THE VOLTO SANTO OF LUCCA: ITS PROVENANCE AND LITURGICAL SIGNIFICANCE

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THESIS

ABSTRACT

THE VOLTO SANTO OF LUCCA:

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By

Gary M. Radke

This study attempts to delineate how the Volto Santo of Lucca, an over-life-size representation of Christ upon the Cross, was originally used during Passion Week and Eastertide. By examining published fragments of ceremonies which involved the Volto Santo, it has been possible to suggest that the Volto Santo's Chapel symbolically represented both Golgotha and the Holy Sepulchre in Jerusalem. The relationship of the Volto Santo Chapel's placement in the north aisle of Lucca cathedral to the main altar of that church mirrors the relationship between the Golgotha Chapel and the Holy Sepulchre in Jerusalem.

The statue itself, like the present chapel, replaces a lost original. Since the present statue shows many similarities with German works of art and there were strong political ties between the Ottonian emperors and Lucca, it can be concluded that the original Volto Santo was based on German prototypes and was carved sometime in the second quarter of

the eleventh century. Indeed, Bishop Giovanni II of Lucca had frequent dealings with the German leaders and the Volto Santo's advent can be specifically associated with events in his bishopric. The present Volto Santo, on the other hand, was probably carved in the early thirteenth century by a sculptor conversant with the style of Benedetto Antelami.

This study's discussion of the Volto Santo's provenance summarizes and expands upon a large body of art historical literature on this subject, while the discussion of the statue's liturgical significance depends more heavily upon the scholarship of historians of drama. Such a union of studies in the arts, drama and liturgy has recently gained some popularity among art historians, but the full implications of such a study of the Volto Santo and of its cult are still to be explored.

THE VOLTO SANTO OF LUCCA: ITS PROVENANCE AND LITURGICAL SIGNIFICANCE

Ву

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INTRODUCTION

"What is the Volto Santo? ...

It is evident that we are face to face with a mystery of the first class."

The Volto Santo ("Holy Face") of Lucca is an over-life-size wooden simulacrum of Christ. Local tradition credits
Nicodemus with having imparted the Lord's actual features to
this statue. Dressed in a long-sleeved colobium and triumphantly displayed upon a cross, the Volto Santo is famous
not only for its associations with Nicodemus; it is also distinguished by its unusual Eastern garb and its placement in
a freestanding chapel in the north aisle of Lucca cathedral.
The present study of the Volto Santo's provenance and liturgical significance originated in an attempt to discern the
origins and symbolic intention of these peculiarities of
dress and placement.

The first scholars to be fascinated by the mysteries of the Volto Santo were Italians who had very parochial concerns in mind. The seventeenth century work of Franciotti, along with the nineteenth century treatises of di Poggio and Barsocchini, sought to defend the integrity of the Volto Santo as a sacred image. These early writers also began the task of collecting and reviewing the surviving medieval

records which relate to the Volto Santo.

In the late nineteenth century Almerico Guerra wrote his <u>Storia del Volto Santo.</u> This book is still the standard work on all aspects of the statue and its cult. Examining historical documents and local tradition, Guerra discussed the Volto Santo's history from the statue's presumed origins at the hands of Nicodemus to its place in the life of nineteenth century Lucca.

German scholars began to take interest in the Volto Santo in the first quarter of the twentieth century. Articles written by Wüscher-Becchi, Schnürer and Panofsky indicated how the cult of the Volto Santo had spread throughout northern Europe during the Middle Ages. The image of the Volto Santo itself was shown to be recorded in paintings at Schaffhausen and Kronberg and in a monumental, colobium-clad crucifix at Braunschweig. This period also saw the first investigations of how, during the late Middle Ages, the Volto Santo had been transformed into a female saint known as St. Kümmernis or St. Wilgefortis. These studies culminated in the definitive 1934 work on this subject by Schnürer and Ritz. 6

Research between 1920 and 1936 turned more directly to the Volto Santo itself. During this period there was a renewed popular interest in the medieval processions and ceremonies celebrated on September fourteenth of each year to honor the Volto Santo, as witnessed by articles published by

Guidi and Lazzareschi. In 1922 Luigi Dami published the first photograph of the Volto Santo which showed the statue without its extravagant accumulation of later ornaments. On stylistic grounds Dami proposed a late twelfth or early thirteenth century date for the Volto Santo. Since this date does not correspond with surviving records of the Volto Santo's early history, heated arguments about the Volto Santo's dating and origins ensued. It was not until 1936 that Francovich was able to bank the fires of this debate. He suggested, and scholars readily agreed, that the present Volto Santo is probably not the original statue known by that name, but a copy of the original.

The studies of Francovich and Schnürer and Ritz did solve many of the problems regarding the Volto Santo, but few of the implications of their studies were developed during the unsympathetic Fascist and Nazi regimes of the next decade. Also hindered by the disruptions of World War II, scholars have only recently resumed studying the Volto Santo.

A 1955 article on an Italian copy of the Volto Santo and Reiner Hausherr's cogent reinvestigation of the Braunschweig crucifix of 1962 both take an indirect look at the Volto Santo. 10 Even an admirable work such as Schwarzmaier's 1972 study of Lucchese history up to the end of the eleventh century deals only with a very narrow consideration of the Volto Santo's cult in the eighth century. 11

Returning to the earlier studies of Guerra, which focused directly upon the Volto Santo, I shall attempt to

reconstruct the history of both the present and original Volto Santos. By observing precedents in Ottonian manuscript illuminations and by citing the strong political ties between Lucca and the German emperors, I shall suggest that the original Volto Santo arrived in Lucca during the second quarter of the eleventh century.

It will be necessary to return to a discussion of the legends surrounding the Volto Santo and the history of eighth century Lucca in order to clarify the composite character of the image's cult.

This study shall also explore the original significance of the Volto Santo's chapel in Lucca cathedral. Concurrently, its use in the liturgical ceremonies of Passion Week and Eastertide will be studied. An increased interest in art historical research which unites studies of art, architecture and liturgy, as exemplified by Carol Heitz's 1963 study of architecture and liturgy in Carolingian and Ottonian times, 12 has made this study possible and suggested its approach. am likewise indebted to the efforts of Gordana Babić, Thomas Mathews and Ilene Forsyth for their delineations of some of the relationships between the visual and dramatic arts. 13 Young's pioneering studies of the origins of the liturgical drama shall serve as the basis from which a synthesis of the artistic and dramatic qualities of the Volto Santo can be explored. 14 In the present study, the path to a discussion of these concerns proceeds from an exploration of the various

legends and local traditions which account for the Volto Santo.

The original chapel in which the Volto Santo was placed and the ceremonies in which it played a part will be related, as other authors have related their particular monuments, to prototypes at the Holy Sepulchre in Jerusalem. The associations with this monument will be shown to be of special importance to the symbolic significance of the Volto Santo in the eleventh and twelfth centuries.

In the end these explorations are very modest beginnings. Although I shall suggest answers to previously unasked questions, my replies must remain tentative in view of the paucity of documentary evidence available in published form outside of Lucca today. In this sense, this study is a proposal for further study, a suggestion of the sorts of answers one might expect to derive from extensive research in the Lucchese archives.

NOTES - INTRODUCTION

- larthur Kingsley Porter, Spanish Romanesque Sculpture, Vol. 2 (Florence: Pantheon, 1928), 8.
- ²Cesare Franciotti, <u>Historia delle miracolose</u> <u>imagini</u> <u>e delle vite de i santi</u> (Lucca: D. Guidoboni, 1613).
- ³F. di Poggio, <u>Illustrazione del SS. Crocifisso di Lucca</u>, <u>detto volgarmente il Volto Santo</u> (Lucca, 1839) and Domenico Barsocchini, "Ragionamento sul Volto Santo," <u>Memorie e Documenti per servire all'istoria del Ducato di Lucca</u>, 5 (1844), 41ff.
- ⁴Almerico Guerra, <u>Storia del Volto Santo di Lucca</u> (Lucca: Tipografia Arcivescovo s. Paolino, 1881).
- ⁵E. Wüscher-Becchi, "Grosse Gott von Schaffhausen,"

 <u>Anzeiger für schweizerische Altertumskunde</u>, NF 2B (1900),

 116-26, Gustav Schnürer, "Das Volto Santo-bild in der Burgkapelle zu Kronberg," <u>Zeitschrift für christliche Kunst</u>, 3

 (1913), 77-88 and Erwin Panofsky, "Das Braunschweiger Domkruzifix und das Volto Santo zu Lucca," <u>Festschrift für H.</u>

 <u>Goldschmidt</u> (Lipsia, 1923), 37-44.
- ⁶Gustav Schnürer and Joseph M. Ritz, <u>Sankt Kümmernis</u> und Volto Santo (Dusseldorf: L. Schwann, 1934).
- ⁷Pietro Guidi, <u>La 'Luminara' di S. Croce nel medio</u> evo (Lucca: Tipografia Casini, 1920) and E. Lazzareschi, "La festa di S. Croce a Lucca," <u>Le Vie</u> <u>d'Italia</u>, 40 (1934), 627-39.
- ⁸Luigi Dami, "Volto Santo di Lucca," <u>Dedalo</u>, Anno II, Vol. III (February 1922), 708-11.
- Géza de Francovich, <u>Il Volto Santo di Lucca</u> (Lucca: Scuola tipografica Artigianelli, 1936).
- 10Hugh Honour, "An Unpublished Romanesque Crucifix," Connoisseur, 136 (1955), 150-54 and Reiner Haussherr, "Das Imvardkreuz und der Volto-Santo-typ," Zeitschrift für Kunstwissenschaft, 16 (1962), 129-70.

llHansmartin Schwarzmaier, <u>Lucca und das Reich bis zum</u> Ende des ll. Jahrhunderts (Tübingen: Max Niemeyer, 1972).

12Carol Heitz, Recherches sur les rapportes entre Architecture et Liturgie à l'époque carolingienne (Paris: S.E.V.P.E.N., 1963).

13Gordana Babić, Les Chapelles Annex des Églises
Byzantines. Fonction Liturgique et Programmes Iconographiques
(Paris: Editions Klincksieck, 1969); Thomas F. Mathews, The
Early Churches of Constantinople: Architecture and Liturgy
(University Park: Pennsylvania State University Press, 1971);
Ilene H. Forsyth, The Throne of Wisdom (Princeton: Princeton
University Press, 1972).

14Karl Young, The Dramatic Associations of the Easter Sepulchre (Madison, Wisconsin, 1920) and The Drama of the Medieval Church, 2 Vols. (Oxford: Clarendon Press, 1933).

CHAPTER I

THE LEGEND OF LEOBINO

In Lucca the history of the Volto Santo has traditionally been reckoned from the legend of Leobino. This narration of the events associated with the Volto Santo's arrival in Lucca states that the statue was sculpted by Nicodemus and subsequently brought to Lucca in the eighth-century. However, the earliest surviving manuscripts of the legend date from the twelfth-century. This chapter will retell Leobino's tale and then subject it to a brief literary analysis in order to determine whether an eighth-century date can truly be assigned to the Volto Santo.

In the prologue to the legend the deacon Leobinus greets all his brothers in Christ and states his intention to tell all that he has seen with his own eyes and heard from men of faith ("que oculis nostris vidimus et auribus nostris per religiosos viros audivimus"). He is sure that his recounting of the story of the Volto Santo will be useful for the believer and non-believer alike ("ad fidelium scrire cupientium eruditionem, ad infidelium confutationem seu quod melius est conversionem").

I. "De revelatione"

Gualefredus, referred to by Leobino as "subalpinus

episcopus," was forced to stay in the Holy Land for an extended period of time on his pilgrimage there, because his fellow pilgrims were sick. On account of his continuous prayers, fasting and alms-giving he was accorded a very special honor. Having fallen asleep after all the pious activity of a busy day, Gualefredus was greeted by an angel who appeared to him in a dream and said, "Rise, servant of God and find the most holy face sculpted by Nicodemus ('sacratissimum vultum a Nichodemo sculptum')." It was revealed to Gualefredus that the most holy face was to be found next to his lodgings in a cave below the house of a certain Christian called Seleucio ("in domum Seleucii viri christianissimi hospicio tuo adherentem ibique sanctissimum vultum in cripta positum invenies").

This Nicodemus was the one mentioned in the gospels who had come to Jesus by night. After Jesus' resurrection and ascension Nicodemus always had the image of Christ on his mind ("semper gestaret Christum in pectore, semper haberat in ore"), and translated his recollections into wood with divine help ("sacratissimum vultum non sua, sed divina arte desculpsit"). Before his death, Nicodemus entrusted the sculpture to Isachar who hid it from the Jews so that succeeding generations are still able to venerate it. This image is of our Savior incarnate as he hung upon the cross for us ("Preciosi vultus figura redemptorem nostrum incarnatum et pro nobis in cruce pendentem quasi quibusdam liniamentis representatum exprimit").

II. "De sanctissimi vultus inventione"

After having found the Holy Face, Bishop Gualefredus and his companions decided to place it in a ship and transport it, with God's help, back to Europe ("deo gubernante, usque ad Romanas partes"). Gualfredus placed the holy crucifix inside the boat, along with many lit candles and lamps, at the port of Jaffa. Gualefredus made the boat waterproof with bitumen, as Noah had done to the ark ("sicut de archa Noe sancta Geneseos narrat hystoria"). He committed the vessel to Divine Providence and prayed with his companions that so precious a gift would find its way to a place where many devoted Christians would enjoy its protection ("ac talis locus ditatus ornaretur, in quo innumerabiles populi christiane religionis concursum devote et fideliter facientes, visu eius et presidio assidue protecti et defensi gratulentur"). Without human effort, the boat was guided across the sea by divine power to the port of Luni ("nullo mortalium remigante- non enim intus ullus fuerat- sed sola divina potentia"). The inhabitants of Luni, professional pirates ("fraudibus et rapinis marinis"), sought to capture this ship, but God did not let its valuable cargo fall into the hands of such people.

III. "Qualiter Lucam translatus fuerit"

At this time, Bishop Giovanni of Lucca, "vir quidem Deo acceptus," had a dream in which an angel appeared to him and said, "Rise, servant of God and go without delay, along with your brothers, to the port of Luni where you will find a ship and inside it a representation of Christ as he hung

upon the cross ('salvatoris mundi imago posita, qualiter in cruce pro hominibus passus sit'). It was made by Nicodemus who saw and touched Christ ('qui Christum vidit et tetigit').

God wills that you bring this work to your city."

Giovanni, along with the clerics of Lucca, hurried to Luni and saw the vessel which refused to be captured by the Lunese. ("Lunenses gemina ope remis et velis laborabant... sed nichil machinando proficiebant"). The Lucchese sang hymns and the sea calmed and the ship miraculously came to rest on the shore. With tears of happiness and gratitude the Lucchese sang "Gloria in excelsis" ("prae gaudio lacrimas effuderunt et ymnum angelicum decantantes divine misericordie gratias retulerunt").

There necessarily arose a dispute between the Lucchese and the Lunese as to the ownership of the miraculous vessel, but Bishop Giovanni resolved the conflict by giving the Lunese a glass ampulla of the blood of Christ ("ampullem vitream Christi precioso sanguine") and taking the Volto Santo back to Lucca ("preciossimum vultum ad suam urbem cum magna gloria Christo duce portavit") amidst the rejoicing and singing of the Lucchese.

This took place in the year of our Lord 742, in the second year of the reigns of Charlemagne and Pepin ("tempore Karoli et Pipini serenissimorum regum, anno regni eorum secundo"). The image was placed in the south aisle, near the door, of the cathedral ("prope valvas eiusdem basilice ad australem plagam").

A brief analysis of the legend of Leobino suggests that the provenance of the Volto Santo is other than that which is stated in the legend. Developed and preserved through oral tradition, the legend may, nonetheless, offer veiled glimpses of the actual circumstances of the Volto Santo's creation and arrival in Lucca. The following pages are a necessary beginning at penetrating nearer, if not always arriving at, the truth therein.

Although the writer of the legend identifies himself with the eighth century events he narrates, scholars are in agreement that of some nineteen texts of this legend that survive, none can be dated earlier than the twelfth century. Turthermore, the manner of narration employed is a typical example of cursus leoninus, the style which appears in documents of 1098 that summoned the Lucchese to the Crusades. It is not the style used by writers of the eighth century. Some authors have judged generalized statements such as "subalpinus episcopus" to be typical of the eighth-century, but I agree with Gustav Schnürer who sees such statements as indications that the author was not a contemporary of the events he narrates. Indeed, even the name Gualfredus found in the legend does not appear in Italy until the twelfth century.

The very date espoused in the legend for the arrival of the Volto Santo in Lucca gives further reason to date the manuscripts from the twelfth century or just slightly earlier.

The A.D. 742 indicated as the second year of the reigns of Charlemagne and Pepin is historically inaccurate. Such a date was, however, consonant with medieval understanding of Carolingian history; a number of Lucchese manuscripts survive which say that Charlemagne reigned, together with Pepin, from 741. Furthermore, in a medieval manuscript of the life of San Frediano preserved at Lucca, the bishopric of Giovanni, the bishop associated with the translation of the Volto Santo to Lucca, is dated "usque ad tempore Caroli et Pepini," the exact same wording as that which is used by Leobino. 11

That the author of the legend may indeed be an early twelfth-century writer is still further indicated by the greater specificity with which he relates miraculous events in the appendix to the legend. In fact, these supposedly later additions are written in the same style as the main text.

One of these stories concerns Stefano da Butrione, whose existence is confirmed by his inclusion in the Lucchese Annales ecclesiastici for the year 1099. While on a pilgrimage to the Holy Land, Stefano went to pray at the Holy Sepulchre, where, according to the legend, he was approached by a Syrian named Gregory who told him that the Volto Santo was a true portrait of Christ. Inside the crucifix, said the Syrian, were placed relics: an ampulla of the blood of Christ, one quarter of the crown of thorns, a nail from the

cross, and finally some of Christ's hair and fingernails.

Another story of contemporaneous date relates how a Lucchese cleric was informed that Nicodemus had sculpted the Volto Santo, and that Nicodemus had placed relics of the crown of thorns, nails and Christ's clothes in the Volto Santo. 13

Haussherr calls him, "der Vermittler der ganzen Nikodemustradition"? 14 It would seem certain that with his return to Lucca and the invention of new relics, it became necessary and desirable to codify the legends which may have been part of the local oral tradition. A rather late attribution of the Volto Santo to Nicodemus could have demanded that a special section which spoke about Stefano da Butrione and which reinforced Nicodemus' authorship be added to the legend. As will be seen in Chapter 4, however, Nicodemus and the Volto Santo had probably been linked together as early as the eleventh century through the vigil ceremonies of Easter.

Interestingly, the reinforcement of this association between Nicodemus and the Volto Santo around 1000 coincides with the translation of the bones of Nicodemus, Gamaliel and Abibo by the Pisans. They had brought these relics back to their city after the First Crusade. The Pisans and Lucchese were perennial political rivals, and the Lucchese were not to be outdone in the number nor glory of the relics acquired by the Pisans. More than merely following the example of the Pisans, the Lucchese felt the need to assert their preeminence over the Pisans. The Lucchese also had a Nicodemean

relic, and they claimed to have possessed it since the eighth century. After the First Crusade they may have been understandably anxious to reconfirm the Volto Santo's hallowed origins. Perhaps it was the Pisans who consciously sought out a relic of Nicodemus because their rivals had one. Following such reasoning, I am inclined to think that the discovery of the location of new relics in the Volto Santo by Stefano da Butrioni probably encouraged the Lucchese to write down the history of the Volto Santo, but that Butrione was not the originator or transmitter of new ideas regarding Nicodemus' authorship.

It is my opinion that no manuscripts exist for the legend of Leobino before the twelfth century because until this time there was no great impetus to record the legend in formal written form. But I would not exclude the possibility that the name of Nicodemus was already associated with the Volto Santo as early as the eighth-century. 18

NOTES - CHAPTER I

- l follow the basic Latin text as published by Gustav Schnürer and Joseph Ritz, Sankt Kümmernis und Volto Santo (Dusseldorf: L. Schwann, 1934), 128-32. My English translation follows the Italian version published by F. P. Luiso, La Leggenda del Volto Santo Storia di un cimelio (Pescia: Benedetti and Niccolai, 1928), 9-12.
- ²Gustav Schnürer, "Sopra l'età e la Provenienza del Volto Santo di Lucca," <u>Bolletino Storico Lucchese</u>, l (1929), 77-78 gives a detailed accounting of these nineteen manuscripts and their present locations.
- The most complete discussion of the dating of these works can be found in Gustav Schnürer and Joseph Ritz, Sankt Kümmernis und Volto Santo (Dusseldorf: L. Schwann, 1934), 117-158.
 - ⁴Schnürer (1929), 79-81.
- ⁵In particular see Almerico Guerra, <u>Storia del Volto Santo di Lucca</u> (Lucca: Tipografia Arcivescovo s. Paolino, 1881), 310.
 - ⁶Schnürer (1929), 90.
- Reiner Haussherr, "Das Imervardkreuz und der Volto-Santo-typ," Zeitschrift für Kunstwissenschaft, 16 (1962), 140 relates that this had been previously observed by Ernest von Dobschütz, Christusbilder (Leipzig, 1899), 286. I unfortunately have not had access to this work. Adriano Bernareggi, "Il Volto Santo di Lucca," Rivista di archeologia cristiana, 2 (1925), 125, n. 1 suggests that Gualfredus may correspond, in an altered form, to a Bishop Guelprando, son of Duke Gualperto, mentioned in documents of A.D. 754. On the other hand, Hansmartin Schwarzmaier, Lucca und das Reich bis zum Ende des 11. Jahrhunderts (Tübingen: Max Niemeyer, 1972), 341 notes more plausible connections between Lucca and Gualfredus of Siena in the early twelfth century.
- ⁸Charlemagne ruled from A.D. 768 to 814, while his son Pepin ruled as King of Italy from 781 to 810. Thus, it had been suggested by earlier writers that Leobino had perhaps meant to write 782 instead of 742, a date which more closely corresponds to the bishopric of Giovanni at the end of the eighth century. Pietro Guidi, "La Data nella Legenda di

- Leobino," Archivo Storico Italiano, Series 7, 18 (1932), 151-64 has shown, however, that no such mistake can be assumed either in transcribing the legend or making the date historically correct, since 782 would still not be the second year of both of their reigns.
- Guidi, 155 states that "il 742 non recò alcun disturbo nella mentalità medievale dei secoli XI-XV."
- 10 Guidi, 159, n. 2 lists such works. One such example is Codice 618, Biblioteco Capitolare, Lucca.
 - 11Guidi, 161. See also Schwarzmaier, 337.
- 12 In the Annales published by Caesar Baronius, according to Haussherr, 150, n. 33.
- 13 See Schnürer-Ritz, 139-40 for the text of the two legends I cite.
 - 14 Haussherr, 141.
 - ¹⁵Schnürer (1929), 90.
- 16 The Cambridge Medieval History, Vol. 5 (New York: Macmillan, 1926), 227 notes that "already in 1003 the cities not yet communes, were fighting."
- 17 The similarities in styles of architecture between the two cities may reflect this same rivalry. For example see S. Burger, "L'architettura romanica in Lucchesia e i suoi rapporti con Pisa," Atti della Scuola normale superiore di Pisa, Lettere, storia e filosofia, 23 (1954), 121-28, and Carlo Ragghianti, "Architettura lucchese e architettura pisana," Critica d'arte, 8 (1949), 168-72.
- Nicodemus had early been associated with the authorship of the cross of Beirut, at least in Western tradition. In the translation of the Acts of the Counsel of Nicaea of 787, dedicated by the papal librarian to Pope John VIII (872-882), Nicodemus is cited as the creator of the Beirut crucifix, according to Schnürer (1929), 90. The association of Nicodemus with the Volto Santo may be a contemporaneous attribution or may even predate this documented instance. According to Schnürer (1929), 90 this pairing of Nicodemus and crucifixes was probably invented in the West. Yet, as Dr. Molly Teasdale Smith has reminded me, the idea of associating an image with first-hand knowledge of the person portrayed in an Eastern rather than Western idea and stands behind the whole tradition of icons. William Wood Seymour, The Cross in Tradition, History and Art (New York: G. P.

Putnam's Sons, The Knickerbocker Press, 1898), 185 mentions that there are also crosses in the cathedral of Palermo and somewhere in Spain which are supposed to have been sculpted by Nicodemus.

CHAPTER II

THE PRESENT VOLTO SANTO AND ITS PROVENANCE

The Volto Santo of Lucca is an over-lifesize representation of Christ on the cross (Illustration 1). Sculpted of walnut, the figure measures 2.25 meters from its head to its heels, 2.50 meters if one measures to the toes. Although it is carved in the round, independent of the cross, it is nearly flat on the back with an opening between the shoulders in which relics were kept.

Christ wears a long-sleeved tunic known as a colobium. It is belted at the waist and falls to just above the ankles where the right side of the gilt hem hangs noticeably lower than the left. Folds of cloth are suggested by concave furrows which splay to the left and right as they approach the simply rendered belt. This belt falls in two long parallel bands down the lower portion of the colobium. The furrows re-emerge above the belt more closely spaced, with three furrows extending all the way up into the left sleeve, whereas the right sleeve shares only one furrow with the rest of the garment. This differentiation between the two arms would seem to have been made in response to the manner in which Christ's head leans heavily towards his right shoulder. Moreover, the lower hanging hem on his left side visually counterbalances the emphasis given to his right side by the heavier

folds of cloth and leaning head. The wide sleeves continue the same sort of folds until the last third nearest the hands.

Attached to the cross by one nail each, the hands are somewhat elongated and their narrow wrists become lost in the wide sleeves. The feet are likewise very narrow and they have some reddish tinge, whereas the hands and head are dark. The feet, although attached to the cross by nails, do not have the nail heads showing on their surface.

Christ's face is framed by long plaits of hair which flow from a central part (Illustration 2). Just below the very low placed ears, the hair continues down his neck and out across his shoulders. His beard curves around the sides of his face and ends in two wavy sections which just cover the otherwise cleanshaven chin. The equally wavy ends of the moustache lie gently over the lower beard. Though the tilted head and heavy-lidded eyes suggest the dead, crucified Christ, the glass paste eyes are intensely alive.

The cross upon which the Volto Santo hangs is 4.34 meters tall and 2.65 meters wide. Its arms are 7 centimeters thick and 27 centimeters wide.⁴

Bernareggi has observed that some bits of linen are attached to the surface of the colobium around the ribs,⁵ but Dami⁶ and Kingsley Porter⁷ contend that the entire colobium was covered with a thin, closely adhering layer of linen. In either case, it is noteworthy that this practice of applying cloth to the wood surface before it was polychromed was common

in the Middle Ages. 8

The Volto Santo as it is displayed today is placed in an octagonal chapel in the north aisle of the church of San Martino, the cathedral of Lucca (Illustration 4). The chapel was commissioned by Domenico Bertini and built between 1482 and 1484 according to the designs of Matteo Civitali (Illustration 5). Fight white marble columns rise from a white and red marble dado and support a gilded entablature with a fruit-garlanded frieze. Eight segmental gables, gilded and framing ornamental shells, rise above the eight sections of entablature. The edifice is then crowned by a dome ornamented with blue, green, yellow, and purple tiles, and eight gilded ribs rising to a slender, pointed lantern.

The chapel may be entered from either the front or two sides but is normally closed off by open-work grills. Two of the front sides have windows, while the back three sides, against which the image is placed, are solid. The interior is adorned with votives offered to the Volto Santo, which itself rests above a marble and bronze altar made by Filippo Iuvara of Messina in 1725. 10 The exterior is completed by a statue of Saint Sebastian on the solid wall behind the Volto Santo. Inscriptions in the marble record both the artist and the benefactor. 11 The entire chapel was restored in 1838. 12

The decorations which adorn the Volto Santo for festivals are all later in date than the statue (Illustration 3).

The nine-centimeter wide aureole, which terminates in two fleurs-de-lis and is placed behind the Volto Santo, is covered with silver and glass inlays in the style of the thirteenth century. Some authorities consider that it replaced an earlier nimbus which surrounded the Volto Santo's head. 14

The Volto Santo has been crowned since at least the twelfth century. A denaro grosso of the late twelfth century preserves an image of the Volto Santo with an earlier, less elaborate crown than the present one. This new crown is a work from around 1665. It was designed by the Lucchese painter Girolamo Scaglia and executed by Giannoni da Massa. It contains some sixteen pounds, three ounces of gold, numbers of precious jewels and has a representation of God the Father and the descending dove of the Holy Spirit on its front, flanked by three seraphim on each side. A slender cross rises from the top. 16

The splendid collar and necklace are also works of the seventeenth century. The 336 diamonds set in silver for the necklace were given to the Volto Santo in 1660 by Laura Nieri Santini, a wealthy Lucchese, to enlarge one she had previously given in 1637. 17

Covering the lower skirt of the colobium is a black velvet petticoat from the nineteenth century, over which is placed a gold belt with twenty-three little niches, ordered by the Senate of Lucca on February 19, 1384. Each niche contains a bust of a saint except for that in the lower center which contains a representation of the Madonna and Child.

Although the parament-like hangings which are placed on the Volto Santo's wrists appear similar to the skirt decorations,

I have been unable to determine exactly when they may have been added.

The decorative metal slippers which cover the feet of the Volto Santo on major holidays may also date from the four-teenth century. Guerra indicated that these shoes probably replaced ones that had been added to the statue, along with the chalice placed beneath its right foot, in the twelfth century. 19

Little of the original decorative paraphenalia of the Volto Santo survives today, except in the altered form of later, and presumably richer, replacements. This "habit of honouring statues with gifts of jewelry, so common today, was also the custom in the Early Middle Ages." Even if the modern worshipper is no longer dazzled by those particular ornaments initially intended to add visual richness to the statue, he can still surmise what its original effect may have been.

On the other hand, it has not been so easy a matter for scholars to determine when the Volto Santo itself was sculpted. There are those who propose that the image is indeed a work by Nicodemus. Other opinions vary, with authors suggesting wide ranging dates between the seventh and thirteenth centuries.

Those who opt for an early date agree neither on the date itself nor the location in which the Volto Santo was

created. 22 Suggestions do usually center around the Near East and Palestine, the colobium worn by Christ being cited as a typically Eastern, and specifically Syrian, mode of presentation. Such a crucified Christ does appear in the Gospels of Rabbula (Illustration 6), which were written at Zagba in Eastern Syria in 586. Although typical of many Byzantine portrayals of the crucified Christ, the colobium-clad Christ also appears early in the West. A fresco in the church of Santa Maria Antiqua, Rome, painted during the pontificate of the Syrian Pope Zacchary (741-52) presents Christ in this manner.²³ Even if one admits the possibility of Syrian or Palestinian origins of the Volto Santo, the difficulties involved in transporting such a large object over such a long distance make the suggestion unconvincing. 24 Also, it is not clear whether crucifixes the size of the Volto Santo were made at that time, even though walnut was probably available in Syria.

If then, an eighth-century or earlier date seems improbable, if not impossible, might not the Volto Santo be related chronologically to the existing twelfth-century manuscripts of its legend?

In 1922 Luigi Dami published the first photograph of the Volto Santo unencumbered by its later decorations. On purely stylistic grounds he suggested that the Volto Santo was probably sculpted in the late twelfth or early thirteenth century. Such a dating would require one to believe, however, that the legend of the Volto Santo was created for an

object which did not exist until a century after the earliest versions of the legend were written. Yet, his analysis was not without merit. Whereas others had contended, and would continue to contend, that no similar sculpture of wood existed in Italy at that time, 26 Dami compared the Volto Santo with the mid-thirteenth-century Volterra Deposition (Illustration 7). Of special interest to him was the manner in which the folds of cloth on each of the figures outline parallel concave furrows. Even though the Christ in the Volterra example has closed eyes, the general disposition of his features and hair shows a certain affinity, like the folds of the cloth, to the manner of sculpting seen on the Volto Santo.

There are indeed general stylistic similarities between the Volto Santo and such thirteenth century works, ²⁷ but Dami's refusal to deal with any historical considerations other than those of style prompted an outraged reply to his suggestions by Adriano Bernareggi who published documents which proved that the Volto Santo existed before the twelfth-century. ²⁸ A papal bull of Pasquale II, dated September 18, 1107, speaks of the revenues received from the Volto Santo and states that the practice of offering gifts to the Volto Santo had been established by predecessors of the current Bishop Rangiero. ²⁹ Since Rangiero's bishopric began in 1097, we must assume that such practices were established at least before 1097 and probably even much earlier. ³⁰

This documentary evidence seems not only to disprove

Dami's dating, but also to make untenable any suggestion that

the Volto Santo may have been brought to Lucca only after the First Crusade, as were the Pisan relics of Nicodemus. Only the invention of the relics learned of by Stefano da Butrione can be assigned such a date.

Furthermore, Eadmero (died 1121) reported in his <u>Historia</u>

novorum that William II, duke of Normandy and King of England

(1087-1100) swore "per <u>Sanctum Vultum de Luca."</u> If the cult

and the renown of the Volto Santo had already spread to

England by the early twelfth-century, it certainly cannot be
thought of as a newly invented relic or one of the thirteenthcentury. 32

Bernareggi also notes that copies of the Volto Santo already appear before the thirteenth century. The most famous and well-documented copy of the Volto Santo, the so-called Imervard Crucifix (Illustration 8) is indeed dated circa 1166, over a quarter century in advance of Dami's date. 34

Following an earlier suggestion by A. Kingsley Porter, ³⁵ Bernareggi concluded that the Volto Santo was a Western creation, perhaps from either Spain or southern France. His dating, while earlier than Dami's, lent little more specificity to the dispute. Bernareggi suggested that the Volto Santo was sculpted sometime before the middle of the eleventh century but not earlier than the eighth or ninth centuries. ³⁶

Kingsley Porter began his excursus on the Volto Santo by admitting what is by now most evident: "The Volto Santo is a baffling riddle." ³⁷ He also attempted to show its similarities to certain Catalan Crucifixes from north-eastern

Spain. The head of the Volto Santo recalled to him "the same peculiar shape as that of the Erilavall Deposition at Vich," 38 which he had dated from the second half of the twelfth century. The Volto Santo is also similar in type to the Majestad from Caldas de Montbûy which he dated from the first quarter of the twelfth century. 39 Similarly dated is the Majestad from San Juan de las Fuentes. 40 From the second quarter of the same century Porter cited the Baget Majestad. 41 All these figures, as well as the late eleventh-century Barcelona Majestad (Illustration 9),42 wear a colobium belted at the waist like the Volto Santo, and their eyebrows and long noses are all portrayed in a similar manner.

Unfortunately, as will be seen below, the inaccuracies of Porter's chronology discount his suggested date from the third quarter of the eleventh century for the Volto Santo. Porter did, nonetheless, provide a strong series of possible links between Spain and the Volto Santo. He saw strong similarities between Spanish legends and Leobino's legend, as Bernareggi had noted great similarities with the legends of the Christ of Valencia (S. Salvatore) and of Santa Maria del Grao nearby. And Porter also noted that the manufacturing of a sacred image by angels closely parallels the story of the Cross of the Angels in the Cámara Santa of Orviedo. The translation of the body of Saint James to Compostella, according to the Golden Legend, was accomplished, like that of the Volto Santo, with a boat that "had neither rudder nor

steersman,...set sail, trusting to the providence of God to determine the place of his burial."⁴⁵ Porter also remarked that the discovery of sacred objects in caves is a "constantly reappearing commonplace in Spanish legends."⁴⁶

Such a Spanish origin could partially account for the legendary arrival of the Volto Santo by ship, in this case, in a rather easily accomplished journey. Specifically, Kingsley Porter suggests that the Volto Santo "may well have come to Lucca at the time the cathedral was reconstructed (1061-1073). The study of Spanish miraculous images shows that such usually put in their first appearance at the time of the reconstruction of churches."⁴⁷

In the same year as Porter's pronouncement, Salmi suggested a mid-twelfth-century date and French origins. He gave no explanation for this designation, but perhaps he had in mind such a work as the Belpuig crucifix, 49 which closely resembles the above-mentioned Catalan crucifixes. Mâle considered the Belpuig work to be a twelfth-century Volto Santo copy. 50

It was not until 1936 that Géza de Francovich clarified the above issues. ⁵¹ He began his discussion with the Deposition group from San Juan de las Abadesas (Illustration 10) which can be securely dated by the "1251" carved on its base. ⁵² Its affinities with the previously discussed Volterra Deposition are numerous. ⁵³ Although the Spanish example appears stiffer and more generalized, the artists of both works rendered drapery as concave surfaces against the skin. The Christ

in each is dead, though not yet the horrific representation found often in Gothic sculpture. The spacing of the folds on the Volto Santo's colobium is repeated on the garment worn by the Virgin from the Spanish group. The accompanying thief's loincloth also splays in a manner very similar to the treatment given the Volto Santo, even though the cloth on the thief admittedly adheres more closely to the body beneath. The rather similar styles found in both Tuscany and Catalonia in the mid-thirteenth-century tend to weaken the case for dependence of the Volto Santo upon Spanish examples. A native Tuscan tradition of sculpting would appear to have existed. 54 Certainly the Volterra Deposition did not arise from an artistic vacuum.

Furthermore, Francovich's refutation of Kingsley Porter's dating weakens the supposed connection between the Volto Santo and Catalonian Majestads. Although the Tahull and Erilavall Depositions initially might appear earlier than the San Juan de las Abadesas group of 1251, whose surfaces are more highly polished and well preserved than those of the Tahull and Erilavall groups, these same groups express a new Gothic aesthetic in their manner of portrayal. As Francovich has observed, 55 the loincloths of each no longer are suggested by stylized uniform curves but more closely resemble and imitate real thicknesses of cloth. The artist has shown an interest in portraying the natural folds of skin around the knees, and most importantly, the open-mouthed, pierced-sided Christ. This suffering Christ is certainly typical of the

new humanity of the Gothic. Such a representation would be inconceivable in the twelfth-century but widespread throughout Europe at the end of the thirteenth and beginning of the fourteenth-centuries, later even than the controversial early thirteenth-century date suggested by Dami for the Volto Santo.

Porter assigns to the Barcelona Majestad. I would concur with Francovich who sees the Barcelona example as certainly later than the sculpture from Caldas de Montbúy, which Porter himself dates in the first quarter of the twelfth century.

The Barcelona Majestad should probably, therefore, be redated circa mid-twelfth-century, as is the similar Baget Majestad. 56

Even the Caldas de Montbúy work is subject to redating since it more closely resembles the yet later and definitively dated 1251 Abadesas group. ⁵⁷ Thus none of the Spanish examples predate the requisite 1107, when the Volto Santo is first mentioned in surviving documents.

also at variance. Although they all wear similar colobiums, their physiognomy and hairdos are "assolutamente differente." 58 None of the Majestads shows the same inclination of the head to the side, nor the half-open, half-closed eyes of the Volto Santo. Even the similar colobiums end horizontally, while the Volto Santo's dips noticeably on one side. The wide concave folds are not found at all in the Majestads but only in the mid-thirteenth century Abadesas Deposition. The stumpy nailed feet of the Majestads also show no similarity to the elegant

elongation of the Volto Santo's feet.

Those correspondences which do occur between the Majestads and the Volto Santo may suggest that both shared a common artistic root. ⁵⁹ In the case of the Majestads, perhaps their large size reflects a source in works such as the now lost lifesize crucifix placed by Theobard in the cathedral of Narbonne (c. 890). ⁶⁰ In fact, early in the ninth century, Narbonne had become the metropolitan see of Gerona, Barcelona and Vich. ⁶¹ As will be seen in Chapter 3, the Volto Santo may also have evolved from such early works, though modified and transformed by Ottonian artists. Perhaps the circumstances of their use were also similar. The liturgical dramas of Good Friday and Easter which involved the Volto Santo (see Chapter 4) are also known to have been quite popular in Catalonia. ⁶²

Outside of Catalonia it is difficult to find statues which look like the Volto Santo from the eleventh through the mid-twelfth-centuries.

Francovich finally turns to the new plastic sense of sculpting which appears in the mid-twelfth-century at St.

Denis and more specifically on the facade of Chartres (1145-55). On the figures there, one side of a hem is longer than another. Even similar belts are worn by female figures in these two monuments. 63

The greatest Italian disseminator of the new Gothic style was Benedetto Antelami. The garment worn by the priest

in the Presentation in the Temple (Illustration 11) over the south door of the Baptistry at Parma (1200-10) is very similar to the Volto Santo's colobium and would seem a forerunner of the similarities previously observed with the Volterra Deposition. Francovich adds that the Queen of Sheba portrayed by Antelami on the exterior of the baptistry (c. 1208-10) wears the same sort of belt as the Volto Santo. Furthermore, the garment worn by Saint Andrew in the tympanum of the central portal of S. Andrea a Vercelli (1219-27) has the same gently curving, uninterrupted surfaces of cloth which run from above the belt into the sleeve like the Volto Santo. On all these examples the folds of the cloth are portrayed like those of the Volto Santo as parallel concave furrows.

For these reasons, Francovich agreed with Dami's suggested late twelfth-or early thirteenth-century date and could only account for the disparity with the historical documents by suggesting that the original Volto Santo may have been damaged somehow. Owing to its great fame, the Volto Santo was, he suggests, probably replaced quietly with a new copy which suggests certain early types in the face but betrays the work of an artist trained in the manner of Antelami. 65

The records of building and refurbishing on the Cathedral of San Martino suggest to me ample opportunity for such a copy to have been executed. The facade of the cathedral was entirely rebuilt in the late twelfth and early thirteenth centuries. In fact, an inscription records that in 1204

Guidetto was in charge of the work.⁶⁶ During such disruptions it would certainly not have raised any great suspicions to have removed the Volto Santo from view under the guise of protecting it. Of even greater interest is the fact that the chapel of the Volto Santo, as Guerra relates, was renovated around 1200 and in it were painted frescoes depicting the coming of the Volto Santo to Lucca.⁶⁷ During such work it would have been absolutely necessary to remove the Volto Santo from its chapel for an extended period of time.

Art historians have also noted the influence of Antelami's art upon other works in Lucca, for example, the early thirteenth-century Martyrdom of St. Regulus over the south portal of the cathedral. Since Parma is on the northern end of the Via Francigena which led from Lucca to Rome, 9 artistic ideas developed in Parma could easily have found their way to Lucca.

Only by recognizing that the Volto Santo venerated today is probably not the original can one make sense out of the history of this famous crucifix. 70

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NOTES - CHAPTER II

lMost authorities today agree that the figure is sculpted of the juglans regia. Almerico Guerra, Storia del Volto Santo (Lucca: Tipografica Arcivescovo s. Paolino, 1881), 15, on the other hand, thought the body to be of oak and the face somewhat hopefully, to be of cedar of Lebanon. Reiner Haussherr, "Das Imvardkreuz und der Volto-Santo-typ," Zeitschrift für Kunstwissenschaft, 16 (1962), 132 says that walnut is a very popular material for Italian crucifixes.

²These measurements are according to Guerra, 28.

³Perhaps the lighter color of the feet is indicative of the wear incurred by the kisses of many centuries of pil-grims.

⁴Guerra, 25. He notes on 427, n.2 that an unspecified drawing of the eleventh or twelfth century shows the letters Alpha and Omega on the ends of the cross. There were no traces of these letters when Guerra examined the cross.

⁵Adriano Bernareggi, "Il Volto Santo di Lucca," <u>Rivista</u> di archeologia cristiana, 2 (1925), 122.

6Luigi Dami, "Il Volto Santo di Lucca," <u>Dedalo</u>, Anno II, Vol. III (February 1922), 708-11.

⁷Arthur Kingsley Porter, <u>Spanish</u> <u>Romanesque</u> <u>Sculpture</u>, Vol. 2 (Florence: Pantheon, 1928), 11.

Bulletin, 5 (October 1971). In note 1 she refers the reader to J. Rorimer, "A Monumental Catalan Wood Statue of the Fourteenth Century," Metropolitan Museum Studies, 3 (1930), 102 regarding this practice.

Guerra, 188-89. Giorgio Vasari, Le Vite de' più eccellenti pittori, scultori ed architettori (Vol. 2). Annotations and Comments by Gaetano Milanes (Florence: Sansoni, 1906), 119-20 speaks of Civitali's Volto Santo chapel: "il qual tempio non è veramente se non molto bello e proporzionato."

10 Lapis Aesaris, Il Volto Santo di Lucca (Lucca: L. Tommasi, 1962), 11.

11Guerra, 190 gives the exact inscriptions.

12Guerra, 195. This included the removal of eight angels with instruments of the Passion which had been placed in the cupola of the chapel under the supervision of Muzzio Oddi da Urbino in 1623. Likewise relocated were four statues of the evangelists which had been placed in the Volto Santo chapel by de Francelli of Rome in 1663. They were removed to the baptistry. According to Guerra, 221 the gold sceptre which is placed beneath the Volto Santo's right arm on holidays was given to the cathedral on September 12, 1852. When a new gate to the city was opened in the nineteenth century a new key was created to join those placed to the Volto Santo's left.

13Guerra, 27.

14For example, Gustav Schnürer, "Über Alter und Herkunft des Volto Santo von Lucca," Römische Quartelschrift für Christliche Altertumskunde und für Kirchengeschichte, 34 (1926), 272.

¹⁵Guerra, 124.

16Guerra, 198-201 describes the circumstances of its creation. After a series of sermons given by Father Candido da Verona asking for special offerings for a crown for the Volto Santo, a box was placed in the Volto Santo chapel to collect the funds.

17Guerra, 202. There is also record of a contract for late Trecento embellishments. See Guerra, 83 and 446, n. 12.

18Guerra, 202. It is thought to have replaced an earlier, non-figural belt which is represented in such manuscripts as the Trecento Tucci-Tognetti Codex- E. Lazzareschi, "La festa di S. Croce a Lucca," Le Vie d'Italia, 40 (1934), 636 reproduces a page from this manuscript. Dr. Molly Teasdale Smith has suggested to me that the saints portrayed in the niches of the belt may correspond to some sort of litany. In this instance, Guerra, 258 speaks of an unspecified, very old prayer used in Litaniae during times of public need.

19Guerra, 32 states that the boots were added for decorum and to protect the feet from the kisses of the faithful.

²⁰Ilene H. Forsyth, <u>The Throne of Wisdom</u> (Princeton: Princeton University Press, 1972), 48. She cites a necklace hung about a Madonna in Coventry (eleventh century) and a statue in Avallon (c. 1078) which was adorned with a golden crown and bracelets.

21The major proponent of such a theory is Guerra, 1-24. One cannot help but be fascinated by his ingenious, but nevertheless inappropriate, use of Biblical tradition to "prove" that the Volto Santo could have been sculpted by Nicodemus. Bernareggi, 128 reports that Grimouard de Saint-Laurent also asserts that we cannot exclude the possibility of an attribution to Nicodemus.

²²Bernareggi, 128 reports that Gaffre sees it as a Semitic work of unknown date; Marucchi and Scaglia date it between the sixth-and seventh-centuries; Ridolfi sees it as a Byzantine work of not later than the eighth-century; Dobschütz and Wüscher-Becchi assign it to the eighth-century. Schnürer, 300 reports that Saint-Laurent also suggests a seventh-century Armenian provenance; Kraus dates the Volto Santo as a work of the seventh- or eight-century and Stockbauer, Miller and Schnürer, 276 agree on an eighth-century date.

23According to V. N. Lazarev, "L'Arte Bizantina e Particolarmente la Pittura in Italia nell'Alto Medioevo,"

L'Oriente Cristiano nella storia della civiltà (Rome: Accademia Nazionale dei Lincei, 1964), 664 he was of Syrian origin.

Gertrude Schiller, Iconography of Christian Art, Vol. 2, Trans. Janet Seligman (Greenwich, Connecticut: New York Graphic Society, 1972), 94 on the other hand, says that he was a Greek from southern Italy. Lazarev, 665 says that between 606 and 752 there were ten Greek and Syrian popes. The fresco from Rome is illustrated in Paul Thoby, Le Crucifix des Origines au Concile de Trente (Nantes: Bellanger, 1959), Pl. VIII, no. 20.

24Haussherr, 142 cites this same problem.

²⁵Luigi Dami, "Il Volto Santo di Lucca," <u>Dedalo</u>, Anno II, Vol. III (February 1922), 708-11.

²⁶As for example, Schnürer, 293.

27Such backward looking interpolations of styles are admittedly dangerous and a case certainly could be made for the Volto Santo having somehow influenced the Volterra Deposition, no matter when the Volto Santo was created, because of its great fame.

 28 See his article, pages 117 to 155 cited above in note 5.

29 The entire Latin text is printed by Bernareggi, 138.

30 Porter, Vol. 2, 9.

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31 J. P. Migne, <u>Patrologiae Latinae</u>, 159 (Paris: Garnier and J. P. Migne, 1894), 364. The same story is also repeated in William of Malmesbury's (died 1143) account, Migne, <u>PL</u>, 179, 1275.

32Such is the reasoning of Schnürer, 278 who concludes that the Volto Santo arrived in the eighth century. Obviously Dami chose to ignore all literary evidence at his disposal. Guerra, 83 had already reported in 1881 the documents which Bernareggi subsequently published. Guerra, 104 also speaks of a diploma of February 10, 1123 from Henry IV which recognizes the existence of the Volto Santo.

33Although the dates Bernareggi assigns to most of the Volto Santo copies are currently thought to be unrealistically early, his observation still holds true. He wisely admits the possibility of some common source for the Volto Santo and its replicas, particularly in Spain, whereas Porter, 8, sees any work similar to the Volto Santo as a Volto Santo copy.

34Haussherr, 156. See also Erwin Panofsky, "Das Braunschweiger Domkruzifix und das Volto Santo zu Lucca," Festschrift für H. Goldschmidt (Lipsia, 1923), 37-44.

35Bernareggi, 150, n.2 refers the reader to Porter's Sculpture of the Pilgrimage Roads. Vols. 5 and 6 (Boston: Jones, 1923).

36Bernareggi, 154.

37porter, Romanesque, Vol. 2, 8.

38Porter, Romanesque, Vol. 2, 11. See Vol. 2, Plate 69. The much more refined modelling of the Volto Santo, however, shares little in common with the Erilavall example.

39Porter, Romanesque, Vol. 2, Plate 63.

40Porter, Romanesque, Vol. 2, Plate 65. According to Porter, 11 this work retains its original polychromy.

41Porter, Romanesque, Vol. 2, Plate 64. Porter, 11 records that the nose and feet of this eight foot tall figure are restorations. The silver crown is modern.

42Porter, Romanesque, Vol. 1, Plate 34.

43Bernareggi, 126, n. 1.

44Porter, Romanesque, Vol. 2, 9.

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45 The Golden Legend of Jacobus de Voragine, translated by Granger Ryan and Helmut Ripperger (New York: Arno Press, 1969), 371-72.

46porter, Romanesque, Vol. 2, 9.

47Porter, Romanesque, Vol. 2, 10. On page 11 he also admits the possibility that the Volto Santo could have been made in Tuscany.

48 Mario Salmi, Romanesque Sculpture in Tuscany (Florence: Rinascimento del Libro, 1928), 78.

49Emile Mâle, L'Arte religeux du XII siècle en France
Troisième Edition (Paris: Armand Colin, 1928), 255, Figure
170. Porter, Romanesque, Vol. 2, 12 reports that this work
"has been ruthlessly overpainted." Mâle also cites similar
works at La Llagonne and Angoustrine. Both these works are
illustrated in Dictionnaire des Églises de France, Vol. 2
(Paris: Robert Laffont, 1966), that at Saint-André, AngoustrineII C 8 and that at Saint-Vincent, La Llagone- II C 79. Richard
B. Donovan, The Liturgical Drama in Medieval Spain (Toronto:
Pontifical Institute of Medieval Studies, 1958), 25 notes
that after Charlemagne's reign Catalonia was "closely linked
with France in every way."

⁵⁰Mâle, 256

51Géza de Francovich, <u>Il Volto Santo di Lucca</u> (Lucca: Scuola Tipografico Artigianelli, 1936).

52See Porter, Romanesque, Vol. 2, Plate 72.

⁵³Francovich, however, did not concern himself with the relationship of the Catalan example to the Volterra Deposition, nor to their relationship to the Volto Santo.

54For much earlier work in Italy see Nicolette Gray,
"Dark Age figure sculpture in Italy," <u>Burlington</u>, 67 (November 1935), 191-202. Francovich discusses other sculptures similar to the Volto Santo and the Volterra Deposition in his article,
"A Romanesque School of Wood Carvers in Central Italy," <u>Art Bulletin</u>, 19 (1937), 5-57. See also his <u>Scultura medioevale in legno</u> (Rome: Tumminelli, 1943).

55Francovich, Volto Santo, 4-5.

56Francovich, Volto Santo, 6.

57 Francovich, Volto Santo, 7.

58Francovich, Volto Santo, 12.

- ⁵⁹Schiller, Vol. 2, 145.
- 60Ilene H. Forsyth, The Throne of Wisdom (Princeton: Princeton University Press, 1972), 73 lists a number of these large sculptured crucifixes from the ninth century.
 - 61_{Donovan, 26.}
- 62Donovan, 21. The cathedral of Barcelona employed a specially constructed sepulchre for the liturgical ceremonies of Easter week, according to Donovan, 161. Similarly, "from earliest times the cathedral of Gerona was famous throughout Catalonia for its numerous and colorful liturgical customs." Donovan, 98.
- 63Perhaps this helps to explain in part the curious transformation of the Volto Santo in Northern Europe to a female martyr usually identified as Saint Kümmernis. For a complete discussion of this cult and its basis in the popularity and dispersion of the cult of the Volto Santo see Gustav Schnürer and Joseph Ritz, Sankt Kümmernis und Volto Santo (Dusseldorf: L. Schwann, 1934). This female saint was also known as Saint Wilgefortis. See J. Gessler, "Wilgefortiana, een bibliographisch overzicht," Oostvlaamsche Zanten, 16 (January- April 1941), 1-13. Ironically, this transformed cult was later reintroduced into Italy.
 - 64Francovich, Volto Santo, 17.
- 65Francovich, Volto Santo, 18. This idea has gained wide acceptance, as for example Haussherr, 136. Antelami's style is very similar to that of the Romanesque reliefs at St. Gilles (late twelfth-century), which in turn are related to the sculpture at Chartres.
- 66Oscar Mothes, <u>Die Baukunst des Mittelalters in Italien</u> (Jena: Hermann Costenoble, 1884), 739, n. 1366 records the exact inscription: "Condidit electi tam pulchras dextra Guidetti. MCCIV."
- 67Guerra, 83. He unfortunately gave no documentary evidence. On page 424, n. 8 he similarly states that "sappiamo da antiche scritture che nella capella eretta per questo simulacro nel secolo XII era dipinta l'istoria del Volto Santo."
- 68 Encyclopedia of World Art, Vol. 12 (New York: McGraw-Hill 1966), Pl. 256. Goffredo Rosati, "Benedetto Antelami," Encyclopedia of World Art, Vol. 1 Rev.prtng. (New York: McGraw-Hill, 1968), 473 also cites those scenes showing St. Martin.

69 The Cambridge Medieval History, Vol. 5 (New York: Macmillan, 1926), 227.

70Since Francovich made his suggestion that the present Volto Santo replaces an earlier sculpture, Hugh Honour, "An Unpublished Romanesque Crucifix," Connoisseur, 136 (1955), 150-54 has suggested that a crucifix from Bocca di Magro in Tuscany may reflect the form of the original Volto Santo. The Braunschweig crucifix must also reflect the original statue.

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CHAPTER III

THE ORIGINAL VOLTO SANTO AND ITS PROVENANCE

Since the Volto Santo honored today in the cathedral of Lucca probably is not the original statue known by that name, previous attempts at dating the advent of this highly renowned statue in Lucca must be reconsidered. To this end must we be content like Bernareggi to suppose that the original version of the Volto Santo arrived in Lucca at an unspecified date between the eighth and eleventh centuries? I think not. While Volto Santo II probably is not a direct copy of Volto Santo I, the present Volto Santo must nevertheless have preserved many of the original's essential features. By reexamining the account of the Volto Santo's arrival as given in Leobino's legend and by considering the present Volto Santo's similarities to Ottonian works of art which may have served it as conceptual prototypes, I shall propose that Volto Santo I was a work of the second quarter of the eleventh century.1

Attempts to assign an eighth century date to Volto
Santo II failed because of stylistic discrepancies. Leobino's
legend did specify, however, that Bishop Giovanni had transported the Volto Santo to Lucca in the year 742. In fact,
there appears to be some coincidence between the events narrated in the legend and the documented history of eighth-

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century Lucca. Although Pope Pasquale II's bull of 1107 is the first documented account of the Volto Santo's presence in Lucca, Barsocchini had suggested as early as 1844 that the eighth-century foundation of a church dedicated to Dominis et Salvatoris may have appropriately recorded the arrival of the Volto Santo and the honor accorded it. This church first appeared in documents of 797. It was evidentally demolished sometime in the early tenth century, since a document of 930 records that there had been a church of Dominis et Salvatoris near the cathedral but that it no longer existed at that time. It has generally been assumed that with the destruction of this church Volto Santo I was placed in the south aisle of Lucca cathedral, the site Leobino had indicated in his legend.

Such circumstantial evidence for the eighth century existence of Volto Santo I has left most recent writers unconvinced. Those who associated the Volto Santo with the church of <u>Dominis et Salvatoris</u> cited the large number of donations presented to the church as indicative of its importance. On the other hand, an analysis of these donations by Pedemonte has shown that the church thrived during the bishopric of Giovanni I but that during the remaining years of its existence the church received only three recorded gifts. Apparently the church was a favorite of its episcopal founder, but its patrimony remained modest. It was neither the richest nor the poorest church in the diocese. In short, the church of Dominis et Salvatoris "non presenta nessun

<u>carattere</u> <u>di</u> <u>eccezionalità</u>."⁸ Furthermore, many other churches in the late eighth and early ninth centuries dedicated to the Savior were more richly endowed than the one in Lucca.⁹

Nonetheless, there are some indications that Leobino had good reason to cast Bishop Giovanni I as the protagonist of his legend. It was Giovanni who first actively acquired relics for Lucca, 10 precisely when Charlemagne occupied the territory of the Longobards and the center of power in the region was transferred to Lucca. 11 Until this time the Lombard dukes had had possession of Luni, 12 but now this town, which figures so importantly in the Volto Santo legend, began to rely upon Lucca for its defense. 13 Indeed, the legend's assertion that it was necessary for Giovanni to come to Luni before the ship laden with the Volto Santo would come to shore corresponds accurately with Lucca's new responsibility for a large portion of the Ligurian coast. 14 Corsica and Luni were strategic points in Charlemagne's late eighth century plans to counter the Saracens, and since 797 Charlemagne's boats to and from Jerusalem had landed there. 15

Of particular importance to our concern is the fact that relics of the Holy Cross and of Christ's blood were transmitted from the Holy Land via this route. A tenth-century report of the translation of a particle of the True Cross and relic of the Redeemer's blood to Reichnau (c. 799) indicates such a practice. The legend says that Azan, Prefect of Jerusalem, was bringing costly gifts to Charlemagne.

Resting in Corsica, Azan became very ill, so Charlemagne sent two emissaries to receive the gifts and to bring them back to him at Ravenna. This closely corresponds to the historical annals of 799 for the Frankish court which record that the Saracen Azan, Prefect of Huesca, had given the key of his city to Charlemagne and that the Patriarch of Jerusalem had sent relics which were brought to Aachen by a monk. 17 Similarly, Charlemagne received presents and a relic of the True Cross from two monks, one from the Mount of Olives and the other from St. Sabas, while he was in Rome in 800.18 Furthermore, it is recorded that in 801 the emissaries of the Calif Harun-al-Rachid stopped in the region of Luni as they brought gifts, among them the famous elephant, to Charlemagne. 19 Having thoroughly studied such accounts, Schwarzmaier has convincingly suggested that a relic of the Redeemer's blood. and some sort of cross actually acquired from Charlemagne or from those persons transporting relics from Jerusalem were later incorporated into Leobino's tale.²⁰

Often, legends do correspond to actual historical events. The religious foundations of St. Riquier, Toulouse, Chartres and Paris all credit Charlemagne with the donation of relics, 21 as also do Sens, Sitter, Florence and Corbie. 22 Charlemagne's palace at Aachen had become a major point from which relics were dispersed, 23 and Lucca can thus be seen as one of the many centers in which grew the cult of relics of the Savior and dedication of churches to Him.

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Such a widespread popularity sufficiently accounts for the establishment of the church of <u>Dominis et Salvatoris</u> by Bishop Giovanni, and the donation of a relic of Christ's blood to the Lunese seems only logical since it was probably through their port that the relics were received. On the other hand, the question of whether Volto Santo I itself arrived at this time remains unanswered.

Forsyth has recently shown that "in ninth century Europe large, sculptured crucifixes existed in considerable numbers."24 Although "the descriptions of these lost works suggest that the crucifixes were of grand size, often of human proportions" like Volto Santo II, the Carolingian works were "ordinarily made of gold or silver attached to a wood base and ornamented with gems" unlike the present Volto Santo. 25 Was Volto Santo I one of these large gold or silver crucifixes, the wooden core of which has been transmitted to us, in effect, in the rendition of Volto Santo II? Such a conclusion seems unlikely. There is little in the legend of the Volto Santo or in local tradition and documents to suggest such an elaborate and extravagant donation. There are also very few examples of Carolingian crucifixes in which Christ wears a colobium. 26 Finally, while the threads of the Volto Santo's legend can be seen to have their beginnings at the end of the eighth century, these large-scale crucifixes did not appear, for the most part, until the second quarter of the ninth century. Even then they were made despite condemnation by such church

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councils as the Paris Synod of 825.²⁷ It was indeed the cult of relics and not the cult of images which had been sponsored by Charlemagne. It thus cannot be assumed that Volto Santo I was of Carolingian provenance.

It is interesting to note, however, that a list of altars from the cathedral of Lucca which dates from between 1065 and 1109 commences with mention of an "Altare ante Vultum" and an altar "Ante Crucem veterem." 28 Although Guidi identified both the "Altar before the Face" and that "Before the Old Cross" as the Volto Santo, 29 Pedemonte guestioned such an equation of the two terms. 30 There seems to be little doubt that two distinct objects are described before two different altars. Schwarzmaier has suggested that perhaps the old cross may have been a cross reliquary like that acquired by Reichnau. Brought to Lucca by Bishop Giovanni and later translated into the legend of Leobino, perhaps it provided some of the relics which Stefano da Butrione found in the Volto Santo. 31 Leobino's legend does have the inconsistent quality of a work which resulted from the merger of a number of traditions. The discovery of relics around the year 1000, some forty years after the cult of the Volto Santo was probably first promoted in Lucca, indicates in some measure the way various elements were brought together by this late eleventh- or early twelfth-century writer.

In suggesting that the cult of the Volto Santo was first promoted forty years prior to the discovery of relics within

the statue, I am focusing attention upon the bishoprics of Anselm I (later Pope Alexander II) and his nephew Anselm II. As has been shown above, the cult of the Volto Santo was well established in England in the early twelfth century, primarily due to the efforts of Pope Alexander II. Although born in Baggio near Milan "he was made bishop of Lucca in 1057, retaining the see as pope" (1061-1073).32 "In 1066 we find the Pope officiating from August until November in the cathedral of San Martino ... so it must have been at Lucca that he received the ambassadors of Duke William of Normandy and declared him to be the legitimate successor to the throne of England."33 Furthermore, when William the Conqueror was crowned on Easter Day, 1070, it was under orders from Alexander II and accomplished by two of his papal legates. 34 Thus, when William II swore "per Sanctum Vultum de Luca" he was recalling the papal sanction of his reign and concomittently paying homage to the sacred image which was associated with the Pope's bishopric of Lucca. 35 It was also under Pope Alexander II that the cathedral was remodelled, and as it will be recalled, Kingsley Porter has suggested that it was at this time that the Volto Santo may have first appeared in Lucca. 36 One of the miracles cited in Leobino's legend likewise indicates that the Volto Santo was revered during the bishopric of the Pope. One of the miracles is supposed to have taken place during "tempore Lamberti et Blanchardi archidiaconi qui fratres uterini fuerunt."37

These two brothers, Lambertus and Blanchardus, served under either Bishop Anselm I or Anselm II. 38 This association of the bishops' archdeacons with the Volto Santo, taken in conjunction with the other events of their careers, strongly suggests that the Pope and his nephew were the principal promoters of the Volto Santo cult in its initial stage. 39

An analysis of the artistic prototypes upon which Volto Santo I may have been based suggests a type slightly earlier than mid-eleventh century. Thus, Volto Santo I's arrival may actually predate the bishoprics of the Anselms. In this case, the Volto Santo can be seen as an image whose presence in Lucca was well-established before it was elevated to its position as chief religious symbol of the town.

The general character of the face of Volto Santo II seems somehow related to the face of Christ as depicted on the wooden crucifix probably carved for Archbishop Gero of Cologne (969-76). 40 Admittedly, the Gero crucifix (Illustration 12) expresses human emotion as particularized in Christ's suffering, while the Romanesque Volto Santo is more stylized. The pained expression of the Gero crucifix seems to be transformed into a profoundly stoic sadness on Volto Santo II. Yet, the similar manner in which the hair of both statues spreads across their shoulders and the way their eyebrows arch high over bulging eyes intimates some distant relationship. In fact, if one imagines Volto Santo II without its staring, glass-paste eyes, the similarities appear even greater. Perhaps Volto Santo I's portrayal more closely approximated the

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emotional sense of the Gero crucifix than does the present Volto Santo. Volto Santo II is, after all, one more step removed from its original prototype than was Volto Santo I.

Admittedly, no extant, monumental Ottonian crucifix presents Christ in a colobium, but this Eastern manner of robing the crucified Christ is found, significantly enough, in numerous Ottonian manuscripts, for example in the Crucifixion from an eleventh century Metz Gospel Book, (Illustration 13) now in the Bibliothèque Nationale in Paris. 41 Here the bearded Christ is dressed, like the Volto Santo, in a long-sleeved colobium. The borders of the hems and rounded neckline are equally narrow. The colobium is folded at the waist to suggest a sort of belt. His feet resting upon a chalice, Christ is accompanied by the Virgin and St. John below and personifications of the sun and moon in roundels above. Whereas the Byzantine representation usually shows a short-sleeved colobium, as for example, that on the Rabbula Gospels, 42 Ottonian manuscript figures and Volto Santo II have long sleeves. The three-quarter-length sleeves of the Ottonian colobium are not significantly different in length from the Volto Santo's sleeves. An examination of Volto Santo II reveals an interesting similarity to Ottonian representations. The closely spaced folds of Volto Santo II's sleeves end approximately at the point where the Ottonian examples terminate altogether. For some reason the sculptor of the Volto Santo seems to have found it more agreeable to

extend the sleeves to the wrists, even though this lengthening produced wide cuffs on the sleeves which do not correspond to the narrow border of the bottom hem. 43 Besides this suggestion of a mutated Ottonian type in Volto Santo II, the inclined, bearded head, hair spreading over the shoulders and the delicate, elongated hands of the Ottonian manuscript figures all have visual counterparts in Volto Santo II.

German influences have been noted by art historians in numbers of Tuscan manuscripts from the eleventh century. 44

These borrowings are only a logical reflection of close political ties. Lucca in particular had frequent dealings with the Ottonian emperors and their officials in Tuscany. "Lucca commanded the Via Francigena, which after the Lombard period was one of the principal roads between Lombardy and Rome and which assumed fresh significance after Otto I's coronation as Holy Roman emperor in 962." 45 For the next century, every Holy Roman emperor made expeditions into Italy, often penetrating Byzantine southern Italy. 46 In 1051 Henry III strengthened his power with his marriage to Beatrice of Tuscany, "whose lands lay across the main route from Germany to Rome." 47

Giovanni II, bishop of Lucca from 1023 to 1056, actually met the Emperor in Florence. After the council called there by Pope Victor II, it seems certain that Henry passed through Lucca on his return to Germany. Giovanni II also had dealings with Conrad II, Henry's predecessor, who had granted three privileges to the clerics of San Martino and a fourth to the Lucchese ecclesiastical community in general. It

is also known that in 1022 Henry II had visited the villa of the Dukes of Tuscany near Lucca. Since the first document which speaks of Giovanni II, dated 1023, carries the name "of a small Bavarian city, it can be believed that this bishop, soon after his elevation, accompanied the emperor Henry to Germany, and perhaps assisted there at a council called by the same emperor." 52

Besides having had these explicit connections with the Ottonian emperors, Giovanni II may perhaps also be implicated in Leobino's legend. 53 While Giovanni I is mentioned in both the legends of San Frediano and St. Regulus, it is significant that it was only under Giovanni II that the invention of the relics of San Frediano took place. 54 It has already been noted that Bishop Giovanni is the protagonist of the Volto Santo legend. This correspondence between the acts of the two bishops Giovanni seems far from accidental. Could the events associated with the Giovanni in the legend of Leobino be the collected recitation of both their deeds? The Volto Santo legend purports that the events narrated there took place, like those at San Frediano, "usque ad tempora Karoli et Pipini serenissimorum regum."55 And yet we know, at least in the case of San Frediano, that it was Giovanni II who brought these relics to light. In Giovanni's many dealings with the Germans he could have easily obtained an appropriate prototype such as a manuscript for Volto Santo I or he may have acquired the statue itself. He may thus have become involved with the Volto Santo legend. Since it is under the

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bishopric of Pope Alexander II that the cult of the Volto Santo would appear to have first been widely promoted, it is not difficult to see the arrival of this image through the efforts of Giovanni II some years before. Even after Giovanni's time, Lucca remained loyal to the emperor for succeeding generations, as witnessed by an early thirteenth-century coin minted at Lucca with images of both the Volto Santo and the Emperor. Thus it is not surprising that the Volto Santo resembles such Ottonian manuscripts as that from Metz or even the Crucifixion from the Pericopes of Henry II, now in the Munich Staatsbibliothek.

An appropriate theological climate which would have welcomed the creation of such an image as the Volto Santo also existed at the beginning of the second quarter of the eleventh century. Around 1025 the statement issued by the Synod of Arras sanctioned and encouraged the creation of sacred images. Regarding worshippers' adoration of artistic representations of Christ's passion, the Synod statement declared that

"while they [worshippers] venerate this outward appearance... they are adoring only Christ, not the work of men's hands. For it is not a stock of wood that is adored; rather, through that visible image is awakened man's inner discernment in which the passion and the death of Christ undertaken for us are inscribed as if on the scroll of the heart, so that each one recognizes within himself how much he owes to his Redeemer."⁵⁹

As Forsyth has noted, such a statement aligns the West with the Eastern idea that the honor of an image passes from the original to the prototype and thus has the power "to incite a mystical contemplation of the meaning of Christian grace." 60 This is especially true in the case of the Volto Santo whose legend stresses that the image of Christ's face is the "vera icon" executed with the assistance of both Nicodemus - the historical person who had actually seen Christ's face - and angels who imparted its divine character. Perhaps still sensitive to the possibility of such an image being regarded as an idol, the creators of the Volto Santo legend wisely associated the work with Nicodemus. As will be demonstrated below, the use of the Volto Santo in the Adoratio crucis ceremony of Good Friday requires that the worshipper be aware that the honor he bestows upon the image of Christ is addressed in the end to Christ Himself.

Another element related to the ceremonies of Good Friday, the chalice placed beneath the Volto Santo's right foot, may also have Ottonian origins. As the object in which the Host and consecrated wine were commingled, the chalice in its liturgical use contains the body and blood of Christ. The Volto Santo's visual image of Christ's body is symbolically completed by the addition of the chalice. The Church is founded upon the sacrifice of Christ which both redeems and nourishes it. That the chalice be placed to Christ's right recalls the water and blood which flowed from Christ's side and symbolically recalls the death and new life of baptism. The sharing of Christ's church in this death and resurrection

is particularly important to the events of the Easter Vigil with which the Volto Santo was also associated.

The earliest surviving example of an actual chalice being portrayed with the crucifixion appears in a miniature from the ninth-century Sacramentary of Drogo. 61 Unlike the chalice placed beneath the Volto Santo's foot, the chalice in this miniature is held to the wounded side of Christ by a small figure. It is only with Ottonian examples, such as our previously cited example from Metz, that the chalice is regularly placed in its ritualistic position below Christ's feet. 62

Although there is no specific mention of the chalice of the Volto Santo prior to 1213 when it was replaced by a new chalice connected with a box to receive offerings, 63 this replacement does suggest that a chalice had always been there. Even the earliest representations of the Volto Santo show it with a chalice. 64 Schnürer, 65 along with later writers, 66 excused the chalice as a device to hold up the Volto Santo's loose right shoe. According to a twelfth-century legend, the Volto Santo kicked off this shoe to an itinerant musician who had pleased the statue. 67 The earliest account of this miracle comes from an Icelandic monk writing around 1150 who had heard the story in Lucca on his way to the Holy Land. 68 Although the legend may account for a shoe which actually did fall off the foot of the Volto Santo, it does not sufficiently account for the chalice. In fact, the legend itself in no way attempts to account for it. 69

A number of objects which could have been easily transported from Ottonian Germany to Lucca show a chalice placed below a crucifix. These manuscripts and ivory carvings, like that from the Cologne school in the first half of the eleventh century now in the Musée de Cluny, 70 could have provided a precedent for adding the chalice to the Volto Santo.

Even the basic iconography of the Volto Santo suggests Ottonian sources. The colobium clearly reflects an adoption of the Eastern form as interpreted by the Ottonians, whose political and artistic ties with the Byzantine Empire are well known. 71 The eschatological meaning of this mode of presentation is clear. Its basic form derives from the Revelation of John I:13 which speaks of "a figure like a Son of man, dressed in a long robe tied at the waist with a golden qirdle."72 This priestly garment worn by the Volto Santo would have been especially appropriate during the bishopric of Giovanni II. He attempted to reform the clergy and follow the rules that the Bishop of Metz, Crodegango, had established for his clerics. 73 Considering the strong ties between Giovanni and the German emperors, one wonders whether this acquaintance with the rule of Metz did not extend also to an acquaintance with manuscripts such as those discussed above. In any case, an image of Christ as the eternal High Priest could well have reinforced the bishop's intentions to have his clerics lead a priestly life more like Christ's.

This representation of the Apocalyptic Christ was probably made even more explicit by the addition of an alpha and

an omega on the arms of the cross. Guerra reports that a drawing of perhaps the twelfth century shows these Greek letters on the ends of the cross arms. He found no trace of such lettering upon his inspection and therefore questioned whether these actually did ever appear. It seems certain to me that they did. Not only does the first chapter of John's Revelation describe Christ, but this robed figure states in verses seventeen and eighteen:

"Do not be afraid; it is I, the First and the Last; I am the Living One, I was dead and now I am to live for ever and ever, and I hold the keys of death and of the underworld."

The sculptor could have hardly translated these words more fittingly. The Volto Santo is the image of the crucified Christ who nonetheless is alive and triumphs in the End. The cross behind the copy of the Volto Santo at Bocca di Magro likewise has an alpha and an omega inscribed on the cross, though in this case above Christ's head. The literal has also been noted by Schiller that the designation of the very similar appearing Spanish Majestads "confirms their interpretation as the type of the Apocalyptic Christ. The literal has a will be seen in Chapter 4, this image, with its aspects of both the living and crucified Christ, is appropriate to the Easter ceremonies in which the Volto Santo played a part. It is in conjunction with these ceremonies that the eschatological meaning of the Volto Santo became most apparent.

NOTES - CHAPTER III

ln order to identify clearly whether I am referring to the present Volto Santo or to the earlier original, I shall adopt the designation of Volto Santo II for the former and Volto Santo I for the latter. References applicable to both works shall be designated as Volto Santo without a Roman numeral.

²As reported by Almerico Guerra, Storia del Volto Santo di Lucca (Lucca: Tipografia Arcivescovo s. Paolino, 1881), 440, n. l. Guerra, 68-76 discusses this idea and adopts Barsocchini's suggestion as well as summarizing the documents which refer to the church. See also Antonio Pedemonte, Quando venne il Volto Santo a Lucca? (Lucca: Scuola Tipografica Artigianelli, 1936), 5-9.

³Guerra, 68. Pedemonte, 25 emphasizes however that the altar was dedicated to St. Peter and that the first donation was specifically given to the altar of St. Peter.

⁴Guerra, 73.

⁵For example, Reiner Haussherr, "Das Imvardkreuz und der Volto-Santo-typ," <u>Zeitschrift</u> <u>für Kunstwissenschaft</u>, 16, No. 3-4 (1962), 140.

⁶Pedemonte, 11. Pedemonte, 15 shows that by 880 the church had lost its autonomy and passed to the dominion of the bishop.

⁷Pedemonte, 13.

⁸Pedemonte, 11.

⁹Pedemonte, 23 cites the monasteries of San Salvatore in Bresciano and San Salvatore di Sesto. Guerra, 55 mentions a contemporaneous founding of San Salvatore in Mura at Lucca and Hansmartin Schwarzmaier, <u>Lucca und das Reich bis zum Ende des 11</u>. <u>Jahrhunderts</u> (Tübingen: Max Niemeyer, 1972), 362 says that San Salvatore in Montione was rebuilt in 800 by the Tuscan Duke Wichram.

10Schwarzmaier, 337. Giovanni I is associated with the legends of the translation of the relics of Saints Regulus and Frediano, according to Schwarzmaier, 347. It is also recorded by Isa Belli Barsali, La Diocesi di Lucca (Spoleto:

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Centro Italiano di Studi sull'Alto Medioevo, 1959), 27, n. 6 that he built the crypt at San Frediano in the late eighth century. Prior to Carolingian times no relics had been acquired at Lucca in spite of the fact that it was located on the ancient road to Rome. See A. Solari, "Lucca centro itinerario nell'antichità," Bolletino storico lucchese, l (1929), 25-30.

11Gustav Schnürer, "Über Alter und Herkunft des Volto Santo von Lucca," Römische Quartelschrift, 34 (1926), 295-96.

12Schwarzmaier, 355. Luni was located on the Via Francigena near La Spezia. In 1204 the diocese was transferred to Sarzana, and Luni was left uninhabited.

13schwarzmaier, 358.

¹⁴Schwarzmaier, 365.

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16Schwarzmaier, 356-7 relates the entire story in greater detail.

17Schwarzmaier, 357.

18 Jean Ebersolt, Orient et Occident, 2nd ed. (Paris: E. De Boccard, 1954), 46.

19 Schwarzmaier, 357.

20Schwarzmaier, 358.

²¹Ebersolt, 47.

22Schwarzmaier, 359-60.

23Schwarzmaier, 361.

24Ilene H. Forsyth, The Throne of Wisdom (Princeton: Princeton University Press, 1972), 73. She lists examples from Auxerre (813-23), Le Mans (835), Redon (869) and Narbonne (c. 890).

²⁵Forsyth, 73. She remarks only on the ninth-century works, making no mention of the Volto Santo.

²⁶Haussherr, 160. Exceptional is a short-sleeved representation in the Utrecht Psalter. See Haussherr, 159, Ill. 22.

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²⁷Forsyth, 81.

28Published by Pietro Guidi, "Per la storia della Cattedrale e del Volto Santo," Bolletino storico lucchese, 4 (1932), 169. The list continues on page 170. The first two items are fully listed as follows: "Altare ante Vultum: in honore XII Apostolorum, Cornelii et Cipriani atque Concordii, Gregorii martyris Spoletini. Ante Crucem veterem: Blasii, Valentini, Remigii et Xmilium Martyrum." There is no indication within the manuscript as to where these altars were located in the church.

²⁹Guidi, 178.

³⁰Pedemonte, 45.

31Schwarzmaier, 355.

32J. J. Ryan, "Alexander II, Pope," New Catholic Encyclopedia, Vol. 1 (New York: McGraw-Hill, 1967), 288.

33Janet Ross and Nelly Erichsen, The Story of Lucca (New York: E. P. Dutton, 1912), 18.

34Horace K. Mann, The Lives of the Popes in the Middle Ages, Vol. 6, 2nd ed. (St. Louis, Missouri: B. Herder Book Company, 1925), 333.

35These ties with England remained rather strong. Schwarzmaier, 348 says that "Es mag in Luca eine förmliche, englische Kolonie gegeben haben." Likewise, many of the Lucchese who participated in the First Crusade were transported in English Ships. See Raoul Manselli, "Lucca e Lucchesi nei loro Rapporti con la Prima Crociata," Bolletino storico lucchese, 12 (1940), 158-68.

36Arthur Kingsley Porter, Spanish Romanesque Sculpture, Vol. 2 (Florence: Pantheon, 1928), 10.

37As quoted in Schwarzmaier, 349.

38Schwarzmaier, 349. Blanchardus first appears in documents of 1057. The two are last mentioned in September 1078.

39Alexander II also gave special gifts to the church of San Frediano, according to S.J.P. Van Dijk and J. Hazelden Walker, The Origins of the Modern Roman Liturgy, (Westminster, Maryland: The Newman Press, 1960), 71. George S. Tyack, The Cross in Ritual, Architecture and Art, 2nd ed. (London: William Andrews, 1900), 49 reports that in 1070 Alexander II allowed the bishops of Lucca and Pavia to have processional crosses carried before them, an honor usually accorded to archbishops.

- 40Illustrated in John Beckwith, Early Medieval Art (New York: Frederick A. Praeger, 1964), 151, Ill. 141 and 142.
- 41 Illustrated in Paul Thoby, <u>Le Crucifix</u> <u>des Origines</u> <u>au Concile de Trente</u> (Nantes: Bellanger, 1959), Pl. XX, No.
- 42Thoby, Pl. V, No. 11. See also the representation on a gold and crystal cross given by Gregory the Great to Queen Theolinda at the beginning of the seventh-century, Pl. VII, No. 18; eighth-century enamel work in the Pierpont Morgan Library, Pl. IX, No. 26; eleventh-century Ada ivory in the Victoria and Albert Museum, Pl. XXIII, No. 50; manuscript of the Discourse of St. Gregory Nazianzus in the Bibliothèque Nationale, Paris, Pl. XLI, No. 92; and eleventh-century Greek psalter in the British Museum, Pl. XLII, No. 95. Exceptional are the eighth-century encolpion from Monza which shows a long sleeved, beltless colobium, Pl. VII, No. 19 and an embossed sixth to seventh-century Syrian silver paten now in Leningrad, Gertrud Schiller, Iconography of Christian Art, Vol. 2 (Greenwich, Connecticut: New York Graphic Society, 1972), Pl. 322.
- 43The uniformly hemmed colobium is not restricted only to Ottonian examples. In the Deposition carved by Benedetto Antelami for Parma Cathedral in 1178, illustrated in Gustav Künstler, Ed., Romanesque Art in Europe (New York: W. W. Norton, 1973), No. 125, the soldiers are rolling dice to determine the ownership of Christ's gown. It has similar narrow borders on the hems of the sleeves and the bottom.
- 44For example, see E. B. Garrison, "Lucchese Passionary Related to the Sarzana Crucifix," Art Bulletin, 35 (1953), 109-19.
- 45 Encyclopedia Britannica, Vol. 14 (Chicago: William Benton, 1972), 391.
- 46According to <u>Britannica</u>, Vol. 16, 1159, Otto I was in Italy from 966 to 972, Otto II 980 to 982, Otto III 983, 998 and 1000 (Vol. 16, 1160), Henry II 1004, 1013 to 1014, and 1021 (Vol. 11, 372), Conrad II 1027 and 1036 to 1038 (Vol. 6, 362) and Henry III 1046 to 1047 and 1055 (Vol. 11, 373).
- 47 Britannica, Vol. 11, 373. Guerra, 132 records a gift to the Volto Santo from Beatrice.
- 48Augusto Mancini, Storia di Lucca (Florence: Sansoni, 1950), 48.

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- 49 Mancini, 49.
- 50_{Mancini}, 47.
- 51Guerra, 97.
- 52Almerico Guerra, Compendio di storia ecclesiastica lucchese dalle origini a tutto il secolo XII (Lucca: Coop. Tipografica Editrice, 1924), 135 "di una piccola città della Baviera, può credersi avere questo vescovo, tosto dopo la sua elevazione, accompagnato in Germania l'Imperatore S. Enrico, ed avere forse assistito colà ad un concilio convocato dallo stesso Imperatore."
- 53Pedemonte, 33 has suggested that the "in primodilis fere gentis illius" of the legend more accurately refers to the situation in Lucca during the time of Giovanni II than Giovanni I.
 - 54Guerra, Compendio, 140.
- 55As quoted from the eleventh-century legend of San Frediano by Schwarzmaier, 337.
- ⁵⁶It is interesting to note that it was also Giovanni II who brought the relics of St. Lucina from Rome to Lucca, according to Guerra, Compendio, 140. St. Lucina was believed to have discovered the body of St. Sebastian after his martyrdom and have given him an honorable burial. To this day, a statue of St. Sebastian graces the back of the Volto Santo's chapel.
- 57Guerra, Storia, 105. On page 124 Guerra says that Otto IV was responsible for monetary reform in Lucca in the early thirteenth century. Schwarzmaier, 355 also records that he was the first documented visitor to the relic of the Holy Blood at Luni.
- ⁵⁸Illustrated by Haussherr, 159, Ill. 23. See also the crowned, bearded Christ on the cross with a slightly shorter but more elaborately draped garment from the Gospel Book of Abbess Uta of Kirchberg, c. 1020, now in Munich, Illustrated in Thoby, Pl. XVI, No. 35. This sort of garment is also worn by the Majestad from Las Caldas de Montbuy, Porter, Vol. 2, Pl. 63.
- 59Translated by Forsyth, 93. She prints the Latin text on 94, n. 2.
 - 60Forsyth, 94.

- 61Clairece Black, "Origin of the Lucchese Cross Form: The Significance of the Chalice Base," Marsyas, 1 (1941), 32. See illustration on Pl. XV, Fig. 13.
- 62This practice is not restricted to colobium clad representations. Two crucifixes with Christ garbed in the traditional Western perizonium are found in an eleventh-century sacramentary in Bamberg and a twelfth-century pericope in Munich, Black, Pl. XVII, Figs. 19 and 20. Louis Réau, Iconographie de l'art chrétien, Vol. 2, Part 2 (Paris: Presses Universitaires de France, 1957), 501 cites a tenth-century fresco in the choir of St. Pierre-les-Èglises, near Chauvigny in Poitou as depicting a crucifix and a chalice, but this was not the general practice.
- 63Cited in Gustav Schnürer and Joseph Ritz, Sankt Kümmernis und Volto Santo (Dusseldorf: L. Schwann, 1934), 146, as "calicem sancte crucis."
- 64Perhaps the earliest of these is the unspecified seal which Guerra, Storia, 126 dates from the time of Alexander II and which has representations of the Volto Santo and St. Martin.
 - 65Schnürer, "Herkunft," 275.
 - 66For example, Black, 35.
- 67See Wendelin Foerster, "Le Saint Vou de Lucques,"

 Mélanges Chabaneau (Erlangen, 1907), 1-55 for a thorough
 treatment of this legend. His ideas are condensed by Joseph
 Bédier, Les Légendes Épiques, 3rd ed., Vol. 2 (Paris:
 Librarie Ancienne H. Champion, 1926), 223-29.
 - 68Schnürer and Ritz, 163.
 - ⁶⁹For the Latin text of the legend see Foerster, 53-54.
- 70Illustrated in Thoby, Pl. XXVII, No. 63. It is very similar to a near lifesize crucifix from Cologne c.1170, Thoby, Pl. LXXIII, No. 167.
- 71While the colobiums do differ, it is not necessary to assume that the Volto Santo is the resumption of an early Byzantine type as suggested by Haussherr, 160. The overwhelming number of relationships between Lucca and Germany favor an Ottonian prototype.

⁷²First noted by Haussherr, 158.

73Guerra, Compendio, 137. This reform movement continued under Bishops Anselm I and II. See E. Kittel, "Der Kampf um die Reform des Domskapitels in Lucca im 11. Jahrhundert,"

Festschrift Albert Brackmann (Weimar: H. Böhlaus, 1931), 207-47.

74Guerra, Storia, 427, n. 2.

75Hugh Honour, "An Unpublished Romanesque Crucifix," Connoisseur, 136 (November 1955), 151, Ill. 1. See also the crowned image of Christ from Kirchberg, cited in note 58 which has an alpha and omega placed on the manuscript page just above the arms of the cross.

76Schiller, II, 145.

CHAPTER IV

THE SIGNIFICANCE OF THE VOLTO SANTO'S PLACEMENT IN LUCCA CATHEDRAL AND THE STATUE'S LITURGICAL USE

The particular location of the Volto Santo in the cathedral of Lucca is crucial to the interpretation of its liturgical significance. Its present location in an autonomous structure in the north aisle of this church is first documented in 1119 when Bishop Benedetto dedicated a chapel to the Volto Santo. In 1107, however, Pasquale II's papal bull had already mentioned a "Sacrarium Vultus," indicating the existence of a separate structure which housed the Volto Santo. Unlike the present octagonal chapel of 1482, the original would appear to have been square, as indicated by the contract for the construction of the new chapel. 2

Since Leobino's legend states that the Volto Santo was placed in the "australem plagam," not the north aisle of its present location, scholars have attempted to determine at what time this venerated image was moved to its new location. General consensus dates this transfer between 1060 and 1070. The Volto Santo is assumed to have been placed over an altar dedicated to the twelve apostles and other saints. I would propose, however, that the present location of the Volto Santo or one very similar to it is that which it occupied since its arrival in the second quarter of the eleventh century.

It has already been suggested that the Volto Santo legend may actually be the merging of a number of traditions dating back to the eighth century. It is not difficult to imagine that the Carolingian relics or reliquary cross acquired at Luni were transferred to a position near the south portal (accounting for Leobino's "australem plagam") upon the demise of the church of Dominis et Salvatoris in the early tenth century. It thus would not be necessary to assume that the Volto Santo was moved at all. In fact, it may have been the original cross with its relics which was moved near the Volto Santo. There is mention of a large silver cross in the legend which accounts for the invention of relics at least fifty years after it may be assumed that Volto Santo I arrived in This image of Christ was hung in the middle of the church to commemorate the miraculous discovery of the relics.4 It is certainly tempting to identify this cross with the Carolingian donation. Even a new cross created especially for the occasion may have been modelled on the type of this "crux vetus." At any rate, the Volto Santo's demonstrable use in the liturgical ceremonies of Good Friday and Easter, and its appropriate iconography for such celebrations suggest that Volto Santo I was originally and purposefully intended to be placed in or near the north aisle.

Previous scholars have not considered the significance of the Volto Santo Chapel's placement. Most have excused it as more convenient or quieter than a location near the portals

of the church.⁵ I would submit that this chapel belongs to a large group of analogously positioned Altars of the Cross which have their origins in the monuments of the Holy Sepulchre in Jerusalem.

The location of the Volto Santo chapel is very similar to the locations of the Altars of the Cross at the monastery of St. Catherine at Mt. Sinai and at San Marco in Venice. 6

These altars with ciborium-like structures over them are placed next to the nave arcade on the north side of the church.

The monastery at Mt. Sinai was regularly frequented by pilgrims in spite of its isolated location. By the eleventh century a large number of Easterners also made pilgrimages to the West. One such traveller of particular importance to our concerns is a certain Simeon who was born in Syracuse. He studied in Constantinople, later served seven years as a guide for pilgrims in Jerusalem and then became a monk at Mt. Sinai. Sometime afterwards he is known to have gone to Rome and later to Normandy. It is more than likely that he passed through Lucca on this northward journey which ended with his death as a recluse at Trier in 1035.

Such specific associations with the church of St.

Catherine are not totally necessary, however, to account for the placement of the Volto Santo. By the ninth century many German and Frankish churches had specific Altars of the Cross, though they were usually placed in the middle of the nave.

The pre-830 ideal plan for the monastery of St. Gall locates

an "'altare sancti salvatoris ad crucem,' as at Saint-Riquier and at Fulda, in the middle of the central nave, approximately mid-way between the two apses." Similarly, ceremonies from Essen and Saint-Riquier both mention altars of the Holy Cross. In Italy the rectangular chapel built in the middle of the nave in the fifteenth century by Michelozzo at San Miniato al Monte, Florence is likewise a Chapel of the Holy Cross, I and a 1519 rite for Holy Thursday from Aquileia takes place in the middle of the nave before the altar of the Holy Cross. 12

In many churches of the later Middle Ages, this chapel was incorporated into the choir screen and rood loft, but at Lucca it retained its integrity as a separate structure. Although the chapel in Lucca cathedral is specifically associated with the Volto Santo, documents do frequently speak of it as the chapel of the Holy Cross. The identification of the north side of the church with altars of the Holy Cross was persistent in Lucca, where, until 1506, the St. Augustine chapel in the left aisle of the church of San Frediano was called "Santa Croce." 14

The Lucchese placement, like that at Mt. Sinai and Venice, more closely replicates the actual disposition of the monuments at the Holy Sepulchre in Jerusalem than do those which place the altar in the middle of the nave. Yet, the general relationship to the high altar remains the same. In fact, Heitz has concluded that for the Carolingian monuments

cited above "Jerusalem, le Saint-Sépulcre et la liturgie de la Résurrection sont à l'origine du tout." Constantine's "basilica on Golgotha, Jerusalem, " dedicated on September 14, 335 by members of the Council of Tyre, provides the basic prototype for Altars of the Cross. At this monument in Jerusalem, which united the Holy Sepulchre, The Rock of Calvary and a basilical martyrium, "the rock of Calvary some thirty meters (one hundred feet) south-east of the tomb was shaped into a cube. " The original Volto Santo Chapel and Michelozzo's altar, for example, both had this same shape.

The Volto Santo chapel is located to the north-west of the main altar. In Lucca cathedral the main altar, like that in any church, can be seen to represent the Holy Sepulchre. The Liturgical reenactment of Christ's death and resurrection occurs here each time the Eucharist is celebrated. The relationship of the Volto Santo chapel to the high altar mirrors the relationship of the rock of Calvary to the Holy Sepulchre in Jerusalem. In the end, it is not the actual physical directions of the compass but rather the relationship expressed among the structures which is crucial to our concerns. The rock of Calvary in Jerusalem was located to the south-east of Christ's tomb rather than the north-west merely because the buildings in Jerusalem were not oriented.

Although numbers of pilgrims actually did travel to the Holy Land, the monuments there were primarily known from descriptions of schematic diagrams such as that made by the Gallic bishop Arculfe in the late seventh century (Illustration

14).²⁰ At least four ninth-century copies of this plan exist in Vienna,²¹ Paris,²² Zurich,²³ and Brussels.²⁴ They all represent the structures in terms of circles and rectangles. The chapel built around the rock of Calvary is shown as having either a square (Paris) or rectangular (Vienna) floor plan like that of the original Volto Santo chapel.

Of particular interest on these plans is the proximity of the chapel in which the Holy Grail was displayed—in the Vienna example immediately next to and behind the Golgotha Chapel, while on the Paris example directly behind it. Perhaps the image of the Volto Santo displayed with the chalice below its right foot was intended as an allusion to both the Golgotha chapel and the chapel of the Holy Grail. Even though the monuments in Jerusalem were destroyed in 1009, 25 they continued to live in the memories of Christians by means of manuscripts and also buildings like San Stefano in Bologna which reflected the general scheme of the structures. 26

According to tradition,

"as early as the time of Modestus the rock of Calvary was enveloped by a double chapel. The upper section of this chapel showed the hole (which is still exhibited) that held Christ's Cross, while the lower section enclosed Adam's grave and the crevice in the rock through which the Saviour's blood flowed to annoint Adam's skull."²⁷

I am convinced that it is this structure which is symbolically portrayed in the crucifixion from the mid-eleventh-century Echternach Gospels (Illustration 15).²⁸ Christ on the cross wears a typical long-sleeved colobium generally similar to

that of the Volto Santo. A large chalice which rests beneath his feet resembles those beneath the crosses in the Metz manuscript and Cologne ivory. To Christ's sides are the Virgin and St. John, and the sun and moon appear in roundels above. Below the cross Adam and Eve rise from their graves. The inclusion of this detail clearly suggests an association with the Golgotha chapel in Jerusalem. Similarly, perhaps the chalice in this manuscript and the one placed below the Volto Santo are references to the chapel of the Holy Grail which was adjacent to the Golgotha chapel in Jerusalem.²⁹

The preparation of the Eucharistic gifts in the Byzantine mass takes place to the north of the main altar, again recalling the relationship of the chapels of the Holy Grail and Golgotha to the Holy Sepulchre in Jerusalem. Although the ceremonies of the Byzantine rite differed substantially from those of Western Roman rite, they were known in the West. The example of Azenerius, abbot of Saint-Martin, Massay may be cited. According to the acts of the Concilium Lemovicense of 1031, he had assisted in a liturgical service at Hagia Sophia. 30 There, as in all Byzantine services, the Great Entrance, that is, the entry of the celebrant with the Eucharistic elements, is made from the north of the church. In pre-Iconoclastic churches this entrance was made from the skeuophylakion, a structure which served as a sort of sacristy and "was located somewhere north of the church." 31 Significantly, the eighth-century writer. Germanus says that "the preparation of the gifts which takes place in the skeuophylakion A twelfth century compilation of liturgies attributed to

Pseudo-Sophrone concurs in interpreting the skeuophylakion

as "the place of the skull as it was prefigured by Abraham." 33

In present practice, the deacon and the celebrant "go to the prothesis table which is located at the north side of the sanctuary or in a separate chapel north of it" to prepare the Eucharistic gifts. 34 In the tenth and eleventh centuries new formulae were developed for the cutting of the bread on the Prothesis table and the act was also "given a symbolic meaning because the accompanying text alludes to the death of the Lamb, Jesus Christ, on Golgotha." 35

Further reference to Christ's sacrifice and the north side of the church is reflected in a monument such as the church at Daphni where the famous mosaic Crucifixion (c.1100) is located in a lunette on the north wall of the left crossarm of the church. 36 At Hosios Lukos in Phocis, Greece another mosaic rendition of the crucifixion was placed in the left side of the narthex around the year 1000. 37 Likewise, the tympanum over the left portal of Lucca cathedral shows the Deposition. The doorway is known as the "Porta della s. Croce," as is also the north portal of the Florence Baptistry. 38

The left or north side has traditonally connoted the darkness of temporal existence. This is made clear in St. Gregory's Twenty-First Homily, based on the Gospel read during Easter matins in the Roman rite. He rhetorically asks,

"For what signifieth the left, but this life which now is? or the right, but life everlasting?"³⁹ The positioning of the Volto Santo chapel in the north aisle of Lucca cathedral thus appropriately commemorates Christ's sacrifice on this earth, in fact, the very spot in Jerusalem where he made that sacrifice. Far from being just the "Sacrarium Vultus," the Volto Santo chapel is also a Golgotha chapel intimately linked with Christ's tomb.

The liturgical ceremonies associated with the Volto Santo also may have their origins at the holy places of Jerusalem. From the fourth century account of the Pelegrinatio Etheriae we learn how the Golgotha chapel was used on Good Friday: 40 Etheria tells how the Bishop holds the Holy Wood of the Cross between his hands while deacons stand quard. The faithful come forward, she says, and touch the relic "first with their foreheads and then with their eyes; then they kiss the Cross and pass through."41 They also adore other objects. "All the people are passing through up to the sixth hour, entering by one door and going out by another."42 At the sixth hour everyone gathers in front of the Cross where the Bishop is seated, and lessons are read from the gospels regarding Christ's passion. Hymns are sung and prayers are said. "At the beginning of the ninth hour, there is read that passage from the Gospel according to John where He gave up the ghost. This read, prayer and the Dismissal follow."43

The form of this commemoration of Christ's passion acted at the places where they actually occurred was imported

to the West and took the form of a stational drama within the Good Friday liturgy. "By the seventh century it was a regular part of Roman usage. The station for the day, Santa Groce in Gerusalemme, is chosen explicitly for the ceremony - a reminder of the tendency of coincidence to operate in terms of space as well as time."44

Not unexpectedly, the station for this drama in Lucca cathedral was the Volto Santo chapel "ubi Christi crux adoratur."45 Previous scholars studying the Volto Santo have neglected the importance of this ceremony; their energies were concentrated on unraveling the mysteries of the Volto Santo's provenance. While all the problems regarding its provenance are far from solved, recent studies on the relationship between architecture and liturgy, as exemplified by Carol Heitz' study of Carolingian and Ottonian architecture, 47 have suggested the importance of investigating the Volto Santo's liturgical function.

Since the thirteenth century the Volto Santo has been intimately associated with three church festivals: the solemn recollection of Christ's death on Good Friday, the Feast of the Invention of the Cross on May third and the Feast of the Exaltation of the Cross on September fourteenth. The Lucchese have adopted the autumnal celebration as their civic holiday. The elaborate processions and services in honor of the Volto Santo on the latter have overshadowed the former two church holidays. In the eleventh and twelfth centuries, on the other hand, the major celebrations surrounding the Volto Santo

took place during Passion Week and Eastertide. According to local tradition, the Volto Santo arrived in Lucca on the Friday after Easter, which is still known in Lucca as "il venerdi dello scontro della croce."50 Unspecified documents and liturgical records of the cathedral indicated to Guerra that the original festival of the Volto Santo was celebrated on the second day of Easter, that is, Easter Monday. 51 The day began with the ringing of church bells and after a procession in the vicinity of the cathedral, the bishop presided pontifically at mass in the cathedral. Indeed, a papal bull of Pope Gelasius II from 1118 prohibited the celebration of mass in any other church on this day. 52 The special character of this day is indicated by the fact that it was the only day in the year that the canons were permitted the use of the mitre. After the mass was completed, the eight cantors received two gold coins from the Guardians of the Holy Cross. 53 Such practice indicates that this day was none other than the ancient festival of the Volto Santo.

This large-scale celebration on Easter Monday was a fitting continuation of the Easter celebrations of the day before. In effect, the news of Christ's resurrection was brought to all the people of the town, much as Christ had appeared to his disciples on the road to Emmaus. The Volto Santo which had been adored on Good Friday was now adored as the risen, triumphant Christ. This dual character of its iconography is suited to a comparable Easter custom at Rome which "requires an especially elaborate vesper service

throughout the week."⁵⁵ "It has all the elements of a resurrection ceremony...and begins with actions recalling the Crucifixion and the Adoration rite of Good Friday."⁵⁶

The ancient form of this adoration rite in Lucca contains all the basic elements of the Roman form. The fragments of this rite which have been published, the ceremony begins after the reading of an unspecified tract. The deacon announces the reading of the Passion of the Lord which is presented in an austere manner without responses, incense or even making the sign of the cross over the gospel or one-self. The only exception occurs when the words "venit autem Nicodemus" are read. The act of crossing oneself in this instance would clearly seem to be in deference to the sculptor of the Volto Santo.

When the reading of the passion is completed, prayers are said. Then the bishop, with the choir, goes down to the chapel of the Holy Cross where the Volto Santo is located. The bishop prostrates himself on a carpet before the chapel and the responsory "tenebrae factae sunt super universam terram" is sung by the cantor and the subdeacon. When this is finished the choir to the right sings the Greek portion of the Trisagion, "the holiest of all Greek prayers." Sa Afterwards, the other choir sings it in Latin. Then four cantors enter the Volto Santo chapel. The two on the side of the cross facing the people sing "Popule meus quid fecitibi?" Except for a few altered details, the Lucchese ceremony parallels the Roman rite. As at Rome, "the Cross ceases

to be a simple object of meditation. It is treated as the original Cross,...[the] reproaches (improperia) understood to be spoken by Christ himself."59 The Lucchese manuscript is incomplete regarding the next actions, but it does indicate that the other two cantors sing something in reply from the other side of the cross. In Roman practice Christ's lament continues with "a reference to Christ's deliverance of the Hebrews:....'Quia eduxi terra Aegypti, parasti crucem Salvatori tuo.'"60 The lacuna in the manuscript would also appear to have eliminated the singing of the Greek portion of the Trisagion by the right choir, for the manuscript resumes as the choir on the left sings it again in Latin. 61 Once more two voices are heard from within the chapel singing "the second improperium, also an allusion to Exodus...: 'Quia eduxi te per desertum quadraginta annis.'"62 The ceremony is interrupted as the bishop ascends the pulpit and preaches a sermon about peace. 63 The ceremony is later continued with confession. Then the bishop enters the chapel of the Holy Cross and uncovers the Volto Santo. The invitation to adore the cross is sung: "Ecce lignum crucis, in quo salus mundi perpendit. Venite adoremus." Finally the feet of the Volto Santo are kissed by the priests and the people. The rest of the rite proceeds, according to Mansi, as in the nineteenth century.64

In this ceremony the Passion of Christ is not read before the cross as at Jerusalem and the reading precedes rather

than follows the adoration of the cross, but the special honor accorded Nicodemus is clearly a reference to the Volto Santo. The gospel reading serves as a proloque to the actual visiting of the symbolic site of Christ's crucifixion in the church. The divine history which has been read is now reenacted. the Volto Santo chapel is transformed in the minds of the worshippers into the Jerusalem Golgotha chapel, the mood is set by the foreboding responsory's reminder that darkness was over the entire earth. The deathly stillness is shattered by the cantors who sing Christ's reproaches to his people. would have seemed as though Christ Himself were in the chapel, embodied in the form of the Volto Santo. At the conclusion of the rite, the Volto Santo's feet are kissed, just as were the relics of the True Cross in Jerusalem. Although the cross was viewed symbolically in this manner in any church which followed the basic form of the Adoratio crucis, it was all the more fitting at Lucca where the Volto Santo was believed to be an accurate image of the Savior himself, housed in a chapel which recalled the very place of his crucifixion in Jerusalem.

The ceremonies which followed this dramatic encounter between Christ and his people at the foot of the cross are not specified in the published account of the Lucchese rite. In most cases, the liturgy continues the metaphoric narration of events leading to Christ's burial. "The most important expression of sorrow on Good Friday is the cessation of the daily sacrifice." A special Mass of the Presanctified

usually followed the Adoration of the Cross. A Host which had been consecrated on Holy Thursday and reserved for Friday was used. In Lucca the extra Eucharistic elements consecrated for Good Friday were taken to the sacristy after all had communed on Holy Thursday. 66 These elements were probably brought quietly back to the main altar as the Adoratio crucis finished. Upon the conclusion of the Adoration, the doors of the Volto Santo chapel were most likely closed. 67 Whether this occurred before or after the Mass of the Presanctified is not certain, but such an act does symbolically allude to the deposition and burial of Christ, who had just been adored upon the cross, suffering for mankind.

Since the Lucchese manuscript stops short with the Adoration of the Cross, it is not certain whether the Lucchese actually developed any formal 'burial' rite for Good Friday, but extra-liturgical ceremonies of this type are known as early as the tenth-century Concordia Regularis of St. Athewold used at Winchester. The rubrics provide that

"on that part of the altar where there is space for it shall be a representation, as it were, of a sepulchre, hung about with a curtain, in which the Holy Cross, when it has been venerated, shall be placed."

After a ceremony in which the cross is wrapped in a sindon and buried

"in imitation as it were of the burial of our Lord Jesus Christ,...the Holy Cross shall be guarded with all reverence until the night of the Lord's Resurrection." 70

This burial was followed by the Mass of the Presanctified in which all communed in silence.

Such ceremonies developed a wide popularity, ⁷¹ and permanent or semi-permanent structures were constructed to serve as the sepulchre for both the Cross and the Host. The majority of the <u>Depositio crucis</u> ceremonies which have been preserved are of South-German provenance. ⁷³ Since we have previously suggested numerous other connections with Germany, it is of importance that the "German sepulchre was usually in the nave." Sometimes it was actually placed at or near the Altar of the Holy Cross. ⁷⁴ On the other hand, the sepulchre in England and France was usually placed in the choir. ⁷⁵ "In Italy it seems...to have been usually in the nave, "⁷⁶ such as at Cividale and Venice. ⁷⁷ In fact, at Aquileia it was placed, like the Volto Santo chapel, in the north aisle. ⁷⁸

Akin to the practice described at Winchester, the Prüfening Deposito crucis immediately followed the Adoratio crucis. The rubrics for this ceremony specifically indicate that a sepulchre was prepared at the Altar of the Holy Cross:

"ultimo portitores crucis, et fit stacio ante altare sancte crucis quod antea a custode loco dominici sepulchri lintheo magno specialiter ad hoc apto velatum existit." 79

Related to this practice, in turn, is that at Moosburg, Germany which specified that after communion the sepulchre for the crucifix should be set up where the crucifix was adored:

"super altari mobili posito portatur ad locum in quo crucifixus est adoratus et ibi sepulchrum pro sepultura crucifixi debet esse positum et circumductis pannis decenter preparatum."80 Admittedly, the Volto Santo could not actually have been placed in a separate sepulchre upon the altar as at Prüfening, but the entire chapel with its doors closed probably did serve as a symbolic sepulchre.

A number of churches built large scale, permanent sepulchres at least as big as the Volto Santo chapel. As noted above, the large circular structure known as the <u>San Sepolcro</u>, located in the second bay of the north aisle of Aquileia cathedral, ⁸¹ likewise corresponds to the Volto Santo chapel's placement in the north aisle of Lucca cathedral. The <u>San Sepolcro</u> is known to have existed already in 1077, ⁸² and ceremonies describe its use as a repository for both a cross and a Host which were then elevated on Easter. ⁸³ Analogous ceremonies are associated with the Altar of the Cross at Prüfening. ⁸⁴

Perhaps such ceremonies which included the burial of both the cross and a Host, ⁸⁵ usually placed in a monstrance or chalice, may lend yet more plausibility to my suggestion that the chalice of the Volto Santo was not a makeshift addition but had its origins in Easter ceremonies. The Roman Ordo from a fourteenth- or fifteenth-century manuscript speaks of a chalice to reserve the Host:

"calicem cum corpore Domini nostri reservato, non illum calicem in quo celebravit, sed solum magnum de auro.86

That the preconsecrated elements for Good Friday were

reserved in the sacristy and not the Volto Santo chapel does raise some questions. Yet, the Depositio crucis et hostiae at Regensburg specifically provides that both elements - that is, the cross and the Host - be placed in the sepulchre only to be removed promptly to the sacristy for safekeeping by the Abbott when the ceremony is completed. 87 A manuscript from Laon also indicates that the Host was placed on the altar of the sepulchre before Matins on Easter, in spite of the fact that it was stored elsewhere, as at Lucca. 88 The ceremonies for Holy Thursday from Essen suggest yet another alternative. The fourteenth-century Liber ordinarius, which probably reflects tenth-century usage at Essen, requires that three Hosts be consecrated on Holy Thursday: one for the mass of that day, one which was stored in a sacristy for the Mass of the Presanctified on Good Friday and a third which was deposed in a symbolic sepulchre called the sacrarium corporis Christi. 89 There had been mention of a "sacrarium Vultus" in Pope Pasquale II's bull of 1107. In its general sense this term indicates a special area or chapel reserved for the hallowed Volto Santo, but perhaps further research into the entymology of the term may also show a more specific relationship to the Essen sacrarium in which a Host was buried.

That the Volto Santo was originally placed over an altar dedicated to the Twelve Apostles in no way weakens the hypothesis that the Volto Santo was used in Easter rituals.

"Sometimes chapels other than Sepulchre Chapels were used for these ceremonies as the Chapel of St. Sebastian at St. Gall,

of St. Finstan at Rheinau and of Simon at Meissen." In Italy a thirteenth-century Deposito crucis ceremony from Padua indicates the Chapel of St. Daniel as the site of the sepulchre: "deferunt Crucem ad altare Sancti Danielis, et deponunt eam in Sepulchro ante altare." Of special interest is the coincidence with a ceremony from Polling, Germany where the altar of the Apostles served as the setting for a liturgical drama representing the Three Maries' visit to the tomb (Visitatio Sepulchri): "itur processionaliter ad altare apostolorum. Et ibidem peragatur visitatio sepulchri secondum consuetudinum." 92

Such sepulchres and their use of Christ's symbolic burial necessitated complementary resurrection or <u>Elevatio</u> ceremonies. These acts were in perfect harmony with the Easter message of Christ's resurrection from the tomb. However, unlike the public, communal rites of the <u>Adoratio</u> and subsequent <u>Depositio</u>,

"the <u>Elevatio</u> was often performed almost privately in a subdued voice and behind closed doors. The performance was usually given not for rejoicing throngs but rather for small groups of penitent clerics." 93

The earliest example of this ceremony is a counterpart to the <u>Depositio</u> found in St. Athelwold's <u>Concordia Regularis</u>. It very simply provides that the cross which was deposited be taken out of its sepulchre and be put in an appropriate place. ⁹⁴ "Since it is certain that Christ was resurrected before the women and disciples came to the sepulchre," says an <u>Elevatio</u> ceremony from Gran, Hungary, "it is fitting that this ceremony be completed before the people gather in the

church."⁹⁵ In fact, the Synod of Worms of 1316 prohibited lay people from participating in the Elevation.⁹⁶

A ceremony of this type at Lucca would assuredly have been private. The Volto Santo no doubt had been displayed without its ornaments on Good Friday. Tt would now have been necessary to adorn it for Easter with its crown, gilt belt and shoes, all of which, like the chalice, are indicated in early representations of the figure. Such a vesting of the statue would have been undesirably awkward and difficult to perform publicly and can justifiably be assumed to have occurred privately. Once dressed, the Volto Santo became the perfect image of the transfigured, resurrected and even apocalyptic Lord who had been dead but now lives forever. 98

Although the principal readings for Eastertide are predictably chosen from the gospel accounts of the Resurrection and the ensuing encounters between the risen Christ and his disciples, the oldest of the Ordines romani for use at the Lateran specifies that lessons from the Epistles, Acts of the Apostles and the Apocalypse be read until Pentecost. Hore specifically, the Liber Comicus, reflecting the custom at Toledo around 660, required the reading of Revelation I:9-16, 17-18 on Holy Saturday and Revelation I:1-18 for Easter itself. In both cases, the verses which are read are at the very basis of the Volto Santo's iconography. If not read on Easter in Lucca, perhaps these lessons were read on the following day when the original feast of the Volto Santo was celebrated. Precedent for such a practice occurs already

around the eighth century in the lessons for Eastertide specified by the lectionary of Luxeil in Gaul. 101 Although the lessons for Easter Day are missing from this document, those for Easter Monday include Revelation I:14 through II:7. For the moment such remarks are merely speculative but in the future it would perhaps prove worthwhile to examine the liturgical documents in Lucca for evidence of readings from the Apocalypse at Eastertide. 102

What I have been able to determine of Lucchese Easter ceremonies does indicate that the Volto Santo was displayed for Easter and that the Volto Santo chapel was treated symbolically as the sepulchre of Christ. An undated Easter Vigil collected by Mansi in the seventeenth century begins with rites for lighting the Paschal candle. 103 After the candle is lit, the twelve traditional lessons for the Vigil are read by canons from the various municipal churches. 104 During these readings, four priests prepare the catechumens for baptism. After the priests annoint them with holy oils, the bishop, clerics and people descend to the font. 105 Four priests from the cathedral and four from the church of Santa Reparata officiate as the entire community witnesses the spiritual death and rebirth of the neophytes. This idea is expressed most clearly in St. Paul's letter to the Romans VI:3-4:

"When we were baptized in Christ we were baptized in his death; in other words, when we were baptized we went into the tomb with him and joined him in death, so that as Christ was raised from the dead by the Father's glory, we too might have a new life."106

St. Basil's interpretation of this passage further explicates the essential symbolism of baptism:

"How then do we achieve the descent into hell? By imitating through baptism the burial of Christ. For the bodies of the baptized are, as it were, buried in water...For there the death on behalf of the world is one, and one the resurrection of the dead, whereof baptism is a type."107

When the rite of baptism is concluded, the entire congregation liturgically recreates Christ's descent to hell and his resurrection as follows. The bishop, deacon and subdeacon, ornately vested and preceded by candelabra, thuribles, incense and three crosses, begin the prayer "Deus qui ecclesiam tuam" at the door of the cloister. Then all process around the church singing the antiphon "Cum rex gloriae." This antiphon reflects the story of Christ's Harrowing of Hell and is frequently found in Elevatio ceremonies. It continues the recollection of the events of Christ's death and resurrection begun in the baptismal rites. Moving through the church the congregation recalls how Christ descended into hell. "The chief inspiration for the treatment of this theme is, directly or indirectly, the Gospel of Nicodemus."

The ceremony continues with an actual dramatization of this theme at the church door. An <u>Elevatio</u> ceremony from Bamberg clearly states that the ceremonial at the door symbolizes Christ's descent to hell. At St. Gall the door was actually struck with the base of a cross "as a sign of the redemption of souls from limbo." In Lucca the rubric

indicates that the bishop announces, "Tollite portas, principes, vestras, et elevamini, portae aeternales, et introibit rex gloriae" ("Lift up your gates o rulers and be lifted up o everlasting doors, and the king of glory shall come in.") 114 These words, taken from Psalm 24, are precisely those which begin the Nicodemean account of Christ's descent into hell. Although the response to the bishop's acclamation is missing from the Lucchese manuscript, it can be assumed that the chorus responded with the usual "Quis est iste rex gloriae?" ("Who is this king of glory?"), 115 since the bishop is responding to this question when the manuscript resumes: "Dominus virtutum ipse est rex gloriae" ("The Lord of strength, this is the king of glory.") Again, the manuscript is incomplete following the words "Hoc fit ter...," but since similar ceremonies entail a dialogue repeated three times and the account in the Descensus in Nicodemus' Gospel has the Lord repeat the phrase "Lift up your gates" three times before the souls are released from hell, it can be assumed that the "ter..." is a truncated form of "tertio."

When this symbolic harrowing of hell was completed, the doors to the church must have been opened, because the Lucchese manuscript says that all the church bells are rung and the people enter the church. This obvious commemoration of the resurrection is again made explicit at St. Gall where all the bells were rung "in signum resurrectionis." At Lucca the procession stops "ante crucem" and the bishop declares, "Iam

Christus Dominus resurrexit." ("Christ the Lord is risen.") 117 No clearer indication that the Volto Santo chapel was viewed symbolically as the Holy Sepulchre is necessary. The first verbal recognition of Christ's resurrection is said before the cross which was adored and symbolically buried on Good Friday. The triumphant Christ who released the souls from hell in Nicodemus' Gospel is seen face to face. If the rubrics here are as incomplete as others throughout the manuscript, perhaps the antiphon continued with a more specific reference to Christ's tomb. A twelfth-century Antiphonary probably from a Camaldolite monastery near Lucca reminds us of the angel's words at the empty tomb: "Iam surrexit. Venite et videre locum ubi positus erat dominus." 118 Even if the antiphon at Lucca did not continue with this admonition to come and see the place where Christ was laid, such a meaning was certainly implicit. The people responded by saying "Thanks be to God." The announcement of Christ's resurrection and the people's response were repeated two more times before the procession moved to the choir. This threefold repetition not only corresponds to the thrice repeated entreaty at the doors of the church, but it also leaves no doubt about the association of the Volto Santo chapel and Christ's resurrection. The three major acts of the Easter vigil ceremony at Lucca can be seen to involve Nicodemus. According to the gospels, he assisted at Christ's burial, symbolically enacted during the rite of baptism. He also is credited with having written the account of Christ's descent

into hell which is reenacted in the baptismal act, in the singing of the <u>Cum rex gloriae</u> and in the dialogue at the portals of the church. This trilogy of associations is completed by the Volto Santo itself which Nicodemus is reputed to have sculpted and which serves as the image of the risen Lord later in the service.

At the high altar the bishop said the Gloria in excelsis in his highest voice and the mass continued from there. Again we are reminded of Leobino's legend where, when the ship carrying the Volto Santo came to shore, the Lucchese are said to have sung the Gloria in thanks for God's divine mercy.

(ymnum angelicum decantantes divine misericordie gratias retulerunt). In the Easter ceremony at Lucca the resurrection as commemorated before the cross is likewise followed by the people's "Deo gratias" and the singing of the Gloria at the high altar.

The mass at the main altar, though not specified in the Lucchese manuscript, can be assumed to have resounded with the triumph of the Easter message. By the eleventh century a special Easter trope was usually added to the introit for the day. 119 Evidently originating at St. Martial of Limoges between 923 and 934, 120 the trope took the form of a sung dialogue which soon gained widespread acceptance throughout Europe. It freely repeated and elaborated upon the encounter between the Three Maries and the angel at the tomb. The question "Quem quaeritis?" ("Whom do you seek?") usually began the sequence. In Italy such liturgical

embellishments are documented in eleventh century ceremonies from the Abruzzi, Bobbio, Rome, Vercelli, Monza, Mantua, Monte Cassino, Novalesa, and Ivrea. 121

Although the <u>Quem quaeritis</u> trope served as the source for the liturgical drama of the <u>Visitatio Sepulchri</u>, ¹²² which was popularized in England, France and Germany, this trope remained virtually unchanged in Italy for the entire twelfth century. ¹²³ Exceptional is the quite elaborate <u>Visitatio</u> performed at Aquileia where a permanent Holy Sepulchre had been erected. ¹²⁴ A <u>Visitatio</u> was also performed at the Parma sepulchre which, unlike most Italian examples, was located in the choir behind the altar. ¹²⁵

Although a <u>Quem quaeritis</u> trope may have been sung during the mass at Lucca, the liturgical drama of the <u>Visitatio Sepulchri</u> would have been inappropriate. In these plays priests representing the Three Maries usually came to a representation of the empty tomb, where the dialogue ensued. 126 Although a Host could be removed from the Volto Santo chapel with little difficulty, the large sculpture itself is not likely to have been. In fact, the Volto Santo's ornamented presence within the chapel would have rendered senseless the import of the drama which depended upon an empty tomb as the visible sign of Christ's resurrection. The Easter ceremony discussed above does indicate the chapel as the place of Christ's resurrection but in no way attempts a literal, representational enactment of that scene. A sepulchre could

have been set up nearby, as in the German examples cited above, but the Volto Santo chapel is so emphatically treated as a sepulchre in other Easter ceremonies that the addition of a temporary structure would have been an unwelcome redundancy. A sepulchre specifically used for Easter plays is reported to have been constructed in Lucca, perhaps as early as the thirteenth century, but this sepulchre was a civic monument. It was evidently located in the chapel of the town hall and therefore was probably not a part of the liturgical ceremonies which took place in the cathedral.

The Quem Quaeritis trope does not appear to have undergone significant development in Italy; hence, it is not surprising that mystery plays did not appear there until the thirteenth century. 128 The separation of liturgy and drama at this time does suggest, nonetheless, an interesting correlation with development of the cult of the Volto Santo. It must be admitted that the main celebration involving the Volto Santo was probably transferred to September fourteenth because of the great fame the statue had acquired; a celebration of its own, divorced from the chief celebration of the church year, was indeed warranted. However, it is curious that at this very time the Volto Santo and its effects should be so completely transformed. Its chapel was redecorated, a new chalice was installed, a new feast was appropriated and, if art historians be correct, even a new Volto Santo was sculpted. Haussherr has noted that by 1200 the original meaning of the colobium-clad Apocalyptic Christ was no longer

known 129 Especially in the case of the Volto Santo, its own image had become more important than its type. Perhaps even its associations with the Easter ceremonies were weakening. While the munificence of a thriving populace may be credited with the embellishments for the Volto Santo around 1200, might not an actual change in function also be reflected? The chalice, once a sacred vessel symbolically associated with Christ's sacrifice, was transformed into a receptacle for gifts to the Volto Santo. The new series of frescoes which decorated the chapel are said to have depicted scenes from the legend of the Volto Santo. Along with its new association with the Feast of the Exaltation of the Cross, the Volto Santo would appear to have taken on an autonomous existence. It was no longer Christ on the cross but the Volto Santo which people desired to see and exalt. Although the discovery of relics around 1100 had made the Volto Santo a reliquary, by 1200 it was its own most famous relic. Though still used for the Adoratio ceremony of Good Friday and even recognized during the Easter vigil, the actual drama of Easter would seem to have been transferred to that sepulchre outside the church.

In the end, it was a happy compromise. The Easter drama and the Volto Santo were removed from competition. Unhappily, much of the original meaning and significance of the Volto Santo were nearly lost to us. Those vestiges which have survived, those traditions which have been perpetuated, even

in their presently fragmented state, have helped to reconstruct how important the monuments of Jerusalem and the events which took place there were to that peculiar image, placed in the north aisle of Lucca cathedral, which we know as the Volto Santo.

NOTES - CHAPTER IV

- l'Almerico Guerra, Storia del Volto Santo di Lucca (Lucca: Tipografia Arcivescovo s. Paolino, 1881), 83.
- Guerra, 446, n. 12. According to Guerra, 484, n. 16 the documents are published by F. di Poggio, Illustrazione del SS. Crocifisso di Lucca, detto volgarmente il Volto Santo (Lucca, 1839), 160, 161 and 216 ff. A Trecento illumination from the Tucci-Tognetti Codex in the Biblioteca Governativa di Lucca which illustrates a procession in honor of the Feast of the Exaltation of the Cross gives some indication of the chapel's character. Illustrated in E. Lazzareschi, "La festa di S. Croce a Lucca," Vie d'Italia 40 (1934), 636. A fifteenth century painting now in the Louvre clearly shows the rectangularly shaped chapel, illustrated in Gustav Schnürer and Joseph Ritz, Sankt Kümmernis und Volto Santo (Dusseldorf: L. Schwann, 1934), Pl. XXIII, Abb. 52.
- ³For example, Pietro Guidi, "Per la storia della Cattedrale e del Volto Santo, "Bolletino storico lucchese, 4 (1932), 180-81. Antonio Pedemonte, Quando venne il Volto Santo a Lucca? (Lucca: Scuola Tipografica Artigianelli, 1936) 48-49 contends, on the other hand, that the Volto Santo was not yet moved from near the portal as it appears in Guidi's list of altars from between 1065 and 1109 (Guidi, 169-170). Pedemonte assumes that the Volto Santo was not in its present position because it was placed over an altar dedicated to the twelve apostles and other saints. One of the legends in the appendix to Leobino's legend regarding a woman of Siena specifically states, however, that she went "ad locum...ubi Christi Crux adoratur...In medio enim fere Ecclesiae locus aliis paululum habetur excelsior, septemtrionali parti vicinor, et ibidem in honorem duodecim Apostolorum et beatissimum Corneli et Cypriani martyrum altare sacratum colitur, et super illud imago Christi collocatur, quam tota Europa devotissime celebrat." Quoted by Guerra, 444, n.8.

⁴Guerra, 114. This cross was destroyed in 1798 but Guerra, 460, n. 5 reports that Fioriti, writing in the middle of the eighteenth century, tells of the cross and a document preserved within it relating the story of the legend.

⁵For example, see Guidi, 181; Pietro Lazzarini, <u>Il Duomo</u> di <u>Lucca</u> (Lucca: Zincongrafica Fiorentina, September 1970), 42.

The Mt. Sinai example is illustrated in a nineteenth-century lithograph after a drawing by David Roberts reproduced in Kurt Weitzmann, "The Mosaic in St. Catherine's Monastery on Mount Sinai," Proceedings of the American Philosophical Society, 110, No. 6 (December 1966), 393, Fig. 2. Although the monastery was founded by the Emperor Justinian in the sixth century, it is not known whether the Altar of the Cross is part of the original structure. The San Marco altar postdates the Volto Santo chapel. According to Ursula Schlegel, "Observations on Masaccio's Trinity Fresco in Santa Maria Novella," Art Bulletin, 45, No. 1 (March 1963), 29 the structure was erected in 1290 to house a cross from Constantinople. The chapel is illustrated in Richard Krautheimer, Early Christian and Byzantine Architecture (Baltimore: Penguin Books, 1965), Pl. 172.

⁷Jean Ebersolt, <u>Orient et Occident</u>, 2nd ed. (Paris: E. De Boccard, 1954), 39 discusses the elaborate itineraries of a number of eighth-century pilgrims which include stops at Mount Sinai.

⁸Ebersolt, 55. Guerra, 96 records the presence of a S. Simeone in Lucca in the first years of the eleventh century.

PCarol Heitz, Recherches sur les rapportes entre Architecture et Liturgie à l'époque carolingienne (Paris: S.E.V.P.E.N., 1963), 68 "L'autel de la Sainte-Croix (altare sancti salvatoris ad crucem) se dresse, comme à Saint-Riquier et à Fulda, au milieu de la nef centrale, a peu près a michemin entre les deux absides." For the plan of the church see Heitz, Fig. 33. See also the ninth century plan of Corvey, Fig. 6.

10 For Essen see Heitz, Fig. 39, ceremonies described on 193. For Saint-Riquier see Caecilia Davis-Weyer, Early Medieval Art. 300-1150. Sources and Documents. (Englewood Cliffs, New Jersey: Prentice-Hall, 1971), 95.

11 Schlegel, 28. She also suggests that Masaccio's
Trinity and Buggiano's Cardini Chapel in San Francesco, Pescia
are Golgotha Chapels.

12 Archdale A. King, <u>Liturgies</u> of the <u>Past</u> (Longmans, Green and Co., 1959), 46.

13Guerra, 461, n. 9 speaks of a thirteenth century church calendar which requires that the lessons for Matins during the octave of the Feast of the Holy Cross be chosen "dei miraculi descritti nel Libro della Capella di s. Croce." Giovanni Domenico Mansi, Diario Sacro delle Chiese di Lucca (Lucca, 1836), 78 publishes a ceremony for Good Friday in which "descendat Pontifex cum choro ante Capellam s. Crucis" which is later identified as "capella SS. Vultus."

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- 14 Guerra, 56. The chapel is now decorated with sixteenth century frescoes by Amico Aspertini representing scenes from the legend of the Volto Santo.
 - ¹⁵Heitz, 286. See also 91-121.
- 16 Krautheimer's appellation is most apt for our discussion here. Krautheimer, 39, Fig. 16.
- 17_L. Duchesne, <u>Christian Worship</u>. Trans. M. L. McClure, 5th ed. (New York: Macmillan, 1949), 274.
 - 18 Krautheimer, 39-40.
- 19 Richard Krautheimer, "Introduction to an Iconography Of Mediaeval Architecture, "Journal of the Warburg and Courtauld Institutes, 5 (1942), 18 speaks of how the Holy Sepulchre's 'pattern...is clearly reproduced in the plan of the buildings at Bologna," that is, San Stefano, even though the directions are reversed.
- ²⁰Heitz, 113. He apparently visited the Holy Land sometime between 679 and 682.
- ²¹The following four citations are from Heitz, 114. Originally from Salzburg. Heitz, Pl. XXIX, A.
- ²²Originally from Corbie, now in the Bibliothèque Nationale. Heitz, Pl. XXIX, B.
 - ²³Originally from Rheinau.
- Originally from Stavelot. Now in the Bibliotheque royale.
- ²⁵Ebersolt, 58. The Holy Sepulchre was consequently rebuilt in 1048 (Ebersolt, 50) but it was closed to Western pilgrims from 1056 until July 15, 1099 (Ebersolt, 51).
- 26 According to Ebersolt, 58 "Malgré sa destruction, la basilique continue à vivre dans le souvenir des générations jusqu'à la fin du XI^e siècle."
 - ²⁷Schlegel, 26.
- ²⁸Gertrud Schiller, <u>Iconography of Christian</u> <u>Art</u>, Vol. 2 Trans. Janet Seligman (Greenwich, Connecticut: New York Graphic Society, 1972), Pl. 387, now known as the Egerton Manuscript.
- The chalice is obviously not meant to be merely a receptacle to collect the blood which flowed from Christ's feet, as in the example at Chauvigny cited in note 62 to Chapter 3, since no nails appear on the surface of the Volto Santo's feet.

- Ebersolt, 58. Oderic, bishop of Orleans in the eleventh century, is also supposed to have assisted at the ceremonies for Holy Saturday in Jerusalem.
- Thomas F. Mathews, <u>The Early Churches of Constantinople:</u>
 Architecture and Liturgy (University Park: Pennsylvania State University Press, 1971), 160.
 - ³²Quoted by Mathews, 159.
- 33Quoted by Gordana Babić, <u>Les Chapelles Annexes</u> <u>des Eglises Byzantines</u> (Paris: Edition Klincksieck, 1969), 63.
 - 34 Mathews, 155.
- 35 Meletius Michael Solovey, The Byzantine Divine Liturgy, Trans. Demetrius Emil Wysochausky (Washington, D.C.: University of America Press, 1970), 106.
- 36 David Talbot Rice, <u>Byzantine</u> <u>Art</u>, Rev. ed. (Baltimore, Maryland: Penguin Books, 1968), 203, <u>Ill</u>. 178. I thank Dr. Molly T. Smith who brought the location of this mosaic to my attention.
- 37 Rice, Art of the Byzantine Era, 272. Photograph on page 97, Ill. 82.
- ^{38}I am grateful to Dr. Webster Smith for bringing this fact to my attention.
- Ouoted by O. B. Hardison, Christian Rite and Christian Drama in the Middle Ages (Baltimore: The Johns Hopkins Press, 1965), 229 from the Roman Breviary II.
- ⁴⁰Duchesne, 492-523 publishes the entire Latin text of the <u>Pelegrinatio</u> with English translation 541-571. The texts under consideration here are from 510-11 and 558-60.
- Duchesne, 559. Latin, 510 "primum de fronte, sic de occulis tangentes crucem et titulum et sic osculantes crucem pertranseunt."
- ⁴²Duchesne, 559. Latin, 510-11. It is not clear whether the original Volto Santo chapel also had doors on either side, but the present chapel does allow for worshippers to enter from the nave, place their gifts upon the altar and exit by the opposite door for the Feast of the Exaltation of the Cross.
 - 43 Duchesne, 560. Latin, 511.
 - 44 Hardison, 131.

- ⁴⁵Guerra, 444, n.8. This is taken from the Latin text of a legend regarding a woman of Siena.
- These ceremonies have been neglected for almost a century. Guerra, 247 in his completeness was the last to consider them important enough to discuss them in relation to the Volto Santo.
 - 47 See note 9 above.
- ⁴⁸Since 1836 the last Friday in November has also been set aside as a special day to honor the Volto Santo, since the Volto Santo was believed to have saved the city from a cholera epidemic in that year.
- ⁴⁹For example, there are numerous articles written about the celebrations of September fourteenth but none on the Easter ceremonies. See Guerra, 119-127 and 149 for details of the September celebrations. See also Lazzareschi, 627-39 and Pietro Guidi, La 'Luminara' di S. Croce nel medio evo (Lucca: Tipografia Casini, 1920).
 - ⁵⁰Guerra, 53.
 - 51_{Guerra}, 147.
- 52Guerra, 147. Latin text, 467, n. 1 "Nulla Ecclesia
 Missas solemnes celebret in Festivitate Beati Martini et sancti
 Reguli et in secunda feria Paschae, donec stationes solvantur."
- ⁵³Guerra, 147. He also says that "<u>si</u> <u>recavano</u> <u>all</u>'<u>altare</u> secondo il vetustissimo rito i sacri dittici."
- 54By the thirteenth century Easter Monday was often associated with this encounter of Christ and his disciples. Richard B. Donovan, The Liturgical Drama in Medieval Spain (Toronto: Pontifical Institute of Medieval Studies, 1958), 172-3 prints one of these Peregrinus plays.
 - 55_{Hardison}, 173.
 - 56 Hardison, 173-74.
- 57 The Lucchese ceremony was published by Mansi, 78 with no indication of its source nor its date. It does follow traditional forms for this rite and the mention of the "capellam ss. Vultus" clearly places it after the eleventh century. Mansi describes it as merely "il rito antico della chiesa di Lucca." The insertion of the sermon between the basic parts of the ceremony and the confused order of the elements in the ceremony seem to indicate a later medieval date. In my account of the ceremony, I have completed the

texts read or sung but only indicated by the opening words in the Lucchese manuscript by following Hardison, 131-32 and Guerra, 257. Since the text has been neglected for so long, I reproduce it in its entirety: "Finito tractu pronunutiat Diaconus ita: Passio D.N.J.C. sine responsione, et sine incenso, et lumine incipit legere. Ita incipiendo Passio Domini nostri, et non faciat crucem nec super librum, nec super se, nisi ubi dicitur: venit autem Nicodemus. Finita passione Pontifex, incipit dicere orationes etc. Finitis orationibus, descendat Pontifex cum Choro ante Capellam s. Crucis, et episcopo super tapetum prostrato, et orante dicatur hoc responsorium: tenebrae factae sunt, a Cantore incoeptum versum cantetur a canonico Subdiacono. Quo finito, Chorus a dextera parte cantet totum Agyos etc. Respondeat alius Chorus latine totum Sanctus Deus etc. Tunc quatuor Cantores intrent capellam ss. Vultus, et duo versa facie ad populum ab imo latere crucis cantent excelsa voce: Popule meus. Alii quoque duo ex altera parte crucis.... Et alter Chorus, qui est ad sinistram sequitur totum Sanctus Deus. Item duo Cantores, qui sunt interius cantant: eduxi te etc. Deinde Pontifex adscendit pulpitum, et facit sermonem ad populum, et specialiter de pace etc. Finito sermone facit confessionem. Dein intrat ad crucem, et discooperiens eam, canit hanc Antifonam: ecce lignum etc. Postea osculetur devote pedes crucis cum Clero, et Populo.'

Hardison, 132. In ninth and tenth-century usage this dialogue was sung by a presbyter and chorus as follows:

Presbyter: Agios o Theos.
Chorus: Sanctus Deus.
Presbyter: Agios ischyros.
Chorus: Sanctus fortis.

Presbyter: Agios athanatos, eleison imas.
Chorus: Sanctus immortalis, miserere nobis.

⁵⁹Hardison, 131. In some cases this was all the more effective because the deacons concealed themselves behind the altar.

60 Hardison, 132.

Trisagion had been sung. In such rites, according to Hardison, 132, the cross was advanced after each improperium. The stationary positioning of the Volto Santo does not allow this dramatic movement to take place.

62_{Hardison}, 132.

⁶³At this point only two of the usual three <u>improperia</u> have been sung. Evidently the third was eliminated at Lucca by this time and the sermon was inserted which breaks the dramatic quality of the Adoratio ceremony.

- Mansi. 78. "Il resto non ha niente di diverso dal presente rito." I have unfortunately not had access to what the present rite requires at Lucca.
 - 65 Hardison, 128.
- Mansi, 74 says that "Porta processionalmente il ss. Sacramento al sepolcro," but the Latin text, 76 merely says "Reportentur ampoletae in Sacristia cum magna reverentia, ut supra dictum est." Again, this ceremony is undated.
- Although no drawings or paintings indicate the doors on this chapel, F. P. Luiso, <u>La leggenda del Volto Santo</u> (Pescia: Benedetti and Niccolai, 1928), 68 published a document of February 15, 1308, which speaks of the doors of the Volto Santo chapel as being closed.
- For the Latin Text see Karl Young, The Dramatic Associations of the Easter Sepulchre (University of Wisconsin Studies in Language and Literature No. 10, Madison, 1920) 73.
 - ⁶⁹As translated by Hardison, 137.
- As translated by Hardison, 137-38. Guards are also known to have been placed around the sepulchre at Prague, according to a sixteenth century compilation of liturgies. See Young, 86 for the Latin text.
- 71 Young, 72-91 publishes a wide variety of these ceremonies.
- 72 See Neil C. Brooks, The Sepulchre of Christ in Art and Liturgy (Urbana: University of Illinois Press, 1921).
 - ⁷³Young, 91.
 - 74Brooks, 56.
 - 75Brooks, 58.
- ⁷⁶Brooks, 58. At Parma a special Paradisus was erected in the choir. The sepulchres at Monte Cassino, Novalesa and Brescia were located on the main altar, 60.
- 77Brooks, 58. The ceremonies from Venice are printed by Young, 56-60 and 67-69 but they do not indicate where the sepulchre was placed. Brooks reports that William Durandus (c. 1220-1296) indicates its existence outside the choir.
- 78 Friúli, Venezia, Giulia (Milan: Touring Club Italiano, 1968), 289.

- 79 See Brooks, 105 for complete text from Ordo de divinis officiis of the Benedictine monastery of Prüfening near Regensburg, now in the Munich Staatsbibliothek.
- From the Breviarium ecclesie Mosburgensis of the fifteenth or early sixteenth century, now in the Munich Staatsbibliothek, published by Brooks, 104. At Erlangen, according to Brooks, 56 the liturgical drama of the Visitatio Sepulchritook place near the Altar of the Cross. A similar practice also occurred at Halle. See also the ceremony from Gran, Hungary, published by Young, 34.
- 81 Friúli, 289. Its sides are constructed of Greek marble slabs while the attic consists of thirteen colonettes among which hang twelve lamps. Illustrated in Karl Young, The Drama of the Medieval Church, Vol. 1 (Oxford: Clarendon Press, 1933), Pl. IX. Also of interest is Alberti's Holy Sepulchre which was built in the Rucellai Chapel of San Pancrazio, Florence in 1467. This structure is rectangular. See illustrations in Girolamo Mancini, Vita di Leon Battista Alberti, 2nd ed. (Rome: Bardi, 1971), 431 and 432.
 - ⁸²Friúli, 289.
- 83 See Young, <u>Dramatic Associations</u>, 93-94 for the <u>Depositio crucis et hostiae</u>. According to Young, the <u>Elevatio can be found in Agenda Diocesis Sanctae Ecclesiae Aquilegiensis</u> (Venice, 1575), 112-15. A 1495 <u>Visitatio</u> is published by Young, <u>Drama of the Medieval Church</u>, Vol. 1, 321. A similar location in the north corresponds with the imprecise "<u>sepulchrum in parte aquilonari</u>" of Hildesheim (Brooks, 57).
 - 84 See Brooks, 105-7 for Latin texts.
- ⁸⁵A large number of these ceremonies are published by Young, <u>Dramatic</u> <u>Associations</u>, 92-127.
 - 86 Young, <u>Dramatic Associations</u>, 13.
- 87Young, <u>Dramatic Associations</u>, 109-10 from a fifteenth-century manuscript now in Munich. Although such directions are unique in surviving manuscripts, Young, 111, n. 74 does not dismiss the possibility that such a practice occurred more frequently.
 - 88 Young, Dramatic Associations, 55. Latin text, 53.
- ⁸⁹Heitz, 190. I am grateful to Dr. Molly T. Smith for bringing this detail of the ceremony to my attention.

⁹⁰ Brooks, 64.

- 91 Young, <u>Drama of the Medieval Church</u>, Vol. 1, 617.
- 92Brooks, 57.
- 93Young, <u>Drama of the Medieval Church</u>, Vol. 1, 177. Examples published in <u>Dramatic Associations</u>. See Augsburg, 96-97 and Diessen, 124 where the sacrament was removed before the elevation of the cross. The Parma ordines of 1417 had the sacristan remove the buried host before the beginning of Matins, 44.
 - 94 Young, Dramatic Associations, 74 gives the Latin text.
- 95 Young, <u>Dramatic Associations</u>, 33. In this text from 1580 the sacristan removes the host privately "<u>sicut enim certum est Christum antequam Mulieres et Discipuli ad sepulchrum venirent resurrexisse</u>, <u>ita conuenit hanc ceremoniam peragi priusquam populus in templum conueniat."</u>
 - 96 Young, <u>Dramatic</u> <u>Associations</u>, 34, n. 24.
- ⁹⁷Although the rubrics cited above do not state whether the image was adorned, general practice throughout the Middle Ages required the shrouding of all images during Holy Week. Probably reflecting the practices of the nineteenth century, Guerra, 257 states that the Volto Santo was displayed on Good Friday without any ornament.
- 98 It is interesting to note in this connection the Christ of the Transfiguration painted by Fra Angelico in the monastery of San Marco, Florence (Frederick Hartt, History of Italian Renaissance Art [New York: Prentice-Hall and Abrams 1969], 183, Ill. 218). The Transfigured Lord in the fresco stands with his arms outstretched as on a cross and he stands upon a square outcropping of rock, both clear references to the crucifixion and Calvary. His hair parted in the middle and flowing gently across his shoulders, the beard separated into two ropy sections, the arms and the gently outstretched hands are remarkably reminiscent of the Volto Santo. Guerra, 159 relates that the Society of the Holy Face had a chapel in San Marco which presumedly contained some representation of the Volto Santo. A small relief carving of the Volto Santo is still to be seen on the architrave over the third altar on the left side of the nave.
 - 99 Heitz, 132, n. l quotes the Latin text.
 - 100_{Heitz}, 140.
- 101 Heitz, 131. She refers the reader to Migne, <u>PL</u>, LXXII, 171-216.

102 In the foreword to Almerico Guerra, Compendio di storia ecclesiastica Lucchese (Lucca: Coop. Tiopgrafica Editrice, 1924). IX, Pietro Guidi indicated his intent to publish a work dealing with "L'antica Liturgia Lucchese in genere" and "Delle stazioni, propriamente dette, cioè delle Stazioni Pasquali" in a second volume of the Compendio; however, I have been unable to locate any reference to its having been published.

103 Mansi, 80-81. This ceremony, like the Adoratio has remained unnoticed since 1881. The following is the Latin text: "Hac die, hora octava vadunt processionaliter ad s. Reparatam cum tribus crucibus nihil cantando, et venientes ad ecclesiam Pontifex, benedicit ignum novum etc. Qua finita, Archidiaconus, seu diaconus solus Dalmatica indutus in cereo, qui benedicendus est, signum facit sancte crucis; et in ipso signo quinque grana incensi ponit in modum crucis; et scribit cum grafio circa ipsum signum A et Ω et ipsum annum Dominicae Incarnationis circa ipsum cereum, accendatque cum ex igne novo etc. Post haec ascendit.... in ambonem absque titulo sic incipiat etc. Tres primae lectiones leguntur a canonicis s. Martini; quatuor mediae a canonicis s. Reparatae; octava ab uno de s. Maria Forisporiam, decima ab unto de s. Petro majori, undecima ab uno de s. Michele in Foro, duodecima ab uno de s. Frigdiano, si est praesens, aliquando ab uno de s. Martino. Interim dum lectiones leguntur, quatuor Sacerdotes faciant sputum et unctionem Olei Sancti, duo seorsum super masculos, et alii duo seorsum super foeminas. Quibus rite peractis dum Episcopus cum Clero, et populo ad fontes descendit, Cantor incipit: Christe audi nos etc. quae its protrahantur, ut cum fuerint ad fontes incipiat inde Cantor: Sancta Maria; prius facendo septenam Letaniam, postea quinam, postea tertiam deinde dicantur; propitius. Quibus finitis benedicuntur fontes, dein baptizantur pueri a quatuor Sacerdotibus invitatis pro Ecclesia nostra, et aliis quatuor pro Ecclesia s. Reparatae.

Finito Baptismo, Episcopo, Diacono, Subdiacono ornatis sacris vestibus, praecedentibus eos candelabris, thurribolo, et incenso, et tribus crucibus dicatur oratio in porta claustri versus fontes, quae sic incipit: Deus qui Ecclesiam tuam. Procedunt omnes in circuitu Ecclesiae canendo hanc antifonam: <u>Cum Rex gloriae. Cum autem venerint ante Portas Ecclesiae</u> <u>dicit Episcopus: Tollite portas principes vestras, usque Rex</u> gloriae. Et ille respondeat Respondet Pontifex: Dominus virtutum ipse est rex gloriae. Hoc fit ter tunc intrantes in Ecclesiam sonent campanae, quoe mox ut auditae fuerint, omnia signa in universis Ecclesis nostrae Civitatis pro diutius solito pro gaudio tantae solemnitatis sonantur, et ab omnibus Ecclesias insimul in officiis intrantur. Cum vero autem sunt ante crucem, dicit Episcopus mediocri voce: Jam Christus Dominus resurrexit. Respondet Chorus: Deo gratias. Hoc fit tertio. His dictis, Cantor pluviali indutus incipit alta voce: Alleluja, quater. Et finitur in Choro. Et cum ad Altare venerit, dicit Episcopus excelsa voce: Gloria in

- excelsis Deo etc. Pontifice vero communicato, populus communicat etc. Completo Officio, revertuntur ad Ecclesiam B. Martini, et vadunt cum Episcopo, et tota schola coenatum.
 - $^{104}\mathrm{Hardison}$, 150 lists the traditional lessons.
- 105 There is no indication as to where this font was located.
- 106 Krautheimer, "Iconography," 26-27 uses this passage to demonstrate the strong symbolic ties between mausolea and baptistries in Early Christian architecture.
- 107 Krautheimer, "Iconography," 27 quotes from $\underline{\text{The}}$ Book of St. Basil on the Spirit, cap. XV.
- 108 I am unsure of which prayer is indicated by this truncated rubric. Perhaps it corresponds to the collect usually designated for the Tuesday after Easter which recalls that God continues to enlarge his church: "Ecclesiam tuam novo semper foetu multiplicas" published by Hardison, 168.
- Young, Drama of the Medieval Church, Vol. 1, 151 gives the rest of the text: "...Christus infernum debellaturus intraret, et chorus angelicus ante faciem ejus portas principum tolli praeciperet, sanctorum populus, qui tenebatur in morte captivus, voce lacrimabili clamaverat: Advenisti desiderabilis, quem expectabamus in tenebris, ut educeres hac nocte vinculatos de claustris. Te nostra vocabant suspira; te larga requirebant tormenta; tu factus es spes desperatis, magna consolatio in tormentis.
- 110 See Young, Drama of the Medieval Church, Vol. 1, 149-77.
- 111 Young, <u>Drama of the Medieval Church</u>, Vol. 1, 148. See also Edgar Hennecke, <u>New Testament Apocrypha</u>, Wilhelm Schneemelcher, Ed. Trans. R. McL. Wilson, I (Philadelphia: Westminster Press, 1963), 470-76. The Latin text which concerns us is published by Young, I, 149-50.
- Young, <u>Dramatic Associations</u>, 117 "ad <u>oculum rep-raesentatur</u>, <u>quomodo Christus Dominus post passionem suo ad inferos descensu."</u>
- 113 Young, <u>Dramatic</u> <u>Associations</u>, 90 "in <u>signum</u> <u>redemp</u>-cionis animarum <u>ex limbo</u>."
- 114 My interpolation follows Young and Hennecke as cited in note 103.

- As shown in a fourteenth-century manuscript from Dublin, Young, Drama of the Medieval Church, Vol. 1, 170. It is also the next line of the psalm.
 - 116 Young, Dramatic Associations, 91.
- 117 Mansi, 79 indicates in his Italian translation "avanti l'altare di s. Croce."
- Antiphonaire Monastique. XII siécle. Codex 601

 de la Bibliothèque Capitulaire de Lucques, Andre Mocquereau,
 Ed. (Tournai: Société de Saint-Jean l'Evangéliste, Desclée,
 Lefebvre and Co., 1906), Facsimile folio 208.
 - 119 Young, Drama of the Medieval Church, Vol. 1, 201.
 - 120 Young, Drama of the Medieval Church, Vol. 1, 204.
- 121 For the texts of these tropes see Young, Drama of the Medieval Church, Vol. 1, 207, 207, 570, 208, 209, 210, 214-15, 215-16, and 223 respectively.
- Hardison, 219 feels that the <u>Quem quaeritis</u> and subsequent <u>Visitatio</u> owe more to the precedents of the Easter vigil than the phenomenon of trope singing, but William L. Smolden, "The Origins of the <u>Quem Quaeritis</u> and the Easter Sepulchre Music Drama as Demonstrated by their Musical Settings,"

 The <u>Medieval Drama</u>, Sandra Sticca, Ed. (Albany: State University of New York Press, 1972), 131 rejects Hardison's conclusions since there are no musical similarities between the compositions.
- 123 See Young, <u>Drama of the Medieval Church</u>, Vol. 1, for the retention of the <u>simple form in the twelfth</u> century at Ravenna-206, Vercelli 205 and 208, Piacenza 216, Bobbio 207, n. 2, Benevento 215, and Monza 226. In the thirteenth century another example from Monza 228-29 is the only surviving example of the simple form at such a late date.
- 124 Hardison, 222 dates this text from the eleventh century. See Young, <u>Drama of the Medieval Church</u>, Vol. 1, 628 for the Latin text.
- The Ordines of 1417 indicate that the <u>Vistatio</u> precedes Matins, according to Young, <u>Drmatic Associations</u>, 45.

 Latin text: Young, <u>Drama of the Medieval Church</u>, Vol. 1, 300.
- This play was evidently performed at Modena. See Gustav Cohen, "The Influence of the Mysteries on Art in the Middle Ages," Gazette des Beaux Arts, Ser. 6, 24 (1943), 333, Fig. 2 from the eleventh century which shows the women buying spices. It is obviously a scene from an Easter play since the three women all have beards.

- 127
 Mansi, 76. "Nel tempo della Repubblica, nella cappella di Palazzo si faceva il SS. Sepolcro con nobilissimo
 apparato e tutta la corte intorno vi compiva un'ora d'orazione."
- 128 Alessandro D'Ancona, Origini del teatro in Italia, I (Florence: Successori Le Monnier, 1877), 81.
- 129 Reiner Haussherr, "Das Imvardkreuz und der Volto-Santo-typ," Zeitschrift für Kunstwissenschaft, 16, No. 3-4 (1962), 160.

CONCLUSION

Attempts to assign an eighth century date to the present Volto Santo have failed because of stylistic discrepancies. The present Volto Santo clearly seems to be a late twelfthor or early thirteenth-century work carved by a sculptor who was conversant with the style of Benedetto Antelami. Since the Volto Santo was already mentioned in documents of 1107, it is certain that the present Volto Santo must have replaced an earlier work. I have suggested that the original Volto Santo may have derived from German sources in the second quarter of the eleventh century. There were strong political ties between Lucca and the German emperors, and manuscripts of this period show the influence of German types. Furthermore, in Germany and Italy, unlike the rest of Europe, the Easter sepulchre with which the Volto Santo was probably symbolically associated was regularly placed in the nave.

The inconsistent quality of the legend of Leobino may well have resulted from a merging of traditions surrounding Volto Santo I and still earlier works. It seems likely that some sort of cross reliquary or relic may have been acquired by the Lucchese from Charlemagne or his representatives in Italy. The history of this Carolingian donation probably merged with that of the original Volto Santo when the Lucchese first formally recorded the legend around the time of the

First Crusade.

Monday probably predates the Crusades. The use of the statue in the liturgical ceremonies of Good Friday and Easter, along with its appropriate iconography, suggests that the Volto Santo was originally and purposefully intended to be placed in or near the north aisle of Lucca cathedral. The relationship of the Volto Santo chapel to the high altar of the cathedral mirrors the relationship of the rock of Calvary to the Holy Sepulchre in Jerusalem. The ceremonies celebrated at these sites likewise have been shown to be at the origin of comparable rites performed at Lucca.

The originally quadrangular form of the Volto Santo chapel also reflects the form of the Golgotha chapel in Jerusalem, known in the West from pilgrims' accounts and from schematic diagrams such as the seventh-century plan of Arculfe. These reports indicate that a chapel of the Holy Grail was located nearby. The proximity of the Golgotha chapel to that of the Holy Grail, along with precedents in Ottonian manuscripts for placing a chalice below the crucifixion, and the frequent use of a chalice for the Depositio crucis et hostiae ceremonies of Good Friday indicate that the chalice located beneath the right foot of the Volto Santo was not a makeshift addition.

Likewise, the Volto Santo appears to have been attributed to Nicodemus for good reason. According to the biblical account, Nicodemus assisted at Christ's burial. As early as the

ninth century he had been associated with the creation of the Beirut crucifix. Moreover, his authorship of the Volto Santo may have been suggested by the story of Christ's descent into Hell as told in the Gospel of Nicodemus; it served as the basis for part of the Easter vigil which involved the Volto Santo at Lucca.

Answers to many of the questions regarding the Volto Santo nonetheless remain unanswered. Further research in the municipal and church archives of Lucca may yet produce other neglected examples of Good Friday and Easter ceremonies similar to those which I have discussed above. Those festivals currently held in honor of the Volto Santo or in which it plays a part may also prove to contain vestiges of ancient practices. Ironically, Alessandro d'Ancona had already recognized such facts in 1877. As he studied the liturgical dramas and mystery plays of Italy he met problems similar to those which face the scholar studying the Volto Santo:

"If the examples which we would cite most often come from churches which are more likely than not to be non-Italian, that does not mean that the churches of Italy had other uses...but it is certain that if one were to search among the ancient missals, diurnals, processionaries, responsories and antiphonaries of Italian churches, much could come of it."

Indeed, d'Ancona's recommendation still holds true today.

Art historians have too long worried about precisely determining the age and provenance of the Volto Santo without concerning themselves with its liturgical function. Yet, there remain purely artistic matters which also have been neglected:

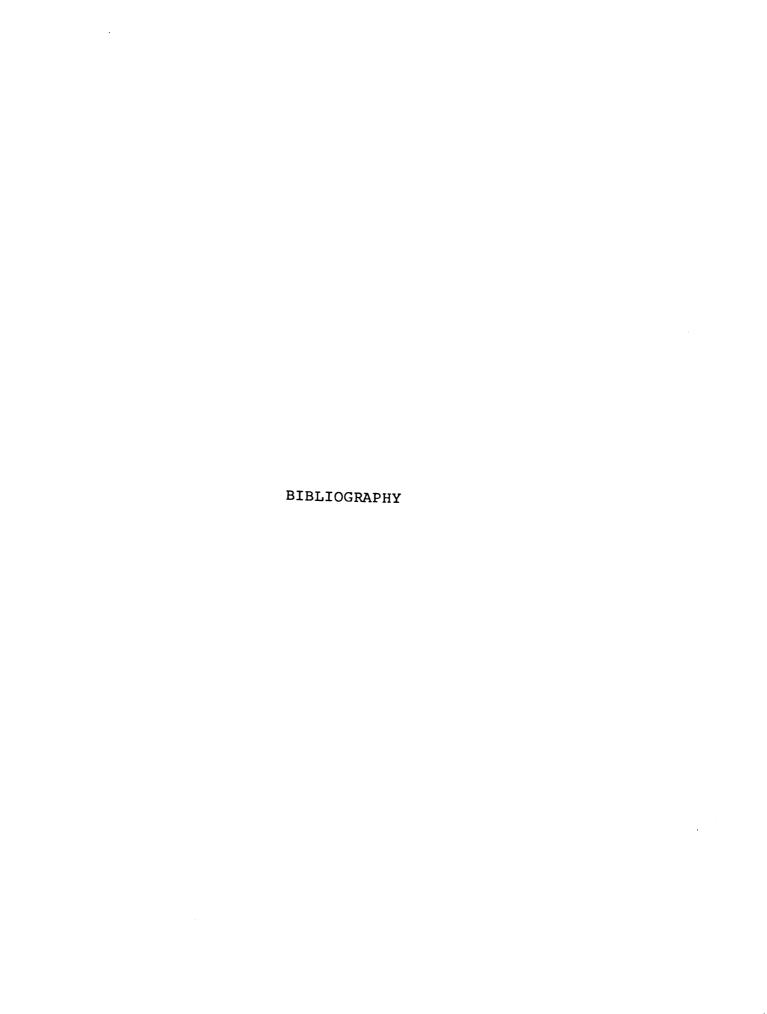
the ornate gold belt of 1384 has never been described nor photographed in detail; likewise, the seventeenth-century crown and necklace have not received any attention from art historians; even the charming Renaissance tempietto which now encloses the Volto Santo has remained unstudied.

Scholarly research regarding the Volto Santo is far from nearing its conclusion. This study has hopefully evidenced that there still remain many documents to be read, inferences to be drawn, and pages to be written before the Volto Santo of Lucca ceases to be what Porter has called "a mystery of the first class."

NOTES - CONCLUSION

l'Alessandro d'Ancona, Origini del Teatro in Italia (Florence: Successori Le Monnier, 1877), 30. "Se gli esempj che più spesso verremo citando appartengono, più che altro, a Chiese oltremontane, ciò non vuol dire che quello d'Italia avessero altre usanze...ma certo è che si frugasse per entro gli antichi messali e diurnali e processionarj e resposorj e antifonarj delle Chiese italiane, molto si potrebbe rivenire."

²Arthur Kingsley Porter, <u>Spanish</u> <u>Romanesque</u> <u>Sculpture</u>, Vol. 2 (Florence: Pantheon, 1928), 8.



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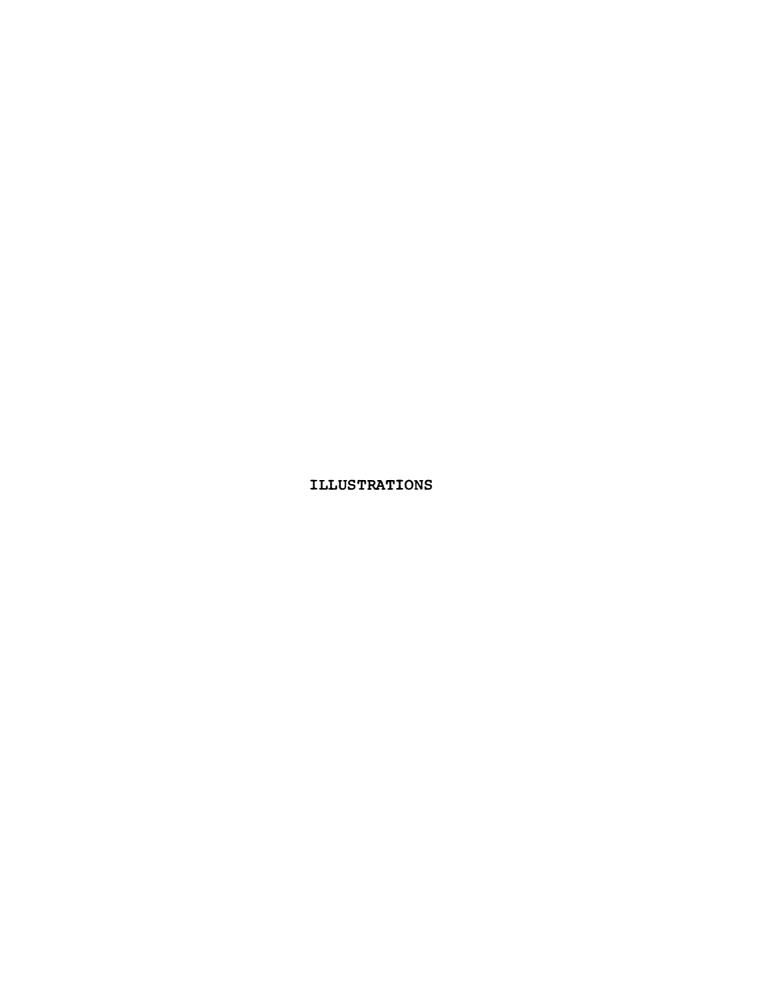




Illustration 1: The Volto Santo of Lucca. Walnut.
Lucca cathedral. Late twelfth or
early thirteenth century.



Illustration 2: Detail of Illustration 1.

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Illustration 3: The Volto Santo of Lucca as displayed for festivals.



Illustration 4: Interior of nave. Lucca cathedral Early fourteenth century.

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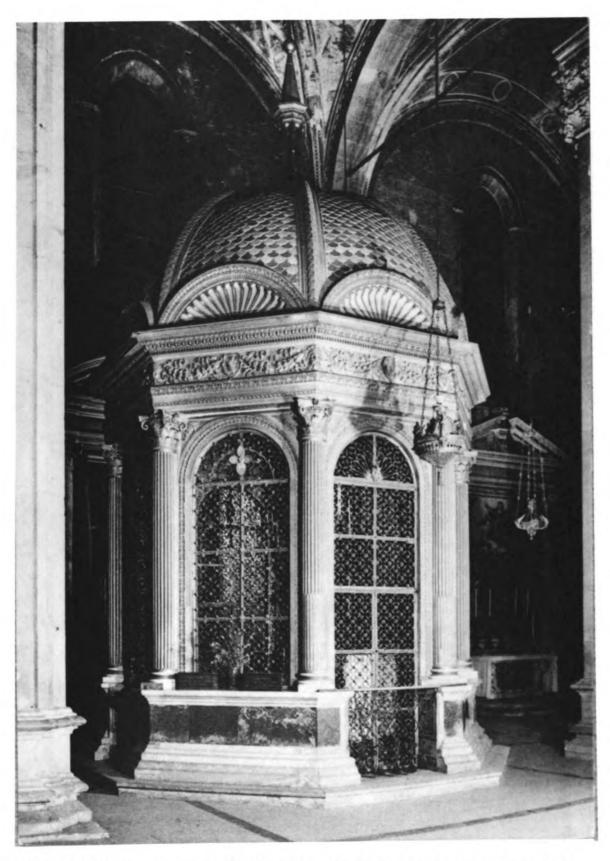


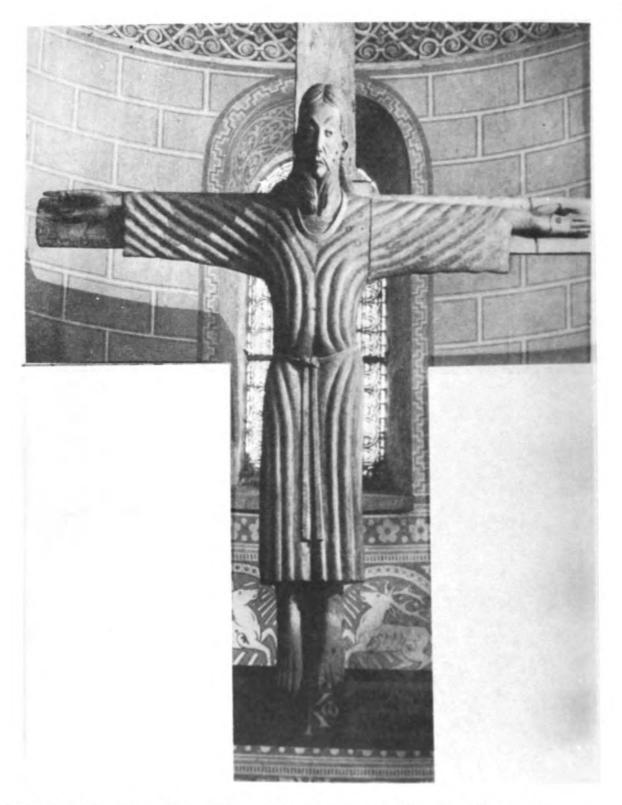
Illustration 5: Matteo Civitali. Chapel of the Volto Santo.
North aisle of Lucca cathedral. 1482-84.



Crucifixion from the Rabbula Gospels. Syrian. Laurentian Library, Florence. Sixth Century. (Photo: Thoby, pl. V. No. 11). Illustration 6:



Deposition Group. Wood. Volterra, Italy. Mid-thirteenth century. (Photo: Francovich, 1937, Fig. 31). Illustration 7:



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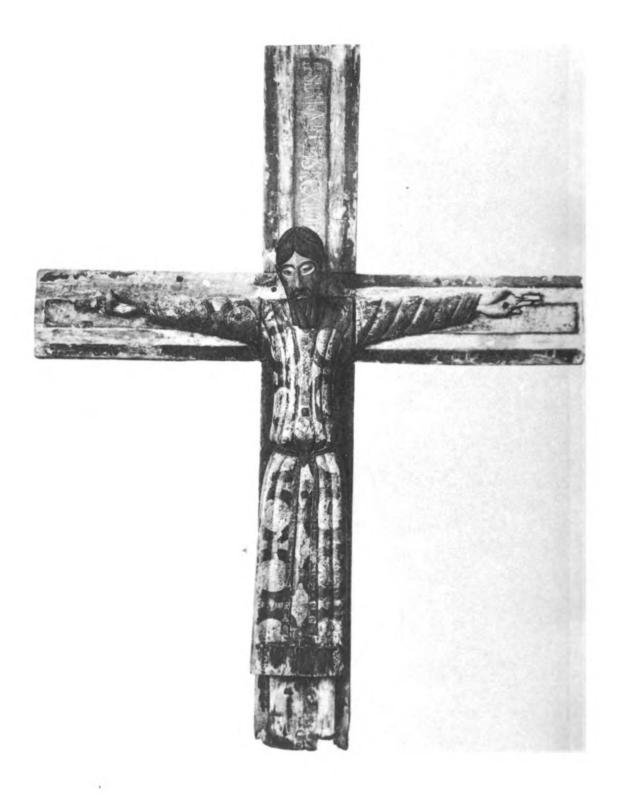
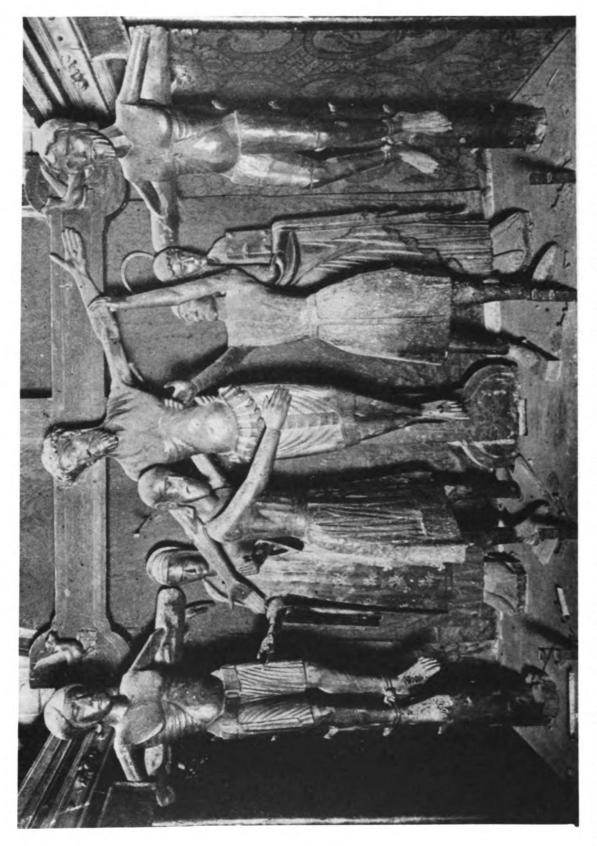


Illustration 9: Majestad. Wood. Museo de la Ciudadela, Barcelona. Mid-twelfth century. (Photo: Porter, Vol. 1, Pl. 34).



Deposition Group. Wood. San Juan de las Abedesas, Spain 1251. (Photo: Francovich, 1937, Fig. 65). Illustration 10:

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Illustration 11: Benedetto Antelami. Presentation in the Temple. Stone. Detail of lunette over the interior south portal, Parma Baptistry. c. 1200-10. (Photo: Salvini, Ill. 152).

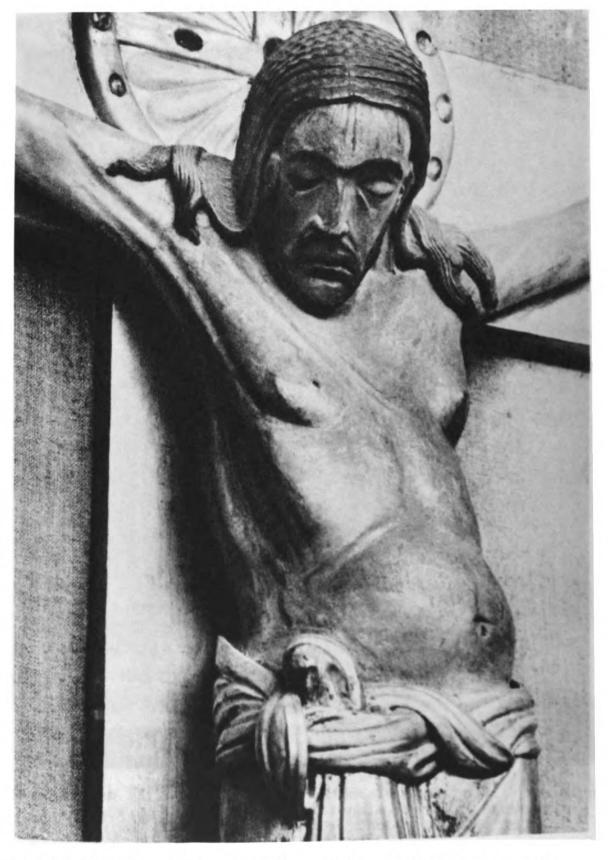


Illustration 12. Gero Crucifix. Oak. Cologne Cathedral.
969-76. (Photo: Janson, Ill. 328).

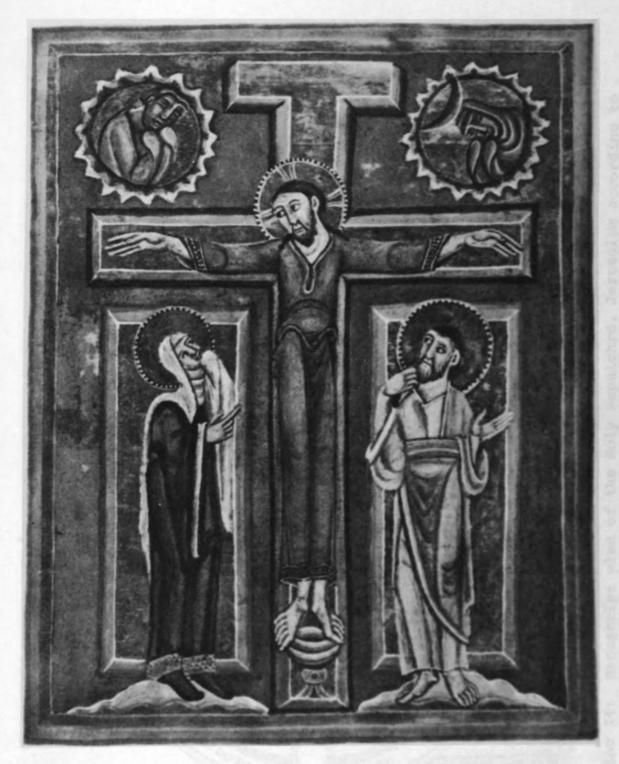
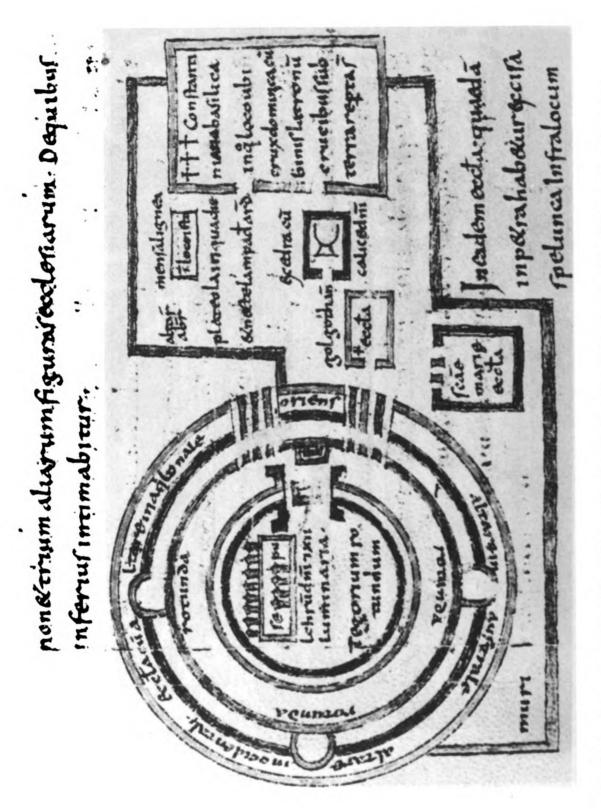


Illustration 13: Crucifixion from Metz Gospel Book. Ottonian.
Bibliothèque Nationale, Paris. Eleventh
century. (Photo: Thoby, Pl. XX, No. 42).



Ninth century. (Photo: Heitz, Pl. XXIX, A). Manuscript plan of the Holy Sepulchre, Jerusalem according to Arculfe. Vienna. Illustration 14:



Illustration 15: Crucifixion from the Echternach Gospels. Ottonian. London. c. 1050. (Photo: Schiller, Vol. 2, Ill. 387).

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