

Extending IMSRG to Nuclear Matter with Novel Insights on UCC and IMSRG

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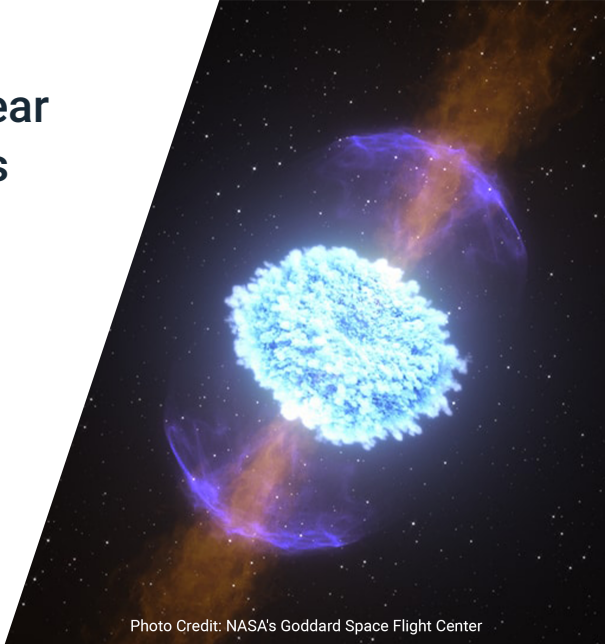
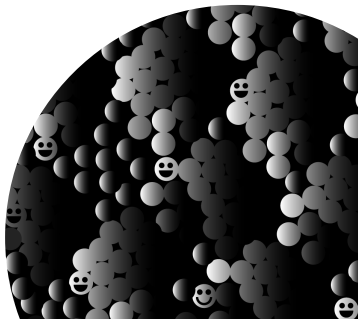


Photo Credit: NASA's Goddard Space Flight Center

Abbreviations

- ❑ NM - Nuclear matter
- ❑ EOS - Equation-of-state
- ❑ MBPT - Many-Body Perturbation Theory
- ❑ IMSRG - In-Medium Similarity Renormalization Group
- ❑ CC - Coupled-Cluster
- ❑ UCC - Unitary Coupled-Cluster
- ❑ χ -EFT - Chiral Effective Field Theory



The Nuclear Matter Equation-of-State

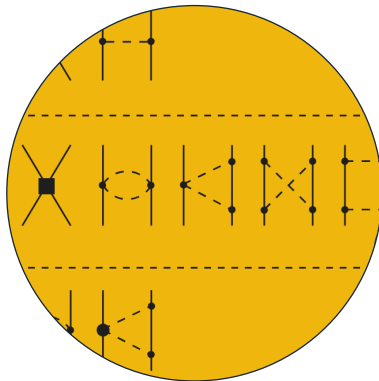
The NM-EOS is given by $E(\rho)/A$ where $E(\rho)$ is the total energy of a system containing $A \rightarrow \infty$ interacting nucleons confined in a $\mathcal{V} \rightarrow \infty$ volume at density ρ .

- ❑ It determines the stability and bulk properties of NM—e.g. response to gravitational compression.
 - ❖ Directly linked to astrophysical phenomena—e.g. neutron star physics
 - ❖ Indicative of possible new states of compressed matter

- ❑ Constrained NM-EOS allows the testing and improvement of nuclear force models
 - ❖ Deficiencies of χ -EFT in heavy nuclei linked to poor saturation (overbinding, radii too small)

Recent *Ab Initio* Developments

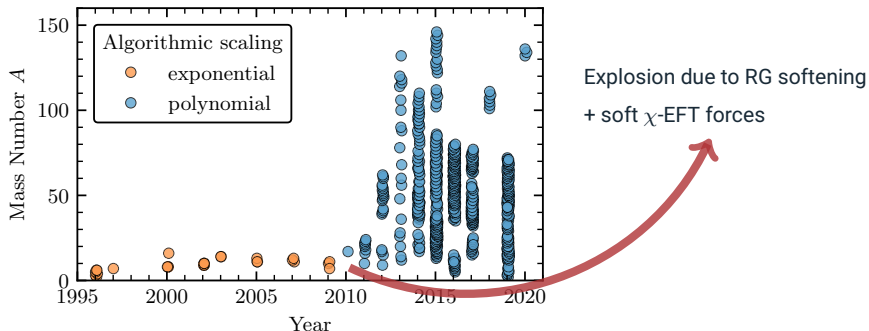
- χ -EFT—hierarchy of NN, 3N, ... interactions
 - ❖ Wide range available for many-body calculations



¹C. Drischler et al., Annual Review of Nuclear and Particle Science 71, 403 (2021).

Recent *Ab Initio* Developments

- MBPT, and non-perturbative methods CC, UCC, IMSRG—polynomial scaling^{1,2,3}



¹C. Drischler and S. K. Bogner, *Few-Body Systems* **62**, 10.1007/s00601-021-01677-2 (2021).

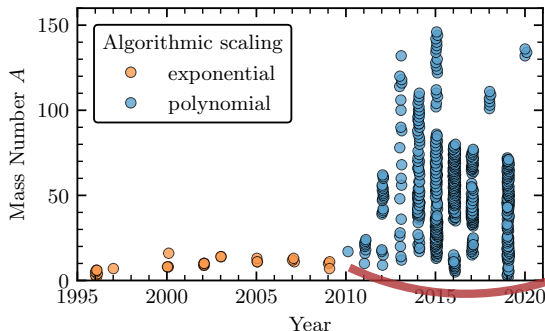
²H. Hergert, *Frontiers in Physics* **8**, 10.3389/fphy.2020.00379 (2020).

³G. Hagen et al., *Physical Review C* **89**, 10.1103/physrevc.89.014319 (2014).

Recent *Ab Initio* Developments

Has not been used
for realistic NM-EOS studies :(

- MBPT, and non-perturbative methods CC, UCC, IMSRG—polynomial scaling^{1,2,3}



Explosion due to RG softening
+ soft χ -EFT forces

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Project Goals

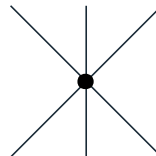
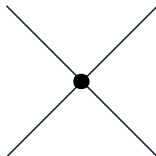
Unlike MBPT and CC, the IMSRG has *not* been applied to study NM-EOS with realistic nucleon forces.

- ✓ We will extend it to infinite nuclei matter using multiple nuclear forces.
- ✓ We will tackle computational challenges that limit our achievable A and model space sizes.

SRG Theory

Consider⁴

$$H = \sum_{pq} T_{pq} a_p^\dagger a_q + \frac{1}{(2!)^2} \sum_{pqrs} V_{pqrs}^{(2)} a_p^\dagger a_q^\dagger a_s a_r + \frac{1}{(3!)^2} \sum_{pqrstu} V_{pqrstu}^{(3)} a_p^\dagger a_q^\dagger a_r^\dagger a_u a_t a_s$$




⁴ H is normal-ordered relative to the true vacuum: $\langle 0|H|0\rangle = 0$.

SRG Theory

$$H(s) = U(s) H U(s)^\dagger$$

$$\frac{dH(s)}{ds} = [\eta(s), H(s)] \quad \longrightarrow \quad \text{Induced A-body forces!}$$

3NF evolution alone *very* costly

Driving force  $\underbrace{\eta(s)}_{\text{Generator}} \equiv \frac{dU(s)}{ds} U(s)^\dagger = -\eta(s)^\dagger$

$$\eta^{Wegner}(s) = [H_{\text{diagonal}}(s), H_{\text{off-diagonal}}(s)]$$


 Drives $H_{\text{off-diagonal}}(s \rightarrow \infty) = 0$

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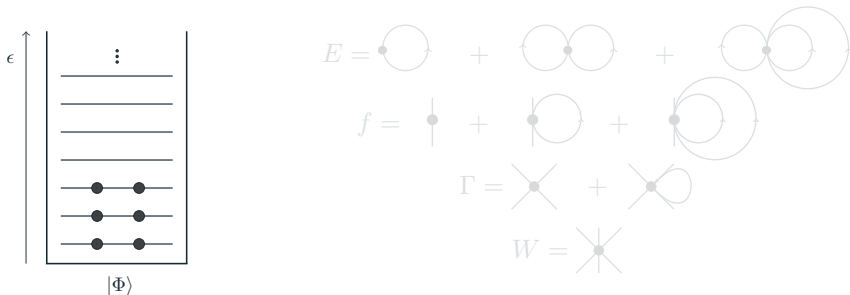
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In-Medium SRG

IMSRG improves SRG by rewriting H *exactly* into a normal-ordered form based on $|\Phi\rangle$.^{7,8}

$$H = \underbrace{E}_{\langle\Phi|H|\Phi\rangle} + \sum_{pq} f_{pq} : a_p^\dagger a_q : + \frac{1}{4} \sum_{pqrs} \Gamma_{pqrs} : a_p^\dagger a_q^\dagger a_s a_r : + \frac{1}{36} \sum_{pqrst} W_{pqrst} : a_p^\dagger a_q^\dagger a_r^\dagger a_u a_t a_s :$$



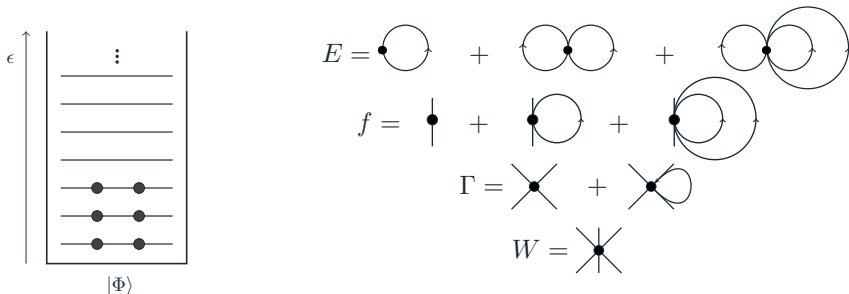
⁷G. C. Wick, Phys. Rev. **80**, 268 (1950).

⁸H. Hergert et al., Physics Reports **621**, 165 (2016).

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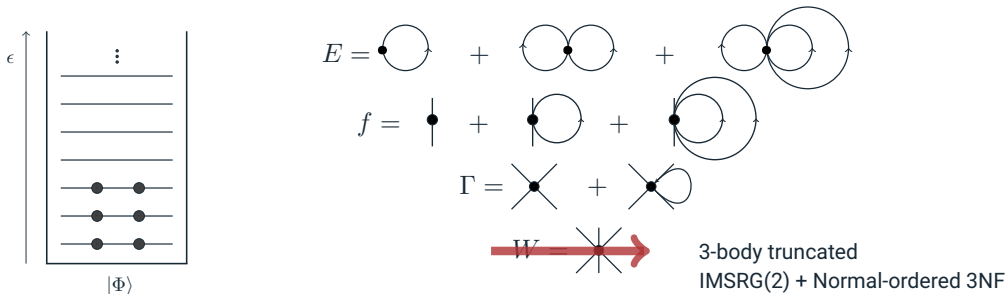
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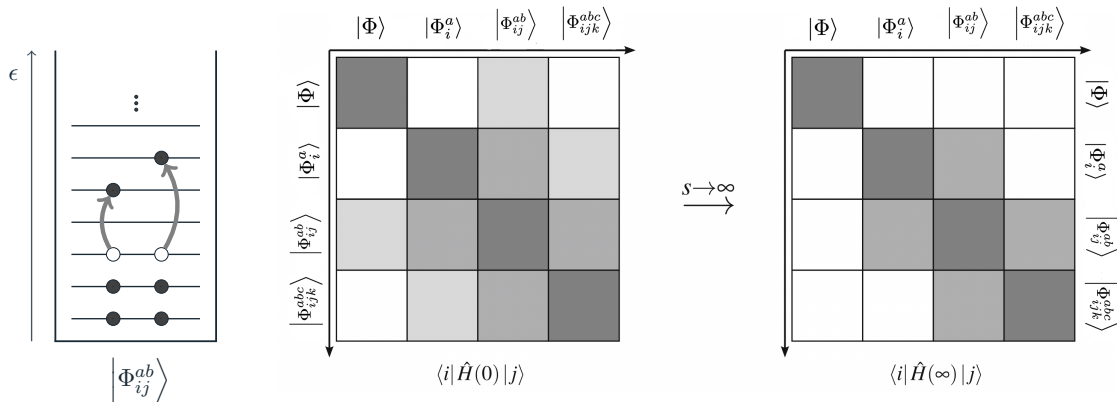
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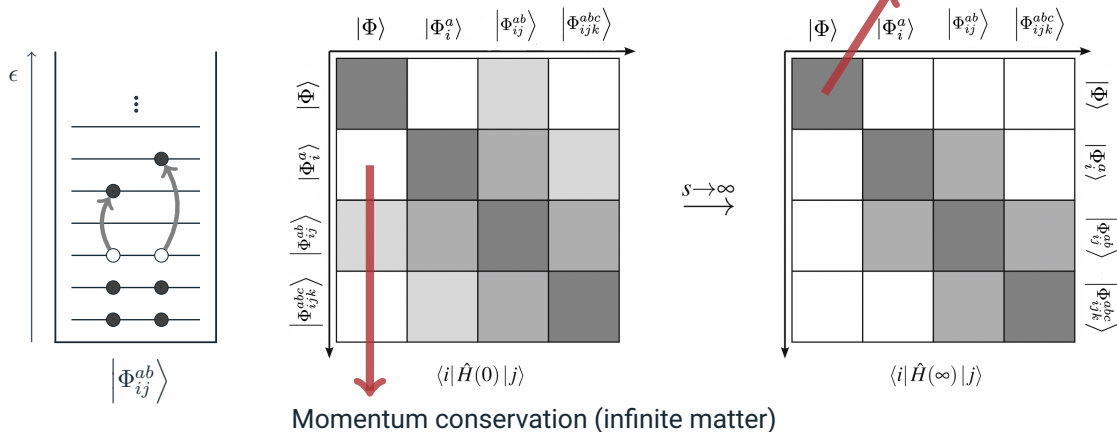
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In-Medium SRG Diagonalization



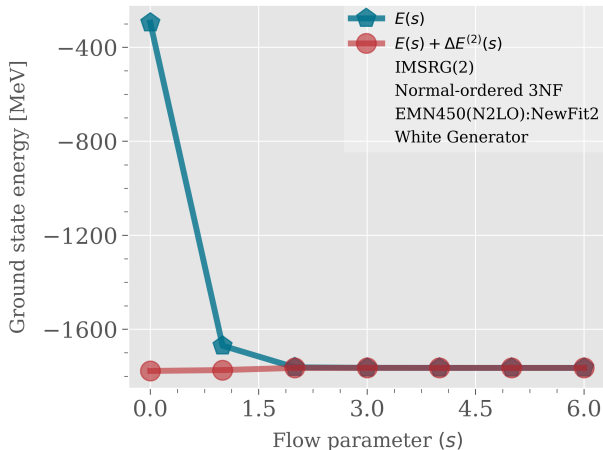
⁹M. Hjorth-Jensen et al., "An advanced course in computational nuclear physics: bridging the scales from quarks to neutron stars", in (Springer Nature, Jan. 2017), pp. 521–529.

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In-Medium SRG Evolution



$$N = Z = 66, \rho = 0.13 \text{ fm}^{-3}, N_{\text{orbitals}} = 3700$$

Magnus Expansion in the In-Medium SRG

$$\frac{dH(s)}{ds} = [\eta(s), H(s)] \iff \left\{ \begin{array}{c} dU(s)/ds = \eta(s)U(s) \\ \downarrow \\ H(s) = U(s)HU(s)^\dagger \end{array} \right\}$$

$$U(s) = e^{\Omega(s)}$$

$\Omega(s)$ = Some complicated expression

Advantages:¹⁰

- ❑ $\Omega(s \rightarrow \infty)$ can be cached for observables beyond energies
- ❑ Memory efficient with built-in unitarity (crude 1st-order Euler solver)
- ❑ *Reminiscent of UCC (can we borrow ideas from UCC?)*

¹⁰T. D. Morris et al., Physical Review C **92**, 10.1103/physrevc.92.034331 (2015).

Project Goals

Physics objectives

Develop a new IMSRG codebase to carry out non-perturbative NM-EOS calculations with realistic internucleon interactions

- ✓ Calculate NM-EOS at multiple proton fractions
- ✓ Use multiple 2 and 3-body chiral forces
- ✓ Lay groundwork for future directions
 - ❖ NM-EOS studies with EFT uncertainty quantification, finite temperature IMSRG, momentum distributions...

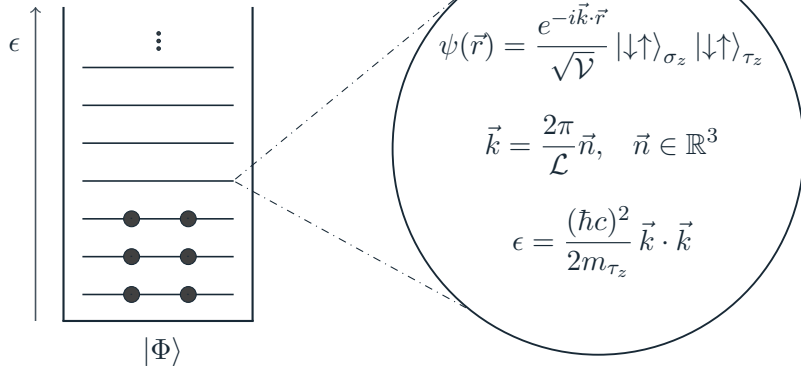
Project Goals

Computational objectives

- ✓ Ensure IMSRG codebase is high-performant
 - ❖ Exploit symmetries of IMSRG operators
 - ❖ Cast tensor contractions into matrix-matrix multiplication
 - ❖ Write contraction algorithms to best utilize CPU cache and thread parallelism
 - ❖ Use approximations in contraction algorithms when feasible
- ✓ Accelerate IMSRG's convergence for computational speedup
 - ❖ Novel UCC-Inspired generators
 - ❖ IMSRG extrapolation using Shanks + Padé transforms (see dissertation)

Modeling Infinite Nuclear Matter in a Cubic Box

We confine N identical neutrons and Z identical protons in a periodic box of volume $\mathcal{V} = \mathcal{L}^3$.



Modeling Infinite Nuclear Matter in a Cubic Box

Index	Occ	n_x	n_y	n_z	σ_z	τ_z	$k \text{ [fm}^{-1}\text{]}$	$\epsilon \text{ [MeV]}$
0	1	0	0	0	$\downarrow\uparrow$	\downarrow	0.000	0.000
2	1	0	0	0	$\downarrow\uparrow$	\uparrow	0.000	0.000
4	1	-1	0	0	$\downarrow\uparrow$	\uparrow	1.458	44.121
6	1	0	-1	0	$\downarrow\uparrow$	\uparrow	1.458	44.121
\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots
74	0	1	1	0	$\downarrow\uparrow$	\downarrow	2.062	88.121

Closed shell system with $N_{\text{orbitals}} = 76$ containing $N = 2$ and $Z = 14$ nucleons with $\mathcal{L} = 4.308 \text{ fm}$, $\rho = 0.20 \text{ fm}^{-3}$

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Finite basis introduces errors in our calculations.

Nuclear Matter Code

We've written a C++ nuclear matter IMSRG code with the following features:

- ✓ Compatible with semi-arbitrary Z/A
- ✓ Implemented with density-dependent 3-body forces
- ✓ Equipped with Shanks + Padé extrapolators¹¹
- ✓ Equipped with canonical and novel generators¹²
- ✓ Multi-threaded and MPI capable
- ✓ Based on Eigen C++ library with BLAS and Intel MKL support
- ✓ Equipped with tools to address finite basis errors (IMSRG-MBPT correlation, compute power for brute-force approach)

¹¹See dissertation

¹²See slide 22

Nuclear Matter Code

Highlights

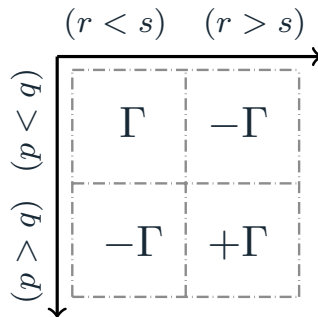
- ✓ Written with care—verbose, unit tested
- ✓ Modernized with C++ features (classes, templates, etc.)
- ✓ Extendable to other systems (e.g. finite nuclei, e^- gas)
- ✓ Integrated with a wide range of NN and 3N chiral interactions
- ✓ *Fast*: ~ 10 hour runtime for $A = 132$, $N_{\text{orbitals}} \sim 3700$ at IMSRG(2)
- ✓ Memory efficient: RAM ~ 1 TB for $A = 132$, $N_{\text{orbitals}} \sim 3700$
- ✓ Modernized with automated data compilation—file parsing for plots

High Performance Optimizations

Operator Symmetries

$$H = \frac{1}{4} \sum_{pqrs} \Gamma_{pqrs} : a_p^\dagger a_q^\dagger a_s a_r : + \dots$$

Matrix representation

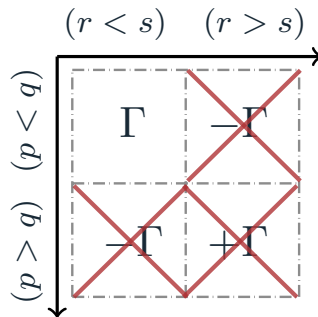


High Performance Optimizations

Operator Symmetries

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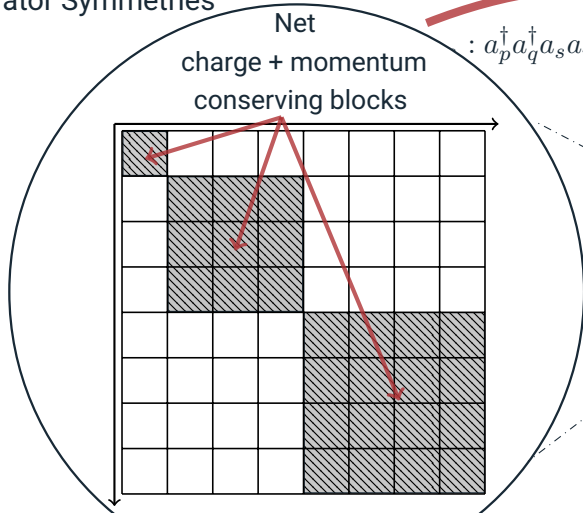
Matrix representation



$\sim 4X$ memory + compute savings

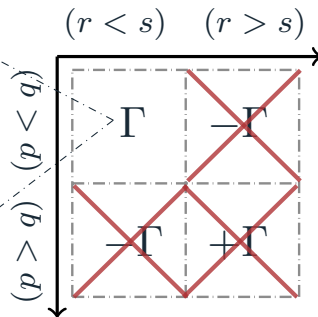
High Performance Optimizations

Operator Symmetries



$$: a_p^\dagger a_q^\dagger a_s a_r : + \dots$$

Matrix representation



~ 4X memory + compute savings

High Performance Optimizations

Particle-Hole Contractions

Commutators are the most expensive computations in the IMSRG(2).

$$\left[\Omega, B\right]_{pqrs} += \sum_{tu} \Omega_{ptur} \times O_{tutu} \times B_{uqst}$$

$$\Omega_{ptur} = \tilde{\Omega}_{prut}$$

$$B_{uqst} = \tilde{B}_{utsq}$$

$$\left[\Omega, B\right]_{pqrs} -= (\tilde{\Omega} O \tilde{B})_{prsq}$$

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Performance optimizations
offloaded to BLAS/MKL



High Performance Optimizations

Particle-Hole Contractions

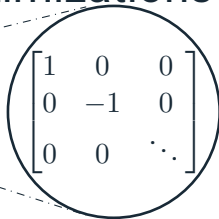
$$O = \begin{bmatrix} O_{\text{PP}} & O_{\text{PQ}} = 0 \\ O_{\text{QP}} = 0 & O_{\text{QQ}} = 0 \end{bmatrix}$$

$$\tilde{\Omega} O \tilde{B} = \begin{bmatrix} \tilde{\Omega}_{\text{PP}} \times O_{\text{PP}} \times \tilde{B}_{\text{PP}} & \tilde{\Omega}_{\text{PP}} \times O_{\text{PP}} \times \tilde{B}_{\text{PQ}} \\ \tilde{\Omega}_{\text{QP}} \times O_{\text{PP}} \times \tilde{B}_{\text{PP}} & \tilde{\Omega}_{\text{QP}} \times O_{\text{PP}} \times \tilde{B}_{\text{PQ}} \end{bmatrix}$$

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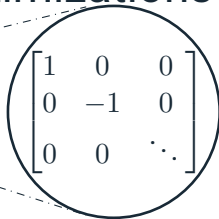
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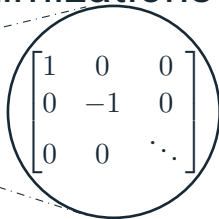
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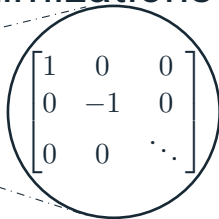
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High Performance Optimizations



Particle-Hole Contractions

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$$\|\tilde{\Omega}_{QP}\| \approx 0$$

$$\|\tilde{B}_{PQ}\| \approx 0 \quad (\text{Magnus expansion})$$

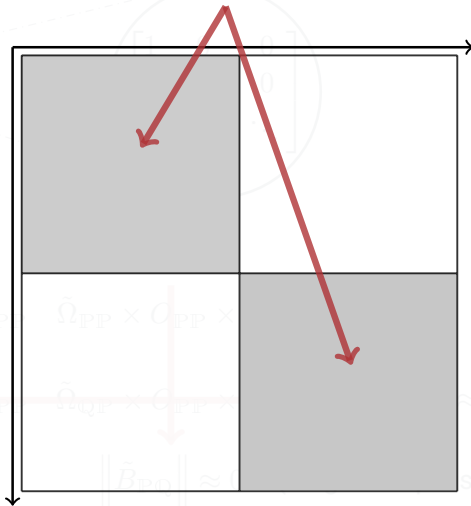
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Relative charge
+ momentum conserving blocks



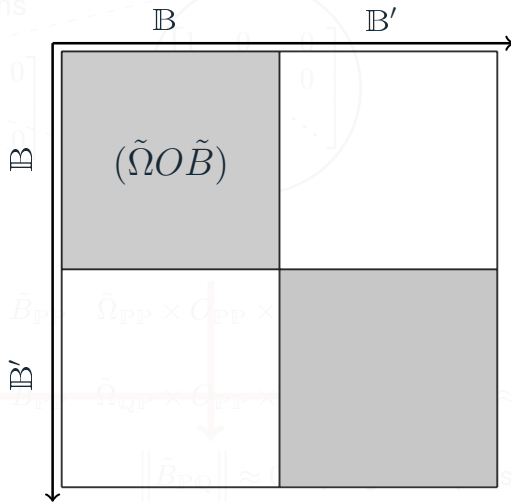
High Performance

Particle-Hole Contractions

Every block \mathbb{B} containing (p, r) is mirrored by \mathbb{B}' containing (r, p)

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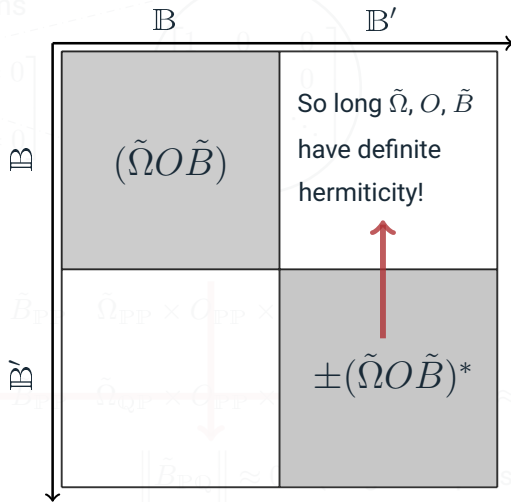
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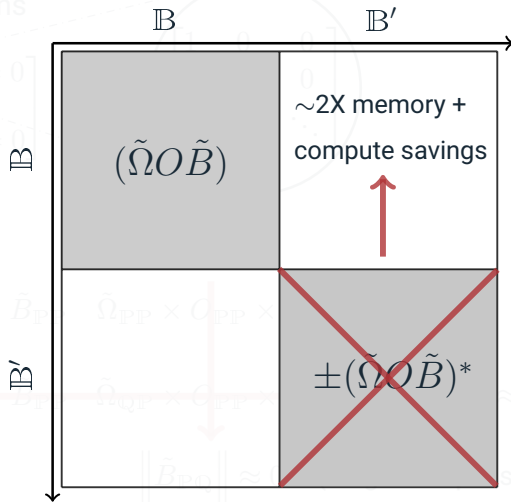
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High Performance Optimizations

Tensor Contractions - Cache Misses ☹️

$$[\Omega, H]_{pqrs} = \sum_t \left(f_{pt} \Omega_{tqrs} + f_{qt} \Omega_{ptrs} - f_{tr} \Omega_{pqts} - f_{ts} \Omega_{pqrt} \right)$$


Largest block size $\sim N_{\text{orbitals}}^2 \times \frac{16}{1\text{e}6} \text{ Megabytes} = 144 \text{ Megabytes}$

$N_{\text{orbitals}} = 3000$

Assume: $f_{p \neq q} \approx 0$




$$[\Omega, H]_{pqrs} = (f_{pp} + f_{qq} - f_{rr} - f_{ss}) \times \Omega_{pqrs}$$

\sum_t eliminated with less cache misses!

High Performance Optimizations

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High Performance Optimizations

Tensor Contractions - Cache Misses

$$[\Omega, H]_{pqrs}$$

$$= \sum_t$$



$$\Omega_{pqts} - f_{ts} \Omega_{pqrt}$$

Largest block

144 Megabytes

AMD20 L3 cache = 16 Megabytes

Per 4-core Core-Complex

$N_{\text{orbitals}} = 3000$

$$[\Omega,$$

$$\times \Omega_{pqrs}$$

Photo Credit: AMD + MSU's ICER

$$\sum_t$$

misses!

High Performance Optimizations

Tensor Contractions - Cache Misses \curvearrowright

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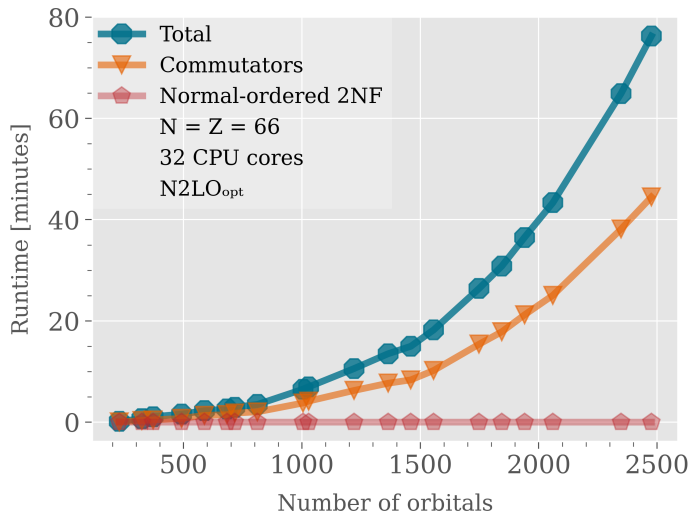
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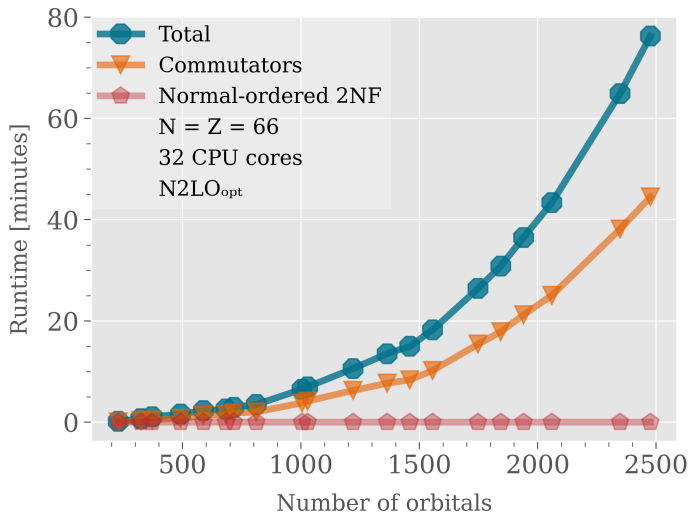
Performance Results



Automated data handling:

- Labeled data
- Auto-generated figures
- Accessible to everyone

Performance Results



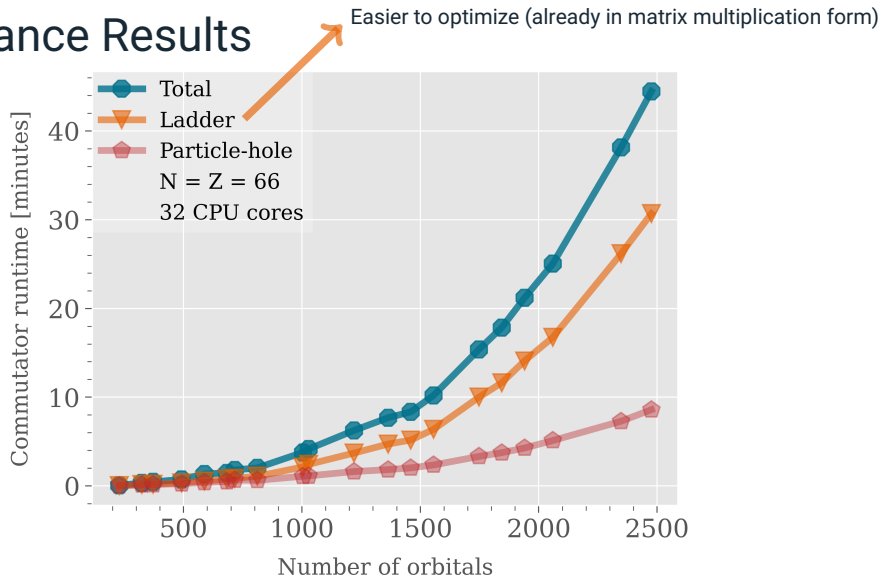
Automated data handling:

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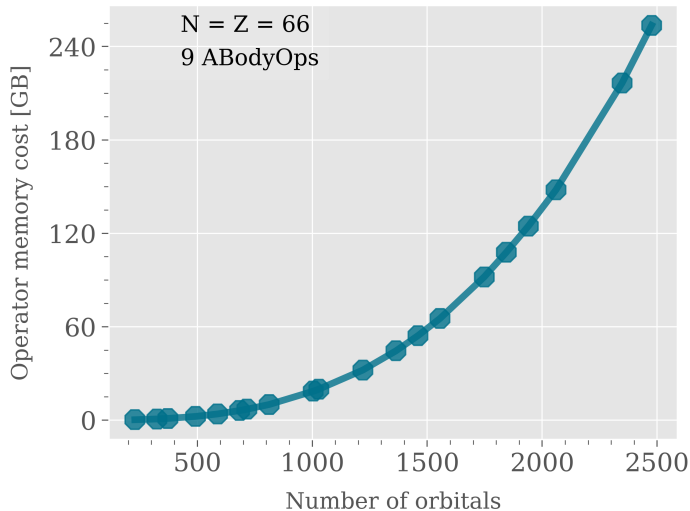
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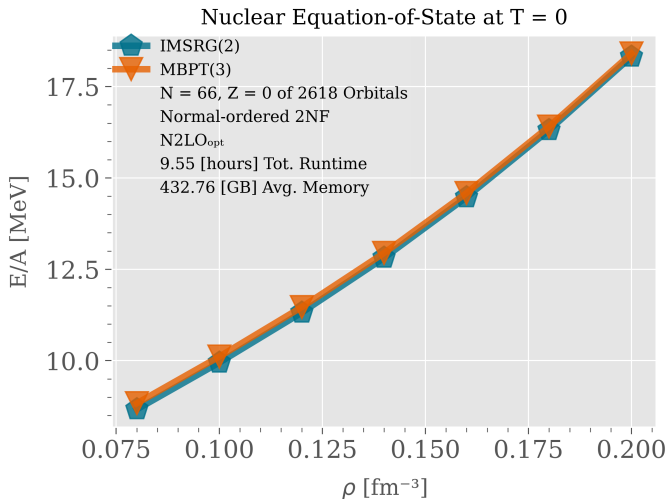
Performance Results



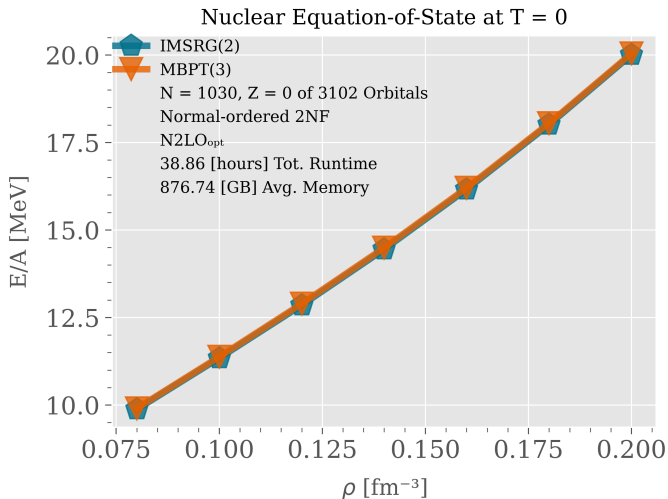
Performance Results



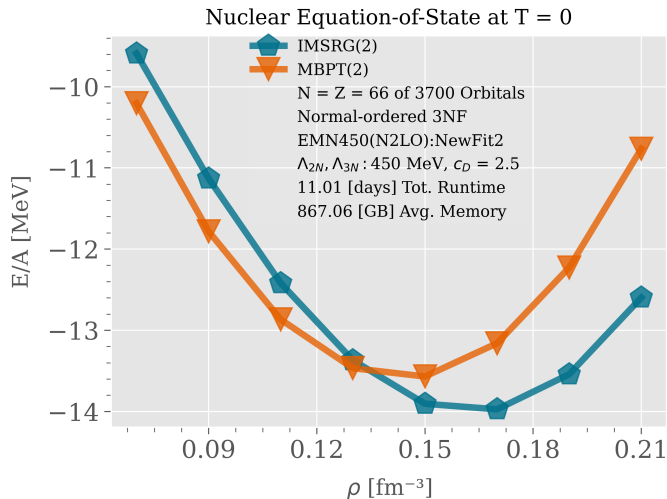
Nuclear Matter Equation-of-State Results



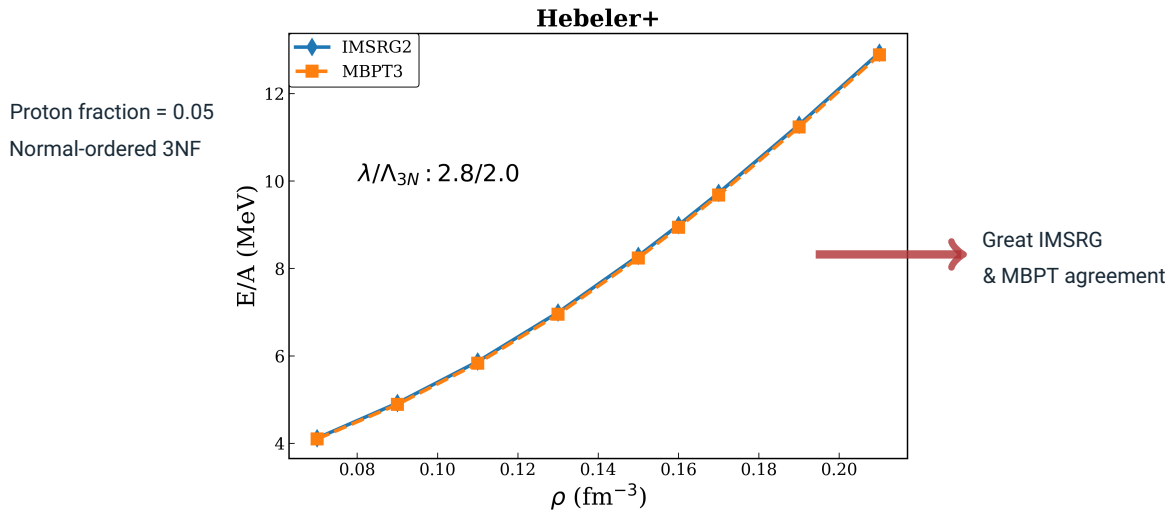
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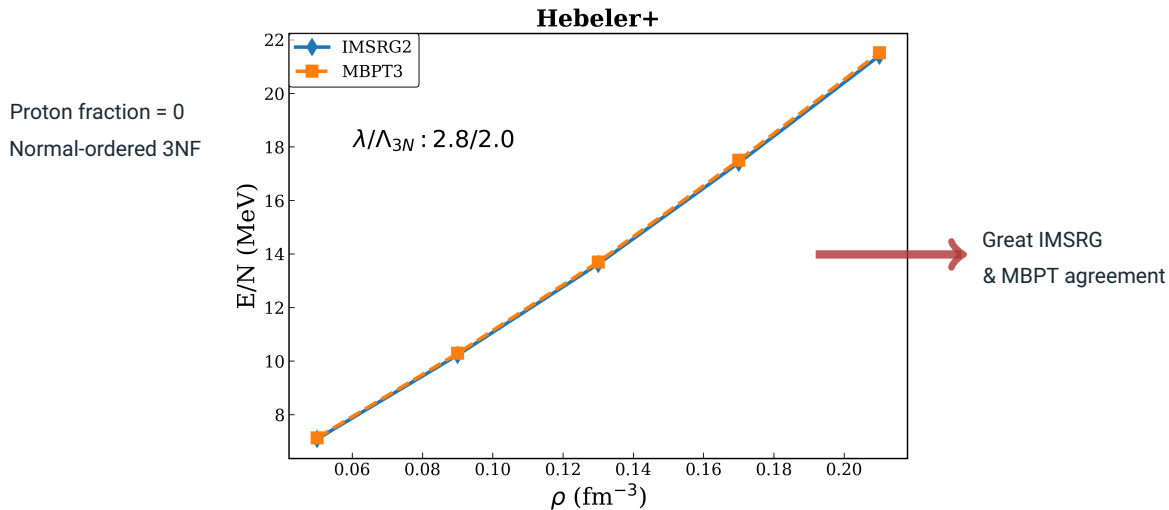
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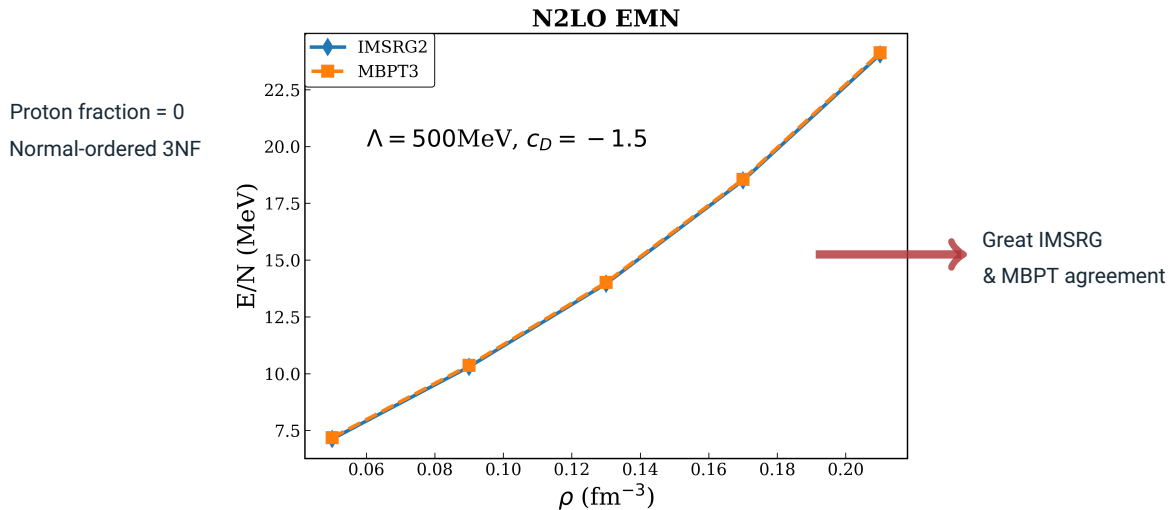
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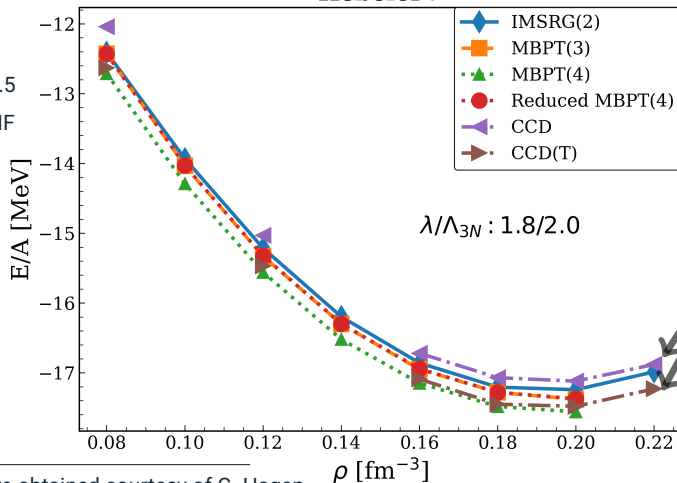
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Nuclear Matter Equation-of-State Results

Hebeler+

Proton fraction = 0.5
Normal-ordered 3NF



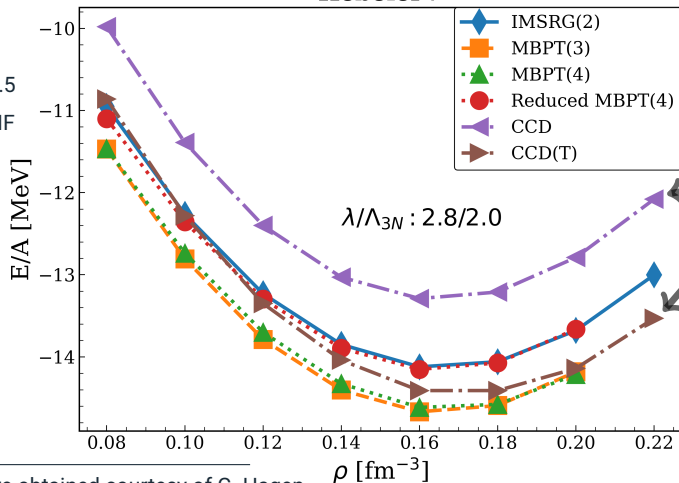
IMSRG(2) between
CCD and CCD(T)

¹⁴C results were obtained courtesy of G. Hagen.

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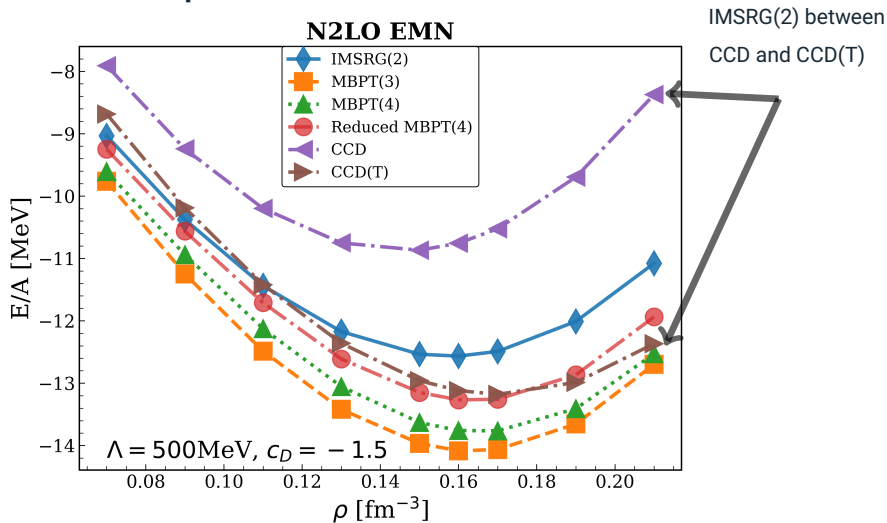


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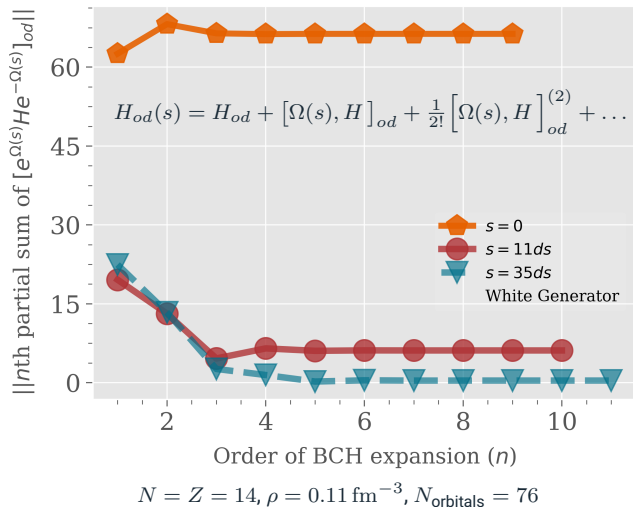
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Key takeaways

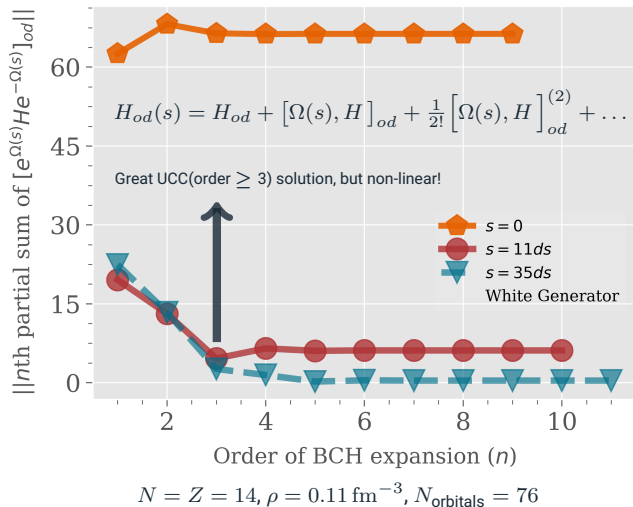
- ❑ Excellent agreement between IMSRG and MBPT in PNM
- ❑ Sizable energy disagreements between methods in SNM with harder forces
 - ❖ Differences understood with perturbative analysis of CC and IMSRG(2)¹⁴
 - ❖ IMSRG(2) generally falls between CCD and CCD(T)—consistent with finite nuclei!
- ❑ All methods saturate near the same point.
- ❑ Triples in CC become sizable with increasing ρ .

¹⁴H. Hergert et al., Physics Reports 621, 165 (2016).

UCC-Inspired IMSRG Generators: General Idea



UCC-Inspired IMSRG Generators: General Idea

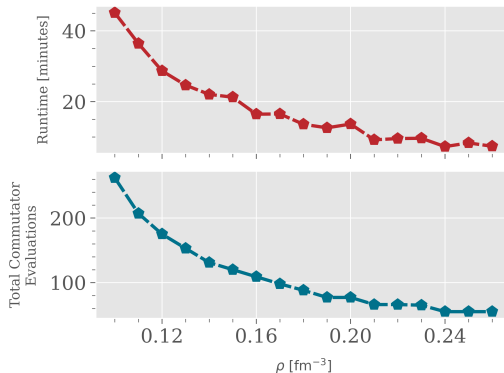


UCC-Inspired IMSRG Generators: Motivation

- ❑ $\mathcal{O}(N_{\text{orbitals}}^6)$ commutator evaluations are the most compute limiting IMSRG(2) operations (without 3-body forces).
- ❑ The IMSRG can sometimes require hundreds of commutator evaluations to converge—detrimental in large systems.

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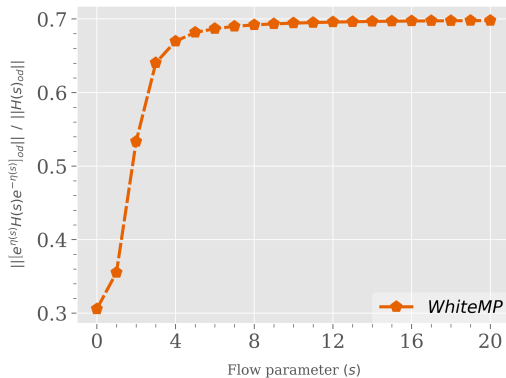


IMSRG performance costs using $\eta^{WhiteMP}(s)^a$, $N = Z = 14$, and $N_{\text{orbitals}} = 1556$.

^aS. R. White, The Journal of Chemical Physics 117, 7472 (2002).

UCC-Inspired IMSRG Generators: Observation

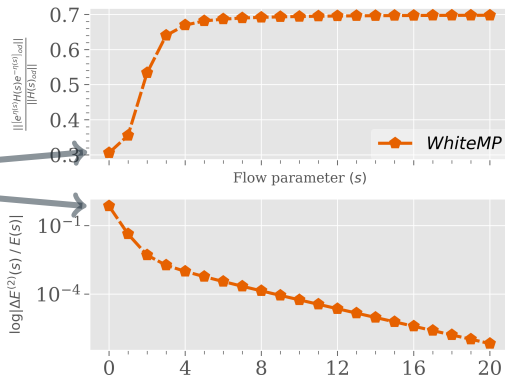
- ❑ $\eta(s)$ partially diagonalizes $H(s)$
- ❑ Magnitude of diagonalization largest early in flow where $\Delta E^{(2)}(s)/E(s)$ is largest and IMSRG is far from convergence



Comparison of $H(s)_{od}$ and its unitary equivalent, where $\eta(s) = \eta^{WhiteMP}(s)$, $N = Z = 14$, $\rho = 0.12$, and $N_{\text{orbitals}} = 228$.

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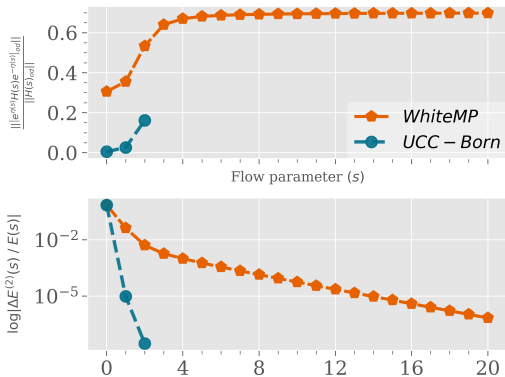
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UCC-Inspired IMSRG Generators: Hypothesis

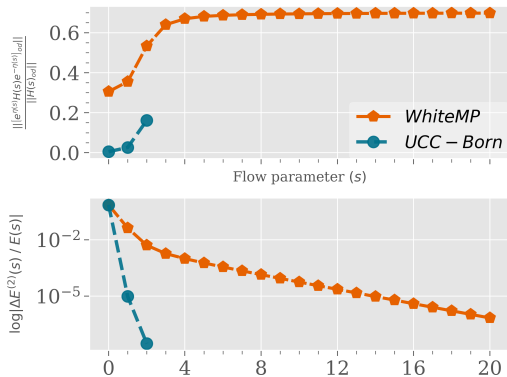
- ❑ Convergence acceleration achievable by improving diagonalizing power of $\eta(s)$
- ❑ Look towards UCC for better diagonalizers $\eta(s)$



Post-hoc comparison of $H(s)_{\text{od}}$ and its unitary equivalent, where $N = Z = 14$, $\rho = 0.12$, and $N_{\text{orbitals}} = 228$.

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UCC Theory

Consider a given Hamiltonian H . We aim to find η such that

$$[e^\eta H e^{-\eta}]_{od} = 0, \quad \eta = -\eta^\dagger$$



$$-H_{od} = [\eta, H]_{od} + \frac{[\eta, H]_{od}^{(2)}}{2!} + \frac{[\eta, H]_{od}^{(3)}}{3!} + \dots \quad (\text{BCH expansion})$$

$$-H_{od} = \left[\eta, \underbrace{\sum_{m=0}^{\infty} \frac{[\eta, H]_{od}^{(m)}}{(m+1)!}}_{H^{RG}(\eta)} \right]_{od} \quad \text{Invertible?}^{15}$$

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Commutator Inversion via Born Series

Suppose we are tasked with inverting the following commutator¹⁶

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 -H_{abij} &= [\eta, H^{RG}]_{abij} \\
 &\quad \swarrow \quad \searrow \\
 &\quad \Gamma_{abij} \quad E^{RG} + f^{RG} + \Gamma^{RG} \\
 -\Gamma_{abij} &= \cancel{[\eta, E^{RG}]_{abij}} + [\eta, f^{RG}]_{abij} + [\eta, \Gamma^{RG}]_{abij} \\
 &\quad \quad \quad \swarrow \\
 [\eta, f^{RG}]_{abij} &= -\Delta_{abij}^{RG} \times \eta_{abij} \quad \text{See slide 38}
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Commutator Inversion via Born Series

$$\Downarrow$$
$$\eta_{abij} = \frac{\Gamma_{abij}}{\Delta_{abij}^{RG}} + \frac{[\eta, \Gamma^{RG}]_{abij}}{\Delta_{abij}^{RG}}$$

Adopting the following notation for any A-body operator O^{16}

$$(O_*)_{abij} \equiv \frac{O_{abij}}{\Delta_{abij}^{RG}}$$

$$\eta = \Gamma_* + [\eta, \Gamma^{RG}]_* \quad (\text{Lippmann-Schwinger Eq.})$$

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Commutator Inversion via Born Series

$$\eta \approx \Gamma_* + \left[\Gamma_*, \Gamma^{RG} \right]_* + \left[\left[\Gamma_*, \Gamma^{RG} \right]_*, \Gamma^{RG} \right]_* + \dots$$



Dr. Max Born
University of Göttingen
(1882-1970)

Preconditioning the Born Series

- All novel generators strongly rely on the Born series
 - ❖ Its convergence behaviour is paramount
 - ❖ We seek to accelerate its convergence when possible¹⁶

$$-H_{abij} = \left[\underbrace{\eta}_{\eta^{Guess} + \delta\eta}, H^{RG} \right]_{abij}$$
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¹⁶Preconditioning the Born series is reminiscent of the Newton-Krylov method [11].

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Estimating $H^{RG}(\eta)$

Recall, we aim to solve: $-H_{abij} = \left[\eta, \underbrace{H + \frac{1}{2!} [\eta, H] + \frac{1}{3!} [\eta, H]^{(2)} + \dots}_{H^{RG}(\eta)} \right]_{abij}$

Novel generators estimate $H^{RG}(\eta)$ differently:

$$-H_{abij} = \left[\eta^{Born}, H \right]_{abij}$$

$$-H_{abij} = \left[\underbrace{\eta^{UCC-Born}}_{\eta^{Born} + \delta\eta}, H + \frac{2}{3} [\eta^{Born}, H] + \frac{1}{3} [\eta^{Born}, H]^{(2)} + \dots \right]_{abij}$$

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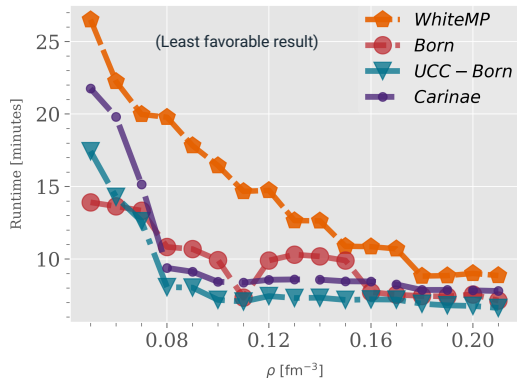
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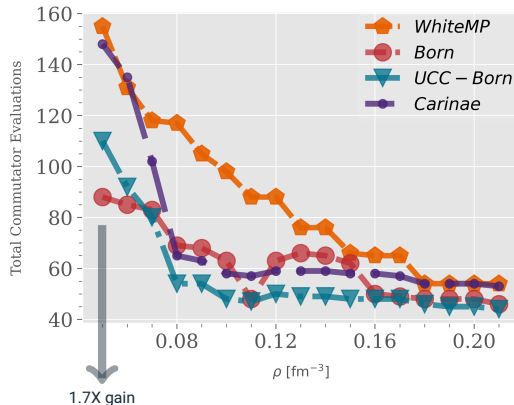
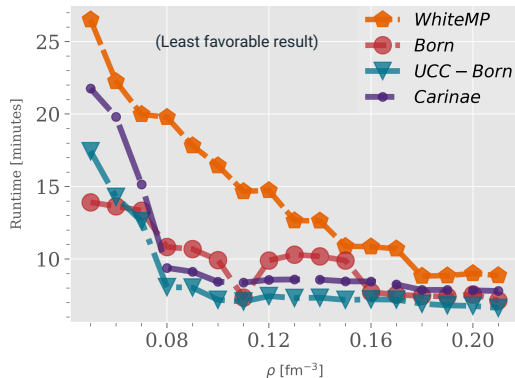
$$-H_{abij} = \left[\underbrace{\eta^{\{k^*+1\}}}_{\eta^{Carinae}}, H^{RG}(\eta^{\{k^*\}}) \right]_{abij} \quad (\text{Successive approximations})$$

Results: Generator Comparisons



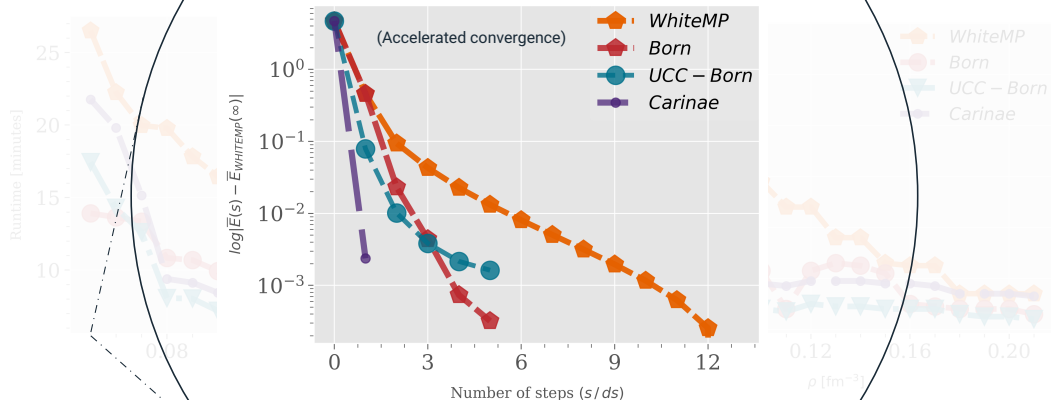
N2LO_{opt}, $N = 66$, $Z = 54$, $N_{\text{orbitals}} = 1460$

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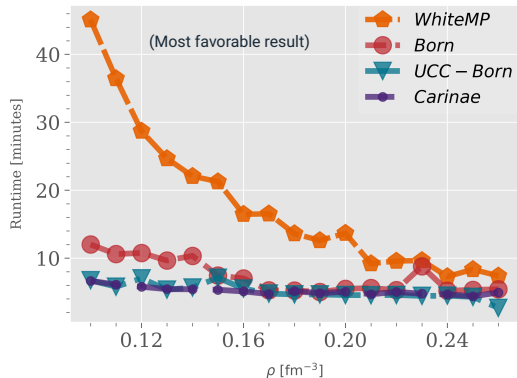
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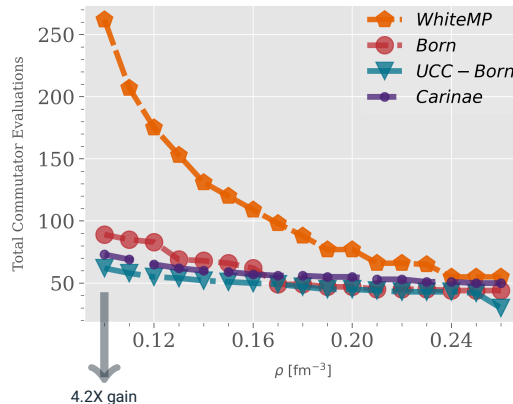
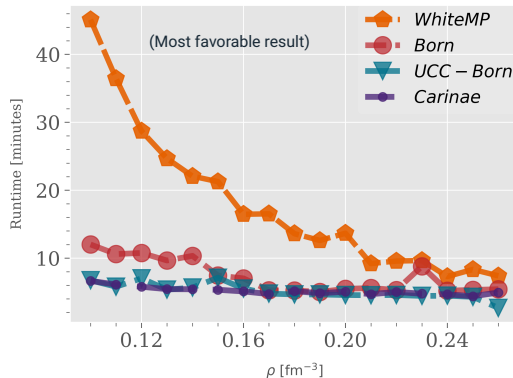
$\rho = 0.05 \text{ fm}^{-3}$

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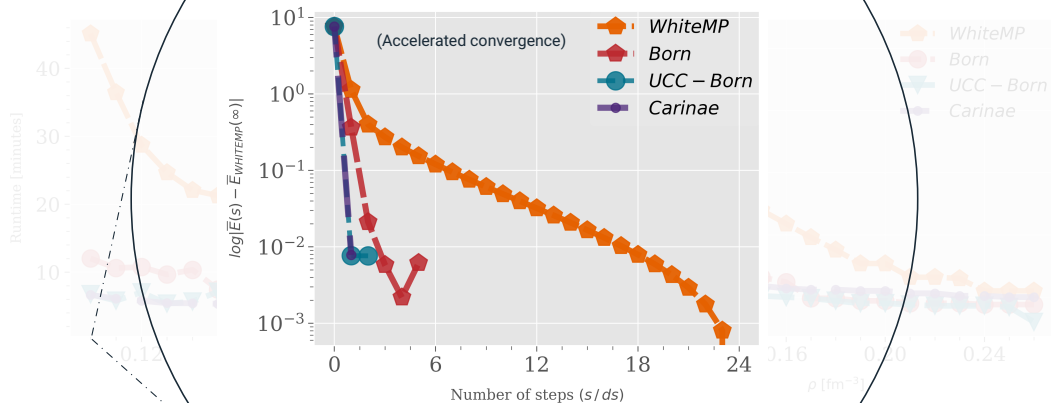
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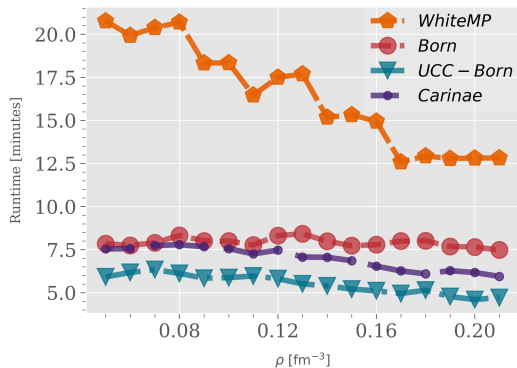
Results: Generator Comparisons



$\text{N2LO}_{\text{opt}}, N = 14, Z = 14, N_{\text{orbitals}} = 1556$

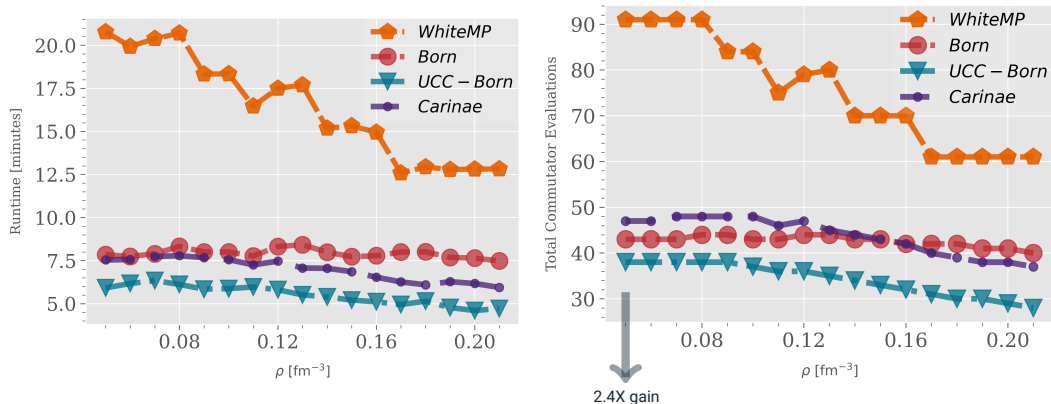
$\rho = 0.1 \text{ fm}^{-3}$

Results: Generator Comparisons



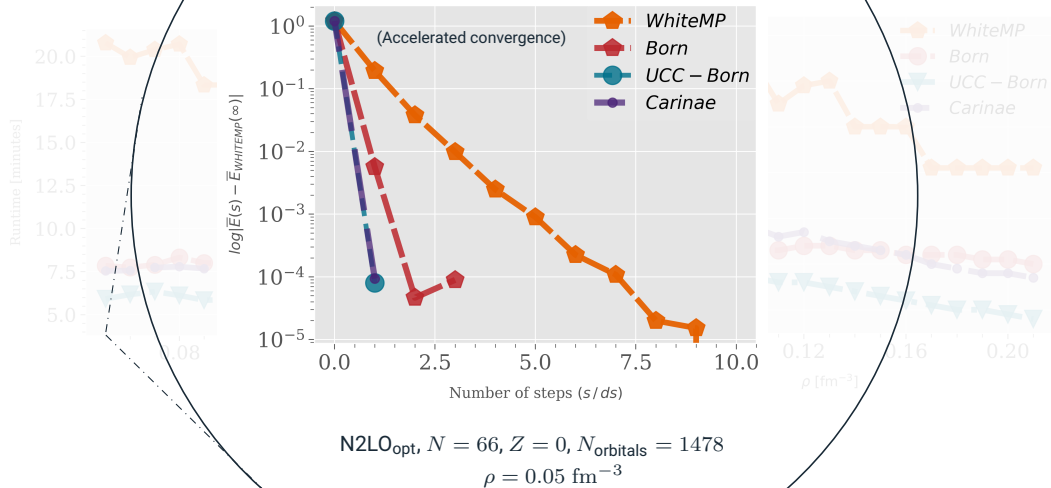
$N2LO_{\text{opt}}, N = 66, Z = 0, N_{\text{orbitals}} = 1478$

Results: Generator Comparisons



N2LO_{opt}, $N = 66$, $Z = 0$, $N_{\text{orbitals}} = 1478$

Results: Generator Comparisons



Results: Generator Comparisons

Key takeaways

- ❑ Novel generators accelerate IMSRG's convergence.
- ❑ We generally see reductions in commutator evaluations sometimes translating to 2–4X IMSRG speedup.
- ❑ Speedup with novel generators is strongly system dependent.
 - ❖ Regardless, runtimes with novel generators are more stable when varying ρ .

Summary

- ❑ We extended the IMSRG to compute realistic NM-EOS with three chiral forces.
 - ❖ Developed a state-of-the-art, high-performant nuclear matter IMSRG program with access to a multitude of two- and three-body forces
 - ❖ Made comparisons of IMSRG(2) to MBPT and CC obtained NM-EOS
 - * Observed notable disparities in SNM due to non-perturbative physics
- ❑ IMSRG NM-EOS computations are *very* computationally expensive. Thus, we:
 - ❖ Accelerated the IMSRG using three novel UCC-inspired IMSRG generators
 - * Used approximate UCC solutions as IMSRG generators
 - * Introduced the preconditioned Born series in UCC
 - ❖ Applied known nonlinear methods—i.e., Shanks and Padé transforms to accelerate the IMSRG (see dissertation)

Outlook

- ❑ Three chiral forces at N2LO are used in this work. More are needed, going up to N3LO, to determine EFT truncation errors.¹⁷
- ❑ Excited to see possible emulation of IMSRG computed NM-EOS. See works of Lee and König *et al.* [13], Ekström and Hagen [14], Davidson [15], and Cook *et al.* [16].
- ❑ We look forward to incorporating triples into the nuclear matter IMSRG.¹⁸
- ❑ Exciting developments on the horizon by Kang Yu for IMSRG computed momentum distributions, static structure factors, etc. in nuclear matter
- ❑ Finite temperature IMSRG extensions¹⁹

¹⁷C. Drischler *et al.*, *Physical Review C* **102**, 10.1103/physrevc.102.054315 (2020).

¹⁸S. R. Stroberg *et al.*, *Imsrg with flowing 3 body operators, and approximations thereof*, 2024.

¹⁹I. G. Smith *et al.*, *The in-medium similarity renormalization group at finite temperature*, 2024.

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Assumptions Used in This Work

For all Hamiltonians $H = E + f + \Gamma$:

0. All A-body operators are truncated at the 2-body level.

1. $f_{pq} = \delta_{pq} \times f_{pq} \quad \forall pq \longrightarrow H_{od} = \cancel{f_{ai}} + \Gamma_{abij} \quad \forall abij$.

2. $\Delta_{abij} \equiv f_{aa} + f_{bb} - f_{ii} - f_{jj} \neq 0 \quad \forall abij$.

3. We denote approximate diagonalizers of H by

$$\eta(H) \equiv \sum_{ai} \cancel{\eta_{ai}(H)} : a_a^\dagger a_i : + \frac{1}{4} \sum_{abij} \eta_{abij}(H) : a_a^\dagger a_b^\dagger a_j a_i : - \text{H.c.}$$

where H.c. denotes the Hermitian conjugate of $\eta(H)$. $\eta(H)$ is assumed to be zero in its diagonal sectors. We also assume $\eta_{ai}(H) \propto f_{ai}$, and $f_{ai} = 0$.