a broader perspective of the experiences they are having at this point in their stay in the United States, including areas not covered in the survey questionnaire; and

to obtain information about their stay at Michigan State University from the perspectives of others involved in the exchange program and with whom they come in contact, i.e., the foreign students' office, the English Language Center, community groups, and others.

To achieve the objectives, several sources of information were consulted and individuals contacted, including the following:

1. written materials or documents prepared about the program in its past or current status;
2. discussions with persons in the Asian Studies Center, the Foreign Students' Office, the English Language Center (both with the person in charge of the program for the Chinese nationals and an English Second Language teacher), the Admissions Office of Michigan State University, the current chairperson of the Greater Lansing Chapter of the US-China Peoples Friendship Association, and a representative of the Community Volunteers for International Programs-Services; and
3. discussions with two groups of Chinese students and scholars, one with seven persons held on November 15, 1981, and the other on December 13, 1981, with six of the eight women currently at Michigan State University.

The discussion sessions with the Chinese students and scholars focused on a previously prepared agenda that sought the following kinds of information:

1. the selection process that took place in China;
2. the administrative process for getting in and to the U.S.;
3. academic matters:
 - a. relevance of curriculum;
 - b. styles of learning;
 - c. facilities for learning;
 - d. relationship to faculty, students, and colleagues;
 - e. problem areas and evaluation of academic program.

4. goals and expectations:
 - a. how these originated;
 - b. assessment of the feasibility of accomplishment;
 - c. changes, if any, in goals and expectations since arrival;
 - d. obstacles toward achieving goals and expectations.
5. Chinese group organization at M.S.U.
 - a. nature of any formal and/or informal network;
 - b. role and functions of that network, i.e., friendship, problem solving, psychological support, etc.
6. nonacademic aspects of their stay in the U.S.
 - a. acquaintance with American people and culture;
 - b. activities pursued;
 - c. problem areas and cultural misunderstandings.
7. assessment and relationship of stay in the U.S. to their return home
 - a. effect of stay here upon their job, position, and status upon return to China;
 - b. relationship to China's goals;
 - c. effect of stay in the U.S. upon them personally, i.e., growth, change of values, etc.

Of the 13 individuals from China who participated in the discussion sessions, 11 of them had also completed the written questionnaire. While the survey was not the sole basis for the discussions, many of the responses clarified and extended a number of areas included in it. In addition, a separate informal talk was held with the leader of the Chinese visiting scholar group at Michigan State University to obtain more information about that particular group. See Chapter VI, "A Closer Look: Chinese Students and Scholars at Michigan State University," for a summary of the results of this method of inquiry.

Methods of Analysis

The survey design included variables concerning prearrival preparation/orientation and postarrival exposure to American culture.

These were posed in questions divided into the two areas with three subsections each, as follows:

<i>Pre</i> Pre preparation:	Section I	Questions 1-19	prior contact with U.S. culture, direct or indirect
	Section II	Questions 20-30	English-language training
	Section III	Questions 31-42	acquaintance with U.S. culture in preparation to come
Postarrival:	Section IV	Questions 43-55	living situation & orientation in the U.S.
	Section V	Questions 56-63	English-language usage
	Section VI	Questions 64-82	interaction with U.S. culture

The Development of a Scoring System

One component of the plan to analyze the data was the assigning of positive and negative numerical values to responses to questions that dealt specifically with factors known to relate to preparation/orientation to a second culture and to cross-cultural communication and interaction. This was done for several reasons:

1. One could thus identify concretely and provide a numbered value to the overall exposure an individual respondent had to variables recognized as significant in the field of international educational exchange.

2. The composite negative and positive scores, for individuals overall and within the separate sections, could then be compared with nonvalued variables, such as sex, age, field of study, and others to determine any relationship.

3. Individual and group composite scores in each of the six sections could help prepare a profile that would identify the strengths and weaknesses in the different areas of preparation and interaction for a specific national group whose background and experiences in the U.S. are little known.

4. With so many individual variables in the survey, this system facilitated the grouping of related variables into pertinent clusters in order to later measure them in relationship to each other and to the hypothesis under investigation. Variable analysis of clusters is a complicated procedure, even with the assistance of a computer. The comparison of section scores accomplished the same purpose, yet was less unwieldy.

Assigning and calculating the accumulated points and scores of each individual and using them to extract descriptive statistics was not the sole analytical technique employed in the study. The system was a helpful evaluative tool, though not without its limitations. Pages 127 through 133 at the end of this chapter list the values attached to individual questions in the survey, as well as listing those to which no numerical value was assigned.

The actual value in points, positive or negative, assigned to responses was created for the purpose of this study. The numbers do not come from evaluative scales prepared for any previous study and are not necessarily seen as applicable to other or future studies as that was not the purpose or aim of this research project. The numbers, ranging from one to five on both positive and negative scales, could as easily have been in other multiples. They were, however, chosen

with careful consideration as to their relationship to each other within the questionnaire. The number selected was based on the importance attributed to the variable and its relationship to the orientation and intercultural interaction processes. The following considerations assisted in the assigning of points:

1. the attention paid to and judgment of the importance of a variable(s) in the studies reviewed earlier;
2. more time spent in association with particular variables was seen as more valuable: for instance if a respondent had studied English longer, he received more points;
3. exposure to a greater number of items within a category posed in multiple-response questions enabled a respondent to accumulate more points even though each item had the same numerical value: for instance, a person who had consistent contact with more types of written materials by Americans or on American culture could score higher. The reading of such material in English rated higher still.

It is important to state that such a system of evaluation only identifies contact with the variables, sometimes over time and sometimes in terms of numbers of different items within a category. The score achieved does not judge the content or quality of such variables. A person may have studied English for ten years and not be proficient. Likewise, orientation sessions held either in China or the U.S. may vary considerably in their content and ability to prepare a person for the cross-cultural experience. One person may have read simplified or condensed versions of American novels, while another read the original and unabridged edition. The survey did not attempt to deal with such differences as that would have necessitated a much longer and unwieldy questionnaire, particularly for those unfamiliar with the form of written questionnaires. It would have also necessitated subjective judgments on quality and content that the investigator was

unprepared and unwilling to make. What was being measured was to what extent the respondent came into contact with measures, both direct and indirect, believed to be important in the preparation to go abroad and to what extent he came into contact with assimilation and interaction variables after arrival in the U.S. Such information was deemed worthwhile in itself for descriptive purposes and for the testing of the assumption posed in this study, namely that

Chinese students and scholars who have some initial pre-departure preparation in language training and cultural orientation to America will have more varied and extensive, active ties with the American populace (academic and non-academic) than do those Chinese students and scholars who did not have such preparation.

The actual points assigned to individual variables were not validated by a panel of experts in the field of cross-cultural relations, although the scale was submitted to and received feedback from a number of individuals. Thus, while the evaluative system did meet the criteria for reliability, some scholars may question the weighting of the specific numerical points assigned. In retrospect, a scale using zero as the base may have been less cumbersome for the purposes of analysis than one that included negative numbers. Others may have chosen to attribute a greater or lesser importance to some of the variables, thus leading to a greater or lesser overall range of points making up the composite scores received by the respondents. Generally, though, the composite scores did not play a large role in the analysis of the data. Most of the significant findings were related to the cross-tabulations of individual variables within the study.

A detailed listing of the numerical values assigned to the responses of specific questions can be found at the end of this chapter on methodology (pages 127-131). It should be noted that the number of possible positive points greatly exceeds the number of possible negatives. This is true in each of the six sections. A negative numerical value indicates no contact with the variable in question or is one answer in a multiple-response question, the rest of the responses each assigned a positive value. Overall, an individual could receive a maximum of 130 positive points or a maximum of 42 negative points. It was not possible for a respondent to receive either the total positive or negative score if he had some of each.

Finally, in regard to the scoring system, there were some questions that did not have numerical values assigned to the answers. These variables, including sex, age, occupation, field of study, and others, were judged as independent of the processes and practices of orientation and cross-cultural interaction. They were later analyzed to determine what relationship they had, if any, to the scores of individuals. Also, there were a few questions in the survey where only some of the possible answers were assigned numerical values. An example is in the question asking for a listing of goals: only those goals that included an effect on the interaction of the two cultures apart from the academic purpose received points (desire to learn more about America, to further their knowledge of English, or to get a broader education). Other answers to that question, i.e., desire to get a degree, to acquire skills and experience in one's field of study, etc., were not assigned negative points because they were felt to be

independent of, or at least not negative influences on, the processes of the cross-cultural experience. A listing of the variables and questions that did not receive numerical points is also to be found at the end of this chapter.

Both the questions that were assigned points leading to a score for respondents and those with no numerical value attached served a useful purpose. Each question provided data for the descriptive profile or for analytical comparisons between and among variables.

One further step was taken as an evaluative tool, again because it facilitated the testing of the hypothesis about the relationship between predeparture orientation and postarrival contact with American culture. Value judgments/labels of "poor," "fair," "good," and "very good" were placed on the accumulated score of points, both positive and negative. These labels were based on the total numbers of points possible, and they applied only to the following scores:

1. Combined predeparture preparation scores--Sections I-III

positive (+) points possible = 66

Poor	=	0-16
Fair	=	17-32
Good	=	33-49
Very Good	=	50-66

negative (-) points possible = (-) 20

Poor	=	16-20
Fair	=	11-15
Good	=	6-10
Very Good	=	0- 5

2. Combined postarrival scores--Sections IV-VI

positive (+) points possible = 64

Poor = 0-16

Fair = 17-32

Good = 33-49

Very Good = 50-66

negative (-) points possible = (-) 22

Poor = 18-22

Fair = 12-17

Good = 6-11

Very Good = 0- 5

3. Overall composite scores. The possible range in this case was from -42 to +130 or 172:

total positive points possible = +130

total negative points possible = - 42

Poor = -42- 0

Fair = 1- 43

Good = 44- 86

Very Good = 87-130

The composite score for each individual was the difference between the total positive score obtained and the total negative score obtained.

In all three of the above procedures for the evaluation of poor, fair, good, or very good, each of the divisions contained 25% of the total range that was possible.

Data-Analysis Procedures

The data from the survey, 233 returned questionnaires, were analyzed in three phases at the Michigan State University Computer Center using the Statistical Package for the Social Sciences (SPSS) to perform most of the desired statistical analyses.

The first phase was the computing of the scores. For each of the 233 cases, scores were tabulated as follows:

1. positive points for each of the six sections
negative points for each of the six sections
2. total prepreparation positive points (Sections I-III)
total prepreparation negative points (Sections I-III)
total postarrival positive points (Sections IV-VI)
total postarrival negative points (Sections IV-VI)
3. total positive points (Sections I-VI)
total negative points (Sections I-VI)
4. composite score (positive points less the negative points)

The following frequencies were then computed for the group of 233 cases:

1. total positive points
total negative points
2. Section I positive points
Section II positive points
Section III positive points
Section IV positive points
Section V positive points
Section VI positive points
3. Section I negative points
Section II negative points
Section III negative points
Section IV negative points
Section V negative points
Section VI negative points
4. prepreparation positive points (Sections I-III)
prepreparation negative points (Sections IV-VI)
postarrival positive points (Sections I-III)
postarrival negative points (Sections IV-VI)
5. composite scores

This phase of the analysis was useful in helping to build a profile concerning the preparedness of the overall group of Chinese students and scholars and their interaction with American cultural factors after arrival. (See chapter on the findings of the survey.)

It also provided a basis for a number of the cross-tabulations that were done later.

Frequency Computations of the Data

The second phase of analysis consisted of computing the frequencies of the variables within each of the sections. This included the variables to which no numerical value had been attached. The following is a listing of the frequencies tabulated on questions within each section:

Section I (survey questions 1-19)

- sex
- age (birthdate)
- occupation
- past family study in U.S.
- relatives living in the U.S.
- prior experience abroad
- first visit to U.S.
- present length of time in U.S.
- projected length of stay in U.S.
- U.S. institution
- university status
- degree program
- field of study
- direct exchange agreement between U.S. and Chinese institutions
- member of exchange
- number of Chinese from home institution in the U.S.
- number of Chinese at U.S. institution
- how initial contact was made with U.S. institution
- perusal of U.S. university literature before arrival in U.S.

Section II (survey questions 20-30) Language preparation

- length of time of English study
- first English learned
- English conversation studied
- learned English from native speakers
- used English in work in China
- primary mode of English practiced
- studied other subjects with English as language of instruction
- English-language club
- participation in English-language club
- English-language test in China

Section III (survey questions 31-42) Contacts with U.S. culture

- had special preparation/orientation in China
- specific classes offered at Chinese institution
- length of preparatory classes
- talks with foreign experts in China
- talks with returned students and scholars
- correspondence with students and/or scholars in U.S.
- listened to Voice of America radio (VOA)
- frequency of listening to VOA
- evaluation of advanced preparation

Section IV (survey questions 43-55)

- met by whom in U.S.
- eating situation
- type of food
- transportation
- access to radio and/or television
- frequency listen to radio and/or television
- frequency read U.S. newspapers
- U.S. orientation
- U.S. orientation arranged by whom
- length of U.S. orientation

Section V (survey questions 56-63) English-language usage

- least-difficult aspect of English
- most-difficult aspect of English
- English test in U.S.
- English course required
- length of course required
- frequency of reading English materials outside academic life
- language most often spoken outside academic life

Section VI (survey questions 64-82) Interaction with U.S. culture

- time spent with what people
- have community contacts
- contacts considered casual or long term
- more contact desired
- who arranged community activities
- accompanied by whom on activities
- invited to speak to community groups
- spoke to community groups
- invited to attend professional conferences
- participated in professional conferences
- plans to visit elsewhere in U.S.
- satisfaction with academic progress
- satisfaction with contact with American culture

Within each of those sections there were a number of multiple-response questions. Frequencies for each answer selected were computed along with the frequencies with which people checked more than one answer. These included the following variables:

- sponsorship (question 16)
- most important goals (frequencies tabulated for the top three)
- methods used to learn English in China (question 22)
- needs for improving preparation in China (question 42)
- variety of means used to learn about U.S. culture (in China) in English (question 37)
- variety of means used to learn about U.S. culture (in China) in Chinese (question 38)
- subjects covered in U.S. orientation programs (question 55)
- supplemental means of English improvement (question 61)
- U.S. contacts from what groups (question 66)
- how U.S. contacts were made (question 67)
- reasons for lack of contact with U.S. nationals (question 70)
- familiarity with what groups (question 71)
- participation in what outside activities (question 72)

Cross-Tabulation of Variables

The tabulation of all the above frequencies provided a great deal of information concerning the group of students and scholars as a whole. It helped to constitute a profile as well as to provide reference to areas of strength and weakness both in terms of preparation and interaction with U.S. culture. These descriptive statistics alone could not provide the significance of individual variables or a correlation between variables, which are needed to determine if a relationship exists between any given variable or overall preparation factors and subsequent interaction with U.S. culture. Therefore, the

data were also analyzed using some of the procedures of inferential statistics. These procedures compared variables and groups of variables to determine if there was a predictable relationship between them.

The principal procedure used was that of cross-tabulation of selected variables to determine what relationships, expected or otherwise, would materialize in the form of linear regressions or significant chi squares. The research question posed for the study hypothesized that the more kinds of predeparture exposure an individual had to U.S. culture, the more extensive his or her contact with U.S. culture would be after arrival. Scores for the different sections were the best representative of the presence or lack of quantity of such exposure, both prior to departure and after arrival. Therefore, they (scores) were treated as variables in themselves and, as such, were compared with other variables.

One segment of cross-tabulation dealt with the comparison of scores as follows:

1. prepreparation + scores and postarrival + scores
2. prepreparation - scores and postarrival - scores
3. prepreparation + scores and postarrival - scores
4. prepreparation - scores and postarrival + scores
5. composite scores were cross-tabulated with each of the following variables:

- age
- sex
- length of time in the U.S.
- status in the U.S.
- degree program
- field of study
- first English learned

6. satisfaction with academic program was cross-tabulated with each of the following variables:

- composite score
- prepreparation + scores
- prepreparation - scores
- postarrival + scores
- postarrival - scores

7. satisfaction with acquaintance with U.S. culture was cross-tabulated with each of the following variables:

- composite score
- prepreparation + scores
- prepreparation - scores
- postarrival + scores
- postarrival - scores

A second set of cross-tabulations using the scores as variables allowed a closer examination of the relationship between specific sections and other variables as follows:

8. Section II + scores (language preparation) and postarrival + scores
 Section II + scores and Section VI (interaction) + scores
 Section II + scores and Section VI - scores

 Section II - scores and postarrival + scores
 Section II - scores and Section VI + scores
 Section II - scores and Section VI - scores
9. Section III + scores (U.S. culture preparation) and postarrival + scores
 Section III + scores and Section VI (interaction) + scores
 Section III + scores and Section VI - scores

 Section III - scores and postarrival + scores
 Section III - scores and Section VI + scores
 Section III - scores and Section VI - scores
10. satisfaction with academic program and Section VI + scores
11. satisfaction with acquaintance with U.S. culture and Section VI + scores
12. sponsorship and postarrival + scores
 sponsorship and Section VI (interaction) + scores
13. status at U.S. school and postarrival + scores
 status at U.S. school and Section VI (interaction) + scores

14. field of study and prepreparation + scores
15. degree program and postarrival + scores
degree program and Section VI (interaction) + scores
16. length of English study and Section IV + scores
and Section V + scores
and Section VI + scores
17. orientation classes in China and Section IV + scores
and Section V + scores
and Section VI + scores
18. special preparation in China and Section VI + scores
19. first English learned and Section VI + scores
20. living with whom and Section VI + scores
and Section VI - scores
21. type of food and Section VI + scores
Section VI - scores
22. language spoken most often and Section VI + scores
and Section VI - scores

A third set of cross-tabulations did not involve the scores,
but was between the following sets of variables:

23. exchange program between U.S. and China and special
preparation in China
24. exchange program between U.S. and China and orientation
program in China
25. orientation program in China and orientation program
in U.S.
26. status in U.S. school with degree program
27. evaluation of advanced preparation and Chinese-government
sponsorship
and U.S. scholarship
and private sponsorship
and U.S. assistantship
28. participation in outside activities and present time
in the U.S.
29. satisfaction with academic progress and sponsorship

30. satisfaction with academic progress and most important goals
31. satisfaction with academic progress and each of the following:
 - status in U.S. school
 - degree program
 - field of study
 - length of time in U.S.
 - length of English study
 - orientation in China
 - evaluation of advanced preparation
32. satisfaction with knowledge of U.S. culture and sponsorship
33. satisfaction with knowledge of U.S. culture and participation in outside activities (survey question 72)
34. satisfaction with knowledge of U.S. culture and each of the following:
 - status in U.S. school
 - degree program
 - field of study
 - length of English study
 - orientation in China
 - length of time in U.S.
 - evaluation of advanced preparation
 - first English learned
 - age
 - had English conversation in China
 - living with whom
 - most important goals
35. satisfaction with knowledge of U.S. culture and satisfaction with academic progress
36. familiarity with what groups and each of the following:
 - participation in what activities
 - satisfaction with knowledge of U.S. culture

Each of the above 36 sets of cross-tabulations was selected in order to find out what, if any, variables had more influence on the amount and kinds of acquaintance that the Chinese nationals had with U.S. culture. The frequencies tabulated earlier showed a number of the respondents had followed a similar pattern in some areas that

could affect their interaction with American culture. Many persons indicated that they lived with other Chinese students or scholars, cooked for themselves and ate primarily Chinese food, and outside of their academic or research program tended to speak Chinese and to spend most of the time with other Chinese persons. These are all factors that Klineberg and Hull referred to in their study, At a Foreign University: An International Study of Adaptation and Coping, as having an influence on whether a foreign student is able to successfully integrate with the host culture and which, they felt, have much to do with the foreign student's overall satisfaction with his or her stay, both academically and nonacademically.

In order to determine the extent of the relationship of these factors to interaction with American culture, an additional variable was created: Do Chinese Things. This variable included the following:

1. living with whom (question 45, answer 6);
2. type of food (question 47, answer 1);
3. language outside of academics (question 63, answer 2); and
4. company outside of academics (question 64, answer 1).

The earlier frequencies computed provided information on how many of the respondents answered each of those questions individually. The new variable, Do Chinese Things, gave information on how many of the respondents answered those questions with the pattern mentioned above, i.e., how many did none, one, two, three, or all four of those variables that involved remaining in an atmosphere that was primarily Chinese.

This variable was then cross-tabulated with others to determine if, in fact, the pattern of maintaining a primarily Chinese style of living did interfere with or affect the interaction of those individuals with American culture. The following comparisons were made:

37. do Chinese things and each of the following:

- Chinese government sponsorship
- U.S. university scholarship
- private sponsorship
- U.S. university assistantship
- satisfaction with academic progress
- satisfaction with knowledge of U.S. culture
- status at U.S. university
- participation in outside activities

Other Types of Analysis

The data-analysis procedures outlined above were duplicated for a particular sector of the Chinese students and scholars in the United States, those at Michigan State University. The findings of these analyses as well as the results of the discussions and interviews that took place with the Michigan State University Chinese nationals are recorded in a subsequent chapter, "A Closer Look: Chinese Students and Scholars at Michigan State University."

Summary

The above three phases of data analysis--the computation of scores, the tabulation of frequencies, and the computing of the aforementioned cross-tabulations--led to findings that related to the primary research question posed in this dissertation. In addition, the analysis established relationships or lack of relationships between many individual variables thought to have an effect on the adaptation of foreign students to a second culture. These are all discussed in the next chapter, "The Findings of the Survey."

ASSIGNED VALUES--PREPREPARATION--Sections I-III

<u>Survey Question #</u>	<u>Answer</u>	<u>Points (+ or -)</u>
3. Past family study in the U.S.	yes no	+1 -1
4. Relatives living in the U.S.	yes no	+1 -1
5. Previous experience abroad	yes no	+1 -1
6. First visit to the U.S.	no	+1
7. Length of time in the U.S.	1-6 months 7-12 months more than 1 year more than 2 years	0 +1 +2 +3
17. Main goals to achieve in U.S.	broader education improving the language knowing U.S. people learning about U.S.	+1 +1 +1 +1
19. Seen U.S. university materials	yes no	+1 -1
<hr/> End Section I <hr/>		
20. Length of language training	less than 6 months 6 months to 1 year 1 to 2 years 2 to 5 years more than 5 years	-1 0 +1 +2 +3
22. Methods of learning English	only scientific English special course more than 2 hours daily	-1 +2 +2
23. Conversation study	yes no	+1 -1
24. English with native speakers as teachers	yes no	+2 -1
25. Frequency of English use	daily often rarely	+2 +1 -1

PREPARATION--ASSIGNED VALUES--Continued

<u>Survey Question #</u>	<u>Answer</u>	<u>Points (+ or -)</u>
26. Mode of English use	reading/writing all three modes	-1 +2
27. Studies other subjects with English instruction	yes	+1
28. English club	no	-1
29. Participation in club	usually sometimes rarely	+2 +1 -1
<hr/> End Section II <hr/>		
31. Special preparation to come to U.S.	yes no	+1 -1
32. Chinese university program	yes no	+5 -5
33. Length of orientation	1 or 2 sessions less than 1 month 1 to 3 months	+1 +2 +3
34. Talks with Americans living in China	yes	+3
35. Talks with returned Chinese students and scholars	yes	+3
36. Contact with Chinese in U.S.	yes	+2
37. Learning about U.S. culture-- using English	American literature American magazines American newspapers American movies American tourists	+3 +2 +2 +2 +1
38. Learning about U.S. culture-- using Chinese	American literature American magazines American newspapers American movies	+2 +1 +1 +1
39. Listen to Voice of America	no	-1

PREPARATION--ASSIGNED VALUES--Continued

<u>Survey Question #</u>	<u>Answer</u>	<u>Points (+ or -)</u>
40. Frequency listen to VOA	daily	+3
	sometimes	+2
	rarely	+1

 End Section III

Possible prepreparation + points/score = +66

Possible prepreparation - points/score = -20

<u>Section I (1-19)</u>	<u>Section II (20-30)</u>	<u>Section III (31-40)</u>
possible +s = 14	possible +s = 17	possible +s = 35
possible -s = 5	possible -s = 8	possible -s = 7

ASSIGNED VALUES--POSTARRIVAL--Sections IV-VI

<u>Survey Question #</u>	<u>Answer</u>	<u>Points (+ or -)</u>
45. Living with whom	U.S. students	+2
	U.S. family	+2
	foreign students	+2
	Chinese students	-2
46. Eating situation	school cafeteria	+1
	family or relatives	+1
47. Type of food	Chinese	-1
	American	+2
	combination	+1
50. Frequency listen to radio/TV	daily	+2
	sometimes	+1
	rarely	-1
51. Frequency read U.S. papers	daily	+2
	sometimes	+1
	rarely	-1
52. Had U.S. orientation program	yes	+5
	no	-5
54. Length of orientation	1 to 2 hours	+1
	half day	+2
	full day	+2

POSTARRIVAL--ASSIGNED VALUES--Continued

<u>Survey Question #</u>	<u>Answer</u>	<u>Points (+ or -)</u>
55. Subjects covered	life on campus	+1
	American history/culture	+1
	social customs	+1
	academic information	+1
	other (specify)	+1
<hr/> END SECTION IV <hr/>		
61. Additional English study	with a tutor	+1
	self-study	+1
62. Read material in English	often	+2
	sometimes	+1
	rarely	-1
63. Language most often spoken	English	+3
	Chinese	-3
	some of both	+1
<hr/> END SECTION V <hr/>		
64. Spend time with whom	Chinese people	-3
	foreign students	+1
	Americans	+2
	combination	+1
65. Community contacts	yes	+1
	no	-1
67. Types of contacts	if 3 or more	+1
68. Nature of contacts	casual	+1
	long-term contacts	+3
	some of both	+3
69. Desire more contact	yes	+1
70. Reasons for lack of contact	prefer Chinese	-1
	do not wish contact	-1

POSTARRIVAL--ASSIGNED VALUES--Continued

<u>Survey Question #</u>	<u>Answer</u>	<u>Points (+ or -)</u>
72. Activities/visits	American family	+1
	U.S. farms	+1
	U.S. factories	+1
	movies	+1
	cultural events	+1
	sports event	+1
	sightseeing locally	+1
	sightseeing elsewhere	+1
73. Activities with whom	foreign students	+1
	American friends/students	+2
	combination	+2
74. Activities arranged how	other Chinese	+1
	American friends/students	+2
	combination	+2
76. Accepted invitation to speak	yes	+1
	no	-1
78. Given conference paper	yes	+1
	no	-1
79. Plan to visit elsewhere in U.S.	yes	+2

 END SECTION VI

Possible postarrival + points/score = 64

Possible postarrival - points/score = 22

Section IV (31-55)possible +s = 21
possible -s = 10Section V (56-63)possible +s = 7
possible -s = 4Section VI (64-82)possible +s = 36
possible -s = 8

LIST OF CHINESE SURVEY QUESTIONS TO WHICH NO
NUMERICAL VALUE IS ATTACHED

<u>Survey Question #</u>	<u>Label/Variable</u>
1	Sex
1A	Age
2	Occupation
2A	Institutional affiliation in China
8	Intended length of stay in U.S.
8A	U.S. institutional affiliation
9	Status at U.S. institution
10	Degree or nondegree seeking person
11	Field of study
12	U.S.-China direct institutional exchange
13	Member of direct exchange program
14	Number from Chinese school in U.S.
15	Number of PRC nationals at U.S. institution
16	Sponsorship
17	Goals: (1) get degree (2) acquire research skills (3) gain knowledge in field (7) gain practical experience in field
18	How contact with U.S. institution made
21	Where English first learned
30	English test in China prior to departure
41	Evaluation of predeparture preparation
42	Preparation needs: (1) academic information (2) more English (3) cultural information
43	Met by whom in U.S.
44	Where living
48	Primary means of transportation
49	Have radio or T.V.
53	Who arranged orientation
56	Which area of English is the easiest

SURVEY QUESTIONS TO WHICH NO NUMERICAL VALUE IS ATTACHED--Continued

<u>Survey Question #</u>	<u>Label/Variable</u>
57	Which area of English is most difficult
58	English test required in U.S.
59	Supplemental English courses taken
60	Length of required supplemental English
66	Contacts with what groups
71	Familiarity with what community groups
75	Invited to speak to American groups
77	Invited to present conference paper
80	When further travel is planned
81	Satisfaction with academics
82	Satisfaction with knowledge of U.S. culture

Footnotes--Chapter IV

¹The questionnaire was distributed by a personal contact to classes at a graduate school in Peking to which I was an invited lecturer on several occasions in November 1979. At that time, diplomatic relations had not yet been established and there were no official exchange agreements between institutions in the two countries. Nevertheless, this school had set up special courses for those graduate students who were potentially being selected to go abroad, either on government scholarships or through their own initiative in contacting U.S. universities about admissions and financial aid.

²This observation was confirmed by talks with scholars from both Fermi National Accelerator Laboratory and the University of Chicago. Although they were, for the most part, doing research work in physics, many of them were dependent, at least initially, on translators who usually were Chinese-American faculty members. A more complete examination of the methods of English teaching in China was published in the TESOL Quarterly 13,4 (December 1979). The article, "English Teaching in China: A Recent Survey," was prepared by a group of four English Second Language specialists who visited China in May 1979 for nearly three weeks at the request of the Chinese Ministry of Education.

³In general, the universities contacted about the distribution of the questionnaire showed great interest and enthusiasm. Distribution through a source or person that the students and scholars considered "friendly" was an important factor, both to explain the nature of a general survey received from a stranger and to provide assurance that it was for a good cause.

⁴Linda Reed, staff person of the U.S.-China Education Clearinghouse, Washington, D.C. Information provided in an address to the TESOL annual convention, March 13, 1981, Renaissance Center, Detroit, Michigan.

⁵"An Introduction to Education in the People's Republic of China and U.S.-China Educational Exchanges" (Washington, D.C.: U.S.-China Education Clearinghouse, 1980), p. 65.

⁶Although no details were provided by the Embassy, the spokesperson suggested that the reason for a smaller or no response from some of the Eastern-region campuses might be the suspicions raised from this experience, particularly since the questionnaire I sent out asked for so much information about the respondent's contact with American culture and people.

⁷The University of California at Berkeley distributed the questionnaires to research scholars only because their addresses had been compiled for the 1981-82 year while those of the graduates and undergraduates were not readily available.

CHAPTER V

FINDINGS OF THE SURVEY

The survey's intended distribution was to include approximately 20% of the estimated total of 5,000 Chinese students and scholars in the United States as of summer 1981. One thousand questionnaires were to be distributed to a random sample. Of these, 714 were actually distributed and 233 were returned as completed by individual students and scholars. The return rate was thus 32.6%. The returned questionnaires came from respondents in 31 American institutions: there were no returns from 9 of the institutions.

The 233 cases represented the following regional distribution and type of institution:

	<u>Number</u>	<u>Percent</u>
public institutions	116	49.79
private institutions	117	50.21
Midwest	150	64.3
East	12	5.2
South	6	2.6
West	65	27.9

Among the American institutions with which the Chinese students and scholars were affiliated, 12 have formal institutional exchange agreements with institutions in China:

Columbia University	Purdue University
Goshen College	Seton Hall University
Indiana University	Sienna Heights College
Michigan State University	University of California--Berkeley
Northeastern University	University of California--Los Angeles
Northwestern University	University of Pittsburgh

Overall, the results of the analysis of data obtained from the questionnaires showed a positive linear correlation between the amount of exposure that respondents had to various items relating to American culture before leaving China and the amount of interaction that they had with American culture after their arrival in the U.S. This relationship was established by a test of the Pearson coefficient, which is a useful statistical measure of linear correlation, and by a chi-square analysis. Both procedures used a cross-tabulation of the total of predeparture positive scores and the postarrival positive scores obtained by the respondents. (See Figure 2, page 167.) While both these tests showed a statistical significance in the relationship between the two, in and of themselves they cannot provide conclusive proof for the full acceptance of the hypothesis posed as a major research question, that

Chinese students/scholars who had some initial preparation in language training and cultural orientation to America will have more varied and extensive, active ties with the American populace (academic and nonacademic) than those Chinese students/scholars who did not have such preparation.

The reasons for a nonacceptance of the hypothesis will be discussed later in this chapter.

However, a number of tests provided reason to accept the formulation that those

Chinese students/scholars who come to the U.S. as individuals with private sponsorship will have more varied and extensive contacts with Americans than do those who come under the sponsorship of the Chinese government.

With regard to the third research question posed, that those

Chinese students/scholars who have more interaction with U.S. culture will express more overall satisfaction with their stay than those who have less,

the results of the data analysis were mixed. Comparisons between individual variables and the scores obtained by the respondents showed a relationship between interaction with U.S. culture and satisfaction with their acquaintance and familiarity with U.S. people and customs (question 72), but there was no statistical relationship between the respondents' interaction with U.S. culture and satisfaction with their academic progress (question 71).

In order to more fully explain the results of the data analysis as concerns the three above-mentioned hypotheses, this chapter of the dissertation will first present a profile of the respondents as provided by their answers to questions in the survey. This descriptive analysis will supply needed background on the nature of their preparation to come to the United States and the extent of their interaction since their arrival. This information is the framework within which the comparison of scores and selected variables took place.

The Respondents: Who They Are

The 233 persons listed 118 different institutional affiliations from 29 cities in China: represented were 38 schools and research institutes in Peking, 17 in Shanghai, 8 in Nanking, and a variety of schools in 26 other Chinese cities. Four did not list

their affiliation in China, and two indicated middle schools (secondary) rather than an institution of higher learning. The respondents included 31 women (13.3%) and 202 (86.7%) men. The oldest person who responded was 59 years of age; the youngest, 20 years of age. Thirty-five percent were 40-45 years old, and 77.2% were between the ages of 36 and 51. These figures corroborate those found in the U.S.-China Education Clearinghouse surveys and are consistent with China's stated priority of sending professors and scientists established in their fields abroad for advanced research in their areas of specialty.

The respondents listed the following occupational background:

graduate students	12.9%
professors/teachers	54.5%
research scientists	26.6%
other	6.0%

Within the category of "other," doctors and medical researchers, city planners, and administrators in government ministries were cited.

Thirty-one percent indicated that more than 20 from their institutional affiliation in China were now studying in the U.S.; most of these were from among the larger, well-known universities and research institutes in China. Nearly 30% stated that fewer than five were now in the U.S.

When asked how many Chinese students and scholars were presently at the American institution where they were studying, nearly 54% said more than 50, while 25.8% said fewer than 25. This would appear to support the information provided by the U.S.-China Education Clearinghouse that China is indeed targeting certain American schools.

Thirty-seven percent of the respondents stated that their institution in China had an exchange agreement with the American school; 33% stated the two schools did not; and nearly 30% were not sure. However, most of the respondents were not a member of the exchange, even if there was such an agreement. Of the 139 persons who said yes to the exchange question, only 36 (25.9%) were in America as part of that exchange; 103 (74.1%) were not.

The respondents listed their status in the United States in the following categories:

	<u>Number</u>	<u>Percent</u>
undergraduates	6	2.6
graduate students	40	17.2
special students	8	3.4
visiting scholars	160	68.7
other	18	7.7

Although it is estimated that there are now nearly as many undergraduates and graduates as visiting scholars, if not more, the majority of the respondents to this survey were visiting scholars. Because the numbers are higher, the statistical inferences involving the scholars are perhaps more valid for that category of the sample than are those for other categories where the number of actual respondents was small. The higher number of responses from the scholars may also be related to the fact that as a group they are more cohesive, with several from one institution in China coming to the same institution in the U.S. They have a stronger and more formal network than do the random graduate students. In many cases, groups of scholars have a head person chosen to speak for them as a group. More will be said about the internal organization of the students and scholars in the

next chapter, which looks at the case of the Chinese nationals at Michigan State University. However, some of those reasons account for the larger number of respondents from among the group of visiting scholars.

Closely related to status in the U.S. is financial sponsorship. The 1979 Agreement on Science and Technology signed by the two countries mentioned that the costs for exchanges would be borne as mutually agreed, but that participants in the exchanges from either country would be eligible to apply for whatever grants, scholarships, or sources of funding were available in his or her field in the host country. To date, this stipulation has favored those Chinese students coming here to work in graduate programs more than it has assisted students who might wish to go to China for graduate work simply because the U.S. schools have more alternatives for funding open to foreign students than do Chinese institutions. The Chinese government resources allotted to the exchange between the two countries, and they have been considerable, tend to finance two groups of people: Chinese visiting scholars in America and American professors and specialists in fields of science and technology who are invited to China to lecture, usually from two months to a year, in specific institutions. The survey respondents listed their sources of funding in the U.S. as follows:

	<u>Number</u>	<u>Percent</u>
Chinese government funds	164	64.6
U.S. scholarships	30	13.0
U.S. assistantships	33	14.3
relatives or friends	9	3.5
other	18	7.1

"Other" answers included sponsorship from organizations or businesses and from part-time employment. Twenty-three persons indicated more than one source of funding, the most common arrangement being that the U.S. school provided an assistantship while the Chinese institution provided transportation.

Once in the U.S., the survey showed that 79.8% of the respondents were not enrolled in degree programs while 20.2% were. The following fields of study were listed:

engineering	42.1%
natural or life sciences	35.6%
other	9.0%
English	4.7%
social sciences	3.9%
humanities	1.3%
business & management	3.0%

"Other" answers included medical research, astronomy, urban planning, and agriculture. Thus far, the profile is similar to that noted by the U.S.-China Education Clearinghouse surveys.

The survey showed that a majority of the students and scholars planned to be in the United States for two years:

two years	58.4%
more than two years	16.7%
one year	12.4%
less than one year	5.6%
not sure	6.9%

Most of those who responded to the survey had been here less than one year:

less than six months	32.2%
six months to one year	29.6%
more than one year	34.3%
more than two years	3.4%

Had the survey been conducted three to four months earlier or later, the percentage of respondents who had been here more than one year or

close to two years may have been considerably higher. The first groups of scholars who came throughout the spring and summer of 1979 for a two-year period had completed their stay and returned to China. The increasing numbers of graduate students with plans to stay for two or more years arrived primarily in late 1980 or 1981.

In terms of the most important goals and objectives they hoped to achieve in the United States, the answers in the survey corroborated those in the NAFSA study (Needs of Foreign Students From Developing Countries at U.S. Colleges and Universities) conducted with students from many other countries. There was a strong prioritization of academic objectives among the Chinese students and scholars. The following goals and percentages are those the respondents listed as their first, second, or third most important:

developing research skills	74.6%
receiving knowledge in your field	77.2%
improving your level of English	42.1%
gaining practical experience	28.9%
obtaining a degree	26.9%
knowing U.S. people in your field	23.5%
learning about U.S. culture	17.7%
getting a broader education	14.6%

A marked difference from the NAFSA survey is in the number who rated receiving a degree as a high priority and need. The majority of Chinese nationals who responded to this survey were not enrolled in degree programs; hence the results reflect the status in the U.S. The Chinese graduate students who responded to this survey all listed receiving a degree as their number-one goal, which is in keeping with the NAFSA survey.

Preparation to Come to the U.S.

The majority, by far, of respondents to the survey listed little exposure to U.S. culture through their personal background or indirect family connections.

87.6% had no one in their families who had studied in the U.S.
75.5% had no relatives living in the U.S.
88.4% had no prior living experience abroad.
96.6% stated that this was their first visit to the U.S.

Had the survey included the increasing number of undergraduates from China now enrolled in U.S. colleges and universities, the percentages may have varied considerably. According to the U.S.-China Education Clearinghouse, many of the undergraduates are sponsored or assisted by relatives in the U.S.

Eighty-two percent of the respondents indicated that they had seen U.S. university catalogs and/or materials before their arrival. This would seem to indicate that one of the problems often cited in the earliest stages of the exchange, that of students and scholars arriving with little knowledge of how the U.S. higher education system operated in specific institutions, has been solved. These materials have now become more common in China as a result of the increased links between the two countries: returning students and scholars have supplied them to their home institutions, American teachers of English in China have made efforts to acquire them in order to assist students in making applications to American schools, and the increased number of direct exchange agreements between schools in the two countries have helped in this respect.

English-Language Background and Training

Proficiency in the language of the host country is recognized by those involved in international educational exchange as perhaps the single most important component to an individual's success, both in terms of ability to do academic work and to acquire a familiarity with the host culture and people. Unlike cases in numerous other countries where a familiarity with English is the result of a colonial background or the most common second language acquired by the educational elite, foreign language study has not been a concomitant part of the Chinese educational curricula, either traditionally or since 1949. The inclusion of English for every school child in China starting at the age of nine and continuing through the university level is a mandate sent down from the Ministry of Education only in 1979. Unprecedented in scope and scale, this ambitious undertaking speaks to a change in the official world outlook of the government, but it is of little consequence to those now studying in the U.S., who either had to rely on their past study of English or special preparatory courses in English. The answers of the respondents provided the following information about English-language background and training:

- 24.5% indicated they had studied English for a year or less.
- 43.3% claimed more than five years of English study.
- 51.1% first studied English in middle (secondary) school.
- Given the ages of most respondents, that was between 20 and 30 years ago.

In the 1950s and early 1960s, Russian was the language studied by the educated elite; anti-rightist periods in the 1950s, during the Cultural Revolution in the late 1960s, and in the period before Mao

Zedong's death in 1976 brought persecutions and social repression to intellectuals, particularly to those who had a background of exposure to "foreign" ideas or who had libraries that included books in foreign languages. Opportunities and encouragement to pursue fluency in a foreign language were thus only intermittent. Indeed, later discussions with individual scholars and students showed the lingering and important influence of the missionary schools, which operated in China before 1950. Among the older scholars, some had first learned English in elementary schools run by American missionaries in the 1930s and 1940s. Many of those students and scholars now in their mid- to late thirties came from families where their parents had attended such schools. Although not a part of the study in this dissertation, it would be interesting to know more about the role such a variable has played and what percentage of those Chinese who are now coming to the U.S. have such influences in their backgrounds.

Given the circumstances, the survey results showed a surprisingly high amount of English-language contact in China before their departure, almost all the results of changes that have occurred in the past three years since the establishment of diplomatic relations.

Respondents stated that

- 68.7% used English daily or often in their work in China;
- 49.4% had studied with native speakers of English;
- 44.6% had studied other subjects in the English language;
- 78.1% had received instruction in English conversation; but
- 82.0% stated that reading was their primary mode of English usage; and
- 80.7% were tested in English before their study abroad (not TOEFL).

Only 30.8% of the respondents indicated that their institution in China had a formal or informal English-language club. Participation in such clubs was not strong. Of the 71 respondents who knew of the English club,

10 (14.1%) attended programs usually;
 30 (42.3%) attended sometimes; and
 31 (43.7%) attended rarely.

Orientation and Introduction to U.S. Life

This section of the questionnaire included a number of items also related to language training. But it was designed to acquire information about direct exposure to elements of U.S. culture in preparation to the respondent's leaving for study abroad.

While 57.1% of those responding said they had some special preparation, only 44% said this took the form of special classes provided by the home institution. Of those, the extent and nature of the classes were described as follows:

30 (38.5%) one or two sessions;
 13 (16.6%) less than one month;
 26 (33.3%) one to three months; and
 9 (11.5%) other (none specified what this was).

Much of their individual preparation took other forms:

43.3% had talked with foreign experts;
 50.6% had talked with returned scholars; and
 38.2% had corresponded with students and
 scholars in the U.S.

Voice of America radio (VOA) received the right to resume open programming in China after diplomatic relations were established.

Programming is determined with approval of the Chinese government.

The majority of air time is given over to news programs, correspondence

courses in the English language, and educational or cultural programs and features such as National Geographic specials, lectures on scientific or agricultural subjects, and some sports.

Voice of America is popular in China at the present time, and 87.1% of the survey respondents said they had listened to it:

51 (25%) on a daily basis;
119 (58%) sometimes; and
34 (17%) rarely.

The establishment of diplomatic relations and the new government policies of the past three years have ended, at least for the present time, the stigma of foreign ideas. There has been some increase of English-language literature and films, along with quite a rapid increase in the number of Americans who visit China each year. But the dissemination and availability of such material nowhere nearly matches that in other developing countries, where such cultural contact has been the norm for years. Nor is there any way of measuring what portion of the Chinese population is exposed, or desires to be exposed, to such material. This survey could only record the responses of that sector of the population who, because of their coming to the U.S. to study, might be desirous of and likely to avail themselves of such material.

In response to the question about what media they had come into contact with in English, the students and scholars stated the following:

	<u>Number</u>	<u>Percent</u>
American short stories and novels	106	57.3
American magazines and journals	121	65.4
American movies	86	46.5
American newspapers	36	19.5
American tourists	63	34.1
	<u>412</u>	

(The question was a multiple-response one where respondents were asked to check all answers that applied.)

A fewer number of responses were given (280) to the same question asked but using Chinese as the language:

	<u>Number</u>	<u>Percent</u>
American novels and short stories	101	65.2
American magazines and journals	53	34.2
American movies	109	70.3
American newspapers	17	11.0

Copies of American newspapers are not as available in China as are issues of news magazines or paperbacks of American literature. Likewise, translations of American magazines and newspapers are less frequent than are some translations of literature. American films (also other foreign films) are still not a common occurrence in China; they include such diverse offerings as Gone With the Wind, Sound of Music, Convoy, and Roots. However, they are widely sought out, with the purchase of tickets often necessary several days ahead of time.

Evaluation of Preparation

The respondents did not give a very high rating overall to the advanced preparation they had received before coming to America:

3.4% very good;
 21.0% adequate
 73% { 54.5% some areas adequate, others inadequate; and
 18.5% inadequate.

The greatest need expressed for preparation was that of more language training and practice: 80.9%. Forty-seven and eight-tenths percent said they would have liked more information about academic programs; only 29.1% stated a desire for more information about American social customs.

Arrival and Living Situation in the United States

Although 42.9% of the respondents said they were met upon arrival by U.S. university people, 30% said they were met by other Chinese students or scholars. Nineteen and three-tenths percent said they were met by both. This is indicative of a network that has been developed even before arrival among the PRC nationals. This is particularly true among government-sponsored scholars and colleagues from the same home institution.

Very few of the respondents lived on campus or in dormitories. They stated their living situation was as follows:

90.2% { 58.4% in apartments or homes;
31.8% in room off campus; and
9.0% in dormitory.

Asked with whom they lived, they said:

11.6% alone;
9.0% U.S. students;
16.0% U.S. family;
7.3% foreign students; and
53.6% other Chinese students/scholars.

Eighty-nine and three-tenths percent indicated that they cooked for themselves, with the following type of cuisine:

94.5% { 62.7% primarily Chinese food;
31.8% both Chinese & American foods; and
5.6% primarily American food.

Ninety-six and nine-tenths percent of the respondents stated that they got around by walking, public transportation, or bicycle (42.1%). Five persons stated they depended on friends who drove cars, and one person said that he had acquired a driver's license and had purchased his own car for transportation.

The survey sought information on what language the students and scholars spoke most often outside of academic work and with whom they spent the most time. According to the respondents' answers to those questions, more than twice as many spoke primarily Chinese (31.8%) as they did English (14.2%), although 53.6% indicated that they spoke some of both. Even fewer said they spent their time outside academic work primarily with Americans:

43.3% primarily with Chinese people;
 2.6% primarily with foreign students;
 8.6% primarily with Americans; and
 44.6% a combination of the above.

This means that 85.4% of the respondents spoke Chinese part or most of the time outside classes and that 86.9% of them spent part or most of their time with Chinese people.

The frequency with which the Chinese students and scholars did "Chinese things," i.e., lived with Chinese, ate Chinese food, spoke Chinese, and spent the most time with other Chinese, was also noted. The following are the percentages of respondents who did none, one, two, three, or four of those things:

<u>Do Chinese Things</u>	<u>Percent</u>
none	21.8 (50)
one	20.9 (49)
two	19.7 (46)
three	17.5 (41)
four	20.1 (47)

Of those who did just one Chinese thing, that one thing was to eat Chinese food (94.6%). Of the 20% who did all four Chinese things, more than 90% were visiting scholars rather than graduate students.

If, on the other hand, one takes the same four questions and extracts those answers most closely related to an American life style and atmosphere, i.e., those who live with U.S. students or families, eat primarily American food, speak mostly English outside the academic environment, and spend the major portion of their time with Americans, then the figures look quite different:

<u>Do American Things</u>	<u>Percent</u>
none	60.1
one	26.8
two	7.8
three	5.2
four	0.0

If the respondent did one "American" thing, it was most likely to be to live with an American family or student. And more than 70% of those who indicated they did two or three American things were graduate students as opposed to visiting scholars.

The above comparisons appear to indicate that, given options and preferences, few of the respondents were or chose to be in an "American" environment outside their academic study or research. But while 20% of them maintained a strong "Chinese" life style, nearly 80% of them did spend part of the time eating American food, speaking English, and being with American people. More discussion, comparison, and evaluation of these elements will take place later in this chapter.

U.S. Orientation Programs

Close to three-quarters of the survey respondents (73%) said they had not attended orientation sessions at the U.S. institution. This does not mean that such programs are not offered for foreign

students. Many universities require enrolled students from other countries to attend sessions to facilitate their adjustment to U.S. academic life. However, since a large proportion of the Chinese nationals in the U.S. are here as visiting scholars, and not as students registered in a degree program, participation would be optional. This survey did not solicit information from the universities, but it would be interesting to know if Chinese nationals in the category of visiting scholars are informed about, eligible, and encouraged to attend formal orientation programs for foreign students where they are offered.

About half of the 61 persons who did attend sessions said they were organized by the Foreign Student Office of the university. But nearly half indicated they had been organized by other bodies, among them the US-China Peoples Friendship Association and church groups. Fifty-four and four-tenths percent said the sessions had lasted one or two hours; 17.6% said they were half-day sessions; and the remaining 21% stated there had been several sessions spread out over a longer period of time. Those who attended such sessions indicated the following subject areas were covered:

life on campus	49.0%
American history & culture	33.9%
U.S. social customs	47.5%
academic information	27.1%

English-Language Skills

The respondents identified "reading" as the mode of English with which they had the fewest problems (87.6%). There was more diversity in their answers concerning the most problematic area:

writing	24.9%
understanding	32.2%
speaking	40.3%

Although more than three-quarters of the respondents took an English examination before leaving China, the reverse was true in the United States. Only 24% said they took a test; 75.8% said they did not. Again, this is not necessarily indicative or typical of U.S. university policies. It is more likely related to the fact that visiting scholars who represented over half of the respondents in the survey are not registered students and, therefore, a number of university policies do not apply to them. Of the 65 persons who stated that they did take an English test upon arrival, fewer than half of them (41.5%) were required to enroll in a supplemental English course as the result of the testing. Seventy percent of those who took a course said it was for one term only.

Even though the majority of the students and scholars from China indicated they were not pursuing formal English-language study, most of them stated that they were engaged in a variety of methods to improve their English:

2.4% full-time;
 25.4% part-time;
 31.7% in a class;
 17.5% with a tutor; and
 55.6% informal self-study.

In regard to how often they read materials in English outside of their academic or research program, the respondents stated the following:

25.3% often
 45.9% sometimes; and
 27.9% rarely.

Contact With American Media,
Culture, and People

Fewer than 10% of the respondents stated that they did not have access to a radio or television set. Fifty-five percent said they listened to radio and/or television daily; 87.5% daily or sometimes. Only 22% said they read the newspaper on a daily basis; but the percentage rose to 78.6% when the category of "sometimes" was included.

Eighty-two and eight-tenths percent of the persons responding stated that they had made contacts in the community where they lived: 95.3% wanted to make more contacts. A high percentage (77.5%) did know an American family, with the following other types of persons listed as contacts (multiple responses possible):

other students and teachers	53.9%
Chinese-Americans	44.5%
primarily relatives	5.2%
other (did not specify)	2.6%

The students and scholars said such contacts had been made in a variety of ways, namely through (multiple answers possible):

university groups	36.9%
other students	45.8%
Foreign Student Office	14.9%
relatives	4.8%
other (primarily USCPFA)	32.7%

When asked whether they considered these contacts to be casual acquaintances or longer-term friendships, the respondents indicated the following:

18.0% casual acquaintances
16.0% long-term friendships
41.6% some of both

Twenty-three and six-tenths percent of the students and scholars did not respond to the question, which leaves doubt as to its clarity.

A number of reasons were given for why they had few contacts, the most common being the lack of time and the heavy work schedule:

- 30.7% not in the U.S. long enough
- 75.0% too busy in academic program and research
- 29.5% difficult to make contacts
- 3.4% do not wish more contacts

Only 31.3% of the respondents had been asked to speak to American groups about China, and 95.9% of those said they had accepted the invitation. Twenty percent said they had been invited to lecture or present papers at U.S. professional conferences; 93.75% said they had accepted the invitation.

One question asked the respondents to list whether they had participated in a variety of activities that would provide at least a superficial acquaintance with aspects of American culture. Only nine persons left this question blank; most of the others indicated that they had participated in more than one of such activities. Over 60% of the respondents had participated in each of the following: visit to a U.S. home, been to the movies, done local sightseeing and sightseeing in another city. The composite percentage of activities they had participated in included the following:

- 72.2% visits with an American family;
- 37.2% visits to a U.S. farm;
- 33.2% visits to a U.S. factory;
- 74.0% been to the movies;
- 43.5% been to a cultural event;
- 26.5% been to a sports event;
- 77.1% local sightseeing; and
- 64.6% sightseeing in other cities.

Only 5.6% of the PRC students and scholars said these visits had been arranged through the Foreign Student Office; the largest percentage said they had been arranged through American friends and students (32%) or through a combination of bodies (44%). Twenty-seven and four-tenths percent of the respondents said they went on their own or with other Chinese nationals, but the largest percentage (42%) said such visits were made in mixed groups of Chinese and Americans.

A further question asked the respondents to identify their familiarity with community and professional groups that provide services for foreign or Chinese students and scholars. Sixty-six persons did not answer the question. Of those who did, 83.1% said they were familiar with the US-China Peoples Friendship Association (USCPFA); 11.1%, the International Club at their American School; 4.2%, the National Association for Foreign Students Affairs; and 1.6%, the China Council of the Asia Society.

At the end of the questionnaire, respondents were asked to evaluate their level of satisfaction with both their academic or research program and their acquaintance with U.S. culture. In each of those areas, over 70% of the respondents said they were satisfied or very satisfied, but there were some differences.

Satisfaction with academic program	9.4% very satisfied 63.5% satisfied 21.0% only sometimes satisfied 3.4% not very satisfied
Satisfaction with U.S. culture	9.9% very satisfied 53.6% satisfied 24.5% only sometimes satisfied 9.0% not very satisfied

Summary of Descriptive Data

Some brief observations in summary of the above section may be helpful at this point. The following are extracted generalizations:

1. The majority of Chinese students and scholars presently in the U.S. and who responded to the survey had no formal orientation as such, either before leaving China or after arrival in the United States.

2. Before leaving China, all students and scholars were exposed to and took advantage of a variety of means to acquaint themselves with English and with American culture, although the number and kinds of means varied considerably according to their responses.

3. In some cases, students and scholars chose to have or not have contact with components that would better acquaint them with American life before leaving China, i.e., Voice of America broadcasts, participation in English clubs, and the reading of a variety of types of material either in English or Chinese.

4. In some cases, it is not known whether the lack of exposure to some components that would better acquaint them with American culture was due to lack of availability of such measures or due to choice, i.e., talking with American teachers or tourists, reading American newspapers, or seeing U.S. university catalogs.

5. Although the majority of students and scholars specified a background in English language, in most cases such training took place as long as a generation ago, with not much opportunity to use it during the intervening years.

6. Although the majority of students and scholars stated that they had had some training in conversational English, they also stated that they felt most comfortable with reading scientific English.

7. The majority of students and scholars responded that, at best, their preparation was adequate in only some areas, with the need for more practice in English stressed by most.

8. Concerning their goals and objectives in coming to the United States, the students and scholars attached the least priority to those items relating to knowledge of America and the most priority to those relating to their academic objectives.

9. More than one-third of the students and scholars responding to the survey stated that they maintained a predominantly Chinese life style apart from their academic work in terms of eating habits, living situation, language spoken, and people they spent time with. Of these, more than 75% were visiting scholars.

10. Most students and scholars indicated that they had become acquainted with some Americans and had participated in some activities in the community. Nearly 100% stated that they would like more interaction. However, nearly three-quarters of them stated that they were too busy with their work to develop such interaction.

11. Although the majority of students and scholars expressed satisfaction with both their academic progress and knowledge of U.S. culture thus far, the number of those who expressed only partial satisfaction was higher in regard to the area of U.S. culture.

Scores Frequencies

The answers the Chinese students and scholars supplied to the questions that made up the descriptive data just discussed were transposed into the system of scoring mentioned in the chapter on methodology. Individual totals of both positive and negative points for each of the six sections as well as positive and negative totals for predeparture preparation and postarrival interaction were tallied. An overall composite score for each individual respondent was also obtained.

Range of Scores

Individual scores, both positive and negative, ran almost the entire range of possible points in each of the areas computed:

<u>Category</u>	<u>Range of Scores</u>	<u>Possible Scores</u>
1. composite scores	-16 to +85	-42 to +130
2. total positive points	8 to 85	130
3. total negative points	2 to 33	42
4. predeparture positive points	4 to 45	66
5. postarrival positive points	2 to 47	64
6. predeparture negative points	1 to 17	20
7. postarrival negative points	0 to 18	22
8. Section I--past direct or indirect contact with U.S.		
, positive points	0 to 9	14
negative points	0 to 5	5
9. Section II--language training		
positive points	0 to 15	17
negative points	0 to 7	8
10. Section III--preparation to come to the U.S.		
positive points	0 to 30	35
negative points	0 to 7	7
11. Section IV--living situation in the U.S.		
positive points	0 to 18	21
negative points	0 to 10	10

	<u>Range of Scores</u>	<u>Possible Scores</u>
12. Section V--language skills in the U.S.		
positive points	0 to 6	7
negative points	0 to 4	4
13. Section VI--interaction with U.S. people and culture		
positive points	0 to 27	36
negative points	0 to 6	8

Frequencies were then computed to determine what percentages of respondents rated "poor," "fair," "good," and "very good" in each of the above categories. The following profile as concerns the scores of the respondents emerged:

1. composite scores:	very good	0.0%		
	good	22.7%		
	fair	70.4%	} 77.3%	
	poor	6.9%		
2. predeparture positive scores:	very good	0.0%		
	good	11.2%		
	fair	59.2%	} 81.5%	
	poor	22.3%		
3. predeparture negative scores:	very good	8.6%		
	good	37.8%		
	fair	48.6%	} 52.9%	
	poor	1.7%		
4. postarrival positive scores:	very good	0.0%		
	good	16.3%		
	fair	65.2%	} 83.7%	
	poor	18.5%		
5. postarrival negative scores:	very good	21.0%		
	good	44.6%		
	fair	32.6%	} 34.3%	
	poor	1.7%		

In order to determine whether overall the students were better prepared in some sections of both their predeparture preparation and their postarrival exposure to American culture, the percentages of

those who scored below the 50% range of possible points, i.e., either "fair" or "poor," were noted:

1. Section I positive points:	94.8%
negative points:	84.0%
2. Section II positive points:	71.2%
negative points:	13.7%
3. Section III positive points:	73.0%
negative points:	66.1%
4. Section IV positive points:	82.0%
negative points:	61.8%
5. Section V positive points:	77.4%
negative points:	31.8%
6. Section VI positive points:	60.9%
negative points:	33.1%

Several observations about these results can be noted.

1. The composite scores and frequencies are the least useful and meaningful to the study as a whole because they are so general. They are used only to obtain a sense of relationship overall of the respondents to each other and to see what relationship, if any, they had to some of the independent variables of sex, age, field of study, and so forth (see next section on "Cross-Tabulations").

2. In general, the respondents did a better job in avoiding the accumulation of negative points than they did in the accumulation of positive points; i.e., it was not so much that they did participate in situations or variables deemed detrimental to the cross-cultural experience, but that they did not participate in as many of the situations and variables that were helpful in the cross-cultural process.

3. Of all the sections, the highest percentage of students and scholars did well in the area of interaction with variables in

American culture after arrival, this in spite of a lesser percentage doing well in predeparture preparation.

4. According to the scores and their frequencies, the students and scholars scored better in the area of language training and usage, both before leaving China and after arrival in the U.S., than they did in other areas; i.e., they accumulated fewer negative points and more positive points (with the exception of the Section VI positive interaction scores) than they did in other sections.

5. The survey was only able to note whether or not students and scholars had been exposed to the variables mentioned. As was mentioned in the cases of some of the descriptive data, it is not known whether the lack of such exposure was out of choice or whether such exposure was not possible or available.

By the standards used to judge how well the students and scholars from China were prepared for their sojourn in the U.S. and how well they interacted once they arrived, most of them did not "rate" well. In the case of all sections, over 50% of them scored in the bottom half of the devised scale. Because the scale itself was arbitrarily drawn up for the purpose of the survey, the results can be used only cautiously, as one measure of many, when applied to testing the hypothesis raised in this dissertation. Of more interest and significance are some of the relationships between variables, both those relationships that were established and some expected ones that did not appear.

Findings of the Cross-Tabulations

Pages 121-124 and page 126 in the preceding chapter on methodology listed 37 areas of variable cross-tabulations. Some areas included several cross-tabulations using the same independent variable. In all of the cases, a chi-square analysis was done to determine if a significant relationship or correlation existed between the two variables. A relationship was deemed significant by using the .05 criterion of significance. This standard statistical measure is often selected as an acceptable criterion. It indicates that the probability or error of falsely concluding a relationship does exist is 5% or less. The linear correlation or frequencies between variables is not likely to have occurred randomly or as a coincidence.

The establishment of a significant relationship between variables using the chi-square analysis does not determine the cause of the relationship. Two variables may be correlated for a variety of reasons: x may cause y ; y may cause x ; or both may be caused by a third variable. The hypothesis posed in this study predicts there is a correlation between the predeparture preparation of Chinese students and scholars and their subsequent interaction with U.S. culture after arrival. This assertion was tested. But it is very broad, so a number of comparisons between variables were done to determine if some of those variables were significantly correlated to each other or if some could be identified as significantly correlated to the general hypothesis while others were not.

Nonrelated Variables

The following cross-tabulations found no significant relationship or correlation between the variables analyzed. (The number preceding each result is the number assigned in the chapter on methodology and is for reference only.)

4. preparation - scores and postarrival + scores
5. composite scores and each of the following: age;
sex;
length of time in U.S.;
and
first English learned.
6. satisfaction with academic progress and each of the following:
composite scores;
predeparture + scores;
predeparture - scores;
postarrival + scores; and
postarrival - scores.
7. satisfaction with knowledge of U.S. culture and each of the following:
predeparture + scores;
predeparture - scores; and
postarrival - scores.
8. negative scores in predeparture language training (Section II) and positive interaction with U.S. culture after arrival (Section VI + scores).
9. preparation exposure to U.S. culture by reading, radio, movies, talking with U.S. citizens, etc. (Section III + scores) and positive interaction after arrival (Section VI + scores).

lack of predeparture exposure to U.S. culture (Section III - scores) and positive interaction after arrival (Section VI + scores).

lack of predeparture exposure to U.S. culture (Section III - scores) and lack of interaction after arrival (Section VI - scores).
12. sponsorship and interaction with U.S. culture after arrival (Section VI + scores).
13. status at U.S. university and positive interaction with U.S. culture after arrival (Section VI + scores).

15. degree program and positive interaction with U.S. culture after arrival (Section VI + scores).
degree program and postarrival + scores (Sections IV-VI).
16. length of English study and postarrival living situation (Section IV + scores);
length of English study and postarrival language usage (Section V + scores); and
length of English study and postarrival cultural interaction (Section VI + scores).
17. special classes in China and postarrival living situation;
" " " " " postarrival language usage; and
" " " " " postarrival cultural interaction.
18. special preparation in China and postarrival cultural interaction (Section VI + scores).
19. first English learned and postarrival cultural interaction (Section VI + scores).
20. living with whom and positive postarrival cultural interaction scores (Section VI + scores).
21. type of food and positive postarrival cultural interaction scores (Section VI + scores).
23. exchange program between U.S. and China and special preparation in China.
24. exchange program between U.S. and China and orientation (special classes) in China.
25. orientation program in China and orientation program in U.S.
27. evaluation of advanced preparation and sponsorship of respondents.
29. satisfaction with academic progress and sponsorship of respondents.
31. satisfaction with academic progress and each of the following:
degree program;
field of study;
length of time in the U.S.;
orientation classes in China;
evaluation of advanced preparation; and
length of English study.
32. satisfaction with knowledge of U.S. culture and sponsorship.

34. satisfaction with knowledge of U.S. culture and each of the following:
 - status in U.S. institution;
 - degree program;
 - field of study;
 - length of time in the U.S.;
 - orientation classes in China;
 - first English learned;
 - age;
 - English conversation practice in China; and
 - most important goals.
37. do Chinese things and each of the following:
 - satisfaction with academic progress; and
 - participation in what activities.

Related Variables

The results of chi-square analysis of the following sets of variables found significant correlation between the variables; some were positive correlations; some were negative.

1. prepreparation + scores and postarrival + scores. See Figure 2.

The cross-tabulation showed that the higher the accumulation of points in the area of predeparture exposure to U.S. culture, people, and language, the higher the accumulation of points in postarrival exposure and interaction with U.S. culture and people.

Forty-one and nine-tenths percent of those who rated "good" in predeparture exposure rated "good" in postarrival exposure as opposed to 2.3% who rated "poor."

2. prepreparation - scores and postarrival - scores. See Figure 3.

Of those who rated "good" (least negative points) in prepreparation, 64.8% rated "good" or "very good" in postarrival scores. Of those who rated "poor" (most negative points) in prepreparation, 33.3% rated "good" in postarrival negative scores, and no one was rated "very good."

3. prepreparation + scores and postarrival - scores. See Figure 4.

The cross-tabulation showed a negative linear regression, i.e., the higher the accumulation of points in the area of predeparture exposure to U.S. culture, people, and language, the lower the accumulation of negative points in the area of postarrival interaction with U.S. culture and people.

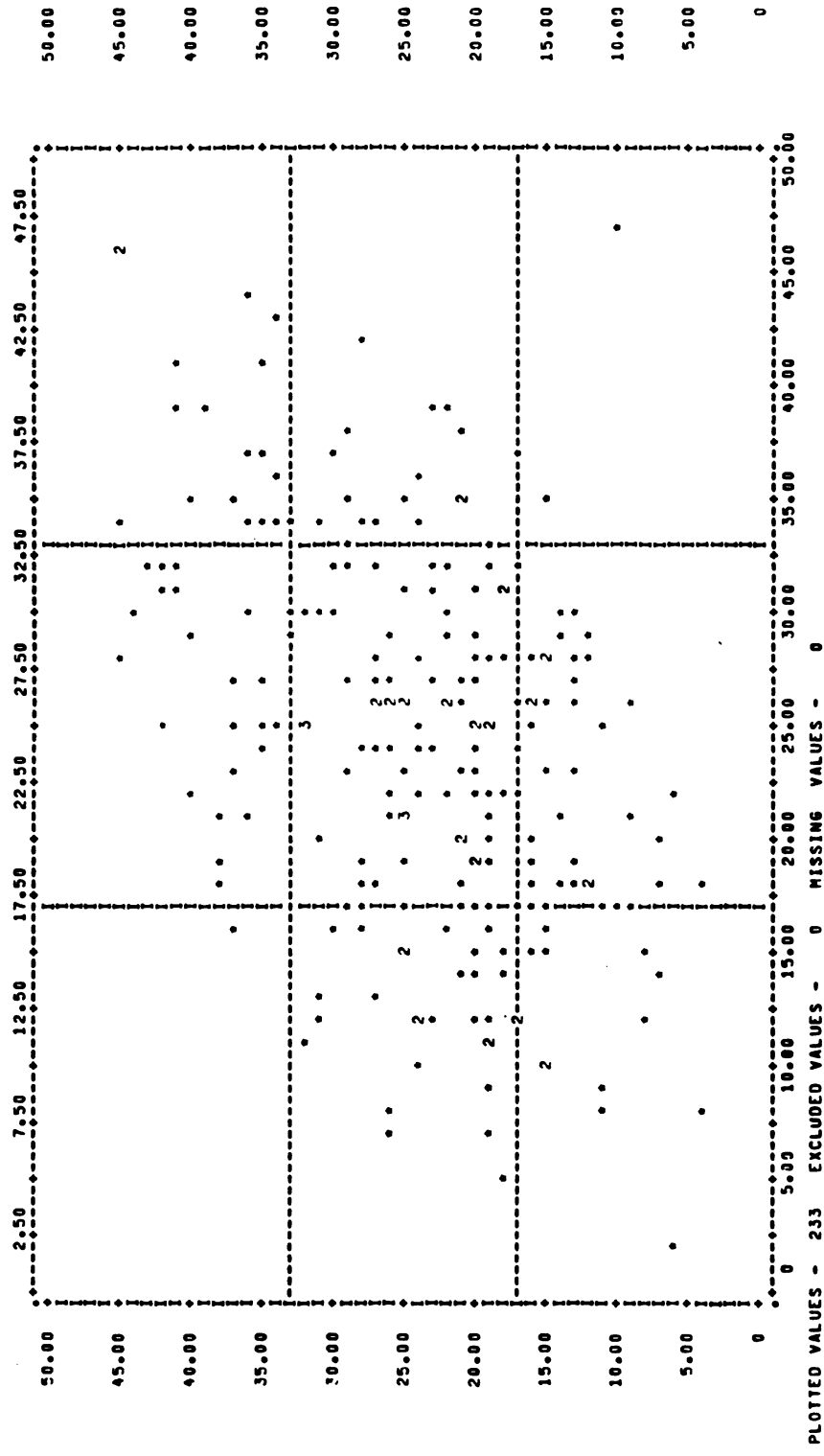


Figure 2.--Comparison of prepreparation + scores and postarrival + scores.

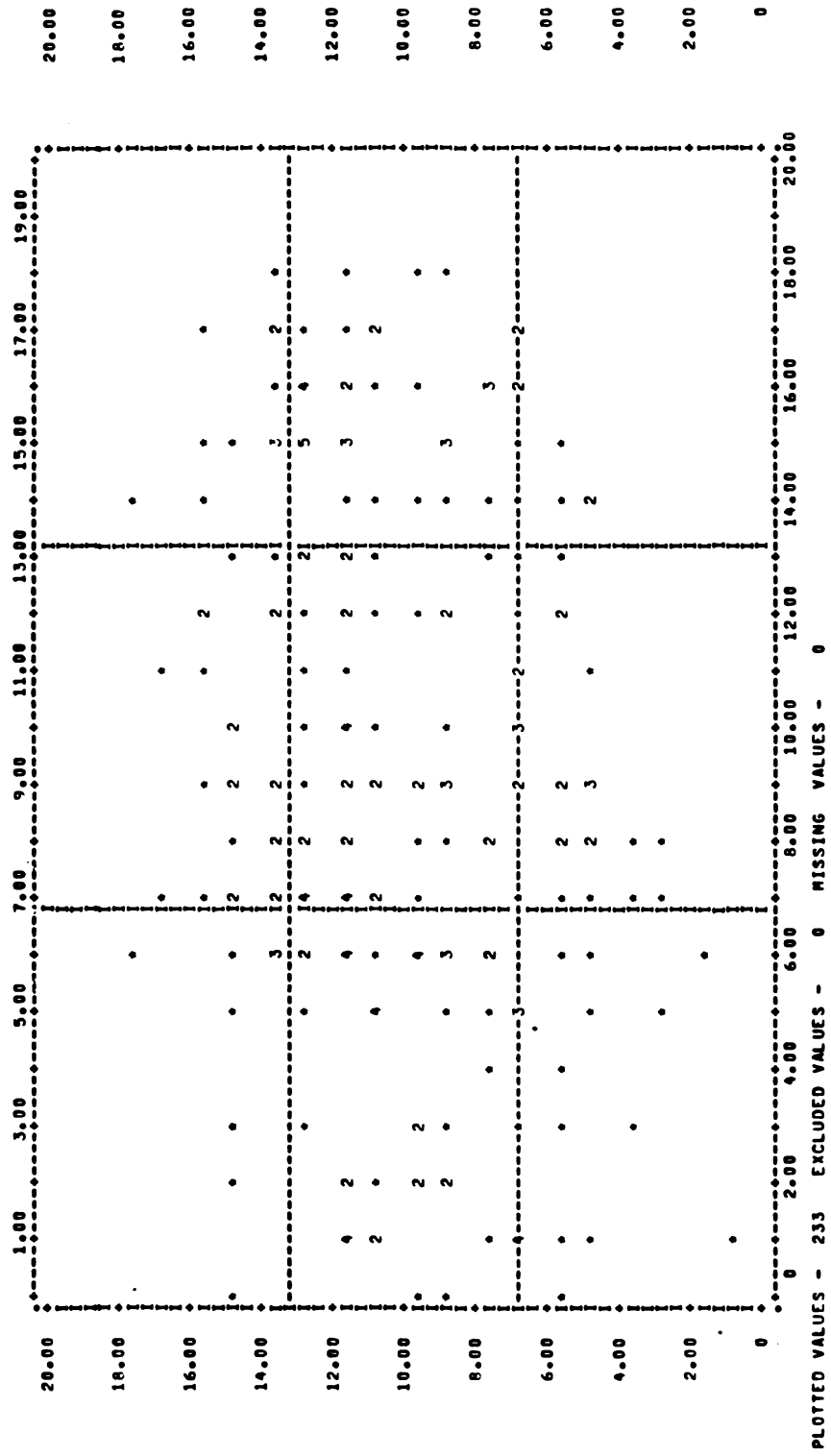


Figure 3.---Comparison of prepreparation - scores and postarrival - scores.

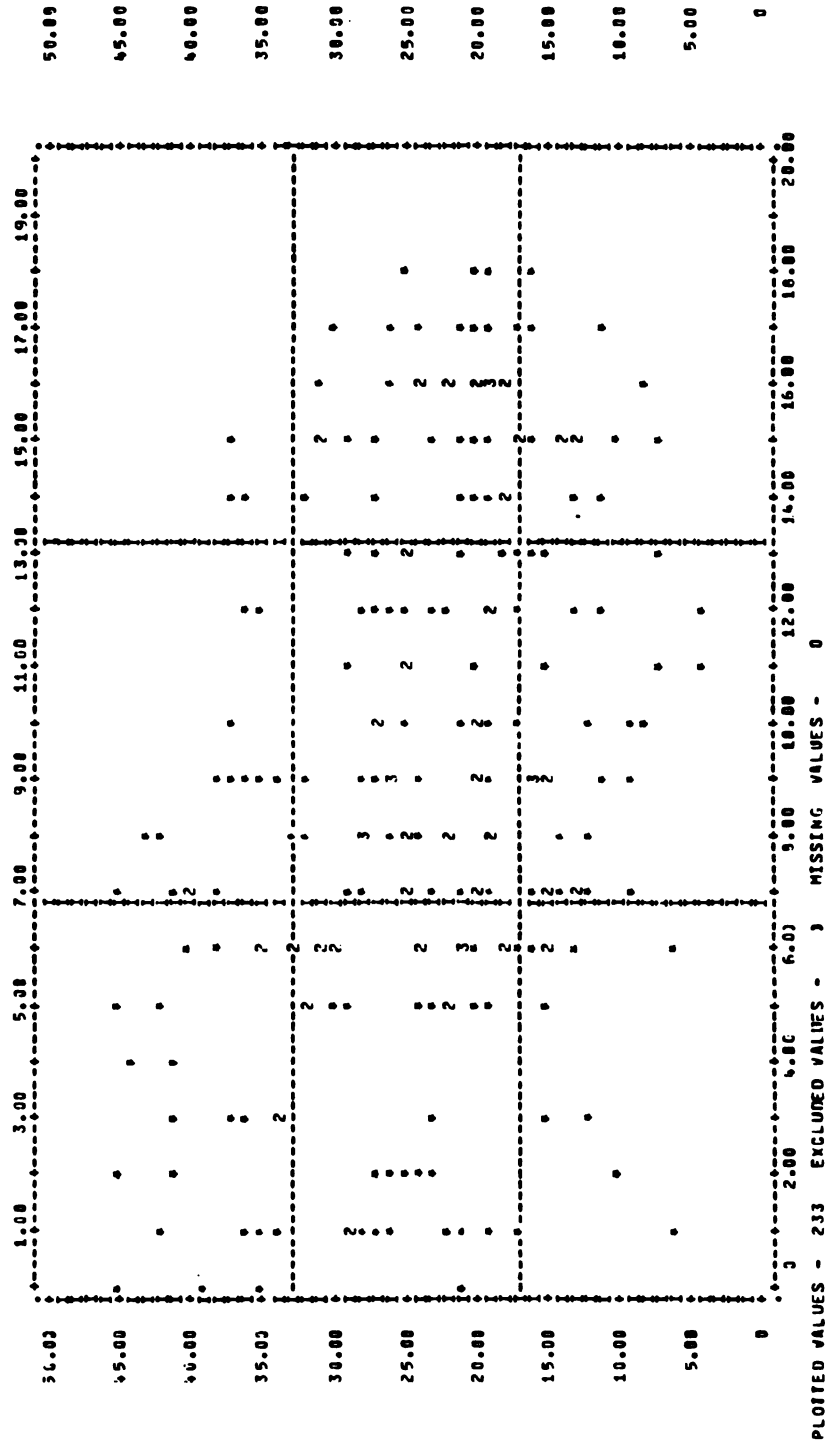


Figure 4.--Comparison of prepreparation + scores and postarrival - scores.

No one who rated "good" in prepreparation rated "poor" on postarrival negative scores.

Forty-one percent who rated "good" in prepreparation rated "very good" on postarrival negative scores.

Only 9% of those who rated "poor" in prepreparation rated "very good" on postarrival negative scores.

4. prepreparation - scores and postarrival + scores.

Although a statistically significant relationship between these two variables was not found, it should be noted that no one who rated "poor" (most negative points) in predeparture preparation rated "good" or "very good" in postarrival positive scores (exposure and interaction with U.S. culture).

5. composite scores and each of the following:

a. status of respondent in U.S. institution

Those who rated "good" on composite scores included 40% of the graduate students but only 17.3% of the visiting scholars.

b. degree program

Those who rated "good" on composite scores included 42.2% of those pursuing degrees but only 21% of those who are not.

c. field of study

Those who did "good" on composite scores included 63.6% of those in English, 57.1% of those in business, 44.4% of those in the social sciences, 22.4% of those in engineering, and 16.9% of those in the natural and life sciences.

7. satisfaction with knowledge of U.S. culture and each of the following:

a. composite scores

Of those who were "only sometimes satisfied" or "not very satisfied," 60% rated "poor" on composite score, 35.3% rated "fair," and 26.3% rated "good."

b. postarrival exposure to U.S. culture (+ scores)

Of those who were "only sometimes satisfied" or "not very satisfied," 51.3% rated "poor" on postarrival exposure, 32% rated "fair," and 27% rated "good."

There were numerous cross-tabulations done between language training and postarrival variables in order to determine the former's

effect on interaction with the second culture. A number of significant relationships did appear.

8. language preparation + scores and each of the following:

a. postarrival + scores

Of those who scored "good" in English preparation, 42.9% rated "good" in postarrival exposure to U.S. culture; of those who rated "poor" in English preparation, only 18.4% rated "good" in postarrival exposure to U.S. culture.

b. Section VI + scores (interaction with American culture and people)

Of those who rated "good" in English preparation, 68.6% rated "good" in interaction, as opposed to 5.7% who rated "poor" in interaction.

c. Section VI - scores

Of those who rated "good" in English preparation, 77.1% rated "very good" (least accumulation of negative points) in area of interaction with U.S. culture and people as opposed to 2.9% who rated "poor" in interaction (most accumulated negative points).

language preparation - scores and each of the following:

a. postarrival + scores

Of those who did "very good," only 10.4% rated "poor" on interaction with U.S. culture, while 44.8% rated "good" in interaction.

b. Section VI - scores

Of those who did "very good," 64.6% did "very good" (least accumulation of negative points) in interaction, while only 6.3% did "fair."

A number of significant relationships were also found by comparing prepreparation exposure to U.S. culture (Section III) scores with postarrival variables.

9. predeparture exposure to U.S. culture (Section III) + scores and each of the following:

a. postarrival + scores

Of those who rated "good" in predeparture exposure to U.S. culture, 9.6% did only "fair" in postarrival + scores, while 38.5% rated "good."

b. Section VI (interaction with people and culture) - scores

Of those who rated "good" in predeparture exposure to U.S. culture, 3.8% accumulated the most negative points in post-arrival exposure, while 61.5% accumulated the least negative points.

predeparture exposure to U.S. culture (Section III) - scores and Section VI - scores (interaction with U.S. culture and people)

Those who scored the fewest negatives in the former also scored the fewest negatives in the latter.

10. satisfaction with academic program and Section VI + scores

Of those "very satisfied" with academic progress, 13.6% had "poor" scores in interaction with U.S. culture and people, while 48.9% had "good" scores in interaction.

11. satisfaction with knowledge of U.S. culture and Section VI + scores

The greatest percentage of those who were "not very satisfied" with their knowledge of U.S. culture were also those who scored the fewest points in interaction with U.S. culture; likewise, the greatest percentage of those who were "very satisfied" with their knowledge of U.S. culture were also those who scored the most points in interaction with U.S. culture.

12. sponsorship and postarrival + scores

Seventy-one percent of those who rated "poor" in postarrival situation and interaction with U.S. culture were Chinese-government sponsored, as opposed to U.S.-university or privately sponsored respondents. Forty-seven percent of those who rated "good" in postarrival + scores were U.S.-university sponsored as opposed to 14% who were Chinese-government sponsored.

13. status at U.S. school and postarrival + scores

Seventy-three and eight-tenths percent of those who rated "poor" in postarrival exposure and interaction with U.S. culture were visiting scholars as opposed to graduate students (14.3%). Twenty-five percent of the graduate students rated "good" as opposed to 10% of the visiting scholars.

14. field of study and predeparture + scores

Of those respondents in engineering and the sciences, 66.9% did "poor" and 30.2% did "good"; of those respondents in the social sciences, 22.2% did "poor," and 44.4% did "good."

20. living with whom and Section VI - scores

Those who lived with other Chinese people did not interact with American culture and people as much as those who did not. Mainly, a higher percentage of them spent time with Chinese people, which led them to accumulate negative points.

21. type of food and Section VI - scores

Those who ate primarily Chinese food did not interact with American culture and people as much as those who did not. (See Do Chinese Things variable with cross-tabulations.)

22. language spoken most often outside academics and each of the following:

a. Section VI + scores

Fifty-four and eight-tenths percent of those who rated "poor" on postarrival interaction with U.S. culture and people spent their time outside of academics speaking primarily Chinese as opposed to 3.2% who spent their time speaking primarily English. Likewise, 48.5% of those who rated "good" on interaction with U.S. culture spoke English primarily, whereas only 29.6% of the primarily Chinese-speaking respondents rated "good."

b. Section VI - scores

Only 9.4% of those who rated "very good" in lack of negative points in interaction with U.S. culture were those who spoke primarily Chinese; 22% were those who spoke primarily English; and the largest group (68.8%) were those who spoke some of both.

26. status in U.S. school and degree program

No visiting scholars are enrolled in a degree program; all graduates and undergraduates are enrolled in degree programs.

28. participation in outside activities and present time spent in U.S.

Ninety percent of those who participated in all eight activities had been in the U.S. between one and two years; 75% of those who had been here more than two years had participated in five or more activities; 90% of those who had not participated in any of the activities had been here less than one year.

30. satisfaction with academic progress and most important goals

Although a statistically significant relationship between these two variables was not found, it should be noted that those who did list the receiving of a broader education as

one of their top-three goals were not as satisfied as others. The number of respondents involved (3) is too small to make it a meaningful statement.

33. satisfaction with knowledge of U.S. culture and participation in outside activities

Seventy-six and five-tenths percent of those who stated they were "satisfied" with their knowledge of U.S. culture and people had participated in at least five of the listed activities. Of those who stated they were "only sometimes satisfied" or "not very satisfied," 23.6% had participated in five or more of the activities.

34. satisfaction with knowledge of U.S. culture and each of the following:

a. evaluation of advanced preparation

Fifty-four and one-tenth percent of those who stated they were "only sometimes satisfied" or "not very satisfied" with their knowledge of U.S. culture and people stated their advanced preparation had been inadequate; 32.1% of those "only sometimes satisfied" or "not very satisfied" rated their advanced preparation "adequate." Four and five-tenths percent of those very satisfied with knowledge of culture stated their preparation had been inadequate, whereas 55.1% of those who were dissatisfied with knowledge of culture stated their preparation had been inadequate.

b. living with whom

Fifty-six and five-tenths percent of those who were the most satisfied with their knowledge of U.S. culture and people lived with U.S. students or U.S. families, while 26.1% lived with other Chinese students or scholars. Nineteen and two-tenths percent of those who were the most dissatisfied with their knowledge of U.S. culture and people lived alone; 9.5% lived with U.S. families; and 66.7% lived with other Chinese students or scholars.

35. satisfaction with U.S. culture and satisfaction with academic progress

Eighty-four and five-tenths percent of those who were satisfied with knowledge of U.S. culture and people were also "satisfied or very satisfied" with their academic progress. Only 4.1% of those "satisfied" with U.S. culture and people were "not very satisfied" with academic progress.

36. familiarity with what groups and each of the following:

a. participation in outside activities

The greatest numbers of students and scholars indicated they had participated in four of the listed activities (63.8%). Of these, 97% were familiar with the US-China Peoples Friendship Association and 9.1% knew more than one group. Of those who had participated in all eight of the activities, 100% were acquainted with USCPFA, 42.9% with the International Club at the U.S. school, and 28.6% with NAFSA.

b. satisfaction with knowledge of U.S. culture

Of those "only sometimes satisfied" or "not very satisfied" with their knowledge of U.S. culture, 35.6% were not familiar with any of the groups; 59.2% were familiar with one group, and none were familiar with more than one group. Of those "satisfied" or "very satisfied" with their knowledge of U.S. culture, 100% knew of at least one group; 15.4% knew of more than one group.

37. do Chinese things and each of the following:

a. sponsorship

Chinese-government-sponsored students and scholars as a group comprise a greater percentage of the number who do Chinese things; those on U.S. assistantships comprise the least percentage of those who do Chinese things.

do all four things: 23% of the Chinese-government sponsored
6% of the U.S.-scholarship sponsored
22% of the relative or friend sponsored
3% of the U.S.-assistantship sponsored

do 2 Chinese things: 37.7% of the Chinese-government sponsored
23.3% of the U.S. scholarship sponsored
11.1% of the relative/friend sponsored
15.2% of the U.S. assistantship sponsored

do 0 Chinese things: 16.4% of the Chinese-government sponsored
26.6% of the U.S. scholarship sponsored
44.4% of the relative/friend sponsored
48.9% of the U.S. assistantship sponsored

The respondents as a whole were split about evenly, with 20% each who did 0, 1, 2, 3, or 4 Chinese things.

Conversely, in answering those same questions, 60% of the respondents did 0 American things, 26.8% did 1, 7.8% did 2, 5.2% did 3, and none did all four of the American things.

b. satisfaction with knowledge of U.S. culture

Of those who were "not very satisfied" with their knowledge of U.S. culture, 23% participated in all four Chinese things; 9.5% had participated in one; and 4.5% had participated in two.

Of those who were "very satisfied" with their knowledge of U.S. culture, 35.3% did no Chinese things; 26.1% did two Chinese things; and 13.6% did four Chinese things.

c. status at the U.S. institution

Eighty-seven and five-tenths percent of those who participated in all four Chinese things were visiting scholars; 7.5% were graduate students.

Forty-four and four-tenths percent of those who participated in none of the Chinese things were graduate students; 16.8% were visiting scholars.

Survey Findings and the Research Hypotheses

The results of the findings would appear to indicate that there is a relationship between predeparture preparation and postarrival interaction with American culture and people. However, as indicated earlier, this finding is a qualified one, not necessarily leading to the acceptance of the research hypothesis posed for this dissertation. While those respondents who had better overall scores in prepreparation did tend to have better overall scores in interaction after arrival in America, the cross-tabulations appear to indicate that, in a closer look at the individual sections, the type and amount of orientation before leaving China did not affect the amount of interaction the respondents had with American culture in terms of activities participated in and in type of contacts made.

This conclusion is based on the cross-tabulations for which no significant relationship was found:

1. lack of predeparture preparation was not related to amount of postarrival interaction (Section VI);
2. previous exposure to American cultural media (movies, novels, etc.) was not related to amount of interaction with American culture and people;
3. the existence of special classes in China before coming to the U.S. was not related to the amount of interaction with American culture and people;
4. length of English study was not a factor in the amount of interaction with American culture and people;
5. the type of sponsorship and the status at the U.S. university were not related to the amount of interaction with American culture and people; and
6. those respondents who lived in a primarily Chinese atmosphere in the U.S. did not appear to have more or less interaction with the American culture and people.

There were, however, relationships established between some aspects of preparation and the amount of interaction, namely amount of language preparation. Those who had the most language training received high scores in postarrival interaction scores, as well as the least number of negative points in interaction scores. This part of the hypothesis, therefore, appears to be supported. From the results of the statistical analysis, though, one cannot say that a greater amount of prior exposure to media of American culture led to a greater amount of interaction with American culture and people after arrival. The variables that did appear to have an effect on the participation of Chinese nationals in activities were the length of time they had been in the U.S. and their acquaintance with interested community groups.

The second hypothesis, that

Chinese students and scholars who come to the U.S. as individuals with private sponsorship will have more varied and extensive contacts with Americans than do those who come under the sponsorship of the Chinese government

appears to be supported through a number of the relationships established. Those Chinese nationals who were privately sponsored, i.e., by U.S. scholarships, assistantships, or by relatives, tended to achieve higher postarrival scores, primarily as a consequence of their living arrangements and life outside the academic environment. Fewer privately sponsored students tended to "do Chinese things." Graduate students, who made up the majority of respondents who indicated they were not funded by the Chinese government, were those who spoke the most English, mingled more with Americans, and, in general, were more satisfied with their knowledge of U.S. culture and people.

In regard to the third research hypothesis, that

Chinese students/scholars who have more interaction with U.S. culture will express more overall satisfaction with their stay than those who have less,

the data analysis produced mixed results. There was no significant relationship established between satisfaction with academic progress and the amount of interaction the respondents had with U.S. culture and people (Section VI). There was, however, a correlation between the amount of interaction with U.S. culture and people and the respondents' satisfaction with the same. In addition, satisfaction in this area of their stay was related to the respondents' evaluation of their advanced preparation. Those who felt their advanced preparation had been adequate tended to be more satisfied than those who felt their advanced preparation was inadequate.

Finally, a relationship was established between satisfaction with knowledge of American culture and the amount of interaction that respondents had with the culture and people. Those who did more

"Chinese things" reported less satisfaction than did those who reported participation in fewer "Chinese things."

Concerning the differences that arose between the responses of graduate students and scholars, one can make the observation that status and sponsorship appeared to have a significant bearing on the interaction level of the respondents. However, the overall statistics are probably more valid for the scholars because a greater percentage of that sample of the population responded to the questionnaire.

CHAPTER VI

A CLOSER LOOK: CHINESE STUDENTS AND SCHOLARS AT MICHIGAN STATE UNIVERSITY

In October 1981, 49 scholars and students from the People's Republic of China were in residence at Michigan State University to pursue advanced studies and research in a variety of fields. While this number is not as large as that at some of the other major U.S. institutions, such as the University of Wisconsin, University of California at Berkeley, or the University of Minnesota, all of which now have well over one hundred scholars and students on their campuses, the number at Michigan State University has grown steadily from the few in attendance during the latter part of 1979. The first exchanges were brought about primarily through the initiative of individual professors who had an interest in China and who were able to arrange for exchange invitations from personal contacts. In the fall of 1980, Michigan State University sent a delegation to China to discuss the possibility of official exchange arrangements with specific Chinese institutions. Largely successful in their efforts, the University is now in the process of working out the implementation of such agreements with several Chinese institutions. By the fall of 1981, a number of the students and scholars at Michigan State were from the institutions with which they had an exchange, accounting for some,

but not all, of the steady increase in the number of Chinese nationals at the university. Graduate students from China have received university assistantships and scholarships in several departments, and there are also some undergraduates receiving partial support from relatives in the United States. Because of Michigan State University's long-time interest in developing an exchange with China and the growth of its program, it is worthwhile to examine the situation in more detail.

The Re-establishment of Institutional Ties Between
Michigan State University and Chinese Institutions

Attempts by Michigan State University to secure official, and mutually beneficial, exchanges on an institutional level with China's counterpart institutions have been tortuous, dependent, in the long run, on the establishment of diplomatic relations between the two countries. This has been the case with nearly all such exchange agreements between the two countries. In the 1930s and 1940s, many Chinese students attended the then Michigan State College of Agriculture and Applied Science, including some who gained international renown for applied agricultural research, such as the development of the first seedless watermelon.¹ Some of the M.S.U. alumni now hold prestigious posts in China's agricultural research institutes, as was discovered by the delegation from Michigan State University on an official visit to China in 1980.

During the 1970s, many abortive attempts to arrange for exchange delegations between M.S.U. and China were initiated. Dr. Clifton Wharton, Jr., then President of the University, was eager

for exchanges to develop and at one point initiated a letter through the Honor's College, under the direction of Dr. Paul Varg. Reciprocal correspondence was not received. However, considerable groundwork was being laid through nonofficial channels that would later play a large role in the particular exchanges that did develop.

In 1973, the Chinese government began to issue invitations to overseas Chinese and Chinese-Americans on an individual basis. The purpose of such visits was to enable relatives, long separated, to meet once again, and to acquaint such overseas Chinese visitors and scholars with the progress that China had made in the years since 1949. Dr. Joseph Lee, of the Humanities Department of M.S.U., spent several months in China on such a visa. He took with him a letter from Michigan State University requesting a visa for a university delegation, but there was no return contact from that initiative.

Dr. H. T. Tien of the Biophysics Department visited China for several months in 1974 with his wife, Dr. Joseleyne Slade Tien of the Department of American Thought and Language. Because of his stature in the international scientific community, Dr. Tien was able to initiate a number of contacts and long-term correspondences that were most fruitful in the ultimate establishment of the official agreements that came several years later. Before the establishment of diplomatic relations between the U.S. and China, Dr. Tien was invited several times to come to China as a special lecturer and researcher to the Academy of Sciences in Peking. And it was through his efforts that the first visiting scholars came to Michigan State University, being supported on grant money that he had secured, nearly

two years before any official institutional exchanges had been arranged between Michigan State University and Chinese institutions.

During the years 1975 to 1979, a number of individual M.S.U. faculty visited China as general tourists, many of them through the auspices of the US-China Peoples Friendship Association, which, during those years, was almost the sole means through which the American public at large was invited to visit China. While these individual visits played little role in the generation of an official exchange, they brought an awareness of China to the Michigan State University campus, and they established numerous contacts between individuals in the universities in both countries, contacts that were later acted upon.

An interview with Dr. Warren Cohen, now Director of the Asian Studies Center at M.S.U., provided information on the development of concrete institutional ties between the two countries toward the end of 1980. Under the name of M.S.U.'s new President, Dr. Cecil Mackey, letters were sent to the newly established Chinese Embassy in Washington and the Ministry of Education in Peking in mid-1979 expressing interest in establishing an official exchange at the university level. However, it was a roundabout way that the Chinese extended an invitation to an M.S.U. delegation to come to China for further discussions on the matter.

Dr. William Tai, Professor of Botany and Plant Pathology, had earlier visited China and written an account of the status of academic research in his field as he found it in China. The account was published in the Chinese daily newspaper, People's Daily, and led to his

contacts with persons in the Chinese Ministries of Agriculture and Education, who appreciated his forthright assessment of their problems and needs. An invitation was thus extended from China's Ministry of Education in cooperation with the Ministry of Agriculture for a university delegation to visit several cities and key institutions in China. Such a visit was to entail discussion on the possible mutual benefits that could be derived from an exchange of students, faculty, and scholars.

An eight-member team from Michigan State University, under the direction of Dr. Warren Cohen, visited China from September 10-30, 1980, meeting with a variety of institutions in the cities of Peking, Tienjin, Xi'an, Chengdu, Guangzhou, Nanjing, and Shanghai, with one part of the delegation remaining in China (agricultural group) for an extra week to visit institutions in Harbin in Northeast China. The nature and objective of the visits were exploratory, but the M.S.U. delegation was prepared to sign general agreements with interested Chinese institutions. Before leaving for China, the delegation had done considerable "homework" at M.S.U., preparing a booklet on the history, nature, and offerings of Michigan State University, including a listing of over 60 departments interested in and willing to implement a bilateral exchange with Chinese university counterparts.²

Throughout the course of the delegation's visit, discussions were held on many subjects: the state of the art of various fields in different institutions, facilities and resources that could be offered by both countries' institutions, and the possibilities for the

financing of a variety of exchanges. The three-week visit resulted in the signing of general agreements with seven institutions in China: three with comprehensive universities--Nankai University in Tienjin, Xi'bei University in Xi'an, and Sichuan University in Chengdu--and four with specialized institutions--Jiangsu Academy of Agricultural Sciences in Nanjing, Heilongjiang Academy of Agricultural Sciences in Harbin, Northeast Agricultural College in Harbin, and the Institute of Botany of the Chinese Academy of Sciences in Peking.³ In particular, the agreements reached with Sichuan University and the Academy of Sciences were not so much the results of the work of the delegation, but the results of the earlier personal contacts and work done with the Chinese by Drs. Tien and Tai.⁴ Specifications varied within the individual agreements. Some noted the agreement to exchange researchers and faculty for short periods of time, while others included the mutual exchange of students for longer periods of time. Further information concerning the visit of the delegation is documented in a published report, Michigan State University's First Mission to the People's Republic of China, 1980.

At the time of the writing of this dissertation, one year after the signing of the general agreements, implementation is in evidence by the presence of persons from some of those Chinese institutions on the M.S.U. campus. Preparations for American faculty and students from M.S.U. to the Chinese institutions are underway, but proceeding more slowly. An undergraduate program to study Chinese language and culture is being organized for the summer of 1982 in Xi'an. And Sichuan University initiated the suggestion of a one-year

undergraduate program on its campus for M.S.U. students with exploratory discussions underway between the two schools. However, most M.S.U. short-term faculty visits to China to lecture or teach are being arranged apart from the formal agreements, often with other institutions with which the individuals had prior contact.

Chinese Students and Scholars at Michigan State University

Although the number of Chinese students and scholars at M.S.U. has increased since the signing of individual agreements with several Chinese institutions, their presence has been felt on campus since early in 1979, and many continue to arrive through other channels. The first to come were visiting scholars in the Departments of Biophysics and Botany and Plant Pathology under arrangements made through the individual initiatives of Professors Tien and Tai. Some were sponsored by the Chinese government, but others were financed from research grants awarded to the M.S.U. professors. Within a few months after diplomatic relations were established, individual Chinese students began to apply to the University for study in various fields. These applications were treated in a similar fashion to those from students in other foreign countries, including the competition for existing university scholarships and departmental assistantships.

Dr. August Benson, head of the Foreign Student Office, indicated that initially there were some concerns about the coordination and admissions procedures for Chinese students and scholars, particularly in the areas of judging a student's past performance or level of competence, both in the desired field of study and the English

language because of China's failure to issue degrees from institutions of higher learning during the period of the Cultural Revolution and the following decade in the 1960s and early 1970s. Further, Chinese students had little knowledge of the forms and procedures required by U.S. universities for admissions. They sometimes made their initial inquiries for admission through a friend or faculty member at M.S.U., sending only an autobiographical statement. A meeting was held in November 1979 for all those interested or involved in the China exchange process in order to better acquaint those who participated with university procedures and to determine the extent of the widespread efforts of Chinese students to obtain information or admission to the University. The meeting was attended by persons from the Foreign Student Office, the Business Office, Admissions Office, the English Language Center, the Asian Studies Center, and the various departments in the University that had expressed a desire for involvement. Dr. Benson explained the normal channels required for graduate student admission. It was generally agreed that every effort should be made to have applicants adhere to the normal procedures insofar as possible, even if it meant a delayed admission. Some exceptions were made in the waiving of the TOEFL examination, but this was understood to be temporary until such time as the Chinese were able to administer the test in China.

Visiting scholars are a separate category, not requiring the use of normal channels in that they are not enrolled as students in degree programs. Since the majority of the cases coming to M.S.U. during the first two years of the resumed exchange program were

scholars, a process for their admission was detailed. A department faculty person may act as a sponsor for a visiting scholar; and, after working out the funding arrangements, the University issued a document to the Chinese applicant, describing the terms of the visit. This document enables the applicant to obtain a Chinese passport and, subsequently, an American visa good for two or three years. After arrival, the visiting scholar is the responsibility of the department in which he or she is doing research.

A university-wide China Relations Committee was called into being in 1979 to develop and coordinate various aspects of the exchange process, both formal and informal. This Committee is largely responsible for the administration of the formal institutional agreements signed in 1980. One objective of the Committee is to assure the development of mutual and bilateral exchange programs that would provide opportunities for American faculty and students from M.S.U. to do research in China. At this time, most M.S.U. officials agree that the procedural problems of admitting Chinese students and scholars to the University have been worked out and that a clearer understanding of those procedures exists, both in China and on the M.S.U. campus.

Profile of the Chinese Nationals at M.S.U.

As is the case in other U.S. institutions, the Chinese students and scholars come from a wide variety of institutional affiliations in China. As is to be expected because of M.S.U.'s history and areas of academic strength, many are here to do research in agricultural

fields. The following Chinese schools are represented: those starred are schools that have an exchange agreement.

Guangzhou (Canton) Teacher's College
 Nanjing Teacher's College (2)
 China Medical College, Shenyang
 Xi'an Microwave Equipment Factory
 Agricultural College of Anhui
 *Sichuan University, Chengdu (7)
 Hunan University, Changsha
 Sichuan University
 Guangxi University
 Peking Agricultural University
 *Jiangsu Agricultural College
 *Nankai University, Tienjin (2)
 Fudan University, Shanghai
 Shanghai University of Science and Technology
 Academy of Agricultural Sciences, Peking
 Fruit Tree Research Institute
 Institute of Zoology
 Institute of Mechanization Sciences

The latter three institutes are part of the Academy of Agricultural Sciences in Peking.

The 49 persons on campus in the fall of 1981 were studying or engaged in research work in the following departments at Michigan State University:

Biophysics (3)
 Physics (4)
 College of Medicine
 Crop and Soil Science
 Agricultural Engineering (2)
 Computer Science (4)
 Pediatrics and Human Development

Electrical Engineering (3)
 History (2)
 Entomology
 Horticulture (2)
 Forestry
 Chemistry (4)
 Botany and Plant Pathology (5)
 Animal Science (3)
 Mathematics
 Physiology
 Cyclotron Laboratory (2)
 Plant Research Laboratory (2)
 Mechanical Engineering
 English/Linguistics (2)
 Astronomy
 Music
 Agricultural and Natural Resources Education

Respondents to the Questionnaire
at M.S.U.

Twenty-six of the 40 questionnaires distributed to the students and scholars at M.S.U. were completed and returned. A separate analysis of the M.S.U. profile, scores, and cross-tabulations revealed very little overall difference between their answers, either individually or as a group, and those of the other Chinese students and scholars in the sample. However, some differences pertinent to the M.S.U. Chinese did emerge, and some of these were followed up in the interviews conducted with the two groups.

Information obtained from the 26 M.S.U. students and scholars showed that 80% of them were visiting scholars as opposed to 68% nationally. Only 23.1% of those at M.S.U. were in the fields of

engineering as opposed to 42% nationally, while the percentage of those in agricultural studies was significantly higher at M.S.U. than in other U.S. institutions as a whole. Another noted difference was that only 19% of those Chinese respondents at M.S.U. stated that they had studied English for more than five years, whereas 43.8% of the national sample of respondents stated they had a language-training background of more than five years. Further, 88% of those at M.S.U. stated that their advanced preparation was "fair" or "poor" compared to 73% nationally who stated the same.

The Michigan State University respondents are also more insular as a group than they are nationally according to the survey responses. Ninety-two percent of those at M.S.U. live with other Chinese students and scholars compared to 53.6% nationally. Similarly, higher percentages of them spoke primarily Chinese and spent time with other Chinese than did those respondents in the national sample at large. However, such practices among the M.S.U. Chinese nationals did not lead to a significant difference in overall scores or in their exposure to the U.S. culture and interaction with Americans as measured in the questions in Section VI of the survey. Reasons for this became apparent in the interview sessions held with individual Chinese. The high percentage of interaction with Americans despite the lack of a great deal of English-language training, an advanced preparation evaluated "fair" or "poor," and the highly "Chinese" atmosphere in the living situation among the M.S.U. Chinese appears to refute the major hypothesis put forward in this dissertation,

suggesting instead that interaction with American society could also be related to other factors.

Interview Sessions With Two Groups of Chinese
Students and Scholars Studying at
Michigan State University

The purpose of meeting with groups of students and scholars currently at Michigan State University was to be able to obtain an elaboration of, and supplement to, areas of questions asked on the survey questionnaire. Further, it would be possible in the informal discussion format to find out what areas of their stays in this country the participants felt were significant, successful, lacking, and so forth. Two such sessions were held, one in mid-November and one the first part of December 1981. The group interviews were held in the home of a local member of the US-China Peoples Friendship Association. The leader of the group of Chinese scholars on campus was contacted and an open invitation extended for up to ten Chinese participants who wished to get together for a few hours to discuss the survey and any other aspects of the exchange program and/or their impressions of their stay in the U.S. Seven persons attended the first session, all of them men. A similar invitation was then extended individually to each of the eight women scholars and graduate students from China for the second group session. Six of them attended, with the other two expressing regrets that a conflict in schedule would not allow them to participate. Both sessions consisted of free and open discussion in the areas laid out in the chapter on methodology, resulting in a great deal of information and

mutual exchange of insights into the two cultures, often in areas ranging far beyond the scope of the survey questionnaire. What follows is a synopsis of the most pertinent areas of those discussions.

Settling In: Impressions and Expectations

Among those students and scholars taking part in the discussions, the experience of being in the United States is one that no one had imagined would ever be part of their experience. It is regarded as a privilege, one bringing sacrifice (leaving one's family in China for a period of one to two years), pressure to succeed because of their commitment to China's modernization program, and the realization that they had strong obligations to fulfill by making best use of their time because they are, certainly at this phase of the exchange program, a very select group. Most of the scholars were faculty members or research personnel at their home institutions in China, often selected to come to the U.S. because of the nature of the work they were doing at home and through agreement of the institutional leadership. The funding, i.e., the monthly stipends received from the Chinese government, were granted not to them as individuals, but to the home institution. The individual university or research institute in China makes application to the government, either to the Ministry of Education or the other ministries that have priorities and funds to disperse as part of the modernization campaign. Once such funds are granted, it is not so much the individual professor who applies for the grant to come to the U.S. as it is a joint decision of the

departments and leadership of the institution approaching certain individuals they feel would best benefit from the opportunity because of the institution's priorities for upgrading certain departments or initiating new programs.

The selection of Michigan State University resulted from one of three possible channels: the existence of a formal exchange agreement (established between M.S.U. and seven Chinese institutions in 1980); personal contacts between individual scholars/colleagues in the two countries; or, depending on the priorities and needs of the Chinese institution, several schools in the U.S. were contacted regarding their interest in having a government-sponsored person come for advanced research in a particular area for which the U.S. institution was well known. Of the scholars interviewed, some had come to M.S.U. through each of those channels.

For Chinese-government-sponsored graduate students, the process of being selected and selecting a U.S. school was similar. Those graduate students who received their funding in the form of scholarships or assistantships from the American institution, however, had applied to a number of different schools and accepted the best offer they received. In general, that process took longer and involved more bureaucratic stipulations in both countries. In both the cases of the visiting scholar and the graduate student, the timing of the completion of all arrangements, including passport, visa, and flight, did not necessarily correspond to the U.S. academic term, leaving the individual with the choice of arriving in the middle of a term or waiting until the start of the next term. In most cases,

the scholar or student was reluctant to accept the second choice. A couple of persons indicated this was out of eagerness to get started and a fear that if they waited something might come up to prevent the trip later, although these suspicions were not based on any particular knowledge of impending changes in the direction of the exchange program.

The initial impressions of the U.S., among those students and scholars participating in the interviews, can be summarized by the following three observations: first, they were all impressed by the level of technology that touches the daily lives of Americans; second, they commented on the diversity and abundance they encountered; and third, they stated that everything was so expensive. They acknowledged that they had been told about all of these things, but to experience them first hand was something else.

For most of them, coming to the U.S. was a series of "firsts" with a technology that most Americans take for granted or experience at an early age: first airplane ride, first experience with an escalator and revolving door, first use of a pay telephone, and so on. With very few home telephones in China, they were all enjoying the ease and efficiency with which they were able to make arrangements and to communicate in the U.S.

The diversity of people, opinions, goods, and services was more difficult to get used to than the technology. Even though it was expected, some felt that it was a double-edged sword. Some felt it was good that each person could speak his or her own mind and that so many publications were available to help formulate those opinions.

This is something that China can learn from America, said some. Others said that because of such diversity and variety, it was difficult to "know" what the overall direction of America was, or how to pick and choose among goods, such as breakfast cereals, which all seemed to provide the same thing. Some people said that the "free for all" in social conversation and discussion made it difficult to know what was expected of them, so that they often did not participate in such conversations, not wanting to be misunderstood.

The third area in which they had noted great variety was in that of cost of goods, a practice generally unknown in China. Similar items were priced differently in different types of stores, and while they understood why this was so, they felt it would be very helpful to have friends or contacts provide assistance in this practical area. Some of them had received such help, either from individual professors, other Chinese nationals who had been here longer, or from members of the US-China Peoples Friendship Association. None of them knew that there were individuals at the university, i.e., the Foreign Student Office or the Community Volunteers for International Programs, who offered this kind of service.

Most of them found the atmosphere at Michigan State University and East Lansing to be more congenial than expected. They had anticipated the U.S. to be very congested with people, industry, and traffic and a hectic pace of life. They found the latter to be true because of their academic and research programs, but living in a town the size of Lansing much easier to get around in than anticipated, and certainly easier than in China, where all of them came from

metropolitan areas considerably larger than that of Lansing. None of the persons interviewed could drive, but all felt that they could get easily to any needed destination by bicycle or public transportation. Occasionally, for large grocery shopping expeditions, they were able to call upon friends or colleagues who had offered assistance in this area.

Academic Experience

All but three of those interviewed were visiting scholars, and as such worked closely with colleagues in a particular department. They found the facilities and relationships to be commendable, an excellent environment in which to carry out their work. The greatest problem mentioned was that of time: they felt there was so much to be learned. Many of them were working in specialized areas. One person mentioned that he was having to think constantly how the type of project he was working on could be adapted to China--not the knowledge itself, but the different types of equipment to carry out the same experiments. China is fast acquiring sophisticated technology, he stated, but in some cases, they would have to make do for some time with a different level of technology. He did not think this would be a great problem.

The graduate students experienced different demands from the scholars. They also stated that there was a great deal to learn in a short time and were enjoying the facilities of the library, which were much more comprehensive than in Chinese universities. One person felt that there was more to be learned from interacting in the classroom

itself with the greater emphasis in America placed on student discussion of issues. At the present, she was not taking advantage of this method of learning because it was so new to her.

At least six of the Chinese at Michigan State University were being partially supported through teaching assistantships. Two of these participated in the interview sessions and indicated that, while this opportunity was in itself a valuable learning experience, it also made great demands upon their time. They worried about their English level and spent a great deal of time preparing for their teaching. They were very satisfied, however, with the support and advice from M.S.U. faculty, who were readily available for consultation about academic matters, both for their teaching and for their own degree programs.

Internal Organization/Network Among the M.S.U. Chinese

As mentioned earlier in this chapter, the Chinese students and scholars at Michigan State University are a close-knit group, having considerably more contact with each other outside their academic programs than they do with American or other foreign students or with the community at large. Thirty-seven of the 49 currently at M.S.U. live on campus in Cherry Lane or University Village apartments, formerly reserved for married student housing. One person lived in Owen Graduate Hall, where a number of foreign students live, and the others lived in community housing off campus. Of the 11 who lived off campus, only 2 of them lived with American students or an American

family; the others shared an apartment with other students or scholars from the PRC.

They all felt that their living arrangements provided the best environment for them to do their studies with the exception of the person who lived in Owen Hall, who would have preferred to live elsewhere, citing that Owen was too expensive, too noisy, and too far away from most of her work on campus. Living in Cherry Lane and University Village seemed to have a certain prestige which allowed daily living to proceed with the least bother, as residents divided up the tasks of shopping and cooking. It also provided a support system of other Chinese to relate to in case of problems. Those who had come recently said that they had relied on those who had been at M.S.U. for a longer period of time to assist them in knowledge of social customs in America and in the practical day-to-day matters that they did not wish to bother their American friends with. They did not feel that living with other Chinese was an obstacle to getting to know Americans better, although several of them stated that they were sure their English would improve if they were forced to use it more often. Living together even facilitated some of their academic work as they could consult with other nationals if they had a language problem or wanted to discuss methods or techniques that they had questions about. More will be said about the effects of this group support in the section on interaction with the community.

In addition to the support system provided by the living arrangements, there is a more formal organization within the community of Chinese scholars on campus. This is not unusual in that many

groups of foreign students, i.e., the organization of Islamic or African students, have formed a loose organization to allow opportunities for fellow nationals or those with a common cultural base to relate to one another for matters of mutual concern. While the Chinese scholars do not have a named organization that speaks for them, they do have a hierarchy among themselves which serves that function on many occasions. In most institutions, as well as at M.S.U., the scholars have an elected leader who takes on many tasks. He is the link with the Chinese Embassy should people have problems or questions about their visas; he is also in charge of representing the Chinese at formal functions and is the liaison person should either the Chinese or the Americans wish to make arrangements or invitations to the Chinese nationals at large. The role is a voluntary one with no specific time period; nor does it exclude other Chinese from taking leadership roles or initiative within their own individual programs or activities. It was explained as a practice that is one of convenience. And depending on the leader's personality and willingness to spend time on the tasks, the role could interfere with the academic program. A leader may serve in this capacity for as little as three months or as long as a year, with a new one being chosen by consensus whenever needed. Although on some campuses this formal networking included only the Chinese-government-sponsored scholars, at M.S.U. it was open to all persons from the PRC given their small numbers and easy access to each other. This formal network did not mean that when problems arose the Chinese did not use University channels for solving those problems, but it often meant that they were first

discussed among the group and then presented to the appropriate body if they could not be solved internally. It provided a support system and forum with which the Chinese seemed to be comfortable.

Interaction with the Lansing-East Lansing Community

Despite their living arrangements and, in some cases, because of it, the Chinese pursuing studies at Michigan State University had developed extensive outside contacts with Americans. They felt that the University community was a warm and friendly one providing many opportunities to them, individually or as a group, to get together with Americans. All had accepted invitations to visit in homes of University professors and to talk freely about their stay in the U.S. Most of these contacts were made individually; none of the persons participating in the interviews had done anything that was organized for foreign students through the formal apparatus of the Foreign Student Office. Neither had they heard of the Community Volunteers for International Programs, which makes its services for foreign students known through the University. Most of their dealings with Americans within the University or in the community at large had come through individual contacts.

The one formally organized community group with which they had had a great deal of contact was the Greater Lansing Chapter of the US-China Peoples Friendship Association. In many respects, this group played a similar role to that of the Community Volunteers for International Programs. Persons from the Friendship Association had assisted them by providing automobile transportation for grocery

shopping from time to time, helping individuals to locate necessary clothing items such as heavy winter coats, and, in general, being there to provide information in case of misunderstandings. On a more formal basis, the Friendship Association provided social get-togethers open to all the Chinese students and scholars in the form of potluck dinners on special occasions such as Chinese National Day or New Years and on American holidays also. For many of the Chinese, the Friendship Association organized sightseeing visits to other cities and places in the area that they might otherwise not have had an opportunity to visit. Over the past year, there were group expeditions to Greenfield Village in Detroit, a long week-end in Michigan's Upper Peninsula at the summer home of a Friendship Association member, and two group visits to Chicago. These visits were organized by volunteers who provided drivers and cars for the outings with all individuals participating chipping in for their share of the expenses of gas and other miscellaneous items. Lodging in cities outside Lansing was arranged by other members of the Friendship Association in those cities, making the ventures affordable. During one of the visits to Chicago, the Chinese prepared food in advance, taking dishes that needed only to be stir-fried. This was done to save the Chicago hosts trouble and to avoid taking time from actual sightseeing, but it was later confirmed that it was also because they preferred to eat Chinese food!

All of these visits enabled Chinese students and scholars to see a bit more of the diversity of the American people, geography, and culture. In 1982, the M.S.U. group of scholars has plans to

organize a visit to Niagara Falls, but they realize this trip will take perhaps more money and administration because it is so far. In later discussions with members of the Lansing Friendship Association, the Americans stated that doing things with the Chinese was mutually beneficial in that it had revitalized the organization. Arrangements were not all that difficult to make, and the network among the Chinese made it easy to extend invitations to the group at large. Contact could be made with the group leader and within a few days the Friendship Association would know the degree of interest in the outing and how many persons could be expected to participate. Both the Chinese and the Americans stated that the opportunities for interaction were plentiful, limited only by the busy schedules of each and the time that it took to make such arrangements.

Summary

From the University perspective, most persons consulted felt that the exchange process was going smoothly and what small problems there were were being worked out satisfactorily as far as concerns the incoming students and scholars from the PRC. Dr. Warren Cohen felt there were still problems and obstacles to the sending of Americans to China in order to make the exchange a truly bilateral one. The problems were on both sides, but mechanisms were being put in place to alleviate the problems and expedite the exchange in the other direction.

Although the Chinese students and scholars felt that their living arrangements were the best ones and the most conducive to

enabling them to concentrate on the prime objective of study or research, those at the English Language Center did not necessarily feel that this was so. Graduate students at M.S.U. coming from abroad are required to take an English-language examination and seek remedial help if it is indicated. Most of those graduate students coming from China did not need to take additional courses as their English was quite good. But the large majority of Chinese nationals at M.S.U. are visiting scholars and as such are not required to submit to University regulations. Mrs. So Wuyi, an instructor at the English Language Center, feels this is not a good thing as it leaves the scholars out of many opportunities to avail themselves of University services in general and those of the English Language Center in particular. She was instrumental in initiating a once-a-week informal English conversation session to take place between interested Americans and the Chinese students and scholars at M.S.U. The success has varied from term to term, depending on the binational make-up of the class and the voluntary attendance policy. However, she is pleased that many scholars as well as graduate students have sat in on the sessions, both from the point of view of the English-language practice and from that of the opportunities the class affords for cultural interaction.

The Chinese scholars and students at M.S.U., both those who completed the questionnaire and those who participated in the interview sessions, expressed overall satisfaction with their interaction with the American culture as well as with their academic work. Their complaints, modestly phrased, had to do with their being absent from

their families for such a long period of time, and in their sometimes erring judgments on what part of American culture to become exposed to. In general, they preferred to interact with American culture in a group that contained Americans rather than to go on their own. Some who had gone to the movies without prior consultation with Americans had been disappointed at not understanding the films because of cultural differences. The movies in question were 10 and Raiders of the Lost Ark.

They felt that they were making progress in their academic work and that the tasks could be completed in the time frame they had been allotted, provided that they worked very hard. Most of them indicated that they would be returning to the same position that they held at their home university or research institute, where they would be called upon to share the training they had received either in the form of teaching assignments or in work on new projects. They did not yet know, or were unprepared to comment on, what effect their stay in America would have on their personal outlook, but they sincerely hoped that the growth of strong ties between China and the United States would continue.

Footnotes--Chapter VI

¹Report of Michigan State University's First Mission to the People's Republic of China (East Lansing: Michigan State University, 1980), p. 17.

²First Mission to the People's Republic of China, preparatory handbook and descriptive information (East Lansing: Michigan State University, July 1980), pp. 41-60.

³Report of Michigan State University's First Mission, op. cit., pp. 6-7.

⁴Dr. H. T. Tien graciously provided me with access to his file of correspondence with Chinese scientists and officials at a number of universities. As early as December 1978, Provost Yao Changrei of Sichuan University in Chengdu was pressing for an institutional exchange with Michigan State University in four areas: (1) publications, (2) short-term faculty exchanges of several weeks, (3) long-term exchange of visiting scholars, and (4) a request for scientific and technical materials. Michigan State University was between Presidents and it was not until March 6, 1980, that President Mackay wrote to the President of Sichuan University, Gang Nai'er, to inform Dr. Gang that a Michigan State University delegation would be coming to China and that the delegation would like very much to proceed to discuss such exchanges.

FINAL OBSERVATIONS, COMMENTS, AND RECOMMENDATIONS

This dissertation, as are all research projects of this nature, is subject to a number of limitations. In this case, given the recent resumption of educational exchanges between the United States and China, it was not possible to conduct research that would measure the adaptive factors of Chinese students and scholars over time as did some of the research mentioned in the review of the literature. Such research would certainly have merit and should be possible within a few years when the exchange process is firmly in place and the numbers of degree students increased.

Further, although the numbers of returned questionnaires were a sufficient representative of the sample population of Chinese students and scholars now in the United States to show some differences due to sponsorship and status, the number of graduate students who participated in the survey was not a large proportion of that sector of the sample, making conclusions about the responses of graduate students as a whole difficult to assess.

Certainly, areas of concern arise over the inclusiveness or exclusiveness of the content selected in the questionnaire as an instrument, the specific analyses applied to the data received, and the imperfect means of informal interviews with selected individuals in order to gather information about the broader aspects of their experience in the U.S. However, these tools were selected as those

best suited to accomplish the research objectives, which were to obtain a description of the population of Chinese nationals in the U.S. at this time and to determine specifically the nature of the relationship that existed between any advanced preparation they may have received before leaving China and their subsequent interaction with American culture after they arrived. Within the limitations as stated, these objectives have been achieved. The results of the analyses of the data received from the questionnaires, combined with the additional information received from interviews with Chinese students and scholars, would seem to indicate that the amount of orientation and advanced training per se does not affect the amount of interaction that took place with American culture after they arrived. Rather, the amount of contact they had with American culture appeared to be a function of other factors: time in the U.S., free time to allocate to such pursuits subject to individual initiative and personalities, and the presence of outside support groups, either formal or informal, within the university or outside communities who also took time and initiative to contact the Chinese students and scholars and to make arrangements for them to become better acquainted with the community. All of these factors suggest other areas for further research.

The findings of this dissertation, along with its limitations, suggest several other areas that could benefit from additional study or research:

1. A study into the role that community groups play in the adaptation processes of foreign students. Such a study could be done

in terms of the impact of a given community group over time in one location or a documentation on a broader scale of the kinds of services and programs offered by many groups across the country with an evaluation of their effects.

2. A study of what components make up an effective predeparture orientation program for those planning to do study or research abroad. The study in this dissertation was only able to achieve a documentation of what kinds of exposure the Chinese students and scholars had to American culture before their arrival. There was no means to judge that exposure in terms of content or specific effect.

APPENDICES

APPENDIX A

"UNDERSTANDING ON THE EXCHANGE OF STUDENTS AND SCHOLARS
BETWEEN THE UNITED STATES OF AMERICA AND
THE PEOPLE'S REPUBLIC OF CHINA"

APPENDIX A

"UNDERSTANDING ON THE EXCHANGE OF STUDENTS AND SCHOLARS BETWEEN THE UNITED STATES OF AMERICA AND THE PEOPLE'S REPUBLIC OF CHINA"

An understanding on educational exchanges between the United States and China was reached in Washington, D.C., in October 1978 during discussions between the Chinese education delegation headed by Dr. Chou Peiyuan, Acting Chairman of the PRC Science and Technology Association, and the U.S. education delegation headed by Dr. Richard C. Atkinson, Director of the National Science Foundation, as follows:

1. Both sides agreed they would pursue a program of educational exchange in accordance with and in implementation of the spirit of the Shanghai Communique;
2. There will be a two-way scientific and scholarly exchange which will provide mutual benefit to both countries;
3. The exchanges will include students, graduate students and visiting scholars for programs of research and study in each country;
4. The two sides exchanged lists of fields in which its students and scholars are interested and lists of institutions where they wish to work. Each side will use its best efforts to fulfill the requests of the other for study and research opportunities. Each side will expeditiously grant visas for such exchanges in accordance with its laws and regulations;
5. The sending side will pay the costs associated with its participants;
6. Both sides may take full advantage of any scholarships which may be offered;
7. Each side will be responsible for the implementation of the program in its territory, including responsibility for providing advice to the other side and relevant information and materials about the universities and research institutions concerned;
8. The two sides agreed that the students and scholars sent by both sides should observe the laws and regulations and respect the customs of the receiving country;

9. The Chinese side indicated it wishes to send a total of 500 to 700 students and scholars in the academic year 1978-1979. The United States side indicated it wishes to send ten students in its national program in January 1979 and 50 students in its national program by September 1979 as well as such other numbers as the Chinese side is able to receive. Both sides agreed to use their best efforts to implement such programs;

10. To set each year the number of students and scholars to be exchanged and to discuss the progress of the program of exchanges, the two sides will meet when necessary. Consultations on important matters may also be held by the governments of the two countries. In addition, both sides will encourage direct contacts between the universities, research institutions and scholars of their respective countries.

11. Both sides believe that the discussions mark a good beginning and have opened up the prospect of broadened opportunities for exchanges between the two countries in the fields of science, technology and education as relations between them improve. Both sides also believe that such exchanges are conducive to the promotion of friendship and understanding between their two peoples.

APPENDIX B

AGREEMENT BETWEEN THE GOVERNMENT OF THE UNITED STATES
OF AMERICA AND THE GOVERNMENT OF THE PEOPLE'S
REPUBLIC OF CHINA ON COOPERATION IN
SCIENCE AND TECHNOLOGY

APPENDIX B

AGREEMENT BETWEEN THE GOVERNMENT OF THE UNITED STATES OF AMERICA AND THE GOVERNMENT OF THE PEOPLE'S REPUBLIC OF CHINA ON COOPERATION IN SCIENCE AND TECHNOLOGY

The Government of the United States of America and the Government of the People's Republic of China (hereinafter referred to as the Contracting Parties);

Acting in the spirit of the Joint Communiqué on the Establishment of Diplomatic Relations between the United States of America and the People's Republic of China;

Recognizing that cooperation in the fields of science and technology can promote the well-being and prosperity of both countries;

Affirming that such cooperation can strengthen friendly relations between both countries;

Wishing to establish closer and more regular cooperation between scientific and technical entities and personnel in both countries;

Have agreed as follows:

ARTICLE 1

1. The Contracting Parties shall develop cooperation under this Agreement on the basis of equality, reciprocity and mutual benefit.

2. The principal objective of this Agreement is to provide broad opportunities for cooperation in scientific and technological fields of mutual interest, thereby promoting the progress of science and technology for the benefit of both countries and of mankind.

ARTICLE 2

Cooperation under this Agreement may be undertaken in the fields of agriculture, energy, space, health, environment, earth sciences, engineering and such other areas of science and technology and their management as may be mutually agreed, as well as educational and scholarly exchange.

ARTICLE 3

Cooperation under this Agreement may include:

- a. Exchange of scientists, scholars, specialists and students;
- b. Exchange of scientific, scholarly and technological information and documentation;
- c. Joint planning and implementation of programs and projects;
- d. Joint research, development and testing and exchange of research results and experience between cooperating entities;

- e. Organization of joint courses, conferences and symposia;
- f. Other forms of scientific and technological cooperation as may be mutually agreed.

ARTICLE 4

Pursuant to the objectives of this Agreement, the Contracting Parties shall encourage and facilitate, as appropriate, the development of contacts and cooperation between government agencies, universities, organizations, institutions and other entities of both countries, and the conclusion of accords between such bodies for the conduct of cooperative activities. Both sides will further promote, consistent with such cooperation and where appropriate, mutually beneficial bilateral economic activities.

ARTICLE 5

Specific accords implementing this Agreement may cover the subjects of cooperation, procedures to be followed, treatment of intellectual property, funding and other appropriate matters. With respect to funding, costs shall be borne as mutually agreed. All cooperative activities under this Agreement shall be subject to the availability of funds.

ARTICLE 6

Cooperative activities under this Agreement shall be subject to the laws and regulations in each country.

ARTICLE 7

Each Contracting Party shall, with respect to cooperative activities under this Agreement, use its best efforts to facilitate prompt entry into and exit from its territory of equipment and personnel of the other side, and also to provide access to relevant geographic areas, institutions, data and materials.

ARTICLE 8

Scientific and technological information derived from cooperative activities under this Agreement may be made available, unless otherwise agreed in an implementing accord under Article 5, to the world scientific community through customary channels and in accordance with the normal procedures of the participating entities.

ARTICLE 9

Scientists, technical experts and entities of third countries or international organizations may be invited, upon mutual consent of both sides, to participate in projects and programs being carried out under this Agreement.

ARTICLE 10

1. The Contracting Parties shall establish a US-PRC Joint Commission on Scientific and Technological Cooperation, which shall consist of United States and Chinese parts. Each Contracting Party shall designate a co-chairman and its members of the Commission. The Commission shall adopt procedures for its operation, and shall ordinarily meet once a year in the United States and the People's Republic of China alternately.

2. The Joint Commission shall plan and coordinate cooperation in science and technology, and monitor and facilitate such cooperation. The Commission shall also consider proposals for the further development of cooperative activities in specific areas and recommend measures and programs to both sides.

3. To carry out its functions, the Commission may when necessary create temporary or permanent joint subcommittees or working groups.

4. During the period between meetings of the Commission, additions or amendments may be made to already approved cooperative activities, as may be mutually agreed.

5. To assist the Joint Commission, each Contracting Party shall designate an Executive Agent. The Executive Agent on the United States side shall be the Office of Science and Technology Policy; and on the side of the People's Republic of China, the State Scientific and Technological Commission. The Executive Agents shall collaborate closely to promote proper implementation of all activities and programs. The Executive Agent of each Contracting Party shall be responsible for coordinating the implementation of its side of such activities and programs.

ARTICLE 11

1. This Agreement shall enter into force upon signature and shall remain in force for five years. It may be modified or extended by mutual agreement of the Parties.

2. The termination of this Agreement shall not affect the validity or duration of any implementing accords made under it.

DONE at Washington this 31st day of January 1979 in duplicate in the English and Chinese languages, both equally authentic.

FOR THE GOVERNMENT
OF THE
UNITED STATES OF AMERICA:

Jimmy Carter

FOR THE GOVERNMENT
OF THE
PEOPLE'S REPUBLIC OF CHINA:

Deng Xiaoping

APPENDIX C

SURVEY QUESTIONNAIRE SENT TO CHINESE STUDENTS AND SCHOLARS IN FORTY U.S. INSTITUTIONS

APPENDIX C

SURVEY QUESTIONNAIRE SENT TO CHINESE STUDENTS AND
SCHOLARS IN FORTY U.S. INSTITUTIONS



August 1981

Dear Friends and Colleagues,

In the few short years since the establishment of diplomatic relations between our two countries, many exchanges of mutual benefit have been initiated. Among the most important is your arrival, along with more than 5,000 other Chinese scholars and students, for extended stays at American universities and research institutes. We welcome your arrival and wish you success as you pursue advanced study in the United States.

Living in another country brings with it many new experiences. The exchange process includes many aspects: language, social and cultural differences, admissions and financial requirements, and academic adjustments. Because of the differences in our two cultures and the past thirty years of little contact between our two countries, there is much that we can learn from each other.

The enclosed questionnaire requests information about your stay in the U.S. in order to find out how well the exchange process is working. The questionnaire is prepared by Mary Kay Hobbs who is presently the Director of the Center for Teaching about China of the US-China Peoples Friendship Association in Chicago. She has visited China several times in the past four years under the auspices of Luxingshe and the Chinese Association for Friendship with Foreign Countries. She is now working on her Ph. D. at Michigan State University.

This survey is being conducted in more than fifty cities in the United States with the assistance of persons who have agreed to distribute the questionnaire to as many of you as possible. Other research projects of this nature have been conducted with other groups of foreign students in the U.S. and in other countries in order to determine the elements that lead to a successful experience. This is the first such project to work with persons from the People's Republic of China who are now in the U.S.

We encourage you to take a few minutes to answer these questions. The completed questionnaire may be returned in either one of two ways: first, staple the booklet closed, and then 1.) return it to the distributor who will return it with the others from your university or institute as a group; or 2. you may put it in the mail yourself (no postage stamp is necessary). It is important for the success of the survey to have a large number of participants. Your effort is greatly appreciated.

Thank you very much for your cooperation.

Mary Kay Hobbs

Mary Kay Hobbs

Please return questionnaire within ten days

親愛的朋友們和同事們：

自中美建交以來短短數年中兩國開展了很多有益的交換活動。其中主要的一項是五千名中國學者和學生到美國各大學學習。我們向您們表示歡迎並祝您們學習成功。

您們在美國學習和生活期間一定会有很多新的經歷。您們要適應一個新的環境。語言不同。社會和文化傳統不同。有不同的入學、學習費用和規定。還有不同的教學內容和制度。您們的到來，一定也會對美國社會產生影響。由於我們兩國有不同的文化，並且在過去的三十年間很少有了人間的交往，所以我們一定有很多相互學習的地方。

我們這次調查的目的，是想通過您們回答的問題，了解您們至今在美國的情況，因而了解這種人員交流的進展情況。這一調查由瑪麗·霍布斯夫人主持。霍布斯夫人現任美中友誼在芝加哥設立的中国情況教學中心的主任。在過去四年中，她多次應中國旅行社和中國對外友誼之約去中國訪問。

我們想通過這次調查總結中美人員交換的普遍存在的優缺點。以前對其他國家來美學習的人員也做過類似調查，總結了他們成功的經驗。我們這是第一次對中華人民共和國來的人做這種調查。

調查表將在朋友們的幫助下在全美五十個地區散發。我們儘力使更多的中國學者和學生得到這份調查表。我們熱忱希望您能抽出一些時間回答這些問題。填寫後，首先把表折起，中國情況教學中心的地址向外，然後用訂書機封口。您可把調查表交給發給您表的人，由他一次寄回，或者投入信箱寄回（不用貼郵票）。這次調查成功與否，取決您的大力協作。我們十分感謝您的幫助。

瑪麗·凱·霍布斯夫人

1981 年度

I. PERSONAL DATA/INFORMATION

Name _____ 1. Sex: 1. (M) ____ or 2. (F) ____
 (pinyin & Chinese)

Birthdate _____ Birthplace _____
 month year (City & Province)

Address in the United States _____

What is your university or work place in China?

2. Occupation (check one) 1. graduate student _____
 2. professor/teacher _____
 3. research scientist _____
 4. other (specify) _____

3. Has anyone in your family studied in the U.S. in the past?

1. yes ____ 2. no ____

4. Do you now have relatives living in the United States?

1. yes ____ 2. no ____

5. Have you ever lived outside China before for more than three months? (Exclude your present stay in the U.S.)

1. yes ____ 2. no ____

6. Is this your first visit to the United States?

1. yes ____ 2. no ____

When did you arrive in the U.S.? _____
 month year

7. How long have you been in the U.S.? (check one)

- 1. 1-6 months ____
- 2. 7-12 months ____
- 3. more than one year ____
- 4. more than two years ____

8. How long will you stay in the U.S.? (check one)

- 1. 1-6 months ____
- 2. 7-12 months ____
- 3. one year ____
- 4. two years ____
- 5. more than 2 years ____
- 6. not sure ____

What American university/institute are you working at?

9. What is your status at this university? (check one)

- 1. undergraduate ____
- 2. graduate student ____
- 3. special student ____
- 4. research scholar ____
- 5. other (specify) _____

10. Are you enrolled in a degree program?

- 1. yes ____;
- 2. no ____

11. What is your field of study? (check one)

- 1. Engineering ____
- 2. English ____
- 3. Education ____
- 4. Humanities ____
- 5. Natural & Life Sciences ____
- 6. Business & Management ____
- 7. Social Sciences ____
- 8. Other (specify) _____

12. Does your university in China have an official exchange agreement with an American university?

- 1. yes ____
- 2. no ____
- 3. not sure ____

13. If yes, are you in the U.S. as a member of that exchange?

1. yes ____ 2. no ____

14. How many others from your Chinese university/work place are in the U.S. at this time? (check one)

1. 0-5 ____ 3. 11-20 ____ 5. not sure ____
2. 6-10 ____ 4. more than 20 ____

15. How many Chinese scholars and students (from all parts of China) are studying at the American university where you are?

1. 1-10 ____ 4. 50-100 ____
2. 11-25 ____ 5. more than 100 ____
3. 26-50 ____ 6. not sure ____

16. How is your stay in the U.S. financed? (check all that apply)

1. Chinese government scholarship ____
2. American university scholarship ____
3. Sponsored by family or friends ____
4. American university assistantship ____
5. Other (specify) _____

17. What are the main goals you hope to achieve by your study in the U.S.? (List in order of importance with number 1 the most important.)

1. Obtaining a degree ____
2. Developing research skills ____
3. Receiving skills and knowledge in your field ____
4. Getting a broader education ____
5. Improving your level of English ____
6. Getting to know U.S. people in your field ____
7. Gaining practical experience in your field ____
8. Learning about the United States ____

II. PREPARATION TO COME TO THE UNITED STATES

18. How did you hear about the U.S. university where you are now studying? (check one)

1. Chinese university has a direct exchange ____
2. Other Chinese students or scholars ____
3. Recommended by foreign experts ____
4. You wrote to the U.S. university for information ____
5. Other (specify) _____

19. Did you see any American university literature or catalogues before you came to the U.S.?

1. yes ____ 2. no ____

English Language Preparation

20. How long did you study English before coming to the U.S.? (check one)

1. less than six months ____
2. six months to one year ____
3. one to two years ____
4. two to five years ____
5. More than five years ____

21. How did you first learn English? (check one)

1. middle school ____
2. university ____
3. self-taught ____
4. correspondence or radio courses ____
5. other (specify) _____

22. What methods of learning English did you use in the past two years before coming to the U.S.? (check all that apply)

1. scientific English only ____
2. special course for more than two months ____

- 3. more than two hours a day ____
- 4. less than two hours a day ____
- 5. other (specify) _____

23. Did your study of English include conversation?

- 1. yes ____
- 2. no ____

24. Did you study English in China with foreign experts as teachers?

- 1. yes ____
- 2. no ____

25. How often did you use your English in your work in China?

- 1. daily ____
- 2. often ____
- 3. rarely ____

26. Was your use of English primarily (check one)

- 1. reading? ____
- 2. writing? ____
- 3. speaking? ____
- 4. some of all three? ____

27. In China, did you study other subjects besides the English language where English was the language of instruction?

- 1. yes ____
- 2. no ____

28. Does your university or institute in China have an English Club or other informal English language group?

- 1. yes ____
- 2. no ____

29. If yes, how often did you participate in the programs?

- 1. usually ____
- 2. sometimes ____
- 3. rarely ____

30. Before you came to the United States, did your university or work place in China give any English language tests to determine your level of English?

- 1. yes ____
- 2. no ____

Learning about Life in the United States

31. Before coming to the U.S. did you have any special preparation or introduction to American life and culture?

1. yes _____ 2. no _____

32. Did your university or institute offer classes to prepare you for your stay in America?

1. yes _____ 2. no _____

33. If yes, for how long? (check one)

1. one or two sessions _____
 2. less than one month _____
 3. one to three months _____
 4. other (specify) _____

34. Did you have talks with American foreign experts in China to discuss life and culture in the U.S.?

1. yes _____ 2. no _____

35. Did you talk with Chinese scholars or students who had returned from the U.S.?

1. yes _____ 2. no _____

36. Did you correspond with other Chinese scholars who were in the U.S. before your arrival?

1. yes _____ 2. no _____

37. Before leaving China, did you learn about American culture through any of the following means? (check all that apply.)

in English	{	1. read American short stories and novels _____
		2. read American magazines and journals _____
		3. read American newspapers _____
		4. go to American movies _____
		5. talk with American tourists _____

38. {

 1. read American short stories and novels ____
 2. read American magazines and journals ____
 3. read American newspapers ____
 4. go to American movies ____

in Chinese
translations

39. Did you listen to the Voice of America radio in China?

yes ____ no ____

40. If yes, how often? (check one)

1. every day ____ 2. sometimes ____
3. rarely ____

41. Now that you are in the U.S., what do you think, in general, about your advanced preparation and knowledge of the U.S.?

1. very good ____ 2. adequate ____
3. good in some areas and not in others ____
4. not enough preparation ____

42. Based on your experience, what areas need more information and knowledge before coming to the U.S.? (check all that apply)

1. information about academic programs ____
2. more practice in English ____
3. information about American social customs ____
4. other (specify) _____

III. LIFE IN THE UNITED STATES

43. Who met you upon your arrival in the U.S.?

1. U.S. university people ____ 2. relatives ____
3. other Chinese scholars/students ____
4. other (specify) _____

44. Where do you live now? (check one)

1. dormitory ____ 2. apartment or house ____
 3. room off campus with cooking facilities ____
 4. room off campus without cooking facilities ____

45. With whom do you live? (check one)

1. alone ____ 2. relatives ____
 3. U.S. student(s) ____ 4. U.S. family ____
 5. other foreign students ____ 6. Chinese students ____

46. Which best describes your eating situation?

1. eat in the school cafeteria ____
 2. cook for yourself ____
 3. eat with relatives or U.S. family ____

47. Do you eat primarily (check one)

1. Chinese food ____ 2. American food ____
 3. some of both ____

48. What is your primary means of transportation?
 (check one)

1. walking ____ 2. bicycle ____ 3. drive a car ____
 4. public transportation (bus or subway) ____
 5. depend on friends who drive ____

49. Do you have a radio and/or television set at your living place?

1. yes ____ 2. no ____

50. If yes, how often do you listen to it? (check one)

1. daily ____ 2. sometimes ____ 3. rarely ____

51. How often do you read an American newspaper?

1. daily ____ 2. sometimes ____ 3. rarely ____

52. After your arrival in the U.S., did you attend any sessions to learn about life in America?

1. yes ____ 2. no ____

53. If yes, who arranged these sessions or programs?

1. the foreign student office ____
2. other (specify) _____

54. How long did the sessions last? (check one)

1. 1-2 hours ____ 2. half day ____
3. full day ____ 4. other (specify) _____

55. What subjects were covered? (check all that apply)

1. life on campus (housing, food, library, etc.) ____
2. sessions on American history and culture ____
3. information about social customs ____
4. academic information (registration, grading, financial matters, etc.) ____
5. other (specify) _____

English Language Skills

56. Which area of the English language gives you the least problems?

1. reading ____ 2. writing ____
3. understanding ____ 4. speaking ____

57. Which area of the English language needs the most improvement?

1. reading ____ 2. writing ____
3. understanding ____ 4. speaking ____

58. Upon your arrival in the U.S., were you required to take an English language examination before starting your work?

1. yes ____ 2. no ____

59. If yes, did you take supplementary English languages courses as the result of the testing?

1. yes ____ 2. no ____

60. If yes, for how long?

1. one semester ____ 2. more than one semester ____

61. If you are taking additional work in the English language, is it (check all that apply)

1. full time ____ 2. part-time ____
 2. in a class ____ 3. with a tutor ____
 5. informal or self study ____

62. How often do you read materials in English outside of your academic studies, such as newspapers, journals, or novels?

1. often ____ 2. sometimes ____ 3. rarely ____

63. Outside of your academic work or research do you speak (check one)

1. primarily English ____
 2. primarily Chinese ____
 3. some of both ____

Learning about American Life

We are aware that your academic studies and research take a great deal of time. However, we hope that you also have the opportunity to learn about the American culture and people during your stay.

64. Outside of your academic work or research, do you spend your time primarily with (check one)

1. Chinese people ____ 2. foreign students ____
 3. American people ____ 4. a combination ____

65. Outside of your academic work or research, have you made other contacts in the community where you live?

1. yes ____ 2. no ____

66. If yes, are these contacts (check all that apply)

1. other students and teachers ____
2. American families and/or friends ____
3. Chinese-Americans ____
4. primarily relatives ____
5. other (specify) _____

67. How did you make these contacts? (check all that apply)

1. through university groups ____
2. through contacts with other students ____
3. through the foreign students' office ____
4. through relatives ____
5. other (specify) _____

68. Do you consider most of your contacts outside your academic life to be (check one)

1. casual acquaintances ____
2. long term contacts/friendships ____
3. some of both ____

69. Would you like more contact with Americans?

1. yes ____
2. no ____

70. If you do not now have many contacts with Americans, what are the main reasons? (check all that apply)

1. Not in the U.S. long enough ____
2. Too busy in academic life and research ____
3. Difficult to make contacts ____
4. Prefer to spend time with Chinese people ____
5. Do not wish more contacts ____
6. Other (specify) _____

71. Are you familiar with the following groups who are interested in knowing and assisting foreign/Chinese students? (check all that apply)
1. National Association of Foreign Student Affairs ____
 2. International Club at your university ____
 3. US-China Peoples Friendship Association ____
 4. China Council of the Asia Society ____
72. In which of the following activities have you participated? (check all that apply)
1. visits with an American family ____
 2. visits to U.S. farms ____
 3. visits to U.S. factories ____
 4. been to the movies ____
 5. been to a cultural event (concert, play, etc.) ____
 6. been to a sports event ____
 7. sightseeing visits in your city ____
 8. sightseeing visits in other cities ____
73. Were the arrangements for these visits made primarily by (check one)
1. foreign students office ____
 2. relatives ____
 3. other Chinese students/scholars ____
 4. American friends/students ____
 5. a combination of the above ____
74. Who accompanied you on these visits? (check one)
1. a group of foreign students ____
 2. other Chinese students ____
 3. relatives ____
 4. a mixed group of Chinese and Americans ____
 5. you went on your own ____
 6. other (specify) ____

75. Have you been asked to speak to American groups about life in China?

1. yes ____ 2. no ____

76. If yes, have you accepted the invitation?

1. yes ____ 2. no ____

77. Have you been invited to lecture or present papers at American conferences?

1. yes ____ 2. no ____

78. If yes, have you accepted the invitation?

1. yes ____ 2. no ____

79. If you have not yet had the opportunity to visit outside your community, do you plan to do so before you return to China?

1. yes ____ 2. ____

80. If yes, when do you plan to do so? (check all that apply)

- 1. between terms for a few days ____
- 2. during the summer for several weeks ____
- 3. extended travel before returning to China ____

81. At this time in your stay in the U.S., how satisfied are you, in general, with your academic progress?

- 1. very satisfied ____
- 2. satisfied ____
- 3. only sometimes satisfied ____
- 4. not very satisfied ____

82. At this time in your stay in the U.S., how satisfied are you, in general, with your familiarity with the American people and customs?

- 1. very satisfied ____
- 2. satisfied ____
- 3. only sometimes satisfied ____
- 4. not very satisfied ____

THE END Thank you very much for taking the time to assist in this project. We wish you success in reaching your goals while you are in the United States. Please return this questionnaire to the Center for Teaching about China on or before October 1, 1981.

APPENDIX D

LIST OF AMERICAN INSTITUTIONS THAT PARTICIPATED IN THE DISTRIBUTION OF THE SURVEY QUESTIONNAIRE

APPENDIX D

LIST OF AMERICAN INSTITUTIONS THAT PARTICIPATED IN THE DISTRIBUTION OF THE SURVEY QUESTIONNAIRE

Written questionnaires were sent to contact persons in August and September 1981 for distribution among Chinese graduate students and visiting scholars in the following institutions:

Institution ^a	No. Sent ^b	No. Distrib- uted ^c	No. Returned	Percent Returned
American Graduate School of Interntl. Management, AZ	5	5	2	40.00
Argonne National Laboratory Argonne, IL	10	10	3	30.00
Columbia University, NYC	25	22	2	9.10
Fermi National Accelerator Laboratory, Batavia, IL	20	8	7	87.50
George Washington University, Washington, D.C.	30	23	0	0.00
Goshen College, Goshen, IN	11	11	6	54.50
Illinois Institute of Tech- nology, Chicago, IL	17	17	8	47.10
Indiana University Bloomington, IN	20	20	3	15.00
Loras College, Dubuque, IA	2	2	2	100.00
Massachusetts Institute of Technology, Boston, MA	25	0	0	0.00
Michigan State University East Lansing, MI	40	40	26	65.00
Mt. Sinai Hospital, Chicago, IL	3	3	1	33.33
Northeastern University Boston, MA	25	21	2	9.50

Institution ^a	No. Sent ^b	No. Distrib- uted ^c	No. Returned	Percent Returned
Northwestern University Evanston, IL	30	30	17	56.66
Oberlin College, Ohio	3	3	2	66.66
Ohio State University Columbus, Ohio	40	10	4	40.00
Oregon State University Corvallis, OR	12	12	4	33.33
Portland State University Portland, OR	15	15	2	13.33
Princeton University, NJ	10	0	0	0.00
Purdue University, IN	50	50	16	32.00
Seton Hall University East Orange, NJ	10	10	5	50.00
Sienna Heights College Adrian, MI	8	8	1	12.50
Southern Illinois University Carbondale, IL	4	3	3	100.00
Tennessee State University Knoxville, TN	15	0	0	0.00
UCLA Medical Facilities Los Angeles, CA	18	18	10	55.50
University of California, Berkeley, CA	150	148	44	29.70
University of Chicago, IL	20	20	8	40.00
University of Florida Gainesville, FL	20	9	0	0.00
University of Houston, TX	27	24	0	0.00
University of Illinois Circle Campus, Chicago, IL	11	11	8	72.70

Institution ^a	No. Sent ^b	No. Distrib- uted ^c	No. Returned	Percent Returned
University of Maryland Baltimore, MD	50	0	0	0.00
Roosevelt University Chicago, IL	10	6	1	16.66
University of Michigan Ann Arbor, MI	70	50	24	48.00
University of Pittsburgh, PA	10	3	3	100.00
University of Southern California, Los Angeles, CA	7	7	3	42.80
University of Virginia Charlottesville, VA	15	15	6	40.00
University of Wisconsin Madison, WI	100	58	9	15.50
Washington University St. Louis, MO	25	19	0	0.00
Western Illinois University Macomb, IL	3	3	1	33.30
University of Pennsylvania Philadelphia, PA	30	0	0	0.00
Totals	996	714	233	32.90

^aAlphabetical.

^bAccording to estimates submitted by the contact person.

^cActual number sent or given to individual students and scholars from China. When the number distributed was less than the number sent, it was for one of the following reasons: (1) The number of PRC nationals at the school was less than that estimated by the contact person originally or the students and scholars were not reached because of changes of address; (2) The contact persons withdrew from participation due to personal reasons.

APPENDIX E

COVER LETTER TO U.S. CONTACT PERSONS IN COLLEGES,
UNIVERSITIES, AND RESEARCH INSTITUTIONS

APPENDIX E

COVER LETTER TO U.S. CONTACT PERSONS IN COLLEGES, UNIVERSITIES, AND RESEARCH INSTITUTIONS

August 1981

Dear

As you know, I am in the process of completing a doctoral program at Michigan State University in Comparative and International Education. As a thesis topic, I have chosen a subject area that closely follows my interest in China and which, hopefully, will also provide some insights in the area of current U.S.-China Exchanges.

I am conducting a survey of Chinese students and scholars in American universities and research institutes at some forty locations throughout the U.S. Your help in distributing the questionnaires to the students and scholars in your area is most appreciated. The questionnaire itself includes a note of explanation in English and Chinese. Per your indications and the best information I have, I enclose here copies of the survey.

Any person who is in the U.S. from the People's Republic of China on a study or research program is eligible to participate. It is especially desirable to reach both government-sponsored and privately sponsored persons who are engaged in research programs as well as in standard degree programs. Approximately 1000 questionnaires are being distributed with the hope that a high percentage of them will be returned. As a contact person who works closely with those Chinese students and scholars at your institution, your assistance in the distribution of the survey along with words of assurance to the scholars and students that their participation is voluntary and confidential will play a large part in the success of the project. Please feel free to contact me if you have further questions regarding the questionnaire itself or procedural matters.

Again, thank you for your willingness to cooperate in this survey. During the spring of 1982, after the completion of the dissertation, a summary report on the findings of the survey will be available upon request.

Sincerely yours,

Mary Kay Hobbs

Center for Teaching About China
407 S. Dearborn, Suite 945
Chicago, Illinois 60605
213-663-9608

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