



This is to certify that the

thesis entitled

DECOMPOSITIONS OF THE MAXIMAL IDEAL SPACE OF L^{∞}

presented by

Pamela Beth Gorkin

has been accepted towards fulfillment of the requirements for

Ph.D. degree in Mathematics

Shelden axles

Major professor

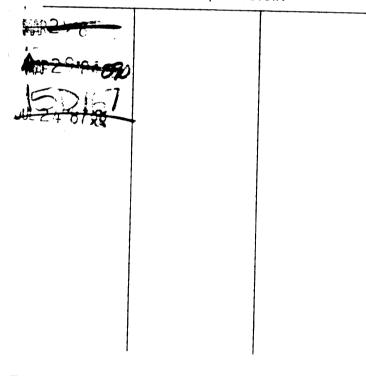
Date _____5/12/82_____

O-7639





RETURNING MATERIALS: Place in book drop to remove this checkout from your record. FINES will be charged if book is returned after the date stamped below.



DECOMPOSITIONS OF THE MAXIMAL IDEAL SPACE OF $\ L^{\infty}$

By

Pamela Beth Gorkin

A DISSERTATION

Submitted to Michigan State University in partial fulfillment of the requirements for the degree of

DOCTOR OF PHILOSOPHY

Department of Mathematics

ABSTRACT

DECOMPOSITIONS OF THE MAXIMAL IDEAL SPACE OF L

By

Pamela Beth Gorkin

Let L^{∞} be the Banach algebra of essentially bounded measurable functions on the unit circle and let $M(L^{\infty})$ denote the maximal ideal space of L^{∞} . In this paper we prove some results about $M(L^{\infty})$.

In Chapter 2 we show the existence of one point maximal antisymmetric sets for $H^{\infty} + C$, thus giving the first example of a maximal antisymmetric set that equals the support set of some multiplicative linear functional on $H^{\infty} + C$. We also show that each open set in a fiber contains a QC level set that is not a maximal antisymmetric set for $H^{\infty} + C$, extending a result due to D. Sarason [21].

In Chapter 3 using facts about the maximal ideal space of H^{∞} we prove some results about closed subalgebras of L^{∞} containing H^{∞} .

[21] D.Sarason, The Shilov and Bishop decompositions of $H^{\infty} + C$, to appear.

To my parents Anne and David Gorkin

.

.

ACKNOWLEDGEMENTS

I would like to thank my advisor, Sheldon Axler, for his guidance, encouragement and patience. He has been an excellent teacher as well as a good friend.

I am very grateful to Daniel Luecking and Thomas Wolff for valuable conversations. I would also like to thank my friends Ueli Daepp, Jim Hartman and Barbara MacCluer for their help and encouragement.

Finally, I would like to thank Cindy Lou Smith for typing this manuscript.

TABLE OF CONTENTS

Chapter	1	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	1
Chapter	2	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	8
Chapter	3	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	26
Bibliogr	aphy	,	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	38

CHAPTER 1

A complex Banach algebra B is a Banach space which is also a complex algebra such that the norm satisfies

$$\|fg\| \leq \|f\|\|g\|$$

for all functions f and g in B. The space of essentially bounded Lebesgue measurable functions on the unit circle, $\partial \mathbf{D}$, with normalized Lebesgue measure will be denoted by $\mathbf{L}^{\infty}(\partial \mathbf{D}, \frac{d\theta}{2\pi})$ or simply \mathbf{L}^{∞} . The space \mathbf{L}^{∞} is a Banach algebra when it is given pointwise multiplication and the essential supremum norm. Let $f \in \mathbf{L}^{\infty}$. We define f in the unit disc by

$$f(re^{i\theta}) = \frac{1}{2\pi} \int_{-\pi}^{\pi} f(t)P_{r}(\theta - t)dt$$

where $P_r(\theta) = \frac{1-r^2}{1-2r\cos\theta+r^2}$. The extended f is a bounded harmonic function in the open unit disc and, as $r \rightarrow 1$ the functions $f_r(\theta) = f(re^{i\theta})$ converge to f in the weak-star topology on L^{∞} . The space of continuous complex valued functions on ∂D will be denoted by C or C(∂D). We note that C is a uniformly closed subalgebra of L^{∞} , hence is also a Banach algebra. The space of bounded analytic functions on the unit disc D will be

denoted by either H^{∞} or $H^{\infty}(\mathbf{D})$. The space H^{∞} is a Banach algebra when it is given the norm $||f|| = \sup_{z \in \mathbf{D}} |f(z)|$. By Fatou's Theorem, a bounded analytic function on \mathbf{D} has radial limits almost everywhere. By identifying each H^{∞} function with its boundary function, H^{∞} is isometrically isomorphic to a uniformly closed subalgebra of L^{∞} . This space will also be denoted by H^{∞} . Once we have made this identification, we can describe another closed subalgebra of L^{∞} , the algebra $H^{\infty} + C = \{f+g: f \in H^{\infty}, g \in C\}$. Sarason [19] showed that $H^{\infty} + C$ is a closed subalgebra of L^{∞} . Finally, the largest C^* -subalgebra of $H^{\infty} + C$ will be denoted by QC. Thus $QC = H^{\infty} + C \cap \overline{H^{\infty} + C}$, where the bar denotes complex conjugation.

The maximal ideal space M(B) of a commutative Banach algebra B with a unit 1 is the set of multiplicative linear functionals (nonzero complex algebra homomorphisms) of B. There is a one to one correspondence between maximal ideals of B and kernels of multiplicative linear functionals on B, hence this space is identified with the space of maximal ideals in B. It is not difficult to show that for $\varphi \in M(B)$ $|\varphi(f)| \leq ||f||$ for all $f \in B$ and $\varphi(1) = 1$. Therefore M(B) is contained in the dual space B^* of B. We give M(B) the weak-* topology, so a net $\{\varphi_{\alpha}\}$ converges to φ if and only if $\varphi_{\alpha}(f) \rightarrow \varphi(f)$ for all $f \in B$. With this topology, M(B) is a compact Hausdorff space. For $f \in B$, the Gelfand transform of f is the complex valued function $\bigwedge_{f \in C(M(B))}$ defined by $\bigwedge_{f(\varphi)} = \varphi(f)$ for all $\varphi \in M(B)$. In the cases we are interested in here, the Gelfand transform is an isometry and we will sometimes write f for $\bigwedge_{f,}$ since the meaning will be clear from the context.

We begin by mentioning some facts about $M(H^{\infty})$. Further information is available in [9], [10] and [11]. For each point $\zeta \in \mathbb{D}$ there exists $\varphi_{\zeta} \in M(H^{\infty})$ such that $\varphi_{\zeta}(z) = \zeta$, where z denotes the function f(z) = z. In fact, the point $\varphi_{\zeta} \in M(H^{\infty})$ is uniquely determined by the condition $\varphi_{\zeta}(z) = \zeta$. Hence $\zeta \Rightarrow \varphi_{\zeta}$ defines an embedding of \mathbb{D} into $M(H^{\infty})$. This embedding is a homeomorphism. By identifying ζ with φ_{ζ} , we may regard \mathbb{D} as an open subset of $M(H^{\infty})$. The Gelfand transform of z defines a map $\sum_{z=1}^{\Lambda} M(H^{\infty}) \Rightarrow \overline{\mathbb{D}}$ which is onto. Thus we write $M(H^{\infty}) = \mathbb{D} \cup \{\varphi \in M(H^{\infty}) : |\varphi(z)| = 1\}$. The Corona Theorem [5] states that \mathbb{D} is dense in $M(H^{\infty})$.

We shall also be interested in $M(L^{\infty})$. Since L^{∞} is a C^* -algebra, L^{∞} is isometrically isomorphic (via the Gelfand transform) to $C(M(L^{\infty}))$. In [9] and [11] it is shown that $M(L^{\infty})$ is a totally disconnected, in fact, extremally disconnected, compact Hausdorff space. For these and other relevant facts about the topology of $M(L^{\infty})$ the reader is referred to [9] and [11].

For each $\varphi \in M(H^{\infty})$, there is a unique positive Borel measure μ_{ϖ} on $M(L^{\infty})$ such that

$$\varphi(f) = \int_{M(L^{\infty})} f d\mu_{\varphi} \quad \text{for all} \quad f \in H^{\infty}.$$

If $\varphi \in M(H^{\infty} + C)$ the closed support of μ_{φ} is denoted supp μ_{φ} , or simply supp φ .

Let B denote a closed subalgebra of L^{∞} containing the constant functions which separates the points of $M(L^{\infty})$. A closed subset $S \subseteq M(L^{\infty})$ is called a peak set for B if there is a function $f \in B$ such that $\hat{f}(\varphi) = 1$ for $\varphi \in S$ and $|\hat{f}(\psi)| < 1$ for $\psi \in M(L^{\infty}) \sim S$. The function f is said to be a peaking function for S. A closed subset S of $M(L^{\infty})$ is called a weak peak set for B if it is the intersection of peak sets. If S is a weak peak set for B, then the restriction algebra $B \mid S$ is a Banach algebra [9, p.57].

Let B denote a closed subalgebra of L^{∞} containing the function z. For $\lambda \in \partial \mathbb{D}$ we let $M_{\lambda}(B) =$ $\{\varphi \in M(B) : \varphi(z) = \lambda\}$. We call $M_{\lambda}(B)$ the B-fiber over λ . It is not hard to show that $M(L^{\infty}) = \bigcup M_{\lambda}(L^{\infty})$ and $\lambda \in \partial \mathbb{D}$ $M(H^{\infty}) = \mathbb{D} \cup \bigcup \{\varphi \in M(H^{\infty}) : \varphi(z) = \lambda\}$. Furthermore, $\lambda \in \partial \mathbb{D}$ $M(H^{\infty} + C) = \bigcup \{\varphi \in M(H^{\infty}) : \varphi(z) = \lambda\} = M(H^{\infty}) \sim \mathbb{D}$.

The L^{∞} -fiber over λ is a weak peak set for H^{∞} , hence for $H^{\infty} + C$. Note that z is constant on each fiber. Therefore each polynomial is also constant on each fiber. By the Stone-Weierstrass Theorem, we see that any continuous function f is constant on each fiber and its value on the fiber over λ is simply $f(\lambda)$. Therefore $H^{\infty} + C \mid M_{\lambda}(L^{\infty}) =$ $H^{\infty} \mid M_{\lambda}(L^{\infty})$.

There are several other important decompositions of $M(L^{\infty})$. The first that we shall discuss here is the Shilov decomposition of $M(L^{\infty})$. For $\psi \in M(L^{\infty})$ we let $E_{\psi} = \{\varphi \in M(L^{\infty}) : \varphi(q) = \psi(q) \text{ for all } q \in QC\}$. We call E_{ψ} the QC level set corresponding to ψ . For $t \in M(QC)$ we may also write

$$E_{+} = \{ \varphi \in M(L^{\omega}) : \varphi(q) = t(q) \text{ for all } q \in QC \}$$
.

In this case we call E_t the QC level set corresponding to t. Each QC level set is contained in some L^{∞} fiber. We have the following theorem of Shilov [22]:

<u>Theorem 1.1</u>. Let $f \in L^{\infty}$. If for each QC level set, E_{ψ} , there exists a function $g \in H^{\infty}$ such that $f \mid E_{\psi} = g \mid E_{\psi}$, then $f \in H^{\infty} + C$.

The second decomposition is Bishop's decomposition of $M(L^{\infty})$. Before stating Bishop's theorem, we need to define the notion of an antisymmetric set. A set $S \subseteq M(L^{\infty})$ is called an antisymmetric set for $H^{\infty} + C$ if whenever $f \in H^{\infty} + C$ and $f \mid S$ is real valued, then $f \mid S$ is constant. A maximal antisymmetric set for $H^{\infty} + C$ is a weak peak set for $H^{\infty} + C$.

Note that each antisymmetric set is contained in some QC level set and it is easy to see that if $\{S_{\alpha}\}$ denotes the set of maximal antisymmetric sets for $H^{\infty} + C$, then $M(L^{\infty}) = \bigcup_{\alpha} S_{\alpha}$. Bishop's theorem [3] says the following: <u>Theorem 1.2</u>. Let $\{S_{\alpha}\}$ denote the maximal antisymmetric sets for $H^{\infty} + C$. If $f \in L^{\infty}$ is such that for each maximal antisymmetric set S_{α} there exists $g \in H^{\infty}$ with $f \mid S_{\alpha} = g \mid S_{\alpha}$, then $f \in H^{\infty} + C$.

Sarason [21] has given an example of a QC level set that is not an antisymmetric set for $H^{\infty} + C$. Thus Bishop's decomposition for $M(L^{\infty})$ is strictly finer than Shilov's decomposition for $M(L^{\infty})$.

The third theorem along these lines is due to Sarason [20].

<u>Theorem 1.3</u>. Let $f \in L^{\infty}$. If for each $\varphi \in M(H^{\infty} + C)$ there exists $g \in H^{\infty}$ such that $f \mid \text{supp } \varphi = g \mid \text{supp } \varphi$, then $f \in H^{\infty} + C$.

The relationship of Sarason's theorem to the others is not clear. However, one can say something about how Sarason's theorem relates to Bishop's theorem. Each support set is an antisymmetric set for $H^{\infty} + C$. Thus Sarason's theorem is a refinement of Bishop's theorem. In [20] and [21] Sarason asked for the precise relation between support sets and sets of antisymmetry for $H^{\infty} + C$. Is every maximal antisymmetric set for $H^{\infty} + C$ the support set of a multiplicative linear functional on $H^{\infty} + C$? This question is still open. In fact it was unknown whether any maximal antisymmetric set equals the support set of a multiplicative linear functional on $H^{\infty} + C$. We shall show

the existence of a maximal antisymmetric set consisting of a single point. The relation of this result to Sarason's question is indicated below.

Let $\varphi \in M(L^{\infty})$. Then point evaluation at φ is a positive measure and is the unique positive measure satisfying $\varphi(f) = \int_{M(L^{\infty})} fd\mu_{\varphi}$ for all $f \in H^{\infty}$. The closed support of this measure is $\{\varphi\}$. Thus a maximal antisymmetric set for $H^{\infty} + C$ consisting of a single point must be a support set. We will give many examples of one point maximal anitsymmetric sets and will show that many of these are contained in QC level sets consisting of more than one point.

In Chapter 3 we use some of these results to obtain information about closed subalgebras of L^{∞} containing H^{∞} .

CHAPTER 2

The following theorem is the main result of this chapter .

<u>Theorem 2.1</u>. Let $\{\lambda_n\}$ be a sequence of distinct points of $\partial \mathbf{D} \in \{1\}$ with $\lambda_n \to 1$. Let $\psi_n \in \mathcal{M}_{\lambda_n}(\mathbf{L}^{\infty})$ and $\psi \in \overline{\{\psi_n\}}^{M(\mathbf{L}^{\infty})} \cap \mathcal{M}_1(\mathbf{L}^{\infty})$. Then $\{\psi\}$ is a maximal antisymmetric set for $\mathbb{H}^{\infty} + \mathbb{C}$.

An unpublished result of K. Hoffman shows that any point of $M(L^{\infty})$ in the closure of a sequence of points from distinct L^{∞} fibers is a maximal support set. Our proof is independent of this fact, although Hoffman's result follows easily from Theorem 2.1.

In order to prove Theorem 2.1, we need the result given below.

<u>Theorem 2.2</u>. Let $\{\lambda_n\}$ be a sequence of distinct points of $\partial D \sim \{1\}$ such that $\lambda_n \rightarrow 1$. Let $\{I_n\}$ be a sequence of intervals of ∂D with $\overline{I_n} \cap \bigcup \overline{I_m} = \phi$ and $\lambda_n \in I_n$. Then there exists $q \in QC$ satisfying:

(1) q is continuous except at $\lambda = 1$;

(2) $|\arg q(\lambda_n) - \pi| < \frac{1}{4}$ for all n; (3) $|\arg q(\lambda)| < \frac{1}{4}$ for $\lambda \in \partial \mathbb{D} \sim \overline{\cup I_n}$.

The proof will be in two parts. The first part is Lemma 2.3 given below. In what follows we let \tilde{u} denote the harmonic conjugate of u. The space of continuous real valued functions with continuous first derivatives will be denoted by $C_{\mathbb{R}}^{1}$. If $u \in C_{\mathbb{R}}^{1}$, then \tilde{u} is a real valued continuous function [11, p.79].

Lemma 2.3. Let I be an open interval contained in ∂ D and let $w \in I$. Then given $\varepsilon > 0$ and $\lambda_0 \in \mathbb{R}^+$, there exists $u \in C^1_{\mathbb{R}}$ with $||u||_{\infty} < \varepsilon$, $|\tilde{u}(z)| < \varepsilon$ for $z \in \partial$ D ~ I and $\tilde{u}(w) = \lambda_0$.

<u>Proof</u>: By choosing $\delta > 0$ sufficiently small and rotating, we may assume that w = 1 and $I = \{e^{i\theta} : -2\delta < \theta < 2\delta\}$. It is enough to show that there exists $v \in C^1_{\mathbb{R}}$ with $\|v\|_{\infty} < 1$, $|\tilde{v}(z)| \le 1$ for $z \in \partial \mathbb{D} \sim I$ and $\tilde{v}(1) = \frac{\lambda_0}{\epsilon}$, for then $u = \frac{\lambda_0 v}{\tilde{v}(1)}$ satisfies $\|u\|_{\infty} < \epsilon$, $|\tilde{u}(z)| < \epsilon$ for $z \in \partial \mathbb{D} \sim I$ and $\tilde{u}(1) = \lambda_0$. $1 = (\frac{1}{2})^{\frac{1}{x}}$

It is not hard to show that $\lim_{x \to \infty} \frac{1 - (\frac{1}{k})^{\frac{1}{x}}}{\frac{1}{x}} = \ln k$ for

k > 0. We use this fact below. To find v, let $\varepsilon > 0$ and $\lambda_0 \in \mathbb{R}^+$ be given. Choose k so that $\ln k > \frac{2\pi\lambda_0}{\varepsilon \tan \frac{\delta}{2}}$.

Choose an odd integer m satisfying

(i)
$$m[1-(\frac{1}{k})^{\frac{1}{m}}] > \frac{2\pi\lambda_0}{\epsilon \tan \frac{\delta}{2}}$$
, (ii) $\frac{1}{m} < \delta$ and (iii) $\cos(\frac{1}{2m}) > \frac{1}{2}$.
Let $v(z) = \begin{cases} 0 \quad \text{if } z \in \partial D \sim \frac{1}{2} \\ (-\frac{1}{2} \tan \frac{\delta}{2})(mt)^{\frac{1}{m}} \quad \text{if } z = e^{\text{it}} \in \{e^{\text{is}}: \frac{1}{km} < s < \frac{1}{m}\} \end{cases}$

and extend v so that $v \in C^{1}_{\mathbb{R}}$, v(1) = 0, $v(e^{is}) \leq 0$ for $0 \leq s \leq \pi$, $v(e^{-is}) = -v(e^{is})$, and $||v||_{\infty} < \tan \frac{\delta}{2} \leq 1$. Writing $v(\theta)$ for $v(e^{i\theta})$ we have [11, p.79]

$$\tilde{v}(0) = \int_{-\pi}^{\pi} \frac{v(-t) - v(t)}{2 \tan \frac{t}{2}} \frac{dt}{2\pi} = 2 \int_{0}^{\pi} \frac{v(-t)}{\tan \frac{t}{2}} \frac{dt}{2\pi}$$

$$\geq 2 \int_{\frac{1}{km}}^{\frac{1}{m}} \frac{v(-t)}{\tan \frac{t}{2}} \frac{dt}{2\pi} = 2 \int_{\frac{1}{km}}^{\frac{1}{m}} \frac{(\frac{1}{2} \tan \frac{\delta}{2})(mt)^{\frac{1}{m}}}{\sin \frac{t}{2}} \cos \frac{t}{2} \frac{dt}{2\pi}$$

$$\geq \frac{1}{2} \int_{\frac{1}{km}}^{\frac{1}{m}} \frac{\tan \frac{\delta}{2} m^{\frac{1}{m}} t^{\frac{1}{m}}}{\frac{t}{2}} \frac{dt}{2\pi} = (\tan \frac{\delta}{2})m^{\frac{1}{m}} \int_{\frac{1}{km}}^{\frac{1}{m}} t^{\frac{1}{m}-1} \frac{dt}{2\pi}$$

$$= \frac{(\tan \frac{\delta}{2})m^{\frac{1}{m}+1}}{2\pi} [(\frac{1}{m})^{\frac{1}{m}} - (\frac{1}{k})^{\frac{1}{m}} (\frac{1}{m})^{\frac{1}{m}}]$$

$$= \frac{(\tan \frac{\delta}{2})}{2\pi} \quad m[1 - (\frac{1}{k})^{\frac{1}{m}}] > \frac{\lambda_0}{\epsilon}$$

Hence $\tilde{v}(0) > \frac{\lambda_0}{\varepsilon}$.

Suppose $z = e^{i\theta} \notin I$. Since the (closed) support of v is contained in $\frac{I}{2}$ we have

.

$$\begin{split} |\tilde{\mathbf{v}}(\theta)| \leq \int_{-\pi}^{\pi} \left| \frac{\mathbf{v}(\theta+\mathbf{t}) - \mathbf{v}(\theta-\mathbf{t})}{2 \tan \frac{\mathbf{t}}{2}} \right| & \frac{d\mathbf{t}}{2\pi} \\ &= \int_{|\mathbf{t}| \leq \delta} \left| \frac{\mathbf{v}(\theta+\mathbf{t}) - \mathbf{v}(\theta-\mathbf{t})}{2 \tan \frac{\mathbf{t}}{2}} \right| & \frac{d\mathbf{t}}{2\pi} + \int_{\delta < |\mathbf{t}| \leq \pi} \left| \frac{\mathbf{v}(\theta+\mathbf{t}) - \mathbf{v}(\theta-\mathbf{t})}{2 \tan \frac{\mathbf{t}}{2}} \right| & \frac{d\mathbf{t}}{2\pi} \\ &= \int_{\delta \leq |\mathbf{t}| \leq \pi} \left| \frac{\mathbf{v}(\theta+\mathbf{t}) - \mathbf{v}(\theta-\mathbf{t})}{2 \tan \frac{\mathbf{t}}{2}} \right| & \frac{d\mathbf{t}}{2\pi} \leq \frac{||\mathbf{v}||_{\infty}}{\tan \frac{\delta}{2}} \leq 1 \end{split}$$

Therefore $|\tilde{v}(z)| \leq 1$ if $z \in \partial D \sim I$, as desired.

Proof of Theorem 2.2: Given intervals I_n with $I_n \cap \bigcup_{m \neq n} \overline{I_m} = \phi$, $\lambda_n \in I_n$ and $\lambda_n \neq 1$ choose functions $u_n \in C_{\mathbb{R}}^1$ with $||u_n||_{\infty} < \frac{1}{2^{n+3}}$, $|\tilde{u}_n(z)| < \frac{1}{2^{n+3}}$ for $z \in \partial \mathbb{D} \sim I_n$ and $\tilde{u}_n(\lambda_n) = (2n+1)\pi$. Let $u = \sum_{n=1}^{\infty} u_n$. Then $u \in C_{\mathbb{R}}$ and since the map $T: L^2 \neq L^2$ defined by $T(f) = \tilde{f}$ is continuous, $\tilde{u} = \sum_{n=1}^{\infty} \tilde{u}_n$ in L^2 norm. Since each $u_n \in C_{\mathbb{R}}^1$, $\tilde{u}_n \in C_{\mathbb{R}}$. It is easy to see that $\{\sum_{n=1}^m \tilde{u}_n\}_m^1$ converge uniformly to \tilde{u} on compact subsets of $\partial \mathbb{D} \sim \{1\}$. Hence \tilde{u} is continuous except possibly at $\lambda = 1$. Let $q = e^{i\tilde{u}}$. Then $q = e^{u + i\tilde{u}} e^{-u} \in H^{\infty} + C$ and $\overline{q} = e^{-u - i\tilde{u}} e^u \in H^{\infty} + C$. Therefore $q \in QC$.

For any n we have

$$|\arg q(\lambda_{n}) - \pi| = |\arg e^{i\widetilde{u}(\lambda_{n})} - \pi| = |\arg e^{i\sum_{m} \widetilde{u}_{m}(\lambda_{n})} - \pi|$$
$$= |\arg e^{i[(2n+1)\pi + \sum_{m \neq n} \widetilde{u}_{m}(\lambda_{n})]} - \pi|$$
$$= |\arg e^{i\sum_{m \neq n} \widetilde{u}_{m}(\lambda_{n})} - \pi| < \frac{1}{4}$$

and if $\lambda \in \partial \mathbb{D} \sim \overline{\bigcup \mathbb{I}_n}$, then $i \sum \widetilde{u}_m(\lambda)$ $|\arg q(\lambda)| = |\arg e^{\mathsf{m}} | < \frac{1}{4}$.

Before we present the proof of Theorem 2.1 we prove a corollary of Theorem 2.2 that will be used frequently.

<u>Corollary 2.4</u>. Let $t \in M_1(QC)$ and $\{\lambda_n\}$ be a sequence of distinct points of $\partial D \sim \{1\}$ such that t is in the M(QC) closure of a sequence of points $\{t_n\}$, where $t_n \in M_{\lambda_n}(L^{\infty})$ and $\lambda_n \rightarrow 1$. Then $E_t \subseteq \bigcup M_{\lambda_n}(L^{\infty})$.

<u>Proof</u>: Suppose $\varphi \in M(L^{\infty}) \xrightarrow{} \bigcup M_{\lambda_{n}}(L^{\infty})$. If $\varphi \in M(L^{\infty}) \xrightarrow{} M_{1}(L^{\infty})$, then $\varphi \in M(L^{\infty}) \xrightarrow{} E_{t}$. Therefore we may assume that $\varphi \in M_{1}(L^{\infty})$. Since $M(L^{\infty})$ has a basis of clopen sets (sets that are both closed and open), we can find a clopen set $F \subseteq M(L^{\infty})$ with $\varphi \in F \subseteq M(L^{\infty}) \xrightarrow{} \bigcup M_{\lambda_{n}}(L^{\infty})$. For each n, $M_{\lambda_{n}}(L^{\infty}) \subseteq M(L^{\infty}) \xrightarrow{} F$ and therefore $\stackrel{\sim}{\bigcup} \{\varphi' \in M(L^{\infty}) : |\varphi'(z) - \lambda_{n}| > \frac{1}{m}\} \supseteq F$. Since F is compact, m=1 there exists N such that $\stackrel{N}{\cap} \{\varphi' \in M(L^{\infty}) : |\varphi'(z) - \lambda_{n}| \le \frac{1}{m}\}$ is contained in $M(L^{\infty}) \sim F$. Thus there exists an interval I_n with $\lambda_n \in I_n$ satisfying $M_{\lambda}(L^{\infty}) \subseteq M(L^{\infty}) \sim F$ for all $\lambda \in \overline{I_n}$. By choosing I_n sufficiently small we may assume that $\overline{I_n} \cap \overline{\bigcup} \overline{I_m} = \phi$. Note that $(*) \bigcup \{M_{\lambda}(L^{\infty}) : \lambda \in \overline{I_n}\} \subseteq n$ $M(L^{\infty}) \sim F$. Thus there is a QC function q satisfying conditions (1) - (3) of Theorem 2.2.

For any n and any $\psi \in M_{\lambda_n}(L^{\infty})$ we have, by (1) and (2) of Theorem 2.2, that $|\arg q(\psi) - \pi| \leq \frac{1}{4}$. Passing to M(QC) we have $|\arg q(t) - \pi| \leq \frac{1}{4}$ for any $t \in M_{\lambda_n}(L^{\infty})$. Therefore for any $\psi' \in E_t$ we have $|\arg q(\psi') - \pi| \leq \frac{1}{4}$.

To see that $\varphi \in M(L^{\infty}) \sim E_t$ we shall show that $|\arg q(\varphi)| \leq \frac{1}{4}$. Choose $\varepsilon > 0$ and let $F_{\varepsilon} = \{\eta \in M(L^{\infty}) : |\arg \varphi(q) - \arg \eta(q)| < \varepsilon\}$. Then $F_{\varepsilon} \cap F$ is an open set in $M(L^{\infty})$ containing φ . We claim that there exists $\lambda_0 \neq 1$ such that $M_{\lambda_0}(L^{\infty}) \cap F \cap F_{\varepsilon} \neq \phi$. To establish this it is enough to show the following:

<u>Claim</u> $M_1(L^{\infty})$ has no interior in $M(L^{\infty})$.

<u>Proof of claim</u>: Suppose F is a clopen subset of $M(L^{\infty})$ with $F \subseteq M_1(L^{\infty})$. Then $\chi_F \in C(M(L^{\infty}))$. Hence there exists a measurable set $E \subseteq \partial D$ of positive measure such that $\chi_F = \bigwedge_E^{\Lambda}$. If f is a nonconstant function in H^{∞} such that f is continuous at 1, then f is constant on $M_1(L^{\infty})$. Therefore f is constant on F. Hence f is constant on E. Since E has positive measure, f must be a constant function. This contradiction establishes the claim.

Choose $\lambda_0 \in \partial$ **D** satisfying $\lambda_0 \neq 1$ and $M_{\lambda_0}(L^{\infty}) \cap F \cap F_{\varepsilon} \neq \phi$. By (*), $\lambda_0 \in \partial$ **D** ~ \bigcup I_n . Hence $|\arg q(\lambda_0)| \leq \frac{1}{4}$. Let $\psi_{\varepsilon,0} \in M_{\lambda_0}(L^{\infty}) \cap F \cap F_{\varepsilon}$. Then $|\arg \psi_{\varepsilon,0}(q)| \leq \frac{1}{4}$. Therefore $|\arg \varphi(q)| \leq \frac{1}{4} + \varepsilon$. Since ε was arbitrary $|\arg \varphi(q)| \leq \frac{1}{4}$. Therefore $\varphi \in M(L^{\infty}) \sim E_{t}$, so $M(L^{\infty}) \sim (\bigcup M_{\lambda}(L^{\infty})) \subseteq M(L^{\infty}) \sim E_{t}$ which implies the result.

<u>Proof of Theorem 2.1</u>. Choose $\varphi \in M(L^{\infty})$ with $\varphi \neq \psi$ such that φ and ψ are in the same QC level set. If no such φ exists, then $E_{\psi} = \{\psi\}$ and hence the maximal antisymmetric set containing ψ , S_{ψ}, satisfies S_{ψ} = { ψ } and we are done. We assume then that such a ϕ exists. Since $\varphi \neq \psi$, there exists a clopen set F with $\varphi \in F$ and $\psi \in M(L^{\infty}) \sim F$. Thus passing to a subsequence of $\{\psi_n\}$ if necessary, we may assume that $\overline{\{\psi_n\}} \subseteq M(L^{\infty}) \sim F$. By a theorem of Axler [1] for each n we can find $f_n \in H^{\infty} + C$ with $||f_n||_{\infty} = 1$ such that $|\psi_n(f_n)| = 1$ and $\eta(f_n) = 0$ for all $\eta \in F$. Using an idea of Sarason we let G_n denote the open ellipse with major axis [-1,1] and minor axis [-i/n, i/n]. Let T_n denote a conformal mapping of the open unit disc D onto G_n such that $T_n(0) = 0$ and by [18, p.309] we may assume $T_n \in C$. Choose $z_n \in D$ with $|z_n| > \frac{n}{n+1}$, $T_n(z_n)$ real and $T_n(z_n) > \frac{n}{n+1}$. By multiplying f_n by a constant of modulus one, we may assume that $|z_n|\psi_n(f_n) = z_n$.

Since $H^{\infty} + C | M_{\lambda_n}(L^{\infty}) = H^{\infty} | M_{\lambda_n}(L^{\infty})$, there exists an H^{∞} function whose restriction to $M_{\lambda_n}(L^{\infty})$ is $f_n | M_{\lambda_n}(L^{\infty})$. Multiplying that function by a suitable peaking function for $M_{\lambda_n}(L^{\infty})$ we obtain a function $g_n \in H^{\infty}$ such that $||g_n||_{\infty} < \frac{1}{|z_n|}$ and $g_n | M_{\lambda_n}(L^{\infty}) = f_n | M_{\lambda_n}(L^{\infty})$. Thus $T_n \circ (|z_n|g_n) \in H^{\infty}$. Let $\eta \in M(L^{\infty})$. We claim that $\eta(T_n \circ |z_n|g_n) = T_n(|z_n|\eta(g_n))$. To see this, note that T_n is a uniform limit of polynomials $p_{m,n}$. If $f \in H^{\infty}$ with $||f||_{\infty} < 1$, then $\eta(T_n \circ f) = \eta(\lim_m p_{m,n}(f)) =$ $\lim_m p_{m,n}(\eta(f)) = T_n(\eta(f))$. Therefore for each n we have $\psi_n(T_n \circ |z_n|g_n) = T_n(|z_n|\psi_n(g_n))$

$$= T_n(|z_n|\psi_n(f_n)) = T_n(z_n) > \frac{n}{n+1}.$$

If
$$\tau \in F \cap M_{\lambda_n}(L^{\infty})$$
 for some n, then
 $\tau(T_n \circ |z_n|g_n) = T_n(|z_n|\tau(f_n)) = T_n(0) = 0$

For each λ_n , choose intervals I_n centered at λ_n with $I_n \cap \bigcup_{m \neq n} I_m = \phi$ where the Lebesgue measure of I_n , $|I_n|$, satisfies $|I_n| < \frac{1}{2^{n+4}}$ and $1 \in \partial \mathbb{D} \sim (\bigcup I_n)$. Let $\mathcal{O}(I_n) = \{z \in \overline{\mathbb{D}} : |z - \lambda_n| < \frac{|I_n|}{2}\}$ and let h_n be a peaking function for $\mathcal{M}_{\lambda_n}(L^{\infty})$. By raising h_n to a sufficiently large power we may assume that $||h_n| = \overline{\mathbb{D}} \sim \mathcal{O}(I_n)||_{\infty} < \frac{1}{2^{n+4}}$.

Let K_n be a Möbius transformation such that $K_n(1) = 0$ and $K_n(\lambda_n) = 1$. Let $\ell_n = h_n(T_n \circ |z_n|g_n)K_n$. Then

(1)
$$l_n \mid M_{\lambda_n}(L^{\infty}) = (T_n \circ |z_n|g_n) \mid M_{\lambda_n}(L^{\infty})$$
 for all n

and

(2)
$$\|\boldsymbol{l}_n | \overline{\mathbf{D}} \sim \mathcal{O}(\mathbf{I}_n) \|_{\infty} < \frac{1}{2^{n+4}}$$
.

Let $L_m = \sum_{n=1}^m \ell_n$ and $L = \sum_{n=1}^\infty \ell_n$. It is easy to see that L_m converges to L uniformly on compact subsets of $\overline{D} - \{1\}$. Furthermore, $\|L_m\| \leq 2$ and thus $L \in H^{\infty}(D)$.

To see that $L \mid E_t$ is real valued, let $\varepsilon > 0$ be given. Choose N such that $\sum_{n=N}^{\infty} \frac{1}{2^n} < \frac{\varepsilon}{3}$. Let I be an open interval of ∂D containing 1 such that $\max_{\substack{max \\ l \leq j \leq N}} ||K_j|| I||_{\infty} < \frac{\varepsilon}{3N}$. Then $||l_j|| I||_{\infty} < \frac{\varepsilon}{3N}$ j = 1, 2, ..., N. $l \leq j \leq N$ in the QC level set corresponding to ψ , E_{ψ} . Let $V = \{\eta \in M(L^{\infty}) : |\eta(L) - \psi_0(L)| < \frac{\varepsilon}{3}\} \cap \bigcup_{\lambda \in I} M_{\lambda}(L^{\infty})$. Then V is an open set about ψ_0 . By Corollary 2.4, there exists an integer m satisfying $m > \max(N, \frac{3}{\varepsilon})$ and such that $V \cap M_{\lambda_m}(L^{\infty}) \neq \phi$. Let $\varphi_0 \in V \cap M_{\lambda_m}(L^{\infty})$. Since $\sum_n l_n$ converges uniformly on \overline{I}_m , we have

$$\operatorname{Im} \varphi_{O}(L) = |\operatorname{Im} \sum_{n} \varphi_{O}(\boldsymbol{\ell}_{n})| = |\sum_{n=1}^{N} \operatorname{Im} \varphi_{O}(\boldsymbol{\ell}_{n}) + \operatorname{Im} \varphi_{O}(\boldsymbol{\ell}_{m})| + \sum_{\substack{n=N+1\\n \neq m}}^{\infty} \operatorname{Im} \varphi_{O}(\boldsymbol{\ell}_{n})| + \sum_{\substack{n=N+1\\n \neq m}}^{\infty} \operatorname{Im} \varphi_{O}(\boldsymbol{\ell}_{n})| + \sum_{\substack{n=N+1\\n \neq m}}^{\infty} |\operatorname{Im} \varphi_{O}(\boldsymbol{\ell}_{n})| + \sum_{\substack{n=N+1\\n \neq m}}^{\infty} |\operatorname{Im} \varphi_{O}(\boldsymbol{\ell}_{n})| + \sum_{\substack{n=N+1\\n \neq m}}^{\infty} |\operatorname{Im} \varphi_{O}(\boldsymbol{\ell}_{n})|| + \sum_{\substack{n=N+1\\n \neq m}}^{\infty} |\operatorname{Im} \varphi_{O}(\boldsymbol{\ell$$

$$\leq \sum_{n=1}^{N} \frac{\epsilon}{3N} + |\operatorname{Im}(\operatorname{T}_{m} \circ (\varphi_{O}(|z_{m}|g_{m}))| + \\ + \sum_{\substack{n=N+1 \\ n \neq m}} \frac{1}{2^{n+4}}$$

$$< \frac{\varepsilon}{3} + \frac{1}{m} + \frac{\varepsilon}{3} < \varepsilon$$
.

Therefore $|\operatorname{Im} \psi_0(L)| < \frac{4\varepsilon}{3}$. Since ε was arbitrary, $\psi_0(L)$ must be real valued.

Recall that we chose φ to be a point in $M(L^{\infty})$ with $\varphi \neq \psi$ such that φ and ψ are in the same QC level set and F was a clopen subset of $M(L^{\infty})$ with $\varphi \in F$ and $\psi \in \overline{\{\psi_n\}}^{M(L^{\infty})} \subseteq M(L^{\infty}) \sim F$. Since $\{\eta \in M(L^{\infty}) : |\eta(L) - \psi(L)| < \frac{1}{8}\}$ is an open subset containing ψ , there exists n with $n \ge 7$ and $\psi_n \in M_{\lambda_n}(L^{\infty})$ such that $|\psi_n(L) - \psi(L)| < \frac{1}{8}$. Thus

$$|\psi_{n}(L)| = |\psi_{n}(L_{n}) + \sum_{m \neq n} \psi_{n}(L_{m})| \ge \frac{n}{n+1} - \frac{1}{4} \ge \frac{7}{8} - \frac{1}{4} = \frac{5}{8}$$

Therefore $|\psi(L)| \geq \frac{1}{2}$.

To determine $\varphi(L)$, note that $U = \{\eta \in M(L^{\infty}) : |\eta(L) - \varphi(L)| < \frac{1}{8}\} \cap F$ is an open set in $M(L^{\infty})$ containing φ . By Corollary 2.4 there exists m such that $M_{\lambda_m}(L^{\infty}) \cap U \neq \varphi$. Let $\varphi_m \in M_{\lambda_m}(L^{\infty}) \cap U$. Then we have

$$|\varphi_{\mathbf{m}}(\mathbf{L})| = |\varphi_{\mathbf{m}}(\boldsymbol{\ell}_{\mathbf{m}}) + \sum_{n \neq m} \varphi_{\mathbf{m}}(\boldsymbol{\ell}_{\mathbf{n}})| \le |\varphi_{\mathbf{m}}(\boldsymbol{\ell}_{\mathbf{m}})| + \sum_{n \neq m} |\varphi_{\mathbf{m}}(\boldsymbol{\ell}_{\mathbf{n}})| \le 0 + \frac{1}{4}$$

Therefore $|\varphi(L)| \leq \frac{3}{8}$ and $\psi(L) \neq \varphi(L)$.

The maximal antisymmetric set S_{ψ} containing ψ is contained in E_{ψ} , so L | S is real valued. Thus L | S is constant. Therefore $\varphi \notin S$. Since φ was an arbitrary point of E_{ψ} distinct from ψ , $S = \{\psi\}$ and the proof is complete.

We will show that many of the points that are in the $M(L^{\infty})$ closure of a sequence of points from distinct L^{∞} fibers are contained in QC level sets consisting of more than one point. We will also show that not every QC level set contains such a point. Before proceeding to the proofs of these results, some related results must be presented.

We call a sequence $\{z_j\}_{j=1}^{\infty}$ of distinct points in \mathbb{D} an interpolating sequence if whenever $\{w_j\}_{j=1}^{\infty}$ is a bounded sequence of complex numbers, there exists a function $f \in H^{\infty}$ with $f(z_j) = w_j$ for all j. It is well known [4] that a sequence $\{z_j\}_{j=1}^{\infty}$ is an interpolating sequence if and only if there exists a constant $\delta > 0$ such that $\prod_{\substack{j \neq k}} \left| \frac{z_k - z_j}{1 - \overline{z}_j z_k} \right| \ge \delta > 0$ for k = 1, 2, 3, ... A Blaschke product with zeroes $\{z_j\}_{j=1}^{\infty} \subseteq \mathbb{D}$ is a function $b \in H^{\infty}(\mathbb{D})$ of the form

$$b(z) = \lambda \prod_{j \in \mathbb{Z}} \frac{-\overline{z}_{j}}{|z_{j}|} \frac{z - z_{j}}{1 - \overline{z}_{j} z} \text{ for } z \in \mathbb{D}$$

where $|\lambda| = 1$ and $\sum_{n} (1 - |z_n|) < \infty$. If the zeroes of n

b form an interpolating sequence, b is called an interpolating Blaschke product. A Blaschke product is an inner function, so that $|b(e^{i\theta})| = 1$ for almost all θ . A great deal of information has been obtained about interpolating Blaschke products. In [14] P. Jones showed that the interpolating Blaschke products separate the points of $M(H^{\infty})$. We will use the theorem stated below. The proof of this theorem is given in [11, p.205].

<u>Theorem 2.5</u>. Let $\{z_j\}_{j=1}^{\infty}$ be an interpolating sequence and let b be the Blaschke product with zeroes $\begin{bmatrix} & & & \\ & & \\ & & \\ & & \\ \end{bmatrix}_{j=1}^{\infty}$. Then $\{z_j\}_{j=1}^{\infty}$ is homeomorphic to the stone-Cech compactification of $\{z_j\}_{j=1}^{\infty}$ and every zero of b in $M(H^{\infty}) \sim ID$ is in the $M(H^{\infty})$ closure of $\{z_j\}_{j=1}^{\infty}$.

The following lemma was proven by K. Clancey and J.A. Gosselin [7].

Lemma 2.6. Let u be an inner function. If $t \in M(QC)$ with $u \mid E_t$ invertible in $H^{\infty} \mid E_t$, then $u \mid E_t$ is constant. In fact $\{t \in M(QC) : u \mid E_t \text{ is constant}\} =$ $\{t \in M(QC) : u \mid E_t \text{ is invertible in } H^{\infty} \mid E_t\}$ is an open set in M(QC).

This was also proven by R.G. Douglas in [8]. Using Lemma 2.6 together with the following result of D.E. Marshall [16, p.15], we prove a similar result about characteristic functions. In what follows $H^{\infty}[f]$ denotes the closed subalgebra of L^{∞} generated by H^{∞} and f.

<u>Theorem 2.7</u>. Let χ_E be a nonconstant characteristic function in L^{∞} . Then there is an inner function u such that

$$H^{\infty}[\chi_{E}] = H^{\infty}[\overline{u}] .$$

<u>Theorem 2.8</u>. Let χ_E be a nonconstant characteristic function in L^{∞} . If $\chi_E \mid E_{t_0} \in H^{\infty} \mid E_{t_0}$ for some QC level set E_{t_0} , then $\chi_E \mid E_{t_0}$ is constant.

Sarason has given a proof of Theorem 2.8. Since his proof is unpublished we include a proof below. Our proof is different from Sarason's; his did not use Theorem 2.7.

<u>Proof</u>: By Theorem 2.7 there exists an inner function u such that $H^{\infty}[\chi_{E}] = H^{\infty}[\overline{u}]$. Therefore $M(H^{\infty}[\chi_{E}]) = M(H^{\infty}[\overline{u}])$. Thus $M(H^{\infty} | E_{t_{0}}) \subseteq M(H^{\infty}[\chi_{E}]) =$ $M(H^{\infty}[\overline{u}])$. Hence $|\varphi(u)| = 1$ for all $\varphi \in M(H^{\infty} | E_{t})$. Therefore $u | E_{t}$ is invertible in $H^{\infty} | E_{t}$. By Lemma 2.6 there exists \mathcal{O} open in M(QC) containing t_{O} with $\overline{u} | E_{t} \in H^{\infty} | E_{t}$ for all $t \in \mathcal{O}$. Let $q \in QC$ with $q(t_{O}) = 1$, q(s) = 0 for $s \in M(QC) \sim \mathcal{O}$ and $0 \leq q \leq 1$. Choose $\psi \in M(H^{\infty} + C)$. If $supp \ \psi \subseteq E_{s}$ and $s \in M(QC) \sim \mathcal{O}$, then $q | supp \ \psi = 0$ and therefore $q\chi_{E} | supp \ \psi = 0$. If $supp \ \psi \subseteq E_{t}$ and $t \in \mathcal{O}$, then $\overline{u} | supp \ \psi \in H^{\infty} | supp \ \psi$ and hence $\chi_{E} | supp \ \psi \in H^{\infty} | supp \ \psi$. By Theorem 1.3 By the Shilov Idempotent Theorem we obtain the corollary below, answering a question of R.G. Douglas in [8].

Corollary 2.9. If $t \in M(QC)$, then $M(H^{\infty}|E_t)$ is connected.

It is a consequence of the following result of T. Wolff [25] that any function $f \in L^{\infty}$ is constant on some QC level set.

<u>Theorem 2.10</u>. Let $f \in L^{\infty}$. There exists an outer function $q \in QC \cap H^{\infty}$ such that $qf \in QC$.

We will show that for any $\lambda \in \partial D$ and any clopen set F contained in $M_{\lambda}(L^{\infty})$ there exists a QC level set contained in F.

We will make frequent use of the following [21] and [24].

<u>Theorem 2.11</u>. Let f and g be functions in L^{∞} . If for each $\varphi \in M(H^{\infty} + C)$ either $f \mid \text{supp } \varphi \in H^{\infty} \mid \text{supp } \varphi$ or $g \mid \text{supp } \varphi \in H^{\infty} \mid \text{supp } \varphi$, then for each QC level set E_t either $f \mid E_t \in H^{\infty} \mid E_t$ or $g \mid E_t \in H^{\infty} \mid E_t$.

This theorem together with the following unpublished result of K. Hoffman provides us with much more information about the points in $M(L^{\infty})$ that are in the closure of a sequence of points from distinct L^{∞} fibers.

Theorem 2.12. Let $\{z_j\}_{j=1}^{\infty}$ be an interpolating sequence such that

$$\lim_{n \to \infty} \Pi \left| \frac{z_n - z_m}{1 - \overline{z}_m z_n} \right| = 1.$$

If $\varphi \in \overline{\{z_n\}}^{M(H^{\infty})}$ and $\varphi \in M(H^{\infty}+C)$, then $\operatorname{supp} \varphi$ is a maximal support.

<u>Theorem 2.13</u>. Let E be a nonempty clopen subset of $M_1(L^{\infty})$. Then E contains a QC level set that is not a maximal antisymmetric set for $H^{\infty} + C$.

We remark that Sarason [21] has shown that each L^{∞} fiber contains a QC level set that is not a maximal antisymmetric set for $H^{\infty} + C$. Some of the ideas used to prove Theorem 2.13 are similar to techniques communicated by T. Wolff (private communication).

<u>Proof</u>: Let F be a clopen subset of $M(L^{\infty})$ such that $E = F \cap M_1(L^{\infty})$. Then there exists a measurable subset G of ∂D of positive measure such that $\chi_F = \chi_G^{\wedge}$. Let $\{\lambda_n\}$ be a sequence of distinct points of ∂D with $\lambda_n = e^{i\theta_n} \rightarrow 1$ and $\lim_{r \rightarrow 1} \chi_G(re^{i\theta_n}) = 1$. We claim that there exists an $r \rightarrow 1$ interpolating sequence $\{z_m\}$ with the following properties:

(1)
$$\lim_{n \to \infty} \prod_{m \neq n} \left| \frac{z_n - z_m}{1 - \overline{z}_m z_n} \right| = 1$$

(2) $\{z_{m}\}$ is the disjoint union of interpolating sequences $\{z_{m,n}\}_{n=1}^{\infty}$ such that $z_{m,n} = r_{m,n}e^{i\theta_{n}}$ for suitable choices of $r_{m,n}$

and

(3)
$$\chi_{G}(z_{m}) \rightarrow 1$$
 as $m \rightarrow \infty$.

We construct such a sequence as follows: Let $z_1 = z_{1,1} = r_{1,1} = r_{1,1}e^{i\theta_1}$ where $0 < r_{1,1} < 1$. Choose $z_2 = z_{2,1} = r_{2,1}e^{i\theta_2}$ such that $\chi_G(z_2) > \frac{1}{2}$ and $\left|\frac{z_2 - z_1}{1 - \overline{z}_2 z_1}\right| > e^{-\frac{1}{2}}$. Choose $z_3 = z_{1,2} = r_{1,2}e^{i\theta_1}$ such that $\chi_G(z_3) > 1 - \frac{1}{3}$ and $\left|\frac{z_3 - z_1}{1 - \overline{z}_j z_3}\right| > e^{-\frac{1}{2^{3+j}}} j = 1,2$. We choose $z_4 = z_{2,2} = r_{2,2}e^{i\theta_2}$ satisfying $\chi_G(z_4) > 1 - \frac{1}{4}$ and $\left|\frac{z_4 - z_1}{1 - \overline{z}_j z_4}\right| \ge e^{-\frac{1}{2^{4+j}}} j = 1,2,3$.

We continue to choose z_n satisfying (2) such that

$$\chi_{\mathbf{G}}(\mathbf{z}_{\mathbf{n}}) > 1 - \frac{1}{\mathbf{n}}$$
 and $\left| \frac{\mathbf{z}_{\mathbf{n}} - \mathbf{z}_{\mathbf{j}}}{1 - \overline{\mathbf{z}}_{\mathbf{j}} \mathbf{z}_{\mathbf{n}}} \right| > e^{-\frac{1}{2^{\mathbf{n}+\mathbf{j}}}}$ for $\mathbf{j} < \mathbf{n}$. It

is not hard to see that (1) and (3) also hold.

Let $\varphi_n \in \{z_{m,n}\}_{m=1}^{\infty} M(H^{\infty}+C)$. Then $\varphi_n \in M_{\lambda_n}(H^{\infty})$. Let $\varphi_0 \in \overline{\{\varphi_n\}}^{M(H^{\infty}+C)} \cap M_1(H^{\infty})$. By Theorem 2.12 we have that for each n supp φ_n is a maximal support set. Let b be the interpolating Blaschke product with zeroes $\{z_m\}$. Choose $\psi \in M(H^{\infty}+C)$. If $\overline{b} \mid \text{supp } \psi \notin H^{\infty} \mid \text{supp } \psi$, then $|\psi(b)| < 1$. Since b | supp ψ is not invertible in

$$\begin{split} &H^{\infty} \mid \text{supp } \psi, \text{ there exists } \tau \in M(H^{\infty} \mid \text{supp } \psi) = \\ &\{\eta \in M(H^{\infty}) : \text{supp } \eta \subseteq \text{supp } \psi\} \text{ with } \tau(b) = 0. By \\ &\text{Theorem 2.5, } \tau \in \overline{\{z_m\}} \text{ and hence by Theorem 2.12, supp } \tau \\ &\text{ is a maximal support set. Therefore supp } \tau = \text{supp } \psi. \\ &\text{Since } \chi_{G}(z_{m}) \rightarrow 1 \text{ as } m \rightarrow \infty, \text{ we have } \tau(\chi_{G}) = 1. \text{ Thus } \\ &\text{supp } \tau \subseteq G, \text{ so } \text{supp } \psi \subseteq G. \text{ Thus for any } \psi \in M(H^{\infty} + C) \\ &\text{ either } \overline{b} \mid \text{supp } \psi \in H^{\infty} \mid \text{supp } \psi \text{ or } \chi_{F} \mid \text{supp } \psi \in H^{\infty} \mid \text{supp } \psi. \\ &\text{By Theorem 2.11 on each QC level set } E_{t} \text{ we have } \\ &\overline{b} \mid E_{t} \in H^{\infty} \mid E_{t} \text{ or } \chi_{F} \mid E_{t} \in H^{\infty} \mid E_{t}. \text{ Consider the QC } \\ &\text{ level set } E_{t_{O}} \text{ containing supp } \phi_{O}. \text{ Since } \\ &\overline{b} \mid \text{supp } \phi_{O} \notin H^{\infty} \mid \text{supp } \phi_{O} \text{ we must have } \chi_{F} \mid E_{t_{O}} \in H^{\infty} \mid E_{t_{O}}. \\ &\text{By Theorem 2.8 } \chi_{F} \mid E_{t_{O}} \text{ is constant. By (3) above,} \\ &\text{we must have } E_{t_{O}} \subseteq F. \\ \end{array}$$

Let $\{\varphi_{n_{\alpha}}\}$ be a subnet of $\{\varphi_{n}\}$ such that $\varphi_{n_{\alpha}} \rightarrow \varphi_{0}$. Choose $\psi_{n} \in \text{supp } \varphi_{n}$. Then some subnet of $\{\psi_{n_{\alpha}}\}$ converges. We may assume without loss of generality that $\psi_{n_{\alpha}} \rightarrow \psi_{0}$. Therefore $\psi_{0} \mid QC = \varphi_{0} \mid QC$. Hence $\psi_{0} \in E_{t_{0}}$. By Theorem 2.1, $\{\psi_{0}\}$ is a maximal antisymmetric set for $H^{\infty} + C$. Since $\varphi_{0} \in M(H^{\infty}) \rightarrow M(L^{\infty})$, supp φ_{0} consists of more than one point. Since $\psi_{0} \in E_{t_{0}}$ and supp $\varphi_{0} \subseteq E_{t_{0}}$, $E_{t_{0}}$ is not a maximal antisymmetric set for $H^{\infty} + C$.

One may ask whether every QC level set contains a point that is in the closure of a sequence of points from distinct L^{∞} fibers. The answer to this question is no, as we shall see. We first state a lemma due to Sarason that will appear in [21].

Lemma 2.14. Let b be an inner function. If $\varphi \in M(H^{\infty} + C)$ and b | supp φ is nonconstant, then $b(supp \varphi) = \partial D$.

Example 2.15. Let $\{z_n\}_{n=1}^{\infty}$ be an interpolating sequence with $z_n \rightarrow 1$ and let $\varphi \in \overline{\{z_n\}}^{M(H^{\infty})}$, $\varphi \in M(H^{\infty} + C)$. Let E_{ψ} denote the QC level set containing supp φ . Then E_{ψ} does not contain a point which is in the closure of a sequence of points from distinct fibers.

<u>Proof</u>: Suppose not, that is, suppose $\varphi_0 \in E_{\psi}$ and $\varphi_0 \in \overline{(\varphi_n)}^{M(L^{\infty})}$ where $\varphi_n \in M_{\lambda_n}(L^{\infty})$ and $\lambda_n \neq \lambda_m$ for $n \neq m$. Let b be the interpolating Blaschke product with zeroes $\{z_n\}_{n=1}^{\infty}$. By assumption $z_n \rightarrow 1$. Thus b is continuous at λ for $\lambda \neq 1$. Hence $b \mid M_{\lambda}(L^{\infty})$ is constant for $\lambda \neq 1$. Let $U = \{\psi \in M(L^{\infty}) : |\psi(b) - \varphi_0(b)| < \frac{1}{2}\}$. Then U is an open set in $M(L^{\infty})$ containing φ_0 . Therefore $\varphi_0 \in \overline{(\varphi_n : \varphi_n \in U)}^{M(L^{\infty})}$. By Corollary 2.4, $E_{\psi} = E_{\varphi_0} \subseteq \overline{\cup} \{M_{\lambda_n}(L^{\infty}) : \varphi_n \in M_{\lambda_n}(L^{\infty}) \cap U\}^{M(L^{\infty})}$. Hence $E_{\varphi_0} \subseteq \overline{U}$. If $b \mid E_{\varphi_0}$ were nonconstant, then by Lemma 2.14 we have $b(E_{\varphi_0}) = \partial D$. Therefore there exists $\eta \in E_{\varphi_0}$ with $\eta(b) = -\varphi_0(b)$. Hence $\eta \notin \overline{U}$. Thus $b \mid E_{\varphi_0}$ is constant. Therefore $|\varphi(b)| = 1$ and hence φ cannot be in the closure of the zeroes of b. This contradiction implies the result.

CHAPTER 3

In this chapter we prove some related results about closed subalgebras of L^{∞} containing H^{∞} . A closed subalgebra B of L^{∞} containing H^{∞} is called a Douglas algebra. Thus $H^{\infty} + C$ is a Douglas algebra; it is the smallest closed subalgebra of L^{∞} properly containing H^{∞} [10 p. 376]. S.-Y. A. Chang and D.E. Marshall proved the following theorem about Douglas algebras [6], [15].

<u>Theorem 3.1</u>. (Chang-Marshall Theorem) Let B be a closed subalgebra of L^{∞} containing H^{∞} . Then B is generated as an algebra by H^{∞} and the set \mathcal{B} where $\mathcal{B} = \{\overline{b} : b \text{ is an interpolating Blaschke product and } \overline{b} \in B\}.$

This theorem was proven in two stages. Chang showed that if B_1 is a Douglas algebra and B_2 is any other closed subalgebra of L^{∞} containing H^{∞} with $M(B_1) = M(B_2)$, then $B_1 = B_2$. Marshall completed the proof by showing that if B is a closed subalgebra of L^{∞} containing H^{∞} , then there is a set β of interpolating Blaschke products such that $M(B) = M(H^{\infty}[\overline{b}: b \in \beta])$ where $H^{\infty}[\overline{b}: b \in \beta]$ denotes the algebra generated by H^{∞} and $\{\overline{b}: b \in \beta\}$. Marshall's half of the proof used the result stated below [26].

<u>Theorem 3.2</u>. Let u be an inner function. For each α , $0 < \alpha < 1$, there exists an interpolating Blaschke product c_{α} such that

(1)
$$|c_{\alpha}(z)| \leq \frac{1}{10}$$
 if $|u(z)| \leq \alpha$ ($z \in \mathbb{D}$)

and

(2) There exists $\beta_{\alpha} < 1$ such that if $c_{\alpha}(z) = 0$, then $|u(z)| \leq \beta_{\alpha}$ ($z \in D$).

The proof of this theorem yields more information than what was given above. In fact, the proof shows the following:

<u>Theorem 3.3</u>. Let $\{u_{\gamma}\}$ be a family of inner functions. For any α , $0 < \alpha < 1$, there is an interpolating Blaschke product c_{α} such that

(1)
$$|c_{\alpha}(z)| \leq \frac{1}{10}$$
 if $\sup_{\gamma} |u_{\gamma}(z)| \leq \alpha \ (z \in D)$

and

(2) There exists $\beta_{\alpha} < 1$ such that if $c_{\alpha}(z) = 0$, then $\sup_{\gamma} |u_{\gamma}(z)| \leq \beta_{\alpha}$ $(z \in \mathbf{D})$.

Using this form of Marshall's result we prove a theorem that was proven by D. Sarason (unpublished). Our proof is different than Sarason's proof.

<u>Theorem 3.4</u>. Let $\{B_{\gamma}\}$ be a family of closed subalgebras of L^{∞} containing H^{∞} . Then

$$M(\bigcap_{Y} B_{Y}) = \bigcup_{Y} M(B_{Y}) .$$

If $H^{\infty} \subseteq B_{\gamma}$, then the continuous map $\Gamma: M(B_{\gamma}) \rightarrow M(H^{\infty})$, defined by restricting each multiplicative linear functional on B_{γ} to H^{∞} , is a homeomorphism. Accordingly, we think of $M(B_{\gamma})$ as a closed subset of $M(H^{\infty})$. We also note that if u is an inner function, then $M(H^{\infty}[\overline{u}]) =$ $\{\varphi \in M(H^{\infty}): |\varphi(u)| = 1\}$. These remarks will be used in the proof of Theorem 3.4. Before we proceed with the proof of Theorem 3.4 we prove a lemma that will be used in the proof of Theorem 3.4.

Lemma 3.5. Let $\varphi \in M(H^{\infty}+C) \sim \bigcup_{\gamma} M(B_{\gamma})$ and let $\{z_n\}$ be a sequence of points of ID such that $\varphi \in \overline{\{z_n\}} \subseteq M(H^{\infty}) \sim \bigcup_{\gamma} M(B_{\gamma})$. Then for each γ , there exists a Blaschke product b_{γ} such that $\overline{b}_{\gamma} \in B_{\gamma}$ and $\sup_{n} |b_{\gamma}(z_n)| < 1$.

<u>Proof</u>: Suppose not. Then there exists γ such that whenever b_{γ} is a Blaschke product invertible in B_{γ} , we must have $\sup |b_{\gamma}(z_n)| = 1$. For any Blaschke product b let $A_b = \{\psi \in M(H^{\infty} + C) : |\psi(b)| = 1\} \cap$ $\{\psi \in M(H^{\infty} + C) : \psi \in \overline{\{z_n\}}\}$. Then A_b is a closed subset of $M(H^{\infty} + C)$ and if $\overline{b} \in B_{\gamma}$, then $A_b \neq \phi$. We claim that $\cap \{A_b : b$ is a Blaschke product and $\overline{b} \in B_{\gamma}\} \neq \phi$. By compactness it is enough to show that if b_1, b_2, \dots, b_n are Blaschke products and $\overline{b}_1, \overline{b}_2, \dots, \overline{b}_n \in B_{\gamma}$, then $\bigcap_{j=1}^n A_{b_j} \neq \phi$. Since $\overline{b}_j \in B_{\gamma}$ for $j = 1, 2, \dots, n$, we have $\prod_{j=1}^n \overline{b}_j \in B_{\gamma}$. Therefore j=1, $\prod_{j=1}^n b_j$, $\prod_{j=1}^n b_j$, we see that $\prod_{j=1}^n b_j$. $\begin{array}{l} \stackrel{n}{\bigcap} A_{b} \neq \emptyset. \quad \text{Let} \quad \eta \in \cap \{A_{b} : b \text{ is a Blaschke product} \\ j=1 \quad j \end{array}$ and $\overline{b} \in B_{\gamma} \}. \quad \text{If} \quad \overline{b}_{\gamma} \in B_{\gamma}, \quad \text{then} \quad |\eta(b)| = 1. \quad \text{Therefore} \\ \eta \in M(B_{\gamma}). \quad \text{But} \quad \eta \in \overline{\{z_{n}\}} \subseteq M(H^{\infty}) \sim \overline{\bigcup M(B_{\gamma})} \quad \text{and this} \\ \text{contradiction implies the result.}$

<u>Proof of Theorem 3.4</u>. Clearly $\bigcup_{v} M(B_{v}) \subseteq M(\bigcap_{v} B_{v})$. Suppose $\varphi \in M(H^{\infty}+C) \sim \bigcup M(B_{\gamma})$. Thus $M(H^{\infty}) \sim \bigcup M(B_{\gamma})$ is an open subset of $M(H^{\infty})$ containing φ . The Corona Theorem [5] asserts that the open unit disk D is a dense subset of $M(H^{\omega})$. In fact, if $\psi \in M(H^{\omega} + C)$, then ψ is in the M(H^{∞}) closure of a sequence in ID [12]. Thus there exists a sequence of points $\{z_n\} \subseteq D$ such that $\varphi \in \overline{\{z_n\}}^{M(H^{\infty})}$. Without loss of generality we may assume that $\varphi \in \overline{\{z_n\}} \subseteq M(H^{\infty}) \sim \bigcup_{V} M(B_{V})$. By Lemma 3.5 for each y there exists a Blaschke product b_{γ} such that $\sup_{n} |b_{\gamma}(z_{n})| < 1$ and $\overline{b}_{\gamma} \in B_{\gamma}$. By raising b_{γ} to a sufficiently large power, we may assume that $\sup_{n \to \infty} |b_{\gamma}(z_n)| \leq \frac{1}{2}$. By Theorem 3.3 there exists an interpolating Blaschke product c satisfying (1) and (2) of Theorem 3.3 with $\alpha = \frac{1}{2}$. Let $\{w_n\}$ denote the zero sequence of c. By (2) of Theorem 3.3, there exists $\beta < 1$ such that if c(z) = 0, then $\sup |b_{v}(z)| \leq \beta$. Hence $\sup_{v \in V} |b_{v}(w_{n})| \leq \beta \text{ for all } n. By (1) \text{ of Theorem 3.3,}$ $|c(z_n)| < \frac{1}{10}$ for all n. We claim that

$$\begin{bmatrix} a \end{bmatrix} \quad \overline{c} \in \bigcap B_{\gamma}$$

and

[b] $|\varphi(c)| < 1$.

Since $|c(z_n)| \leq \frac{1}{10}$ for all n and $\varphi \in \overline{\{z_n\}}$, $|\varphi(c)| \leq \frac{1}{10}$. Thus [b] above holds. To establish [a], suppose [a] does not hold. Then there exists γ_0 such that $\overline{c} \notin B_{\gamma_0}$. Therefore there exists $\eta \in M(B_{\gamma_0})$ with $\eta(c) = 0$. By Theorem 2.5, $\eta \in \overline{\{w_n\}}$. By the remarks made above, $\sup_{\gamma} |b_{\gamma}(w_n)| \leq \beta$ for all n. Therefore $|\eta(b_{\gamma_0})| \leq \beta < 1$. Since $\overline{b}_{\gamma_0} \in B_{\gamma_0}$, η cannot belong to $M(B_{\gamma_0})$ which is a contradiction. Therefore [a] holds. Now [a] and [b] imply that $\varphi \in M(H^{\infty}) \sim M(\bigcap_{\gamma} B_{\gamma})$ and hence $M(\bigcap_{\gamma} B_{\gamma}) = \overline{\bigcup_{\gamma} M(B_{\gamma})}$ as desired.

Theorem 3.1 implies that if $f \in L^{\infty} \sim H^{\infty}$, then $H^{\infty}[f]$ is generated by H^{∞} and the complex conjugates of countably many interpolating Blaschke products. C. Sundberg [23] has shown that L^{∞} is not countably generated as an algebra over H^{∞} . We prove an extension of Sundberg's result below.

<u>Theorem 3.6</u>. Let B be a closed subalgebra of L^{∞} containing $H^{\infty} + C$. If there exists an open set O in $M(H^{\infty} + C)$ such that $\phi \neq O \cap M(B) \subseteq M(L^{\infty})$, then B is not countably generated as an algebra over H^{∞} .

<u>Proof</u>: Suppose B is generated by H^{∞} together with countably many L^{∞} functions. By Theorem 3.1 and remarks made above we see that B must be generated by H together with the complex conjugates of countably many interpolating Blaschke products $\{b_j : j \in \mathbb{N}\}$. Following a method of Axler [1] we construct a single Blaschke product b such that $M(H^{\infty}[\overline{b}]) \subseteq M(B)$. To do this, let $\{z_{n,j}\}_{n=1}^{\infty}$ denote the zeroes of b. Since the zeroes of b_{i} form a Blaschke sequence, there exists an integer N_{i} such that $\sum_{n \ge N_i} (1 - |z_{n,j}|) < \frac{1}{2^j}$. Let b denote the Blaschke product with zeroes $\bigcup_{i=1}^{\infty} \{z_{n,j}\}_{n_{i} \geq N}$. $\varphi \in M(H^{\infty} + C) \sim M(B)$, then there exists $j_O \in \mathbb{N}$ such that $|\varphi(b_{j_0})| < 1$. Therefore b_{j_0} | supp φ is not invertible in H^{∞} | supp φ and hence there exists $\tau \in M(H^{\infty}$ | supp φ) such that $\tau(b_i) = 0$. By Theorem 2.5 $\tau \in \overline{\{z_{n,j_0}\}}_{n,j_0}^{\infty}$ and hence $\tau(b) = 0$. Thus $b \mid \text{supp } \tau$ is not constant. Since $\operatorname{supp} \tau \subseteq \operatorname{supp} \phi$, we see that $b \mid \operatorname{supp} \phi$ is nonconstant. Therefore $|\varphi(b)| < 1$ and $\varphi \in M(H^{\widetilde{n}}+C) \sim M(H^{\widetilde{n}}[\overline{b}])$. Thus $M(B) \supseteq M(H^{\widetilde{n}}[\overline{b}])$.

Let $\eta \in \mathcal{O} \cap M(L^{\infty})$. Since $M(L^{\infty})$ has no interior in $M(H^{\infty}+C)$, we can choose a net $\{\eta_{\alpha}\} \subseteq M(H^{\infty}+C) \sim M(L^{\infty})$ with $\eta \in \overline{\{\eta_{\alpha}\}}$. Since $\eta \in \mathcal{O}$ we may assume that $\{\eta_{\alpha}\} \subseteq \mathcal{O}$. By a result of D.J. Newman [17], there exists a Blaschke product c such that if $\varphi \in M(H^{\infty}+C)$ and $|\varphi(b)| < 1$, then $\varphi(c) = 0$. Since $\mathcal{O} \cap M(B) \subseteq M(L^{\infty})$, and $M(H^{\infty}[\overline{b}]) \subseteq M(B)$, we have $\mathcal{O} \cap M(H^{\infty}[\overline{b}]) \subseteq M(L^{\infty})$. For each α , $\eta_{\alpha} \in \mathcal{O}$ and $\eta_{\alpha} \in M(H^{\infty}+C) \sim M(L^{\infty})$. Hence $|\eta_{\alpha}(\mathbf{b})| < 1$. Therefore $\eta_{\alpha}(\mathbf{c}) = 0$ for all α . Thus $\eta(\mathbf{c}) = 0$. However $\eta \in M(L^{\infty})$ so $|\eta(\mathbf{c})| = 1$, which is a contradiction. Therefore B cannot be countably generated over H^{∞} .

Many examples can be given of Douglas algebras that are generated by H[°] together with one L[°] function. For example, H[°]+C = H[°][\overline{z}]. Donald Marshall showed in [16] that if f is a simple function, then there is an interpolating Blaschke product b such that H[°][f] = H[°][\overline{b}]. It is not known which algebras have the form H[°][\overline{b}] for a single interpolating Blaschke product b. We use the following result of Sarason [21] to give two examples of Douglas algebras that are not generated by H[°] and the complex conjugate of just one Blaschke product. The first is an example of a Douglas algebra which is generated by H[°] and one function $f \in L[°]$, but is not generated by H[°] and the complex conjugate of one inner function.

<u>Theorem 3.7</u>. (Sarason [21]) There exists a function $f \in H^{\infty}$ such that $f \mid M_1(L^{\infty})$ is real valued and f is nonconstant on some QC level set contained in $M_1(L^{\infty})$.

Example 3.8. Let f be the function given in Theorem 3.7. Then $H^{\infty}[\overline{f}]$ does not equal $H^{\infty}[\overline{u}]$ for any inner function u.

<u>Proof</u>: Suppose $H^{\infty}[\overline{f}] = H^{\infty}[\overline{u}]$ for some inner function \overline{u} . By assumption $f \mid M_1(L^{\infty})$ is real valued, hence f must be constant on the support set of every multiplicative linear functional $\varphi \in M_1(H^{\infty})$. Thus $M_1(H^{\infty}) \subseteq M(H^{\infty}[\overline{f}]) = M(H^{\infty}[\overline{u}]).$ Therefore for each $\varphi \in M_1(H^{\infty})$, u | supp φ is constant. We claim that there exists an open interval $I \subseteq \partial D$ such that $l \in I$ and such that for each $\lambda \in I$ and each $\phi \in M_{\lambda}(H^{\infty})$ we have $\overline{u} \mid supp \ \phi \in H^{\infty} \mid supp \ \phi$. If not, there exists a sequence of points $\{\lambda_n\}$ with $\lambda_n \rightarrow 1$, and $\varphi_n \in M_{\lambda_n}(H^{\infty})$ with $\varphi_n(u) = 0$. By compactness there exists $\varphi \in \overline{\{\varphi_n\}}^{M(H^{\infty})} \cap M_1(H^{\infty})$ with $\varphi(u) = 0$. Since $u \mid \text{supp } \varphi$ is constant, this is a contradiction. Therefore there exists an open interval $I \subseteq \partial D$ such that $l \in I$ and $u \mid supp \varphi$ is constant for all $\varphi \in M_{\lambda}(H^{\infty})$ whenever $\lambda \in I$. Let $E_{t} \subseteq M_{1}(L^{\infty})$ be a QC level set such that $f \mid E_+$ is nonconstant. Choose a continuous function g such that the (closed) support of g is contained in I and g(1) = 1. If $\varphi \in M_{\lambda}(H^{\infty})$ and $\lambda \in I$, then g | supp φ is constant and u | supp φ is constant. Thus f | supp φ is constant. Therefore $g\overline{f} \mid supp \ \varphi \in H^{\infty} \mid supp \ \varphi$. If $\lambda \in \partial D \sim I$, then $g\overline{f} \mid supp \phi$ is identically zero. By Theorem 1.3 $g\overline{f} \in H^{\infty} + C$. Since $\overline{g}f \in H^{\infty} + C$, we have $g\overline{f} \in QC$. Therefore $g\overline{f} \mid E_{+}$ is constant. Hence $\overline{f} \mid E_{+}$ is constant, which is a contradiction. Hence $H^{\tilde{v}}[\overline{f}]$ does not equal $H^{\tilde{v}}[\overline{u}]$ for any inner function u.

The second example shows that the intersection of two algebras of the form $\operatorname{H}^{\infty}[\overline{b}_{1}]$ and $\operatorname{H}^{\infty}[\overline{b}_{2}]$, with b_{1} and b_{2} interpolating Blaschke products is not necessarily of the form $\operatorname{H}^{\infty}[\overline{c}]$ where c is an inner function. We remark that if b_{1} and b_{2} satisfy $\lim_{|z| \to 1} \max(|b_{1}(z)|, |b_{2}(z)|) = 1$, then it has been shown [2] that $\operatorname{H}^{\infty}[\overline{b}_{1}] \cap \operatorname{H}^{\infty}[\overline{b}_{2}] = \operatorname{H}^{\infty} + C$. Our example shows that it is possible to have $\lim_{z \to 1} \max(|b_{1}(z)|, |b_{2}(z)|) = 1$ (note that $|z| \to 1$ has been $z \to 1$ replaced by $z \to 1$) and such that $\operatorname{H}^{\infty}[\overline{b}_{1}] \cap \operatorname{H}^{\infty}[\overline{b}_{2}]$ is not of the form $\operatorname{H}^{\infty}[\overline{u}]$ where u is an inner function.

The second example is similar to the first. We will use the result of D. Marshall [15] stated below.

<u>Theorem 3.9</u>. Let S be a set contained in $M(L^{\infty})$ and containing more than one point. Then there exists an interpolating Blaschke product b which is nonconstant on S.

Lemma 3.10. Let E_t be a QC level set containing more than one point. Then $\bigcup \{S: S \subseteq E_t\}$ S nontrivial S maximal antisymmetric is dense in E_t .

<u>Proof</u>: If this is not the case, then there exists a clopen subset F of E_{+} with

 $\begin{array}{c} \bigcup \qquad \{S:S\subseteq E_t\} \subseteq F\subseteq E_t \\ S \text{ nontrivial} \\ S \text{ maximal antisymmetric} \\ \end{array}$ Then $M(H^{\infty} \mid E_t) = \{\varphi \in M(H^{\infty}) : \text{supp } \varphi \subseteq F\} \cup (E_t \sim F).$

Therefore for each $\varphi \in M(H^{\infty} | E_t)$, $\chi_F | \operatorname{supp} \varphi \in H^{\infty} | \operatorname{supp} \varphi$. Thus $\chi_F \in H^{\infty} | E_t$. This implies $M(H^{\infty} | E_t)$ is disconnected, contradicting Corollary 2.9.

Example 3.11. There exist interpolating Blaschke products b_1 and b_2 such that for every antisymmetric set $S \subseteq M_1(L^{\infty})$, either $b_1 \mid S$ is constant or $b_2 \mid S$ is constant, but on some QC level set $E_t \subseteq M_1(L^{\infty})$ neither $b_1 \mid E_t$ is constant nor $b_2 \mid E_t$ is constant.

<u>Proof</u>: By Theorem 3.7 there exists $f \in H^{\infty}$ real valued on $M_1(L^{\infty})$ and a QC level set $E_t \subseteq M_1(L^{\infty})$ such that $f \mid E_t$ is nonconstant. Since the nontrivial maximal antisymmetric sets contained in E_{+} are dense in E_{t} , f must assume different values on two maximal antisymmetric sets S_1 and S_2 contained in E_+ . Without loss of generality we may assume that $f(S_1) = 0$ and $f(S_2) = 1$. Let $\mathcal{O}_1 = \{ \varphi \in M(H^{\infty}) : |\varphi(f) - 1| > \frac{1}{2} \}$ and $\mathcal{O}_2 =$ $\{\varphi \in M(H^{\infty}) : |\varphi(f) - 1| < \frac{1}{4}\}.$ Then $M(H^{\infty} | S_j) \subseteq \mathcal{O}_j$ for j = 1,2. By Theorem 3.9, there exist interpolating Blaschke products b_1 and b_2 such that $b_1 | S_1$ is nonconstant and $b_2 \mid S_2$ is nonconstant. Therefore there exists $\varphi_{j} \in M(H^{\infty} | S_{j})$ j = 1,2 with $\varphi_{j}(b_{j}) = 0$ j = 1,2. Let $\{z_{n,1}\}_{n=1}^{\infty}$ and $\{z_{n,2}\}_{n=1}^{\infty}$ denote the zero sequences of b_1 and b₂, respectively. Without loss of generality we may assume that $\overline{\{z_{n,1}\}}^{M(H^{\infty})} \subseteq \mathcal{O}_1$ and $\overline{\{z_{n,2}\}}^{M(H^{\infty})} \subseteq \mathcal{O}_2$.

Let S be a maximal antisymmetric set contained in $M_1(L^{\infty})$. Then either $M(H^{\infty} \mid S) \subseteq O_1^{\mathbb{C}}$, in which case

 $b_1 | S$ is constant (since for any blaschke product either $b(S) = \partial D$ or b | S is constant) or $M(H^{\infty} | S) \cap \mathcal{O}_1 \neq \phi$. Since $f \in H^{\widetilde{\omega}}$ and $f | M_1(L^{\widetilde{\omega}})$ is real valued, f | S is constant. Therefore we must have $M(H^{\widetilde{\omega}} | S) \subseteq \mathcal{O}_1$. Hence $b_2 | S$ is constant. Clearly neither b_1 nor b_2 is constant on E_+ and we are done.

We remark that Example 3.9 shows that Theorem 2.11 does not generalize to the case where $f \mid \text{supp } \varphi \in H^{\infty} \mid \text{supp } \varphi$ or $g \mid \text{supp } \varphi \in H^{\infty} \mid \text{supp } \varphi$ for all $\varphi \in M_1(H^{\infty} + C)$.

Example 3.12. There exist interpolating Blaschke products b_1 and b_2 such that $H^{\infty}[\overline{b}_1] \cap H^{\infty}[\overline{b}_2]$ is not generated by H^{∞} and the complex conjugate of a single inner function.

<u>Proof</u>: Choose b_1 , b_2 , E_t , ϕ_1 and ϕ_2 as in Example 3.11. Suppose there is an inner function u such that

$$H^{\infty}[\overline{b}_{1}] \cap H^{\infty}[\overline{b}_{2}] = H^{\infty}[\overline{u}]$$

Then by Example 3.11 $M_1(H^{\infty}) \subseteq M(H^{\infty}[\overline{b}_1]) \cup M(H^{\infty}[\overline{b}_2]) \subseteq M(H^{\infty}[\overline{u}])$. As was shown in Example 3.8, there exists an open interval $I \subseteq \partial D$ containing 1, such that $M_{\lambda}(H^{\infty}) \subseteq M(H^{\infty}[\overline{u}]) =$ $M(H^{\infty}[\overline{b}_1] \cap H^{\widetilde{\omega}}[\overline{b}_2])$ for all $\lambda \in I$. By Theorem 3.4, $M_{\lambda}(H^{\widetilde{\omega}}) \subseteq M(H^{\widetilde{\omega}}[\overline{b}_1]) \cup M(H^{\widetilde{\omega}}[\overline{b}_2])$ for $\lambda \in I$. Let g be a continuous function such that $\operatorname{supp} g \subseteq I$ and g(1) = 1. Let $\varphi \in M(H^{\widetilde{\omega}} + C)$. If $\varphi \in M_{\lambda}(H^{\widetilde{\omega}})$ and $\lambda \in \partial D \sim I$, then $g\overline{b}_1 \mid supp \varphi \text{ and } g\overline{b}_2 \mid supp \varphi \text{ are both identically zero.}$ If $\varphi \in M_{\lambda}(H^{\infty})$ and $\lambda \in I$ then $\varphi \in M(H^{\infty}[\overline{b}_1]) \cup M(H^{\infty}[\overline{b}_2])$. Therefore either $b_1 \mid supp \varphi$ is constant or $b_2 \mid supp \varphi$ is constant. Hence for any $\varphi \in M(H^{\infty} + C)$ either $g\overline{b}_1 \mid supp \varphi \in H^{\infty} \mid supp \varphi$ or $g\overline{b}_2 \mid supp \varphi \in H^{\infty} \mid supp \varphi$. By Theorem 2.11, we have either $g\overline{b}_1 \mid E_t \in H^{\infty} \mid E_t$ or $g\overline{b}_2 \mid E_t \in H^{\infty} \mid E_t$. Since $g \mid M_1(L^{\infty})$ is identically 1, either $\overline{b}_1 \mid E_t \in H^{\infty} \mid E_t$ or $\overline{b}_2 \mid E_t \in H^{\infty} \mid E_t$ which is a contradiction.

BIBLIOGRAPHY

- 1. S. Axler, Factorization of L^w functions, Ann. of Math. 106 (1977), 567-572.
- S. Axler, S.-Y. A. Chang and D. Sarason, Products of Toeplitz operators, Integral Equations and Operator Theory 1 (1978), 285-309.
- 3. E. Bishop, A generalization of the Stone-Weierstrass theorem, Pacific J. Math. 11 (1961), 777-783.
- 4. L. Carleson, An interpolation problem for bounded analytic functions, Amer. J. Math. 80 (1958), 921-930.
- 5. _____, Interpolations by bounded analytic functions and the corona problem, Ann. of Math. 76 (1962), 547-559.
- 6. S.-Y. A. Chang, A characterization of Douglas subalgebras, Acta Math. 137 (1976), 81-89.
- K.F. Clancey and J.A. Gosselin, The local theory of Toeplitz operators, Illinois J. Math. 22 (1978), 449-458.
- 8. R.G. Douglas, Local Toeplitz operators, Proc. London Math. Soc. (3) 36 (1978), 243-272.
- 9. T.W. Gamelin, Uniform Algebras, Prentice-Hall, Englewood Cliffs, N.J., 1969.
- 10. J.B. Garnett, Bounded Analtyic Functions, Academic Press, New York, N.Y., 1981.
- 11. K. Hoffman, Banach spaces of Analytic Functions, Prentice-Hall, Englewood Cliffs, N.J., 1962.
- 12. _____, Bounded analytic functions and Gleason parts, Ann. of Math. 86 (1967), 74-111.
- 13. _____ and I.M. Singer, Maximal algebras of continuous functions, Acta Math. 103 (1960), 217-241.

- 14. P. Jones, Ratios of interpolating Blaschke products, Pacific J. Math. 95 (1981), 311-321.
- 15. D.E. Marshall, Subalgebras of L^{∞} containing H^{∞} , Acta Math. 137 (1976), 91-98.
- 16. _____, Approximation and interpolation by inner functions, Thesis, University of California, Los Angeles, California, 1976.
- 17. D.J. Newman, Some remarks on the maximal ideal structure of H^{∞} , Ann of Math. 70 (1959), 438-445.
- 18. W. Rudin, Real and Complex Analysis, 2nd ed. McGraw-Hill, New York, 1974.
- 19. D. Sarason, Algebras of functions on the unit circle, Bull. Amer. Math. Soc. 79 (1973), 286-299.
- 20. _____, Functions of vanishing mean oscillation, Trans. Amer. Math. Soc. 207 (1975) 391-405.
- 21. _____, The Shilov and Bishop decompositions of $H^{\infty} + C$, to appear.
- 22. G.E. Shilov, On rings of functions with uniform convergence, Ukrain. Mat. Z 3 (1951), 404-411.
- 23. C. Sundberg, A note on algebras between L and H, Rocky Mtn. J. Math. 11 (1981), 333-336.
- 24. T. Wolff, Some theorems on vanishing mean oscillation, Thesis, University of California, Berkeley, California (1979).
- 25. _____, Two algebras of bounded functions, to appear.
- 26. S. Ziskind, Interpolating Sequences and the Shilov boundary of H[∞](Δ), J. Functional Analysis 21 (1976), 380-388.

